

形式语言与计算复杂性

第 2 章 Automata and Languages

2.1 Regular Languages

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→ 教学课程 → 形式语言与计算复杂性

Outline

① 2 Automata and Languages

② 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism
- Relations to Regular Expressions
- Non-regular Languages

③ Conclusions

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2 2.1 Regular Languages

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- Non-regular Languages

3 Conclusions

2. Automata and Languages

Introduction

问: What to *begin* w.r.t theory of computation?

答: Define computer

问: How to define a computer?

答: Use an *idealized computer* called a *computational model*

问: How to define a computational model?

答: Let's define a *simplest* one *first*.

问: What is the simplest computational model?

答: *finite state machine* or *finite automaton*

问: How to differentiate computational models, e.g., *finite automaton*?

答: Define Languages, e.g., *Regular Language*

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2.1 Regular Languages

1 Finite Automata

问: *Informally*, what *are* finite automata?

答: Good models for computers with an *extremely limited amount of memory*.

问: What can a computer do with such a small memory?

答: Many useful things!

问: Any example?

答: an automatic door

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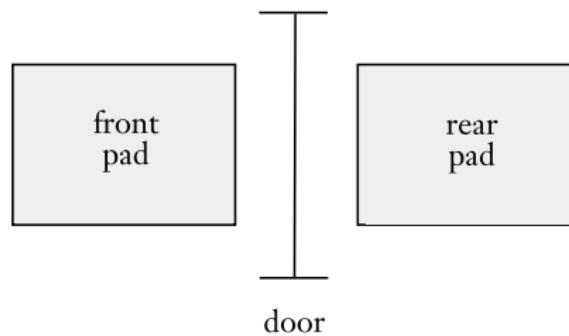
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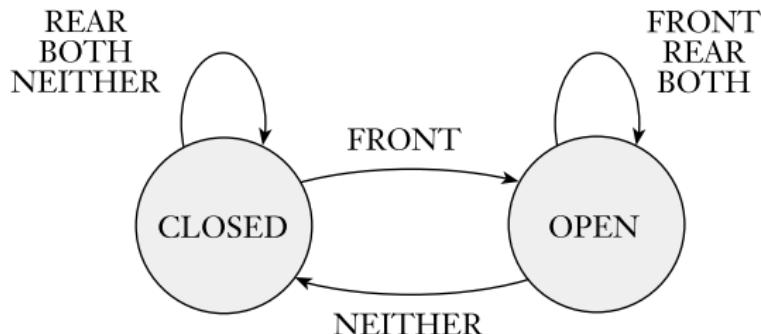
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2.1 Regular Languages

1 Finite Automata



input signal

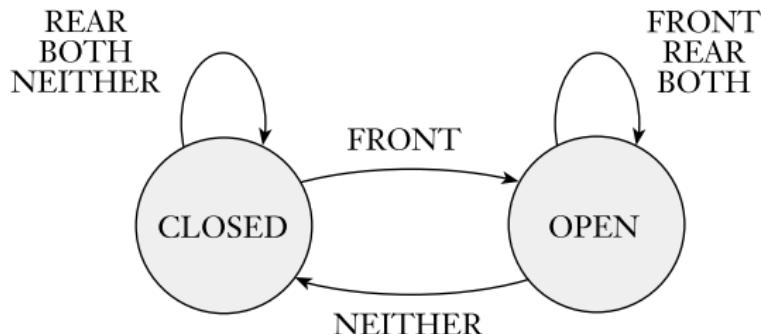
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问: So, does this finite automaton require a large memory?

答: Of course No.

2.1 Regular Languages

1 Finite Automata



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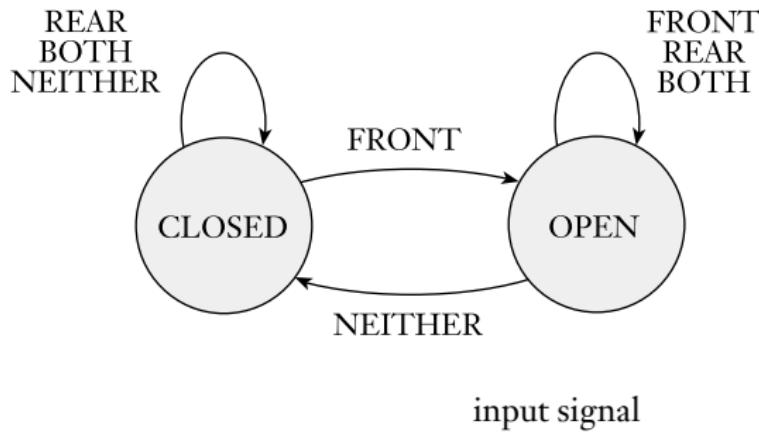
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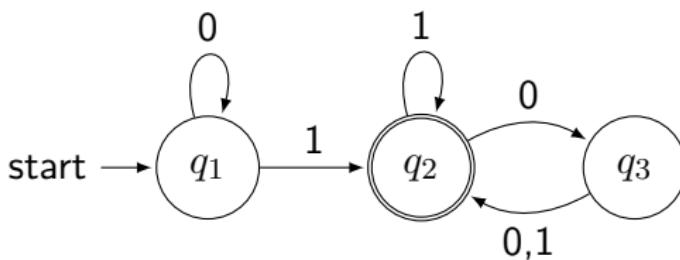
2.1 Regular Languages

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定义: Finite automaton

A *finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

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- ③ $\delta : Q \times \Sigma \rightarrow Q$ is the *transition function*,
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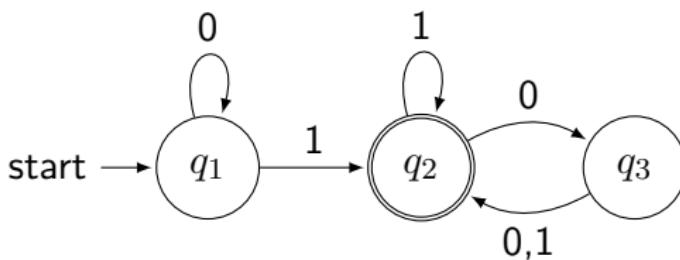
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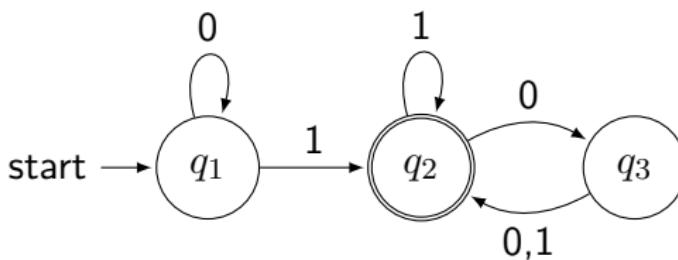
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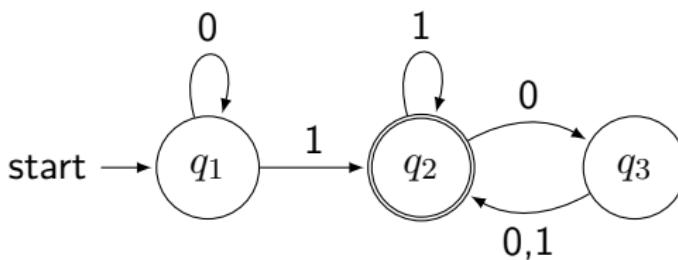
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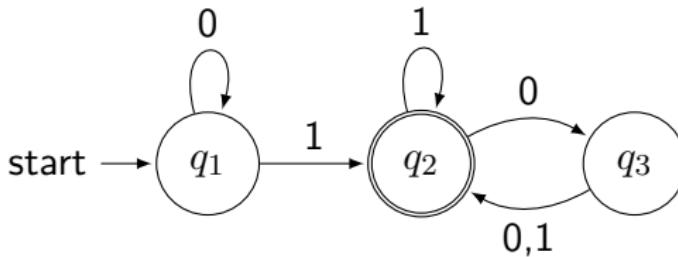
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2.1 Regular Languages

1 Finite Automata

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答: Solve certain problems.

问: Which problems specifically?

答: Define problems first.

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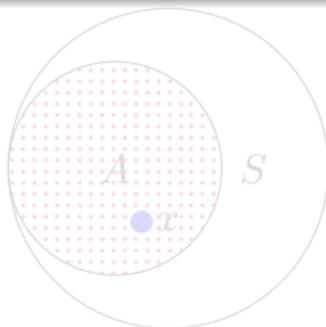
Given a *boolean* function $f : S \rightarrow \{0, 1\}$, and $x \in S$, compute $f(x)$.

- How to define S and f ?

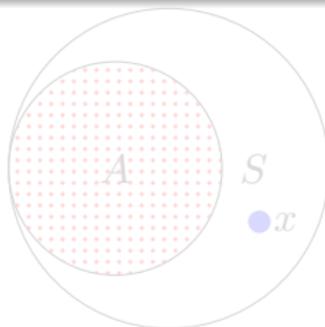
问: How to further define a problem?

Given a set $A \subseteq S$, and $x \in S$, compute whether $x \in A$.

- $x \in A \Rightarrow f(x) = 1$ and $x \notin A \Rightarrow f(x) = 0$



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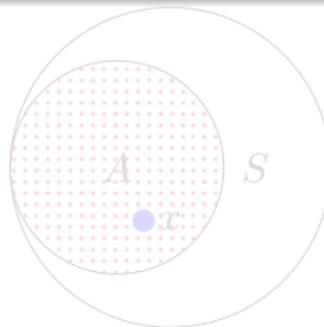
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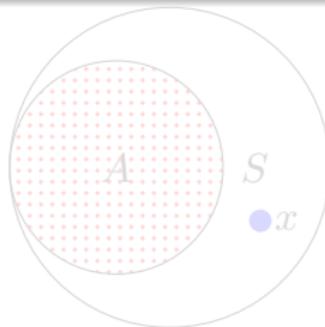
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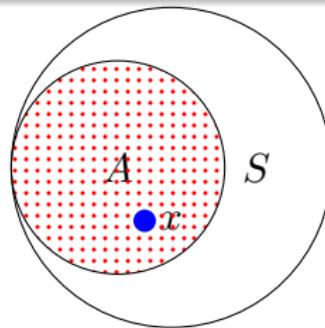
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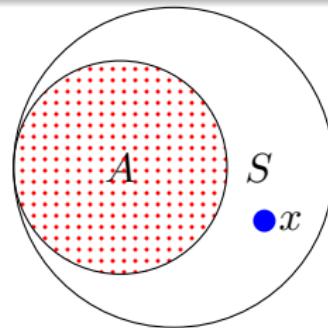
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1 Finite Automata | Languages

问: So, what can a finite automaton do?

- ① define a *machine* M , e.g., a finite automaton
- ② define S as the set of all strings with alphabet Σ
 - e.g., $S = \{0, 1, 00, 01, 000, 001, 010, 011, \dots\}$
- ③ define *accept state*
 - e.g., q_2 is an accept state
- ④ define *language* of M , i.e., $L(M) = \{s \in S \mid M \text{ accepts } s\}$
- ⑤ 答: Given $x \in S$, M can compute whether $x \in A$, where $A = L(M)$

问 1: Any examples for *finite automata* and their *languages*?

答: 见下页

问 2: What can a finite automaton do w.r.t languages.

答: 见

[Jump to the answer](#)

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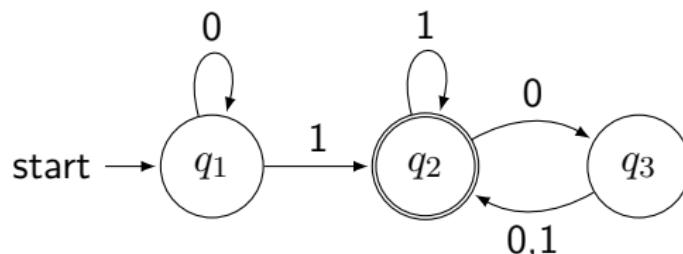
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例 1: For finite automaton M_1 :



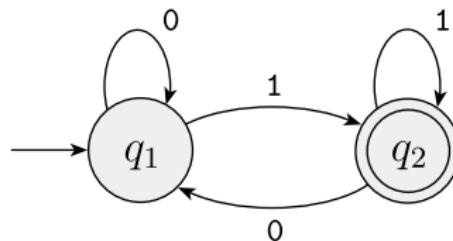
let $A = \{w \mid w \text{ contains at least one } 1 \text{ and an even number of } 0\text{s follow the last } 1\}$. Then, $L(M_1) = A$

2.1 Regular Languages

1 Finite Automata | Examples: finite automata & languages

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例 2: For finite automaton M_2



$$\Sigma = \{0, 1\}$$

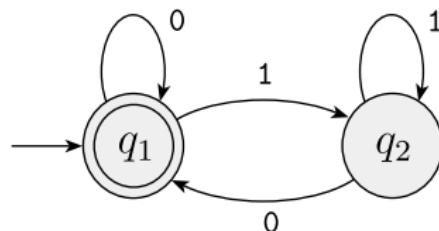
$$L(M_2) = \{w \mid w \text{ ends in a } 1\}$$

2.1 Regular Languages

1 Finite Automata | Examples: finite automata & languages

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例 3: For finite automaton M_3



$$\Sigma = \{0, 1\}$$

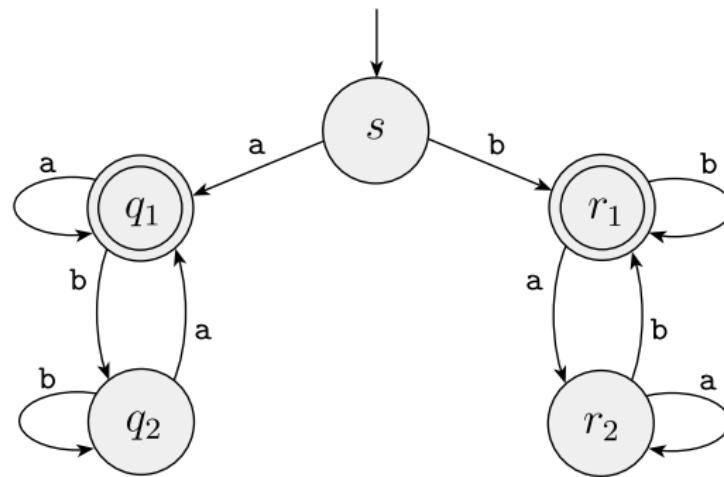
$$L(M_3) = \{w \mid w \text{ is the empty string } \varepsilon \text{ or ends in a } 0\}$$

2.1 Regular Languages

1 Finite Automata | Examples: finite automata & languages

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例 4: For finite automaton M_4



$$\Sigma = \{a, b\}$$

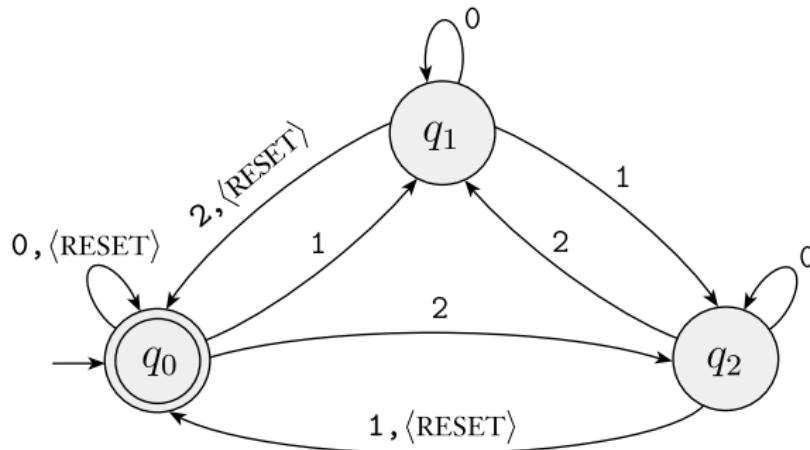
$L(M_4) = \{w \mid w \text{ is the string that starts and ends with the same symbol}\}$

2.1 Regular Languages

1 Finite Automata | Examples: finite automata & languages

问 1: Any examples for *finite automata* and their *languages*?

例 5: For finite automaton M_5



$$\Sigma = \{0, 1, 2, \langle \text{RESET} \rangle\}$$

$L(M_5) = \{w \mid w \text{ is the string that the sum of the symbols is a multiple of } 3\}$

2.1 Regular Languages

1 Finite Automata | Languages

回顾: 问: So, what can a finite automaton do?

- ① define finite automaton
- ② define language of M
- ③ 答: Given $x \in S$, M can compute whether $x \in A$, where $A = L(M)$

定义: Recognize

M recognizes language A , if $A = \{w \mid M \text{ accepts } w\}$

定义: Regular language

A language is called a regular language if some finite automaton recognizes it.

See Corollary

例: Regular languages: $L(M_1)$, $L(M_2)$, $L(M_3)$, $L(M_4)$, $L(M_5)$

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问: What can a finite automaton do w.r.t languages? [Back to the problem](#)

答: A *finite automaton* can *recognize* a *regular language*.

问 1: Can a finite automaton recognize *all* languages?

答: Of course not. One is *non-regular* language. [Part 4 Non-regular Languages](#)

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答: 见下页

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[▶ Part.4 Non-regular Languages](#)

问 2: What are the properties of regular languages (i.e., finite automata)?

答: 见下页

2.1 Regular Languages

1 Finite Automata | Regular Languages

定义: Recognize

M recognizes language A , if $A = \{w \mid M \text{ accepts } w\}$

定义: Regular language

A language is called a **regular language** if some *finite automaton* *recognizes* it.

问: What can a finite automaton do w.r.t languages?

[▶ Back to the problem](#)

答: A *finite automaton* can *recognize* a **regular language**.

问 1: Can a finite automaton recognize **all** languages?

答: Of course not. One is **non-regular** language.

[▶ Part.4 Non-regular Languages](#)

问 2: What are the properties of regular languages (i.e., finite automata)?

答: 见下页

2.1 Regular Languages

1 Finite Automata | Outline

问: What are the properties of regular languages (i.e., finite automata)?

答:

- Preparation ▶ Part.1
 - Design finite automata
 - Define *regular operations*
- Nondeterminism ▶ Part.2
 - Definition of *NFAs* and *DFAs*
 - *Equivalence* of NFAs and DFAs
 - *Closure* under Regular Operations
- Relations to *regular expressions* ▶ Part.3

Outline

1 2 Automata and Languages

2 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism
- Relations to Regular Expressions
- Non-regular Languages

3 Conclusions

2.1 Regular Languages

1 Finite Automata | Preparation | Design finite automata [Back to Outline](#)

(1) Design finite automata

例: Suppose that $\Sigma = \{0, 1\}$, and the language consists of all strings with an *odd number* of 1s.

2.1 Regular Languages

1 Finite Automata | Preparation | Design finite automata [Back to Outline](#)

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Step 1: Once you have *determined* the *necessary information* to remember about the string as it is being read, you *represent* this *information* as a finite list of possibilities.

- ① even
- ② odd

2.1 Regular Languages

1 Finite Automata | Preparation | Design finite automata [Back to Outline](#)

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- ② odd

Step 2: Assign a state to each of the possibilities



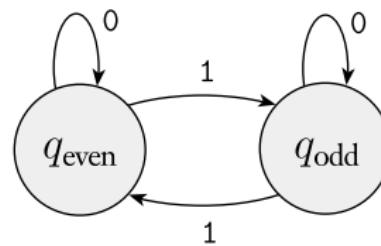
2.1 Regular Languages

1 Finite Automata | Preparation | Design finite automata [Back to Outline](#)

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Step 3: Assign the transitions



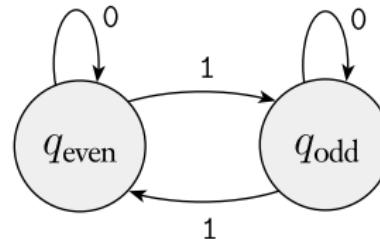
2.1 Regular Languages

1 Finite Automata | Preparation | Design finite automata [Back to Outline](#)

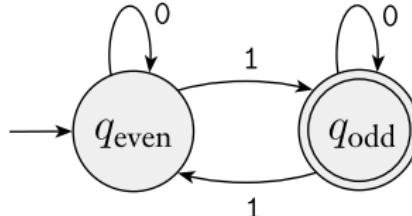
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Step 4: Add the start and accept states



2.1 Regular Languages

1 Finite Automata | Preparation | Define regular operations [▶ Back to Outline](#)

(2) Define regular operations

定义: Regular Operations

Let A and B be languages. We define the *regular operations union*, *concatenation*, and *star* as follows:

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
- **Star:** $A^* = \{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$

例

Let $\Sigma = \{a, b, \dots, z\}$. If $A = \{\text{good}, \text{bad}\}$ and $B = \{\text{boy}, \text{girl}\}$, then

- $A \cup B = \{\text{good}, \text{bad}, \text{boy}, \text{girl}\}$
- $A \circ B = \{\text{goodboy}, \text{goodgirl}, \text{badboy}, \text{badgirl}\}$
- $A^* = \{\epsilon, \text{good}, \text{bad}, \text{goodgood}, \text{goodbad}, \text{badgood}, \text{badbad}, \text{goodgoodgood}, \text{goodgoodbad}, \text{goodbadgood}, \text{goodbadbad}, \dots\}$

2.1 Regular Languages

1 Finite Automata | Preparation | Define regular operations [▶ Back to Outline](#)

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2.1 Regular Languages

1 Finite Automata | Preparation | Define regular operations [▶ Back to Outline](#)

定义: Closed

A collection of objects is *closed* under some *operation*, if applying that operation to members of the collection returns an *object still in the collection*.

例

Let $\mathcal{N} = \{1, 2, 3, \dots\}$ be the set of natural numbers. When we say that \mathcal{N} is *closed under multiplication*, we mean that for any x and y in \mathcal{N} , the product $x \times y$ also is in \mathcal{N}

定理

The class of regular languages is *closed* under the *union* operation.

- In other words, if A_1 and A_2 are regular languages, so is $A_1 \cup A_2$

The class of regular languages is *closed* under the *concatenation* operation.

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2.1 Regular Languages

1 Finite Automata | Preparation | Define regular operations [▶ Back to Outline](#)

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2.1 Regular Languages

1 Finite Automata | Preparation | Define regular operations [▶ Back to Outline](#)

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2.1 Regular Languages

1 Finite Automata | Define regular operations [Back to Outline](#)

定理: Closure under Union

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证明: (Proof by Construction)

Let $\underline{M_1}$ recognize A_1 , where $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$,

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Construct \underline{M} to recognize $A_1 \cup A_2$, where $M = (Q, \Sigma, \delta, q_0, F)$

- ① $Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\}$
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1 Finite Automata | Define regular operations [Back to Outline](#)

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1 Finite Automata | Define regular operations

Back to Outline

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1 Finite Automata | Define regular operations

Back to Outline

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1 Finite Automata | Define regular operations

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2.1 Regular Languages

1 Finite Automata | Define regular operations

Back to Outline

定理: Closure under Concatenation

The class of regular languages is closed under the concatenation operation.

- In other words, if A_1 and A_2 are regular languages, so is $A_1 \circ A_2$

证明: (Proof by Construction)

新问题: On constructing δ , can the input be broken into two pieces?

- M_1 accepts the first piece
- M_2 accepts the second piece

Not now

解决方法:

- Introducing Nondeterminism, and NFA (见下页)
- Prove the Equivalence of NFAs and DFAs See: Equivalence
- Prove the Closure See: Proof-of-closure

2.1 Regular Languages

1 Finite Automata | Define regular operations

Back to Outline

定理: Closure under Concatenation

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2.1 Regular Languages

1 Finite Automata | Define regular operations

Back to Outline

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1 2 Automata and Languages

2 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism**
- Relations to Regular Expressions
- Non-regular Languages

3 Conclusions

2.1 Regular Languages

2 Nondeterminism

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定义: Deterministic, Nondeterministic, DFA, NFA

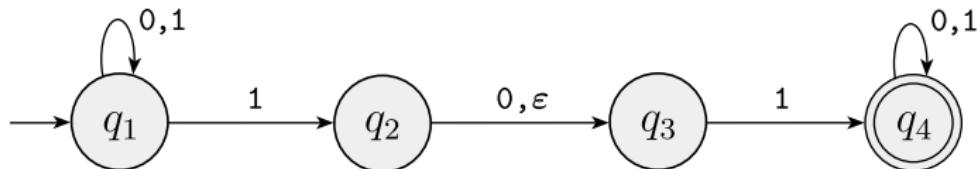
When the machine is in a given state and reads the next input symbol

- if we know what the next state will be, it is *determined*. We call this *deterministic* computation. The machine is a *deterministic finite automaton, DFA*
- otherwise, i.e., if *several choices* may exist for the next state, the machine is a *nondeterministic finite automaton, NFA*.

2.1 Regular Languages

2 Nondeterminism

Back to Outline



Observations on an NFA:

- In an NFA, a state may have *zero*, one, or *many* exiting arrows for *each alphabet symbol*
- This NFA has an arrow with the label ϵ

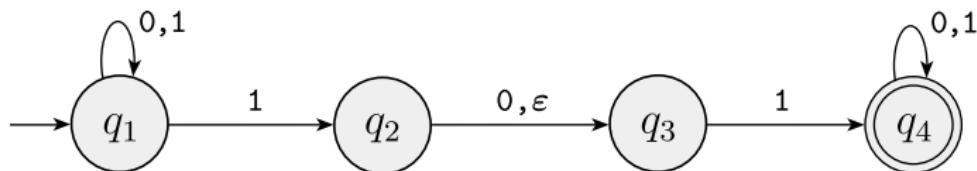
问: How does an NFA compute, on receiving a symbol?

- *splits* into *multiple copies* of itself and follows all the possibilities in parallel
 - If there are subsequent choices, the machine splits again.
 - If there is no choice, the copy dies
 - if *any one* is in an *accept state*, the NFA accepts the input string

2.1 Regular Languages

2 Nondeterminism

Back to Outline



Observations on an NFA:

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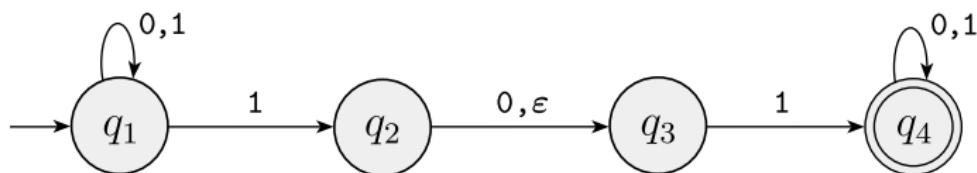
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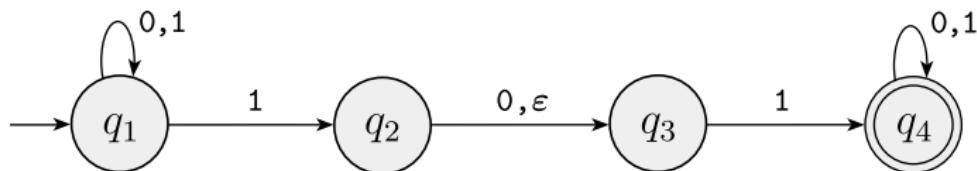
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2 Nondeterminism

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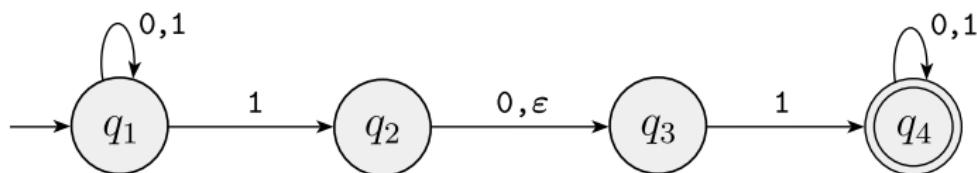
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2 Nondeterminism

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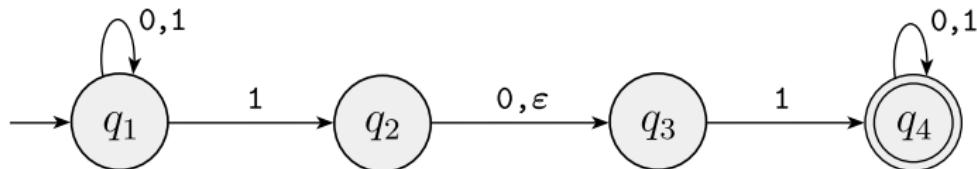
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2 Nondeterminism

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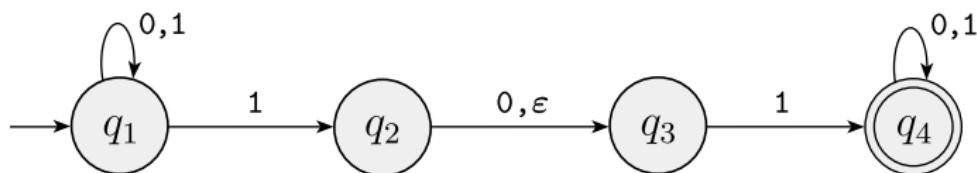
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2 Nondeterminism

[Back to Outline](#)



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2 Nondeterminism

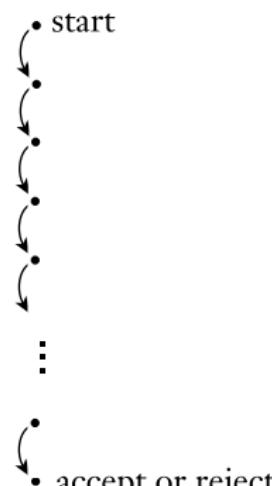
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问: What can *Nondeterminism* be viewed as? (注: 不仅仅指 NFA)

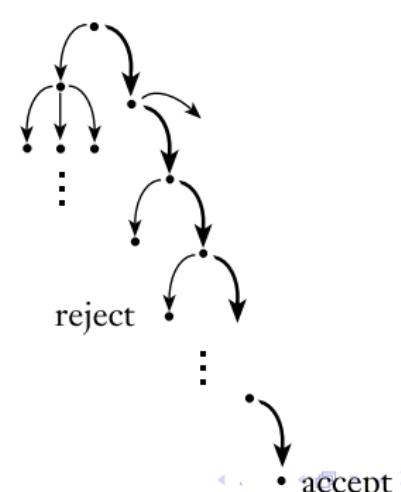
答 1: a kind of *parallel* computation wherein multiple independent "processes" or "threads" can be running concurrently.

答 2: Nondeterminism may be viewed as a tree of possibilities.

Deterministic
computation

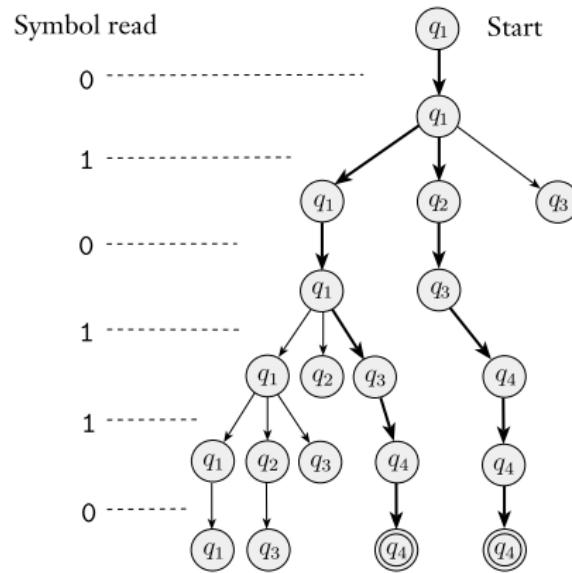
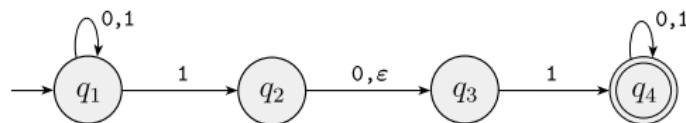


Nondeterministic
computation



2.1 Regular Languages

2 Nondeterminism | Compute N_1 ▶ Back to Outline



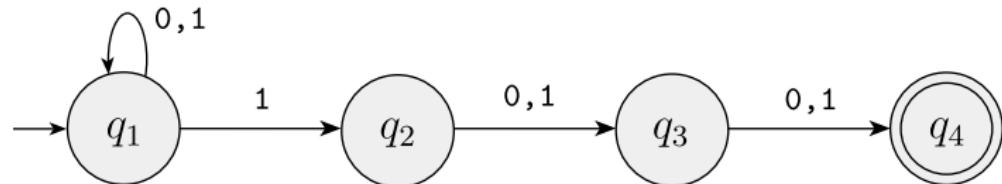
2.1 Regular Languages

2 Nondeterminism | Examples

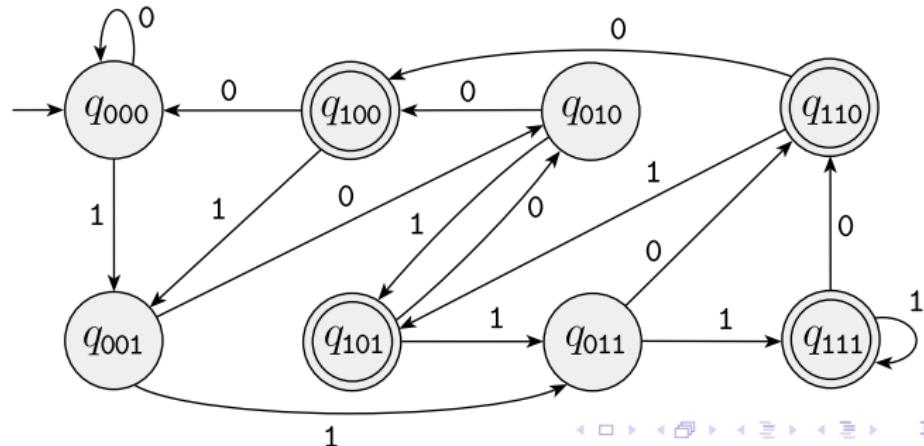
Back to Outline

例: NFA N_2 : Let A be the language consisting of all strings over $\{0, 1\}$ containing a 1 in the *third position from the end* (e.g., 000100 is in A but 0011 is not).

An NFA N_2
recognizing A :



A DFA
recognizing A :

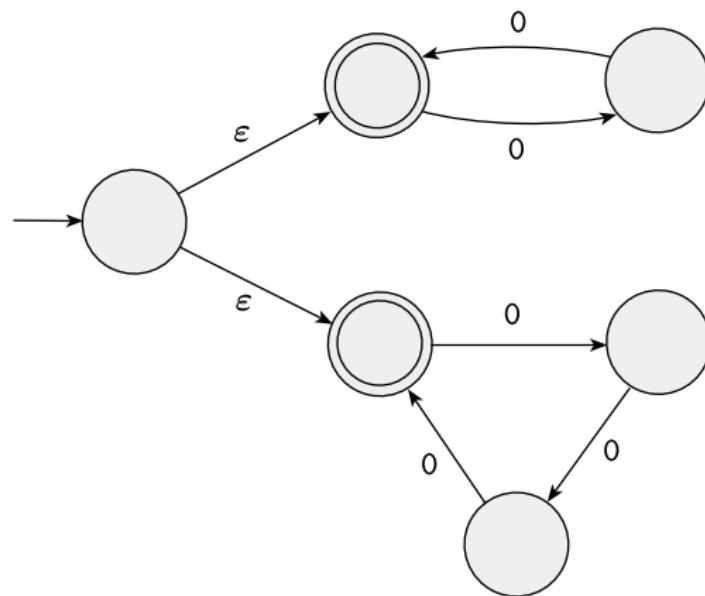


2.1 Regular Languages

2 Nondeterminism | Examples

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例: NFA N_3 : For $\Sigma = \{0\}$, it accepts all strings of the form 0^k where k is a *multiple of 2 or 3*.



2.1 Regular Languages

2 Nondeterminism | Define NFA

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回顾 Sec1: 定义: Power Set

The *power set* of A is the set of all subsets of A . If A is the set $\{0, 1\}$,

- the power set of A , named as $\mathcal{P}(A)$, is the set $\{\emptyset, \{0\}, \{1\}, \{0, 1\}\}$.

定义: Nondeterministic Finite Automaton, NFA

A *finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

- ① Q is a finite set called the *states*,
- ② Σ is a finite set called the *alphabet*,
- ③ $\delta : Q \times \Sigma_e \rightarrow \mathcal{P}(Q)$ is the *transition function*, DFA
- ④ $q_0 \in Q$ is the *start state*, and
- ⑤ $F \subseteq Q$ is the set of *accept states*.

Let's focus on δ (见下页)

2.1 Regular Languages

2 Nondeterminism | Define NFA

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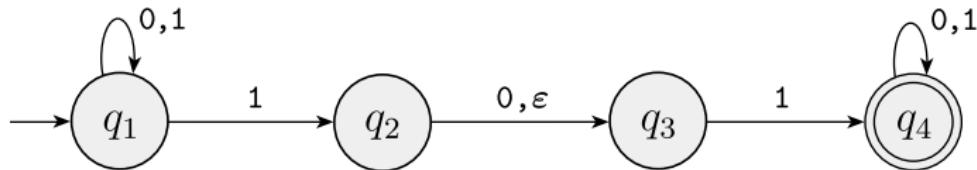
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Let's focus on δ (见下页)

2.1 Regular Languages

2 Nondeterminism | Define NFA

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δ is given as

	0	1	ϵ
q_1	$\{q_1\}$	$\{q_1, q_2\}$	\emptyset
q_2	$\{q_3\}$	\emptyset	$\{q_3\}$
q_3	\emptyset	$\{q_4\}$	\emptyset
q_4	$\{q_4\}$	$\{q_4\}$	\emptyset

2.1 Regular Languages

2 Nondeterminism | Equivalence-DFA&NFA

▶ Back to Outline

问题: *Equivalence* of NFAs and DFAs? See: Why studying this?

定义: Equivalent

Two machines are *equivalent* if they recognize the same language

定理: Equivalence of NFAs and DFAs

Every nondeterministic finite automaton (*NFA*) *has* an *equivalent* deterministic finite automaton (*DFA*).

证明思路: (Proof by Construction)

Convert the *NFA* into an equivalent *DFA* that *simulates* the *NFA*.

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2 Nondeterminism | Equivalence-DFA&NFA

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证明: Equivalence of NFAs and DFAs

Let $N = (Q, \Sigma, \delta, q_0, F)$ be the NFA recognizing some language A .
We construct a DFA $M = (Q', \Sigma, \delta', q'_0, F')$ recognizing A

▶ Example

Case 1: N has no ε arrows

- ① $Q' = \mathcal{P}(Q)$
- ② $\delta'(R, a) = \{q \in Q \mid q \in \delta(r, a) \text{ for some } r \in R\}$
- ③ $q'_0 = \{q_0\}$
- ④ $F' = \{R \in Q' \mid R \text{ contains an accept state of } N\}$

Case 2: N has ε arrows

- For any state R of M , define $E(R) = \{q \mid q \text{ can be reached from } R \text{ by traveling along } 0 \text{ or more } \varepsilon \text{ arrows}\}$
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2 Nondeterminism | Equivalence-DFA&NFA

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推论

A language is regular if and only if some nondeterministic finite automaton recognizes it.

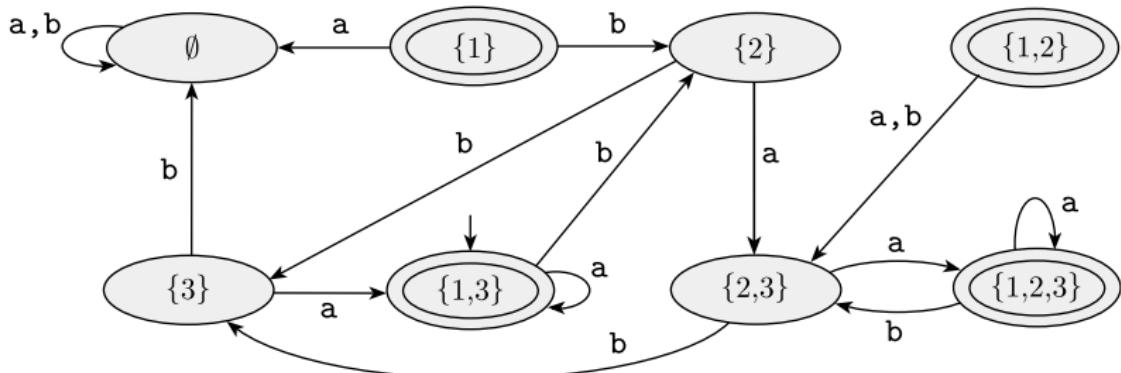
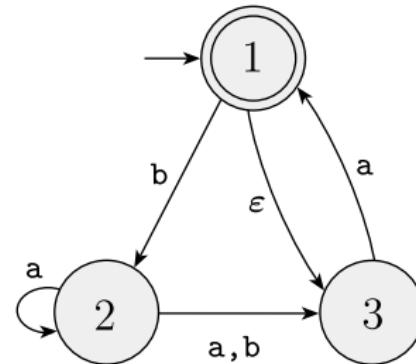
See Def. Regular

2.1 Regular Languages

2 Nondeterminism | Equivalence-DFA&NFA

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- 例: From NFA to DFA



2.1 Regular Languages

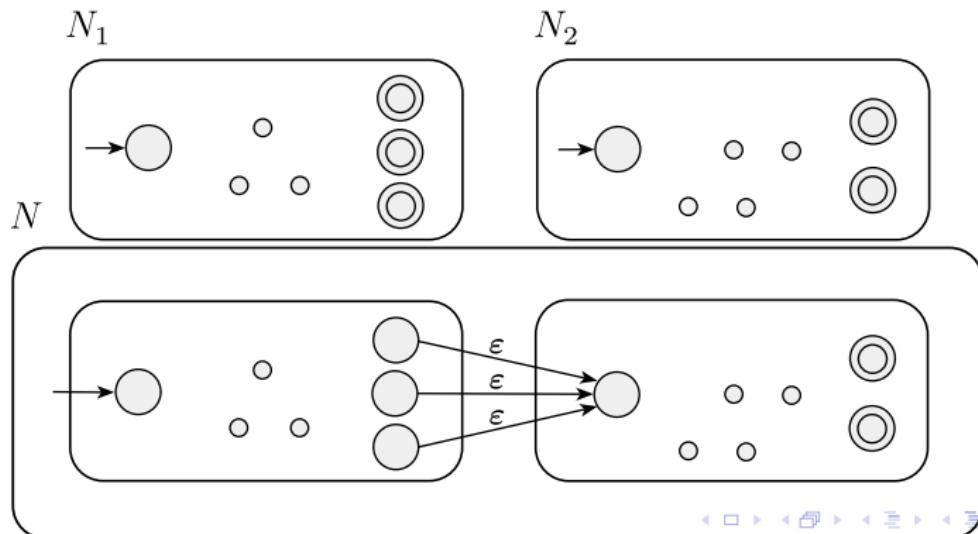
2 Nondeterminism | Prove Closure [Back to Outline](#)

问题: Prove closure under the regular operations. [See: Why studying this?](#)

定理: Closure under Concatenation Operation

The class of regular languages is closed under the concatenation operation.

- In other words, if A_1 and A_2 are regular languages, so is $A_1 \circ A_2$



2.1 Regular Languages

2 Nondeterminism | Prove Closure

Back to Outline

证明: (Proof by Construction)

Let $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ recognize A_1 ,

$N_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ recognize A_2

Construct $N = (Q, \Sigma, \delta, q_1, F_2)$

- $Q = Q_1 \cup Q_2$
- Define δ so that for any $q \in Q$ and any $a \in \Sigma_\varepsilon$,

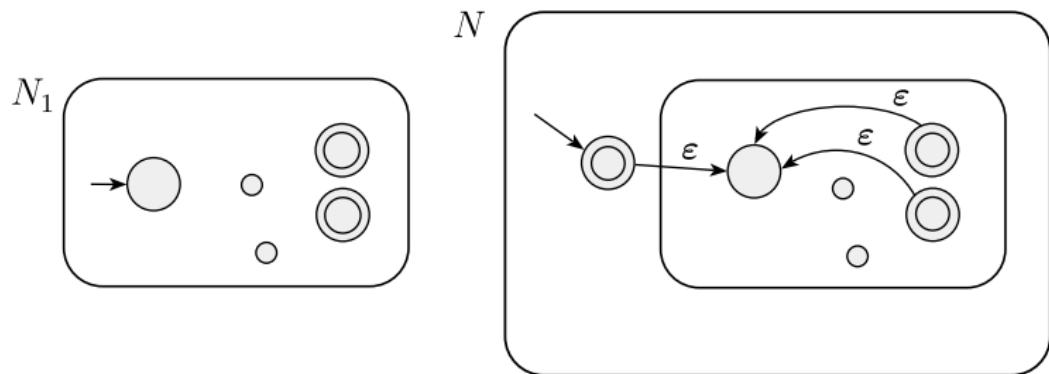
$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \text{ and } q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \text{ and } a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \text{ and } a = \varepsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$

2.1 Regular Languages

2 Nondeterminism | Prove Closure [Back to Outline](#)

定理: Closure under Star Operation

The class of regular languages is closed under the star operation.



证明

略

2.1 Regular Languages

2 Nondeterminism | Prove Closure

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总结:

定理: Closure under Regular Operation

The class of regular languages is closed under the regular operations.

- union
- star
- concatenation

问题: What can *regular operations* and *their theorems* be used for?

答: Regular Expressions (见下页), and See [Lemma 1 for Equivalence](#)

2.1 Regular Languages

2 Nondeterminism | Prove Closure

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Outline

1 2 Automata and Languages

2 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism
- Relations to Regular Expressions
- Non-regular Languages

3 Conclusions

2.1 Regular Languages

3 Relations to regular expressions

[Back to Outline](#)

问题: Relations to *regular expressions* (正则表达式)

- 问题 1: What are regular expressions
- 问题 2: Property: Equivalence to Finite automata

问题 1: What are regular expressions

答: We can use the *regular operations* to build up expressions describing *languages*, which are called *regular expressions*

Once the syntax of a programming language has been described with a *regular expression* in terms of its tokens, automatic systems can generate the *lexical analyzer*

2.1 Regular Languages

3 Relations to regular expressions

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3 Relations to regular expressions

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2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

问题 1: What are regular expressions

例 1 (解释) :

$$(0 \cup 1)0^*$$

- The symbols 0 and 1 are shorthand for the sets $\{0\}$ and $\{1\}$
- $(0 \cup 1)$ means $(\{0\} \cup \{1\})$
- The part 0^* means $\{0\}^*$
- $(0 \cup 1)0^*$ is shorthand for $(0 \cup 1) \circ 0^*$

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

问题 1: What are regular expressions

例 2 (分析) :

$$(0 \cup 1)^*$$

The *value* of this expression is the *language* consisting of all possible strings of 0s and 1s

- If $\Sigma = \{0, 1\}$, Σ can be shorthand for regular expression $(0 \cup 1)$
- Σ^* describes the language consisting of all strings over that alphabet

正式解答: Formal Definition (见下页)

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

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3 Relations to regular expressions [Back to Outline](#)

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正式解答: Formal Definition (见下页)

2.1 Regular Languages

3 Relations to regular expressions 

问题 1: What are regular expressions

定义: Regular Expressions

Say that R is a regular expression if R is

- ① $\{a\}$ for some a in the alphabet Σ ,
- ② $\{\varepsilon\}$
- ③ \emptyset
- ④ $(R_1 \cup R_2)$, where R_1 and R_2 are regular expressions
- ⑤ $(R_1 \circ R_2)$, where R_1 and R_2 are regular expressions
- ⑥ (R_1^*) , where R_1 is a regular expression

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

问题 1: What are regular expressions

例 (Language) :

In the following instances, we assume that the alphabet Σ is $\{0, 1\}$

- $0^*10^* = \{w \mid w \text{ contains a single } 1\}$
- $\Sigma^*1\Sigma^* = \{w \mid w \text{ has at least one } 1\}$
- $(\Sigma\Sigma\Sigma)^* = \{w \mid \text{the length of } w \text{ is a multiple of 3}\}$
- $(0 \cup \varepsilon)(1 \cup \varepsilon) = \{\varepsilon, 0, 1, 01\}$
- $1^*\emptyset = \emptyset$
- $\emptyset^* = \{\varepsilon\}$

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

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2.1 Regular Languages

3 Relations to regular expressions 

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In the following instances, we assume that the alphabet Σ is $\{0, 1\}$

- $0^*10^* = \{w \mid w \text{ contains a single } 1\}$
- $\Sigma^*1\Sigma^* = \{w \mid w \text{ has at least one } 1\}$
- $(\Sigma\Sigma\Sigma)^* = \{w \mid \text{the length of } w \text{ is a multiple of 3}\}$
- $(0 \cup \varepsilon)(1 \cup \varepsilon) = \{\varepsilon, 0, 1, 01\}$
- $1^*\emptyset = \emptyset$
- $\emptyset^* = \{\varepsilon\}$

2.1 Regular Languages

3 Relations to regular expressions 

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2.1 Regular Languages

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2.1 Regular Languages

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问题 2: Property: Equivalence to Finite automata

引理 1

If a language is described by a regular expression, then it is regular

证明: (Proof by construction)

- Case 1: $R = a$ for some $a \in \Sigma$. Then $L(R) = \{a\}$
- Case 2: $R = \varepsilon$. Then $L(R) = \{\varepsilon\}$
- Case 3: $R = \emptyset$. Then $L(R) = \emptyset$
- Case 4: $R = R_1 \cup R_2$; Case 5: $R = R_1 \circ R_2$; Case 6: $R = R_1^*$

2.1 Regular Languages

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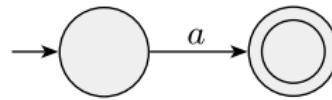
问题 2: Property: Equivalence to Finite automata

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If a language is described by a regular expression, then it is regular

证明: (Proof by construction)

- Case 1: $R = a$ for some $a \in \Sigma$. Then $L(R) = \{a\}$, and the following NFA recognizes $L(R)$.
- Case 2: $R = \epsilon$. Then $L(R) = \{\epsilon\}$
- Case 3: $R = \emptyset$. Then $L(R) = \emptyset$
- Case 4: $R = R_1 \cup R_2$; Case 5: $R = R_1 \circ R_2$; Case 6: $R = R_1^*$



2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

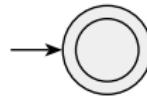
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2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

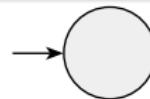
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2.1 Regular Languages

3 Relations to regular expressions [▶ Back to Outline](#)

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- Case 4: $R = R_1 \cup R_2$; Case 5: $R = R_1 \circ R_2$; Case 6: $R = R_1^*$, use the Proof steps in [▶ Closure Theorems](#)

2.1 Regular Languages

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问题 2: Property: Equivalence to Finite automata

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If a language is described by a regular expression, then it is regular

例

Building an NFA from the regular expression $(ab \cup a)^*$ [Closure Theorems](#)

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

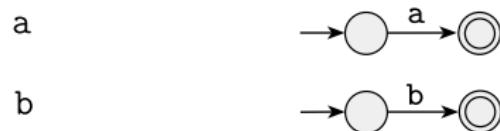
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2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

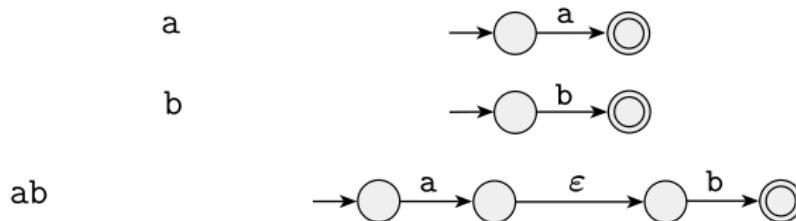
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2.1 Regular Languages

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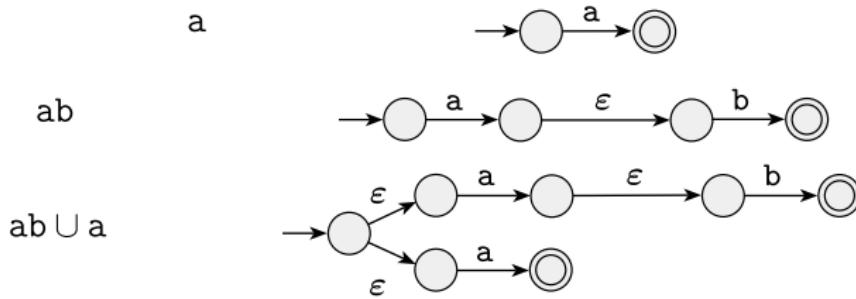
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2.1 Regular Languages

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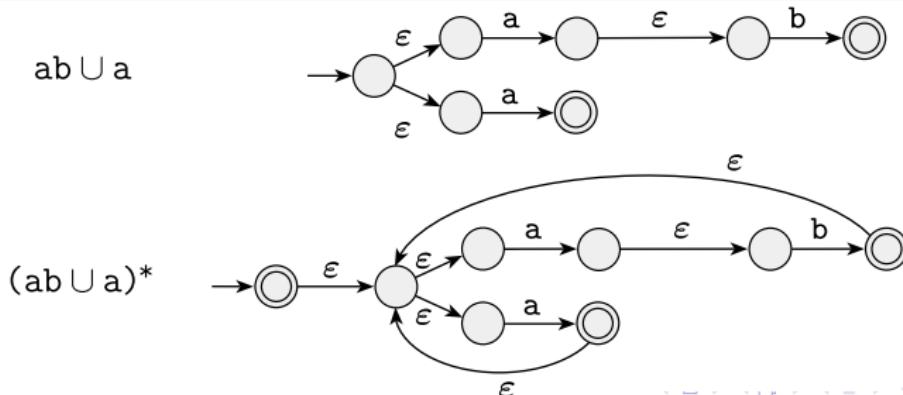
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3 Relations to regular expressions 

问题 2: Property: Equivalence to Finite automata

引理 2

If a language is regular, then it is described by a regular expression

证明思路

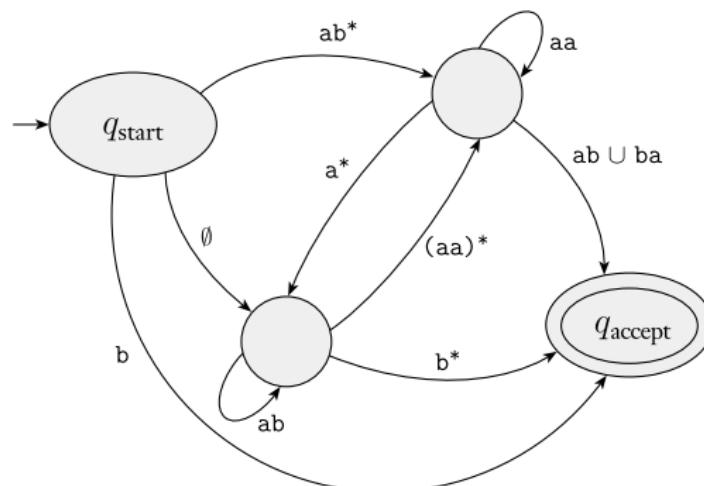
- Step 0: Define *GNFA*, *generalized nondeterministic finite automaton*
- Step 1: Convert *DFA*s into *GNFAs*
- Step 2: Convert *GNFAs* into *regular expressions*

2.1 Regular Languages

3 Relations to regular expressions

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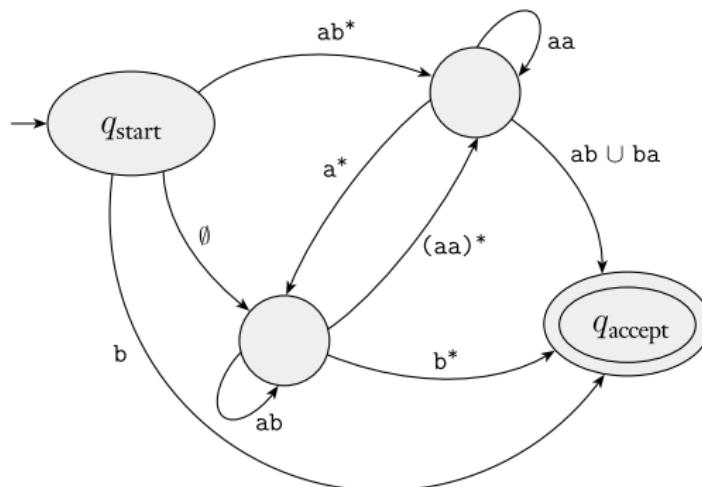
Lemma 2 Step 0: Define *GNFA*



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Lemma 2 Step 0: Define *GNFA*



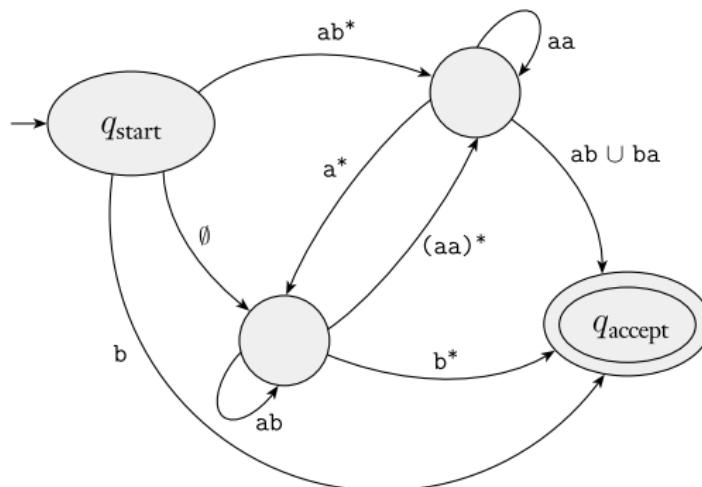
Observation 1: *Transition arrows* may have any *regular expressions* as labels, *instead of* only members of the *alphabet* or ϵ

2.1 Regular Languages

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Lemma 2 Step 0: Define *GNFA*



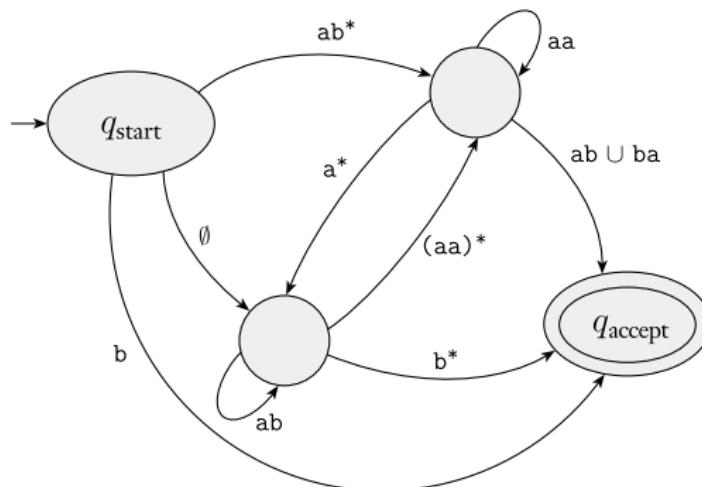
Observation 2: The *start state* has transition arrows *going to every other state*, but *no arrows* coming in *from* any other state

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Lemma 2 Step 0: Define *GNFA*

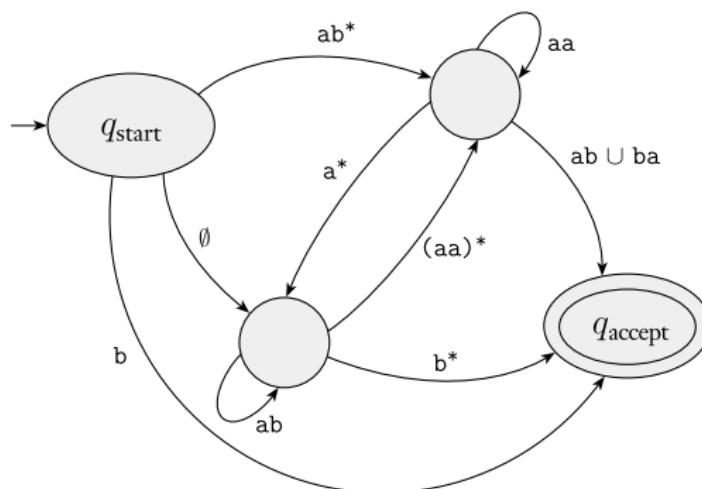


Observation 3: There is only a *single accept state*, and it has arrows coming *in* from *every other state*, but *no arrows* going *to* any other state

2.1 Regular Languages

3 Relations to regular expressions [Back to Outline](#)

Lemma 2 Step 0: Define *GNFA*



Observation 4: *Except* for the *start* and *accept* states, one arrow goes *from every state to every* other state, and also from each state *to itself*.

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Lemma 2 Step 1: Convert a *DFA* into a *GNFA*

- Add a *new start state* with an ϵ arrow to the *old start state*
- Add a *new accept state* with ϵ arrows from the *old accept states*
- If there are *multiple arrows* going between the same two states in the *same direction*
 - replace each with a *single arrow* whose *label* is the *union of the previous labels*.
- Add arrows labeled \emptyset between states that had *no arrows*.

2.1 Regular Languages

3 Relations to regular expressions

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Lemma 2 Step 1: Convert a *DFA* into a *GNFA*

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- Add a *new accept state* with ε arrows from the *old accept states*
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2.1 Regular Languages

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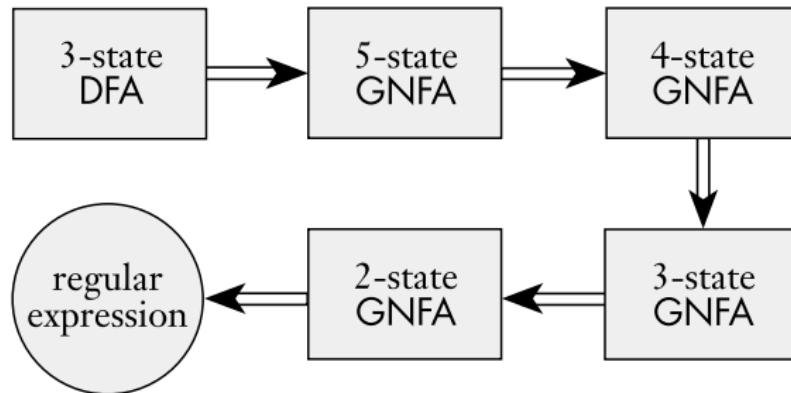
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3 Relations to regular expressions

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Lemma 2 Step 2: Convert *GNFAs* into *regular expressions*



Method: constructing an equivalent GNFA with one fewer state

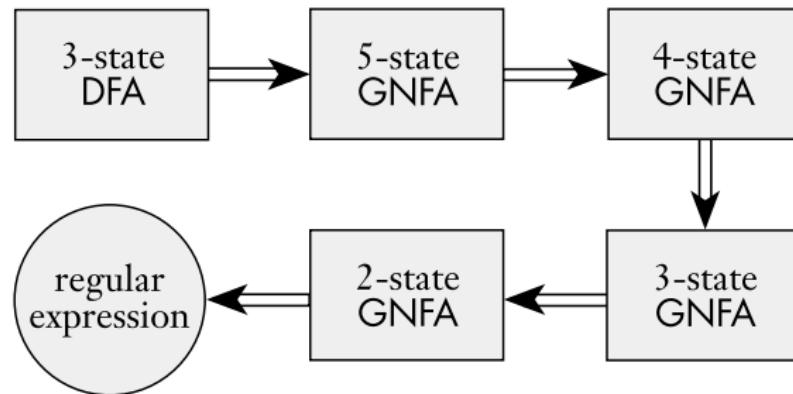
- *selecting* a state
- *ripping it out* of the machine
- *repairing* the remainder so that the same language is still recognized.

2.1 Regular Languages

3 Relations to regular expressions

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Lemmas 2 Step 2: Convert *GNFAs* into *regular expressions*



Method: constructing an equivalent GNFA with one fewer state

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2.1 Regular Languages

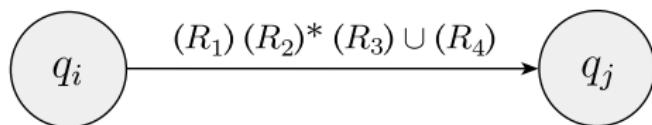
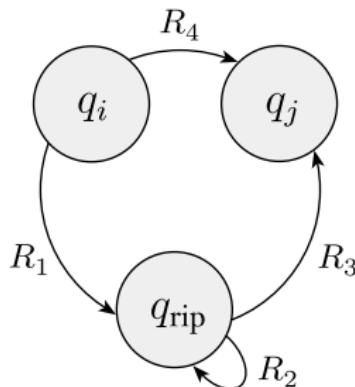
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Lemma 2 Step 2: Convert *GNFAs* into *regular expressions*

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before

after

2.1 Regular Languages

3 Relations to regular expressions

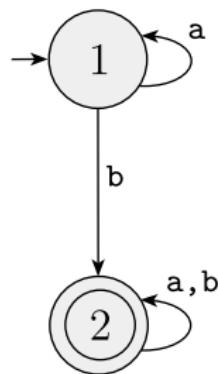
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Lemma 2

例 1: From a DFA to a regular expression

[step 1](#)

[step 2](#)



2.1 Regular Languages

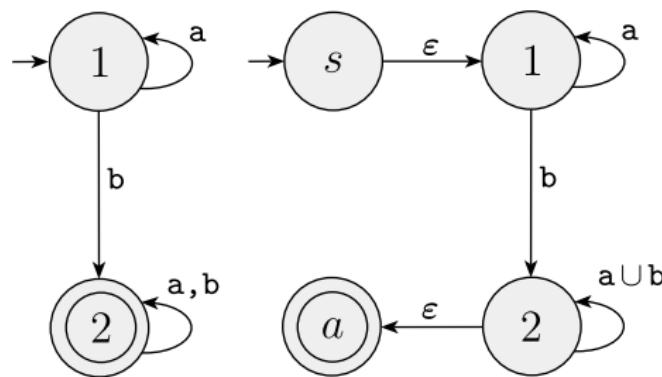
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2.1 Regular Languages

3 Relations to regular expressions

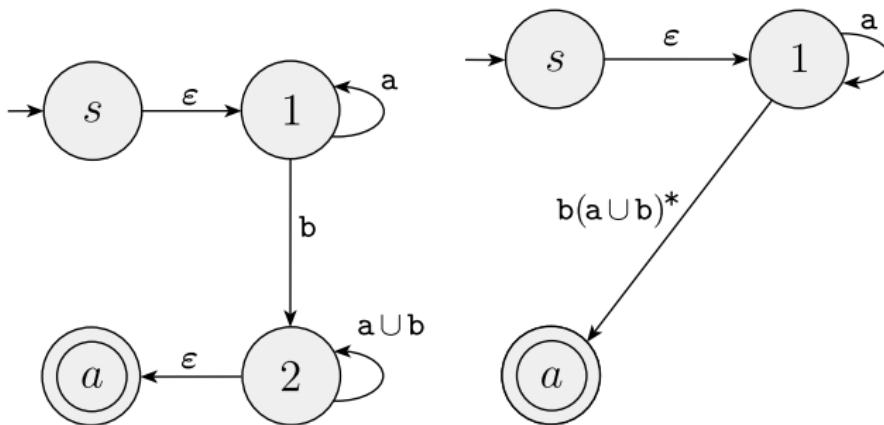
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2.1 Regular Languages

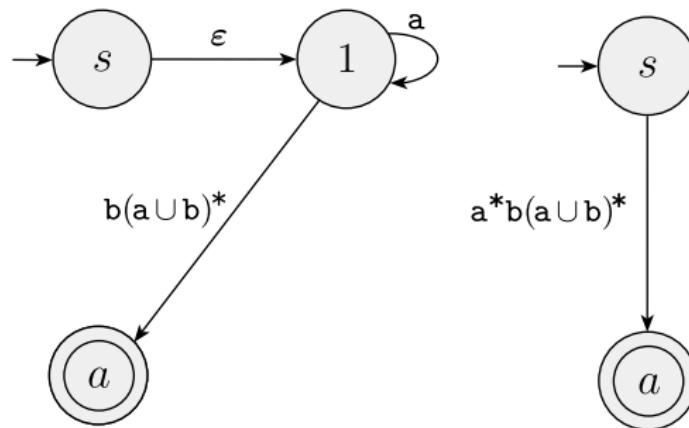
3 Relations to regular expressions ▶ Back to Outline

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2.1 Regular Languages

3 Relations to regular expressions

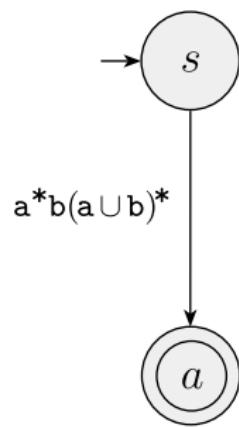
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2.1 Regular Languages

3 Relations to regular expressions

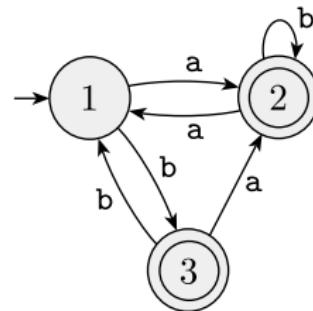
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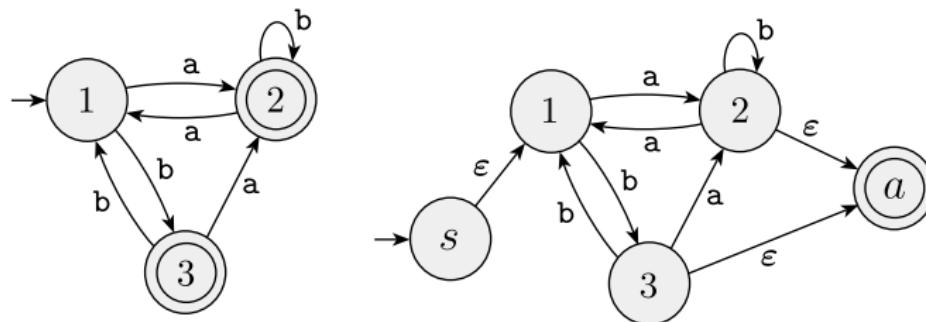
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2.1 Regular Languages

3 Relations to regular expressions

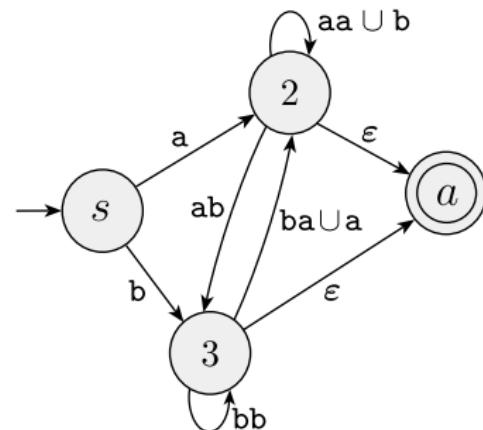
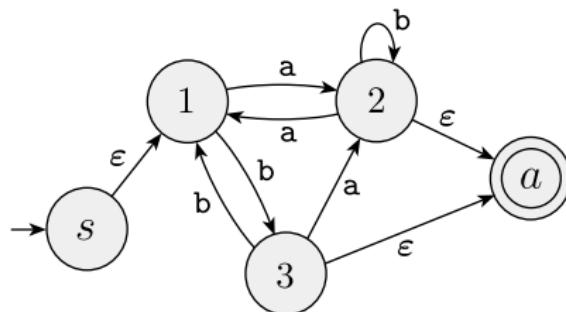
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3 Relations to regular expressions

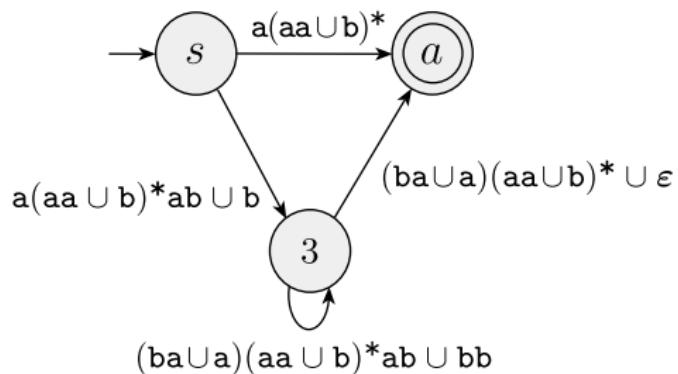
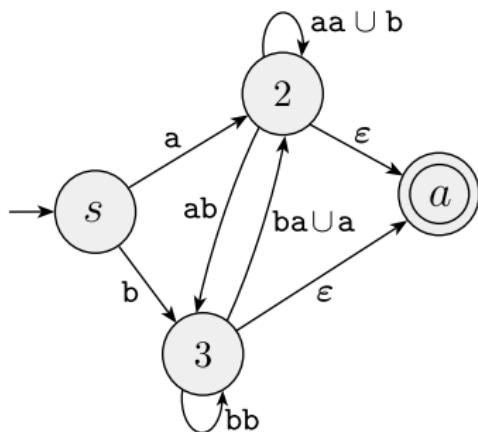
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例 2: From a DFA to a regular expression

[step 1](#)

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2.1 Regular Languages

3 Relations to regular expressions

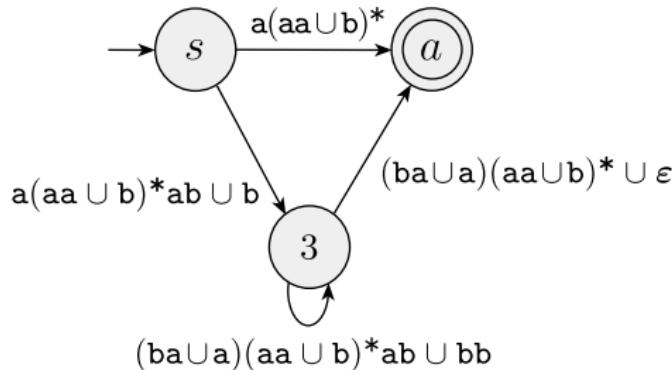
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Lemma 2

例 2: From a DFA to a regular expression

[step 1](#)

[step 2](#)



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2.1 Regular Languages

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Lemma 2

例 2: From a DFA to a regular expression

[step 1](#)

[step 2](#)



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2.1 Regular Languages

3 Relations to regular expressions

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总结:

See: why prove this

引理 1

If a language is described by a *regular expression*, then it is *regular*

引理 2

If a language is *regular*, then it is described by a *regular expression*

定理

A language is *regular*, iff, it is described by a *regular expression*

Outline

1 2 Automata and Languages

2 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism
- Relations to Regular Expressions
- Non-regular Languages

3 Conclusions

2.1 Regular Languages

4 Non-regular Languages

▶ Back to Regular Languages

问: Can a finite automaton recognize *all* languages?

答: Of course not.

问: Any counterexample?

答: $B = \{0^n 1^n \mid n \geq 0\}$

- the machine *seems* to need to *remember how many* 0s have been seen so far as it reads the input
 - Cannot: $C = \{w \mid w \text{ has an equal number of } 0\text{s and } 1\text{s}\}$
 - *Can:* $D = \{w \mid w \text{ has an equal number of occurrences of } 01 \text{ and } 10 \text{ as substrings}\}$

问: How to name the class of languages?

答: *Non-regular* languages.

问: How to prove that a language is *non-regular*

答: 见下页

2.1 Regular Languages

4 Non-regular Languages

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答: 见下页

2.1 Regular Languages

4 Non-regular Languages

▶ Back to Regular Languages

问: How to prove that a language is *non-regular*

准备:

术语: pumping lemma, pumping length

- **pumping lemma**: A theorem, stating that all regular languages have a *special property*.
- **pumping length**: The property states that *all strings* in the language can be *pumped*, if they are at least as *long* as a certain *special value*, called the *pumping length*.
 - That means each such *string contains* a *section* that can be *repeated* any number of times with the *resulting* string *remaining* in the language.

2.1 Regular Languages

4 Non-regular Languages

▶ Back to Regular Languages

定理: Pumping Lemma for regular languages

If A is a *regular language*, then there is a *number* p (the *pumping length*), where if s is any string in A of *length at least* p , then s may be *divided* into *three pieces*, $s = xyz$, satisfying the following conditions:

- ① for each $i \geq 0$, $xy^i z \in A$
- ② $|y| > 0$
- ③ $|xy| \leq p$

证明思路

Let $M = (Q, \Sigma, \delta, q_0, F)$

- $p = \text{number of states}$ of M
- any string s in A of length *at least* p may be broken into the three pieces xyz

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- ① for each $i \geq 0$, $xy^i z \in A$
- ② $|y| > 0$
 - Either x or z may be ε , but $y \neq \varepsilon$
- ③ $|xy| \leq p$

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- ① for each $i \geq 0$, $xy^i z \in A$
- ② $|y| > 0$
- ③ $|xy| \leq p$
 - The pieces x and y together have length at most p

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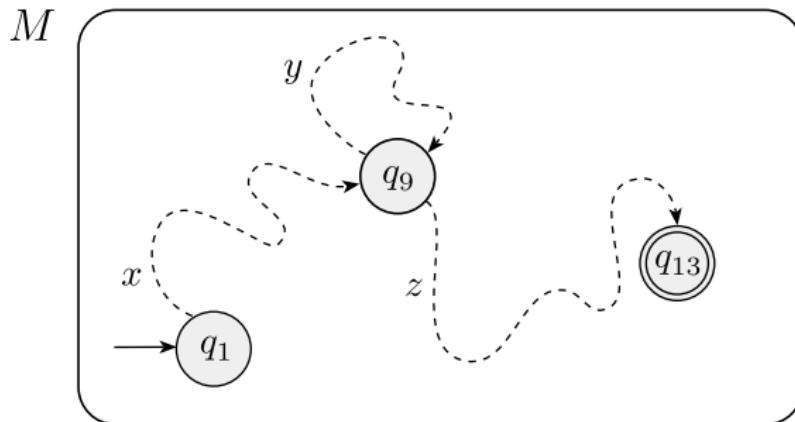
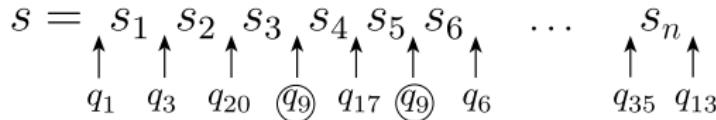
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- Piece x is the part of s appearing before q_9
 - Piece y is the part between the two appearances of q_9
 - Piece z is the remaining part of s

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定理: Pumping Lemma for regular languages

If A is a *regular language*, then ... ▶ Pumping Lemma for Regular Languages

证明思路 (续)

Let $M = (Q, \Sigma, \delta, q_0, F)$

- $p = \text{number of states}$ of M
- any string s in A of length *at least p* may be broken into the three pieces xyz
- If s in A has length at least p , consider the *sequence* of states that M goes through when computing with input s . ▶ Example
 - The *length of sequence* is greater than p
 - The sequence must contain a *repeated state*.
 - Then break s according to the *repeated state*

2.1 Regular Languages

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待证问题

Prove that a language B is *not regular* ▶ Pumping Lemma for Regular Languages

证明思路 (Proof by contradiction)

- ① Assume that B is *regular* in order to obtain a *contradiction*
- ② Find a string s in B that has length p or greater but that *cannot be pumped*
- ③ Demonstrate that s cannot be pumped by *considering all ways* of dividing s into x , y , and z

2.1 Regular Languages

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例: 求证

▶ Pumping Lemma for Regular Languages

Let B be the language $\{0^n1^n \mid n \geq 0\}$. Prove that B is not regular.

证明

① Assume to the contrary that B is regular.

- Let p be the pumping length given by the *pumping lemma*.

② Choose s to be the string 0^p1^p .

- s can be split into three pieces, $s = xyz$
- For any $i \geq 0$, the string $xy^i z$ is in B

③ Consider three cases

- Case 1: The string y consists only of 0s.
- Case 2: The string y consists only of 1s.
- Case 3: The string y consists both 0s and 1s.

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- ② Choose s to be the string 0^p1^p .
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 - For any $i \geq 0$, the string $xy^i z$ is in B
- ③ Consider three cases
 - Case 1: The string y consists only of 0s.
The string $xyyz$ has *more* 0s than 1s and so is not a member of B
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They will be *out of order* with some 1s before 0s

Outline

1 2 Automata and Languages

2 2.1 Regular Languages

- Finite Automata
- Preparation
- Nondeterminism
- Relations to Regular Expressions
- Non-regular Languages

3 Conclusions

总结

• 定义

- finite automaton, DFA
- language
- deterministic, non-deterministic
- NFA
- regular operations
- recognize
- regular language
- closed
- regular expression

• 定理

- Closure, under union, star, concatenation
- NFAs \equiv DFAs
- Regular Expression “ \equiv ” Regular Language
- Pumping Lemma for Regular Languages

• 推论

- NFA “ \equiv ” Regular language

作业

- 1.3 The formal description of a DFA M is $(\{q_1, q_2, q_3, q_4, q_5\}, \{u, d\}, \delta, q_3, \{q_3\})$, where δ is given by the following table. Give the state diagram of this machine.

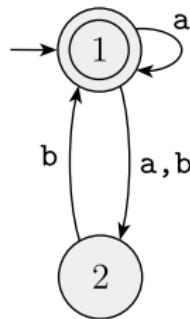
	u	d
q_1	q_1	q_2
q_2	q_1	q_3
q_3	q_2	q_4
q_4	q_3	q_5
q_5	q_4	q_5

- 1.6 Give state diagrams of DFAs recognizing the following languages. In all parts, the alphabet is $\{0,1\}$.

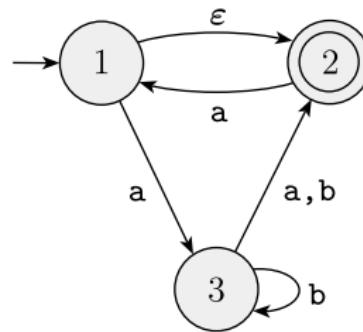
- $\{w \mid w \text{ begins with a } 1 \text{ and ends with a } 0\}$
- $\{w \mid w \text{ contains at least three } 1\text{s}\}$
- $\{w \mid w \text{ contains the substring } 0101 \text{ (i.e., } w = x0101y \text{ for some } x \text{ and } y)\}$

作业

- 1.16 Use the construction given in Theorem 1.39 to convert the following two non-deterministic finite automata to equivalent deterministic finite automata.



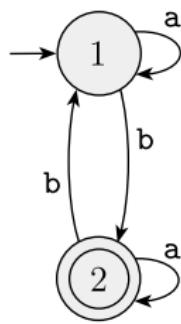
(a)



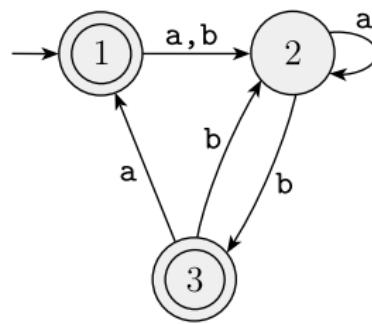
(b)

作业

1.21 Use the procedure described in Lemma 1.60 to convert the following finite automata to regular expressions.



(a)



(b)

1.29 Use the pumping lemma to show that the following languages are not regular.

A. $A_1 = \{0^n 1^n 2^n \mid n \geq 0\}$