

# From Local Actors to Leaf Protectors: A Companion Modeling Approach for Rethinking Tree Management and Protection Measures in Senegal's Groundnut Basin

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## Abstract

How can a participatory simulation model contribute to understanding the socio-ecological dynamics and fostering innovative strategies for sustainable management of trees, crops, and pastoralism in the peanut basin?

In the agro-pastoral zones, the Sahelian ecosystems have undergone significant degradation, characterized by a reduction in tree cover, as a consequence of the droughts in the 1960s and 1990s. The peanut basin stands out for its positive interrelationships between trees, crops, and pastoralism. However, the regeneration of the *Faidherbia* park has declined since the major droughts. Through collaborative efforts with agro-pastoral farmers, we have developed a simulation model – The SAFIRe model : Simulation of Agents for Fertility, Integrated Energy, Food security, and Reforestation – that aims to unravel the complex social and ecological dynamics at play and explore potential strategies in partnership with local communities.

By exploring the results of the model co-designed with local stakeholders, we have identified more effective management strategies, as per the request of the local actors. However, more importantly, we have collectively questioned the conditions for improving tree cover and the viability

of the socio-ecosystem, particularly in relation to the demand for firewood and local cereal for sustenance. This has prompted the stakeholders to engage in community-wide discussions and transform agro-pastoralists into leaf protectors.

## 1 Introduction

The Sahelian region has witnessed a series of devastating droughts from the 1960s to the 1990s, leading to substantial ecosystem degradation, particularly through a significant reduction in tree cover (Mbow et al. 2015). This loss has adversely affected essential ecosystem services, impacting both the population and biodiversity. The severity of this tree cover loss becomes even more alarming given the rapid population growth in the Sahelian region (Cesaro et al. 2023). In a context of scarcity, intensive natural resource utilization by agriculture and livestock further exacerbates land degradation and soil fertility (Tappan et al. 2016).

Ester Boserup (Boserup and Chambers 1965) proposed that declining soil fertility compels farmers to intensify their agricultural practices. Subsequent studies have often focused on this intensification as a primary response to social and agricultural phenomena.

Within the DSCATT project (Dynamics of Carbon Sequestration in Soils of Tropical and Temperate Agricultural Systems), participants in Senegal’s living-labs quickly associated soil fertility with the presence of *Faidherbia albida* trees. This insight directed our research towards understanding the significance of trees in the agricultural practices of the Senegalese peanut basin. By examining tree usage and local management practices, we developed the SAFIRE model (Simulation of Agents for Fertility, Integrated Energy, Food Security, and Reforestation). This approach is part of Companion Modeling (ComMod) (Etienne 2014; Barreteau et al. 2003) and Accompanying Exploration (ComExp) (Delay, Chapron, et al. 2020), aiming to collaboratively explore potential futures for the territory.

In the context of sustainable land management, restoration options identified by farmers are closely linked to tree monitoring to mitigate predation risks from neighboring populations, especially in the longstanding conflict between farmers and herders. Two exploration pathways emerged from discussions with local communities: the impact of delegated surveillance to forestry agents and the conditions for tree park development under community-managed surveillance.

We thus explored community-based natural resource conservation solutions, extensively documented since the Rio Summit promoted them (Selfa and Endter-Wada 2008; Maraseni et al. 2019; He et al. 2020). While the influence of different tree management approaches (delegated to forestry services or managed by the community) has been extensively debated, highlighting the territorial configurations each approach generates, a crucial point was raised. Through our co-constructed agent-based simulation, validated by stakeholders, we demonstrated that herders were often scapegoated for tree loss in the area. As farmers

79 transitioned from manual to plough-based agriculture, they did not have time  
80 to adapt their practices to protect young tree saplings.

81 By employing ComMod and ComExp approaches, we aimed to assist stake-  
82 holders in reimagining future scenarios (Jansujwicz et al. 2021) to support de-  
83 sired territorial transformation. Our goal here is to facilitate discussions and  
84 enhance stakeholders’ capabilities through companion modeling. By adopting  
85 ”Different Modelling Purposes” (Edmonds et al. 2019), we position our inter-  
86 vention within two categories: illustration and social learning (Le Page and  
87 Perrotton 2017). We view illustration as a means to discern viable paths by ex-  
88 tensively exploring the co-constructed model. These illustrations should remain  
89 within a framework of social learning, meaning they are constructed to reflect  
90 the shared vision of stakeholders about the world, assuming that they will then  
91 be better positioned to leverage the results to transform their system.

## 92 2 Materials and Methods

93 The Rio Summit in 1992 marked a significant turning point in the percep-  
94 tion of natural resource management. It contributed to the democratization  
95 of community-based management, promoting a shift from an authoritarian vi-  
96 sion of resource management to more integrated approaches (Delay, Ka, et al.  
97 2022). This evolution recognized the importance of actively involving local  
98 stakeholders in decision-making and the management of their natural environ-  
99 ments. However, integrating communities into resource management processes  
100 has introduced complex challenges, particularly regarding the co-construction  
101 of common representations and understandings of these environments.

102 Integrating heterogeneous actors within collectives to co-construct models  
103 and simulations has emerged as an innovative response to these challenges. Fully  
104 aligned with the philosophy of companion modeling, this approach has given rise  
105 to novel methods. In our work, we facilitated workshops aimed at developing an  
106 anticipatory method we named ACARDI (Perrotton et al. 2021). This method  
107 relies on close collaboration between researchers and local actors, emphasizing  
108 the co-construction of models and simulations to anticipate territorial changes.  
109 At the end of the process, we established the first Living Lab of the Niakhar  
110 observatory.

111 After conducting participatory workshops in Diohine, actively involving lo-  
112 cal stakeholders, we identified several specific aspirations and concerns of the  
113 population regarding their territory. Among these, the aspiration for the ”re-  
114 turn of fauna and flora” particularly caught our attention. To explore this  
115 aspiration more thoroughly, we combined an anthropological approach with the  
116 co-construction of a simulation model. This section focuses on our approach and  
117 methodology aimed at bringing this aspiration to life, combining socio-cultural  
118 aspects and modeling tools for a holistic understanding.

## 119 2.1 Modelling for Empowerment - An Anthropological Ap- 120 proach to Participatory Model Co-construction

121 The implementation of the ACARDI workshops marked a crucial phase of our  
122 research, characterized by a three-month immersion in Diohine. This immer-  
123 sion extended beyond workshop discussions, enabling an in-depth information  
124 collection process through interviews and participant observation. This field-  
125 work was essential for gaining a nuanced understanding of the local population’s  
126 aspirations and concerns regarding territorial management.

127 Through these interviews, focus groupes and participant observation, a pro-  
128 cess of developing conceptual models was initiated, gradually evolving into the  
129 creation of an agent-based computational model. The co-construction of these  
130 models was a collaborative and iterative approach, actively involving the stake-  
131 holders of the Diohine Living Lab. Local actors played a key role in discussing,  
132 evaluating, and validating each aspect of the model, thereby ensuring it accu-  
133 rately reflected their realities and expectations.

134 Following a thorough validation of the model with local stakeholders, de-  
135 scribed as expert validation (Bommel 2009), we began exploring the model  
136 using the OpenMole platform (Reuillon et al. 2013). This exploration phase  
137 allowed us to simulate various scenarios and gather significant results, which  
138 were then presented to the Living Lab participants for feedback and further  
139 discussion. To facilitate this crucial step, we developed an interactive interface  
140 designed to simplify the manipulation and validation of large amounts of data  
141 by the stakeholders, ensuring a smooth and collaborative experience.

## 142 2.2 ODD

143 In this section, we will describe the SAFIRE model (Simulation of Agents for  
144 Fertility, Integrated Energy, Food security, and Reforestation) using the ODD  
145 (Overview, Design concepts, and Details) framework (Grimm, Berger, Bas-  
146 tiansen, et al. 2006; Grimm, Berger, DeAngelis, et al. 2010; Grimm, Railsback,  
147 et al. 2020).

### 148 2.2.1 Overview

#### 149 Purpose

150 The peanut basin is facing a loss of soil fertility. Chemical amendments are  
151 either unavailable in the area or economically inaccessible for farmers. There-  
152 fore, soil fertility depends on two aspects: the presence of livestock in the ter-  
153 ritory to maintain year-round manure, and the *Faedherbia albida* trees which  
154 play a fundamental role in crop cycles. Indeed, these trees have the unique char-  
155 acteristic of shedding their leaves during the growing season and fixing nitrogen  
156 from the air into the soil.

157 The model thus aims to evaluate and explore solutions for managing the  
158 *Faedherbia* park to increase their density. The model focuses on exploring so-  
159 called community initiatives.

The objective of this study was co-defined with the participants based on their desire to restore trees and biodiversity. According to their perspective, the decline in tree population is strongly linked to individual practices associated with pastoralism. Thus, the aim was to reassess the functioning of their system, the role of "tree cutters," and the optimization of surveillance by comparing community-based surveillance efforts with centralized surveillance conducted by them and the forestry department.

Throughout the study, we also examined the role of farmers and agro-pastoralists in the disappearance of trees. It was observed that young tree seedlings are no longer marked and destroyed by animal-drawn tools.

### **Entities, state variables and scales**

The entities in the model are relatively numerous: some are static (trees, plots, and village), while others are in motion (shepherds, farmers, woodcutters, and overseers).

patches : nbarbresici: Number of trees present on this patch; arbre-ici: Indicates if there is a tree on this patch (reference to a specific tree); tree-influence: Influence of the tree on this patch (can represent aspects like shade, nutrients affected by the tree, etc.); under-tree: (TRUE/FALSE) Indicates if the patch is under a tree; culture: Type of crop on this patch (can be millet or groundnut); en-culture: Indicates if the patch is currently used for crops; rendement-mil-g: Yield of millet on the patch in terms of grains (to be calibrated later); rendement-mil-p: Yield of millet on the patch in terms of bundles; rendement-groundnuts-g: Yield of groundnuts on the patch in terms of grains; rendement-groundnuts-p: Yield of groundnuts on the patch in terms of bundles; id-parcelle: Identifies the parcel, allowing the structure of the parcels to be maintained during rotation; pas-rotation: Tracks parcels that have not yet rotated (system of +1); rotation: (TRUE/FALSE) Indicates if the parcel has already undergone rotation; champ-brousse: Indicates if the patch is in bushland; zoné: (TRUE/FALSE) Indicates if the patch is used for defining fallow zones; zone: Indicates to which fallow rotation zone the patch belongs (there are 3 zones for fallow rotation).

Trees : proche-village: Likely unnecessary variable (trees in villages are also pruned); nb-coupes: Number of cuts; nb-jour-coupe: Number of cutting days; age-tree: Age of the tree. Trees have also a subclass Saplings composed by age: Age in days ; signalé: Reported; rna-coupe: Cut in RNA.

farmers : id-agri: Links to the farmer's unique parcel; engagé: TRUE/FALSE engagement in the Assisted natural regeneration (RNA in fr); interet-RNA: Interest in the RNA; jour-champ: Days spent in the field; nb-ha-a: Number of hectares allocated; stock-mil: Stock of millet; idMyBerger: Identifier of the associated shepherd; nb-patches: Number of patches; mon-chp-RNA: Field in the RNA.

herd : troupeau-nourri: does the herd have enough to eat, currently TRUE/FALSE; arbre-choisi: tree chosen to be cut and fed to animals as fodder ; nb-têtes: herd size for the shepherd ; nb-ha-b: Between 3.8 (newly settled,

11%) and 5.5 (89% of the population); stock-fourrage: Forage stock; idAgri: Identifier of my reference farmer.

woodcutters : attrape: Captured (TRUE/FALSE); nb-attrape: Number time he was captured; jours-peur: Days of fear after capuration; en-coupe: Currently cutting.

The simulated space, through which the agents interact, represents 100 hectares. It is composed of 1000 spatial entities (patches) with a size of 10 square meters (resolution). It is exclusively agricultural since the inhabited area of the village is condensed into a single point. (The areas that are not cultivated, such as wetlands and pathways, are rare and have not been represented.)

The irreducible time step is one day (tick). The various elements of the system (interactions, etc.) take into account the seasonality that structures agricultural activities. Every 364 days, a new year begins and the rhythm of the seasons continues. A second time unit can be considered: the year, which consists of seasons. Simulations are generally carried out over 23 years. At the beginning of the simulation, the first 3 years are considered to initialize the model.

## Process overview and scheduling

This section provides an overview of the processes and their scheduling within the model. The model is composed of several sub-models that simulate various aspects of the ecosystem and human activities. Each process is organized and executed in a specific sequence to reflect the interactions and dependencies within the system. The following describes repeated procedures that occur at each time step:

### • Harvest and Crop Management

- Harvest and stockpiling: Farmers harvest crops and store them in their stockpiles.
- Effect of machinery on unprotected saplings: Machinery used in the fields may damage or destroy unprotected saplings.
- Crop rotation: Farmers rotate crops between different fields to maintain soil fertility and reduce pest buildup.

### • Tree Growth and Reproduction

- Sprouting: New saplings sprout around mature trees, influenced by tree density and environmental conditions.
- Sapling growth: Saplings grow over time, with growth rates dependent on available resources and environmental factors.
- Aging and death of trees: Trees age and may eventually die due to old age, disease, or other environmental factors.

### • Livestock Feeding and Forage Use of Acacias

- 247 – Feeding livestock with straw: Shepherds feed their livestock with  
248 straw collected from the fields.
- 249 – Tree cutting: Trees are cut down for forage or other uses by the  
250 shepherds.
- 251 – Livestock in fallow land and cutting of saplings: Livestock graze in  
252 fallow lands, and shepherds may cut down saplings to manage the  
253 land.
- 254 • Cutting of Saplings by Woodcutters
  - 255 – Detection of saplings: Woodcutters search for and identify saplings  
256 that can be cut.
  - 257 – Cutting of saplings: Once identified, saplings are cut by the wood-  
258 cutters for use as firewood or other purposes.
- 259 • Farmer Engagement
  - 260 – Participation in meetings: Farmers participate in community meet-  
261 ings to discuss agricultural practices and share knowledge.
  - 262 – Observing the success of neighbors: Farmers observe the practices  
263 and successes of their neighbors to learn and adapt.
  - 264 – Social interaction and motivation: Social interactions among farmers  
265 influence their motivation and engagement in community activities.
  - 266 – Protection of saplings: Farmers take actions to protect saplings from  
267 damage by livestock or machinery.
- 268 • Surveillance
  - 269 – Surveillance and presence of farmers in the fields (generalized com-  
270 munity surveillance): Farmers patrol their fields and monitor for any  
271 issues such as pests or unauthorized grazing.
  - 272 – Delegated community surveillance: Specific individuals or groups are  
273 assigned the task of community surveillance to ensure all fields are  
274 monitored effectively.

## 275 2.2.2 Design Concepts

### 276 Basic principles

277  
278 In their paper (Roupsard et al. 2020), a link was established between the  
279 presence of *Faidherbia albida* trees and the improvement of crop yields in the  
280 region. We have extended this work to calibrate the model on the relationship  
281 between these trees and cultivated plants. By engaging with stakeholders on  
282 their interactions with plants through food and livestock, we aimed to socially  
283 address the paradox of the non-renewal of these trees. Given that the area has  
284 been well-documented by agronomists since the establishment of the Niakhar

observatory, we were able to collect quantitative data on historical (Pieri 1989; Pelissier 1966) and contemporary (Audouin et al. 2018; Ba et al. 2018) forms of rural organization. This comprehensive documentation facilitated our understanding and analysis of the agricultural systems and social structures within the region. This work primarily involved adopting a systemic approach as identified by the stakeholders during participatory modeling workshops using the ARDI methodology (Etienne et al. 2011; Etienne 2014).

### Adaptation

Two agents exhibit adaptive/changing behaviors: the woodcutters and the farmers. The response of the woodcutters to being caught by a sapling protector varies according to the number of times they have been caught previously. Farmers have a score describing their interest in tree protection. This score evolves constantly according to several rules: encountering another engaged farmer, observing the success of a neighbor's protection system, participating in meetings, etc.

### Emergence

There are several intriguing elements to examine, such as the emergence at the model level and the enhanced understanding of social and ecological interrelationships and solidarity among the actors. The model emphasizes the significant impact of agriculture (likely due to the introduction of plow-based farming) on the destruction of *Faidherbia albida* saplings (weak emergence). Moreover, a strong emergence is observed when agents are permitted to organize on a community level, as neighbors gradually engage each other in sustaining interest in assisted tree regeneration.

Highlighting potential long-term trajectories to reach acceptable production volumes should be considered both from the viewpoint of weak emergence within the model, due to its mechanistic processes, and strong emergence, as it drives participants to actively engage and commit to the theme beyond the facilitation process.

### Sensing

The patches within the action radius of the trees will yield more. Agents are capable of perceiving the farmers around them. Shepherds will not cut young saplings if there is a concerned farmer nearby, and the same applies to woodcutters. Farmers, in turn, perceive their neighbors and will interact with them if they have adjacent fields. Through these interactions, they discuss assisted natural regeneration (ANR) to persuade each other to adopt this practice.

### Interaction

The interaction between agents is direct. Woodcutters interact directly with the saplings by cutting them down. Similarly, shepherds interact directly with



the trees by utilizing them for forage. Farmers and supervisors also have direct interactions with woodcutters by stopping them from cutting the saplings. Additionally, farmers destroy young saplings that are not protected.

- **Woodcutters and Saplings:** Woodcutters seek out saplings and cut them down for use as firewood or other purposes. This direct interaction reduces the number of saplings in the environment.
- **Shepherds and Trees:** Shepherds interact with trees by feeding their livestock with tree foliage or cutting down trees for forage. This direct interaction affects the tree population and influences the availability of forage resources.
- **Farmers and Woodcutters:** Farmers interact with woodcutters by attempting to stop them from cutting saplings. When farmers encounter woodcutters in the field, they may intervene to protect the saplings.
- **Supervisors and Woodcutters:** Supervisors, acting as protectors, also interact directly with woodcutters. They monitor the fields and stop woodcutters from cutting down saplings to ensure the protection of young trees.
- **Farmers and Unprotected Saplings:** Farmers destroy young saplings that are not protected. This interaction occurs when farmers are working in their fields and come across unprotected saplings, which they remove to prevent interference with their crops.

## Stochasticity

Many events in the model rely on stochasticity since they are probabilistic. Probability is often used as a frequency measure. This is the case for the movements of farmers in their fields and the probability that farmers will discuss the RNA (Assisted natural regeneration) with each other.

Stochasticity is used to represent uncertainty, particularly concerning whether supervisors catch woodcutters. Since supervisors do not spend the entire day in a single field, they may visit a field without encountering the woodcutter.

Finally, randomness is used to create variability in initial conditions. This is the case for the number of heads in different herds, which vary in size, and for the initial age of each tree, resulting in trees of varying ages.

- **Partial Randomness as Uncertainty**
  - **Farmer Movements:** The movements of farmers within their fields are determined randomly. This means that their location at any given time is based on a probability distribution, ensuring variability in their positions.

- Discussions about RNA: The likelihood that farmers will engage in discussions about the RNA is also probabilistic. This frequency-based probability allows for random interactions among farmers, influencing their engagement with the RNA.
- Supervisors and Woodcutters: The uncertainty in supervisors catching woodcutters is modeled using partial randomness. Supervisors patrol fields but may not always encounter woodcutters due to the random nature of their patrol routes and the woodcutters' activities.

- Randomness for Initial Variability

- Herd Sizes: The initial number of heads in each herd is determined randomly, resulting in herds of varying sizes. This introduces variability into the model, reflecting real-world differences in herd sizes.
- Tree Ages: The initial age of each tree is assigned randomly, creating a population of trees with a range of ages. This variability in tree ages ensures a more realistic representation of a forest with trees at different stages of growth.

## Collective actions

Collective forms emerge with the engagement of farmers in the protection of saplings. The larger the group of engaged farmers, the more likely it is for others to join, and the more assured the group's longevity.

- Farmer Engagement: As farmers begin to engage in sapling protection, they form groups that work collectively towards this goal.
- Group Growth: The probability of additional farmers joining the group increases with the group's size. This creates a positive feedback loop where the more farmers are engaged, the more likely it is for others to join.
- Group Longevity: The sustainability of the group is enhanced as it grows. A larger group of engaged farmers is more resilient and capable of maintaining their collective efforts over time.

## Observation

We will monitor several metrics:

- Millet and Groundnut Production: This indicator tracks the total production of millet and groundnut in the simulation. It helps assess the agricultural output and food security within the modeled environment.

- 406 • Number of Trees at Each Development Stage: This metric measures the  
407 number of trees at various stages of development, such as saplings, young  
408 trees, and mature trees. It provides insight into the growth dynamics and  
409 regeneration of the forest.
- 410 • Number of Trees Destroyed by Each Type of Agent: This indicator counts  
411 the number of trees destroyed by different agents, such as farmers, wood-  
412 cutters, and livestock. It helps understand the impact of various human  
413 and animal activities on the forest.
- 414 • Volume of Wood Cut for Cooking: This metric tracks the amount of wood  
415 harvested specifically for cooking purposes. It helps assess the pressure on  
416 forest resources for domestic energy needs.
- 417 • Age of Each Tree Sapling: This indicator measures the age of each sapling  
418 in the simulation. It provides information on the regeneration rate and  
419 the survival of young trees.
- 420 • Number of Farmers Engaged in the RNA: This metric tracks the num-  
421 ber of farmers actively participating in the National Agricultural Network  
422 (RNA). It helps evaluate the level of community involvement and engage-  
423 ment in agricultural and environmental initiatives.
- 424

### 425 2.2.3 Details

#### 426 Initialization

427 At initialization, the environment is generated with the following steps:

- 428 • Generation of Parcels and Crops: The landscape is divided into parcels,  
429 each designated for specific types of crops. This step sets up the agricul-  
430 tural fields and assigns crop types to each parcel.
- 431 • Generation of Trees and Their Fertilizing Effects: Trees are distributed  
432 throughout the environment, considering their effects on soil fertility. Trees  
433 influence the nutrient levels of the patches they occupy, enhancing soil  
434 quality in their vicinity.
- 435 • Generation of Human Agents: Human agents, including shepherds, wood-  
436 cutters, farmers, and supervisors, are created and placed in the environ-  
437 ment. Each agent type has specific roles and behaviors that contribute to  
438 the model's dynamics.
- 439 • Generation of the Village: The village is established as a central loca-  
440 tion where human agents reside. This step involves setting up the village  
441 infrastructure and assigning homes to the agents.

#### 442 Input data

443

444 We dont use input data.

445

446 **Submodels**

447

## 448 **2.3 Statistical Analysis and our Companion Modeling ap-** 449 **proch**

450 Our work is grounded in the approach of companion modeling (Barreteau et al.  
451 2003). However, we aim to address the pressing need for model exploration.  
452 This entails combining and hybridizing elements that are rarely integrated: ex-  
453 ploring the model alongside the stakeholders. To achieve this, we employed  
454 traditional agent-based modeling tools and made a concerted effort to conduct  
455 these analyses with the farmers of the peanut basin, engaging them in discus-  
456 sions about the exploration results. We performed a sensitivity analysis and  
457 utilized the "pattern space exploration" method to produce results reflecting  
458 the range of possible long-term scenarios. These results were then discussed  
459 with the stakeholders to ensure a comprehensive understanding and validation.

### 460 **2.3.1 Sensitivity analysis : saltelli method**

461 Sensitivity analysis comprises a range of techniques that assess how a model  
462 responds to variations in its input parameters. These statistical methods aim  
463 to quantify the extent to which changes in the inputs influence the variability  
464 observed in the outputs. In accordance with the definition provided by Saltelli  
465 et al. (2008)(Saltelli 2008), sensitivity analysis determines the "relative impor-  
466 tance of each input in determining [output] variability." Consequently, these  
467 methods often yield a ranking or ordering of the inputs based on their respec-  
468 tive sensitivity levels.

469

### 470 **2.3.2 Pattern Space Exploration (PSE)**

471 The PSE (Chérel et al. 2015) method, based on genetic algorythme, is specifi-  
472 cally designed to comprehensively cover the output space, resulting in its max-  
473 imum score in output exploration – e.g. "explore the output's diversity of a  
474 model"<sup>1</sup>. By exploring the output space (c.f. fig. 1), the PSE method uncovers  
475 new patterns, providing insights into the model's sensitivity by examining the  
476 corresponding input values. Unlike calibration-based methods, PSE's effective-  
477 ness is influenced by the dimensionality of the output space, as it keeps a record  
478 of all the covered locations during exploration.

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<sup>1</sup><https://openmole.org/PSE.html>, consulté le 5 juin 2023

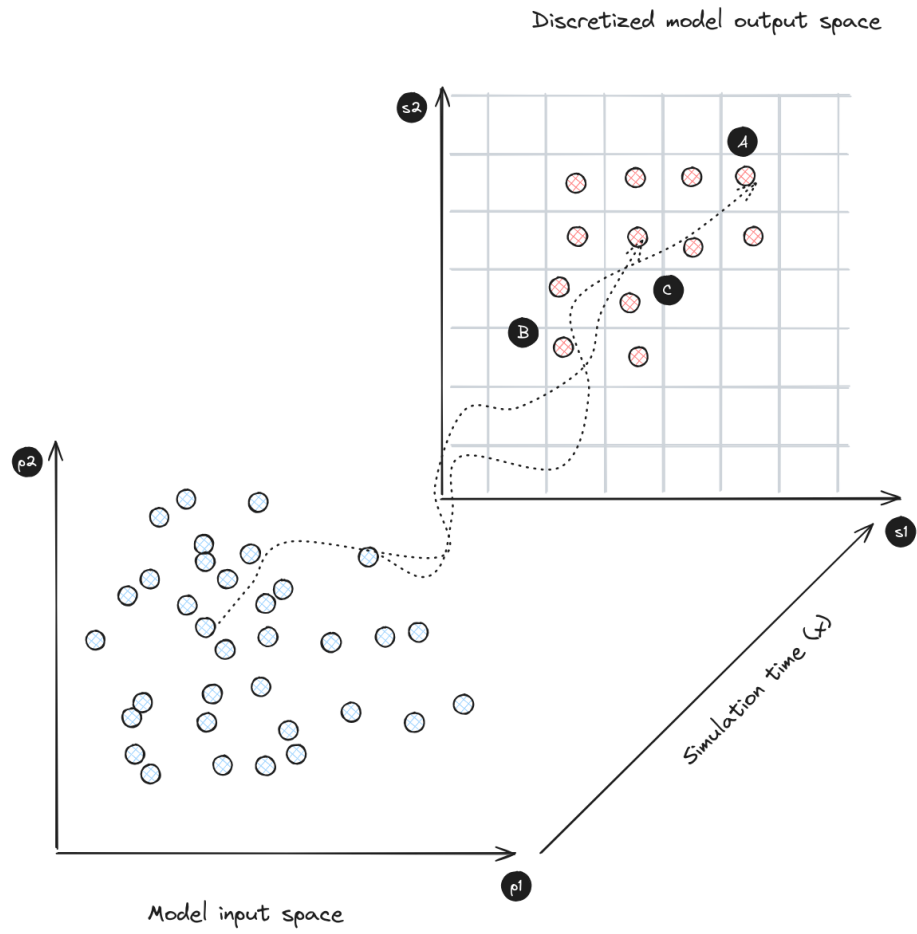


Figure 1: description of how the “pattern space exploration” genetic algorithm works. We see “p1” and “p2” as input parameters, and “s1” and “s2” as output parameters. The algorithm seeks to reach every cell in the grid of “s1” and “s2” to discover the model’s output result domains. A and B and C are outputs of contrasting models

479 In addition, the PSE method usually takes stochasticity into account by  
 480 estimating selected models using the median of multiple output values obtained  
 481 from model runs. For our purposes, and as we are in a situation where the  
 482 results need to be discussed with stakeholders, we have chosen to focus not on  
 483 the median, but on the last decille. This means that simulations are retained if  
 484 more than 90% of the results converge towards the identified output.

485 The algorithm’s ability to explore a diversity of output forms is extraordi-  
 486 narily powerful in the context of companion modeling. Indeed, participants in  
 487 co-construction sessions gradually became accustomed to the practice of mod-  
 488 eling (Etienne 2014). They developed the ability to interrelate various elements  
 489 of the system. However, the strength of agent-based modeling lies in the fact  
 490 that they cannot anticipate emergent phenomena. Once the model has gained  
 491 sufficient confidence, questioning the group about marginal aspects or uncon-  
 492 sidered input parameter sets in the model proves to be extremely fruitful from  
 493 the perspectives of emancipation and anticipating unforeseeable situations.

### 494 **2.3.3 Engaging Stakeholders in Result and Thresholds Discussions**

495 Working with participants on the PSE results enabled us to address the final  
 496 conditions and thus the various configurations of the future. To facilitate this,  
 497 we organized a feedback workshop during which we presented the simulation  
 498 outcomes and discussed their implications for the systems they had described.

499 Subsequently, we took time for collective reflection during which the par-  
 500 ticipants collaboratively defined the final conditions that seemed particularly  
 501 compelling to them. This interest was formalized around contrasting models.

502 These archetype outputs allowed us to address and clarify situations that  
 503 were previously unthinkable (Banos 2010). On figure 1, we can perceive situa-  
 504 tions A, B, and C as a series of contrasting scenarios for which we will discuss  
 505 with the participants the configurations that have led to these outcomes. This  
 506 leads to extremely rich discussions that compel stakeholders to consider these  
 507 previously unthinkable situations and to collectively discuss the processes for  
 508 satisfying individual needs regarding the collective inputs.

## 509 **3 Results**

510 The Saltelli analysis allows us to compare two surveillance scenarios, enabling  
 511 us to identify the rearrangement of variables that occurs when the surveillance  
 512 regime changes.

513 Following this, we conducted a Pattern Space Exploration (PSE) to iden-  
 514 tify the simulations that, in the context of community surveillance, increase  
 515 the number of trees. This is a nonlinear process with an increase in fertility  
 516 correlated with an increase in the number of trees.

### 517 3.1 Understanding stackolders Variable Importance via 518 Sensitivity Analysis

519 We conducted the same analysis twice on different simulation scenarios. First,  
520 we performed an analysis on the community surveillance system. The second  
521 analysis shifts the workload to a dedicated surveillance system to mimic the  
522 functioning of surveillance by a water and forest authority.

523 Comparing these two analyses allows us to assess the influence of a change  
524 in practice on the system's operation and to identify the structural changes they  
525 induce.

526 In a community surveillance scenario, the global sensitivity analysis shows  
527 that the probability of discussing the importance of trees plays an extremely  
528 significant role in both millet production (0.72) and the total number of trees  
529 (0.59) at the end of the simulation (see Table 1).

530 The frequency of awareness meetings about the benefits of trees has a role,  
531 albeit more limited, in the number of trees (0.23) and millet production (0.30).  
532 Similarly, the time spent in the fields also affects the number of trees (0.29) and  
533 millet production (0.16).

534 Finally, the probability of reporting a woodcutter when seen impacts the  
535 number of trees (0.25) but less so millet production (0.12).

536 The presence in the bush has little importance on both the number of trees  
537 and millet production.

538 Dans un scenarion dans lequel la surveillance est effectué par un agents des  
539 eaux et forêt la dynamique change un peut (c.f. table 2). Dans le mesure ou  
540 cette surveillance n'est plus faite par la population.

541 Le temps au champ, et la probabilité de discuter d'un sujet en lien avec la  
542 préservation des arbres sont deux paramètres qui ont une influence relativement  
543 forte dans les mêmes ordre de grandeur que le nombre de surveillant. Dans un  
544 contexte ou la surveillance n'est pas assuré par la population, la fréquence des  
545 réunion, et le probabilité de dénoncé un coupeur n'ont que peut d'influence.

	om_trees	om_stockMil
probaDiscu	0.59	0.72
fréquenceRéu	0.23	0.30
tpsAuChamp	0.29	0.16
probaDenonce	0.25	0.12
nbProTGMax	0.33	0.10
qPrésenceBrousse	0.11	0.04

Table 1: Saltelli sensitivity analysis when surveillance is delegated to the community

	om_trees	om_stockMil
nbProTGMax	0.5	0.3
ok tpsAuChamp	0.29	0.22
ok nbSurveillants	0.20	0.29
ok probaDiscu	0.15	0.27
ok qPrésenceBrousse	0.15	0.10
fréquenceRéu	0.07	0.14
probaDenonce	0.00	0.02

Table 2: Saltelli sensitivity analysis when surveillance is managed transandially

Variables	Range
tpsAuChamp	(0.0, 100.0)
qPrésenceBrousse	(0.0, 1.0)
fréquenceRéu	(1.0, 10.0)
probaDenonce	(0.0, 100.0)
probaDiscu	(1.0, 100.0)
nbProTGMax	(5.0, 50.0)

Table 3: Variation range for PSE parameters in a community surveillance contexte

### 3.2 Patern Space exploration

The PSE algorithm discretizes the model output space to systematically explore its diversity. We have configured it to retain only the results achieved in 95% of the simulation cases. The input parameters – shown in Table 3 – are left unrestricted to facilitate this exploration.

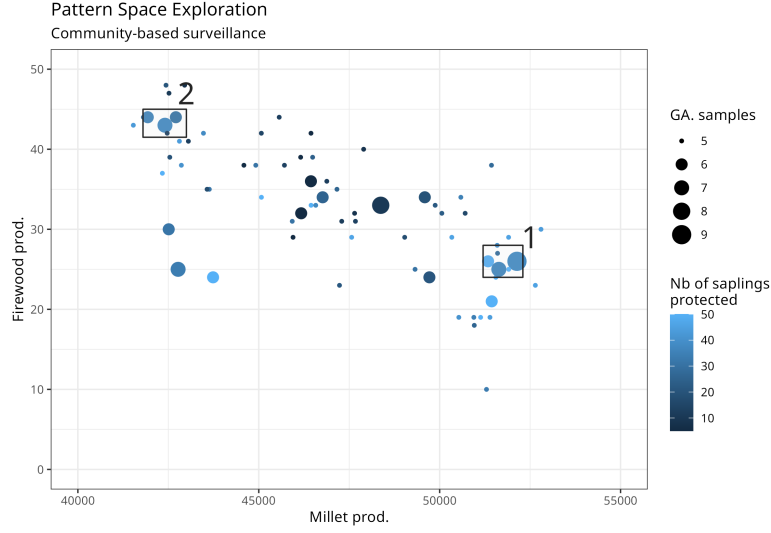
On Figure 2, we observe a negative relationship between millet production and firewood production. That is to say, the more we can harvest firewood, the less we can harvest millet.

If we then look at the influence of the type of tree surveillance. By comparing the two scenarios, we can see that simulations reaching the numbered spaces 1 and 2 on Figure 2a, representing community surveillance, are less present on Figure 2b, which represents the delegated surveillance scenario. Conversely, on Figure 2b, the model tends to easily reach intermediate situations (large, dark blue points).

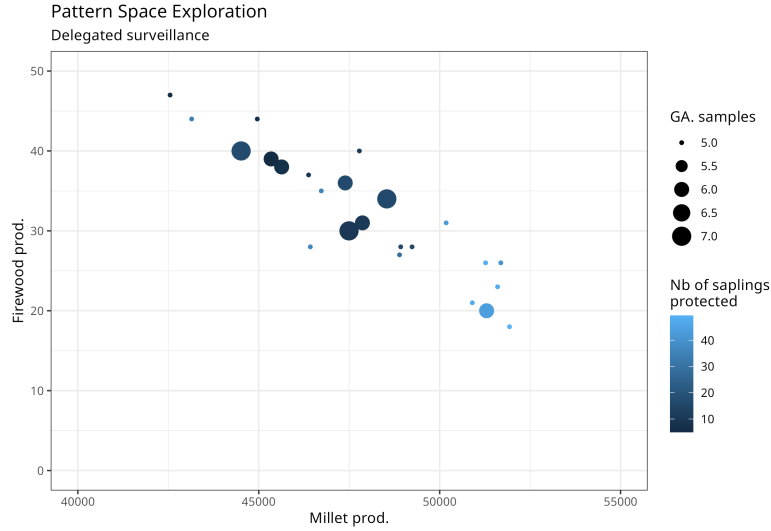
The extremes framed in 1 and 2 on Figure 2a are associated with a high number of protected seedlings (*nbProTGMax*). This provides indications on the trajectories of the systems.

In both situations, reducing the harvesting of firewood leads to a higher number of seedlings. In both cases, these situations are reached by simulations where RNA and the diffusion of practices are present in the form of awareness meetings.





(a)



(b)

Figure 2: PSE results for two different management scenarios: (a) community management and (b) management delegated to an external 'operator'. The size of the points (GA. sample) represents the number of times the algorithm reached this space during its evolution. The color of the points represents the number of young tree saplings that were protected. The x-axis denotes the millet production that was achievable, and the y-axis corresponds to the wood fuel extraction for cooking that was realized in the system. In figure (a), the two numbered squares, 1 and 2, represent the two contrasting situations that were discussed.

### 567 **3.3 Unexpected Yet Attainable: Surprising Results with** 568 **Minimal Calculations**

569 In the context of the co-construction of the simulation model, by integrating  
570 some basic indicators, we highlighted a fundamental issue that had never before  
571 been raised by the participants of the Living Lab as a major problem. By  
572 closely monitoring the total number of trees cut down, whether by herders for  
573 livestock, women for firewood, or farmers during their agricultural activities, a  
574 surprising trend emerged for the participants (see fig. 3). This analysis revealed  
575 that farmers themselves significantly contribute to tree destruction, albeit in  
576 a somewhat silent manner. Specifically, this destruction often goes unnoticed  
577 because it manifests through the weeding of very young seedlings, carried out  
578 by farmers without their full awareness. This result was extensively discussed  
579 during the workshop, which allowed for the clarification of farming techniques  
580 to ensure that the translation into the model was accurate. This observation  
581 challenges some previous perceptions and raises essential questions regarding  
582 the management of tree resources within the community.

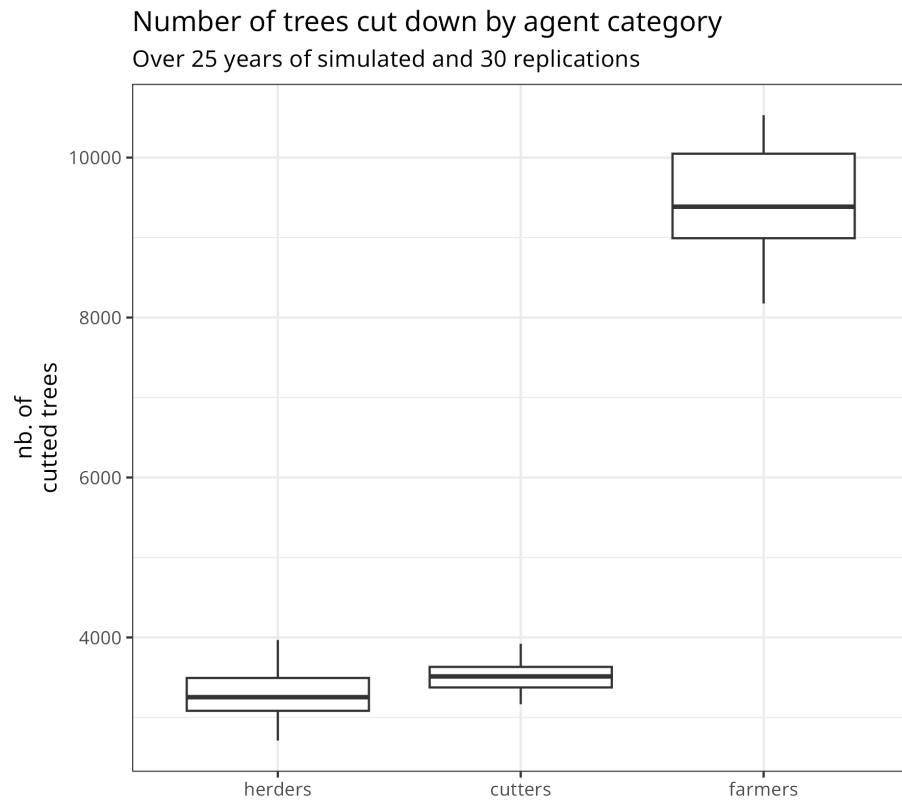


Figure 3: Boxplot showing the total number of trees cut down at the end of the simulation by resource user category. It is evident that farmers are responsible for the highest number of trees removed from the system across all categories. These results are based on 30 replications of the same parameter set.

## 583 4 Discussion

584 As we outlined in the introduction, our purpose here is to support discussions  
585 and the ability of stakeholders to make concerted decisions by using companion  
586 modeling (ComMod). By adopting the "Different Modelling Purposes" from  
587 (Edmonds et al. 2019), we position our intervention within two categories: il-  
588 lustration and social learning (Le Page and Perrotton 2017). Thus, we consider  
589 the process as a whole as the outcome (Etienne 2014), leading the involved  
590 populations to re-examine their management practices of space and natural re-  
591 sources, in order to nurture the socio-spatial relationships that are under stress  
592 (Selfa and Endter-Wada 2008).

593 The work we have conducted throughout this process allows us to discuss two  
594 dimensions: *i)* the elements of the numerical results directly derived from the  
595 model co-constructed with the participants, and *ii)* the transformative impact of  
596 the discussions that took place during the process, which have begun to change  
597 behaviors.

### 598 4.1 Discussion of numerical Results

599 Our global sensitivity analysis (tab. 1 and 2) revealed critical insights into the  
600 dynamics of community surveillance scenarios. Specifically, we found that the  
601 likelihood of community discussions regarding the importance of trees signifi-  
602 cantly influences both millet production (with a sensitivity index of 0.72) and  
603 the overall number of trees (sensitivity index of 0.59). These results underscore  
604 the crucial role of active participation and awareness within the community.  
605 They suggest that integrating structured discussions about tree preservation  
606 into major life events, like traditional rituals, and daily interactions could en-  
607 hance the effectiveness of community-based natural resource management. By  
608 formalizing these discussions, communities can better understand and address  
609 the ecological and social implications of their traditional and current land use  
610 practices.

611  
612 In scenarios where surveillance is delegated to forestry agents, the dynamics  
613 of resource management shift noticeably. While the time spent in the field and  
614 the likelihood of discussions on tree preservation continue to be significant, the  
615 introduction of external surveillance personnel brings additional dynamics into  
616 play. Our analysis indicates that the presence of these agents decreases the fre-  
617 quency of community meetings and the likelihood of reporting illegal activities,  
618 suggesting a shift towards a more controlled but potentially less community-  
619 involved management system. This shift was evident in our *Pattern Space Ex-*  
620 *ploration* (PSE) results (c.f. fig. 2), highlighting changes in community dynam-  
621 ics under different surveillance regimes.

622  
623 Our PSE analysis has unveiled a notable negative correlation between millet  
624 production and firewood harvesting, regardless of the regulatory approach em-  
625 ployed. The simulations that reached the extremes of this model output space

626 demonstrated that reducing firewood harvest not only protects more young  
627 plants but also significantly boosts millet yields. This finding suggests that  
628 policies aimed at reducing wood harvest could simultaneously enhance agricul-  
629 tural productivity and biodiversity conservation, offering a dual benefit to the  
630 communities involved.

631

## 632 4.2 Driving Transformation Through Companion Model- 633 ing

634 Our study has illuminated practical solutions through the use of community  
635 surveillance, which has shown improvements in either conservation or agricul-  
636 tural productivity goals. However, these benefits require a significant time in-  
637 vestment from the community. Effective community surveillance not only de-  
638 mands involvement but also a commitment to ongoing dialogues and actions.  
639 This investment in time and effort needs to be supported by the community, rec-  
640 ognizing the long-term benefits in fostering sustainable practices and enhanced  
641 resource management.

642

643 During our research, we identified a critical issue previously unnoticed by  
644 participants of the Living Lab : the significant impact of agricultural practices  
645 on tree destruction (c.f. fig. 3). This destruction often goes unnoticed as it  
646 primarily involves the removal of young saplings during routine weeding, which  
647 is less dramatic than the felling of mature trees. The use of ploughs drawn by  
648 horses has eased the labor of farmers, yet has inadvertently led to the reduc-  
649 tion of young trees. This oversight highlights the need for adapting agricultural  
650 practices to mitigate unintended environmental impacts.

651

652 The results of our study profoundly impacted the participants, prompting  
653 them to organize a community outreach day to share findings with neighboring  
654 villages. Recognizing that the protection of trees cannot be managed effectively  
655 at an individual or single-community scale, participants developed proposals for  
656 collective action during this deliberative session. This initiative reflects a strong  
657 community awareness and a commitment to extending the scope of conservation  
658 efforts beyond their immediate environment.

## 659 5 Conclusion

660 This research began by identifying the aspirations of local populations, from  
661 which we selected those we could feasibly address. Among these aspirations,  
662 the desire for a restored environment was paramount, manifesting in our efforts  
663 to increase tree populations, particularly of *Faidherbia albida*.

664 Building on this aspiration, we collaborated with local communities through  
665 multiple workshops to develop an agent-based model. This model serves not  
666 just as a scientific tool but as a reflection of the community’s perceived critical

relationships and strategies necessary to achieve their environmental goals. This process melds scientific experimentation with co-construction and exploratory companion modeling, ensuring that the model's outcomes are deeply rooted in both empirical research and community insights.

The results of the model should be viewed within the trajectory of the community group, indicating that they encapsulate the relationships the group deemed essential for realizing their aspirations. These results highlight collective opportunities for improving tree counts in the area but also expose contradictions, such as the competing need for firewood for cooking.

This conflict suggests that in addition to protecting young saplings, it is crucial to promote alternatives to firewood, such as the use of improved stoves or *Typha* charcoal. Protecting and increasing tree numbers in the area primarily requires supporting farmers to identify and preserve young saplings in the fields until they reach maturity.

Although the practice of marking young tree saplings for protection exists, it is not sufficiently adopted. The use of simulation to orchestrate the system of interrelationships that the actors co-constructed has been instrumental in raising awareness about the importance of collective action. This awareness has led to the organization of deliberation days on the subject with neighboring villages.

Engaging stakeholders in model exploration is an extremely powerful activity when aiming to encourage them to consider alternative futures. This participatory approach not only fosters a deeper understanding of the ecological and social dynamics at play but also empowers communities to actively participate in shaping their environmental futures.

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### Author Contributions

Describe contributions of each author to the paper, using the first initial and full last name.

“L. Broutin conceived the model and realize interviews.”

“E. Delay and L. Broutin animate multi-actor focus groups.”

“E. Delay assisted L. Broutin in the development of the model and conducte the HPC exploration.”

“E. Delay realize the first draft of this manuscript.”

“All authors contributed equally to 2nd version of the manuscript.”

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## 714 Conflicts of Interest

715 The author(s) declare(s) that there is no conflict of interest regarding the pub-  
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