

# rss\_ringoccs: User Guide

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# 1 Introduction

The Cassini [Radio Science Subsystem \(RSS\)](#) was used during the Cassini orbital tour of Saturn to observe a superb series of ring occultations that resulted in high-resolution, high-SNR radial profiles of Saturn’s rings at three radio wavelengths: 13 cm (S band), 3.6 cm (X band), and 0.9 cm (Ka band). Radial optical depth profiles of the rings at 1- and 10-km resolution produced by the Cassini RSS team, using state of the art signal processing techniques to remove diffraction effects, are available on the [NASA Planetary Data System \(PDS\)](#).<sup>1</sup> These archived products are likely to be quite adequate for many ring scientists, but for those who wish to generate their own diffraction-reconstructed ring profiles from Cassini RSS observations, we offer `rss_ringoccs`: a suite of Python-based analysis tools for radio occultations of planetary rings.<sup>2</sup>

The purpose of `rss_ringoccs` is to enable scientists to produce “on demand” radial optical depth profiles of Saturn’s rings from the raw RSS data, without requiring deep familiarity with the complex processing steps involved in calibrating the data and correcting for the effects of diffraction. The code and algorithms are extensively documented, providing a starting point for users who wish to test, refine, or optimize the straightforward methods we have employed. Our emphasis has been on clarity, sometimes at the expense of programming efficiency and execution time. `rss_ringoccs` does an excellent job of reproducing existing RSS processed ring occultation data already present on NASA’s PDS Ring-Moon Systems Node, but we make no claim to having achieved the state-of-the-art in every respect. We encourage users to augment our algorithms and to report on those improvements, so that they can be incorporated in future editions of `rss_ringoccs`.

This document provides an introduction to RSS ring occultations, directs users to required and recommended reading, describes in detail how to set up `rss_ringoccs`, and explains how to obtain RSS data files and auxiliary files required by the software. It provides an overview of the processing pipeline, from raw data to final high-resolution radial profiles of the rings, and guides users through a series of simple examples to illustrate the use of `rss_ringoccs`.

## 1.1 Getting help

`rss_ringoccs` is easy to install and use, but if you have questions along the way, please don’t hesitate to get in touch with us. We recommend that you post an issue to the `rss_ringoccs` repository<sup>3</sup> so that other users can join in the conversation, but you are also free to contact the lead author of the project at the email address on the title page of this document.

## 1.2 What is an RSS ring occultation?

Simply put, an RSS ring occultation occurs when a radio signal transmitted from a spacecraft’s [High Gain Antenna \(HGA\)](#) passes through the rings on the way to a [Deep Space Network \(DSN\)](#) receiving antenna on Earth. The received signal at Earth is affected by interactions of the radio signal with the swarm of ring particles, including attenuation, scattering, Doppler-shifting of the signal, and diffraction. We refer to the process of correcting for diffraction to obtain the intrinsic radial optical depth profile of the rings as [diffraction reconstruction](#) or [Fresnel inversion](#), since the correction process is based on the mathematical principles of Fresnel optics.

## 1.3 Overview of Cassini RSS ring observations

Over the course of the Cassini orbital tour of Saturn, the geometry of RSS ring occultations varied due both to changes in the orbiter’s trajectory and to the aspect of the rings as seen from Earth during Saturn’s orbit around the Sun. The opening angle of Saturn’s rings as a function of time as seen from Earth is shown below in **Fig. 1**.

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<sup>1</sup><https://pds-rings.seti.org/cassini/rss/index.html>

<sup>2</sup>`rss_ringoccs` may be obtained from [https://github.com/NASA-Planetary-Science/rss\\_ringoccs](https://github.com/NASA-Planetary-Science/rss_ringoccs)

<sup>3</sup>[https://github.com/NASA-Planetary-Science/rss\\_ringoccs/issues](https://github.com/NASA-Planetary-Science/rss_ringoccs/issues)

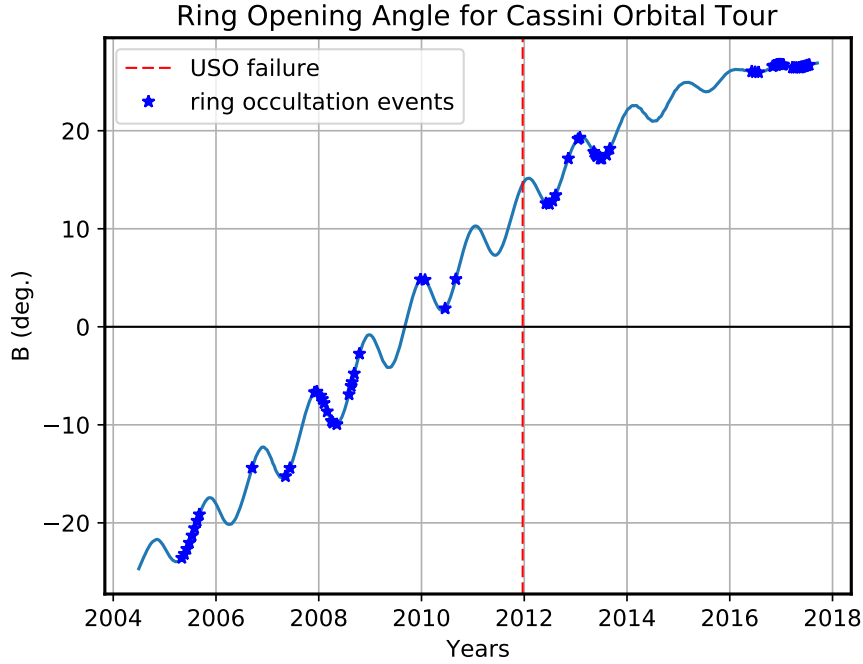
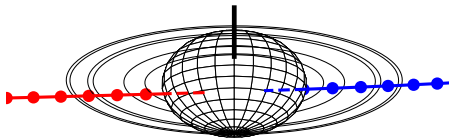
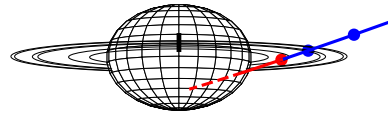


Fig. 1: Ring Opening angle ( $B$ ) vs. Time. Dark line indicates an opening angle of  $B = 0$  where the ring system is edge-on. In 2012, the ultra-stable oscillator (USO) failed, complicating the correction for diffraction effects in the observed signal thereafter.

Individual occultations are identified by the Cassini [rev number](#)  $n$ , corresponding roughly to the  $n^{\text{th}}$  passage of Cassini around Saturn during which the occultation occurred. During the [ingress](#) portion of an occultation, the orbital radius of the intercept point in the ring plane of the incident ray from the spacecraft decreases with time; the radius increases with time during the [egress](#) portion of an occultation. During a [diametric occultation](#), the ingress and egress portions of the occultation are interrupted by passage of the spacecraft behind the planet itself as seen from Earth, resulting in an [atmospheric occultation](#). The view from Earth of the ingress and egress portions of a diametric occultation on Rev 7 is shown in [Fig. 1.2.1](#) below, in blue and red lines, respectively, with the atmospheric occultation portions in dashed lines. During a [chord occultation](#), the ingress and egress occultations are contiguous. The view from Earth of the chord occultation on Rev 54 is shown in [Fig. 1.2.2](#) below.



1.2.1: Rev007



1.2.2: Rev054

Fig. 2: Earth view of Cassini during the Rev007 and Rev054 ring occultation observations.

## 1.4 Cassini RSS ring occultation observations on NASA's PDS

There are two categories of Cassini RSS observations on the PDS: raw data in [Radio Science Receiver \(RSR\)](#) files that contain the digitized spacecraft signal as received at the DSN, and higher-level products (reduced data) that have been processed by the RSS team, including diffraction-reconstructed radial profiles of the optical depth of Saturn's rings and associated geometric and calibration information. `rss.ringoccs` processes raw RSR files and independently produces higher-level products that can be saved as files similar in form and content to those already on the PDS, but with a user-defined radial resolution.

### 1.4.1 Raw RSS data files

The raw data produced by the DSN that contain the original observations of all Cassini occultation observations are recorded in RSR files, described in more detail in the *Cassini Radio Science Users Guide* (Section 1.5.1). During Cassini RSS occultations, RSR files were typically recorded at two bandwidths: 1 kHz and 16 kHz. The `rss_ringoccs` package can handle either version, and they give nearly identical results, although the processing time for the 16 kHz files is slightly longer.

At the present time, only the 16 kHz files are available on the PDS, although there are plans to archive the 1 kHz files if funding and human resources are available (likely during 2019). We provide convenient scripts in `rss_ringoccs` (Section 2.5) to download 16 kHz RSR files from the PDS archive.

### 1.4.2 Higher-level products

Essam Marouf of the Cassini RSS team has produced two sets of higher-level products for ring occultation observations. The first can be found at <https://pds-rings.seti.org/cassini/rss/index.html>. This archive set contains 1- and 10-km resolution diffraction-reconstructed profiles from X-band observations of Revs 7 through 67. First, navigate to the dataset `CORS_8001` and then to the `EASYDATA` subdirectory. Within this directory, occultation data sets are organized by name: `RevXXev_RSS.YYYY.DOY.B##.D/` (e.g., `Rev07E_RSS.2005.123.X43.E/`) where:

- `XX` is the rev number (ex: 07)
- `ev` is the event type (ex: E)
  - I for ingress
  - E for egress
  - CI for ingress portion of chord occultation
  - CE for egress portion of chord occultation
- `YYYY` is the UTC year of the start of the occultation (ex: 2005)
- `DOY` is the UTC day of year of the start of the occultation (ex: 123)
- `B` indicates the frequency band in which the observations were made (ex: X)
- `##` indicates the numeric code for the DSN station from which the observations were made (ex: 43)
- `D` is the direction (I for ingress, E for egress) (ex: E)

Each occultation data set directory contains a set of `*.LBL` label files that describe counterpart `*.TAB` ASCII data tables or a summary PDF file. For example:

- `Rev07E_RSS.2005.123.X43.E.Summary.pdf` contains an overview of the occultation
- `RSS.2005.123.X43.E.CAL.LBL, TAB` contain calibration information
- `RSS.2005.123.X43.E.GEO.LBL, TAB` contain geometry information
- `RSS.2005.123.X43.E.TAU_01KM.LBL, TAB` contain the diffraction-reconstructed optical depth profile of the rings at 1 km processing resolution.
- `RSS.2005.123.X43.E.TAU_10KM.LBL, TAB` contain the diffraction-reconstructed optical depth profile of the rings at 10 km processing resolution.

A second set of higher-level products, the *Cassini RSS Ring Profiles 2018 Archive*, produced by RSS team member Essam Marouf, is currently under peer review by the PDS. It contains reconstructed optical depth profiles at 1- and 10-km processing resolution for all S, X, and Ka-band ring occultation observations from Revs 7 through 137. In addition to the file types enumerated above, this second set of archive produces includes intermediate [diffraction-limited profile \(DLP\)](#) files that contain the normalized *diffraction-limited* observations that are the input for the diffraction reconstruction stage of the analysis<sup>4</sup>. Once this second delivery of higher-level products has been officially accepted by the PDS in its final form, this document will be updated to provide additional details about these higher-level products.

<sup>4</sup>We have used these results to provide independent tests of the ability of `rss_ringoccs` to process the raw data up to the point of diffraction-limited profiles, and to perform the diffraction reconstruction to produce final optical depth profiles.

`rss_ringoccs` has the ability to produce CAL, GEO, DLP, and TAU label and table files that users can compare directly with the two sets of higher-order products just described. For comparability and consistency, the .LBL and .TAB files are in PDS3 format following the *Cassini RSS Ring Profiles 2018 Archive* submitted by Essam Marouf.

## 1.5 Required and recommended reading

With this overview of RSS ring occultation observations and data in hand, we strongly recommend that all users familiarize themselves with several key documents before use of the `rss_ringoccs` package. Our internal documentation of the `rss_ringoccs` code makes frequent reference to the following two documents:

### 1.5.1 Cassini Radio Science User’s Guide

The most complete practical introduction to Cassini RSS ring observations is contained in the *Cassini Radio Science User’s Guide*<sup>5</sup>. We regard this as *required reading*. Chapter 2 describes the *open-loop RSR* files that contain the raw RSS ring occultation data, and Chapter 3.3 summarizes the analysis steps to produce a diffraction reconstruction ring optical depth profile from the observations. *For the remainder of this guide, we will assume that all readers have familiarized themselves with this material.*

### 1.5.2 Marouf, Tyler, and Rosen (1986) - MTR86

The definitive reference for diffraction reconstruction of RSS occultations is [Marouf et al. 1986](#): Marouf, Tyler and Rosen’s classic “Profiling Saturn’s rings by radio occultation” – we refer to this as MTR86. For copyright reasons, we cannot include MTR86 in this GitHub repository, but we highly recommend that scientists making use of radio occultation data have this paper readily at hand. It documents the Fresnel inversion method of diffraction reconstruction, complete with application to Voyager RSS occultation observations of Saturn’s rings. This is *recommended reading* for beginning users of `rss_ringoccs`, and *required reading* for anyone wishing to understand the inner workings of the `rss_ringoccs` software package.

### 1.5.3 For more information...

Readers interested in an overview of Cassini RSS instrumentation and science goals are encouraged to read Kliore et al.’s “Cassini Radio Science” ([Kliore et al. 2004](#)). Scientific results making use of Cassini RSS occultation observations include [Colwell et al. 2009](#), [Moutamid et al. 2016](#), [French et al. 2016a](#), [French et al. 2016b](#), [French et al. 2017](#) and [Marouf et al. 2011](#), [Nicholson et al. 2014a](#), [Nicholson et al. 2014b](#), [Rappaport et al. 2009](#), [Thomson et al. 2007](#).

## 2 Setting Things Up

This section provides step-by-step instructions on setting up the `rss_ringoccs` package and associated data files. We assume that all users are familiar with basic unix commands and introductory-level Python.

### 2.1 System requirements

The `rss_ringoccs` repository has been developed and tested on the following hardware, unix-based operating systems, and shells:

Hardware	Operating System	Shell	GB of RAM
MacBookPro, iMac	MacOS High Sierra 10.13.4	csh, bash	8, 16, and 32
Mac mini	Linux Ubuntu Budgie 16	bash	8
MacBookPro	Linux Ubuntu 16	bash	8
ThinkMate	Linux Debian	bash	32

Table 1: Hardware and Operating Systems

<sup>5</sup> Available from <https://pds-rings.seti.org/cassini/rss/>

## 2.2 Install Python 3 and required packages

`rss_ringoccs` has been tested with Python 3, in particular Python 3.5 and 3.6. Our code has been tested under the following Python configurations:

Operating System	Python Distribution	Version	URL
MacOS 10.13.4	Enthought Canopy	Python 3.5.2	<a href="https://www.enthought.com">https://www.enthought.com</a>
MacOS 10.13.4	Anaconda	Python 3.6.3	<a href="https://www.anaconda.com">https://www.anaconda.com</a>
Linux Ubuntu Budgie 16	Anaconda	Python 3.6.3	<a href="https://www.ubuntu.com">https://www.ubuntu.com</a>
Linux Ubuntu 16	Anaconda	Python 3.6.3	<a href="https://www.ubuntu.com">https://www.ubuntu.com</a>
Linux Debian	Anaconda	Python 3.6.3	<a href="https://www.debian.org">https://www.debian.org</a>

Table 2: Python Versions

Install the following Python packages required by `rss_ringoccs` illustrated here using `pip`.<sup>6</sup> Enter the following commands in a terminal at the unix command line:

```
host:~ user$ pip install matplotlib
host:~ user$ pip install numpy
host:~ user$ pip install pytest
host:~ user$ pip install six
host:~ user$ pip install scipy
```

### 2.2.1 Download and install spiceypy

`rss_ringoccs` makes extensive use of JPL's NAIF SPICE toolkit [Acton 1996](#), a set of software tools to calculate planetary and spacecraft positions, ring occultation geometry, and a host of useful calendar functions.<sup>7</sup> Our software requires `spiceypy`, a Python-based interface to the NAIF toolkit, available from <https://github.com/AndrewAnnex/SpicePy>. Follow the installation instructions on this website, or use `pip`:

```
host:~ user$ pip install spiceypy
```

### 2.2.2 Test spiceypy

To test your installation of `spiceypy`, fire up Python in a terminal at the unix command line and at the `>>>` prompts, enter the following commands, and confirm that `spiceypy` returns  $\pi$  and the speed of light  $c$ :

```
host:~ user$ python
>>> from __future__ import print_function
>>> import spiceypy
>>> print(spiceypy.pi(), spiceypy.clight())
3.141592653589793 299792.458
>>> exit()
```

## 2.3 Download and install the `rss_ringoccs` repository from GitHub

Follow these steps to download and install `rss_ringoccs`:

- Visit [https://github.com/NASA-Planetary-Science/rss\\_ringoccs](https://github.com/NASA-Planetary-Science/rss_ringoccs) and click the green *Clone or Download* pull-down menu at the upper right.
- Download the zip file `rss_ringoccs-master.zip` to your local Downloads directory. For this example, this is `~/Downloads`.
- Identify the destination directory under which you wish to install `rss_ringoccs`. For this example, the destination directory is `~/local`. (This should be on a large-capacity disk drive or partition – 1 TB or larger – since raw Cassini RSS data files are quite large. We recommend that this be routinely backed up.)

<sup>6</sup><https://pip.pypa.io/en/stable/>

<sup>7</sup>See <https://naif.jpl.nasa.gov/naif/index.html>



- Use your favorite utility to unzip the file. This will create the `rss_ringoccs-master` directory and several sub-directories. For example:

```
host:~ user$ cd ~/local
host:~ user$ unzip ~/Downloads/rss_ringoccs-master.zip
host:~ user$ ls -lF rss*
rss_ringoccs-master:
AAREADME.txt
LICENSE
data/
docs/
examples/
kernels/
output/
pipeline/
rss_ringoccs/
tables/
README.md
```

- The current software release of `rss_ringoccs` follows the naming conventions and hierarchy used on the PDS with some minor changes. Below, we provide a brief description of the top-level directories for reference.

```
| -data/..... RSR and other raw science data files
| -docs/..... Documentation
| -examples/..... Example scripts covered in the User's Guide
| -kernels/..... Kernel files from NASA/NAIF/SPICE
| -output/..... *.LBL, *.TAB, pickle, and plot files produced by the software
| -pipeline/..... Pipeline scripts for end-to-end and quick-look execution
                    of the software
| -rss_ringoccs/..... Source code
| -tables/..... Reference files tabulating kernel and RSR files available
                    from NAIF and the PDS
| -tutorials/..... Tutorial demonstrations of the GUIs
```

For a detailed description of the organization of the package, see the `AAREADME.MD` file contained in the top-level directory of the software release: `rss_ringoccs-master`. The so-called “pickle” output file is a binary storage of a Python dictionary output and input by the Python package pickle<sup>8</sup>.

## 2.4 Download the required JPL/NAIF SPICE kernels

The `rss_ringoccs` package makes extensive use of SPICE data (*kernel* files) from JPL/NAIF that specify planetary and spacecraft ephemerides, planetary constants, and other essential information for computing the geometric circumstances of occultations.<sup>9</sup> `rss_ringoccs` contains bash-based shell scripts to automate the retrieval of SPICE kernels from the NAIF website and store them in subdirectories under `rss_ringoccs/kernels/`, following the same directory structure as on the NAIF ftp site. Some of the kernel files are quite large, and will take some time (and significant disk space) to download. For quick setup and testing purposes, a minimal set of essential kernels is required. To download these, navigate to the directory containing the `rss_ringoccs-master` directory, and enter the following commands at the command line of a terminal window:

```
host:~ user$ cd rss_ringoccs-master/pipeline
host:~ user$ ./get_kernels.sh ../examples/Rev007_list_of_kernels.txt ../kernels/
host:~ user$ cd ../kernels
host:~ user$ ls -lR
```

You should have a listing that resembles the following:

<sup>8</sup><https://docs.python.org/3/library/pickle.html>

<sup>9</sup>For detailed information about kernels, visit <https://naif.jpl.nasa.gov/naif/data.html>

```

AAREADME_kernels.txt
naif

./naif:
CASSINI
generic_kernels

./naif/CASSINI:
kernels

./naif/CASSINI/kernels:
lsk
pck
spk

./naif/CASSINI/kernels/lsk:
naif0012.tls

./naif/CASSINI/kernels/pck:
cpck26Feb2009.tpc
earth_000101_180919_180629.bpc

./naif/CASSINI/kernels/spk:
050606R_SCPSE_05114_05132.bsp

./naif/generic_kernels:
spk

./naif/generic_kernels/spk:
planets
stations

./naif/generic_kernels/spk/planets:
de430.bsp

./naif/generic_kernels/spk/stations:
earthstns_itr93_050714.bsp

```

You will notice that there are several Cassini-specific kernel files. Among them are the so-called *reconstructed trajectory* files. For example, `050606R_SCPSE_05114_05132.bsp` is a reconstructed Cassini trajectory file produced on 2005 June 6 (050606) that spans the period 2005 day of year 114 to 132 (05114 to 05132), or April 24 to May 12, 2005. This reconstructed trajectory file is specific to each Rev and should be specified in the meta kernel.

In order to compute the geometry of RSS occultations throughout the Cassini orbital tour, a larger set of these large trajectory files is required. Once you have completed the initial tests of `rss_ringoccs`, we recommend that you download this more complete set of files, using the commands below:

```

host:~ user$ cd rss_ringoccs-master/pipeline
host:~ user$ ./get_kernels.sh ../tables/list_of_kernels.txt ../kernels/

```

The shell script detects whether a given kernel has already been downloaded, so you may interrupt this command if it hasn't run to completion in the time you have available, and repeat the command later, picking up the downloading process where it left off the previous time. (NOTE: if you stop the `get_kernels.sh` script while it is downloading a file, the file may be incomplete but will still be detected by future shell scripts as having been downloaded. You will need to delete incomplete files to restart the download. To check for incomplete files, look in the `lsk`, `pck`, and `spk` directories within the `rss_ringoccs-master/kernels/naif/CASSINI/kernels/` directory and in the `rss_ringoccs-master/kernels/naif/generic/kernels/` directory. The most likely incomplete files will be `.bsp` files located in the `spk/` directory where kernel files for each occultation set of spacecraft and planetary ephemerides are stored; however, it is best to check all kernel directories.) Once you have downloaded the complete set of kernels, you will not need to repeat this process unless JPL releases an

updated set of Cassini trajectory files. We plan to update this documentation and the input files for `get_kernels.sh` if that occurs. The metakernel for the total set of Cassini and Saturn kernels is located in `../tables/Sa-TC17-V001.ker`, which the user will need to reference when running `rss_ringoccs`.

## 2.5 Download essential Cassini RSS raw data files

The `rss_ringoccs` package requires local access to raw Cassini RSS data files (Section 1.4.1). The storage capacity on GitHub is not sufficient to allow even one sample RSR file to be part of the standard download. Instead, as with the kernels files described above, we provide a script to download a minimal set of RSR files for the initial tests of `rss_ringoccs`:

```
host:~ user$ cd rss_ringoccs-master/pipeline
host:~ user$ ./get_rsr_files.sh ../examples/Rev007_list_of_rsr_files.txt ../data/
host:~ user$ cd ../data
host:~ user$ ls -lR
```

You should have a listing the resembles the following:

```
AAREADME_data.txt
co-s-rss-1-sroc1-v10/
  cors_0105/
    sroc1_123/
      rsr/
        s10sroe2005123_0740nnnx43rd.2a2
        s10sroe2005123_0740nnnx43rd.LBL
```

The deeply-nested directory structure of the downloaded data follows that of the PDS website from which the RSR files are retrieved, so that users can easily determine the original source of each RSR file. (Note that only a subset of the RSS files on the PDS is downloaded; the complete PDS distribution contains many additional files that are not needed by `rss_ringoccs`.) The `cors_xxxx` prefix refers to the Cassini Orbiter Radio Science PDS delivery `xxxx`. Underneath these directories are directories with names such as `sroc1_123`, which somewhat cryptically refers to a Saturn ring occultation (`sroc`) on day of year 123 of the year during which the data were taken. The RSR files of interest are located in next level `rsr` subdirectories. A typical name is `s10sroe2005123_0740nnnx43rd.2a2`. Decoded, this occultation was part of Cassini sequence 10, it was part of a Saturn ring occultation (`sro`) – egress (`e`) – in year 2005, day of year 123, beginning at UTC 07:40, recorded at X band (`x`) from Deep Space Network (DSN) station DSS-43. The `r` in `rd.2a2` refers to *right hand circular polarization*, appropriate for all RSS ring occultation observations used by `rss_ringoccs`. For more detailed documentation, see <https://pds.jpl.nasa.gov/ds-view/pds/viewProfile.jsp?dsid=CO-S-RSS-1-SROC1-V1.0>.

## 3 Using `rss_ringoccs`

Here, we provide an overview for using `rss_ringoccs`, including requisite information for writing your own scripts to process the data. Our processing pipeline can be split into roughly four steps: extracting information from the RSR file, calculating occultation geometry, calibrating frequency and power, and performing the Fresnel inversion. Below are descriptions of conventions, instantiating of Python classes, and simple scripts that provide a foundation for one of the two major pipeline approaches: an “end-to-end” implementation and a “quick-look” approach. With `rss_ringoccs` installed, tested, and demonstrated with the Rev 007 data, the user will be in a position to start utilizing the software. Accompanying the software package are example scripts for reference and tutorials for the user.

### 3.1 Python Object Orientated Programming

The `rss_ringoccs` package is written in python in part because it is a strongly but intuitively object-oriented language. To that end, users who are not well-versed in object-oriented programming, particularly in a python context, will need to familiarize themselves with some language associated with the use of objects in python. A *class* is a skeletal framework of functions and variables, the latter of which exist as either undefined or default properties referred to *attributes*. When a class is called by a user (an act referred to as *instantiation*), this creates an *instance* of this class with attributes unique to this specific creation of the class. For more details on object-oriented programming, we suggest online resources such as <https://realpython.com/python3-object-oriented-programming/>.

## 3.2 Conventions and Hierarchy

Here, we list some conventions used in the `rss_ringoccs` directory structure/hierarchy and the names of directories and output files.

- No reference is made within the `rss_ringoccs` package to local directories outside of the top-level `rss_ringoccs-master/` hierarchy of directories.
- The directory structure under `rss_ringoccs-master/` *must* strictly follow that of the original download from the GitHub repository.
- For portability, all references within `rss_ringoccs` software to pathnames to other directories within `rss_ringoccs-master/` are relative, not absolute.
- Unless otherwise noted, all executable scripts and Python programs *must* be run from within the `rss_ringoccs-master/pipeline/` directory. (This is so that relative pathnames will point to the correct directories.)
- Output file names follow the format `RSS_OBSY_DOY_B##_D_INF_RRRRRM_YYMMDD_XXXX.EXT`
  - `OBSY` is the year the observation was made
  - `DOY` is the day of the year the observation was made
  - `B` is the bandpass of the observation
  - `##_` is the DSN station number
  - `D` is the direction of the occultation
  - `INF` is a three-letter reference specifying the information stored within the file (`GEO` for the occultation geometry, `FOF` for the frequency offset, `CAL` for calibration, `DLP` for the DLP, and `TAU` for the diffraction-corrected optical depth profile)
  - `YYMMDD` is the year, month, and date on which the user ran the `rss_ringoccs` code
  - `XXXX` is the `XXXX`th run of `rss_ringoccs` on that date
  - `EXT` is the file extension
  - Only DLP and TAU files contain the `RRRRR` in the nomenclature. For the DLP files, `RRRRR` is the minimum reconstruction resolution (the so-called “DLP resolution” in MRT86) in meters while for TAU files this is the processing resolution selected by the user
  - The output LBL files match those from the PDS with minor changes.

With these caveats in mind, users are highly encouraged to write their own scripts to call upon and make full use of the `rss_ringoccs` package. To that end, we provide example scripts for both pipeline versions as well as specific portions of the pipeline.

## 3.3 Testing `rss_ringoccs`: the Huygens Ringlet

We provide a test of `rss_ringoccs` in the form of a pre-written script in the `examples` directory. With this script, the user produces a 1-km processing resolution diffraction-reconstructed profile of the Saturn’s Huygens ringlet from the Rev007 egress occultation observed at X band from DSS-43. (This is an example included in Section 3.3 of the *Cassini Radio Science User’s Guide*.) The `RSR` file used by this script is the file downloaded by the `./get_rsr_files.sh` script demonstrated in Section 2.5. To speed up the process, we will make use of some pre-computed results, such as the frequency offset calculation and the GUI fits, which are already in the GitHub repository. We have chosen to do this for the initial test for two reasons: the requisite files for the quick-look process are too large to upload onto GitHub, and the complete end-to-end process requires more user interaction than necessary for a primary test. The files provided to perform this test are: 1) a frequency offset file, 2) a pickle file containing residual frequency fit parameters, and 3) a pickle file containing power normalization fit parameters. These files are created during a typical end-to-end run (from raw `RSR` file to diffraction-reconstructed ring profiles). In the next section, we will show how these pre-computed results were obtained in a full-fledged end-to-end demonstration. To run the initial test script, navigate to the `examples` directory and run the Huygens Ringlet test script as shown below.

```

host:~ user$ cd rss_ringoccs-master/examples
host:~ user$ python e2e_run_with_files.py

```

This run will produce a plot of the raw diffraction pattern, optical depth, and phase, as well as the reconstructed power, optical depth, and phase. See Fig. 3 below.

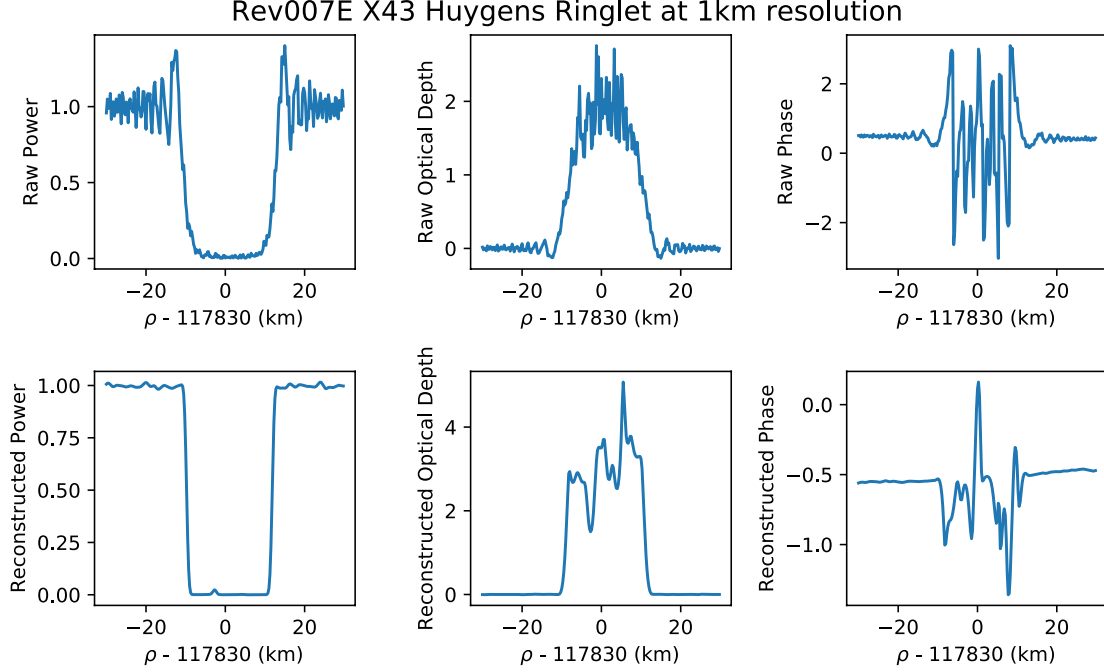


Fig. 3: Power, optical depth, and phase plots produced from Rev007EX43\_HuygensRinglet\_test.py

### 3.4 End-to-end Pipeline: A Second Look at the Huygen’s Ringlet

The “end-to-end” pipeline process is a set of steps that need to be performed only once when processing a given RSR file from scratch. For the initial run, users will need to supply an RSR file, a set of kernels to use, a radial spacing to resample to, and a reconstruction resolution (there are also default keyword inputs documented within each routine). The RSR extraction, geometry calculation, and frequency offset calculation steps are all automated, but GUIs will appear for both calibration steps with initial polynomial fits plotted. If these fits are not good enough, users can change which regions to fit and the polynomial order using the GUI. Once this step has been done, pickle files are generated so that this GUI-step does not need to be redone. Additionally, a text file of the calculated frequency offset is saved so this time-consuming step does not need to be redone. At the end of the end-to-end run, several data files will be generated: geometry files (GEO\*.TAB and GEO\*.LBL), calibration files (FOF\*.TXT, CAL\*.TAB, CAL\*.TAB, FRFP\*.P, and PNFP\*.P), a diffraction-limited-profile file (DLP\*.TAB), and an optical depth reconstruction file (TAU\*.TAB). Each class instantiated in the end-to-end pipeline process corresponds to a specific set of output files which match those on the PDS. Once these files have been produced, users can use the quick-look process for subsequent runs. As a brief overview, an end-to-end script will require instantiating five separate Python classes in succession:

1. `rsr_inst = rss.RSRReader('RSR.filename.a2a')`
  - creates an instance of the `RSRReader` class and stores it in the variable `rsr_inst`
2. `geo_inst = rss.occgeo.Geometry(rsr_inst, 'planet', 'spacecraft', kernels)`
  - creates an instance of the `Geometry` class and stores it in the variable `geo_inst`
  - takes an `RSRReader` instance and user-specified planet, spacecraft, and kernel files
  - calculates, among other things, the radial intercept point  $\rho$  where the Cassini spacecraft radio signal is occulted by the rings
  - produces GEO\*.TAB and GEO\*.LBL files

- these and all subsequent output files are written to a user-specified output directory
3. `cal_inst = rss.calibration.Calibration(rsr_inst,geo_inst)`
    - creates an instance of the `Calibration` class and stores it in the variable `cal_inst`
    - takes the `RSRReader` (`rsr_inst`) and `Geometry` (`geom_inst`) instances
    - this instance contains the calibrations necessary to convert the raw data into a diffraction-limited radial ring profile
    - calculates the observed frequency of the spacecraft signal to correct the real and imaginary components of the transmittance ( $I$  and  $Q$ ), then estimates the intrinsic received power over the entire occultation
    - produces `CAL*.TAB` and `CAL*.LBL` files following the naming convention for the GEO files
  4. `dlp_inst = rss.calibration.NormDiff(rsr_inst,dr,geo_inst,cal_inst)`
    - creates an instance of the `NormDiff` class and stores it in the variable `dlp_inst`
    - takes the `RSRReader`, `Geometry`, and `Calibration` instances
    - contains as attributes the DLP as calibrated and reduced by the previous classes
    - optional input of radial sampling rate `dr_km_desired` in kilometers
    - calculates the normalized power  $P/P_0$  and the corresponding diffraction-limited optical depth profile assuming  $\tau = -\sin B \ln(P/P_0)$
    - produces `DLP*.TAB` and `DLP*.LBL` files with the same naming convention as the GEO and CAL files with the additional `RRRR` indicator
  5. `tau_inst = rss.diffcorr.DiffractionCorrection(dlp_inst,res_km)`
    - creates an instance of the `DiffractionCorrection` class and stores it in the variable `tau_inst`
    - takes the `NormDiff` instance and a user-specified reconstruction resolution `res_km` in kilometers
    - calculates the optical depth profile with reconstruction by accounting for diffraction effects by means of Fresnel inversion at the user-specified reconstruction resolution
    - produces `TAU*.TAB` and `TAU*.LBL` files following the same naming convention as the DLP files except that `RRRR` here indicates the reconstruction resolution selected by the user when instantiating the `DiffractionCorrection()` class

As a demonstration of this end-to-end pipeline, we provide an example script which again examines the Huygens Ringlet, allowing users to compare their own end-to-end results to those produced by our test script with provided geometry and calibration results. To execute this script, follow the example below.

```
host:~ user$ cd rss_ringoccs-master/examples
host:~ user$ python e2e_run.py
```

This will generate two successive GUIs. The first provides interactive polynomial fitting to the frequency offset residuals, wherein the user may assess the quality of the polynomial fit, change the order of the polynomial fit (default is ninth order), and optionally specify mask regions. The second provides interactive spline fitting to the power of the frequency-corrected spacecraft instrument when the spacecraft is not being occulted by ring material (so-called free-space regions of the total occultation data set). Predicted regions of free space are used in the initial fit and highlighted for the user. The user may change the spline order (default is quadratic) and regions of free space interactively and assess the spline results before continuing. Free space is selected in the same manner as the frequency offset residuals. For details on use of the GUIs, see Appendices B and C.

### 3.5 Quick-Look Method: Comparing Profiles Reconstructed at Different Resolutions

The quick look approach allows users to save computation time by utilizing pre-computed data files. For these runs, users start directly at the Fresnel inversion step of the pipeline, provided they have a set of `GEO*.TAB`, `CAL*.TAB`, and `DLP*.TAB` files. Users must specify the relative file path(s) and desired `*.TAB` files to the `tools.ExtractCSVData()` class to create a DLP instance like the one instantiated

from `calibration.NormDiff()` in the end-to-end pipeline. This DLP instance can then be passed to the `diffcorr.DiffractionCorrection()` class.

Continuing with the RSR file downloaded in Section 2.5, we provide an example script to demonstrate diffraction-reconstructed optical depth profiles at different reconstruction resolutions for the Maxwell Ringlet. Before running, users will need to open the script in a text editor and change the `*file` variables to include the appropriate file names as a string after the pre-specified path. For the first set of Rev007 files output by the `e2e_run.py` script, this might resemble

```
data_dir = '../output/Rev007/E/Rev007E_RSS_2005_123_X43_E/'
geo_file = data_dir + 'RSS_2005_123_X43_E_GEO_20180926_0001.TAB'
cal_file = data_dir + 'RSS_2005_123_X43_E_CAL_20180926_0001.TAB'
dlp_file = data_dir + 'RSS_2005_123_X43_E_DLP_0100M_20180926_0001.TAB'
```

To execute the example quick-look script, follow the example below

```
host:~ user$ cd rss_ringoccs-master/examples
host:~ user$ python quick_look_run.py
```

This will produce optical depth profiles at four different reconstruction resolutions: 1 km, 0.75 km, 0.5 km, and 0.25 km for the Rev007 egress X band observation processed by the end-to-end script in Section 3.4. Running the script as shown above will produce the plot in Figure 4.

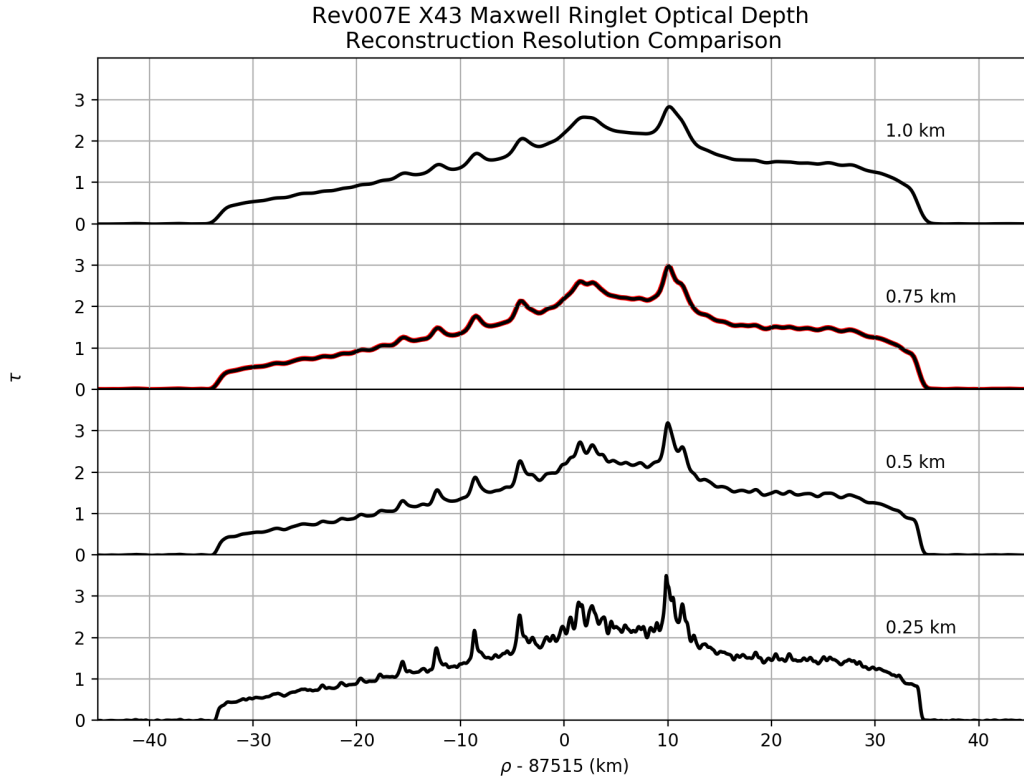


Fig. 4: Optical depth profile for the Maxwell Ringlet from the Rev007E X43 occultation reconstructed at 1 km, 0.75 km, 0.5 km, and 0.25 m resolution. Solid black lines are the optical depth profile produced by the quick-look example script at various processing resolutions indicated by the plot text. For reference and validation, the solid red line is the 1 km reconstruction resolution profile obtained from the PDS3.

## 4 A detailed look at the `rss_ringoccs` software package

### 4.1 Pipeline Outline

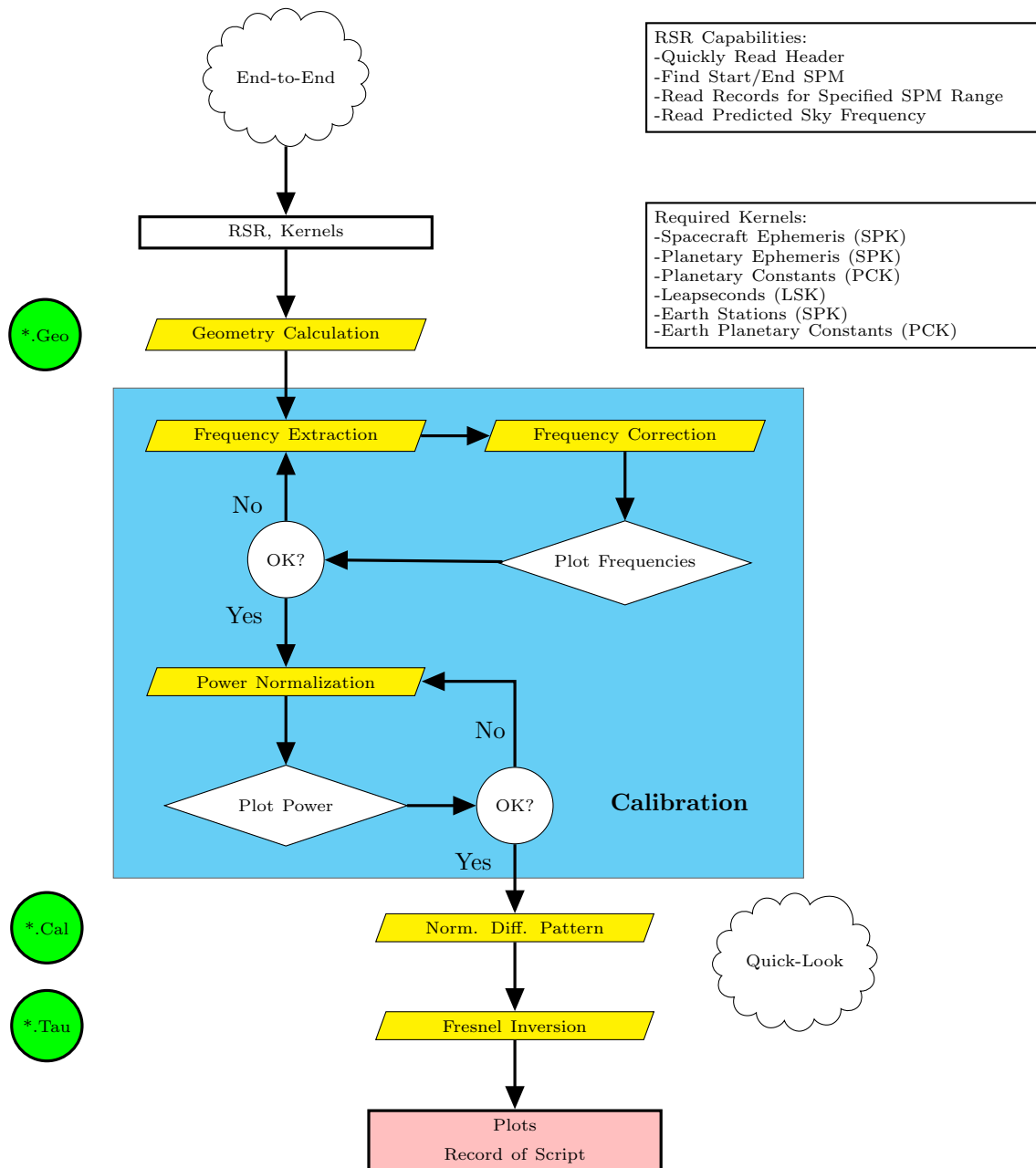


Fig. 5: Data processing pipeline, with main steps in yellow, inputs in brackets above the main steps, files in bright green, intermediate plots as white rhombuses, test conditions as white circles, and test decisions as red and green circles.

### 4.2 RSR Reader

This class, when instantiated with a linked RSR file, includes the raw data and some accompanying non-geometric metadata. Details pending.

### 4.3 Occultation geometry routines

The routines in `occgeo/` depend heavily on the NAIF SPICE Toolkit and are geared towards reproducing all occultation geometry parameters documented in the *Cassini\_RSS\_Ring\_Profiles\_2018\_Archive* submission (see Table 3).



Symbol	Parameter Name	Geometry Attribute
$t_{OET}$	OBSERVED EVENT TIME	t_oet_spm_vals
$t_{RET}$	RING EVENT TIME	t_ret_spm_vals
$t_{SET}$	SPACECRAFT EVENT TIME	t_set_spm_vals
$\rho$	RING RADIUS	rho_km_vals
$\phi_{RL}$	RING LONGITUDE	phi_rl_deg_vals
$\phi_{ORA}$	OBSERVED RING AZIMUTH	phi_ora_deg_vals
$B$	OBSERVED RING ELEVATION	B_deg_vals
$D$	SPACECRAFT TO RING INTERCEPT DISTANCE	D_km_vals
$V_{rad}$	RING INTERCEPT RADIAL VELOCITY	rho_dot_kms_vals
$V_{az}$	RING INTERCEPT AZIMUTHAL VELOCITY	phi_rl_dot_kms_vals
$F$	FRESNEL SCALE	F_km_vals
$R_{imp}$	IMPACT RADIUS	R_imp_km_vals
$r_x$	SPACECRAFT POSITION X	rx_km_vals
$r_y$	SPACECRAFT POSITION Y	ry_km_vals
$r_z$	SPACECRAFT POSITION Z	rz_km_vals
$v_x$	SPACECRAFT VELOCITY X	vx_kms_vals
$v_y$	SPACECRAFT VELOCITY Y	vy_kms_vals
$v_z$	SPACECRAFT VELOCITY Z	vz_kms_vals

Table 3: Glossary of parameters in \*GEO.TAB file in PDS submission *Cassini-RSS-Ring-Profiles.2018-Archive* and their corresponding attribute names within the **Geometry** class.

In addition to these parameters, **Geometry** also calculates the elevation angle of the observation at the DSN station, the optical depth enhancement factor  $\beta$ , and the effective ring opening angle  $B_{eff}$ .

To create an instance of **Geometry**, or `geo_inst`, users will need an instance of the **RSRReader** class (`rsr_inst`), a target planet, a target spacecraft, a set of kernels over the duration of the RSR file used in `rsr_inst`, and, optionally, a desired number of points per seconds for all calculations (the default is 1 point per second). For choosing an RSR file, which is the only input necessary for instantiating **RSRReader**, see Section 5.2.

#### 4.4 Calibration routines

All of the routines to produce a calibrated diffraction pattern are in the `calibration/` directory in the `rss.ringoccs` package. Each of them performs a portion of the frequency and power calibration steps. We will describe these routines in the order in which they are used.

The `calc_freq_offset` function calculates the frequency offset as a function of time. Its one mandatory argument is an instance of the **RSRReader** class (`rsr_inst`). Its optional inputs are `dt_freq` for the time spacing at which you want it to calculate frequency offset (default is 8.192 seconds; to avoid rounding error, we suggest choosing a frequency offset that is a power of two, which is easily represented in binary), `cpu_count` for the number of CPU cores to use in multiprocessing (default is the number of CPU cores on the user's local machine), `freq_offset_file` for a string specifying where you want to store the text file of saved values (defaults to None to save no file), and `verbose` for a Boolean specifying whether to print out intermediate steps or results. To calculate frequency offset, we use numpy's FFT class to compute the frequency components of the raw measured complex signal for one time bin of width `dt_freq`. `calc_freq_offset` estimates the frequency corresponding to the peak in the power spectrum near the center of the bandwidth by iteratively decreasing the frequency spacing and bin width. This returns a final estimate for the frequency offset after three iterations.

Symbol	Parameter Name	Calibration Attribute
$t_{OET}$	OBSERVED EVENT TIME	t_oet_spm_vals
$f_{sky}$	SKY FREQUENCY	rho_corr_pole_km_vals
$f_{resid}$	RESIDUAL FREQUENCY	rho_corr_timing_km_vals
$P_{free}$	FREESPACE POWER	tau_norm_vals

Table 4: Glossary of calibration data in the CAL files.

The **FreqOffsetFit** class fits a polynomial to the frequency offset calculated in the previous step. The mandatory arguments are an instance of the **RSRReader** class (**rsr\_inst**), an instance of the **Geometry** class (**geo\_inst**), the SPM and frequency offset output from the **calc\_freq\_offset** function (**f\_spm** and **f\_offset**), and the USO frequency for the band of the RSR file (**f\_uso**). Its optional inputs are **poly\_order** for the order of the polynomial fit (default of 9), **spm\_include** for the SPM regions to include when making the fit (default of None to use hard-coded ring radius regions), **sc\_name** for the spacecraft name (for now, always keep at the default of “Cassini”), **USE\_GUI** for a Boolean specifying whether or not to use the GUI (default of True), and **verbose** for a Boolean specifying whether to print intermediate steps or results to the command line. When an instance of the class is made, it calculates predicted and reconstructed sky frequency, and calculates the residual frequency from these and the input frequency offset. This portion uses the **calc\_f\_sky\_recon** function. The **set\_f\_sky\_resid\_fit** method of the class has all the same optional inputs as the instantiation of the class except for **sc\_name**. This method calls the GUI to make a fit by default, which uses the **FResidFitGUI** class. Any input in the GUI or with the keywords in the command line will override the default fit. After making a satisfactory fit, there is a method called **get\_IQ\_c** to get the frequency corrected complex signal at raw resolution with the corresponding set of SPM.

After frequency calibration, the **Normalization** class makes a spline fit to power in order to normalize it to 1. In instantiation of the class, the mandatory arguments are raw resolution SPM and frequency corrected complex signal (**spm\_raw** and **IQ\_c\_raw**), an instance of the **Geometry** class (**geo\_inst**), and an instance of the **RSRReader** class (**rsr\_inst**). The one optional keyword is **verbose** for a Boolean specifying whether you want to print out intermediate steps or results. Calling the **get\_spline\_fit** method returns a spline fit to power with corresponding SPM. The method downsamples raw-resolution frequency-corrected complex signal, then makes a default fit to the resulting power using hard-coded ring radius free-space regions and knots. This method also calls the GUI unless otherwise specified with the **USE\_GUI** keyword, which calls the **PowerFitGui** class.

The **Calibration** class takes the results of the calibration processes and puts it into one place. It creates an instance/object version of the CAL file. The mandatory arguments are an instance of the **FreqOffsetFit** class (**fit\_inst**), an instance of the **Normalization** class (**norm\_inst**), and an instance of the **Geometry** class (**geo\_inst**). The optional keywords are **dt\_cal** for the time spacing of the attributes in the instance (default of 0.1 seconds), and **verbose** for a Boolean specifying whether you want to print out intermediate steps or results. All this routine does is conglomerate the results of the two calibration steps into one place that is an instance version of the CAL file.

## 4.5 Diffraction-limited Profile Routines

Symbol	Parameter Name	NormDiff Attribute
$\rho$	RING RADIUS	rho_km_vals
$\Delta\rho_{IP}$	RADIUS CORRECTION DUE TO IMPROVED POLE	rho_corr_pole_km_vals
$\Delta\rho_{TO}$	RADIUS CORRECTION DUE TO TIMING OFFSET	rho_corr_timing_km_vals
$\phi_{RL}$	RING LONGITUDE	phi_rl_rad_vals
$\phi_{ORA}$	OBSERVED RING AZIMUTH	phi_ora_rad_vals
$\tau$	NORMAL OPTICAL DEPTH	tau_norm_vals
$\phi$	PHASE SHIFT	phase_rad_vals
$\tau_{TH}$	NORMAL OPTICAL DEPTH THRESHOLD	tau_threshold_vals
$t_{OET}$	OBSERVED EVENT TIME	t_oet_spm_vals
$t_{RET}$	RING EVENT TIME	t_ret_spm_vals
$t_{SET}$	SPACECRAFT EVENT TIME	t_set_spm_vals
$B$	OBSERVED RING ELEVATION	B_rad_vals

Table 5: Glossary of optical depth, phase shift, and selected geometry parameters contained in the TAU files.

The **NormDiff** class takes the calibration results and produces a final frequency-calibrated, normalized diffraction pattern resampled to uniformly-spaced ring radius. The mandatory arguments are an instance of the **RSRReader** class (**rsr\_inst**), the radial spacing to which to resample (**dr\_km**), an instance of the

Geometry class (`geo_inst`), and an instance of the `Calibration` class (`cal_inst`). The optional keywords are `dr_km_tol` for the maximum radius to be away from an even number of `dr_km` (default of 0.01 km), `is_chord` to specify whether the occultation is a chord occultation (default of False), and `verbose` for a Boolean specifying whether you want to print out intermediate steps or results. This routine conglomerates everything needed for a DLP file, which involves resampling to uniform radius using the `resample_IQ` function. If it is a chord occultation, the `chord_split` method will return two different instances of the class, one for ingress and the other for egress.

## 4.6 Diffraction reconstruction routines

Symbol	Parameter Name	Attribute Name
$\Delta R$	RECONSTRUCTION RESOLUTION (KM)	res
$\rho$	RING RADIUS	rho_km_vals
$\Delta\rho_{IP}$	RADIUS CORRECTION DUE TO IMPROVED POLE	rho_corr_pole_km_vals
$\Delta\rho_{TO}$	RADIUS CORRECTION DUE TO TIMING OFFSET	rho_corr_timing_km_vals
$\phi_{RL}$	RING LONGITUDE	phi_rl_rad_vals
$\phi_{ORA}$	OBSERVED RING AZIMUTH	phi_rad_vals
$\tau$	NORMAL OPTICAL DEPTH	tau_vals
$\phi$	PHASE SHIFT	phase_rad_vals
$\tau_{TH}$	NORMAL OPTICAL DEPTH THRESHOLD	tau_threshold_vals
$t_{OET}$	OBSERVED EVENT TIME	t_oet_spm_vals
$t_{RET}$	RING EVENT TIME	t_ret_spm_vals
$t_{SET}$	SPACECRAFT EVENT TIME	t_set_spm_vals
$B$	OBSERVED RING ELEVATION	B_rad_vals
$w$	WINDOW WIDTH FOR RECONSTRUCTION	w_km_vals
$f_{sky}$	SKY FREQUENCY	f_sky_hz_vals
$F$	FRESNEL SCALE	F_km_vals
$D$	SPACECRAFT-RIP DISTANCE	D_km_vals
$\hat{T}$	DIFFRACTED COMPLEX TRANSMITTANCE	T_hat_vals
$T$	RECONSTRUCTED COMPLEX TRANSMITTANCE	T_vals

Table 6: Glossary of optical depth, phase shift, and selected geometry parameters contained in files CARL.TAU\_01KM.TAB and RSS\_2005\_123\_X43\_E.TAU\_10KM.TAB. See companion label (.LBL) files for description of the data.

All of the diffraction reconstruction tools are located within the `diffrec` subpackage of `rss_ringoccs`. One can find simple tools for correcting the diffraction pattern contained in an instance of the `NormDiff` class, as well as complex tools for modelling problems and comparing them with real world data and geometry. The main dependency is the `numpy` package, but some functions also rely on tools found in the `scipy` package. The subpackage is broken into 4 submodules: `advanced_tools`, `diffraction_correction`, `special_functions`, and `window_functions`. `diffraction_correction` is the primary tool and this contains the `DiffractionCorrection` class, which is the main utility for creating diffraction-reconstructed profiles of radio occultation observations. The syntax goes as follows:

```
In [1]: from rss_ringoccs import diffrec
In [2]: rec = diffrec.DiffractionCorrection(norm_inst, res)
```

Here, `norm_inst` is an instance of the `NormDiff` class containing the diffracted data and `res` is the user-requested processing resolution of the reconstructed profiles in kilometers and expressed as a floating point number. There are many keywords in this class that allow the user to specify how the diffraction correction will be performed.

Below is a brief description of the various keywords and arguments for this class.

## DiffractionCorrection Arguments

norm\_inst:

An instance of the NormDiff class which contains all of the necessary variables to perform diffraction reconstruction. This includes the geometry of the occultation, the diffracted raw power, and the phase.

res:

The user-requested resolution, specified in kilometers, of the reconstructed profiles. This is a positive floating point number. It is bounded below by twice the sample spacing that is contained in the NormDiff class, in adherence to the sampling theorem. Requests for smaller resolutions will produce an error message.

## DiffractionCorrection Keywords

rng:

The requested range for reconstruction. This can be either a list or a string, depending on the planet. Preferred inputs are lists of the form  $\text{rng} = [a, b]$ , where  $a$  is the starting point for reconstruction, and  $b$  is the final point. Values should be positive floating point numbers, or positive integers. For Saturn, several regions of interest are already specified and can be loaded in as strings. For example,  $\text{rng} = \text{"maxwell"}$  is a valid input and will result in a reconstruction over the range  $[87410, 87610]$ . Currently acceptable strings are: 'all', 'cringripples', 'encke', 'janusepimetheus', 'maxwell', 'titan', 'huygens'. Please use  $\text{rng} = [a, b]$  for planets other than Saturn.

wtype:

A string specifying the requested window/tapering function to be applied during the reconstruction process. By default a Kaiser-Bessel function with  $\alpha$  parameter set to 2.5 is used. The user may select any of the following: 'rect', 'coss', 'kb20', 'kb25', 'kb35', 'kbmd20', 'kbmd25', which stand for rectangular window, squared cosine window, and various Kaiser-Bessel windows. The 'kbmd' windows are modified versions of the Kaiser-Bessel functions. The standard ones do not tend to zero at the edge of the window, but rather converge to  $1/I_0(\alpha\pi)$ , where  $I_0$  is the modified Bessel function. For large  $\alpha$  this is essentially zero, but for small values this discontinuity in the window can cause unwanted fringe effects. The modification simply makes the function zero at the edges, while still evaluating to 1 at the center.

fwd:

A Boolean for determining whether or not a forward calculation will be performed at the end of reconstruction. If the reconstruction went well, this forward model should match the data to the extent of smoothing effects caused by the finite positive resolution that was requested. Default is set to False.

norm:

A Boolean for determining whether or not to normalize the reconstruction by the window width. The reconstruction is defined as:

$$T(\rho) = \frac{1-i}{2F} \int_{-W/2}^{W/2} \hat{T}(\rho_0) w(\rho - \rho_0) e^{-i\psi(\rho, \rho_0)} d\rho_0$$

Where  $\hat{T}$  is the diffracted data,  $\exp(-i\psi)$  is the Fresnel Kernel,  $w$  is the window function, and  $W$  is the window width. A quick look at this equation shows that as the window width goes to zero, so does the reconstruction. That means for large resolutions (10-km, or so), the reconstruction will be a small fraction of the input data. To correct this we offer the ability to normalize the reconstruction by the following factor:

$$N = \frac{\int_{-\infty}^{\infty} e^{i\psi} d\rho}{\int_{-W/2}^{W/2} w e^{i\psi} d\rho}$$

Default is set to True.

verbose:

Boolean for printing out status reports on the reconstruction. Default is False.

## DiffractionCorrection Keywords (Cont.)

bfac:

A Boolean for determining how to compute the window width about a particular point. The standard definition of the window width is  $W = 2F^2/\Delta R$  Where  $F$  is the Fresnel Scale and  $\Delta R$  is the requested resolution. However, when the Allen deviation is to large, or when the velocity of ring intercept point ( $d\rho/dt$ ) is small, the resolution is defined as:

$$\Delta R = \frac{2F^2}{W} \frac{b/2}{\exp(-b) + b - 1}$$

Where  $b = \omega^2 \sigma^2 W / 2\dot{\rho}$ . Here,  $\omega$  is the angular frequency,  $\sigma$  is the Allen deviation, and  $\dot{\rho}$  is the ring intercept velocity. Solving for  $W$  then becomes a process of inverting this equation, which requires the use of LambertW functions. Setting bfac=True will compute the window width taking into account this “b” factor, setting bfac=False uses the standard  $2F^2/\Delta R$ . Default is True.

sigma:

The Allen deviation. This is a positive floating point number. If bfac=False is set, this parameter is ignored. See bfac for more documentation.

fft:

A Boolean for determining whether or not to use FFT’s for the computation. When the Fresnel Kernel (See the equation listed under the “norm” keyword) is of the form  $\exp(i\psi(\rho - \rho_0))$ , the convolution theorem may be applied to compute the reconstruction. This can greatly improve computation time. Default is False.

psitype:

A string that will determine how the Fresnel Kernel will be approximated (See the equation listed under then “norm” keyword). Currently there are several options: ‘full’, ‘mtr2’, ‘mtr3’, ‘mtr4’, and ‘fresnel’. None of the options are case-sensitive. The MTR options compute  $\psi$  as an  $n^{th}$  degree polynomial by fitting a polynomial that matches  $\psi$  at the endpoint, and 3 midpoints. “Full” uses no approximation, and  $\psi$  will be computed outright. “Fresnel” uses the classic Quadratic Fresnel Approximation, which is the basis of much of Fresnel optics. This approximation sets  $\psi = \frac{\pi}{2}(\frac{\rho - \rho_0}{F})^2$ . Because of the simplicity of the computation, using ‘fresnel’ is by far the fastest when it comes to computation time. For many occultations, such as Cassini’s rev007, the discrepancy between the reconstructions using ‘fresnel’ and ‘full’ are less than double precision. For more pathological data sets, such as rev133, there are severe differences. The user must be keen to note when various approximations are valid. Default is psitype=‘full’.

write\_file:

A Boolean for determining if the \*.TAU file will be written.

Below is a detailed description of the example included in the `quick.look.run.py` script discussed in Section 3.5. As with the quick-look script itself, this example assumes that the user has available the requisite \*.TAB files generated by the example end-to-end script covered in Section 3.4. The `ExtractCSVData` class covered in the next section can be used to extract information from the \*.TAB files and reconstruct the DLP instance `norm_inst`.

```
In [1]: geo = "../Data/GEO.TAB"
In [2]: cal = "../Data/CAL.TAB"
In [3]: dlp = "../Data/DLP.TAB"
In [4]: from rss_ringoccs.tools import ExtractCSVData
In [5]: from rss_ringoccs import diffrec
In [6]: norm_inst = ExtractCSVData(geo, cal, dlp, verbose=False)
In [7]: tau_inst = diffrec.DiffractionCorrection(
...: norm_inst, 1.0, rng="all", psitype="fresnel")
Computation Time: 12.491324
```

Note the 12 second reconstruction time, due in part to the ‘Fresnel’ setting for psitype and 1 km resolution. Running `DiffractionCorrection` with the default keywords resembles

```
In [8]: tau_inst_defaults = diffrec.DiffractionCorrection(data, 1.0)
Computation Time: 108.427886
In [9]: tau_inst_fullrange = diffrec.DiffractionCorrection(data, 1.0, rng="all")
Computation Time: 76.470007
```

Several things to note here, the first of which being time. The default for `psitype` is ‘full’, and the computation of  $\psi$  is one of the slowest parts in the entire diffraction reconstruction algorithm. In particular, the computation of the *stationary phase* (The value at which  $\partial\psi/\partial\phi = 0$ . See MTR86). Since ‘Fresnel’ skips all of this, we see a massive reduction in computation time. The data set that was used in this example comes from the Cassini rev007E occultation observation. We can check the validity of Fresnel approximation for this set by looking at the difference in the two reconstructions. Note that since the power is normalized to 1, this is both fractional and absolute error.

```
In [10]: import numpy as np
In [11]: np.max(np.abs(recf.power_vals-rec.power_vals))
Out [11]: 0.00016784579326811766
In [12]: np.mean(np.abs(recf.power_vals-rec.power_vals))
Out [12]: 3.5374768401331293e-06
```

The Fresnel approximation works tremendously well here. Another thing to note is that there is a large discrepancy in the computation time for when `verbose=True` and `verbose=False` is set. Since `verbose` prints out pieces of information for every point that is being reconstructed, the Python interpreter needs to wait for the line to be printed before it can process the next point. In most cases this is not an issue, but when speed is crucial it may be better to leave `verbose` set to `False`.

## 4.7 Utility routines

Within our `tools/` subpackage, we have provided several routines, the `pds3_*.series.py` scripts, to read and write PDS3-type data and label files. The products of these routines have not been thoroughly checked for PDS-compliance. `rss_ringoccs` manages the file naming conventions and calling of the `pds3_*.series.py` scripts by means of the `write_output_files.py` script.

Another utility in the subpackage, `write_intermediate_files`, outputs three files relevant to the calibration step of the end-to-end pipeline:

1. `*FOF*.txt` – an ascii text file which contains the initial estimates of the frequency offset found by computationally expensive batches of FFTs
2. `*FRFP*.P` – a pickle binary file which contains a python dictionary of the coefficients of the polynomial fit to the residual frequency offset
3. `*PNFP*` – a pickle binary file which contains the python dictionary of the B-spline coefficients of the spline fit to the free space power

A separate tool built into the software as a keyword argument allows users to choose any one or pair of pickle files to circumvent the GUI steps of the pipeline. The keyword `file_search` can be set in the calibration instance to read specific versions of one or both of the pickle files (most relevant if a user wants to examine the change in the end product, the diffraction reconstructed optical depth profile, if, say, a different spline or polynomial order is chosen or a different set of fit regions are selected). `file_search` can be set to `None`, one string with the appropriate relative path and pickle file name, or a list of two strings specifying the relative path and names of both desired pickle files.

Also included as a utility for the quick-look pipeline is the `ExtractCSVData`, a tool for extracting information from the `*.TAB` files and reconstruct the DLP instance `norm_inst`. This can be found in the `CSV_tools` submodule of the `tools` subpackage, all contained within `rss_ringoccs`. The steps for importing are shown on the following page. The `ExtractCSVData` imports user-specified `*.TAB` files produced by the end-to-end pipeline and uses them to construct an instance of the `NormDiff` class that can then be passed on for diffraction reconstruction as a part of the quick-look process. This way, the pipeline only needs to be run once on a given data set, and then the user may experiment with different resolutions, window functions, etc., on the diffracted data without repeated time consuming steps. For example, from the master directory of `rss_ringoccs`, one could use `ipython` to implement the following to construct a `NormDiff` instance from `*.TAB` files output from a previous run.

```

ser$ cd ~/Research/rss_ringoccs-master
ser$ ipython
path = '../output/Rev007/E/Rev007E_RSS_2005_123_X43_E/'
geo = path+'RSS_2005_123_X43_E_GEO_20180926_0001.TAB'
cal = path+'RSS_2005_123_X43_E_CAL_20180926_0001.TAB'
dlp = path+'RSS_2005_123_X43_E_DLP_0100M_20180926_0001.TAB'
from rss_ringoccs.tools import ExtractCSVData
from rss_ringoccs import diffrec
norm_inst = ExtractCSVData(geo, cal, dlp, verbose=True)
ng Data from CSV Files:
ting Geo Data...
ta Complete.
ting Cal Data...
ta Complete.
ting DLP Data...
ta Complete
ving Variables...
ing Variables...
olating Data...
xtraction Complete.
g History...
y Complete.
t CSV Data Complete.

```

Note that it is possible to combine \*.TAB files from different end-to-end runs of `rss_ringoccs`, which is encouraged for users who want to examine the effect different calibration inputs might have on the final reconstructed optical depth profile.

## 5 Where To Go From Here

### 5.1 The Cassini RSS data catalog

We have created a CSV file, located within the `tables` directory, with all Cassini ring occultation RSR files that we have on file. This catalog includes information of each RSR file, such as band, observing station information, sampling rate, associated kernels, etc., as well as relevant geometry parameters that can help users determine which file they want to use. The column headers and definitions for this catalog is also available within the `tables/` directory.

### 5.2 Selecting an RSR file to process

Before using `rss_ringoccs`, we recommend users browse the Cassini RSS data catalog to find an appropriate RSR file to process. Factors such as elevation angle, antenna size, radius range, and ring opening angle can affect this decision.

First, users should establish that the RSR file covers the radius range of interest. Some occultations, such as chords, do not cover the entire ring system (see Figure 21.2.2). Secondly, depending on research goal, users will want either a large or small ring opening angle. A large ring opening angle means that the spacecraft signal has gone through less ring material, and a small ring opening angle means that the signal has gone through more ring material. The latter is useful for tenuous features, such as some C-ring density waves, and the former is useful for observing optically thick regions, such as the B-ring. Another factor to consider is the Earth receiving station. Different stations will record in different bands (S-, X-, or Ka-band) using different sized antennas (34m or 70m). The location of the DSN is also important, as Cassini could be lower in the sky at one station but higher in the sky at another – this affects the amount of atmosphere the signal must go through to reach the station. These station-related factors can affect the overall SNR as well as the background power drift.

### 5.3 Benchmarks

We provide a script which performs a benchmark test for the user’s local machine. This will utilize a 16 kHz resolution RSR file, which is more computationally expensive than the recommended 1 kHz file. To

run the benchmarking script, follow the example here.

```
host:~ user$ cd rss_ringoccs-master/pipeline
host:~ user$ python benchmark_test.py
```

Team Cassini has conducted a series of benchmarks for various machines across a range of hardware and operating systems. The results of these benchmark runs are listed below for reference.

$\Delta R_{\text{res}}$ (km)	$\Delta \rho$ (km)	Hardware	Total (sec)
1.0	0.25	MacBook Pro, 2.9 GHz Intel Core i7, 16GB RAM	493.9
1.0	0.25	MacBook Pro, 2.7 GHz Intel Core i5 8GB RAM	1032.4
0.5	0.25	MacBook Pro, 2.9 GHz Intel Core i7, 16GB RAM	547.7
0.5	0.25	MacBook Pro, 2.7 GHz Intel Core i5 8GB RAM	1200.2
0.25	0.05	MacBook Pro, 2.9 GHz Intel Core i7, 16GB RAM	1212.1
0.25	0.05	Macbook Pro, 2.7 GHz Intel Core i5 8GB RAM	2487.8
0.1	0.05	MacBook Pro, 2.9 GHz Intel Core i7, 16GB RAM	3115.4

Table 7: Benchmarks for the rev7E X43 16kHz file

## 5.4 Licensing

`rss_ringoccs` is free/open-source software made available under the GNU General Public License. There is no warranty. The following license is included with the `__init__.py` files in the `rss_ringoccs` software package:

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This program is part of the `rss_ringoccs` repository hosted at [https://github.com/NASA-Planetary-Science/rss\\_ringoccs](https://github.com/NASA-Planetary-Science/rss_ringoccs) and developed with the financial support of NASA's Cassini Mission to Saturn

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## Appendix

### A Meta Kernel File

The metaAn example meta kernel `Rev007_meta_kernel` has been provided in the `./examples/` directory for the Rev007 occultations. To create a meta kernel for another occultation, follow the structure of the Rev007 meta kernel example. The only major change is reconstructed trajectory file referenced by the `$A/` path. This file is revolution-specific and should thus correspond to the revolution for the occultation data the user is processing. For example, the Rev014 occultation, which occurred over days 245 to 257 (centered on day 248) of year 2005, has a corresponding reconstructed trajectory kernel file `051011R_SCPSE_05245_05257.bsp` and a meta kernel

```
\begindata

PATH_VALUES          = ( '..\kernels\naif\CASSINI\kernels\spk'
                          '..\kernels\naif\CASSINI\kernels\lsk'
                          '..\kernels\naif\generic_kernels\spk\planets'
                          '..\kernels\naif\generic_kernels\spk\stations'
                          '..\kernels\naif\generic_kernels\pck'
                          '..\kernels\naif\CASSINI\kernels\pck'
                          '..\kernels\local' )

PATH_SYMBOLS         = ('A', 'B', 'C', 'D', 'E', 'F', 'G')

KERNELS_TO_LOAD = (      '$A/051011R_SCPSE_05245_05257.bsp'
                          '$B/naif0012.tls'
                          '$C/de430.bsp'
                          '$F/cpck26Feb2009.tpc'
                          '$D/earthstns_itr93_050714.bsp'
                          '$F/earth_000101_180919_180629.bpc' )

\beginntext

these are the kernels used for Rev014
```

### B Frequency Offset Fitting GUI

The `Calibration` instance will draw the GUI shown below when performing the polynomial fit to the frequency offset residuals as a part of the correction to the observed  $I$  and  $Q$ . The user may change the polynomial order using a dropdown widget to select an order anywhere from 2 to 9. The default order is 9; however, the dropdown widget will display the number 3 when the GUI is first instantiated. Data which have been excluded by sigma clipping (and are, ergo, excluded from the initial fitting procedure) are shown in grey while data satisfying the sigma-clipping and occultation requirements are shown in black. The line of best fit is shown in solid red.

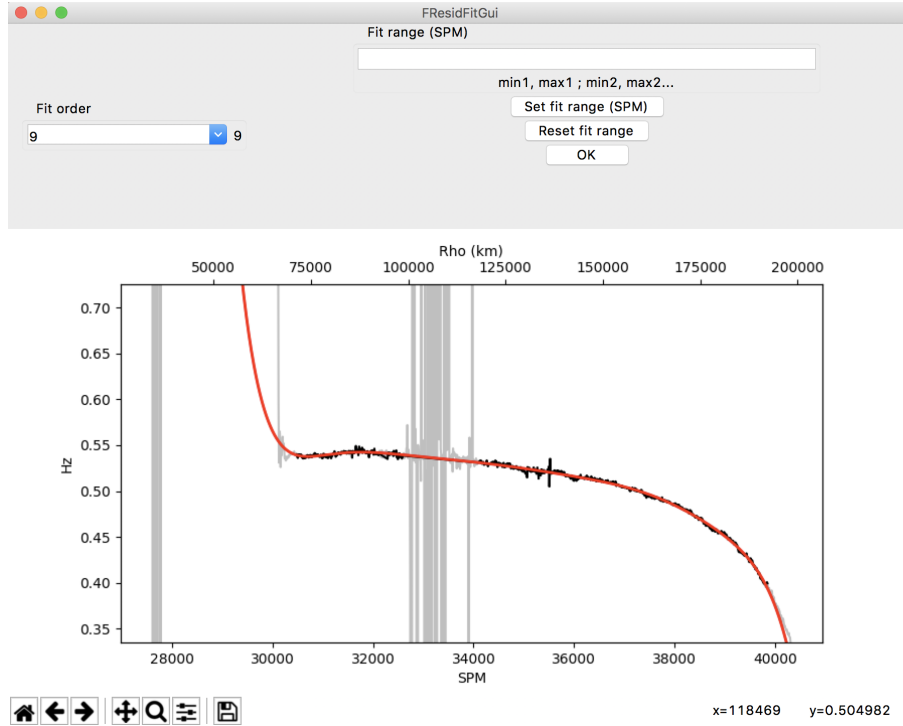


Fig. 6: The GUI which enables users to interactively fit the frequency offset residual. Shown in the plotting frame is the frequency offset residual for Rev007E as processed by the `e2e_run.py` script discussed in Section 3.4.

To include select regions of the frequency offset residual, enter the minimum and maximum seconds-past-midnight of each region to be included in the fit with a comma delimiter. Then delimit between the regions with a semicolon. For instance, to include only the regions of 30,000 to 32,000 SPM and 35,000 to 40,000 SPM, one would enter

30000 , 32000 ; 35000 , 40000

into the “Fit range (SPM)” field.

## C Free Space Power Fitting

The `Calibration` instance will create a GUI as shown below for the purpose of interactively fitting the free-space power as a part of the power normalization process required to compute the diffraction-limited profile. The power is normalized to the maximum power in the radial profile and is shown in black. Initial approximations of the free space regions are shaded in grey; these are the regions which are used to compute the initial spline approximation and are intended as a reference for the user. Spline fit approximation of the power profile is shown in solid red.

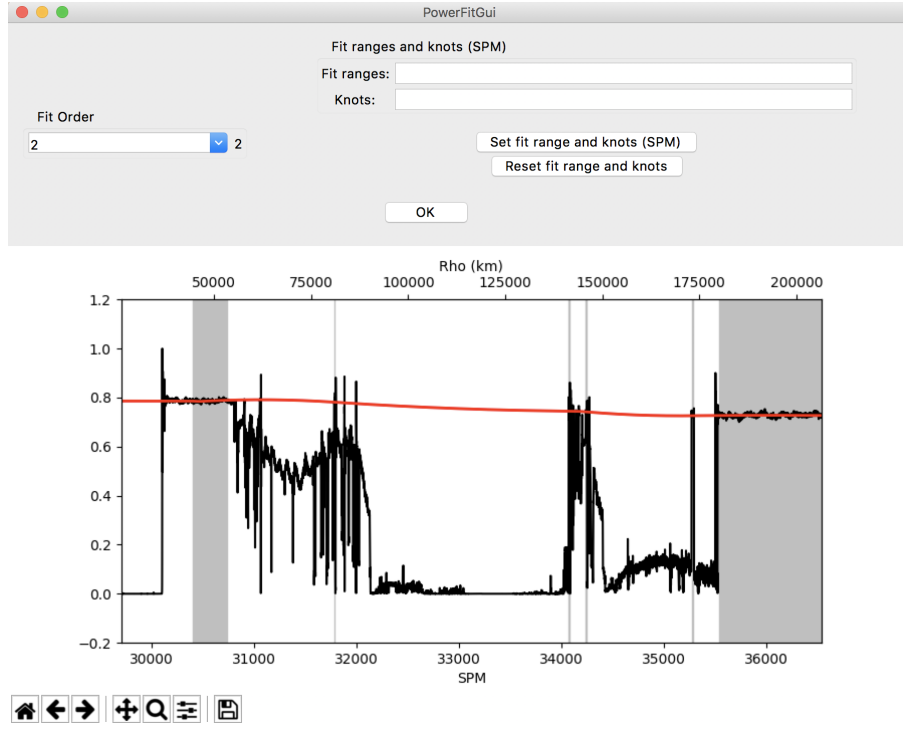


Fig. 7: The GUI which enables users to interactively fit the free-space power signal. Shown in the plotting frame is the power profile for Rev007E normalized to the maximum power observed as processed by the `e2e_run.py` script discussed in Section 3.4.

Region masking for inclusion in the fit is done in the same manner as the frequency offset fitting. We suggest knots for the spline fit be the midpoint between the minimum and maximum SPM of each region of free space. Only one knot can be entered per free-space region and knot values are delimited by commas. For the example in Figure 7, the knot entry might resemble

30500 , 31785 , 34080 , 34245 , 35285 , 36000

The apparent free space to the far left of the profile which is excluded from the predicted free space is signal occulted by Saturn's ionosphere and is thus less reliable as an indicator of the intrinsic power. It is suggested that users not include this part of the profile in the free space mask regions for calibrating the normalized power.

## Acronyms

**DLP** Diffraction-Limited Profile. [3](#)

**DSN** Deep Space Network. [1](#)

**HGA** High Gain Antenna. [1](#)

**NASA** National Aeronautic and Space Administration. [1](#)

**PDS** Planetary Data System. [1](#)

**RSR** Radio Science Receiver. [2](#), [4](#), [9](#)

**RSS** Radio Science Subsystem. [1](#)

## Glossary

<b>Atmospheric occultation</b>	Disappearance or reappearance of a source after the signal has passed through the atmosphere of a planet or satellite. <a href="#">2</a>
<b>Chord occultation</b>	Ring occultation. <a href="#">2</a>
<b>Deep Space Network</b>	NASA's complex of Earth-based antennas, used to communicate with spacecraft. <a href="#">1</a>
<b>Diametric occultation</b>	An occultation geometry in which the path of the complete occultation extends from ring ansa to ring ansa, passing behind the planet at mid-occultation.. <a href="#">2</a>
<b>Diffraction Reconstruction</b>	Retrieval of radial optical depth profile of Saturn's rings responsible for observed diffraction pattern. <a href="#">1</a>
<b>Diffraction-limited profile</b>	Radial optical depth profile without correcting for the effects . <a href="#">3</a>
<b>Egress</b>	Exit phase of a ring or atmosphere occultation. <a href="#">2</a>
<b>Fresnel inversion</b>	Retrieval of intrinsic optical depth profile of the rings by using a Fresnel transform to correct for the effects of diffraction in the observed signal. <a href="#">1</a>
<b>High Gain Antenna</b>	Highly directional main spacecraft antenna for communications and radio science. <a href="#">1</a>
<b>Ingress</b>	Entry phase of a ring or atmosphere occultation. <a href="#">2</a>
<b>NASA</b>	National Aeronautics and Space Administration. <a href="#">1</a>
<b>Planetary Data System</b>	Long-term archive of digital data products returned from NASA's planetary missions, and from other kinds of flight and ground-based data acquisitions. <a href="#">1</a>
<b>Radio Science Receiver</b>	An open-loop receiver used in NASA's Deep Space Network (DSN) facilities. <a href="#">2</a> , <a href="#">4</a> , <a href="#">9</a>
<b>Radio Science Subsystem</b>	A subsystem placed on board a spacecraft for radio science purposes. <a href="#">1</a>
<b>Rev number</b>	The number of times the Cassini spacecraft has orbited Saturn. <a href="#">2</a>

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