

# From Local Actors to Leaf Protectors: A Companion Modeling Approach for Rethinking Tree Management and Protection Measures in Senegal’s Groundnut Basin

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## Abstract

How can a participatory simulation model contribute to understanding the socio-ecological dynamics and fostering innovative strategies for sustainable management of trees, crops, and pastoralism in the peanut basin?

In the agro-pastoral zones, the Sahelian ecosystems have undergone significant degradation, characterized by a reduction in tree cover, as a consequence of the droughts in the 1960s and 1990s. The peanut basin stands out for its positive interrelationships between trees, crops, and pastoralism. However, the regeneration of the *Faidherbia* park has declined since the major droughts. Through collaborative efforts with agro-pastoral farmers, we have developed a simulation model – The SAFIRE model : Simulation of Agents for Fertility, Integrated Energy, Food security, and Reforestation– that aims to unravel the complex social and ecological dynamics at play and explore potential strategies in partnership with local communities.

By exploring the results of the model co-designed with local stakeholders, we have identified more effective management strategies, as per the request of the local actors. However, more importantly, we have collectively questioned the conditions for improving tree cover and the viability of the socio-ecosystem, particularly in relation to the demand for firewood and local cereal for sustenance. This has prompted the stakeholders to engage in community-wide discussions and transform agro-pastoralists into leaf protectors.

## 1 Introduction

La région sahélienne a été le témoin d’une série de sécheresses dévastatrices s’étalant des années 1960 aux années 1990, ayant provoqué une dégradation substantielle de ses écosystèmes, en particulier par la réduction significative de leur couvert arboré (Mbow et al. 2015). Cette perte de couvert arboré a eu des conséquences néfastes, se traduisant par une diminution des services écosystémiques essentiels fournis à la population et à la biodiversité. Cependant, l’ampleur de cette perte de SE est d’autant plus préoccupante que la population de la région sahélienne ne cesse de croître rapidement (Cesaro et al. 2023). Dans un contexte de pénurie, l’utilisation intensive des ressources naturelles

par l’agriculture et l’élevage aggrave la dégradation des terres et de la fertilité des sols (Tappan et al. 2016).

Ester Boserup (Boserup and Chambers 1965) a avancé l’idée que la baisse de la fertilité des sols pousse les agriculteurs à intensifier leurs pratiques. Les travaux postérieurs cherchant à établir des liens entre phénomènes sociaux et pratiques agricoles se sont souvent centrés sur l’intensification.

Or, dans le cadre du projet de recherche et développement DSCATT (Dynamique de la Séquestration du Carbone dans les sols des systèmes agricoles Tropicaux et Tempérés), les participants impliqués dans le living-labs au Sénégal ont très tôt fait le lien entre la fertilité des sols et la présence d’une espèce d’arbre : *Faidherbia albida*. Nous avons donc orienté notre travail pour comprendre l’importance des arbres dans les pratiques agricoles des paysans du bassin arachidier sénégalais. Pour ce faire, nous avons examiné les usages des arbres et les pratiques de gestion des populations locales afin de construire un modèle de simulation co-construit : le modèle SAFIRE (Simulation of Agents for Fertility, Integrated Energy, Food security, and Reforestation). Cette approche s’inscrit dans un cadre de Modélisation d’accompagnement (ComMod) (Etienne 2014; Barreteau et al. 2003) et d’Exploration d’accompagnement (ComExp) (Delay, Chapron, et al. 2020) visant à explorer collectivement les futurs possibles pour le territoire.

Dans le contexte de la gestion durable des terres, les options de restauration identifiées par les paysans sont étroitement liées à la surveillance des arbres pour réduire les risques de prédation par les populations avoisinantes et notamment les éleveurs dans ce conflit millénaire qui oppose les agriculteurs et les éleveurs. Deux pistes d’exploration ont émergé des échanges avec les communautés locales : l’influence de la surveillance déléguée aux agents des eaux et forêts, ainsi que les conditions de développement du parc arboré lorsque la surveillance reste sous la responsabilité de la population.

Nous nous retrouvons alors dans le cas de recherche de solution de conservation des ressources naturelles basée sur les communautés qui sont largement documentées depuis le sommet de Rio qui en faisait la promotion (Selfa and Endter-Wada 2008; Maraseni et al. 2019; He et al. 2020).

Or si la question de l’influence des différents modes de gestion des arbres (gestion déléguée aux services des eaux et forêts, ou gestion communautaire) a été largement discutée en soulignant la configuration territoriale que l’une et l’autre engendrent, un point fondamental a été levé. En effet, à travers la simulation à base d’agent co-construite et donc validée par les acteurs, nous avons pu montrer que les éleveurs étaient le bouc émissaire idéal de la disparition des arbres dans la zone. Les agriculteurs en passant d’une agriculture manuelle à une agriculture attelée n’ont pas eu le temps d’adapter leurs pratiques à la protection des jeunes pousses d’arbre.

En mobilisant une approche ComMod et ComExp, nous avons cherché à accompagner les acteurs dans leur processus de réimagination de futures (Jansujwicz et al. 2021) pour les accompagner dans une transformation territoriale souhaitée. Notre propos ici est d’accompagner les discussions et les capacités des acteurs par de la modélisation d’accompagnement. En reprenant donc les "Different Modelling Purposes" (Edmonds et al. 2019) nous positionnons notre intervention dans les deux catégories : illustration et social learning (Le Page and Perrotton 2017). Nous considérons ici l’illustration comme ayant pour objectif de nous faire une idée des bonnes voies à prendre en explorant de manière massive le modèle co-construit. Ces illustrations doivent rester dans une perspective d’apprentissage sociale, c’est-à-dire construire pour refléter la vision partagée des acteurs sur le monde en faisant l’hypothèse qu’ils seront alors plus à même de se saisir des résultats pour transformer leur système.

## 2 Materials and Methods

The Rio Summit in 1992 marked a significant turning point in the perception of natural resource management. It contributed to the democratization of community-based management, promoting a shift from an authoritarian vision of resource management to more integrated approaches (Delay, Ka, et al. 2022). This evolution recognized the importance of actively involving local stakeholders in

87 decision-making and the management of their natural environments. However, integrating commu-  
88 nities into resource management processes has introduced complex challenges, particularly regarding  
89 the co-construction of common representations and understandings of these environments.

90 Integrating heterogeneous actors within collectives to co-construct models and simulations has  
91 emerged as an innovative response to these challenges. Fully aligned with the philosophy of com-  
92 panion modeling, this approach has given rise to novel methods. In our work, we facilitated work-  
93 shops aimed at developing an anticipatory method we named ACARDI (Perrotton et al. 2021).  
94 This method relies on close collaboration between researchers and local actors, emphasizing the co-  
95 construction of models and simulations to anticipate territorial changes. At the end of the process,  
96 we established the first Living Lab of the Niakhar observatory.

97 After conducting participatory workshops in Diohine, actively involving local stakeholders, we  
98 identified several specific aspirations and concerns of the population regarding their territory. Among  
99 these, the aspiration for the "return of fauna and flora" particularly caught our attention. To explore  
100 this aspiration more thoroughly, we combined an anthropological approach with the co-construction  
101 of a simulation model. This section focuses on our approach and methodology aimed at bringing this  
102 aspiration to life, combining socio-cultural aspects and modeling tools for a holistic understanding.

## 103 **2.1 Modelling for Empowerment - An Anthropological Approach to Par-** 104 **ticipatory Model Co-construction**

105 The implementation of the ACARDI workshops marked a crucial phase of our research, characterized  
106 by a three-month immersion in Diohine. This immersion extended beyond workshop discussions,  
107 enabling an in-depth information collection process through interviews and participant observation.  
108 This fieldwork was essential for gaining a nuanced understanding of the local population's aspirations  
109 and concerns regarding territorial management.

110 Through these interviews, focus groupes and participant observation, a process of developing con-  
111 ceptual models was initiated, gradually evolving into the creation of an agent-based computational  
112 model. The co-construction of these models was a collaborative and iterative approach, actively  
113 involving the stakeholders of the Diohine Living Lab. Local actors played a key role in discussing,  
114 evaluating, and validating each aspect of the model, thereby ensuring it accurately reflected their  
115 realities and expectations.

116 Following a thorough validation of the model with local stakeholders, described as expert vali-  
117 dation (Bommel 2009), we began exploring the model using the OpenMole platform (Reuillon et al.  
118 2013). This exploration phase allowed us to simulate various scenarios and gather significant results,  
119 which were then presented to the Living Lab participants for feedback and further discussion. To fa-  
120 cilitate this crucial step, we developed an interactive interface designed to simplify the manipulation  
121 and validation of large amounts of data by the stakeholders, ensuring a smooth and collaborative  
122 experience.

## 123 **2.2 ODD**

124 In this section, we will describe the SAFIRE model (Simulation of Agents for Fertility, Integrated  
125 Energy, Food security, and Reforestation) using the ODD (Overview, Design concepts, and Details)  
126 framework (Grimm, Berger, Bastiansen, et al. 2006; Grimm, Berger, DeAngelis, et al. 2010; Grimm,  
127 Railsback, et al. 2020).

### 128 **2.2.1 Overview**

#### 129 **Purpose**

130 The peanut basin is facing a loss of soil fertility. Chemical amendments are either unavailable in  
131 the area or economically inaccessible for farmers. Therefore, soil fertility depends on two aspects:

the presence of livestock in the territory to maintain year-round manure, and the *Faidherbia albida* trees which play a fundamental role in crop cycles. Indeed, these trees have the unique characteristic of shedding their leaves during the growing season and fixing nitrogen from the air into the soil.

The model thus aims to evaluate and explore solutions for managing the *Faidherbia* park to increase their density. The model focuses on exploring so-called community initiatives.

The objective of this study was co-defined with the participants based on their desire to restore trees and biodiversity. According to their perspective, the decline in tree population is strongly linked to individual practices associated with pastoralism. Thus, the aim was to reassess the functioning of their system, the role of "tree cutters," and the optimization of surveillance by comparing community-based surveillance efforts with centralized surveillance conducted by them and the forestry department.

Throughout the study, we also examined the role of farmers and agro-pastoralists in the disappearance of trees. It was observed that young tree seedlings are no longer marked and destroyed by animal-drawn tools.

### Entities, state variables and scales

The entities in the model are relatively numerous: some are static (trees, plots, and village), while others are in motion (shepherds, farmers, woodcutters, and overseers).

patches : nbarbresici: Number of trees present on this patch; arbre-ici: Indicates if there is a tree on this patch (reference to a specific tree); tree-influence: Influence of the tree on this patch (can represent aspects like shade, nutrients affected by the tree, etc.); under-tree: (TRUE/FALSE) Indicates if the patch is under a tree; culture: Type of crop on this patch (can be millet or groundnut); en-culture: Indicates if the patch is currently used for crops; rendement-mil-g: Yield of millet on the patch in terms of grains (to be calibrated later); rendement-mil-p: Yield of millet on the patch in terms of bundles; rendement-groundnuts-g: Yield of groundnuts on the patch in terms of grains; rendement-groundnuts-p: Yield of groundnuts on the patch in terms of bundles; id-parcelle: Identifies the parcel, allowing the structure of the parcels to be maintained during rotation; pas-rotation: Tracks parcels that have not yet rotated (system of +1); rotation: (TRUE/FALSE) Indicates if the parcel has already undergone rotation; champ-brousse: Indicates if the patch is in bushland; zoné: (TRUE/FALSE) Indicates if the patch is used for defining fallow zones; zone: Indicates to which fallow rotation zone the patch belongs (there are 3 zones for fallow rotation).

Trees : proche-village: Likely unnecessary variable (trees in villages are also pruned); nb-coupes: Number of cuts; nb-jour-coupe: Number of cutting days; age-tree: Age of the tree. Trees have also a subclass Saplings composed by age: Age in days ; signalé: Reported; rna-coupe: Cut in RNA.

farmers : id-agri: Links to the farmer's unique parcel; engagé: TRUE/FALSE engagement in the Assisted natural regeneration (RNA in fr); interet-RNA: Interest in the RNA; jour-champ: Days spent in the field; nb-ha-a: Number of hectares allocated; stock-mil: Stock of millet; idMyBerger: Identifier of the associated shepherd; nb-patches: Number of patches; mon-chp-RNA: Field in the RNA.

header : troupeau-nourri: does the herd have enough to eat, currently TRUE/FALSE; arbre-choisi: tree chosen to be cut and fed to animals as fodder ; nb-têtes: herd size for the shepherd ; nb-ha-b: Between 3.8 (newly settled, 11%) and 5.5 (89% of the population); stock-fourrage: Forage stock; idAgri: Identifier of my reference farmer.

woodcutters : attrape: Captured (TRUE/FALSE); nb-attrape: Number time he was captured; jours-peur: Days of fear after capuration; en-coupe: Currently cutting.

The simulated space, through which the agents interact, represents 100 hectares. It is composed of 1000 spatial entities (patches) with a size of 10 square meters (resolution). It is exclusively agricultural since the inhabited area of the village is condensed into a single point. (The areas that are not cultivated, such as wetlands and pathways, are rare and have not been represented.)

The irreducible time step is one day (tick). The various elements of the system (interactions,

etc.) take into account the seasonality that structures agricultural activities. Every 364 days, a new year begins and the rhythm of the seasons continues. A second time unit can be considered: the year, which consists of seasons. Simulations are generally carried out over 23 years. At the beginning of the simulation, the first 3 years are considered to initialize the model.

## Process overview and scheduling

This section provides an overview of the processes and their scheduling within the model. The model is composed of several sub-models that simulate various aspects of the ecosystem and human activities. Each process is organized and executed in a specific sequence to reflect the interactions and dependencies within the system. The following describes repeated procedures that occur at each time step:

- Harvest and Crop Management

- Harvest and stockpiling: Farmers harvest crops and store them in their stockpiles.
- Effect of machinery on unprotected saplings: Machinery used in the fields may damage or destroy unprotected saplings.
- Crop rotation: Farmers rotate crops between different fields to maintain soil fertility and reduce pest buildup.

- Tree Growth and Reproduction

- Sprouting: New saplings sprout around mature trees, influenced by tree density and environmental conditions.
- Sapling growth: Saplings grow over time, with growth rates dependent on available resources and environmental factors.
- Aging and death of trees: Trees age and may eventually die due to old age, disease, or other environmental factors.

- Livestock Feeding and Forage Use of Acacias

- Feeding livestock with straw: Shepherds feed their livestock with straw collected from the fields.
- Tree cutting: Trees are cut down for forage or other uses by the shepherds.
- Livestock in fallow land and cutting of saplings: Livestock graze in fallow lands, and shepherds may cut down saplings to manage the land.

- Cutting of Saplings by Woodcutters

- Detection of saplings: Woodcutters search for and identify saplings that can be cut.
- Cutting of saplings: Once identified, saplings are cut by the woodcutters for use as firewood or other purposes.

- Farmer Engagement

- Participation in meetings: Farmers participate in community meetings to discuss agricultural practices and share knowledge.
- Observing the success of neighbors: Farmers observe the practices and successes of their neighbors to learn and adapt.
- Social interaction and motivation: Social interactions among farmers influence their motivation and engagement in community activities.

- 225       – Protection of saplings: Farmers take actions to protect saplings from damage by livestock
- 226       or machinery.
- 227       • Surveillance
- 228       – Surveillance and presence of farmers in the fields (generalized community surveillance):
- 229       Farmers patrol their fields and monitor for any issues such as pests or unauthorized
- 230       grazing.
- 231       – Delegated community surveillance: Specific individuals or groups are assigned the task
- 232       of community surveillance to ensure all fields are monitored effectively.

## 233   2.2.2   Design Concepts

### 234   Basic principles

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236       In their paper (Roupsard et al. 2020), a link was established between the presence of *Faidherbia*  
237       *albida* trees and the improvement of crop yields in the region. We have extended this work to cal-  
238       ibrate the model on the relationship between these trees and cultivated plants. By engaging with  
239       stakeholders on their interactions with plants through food and livestock, we aimed to socially ad-  
240       dress the paradox of the non-renewal of these trees. Given that the area has been well-documented  
241       by agronomists since the establishment of the Niakhar observatory, we were able to collect quantita-  
242       tive data on historical (Pieri 1989; Pelissier 1966) and contemporary (Audouin et al. 2018; Ba et al.  
243       2018) forms of rural organization. This comprehensive documentation facilitated our understanding  
244       and analysis of the agricultural systems and social structures within the region. This work primarily  
245       involved adopting a systemic approach as identified by the stakeholders during participatory mod-  
246       eling workshops using the ARDI methodology (Etienne et al. 2011; Etienne 2014).

### 247   Adaptation

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250       Two agents exhibit adaptive/changing behaviors: the woodcutters and the farmers. The re-  
251       sponse of the woodcutters to being caught by a sapling protector varies according to the number  
252       of times they have been caught previously. Farmers have a score describing their interest in tree  
253       protection. This score evolves constantly according to several rules: encountering another engaged  
254       farmer, observing the success of a neighbor’s protection system, participating in meetings, etc.

### 255   Emergence

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258       There are several intriguing elements to examine, such as the emergence at the model level and  
259       the enhanced understanding of social and ecological interrelationships and solidarity among the  
260       actors. The model emphasizes the significant impact of agriculture (likely due to the introduction of  
261       plow-based farming) on the destruction of *Faidherbia albida* saplings (weak emergence). Moreover,  
262       a strong emergence is observed when agents are permitted to organize on a community level, as  
263       neighbors gradually engage each other in sustaining interest in assisted tree regeneration.

264       Highlighting potential long-term trajectories to reach acceptable production volumes should be  
265       considered both from the viewpoint of weak emergence within the model, due to its mechanistic  
266       processes, and strong emergence, as it drives participants to actively engage and commit to the  
267       theme beyond the facilitation process.

### 268   Sensing

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271       The patches within the action radius of the trees will yield more. Agents are capable of perceiving  
272       the farmers around them. Shepherds will not cut young saplings if there is a concerned farmer nearby,

and the same applies to woodcutters. Farmers, in turn, perceive their neighbors and will interact with them if they have adjacent fields. Through these interactions, they discuss assisted natural regeneration (ANR) to persuade each other to adopt this practice.

### Interaction

The interaction between agents is direct. Woodcutters interact directly with the saplings by cutting them down. Similarly, shepherds interact directly with the trees by utilizing them for forage. Farmers and supervisors also have direct interactions with woodcutters by stopping them from cutting the saplings. Additionally, farmers destroy young saplings that are not protected.

- **Woodcutters and Saplings:** Woodcutters seek out saplings and cut them down for use as firewood or other purposes. This direct interaction reduces the number of saplings in the environment.
- **Shepherds and Trees:** Shepherds interact with trees by feeding their livestock with tree foliage or cutting down trees for forage. This direct interaction affects the tree population and influences the availability of forage resources.
- **Farmers and Woodcutters:** Farmers interact with woodcutters by attempting to stop them from cutting saplings. When farmers encounter woodcutters in the field, they may intervene to protect the saplings.
- **Supervisors and Woodcutters:** Supervisors, acting as protectors, also interact directly with woodcutters. They monitor the fields and stop woodcutters from cutting down saplings to ensure the protection of young trees.
- **Farmers and Unprotected Saplings:** Farmers destroy young saplings that are not protected. This interaction occurs when farmers are working in their fields and come across unprotected saplings, which they remove to prevent interference with their crops.

### Stochasticity

Many events in the model rely on stochasticity since they are probabilistic. Probability is often used as a frequency measure. This is the case for the movements of farmers in their fields and the probability that farmers will discuss the RNA (Assisted natural regeneration) with each other.

Stochasticity is used to represent uncertainty, particularly concerning whether supervisors catch woodcutters. Since supervisors do not spend the entire day in a single field, they may visit a field without encountering the woodcutter.

Finally, randomness is used to create variability in initial conditions. This is the case for the number of heads in different herds, which vary in size, and for the initial age of each tree, resulting in trees of varying ages.

#### • Partial Randomness as Uncertainty

- **Farmer Movements:** The movements of farmers within their fields are determined randomly. This means that their location at any given time is based on a probability distribution, ensuring variability in their positions.
- **Discussions about RNA:** The likelihood that farmers will engage in discussions about the RNA is also probabilistic. This frequency-based probability allows for random interactions among farmers, influencing their engagement with the RNA.
- **Supervisors and Woodcutters:** The uncertainty in supervisors catching woodcutters is modeled using partial randomness. Supervisors patrol fields but may not always encounter woodcutters due to the random nature of their patrol routes and the woodcutters' activities.

319 • Randomness for Initial Variability

- 320 – Herd Sizes: The initial number of heads in each herd is determined randomly, resulting  
321 in herds of varying sizes. This introduces variability into the model, reflecting real-world  
322 differences in herd sizes.
- 323 – Tree Ages: The initial age of each tree is assigned randomly, creating a population of trees  
324 with a range of ages. This variability in tree ages ensures a more realistic representation  
325 of a forest with trees at different stages of growth.  
326

327 **Collective actions**

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329 Collective forms emerge with the engagement of farmers in the protection of saplings. The larger  
330 the group of engaged farmers, the more likely it is for others to join, and the more assured the  
331 group's longevity.

- 332 • Farmer Engagement: As farmers begin to engage in sapling protection, they form groups that  
333 work collectively towards this goal.
- 334 • Group Growth: The probability of additional farmers joining the group increases with the  
335 group's size. This creates a positive feedback loop where the more farmers are engaged, the  
336 more likely it is for others to join.
- 337 • Group Longevity: The sustainability of the group is enhanced as it grows. A larger group of  
338 engaged farmers is more resilient and capable of maintaining their collective efforts over time.  
339

340 **Observation**

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342 We will monitor several metrics:

- 343 • Millet and Groundnut Production: This indicator tracks the total production of millet and  
344 groundnut in the simulation. It helps assess the agricultural output and food security within  
345 the modeled environment.
- 346 • Number of Trees at Each Development Stage: This metric measures the number of trees at  
347 various stages of development, such as saplings, young trees, and mature trees. It provides  
348 insight into the growth dynamics and regeneration of the forest.
- 349 • Number of Trees Destroyed by Each Type of Agent: This indicator counts the number of trees  
350 destroyed by different agents, such as farmers, woodcutters, and livestock. It helps understand  
351 the impact of various human and animal activities on the forest.
- 352 • Volume of Wood Cut for Cooking: This metric tracks the amount of wood harvested specifically  
353 for cooking purposes. It helps assess the pressure on forest resources for domestic energy needs.
- 354 • Age of Each Tree Sapling: This indicator measures the age of each sapling in the simulation.  
355 It provides information on the regeneration rate and the survival of young trees.
- 356 • Number of Farmers Engaged in the RNA: This metric tracks the number of farmers actively  
357 participating in the National Agricultural Network (RNA). It helps evaluate the level of com-  
358 munity involvement and engagement in agricultural and environmental initiatives.  
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### 2.2.3 Details

#### Initialization

At initialization, the environment is generated with the following steps:

- Generation of Parcels and Crops: The landscape is divided into parcels, each designated for specific types of crops. This step sets up the agricultural fields and assigns crop types to each parcel.
- Generation of Trees and Their Fertilizing Effects: Trees are distributed throughout the environment, considering their effects on soil fertility. Trees influence the nutrient levels of the patches they occupy, enhancing soil quality in their vicinity.
- Generation of Human Agents: Human agents, including shepherds, woodcutters, farmers, and supervisors, are created and placed in the environment. Each agent type has specific roles and behaviors that contribute to the model's dynamics.
- Generation of the Village: The village is established as a central location where human agents reside. This step involves setting up the village infrastructure and assigning homes to the agents.

#### Input data

We don't use input data.

#### Submodels

## 2.3 Statistical Analysis and our Companion Modeling approach

Our work is grounded in the approach of companion modeling (Barreteau et al. 2003). However, we aim to address the pressing need for model exploration. This entails combining and hybridizing elements that are rarely integrated: exploring the model alongside the stakeholders. To achieve this, we employed traditional agent-based modeling tools and made a concerted effort to conduct these analyses with the farmers of the peanut basin, engaging them in discussions about the exploration results. We performed a sensitivity analysis and utilized the "pattern space exploration" method to produce results reflecting the range of possible long-term scenarios. These results were then discussed with the stakeholders to ensure a comprehensive understanding and validation.

### 2.3.1 Sensitivity analysis : saltelli method

Sensitivity analysis comprises a range of techniques that assess how a model responds to variations in its input parameters. These statistical methods aim to quantify the extent to which changes in the inputs influence the variability observed in the outputs. In accordance with the definition provided by Saltelli et al. (2008)(Saltelli 2008), sensitivity analysis determines the "relative importance of each input in determining [output] variability." Consequently, these methods often yield a ranking or ordering of the inputs based on their respective sensitivity levels.

### 2.3.2 Pattern Space Exploration (PSE)

The PSE (Chérel et al. 2015) method, based on genetic algorithms, is specifically designed to comprehensively cover the output space, resulting in its maximum score in output exploration – e.g. "explore the output's diversity of a model"<sup>1</sup>. By exploring the output space (c.f. fig. 1), the

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<sup>1</sup><https://openmole.org/PSE.html>, consulté le 5 juin 2023

PSE method uncovers new patterns, providing insights into the model’s sensitivity by examining the corresponding input values. Unlike calibration-based methods, PSE’s effectiveness is influenced by the dimensionality of the output space, as it keeps a record of all the covered locations during exploration.

In addition, the PSE method usually takes stochasticity into account by estimating selected models using the median of multiple output values obtained from model runs. For our purposes, and as we are in a situation where the results need to be discussed with stakeholders, we have chosen to focus not on the median, but on the last decille. This means that simulations are retained if more than 90% of the results converge towards the identified output.

The algorithm’s ability to explore a diversity of output forms is extraordinarily powerful in the context of companion modeling. Indeed, participants in co-construction sessions gradually became accustomed to the practice of modeling (Etienne 2014). They developed the ability to interrelate various elements of the system. However, the strength of agent-based modeling lies in the fact that they cannot anticipate emergent phenomena. Once the model has gained sufficient confidence, questioning the group about marginal aspects or unconsidered input parameter sets in the model proves to be extremely fruitful from the perspectives of emancipation and anticipating unforeseeable situations.

### 2.3.3 Engaging Stakeholders in Result and Thresholds Discussions

Working with participants on the PSE results enabled us to address the final conditions and thus the various configurations of the future. To facilitate this, we organized a feedback workshop during which we presented the simulation outcomes and discussed their implications for the systems they had described.

Subsequently, we took time for collective reflection during which the participants collaboratively defined the final conditions that seemed particularly compelling to them. This interest was formalized around contrasting models.

These archetype outputs allowed us to address and clarify situations that were previously unthinkable (Banos 2010). On figure 1, we can perceive situations A, B, and C as a series of contrasting scenarios for which we will discuss with the participants the configurations that have led to these outcomes. This leads to extremely rich discussions that compel stakeholders to consider these previously unthinkable situations and to collectively discuss the processes for satisfying individual needs regarding the collective inputs.

## 3 Results

The Saltelli analysis allows us to compare two surveillance scenarios, enabling us to identify the rearrangement of variables that occurs when the surveillance regime changes.

Following this, we conducted a Pattern Space Exploration (PSE) to identify the simulations that, in the context of community surveillance, increase the number of trees. This is a nonlinear process with an increase in fertility correlated with an increase in the number of trees.

### 3.1 Understanding stakeholders Variable Importance via Sensitivity Analysis

We conducted the same analysis twice on different simulation scenarios. First, we performed an analysis on the community surveillance system. The second analysis shifts the workload to a dedicated surveillance system to mimic the functioning of surveillance by a water and forest authority.

Comparing these two analyses allows us to assess the influence of a change in practice on the system’s operation and to identify the structural changes they induce.

In a community surveillance scenario, the global sensitivity analysis shows that the probability of discussing the importance of trees plays an extremely significant role in both millet production (0.72) and the total number of trees (0.59) at the end of the simulation (see Table 1).

the frequency of awareness meetings about the benefits of trees has a role, albeit more limited, in the number of trees (0.23) and millet production (0.30). Similarly, the time spent in the fields also affects the number of trees (0.29) and millet production (0.16).

Finally, the probability of reporting a woodcutter when seen impacts the number of trees (0.25) but less so millet production (0.12).

The presence in the bush has little importance on both the number of trees and millet production.

Dans un scenarion dans lequel la surveillance est effectu   par un agents des eaux et for  t la dynamique change un peut (c.f. table 2). Dans le mesure ou cette surveillance n'est plus faite par la population.

Le temps au champ, et la probabilit   de discuter d'un sujet en lien avec la pr  servation des arbres sont deux param  tres qui ont une influence relativement forte dans les m  mes ordre de grandeur que le nombre de surveillant. Dans un contexte ou la surveillance n'est pas assur   par la population, la fr  quence des r  union, et le probabilit   de d  nonc   un coupeur n'ont que peut d'influence.

	om_trees	om_stockMil
probaDiscu	0.59	0.72
fr��quenceR��u	0.23	0.30
tpsAuChamp	0.29	0.16
probaDenonce	0.25	0.12
nbProTGMax	0.33	0.10
qPr��senceBrousse	0.11	0.04

Table 1: Saltelli sensitivity analysis when surveillance is delegated to the community

	om_trees	om_stockMil
nbProTGMax	0.5	0.3
ok tpsAuChamp	0.29	0.22
ok nbSurveillants	0.20	0.29
ok probaDiscu	0.15	0.27
ok qPr��senceBrousse	0.15	0.10
fr��quenceR��u	0.07	0.14
probaDenonce	0.00	0.02

Table 2: Saltelli sensitivity analysis when surveillance is managed transandially

## 3.2 Patern Space exploration

The PSE algorithm discretizes the model output space to systematically explore its diversity. We have configured it to retain only the results achieved in 95% of the simulation cases. The input parameters – shown in Table 3 – are left unrestricted to facilitate this exploration.

On Figure 2, we observe a negative relationship between millet production and firewood production. That is to say, the more we can harvest firewood, the less we can harvest millet.

If we then look at the influence of the type of tree surveillance. By comparing the two scenarios, we can see that simulations reaching the numbered spaces 1 and 2 on Figure 2a, representing

Variables	Range
tpsAuChamp	(0.0, 100.0)
qPr��senceBrousse	(0.0, 1.0)
fr��quenceR��u	(1.0, 10.0)
probaDenonce	(0.0, 100.0)
probaDiscu	(1.0, 100.0)
nbProTGMax	(5.0, 50.0)

Table 3: Variation range for PSE parameters in a community surveillance contexte

community surveillance, are less present on Figure 2b, which represents the delegated surveillance scenario. Conversely, on Figure 2b, the model tends to easily reach intermediate situations (large, dark blue points).

The extremes framed in 1 and 2 on Figure 2a are associated with a high number of protected seedlings (*nbProTGM*). This provides indications on the trajectories of the systems.

In both situations, reducing the harvesting of firewood leads to a higher number of seedlings. In both cases, these situations are reached by simulations where RNA and the diffusion of practices are present in the form of awareness meetings.

### 3.3 Unexpected Yet Attainable: Surprising Results with Minimal Calculations

In the context of the co-construction of the simulation model, by integrating some basic indicators, we highlighted a fundamental issue that had never before been raised by the participants of the Living Lab as a major problem. By closely monitoring the total number of trees cut down, whether by herders for livestock, women for firewood, or farmers during their agricultural activities, a surprising trend emerged for the participants (see fig. 3). This analysis revealed that farmers themselves significantly contribute to tree destruction, albeit in a somewhat silent manner. Specifically, this destruction often goes unnoticed because it manifests through the weeding of very young seedlings, carried out by farmers without their full awareness. This result was extensively discussed during the workshop, which allowed for the clarification of farming techniques to ensure that the translation into the model was accurate. This observation challenges some previous perceptions and raises essential questions regarding the management of tree resources within the community.

## 4 Discussion

As we outlined in the introduction, our purpose here is to support discussions and the ability of stakeholders to make concerted decisions by using companion modeling (ComMod). By adopting the "Different Modelling Purposes" from (Edmonds et al. 2019), we position our intervention within two categories: illustration and social learning (Le Page and Perrotton 2017). Thus, we consider the process as a whole as the outcome (Etienne 2014), leading the involved populations to re-examine their management practices of space and natural resources, in order to nurture the socio-spatial relationships that are under stress (Selfa and Endter-Wada 2008).

The work we have conducted throughout this process allows us to discuss two dimensions: *i)* the elements of the numerical results directly derived from the model co-constructed with the participants, and *ii)* the transformative impact of the discussions that took place during the process, which have begun to change behaviors.

### 4.1 Discussion of numerical Results

Our global sensitivity analysis (tab. 1 and 2) revealed critical insights into the dynamics of community surveillance scenarios. Specifically, we found that the likelihood of community discussions regarding the importance of trees significantly influences both millet production (with a sensitivity index of 0.72) and the overall number of trees (sensitivity index of 0.59). These results underscore the crucial role of active participation and awareness within the community. They suggest that integrating structured discussions about tree preservation into major life events, like traditional rituals, and daily interactions could enhance the effectiveness of community-based natural resource management. By formalizing these discussions, communities can better understand and address the ecological and social implications of their traditional and current land use practices.

In scenarios where surveillance is delegated to forestry agents, the dynamics of resource management shift noticeably. While the time spent in the field and the likelihood of discussions on tree preservation continue to be significant, the introduction of external surveillance personnel brings additional dynamics into play. Our analysis indicates that the presence of these agents decreases the frequency of community meetings and the likelihood of reporting illegal activities, suggesting a shift towards a more controlled but potentially less community-involved management system. This shift was evident in our *Pattern Space Exploration* (PSE) results (c.f. fig. 2), highlighting changes in community dynamics under different surveillance regimes.

Our PSE analysis has unveiled a notable negative correlation between millet production and firewood harvesting, regardless of the regulatory approach employed. The simulations that reached the extremes of this model output space demonstrated that reducing firewood harvest not only protects more young plants but also significantly boosts millet yields. This finding suggests that policies aimed at reducing wood harvest could simultaneously enhance agricultural productivity and biodiversity conservation, offering a dual benefit to the communities involved.

## 4.2 Driving Transformation Through Companion Modeling

Our study has illuminated practical solutions through the use of community surveillance, which has shown improvements in either conservation or agricultural productivity goals. However, these benefits require a significant time investment from the community. Effective community surveillance not only demands involvement but also a commitment to ongoing dialogues and actions. This investment in time and effort needs to be supported by the community, recognizing the long-term benefits in fostering sustainable practices and enhanced resource management.

During our research, we identified a critical issue previously unnoticed by participants of the Living Lab : the significant impact of agricultural practices on tree destruction (c.f. fig. 3). This destruction often goes unnoticed as it primarily involves the removal of young saplings during routine weeding, which is less dramatic than the felling of mature trees. The use of ploughs drawn by horses has eased the labor of farmers, yet has inadvertently led to the reduction of young trees. This oversight highlights the need for adapting agricultural practices to mitigate unintended environmental impacts.

The results of our study profoundly impacted the participants, prompting them to organize a community outreach day to share findings with neighboring villages. Recognizing that the protection of trees cannot be managed effectively at an individual or single-community scale, participants developed proposals for collective action during this deliberative session. This initiative reflects a strong community awareness and a commitment to extending the scope of conservation efforts beyond their immediate environment.

## 5 Conclusion

This research began by identifying the aspirations of local populations, from which we selected those we could feasibly address. Among these aspirations, the desire for a restored environment was paramount, manifesting in our efforts to increase tree populations, particularly of *Faidherbia albida*.

Building on this aspiration, we collaborated with local communities through multiple workshops to develop an agent-based model. This model serves not just as a scientific tool but as a reflection of the community's perceived critical relationships and strategies necessary to achieve their environmental goals. This process melds scientific experimentation with co-construction and exploratory

companion modeling, ensuring that the model’s outcomes are deeply rooted in both empirical research and community insights.

The results of the model should be viewed within the trajectory of the community group, indicating that they encapsulate the relationships the group deemed essential for realizing their aspirations. These results highlight collective opportunities for improving tree counts in the area but also expose contradictions, such as the competing need for firewood for cooking.

This conflict suggests that in addition to protecting young saplings, it is crucial to promote alternatives to firewood, such as the use of improved stoves or *Typha* charcoal. Protecting and increasing tree numbers in the area primarily requires supporting farmers to identify and preserve young saplings in the fields until they reach maturity.

Although the practice of marking young tree saplings for protection exists, it is not sufficiently adopted. The use of simulation to orchestrate the system of interrelationships that the actors co-constructed has been instrumental in raising awareness about the importance of collective action. This awareness has led to the organization of deliberation days on the subject with neighboring villages.

Engaging stakeholders in model exploration is an extremely powerful activity when aiming to encourage them to consider alternative futures. This participatory approach not only fosters a deeper understanding of the ecological and social dynamics at play but also empowers communities to actively participate in shaping their environmental futures.

## Acknowledgments

### General

We warmly thank the residents of Diohine for their hospitality, with special thanks to: Aissatou Faye, Robert Diatte, Pierre Faye, Paul Sene, Ameth Paul Thiaw, Assane Diouf, Guedj Diouf, Nicolas Diouf, Ablaye Faye, Idrissa Faye, Maire-Hélène Ndjira Diouf, Seynabou Gakou, Joseph Sene, Ndeye Thiamal.

### Author Contributions

Describe contributions of each author to the paper, using the first initial and full last name.

“L. Broutin conceived the model and realize interviews.”

“E. Delay and L. Broutin animate multi-actor focus groups.”

“E. Delay assisted L. Broutin in the development of the model and conducte the HPC exploration.”

“E. Delay realize the first draft of this manuscript.”

“All authors contributed equally to 2nd version of the manuscript.”

### Funding

This work is part of the research and development project DSCATT (Dynamics of Soil Carbon Sequestration in Tropical and Temperate Agricultural Systems, <https://dscatt.net/FR/index.html>) co-funded by Agropolis Fondation [reference ID 1802-001] through the “Investissements d’avenir” program Labex Agro [ANR-10-LABX-0001-01] within the framework of I-SITE MUSE [ANR-16-IDEX-0006] and supported by the TOTAL Energies Foundation.

### Conflicts of Interest

The author(s) declare(s) that there is no conflict of interest regarding the publication of this article.

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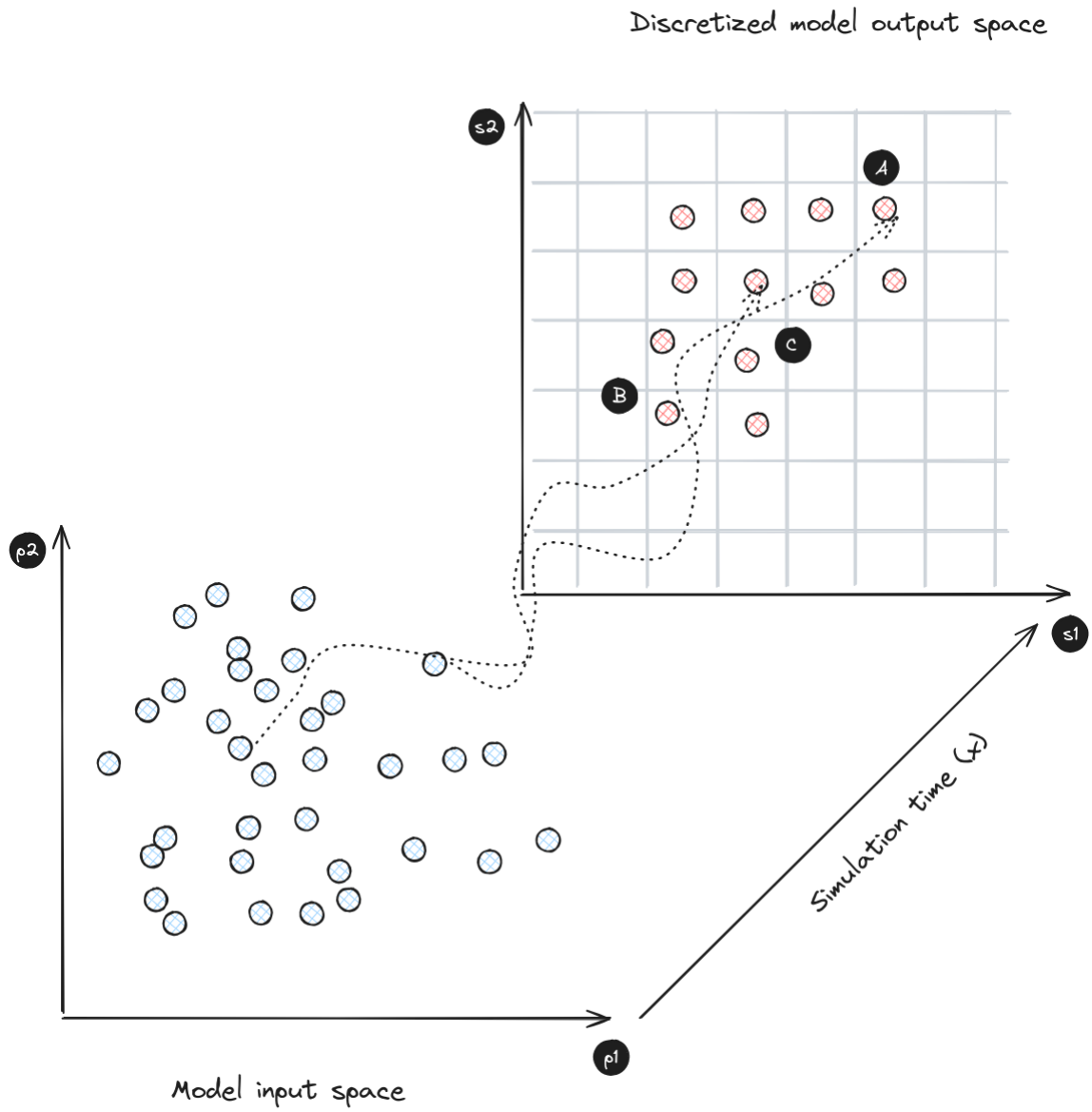
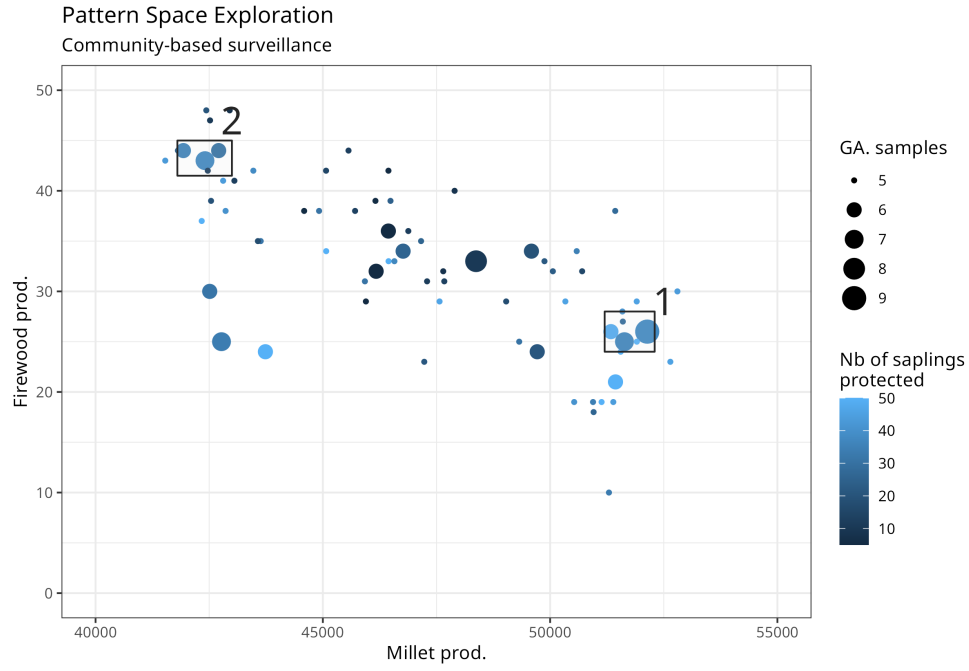
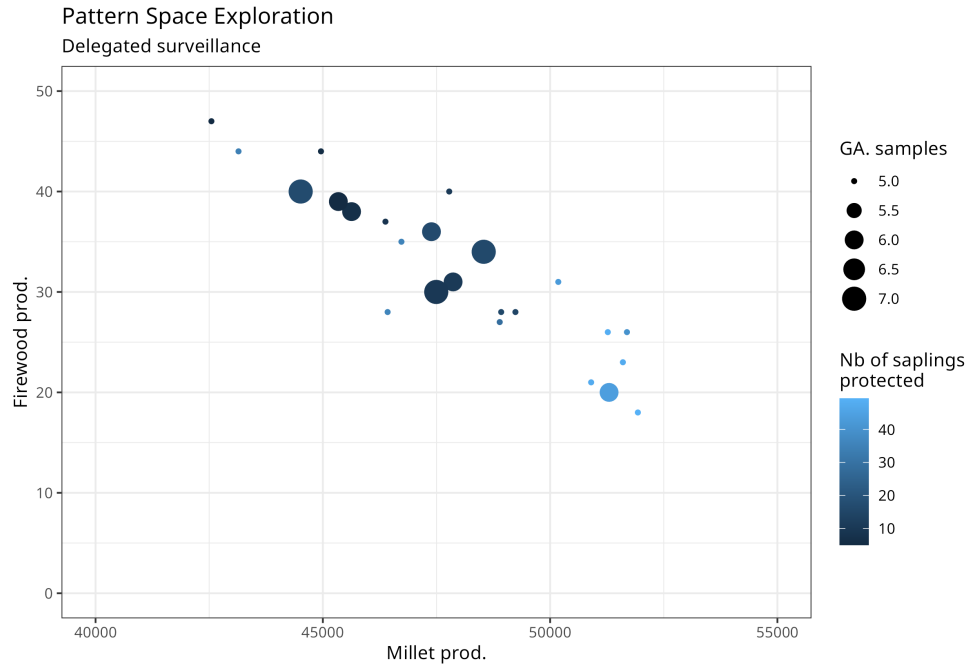


Figure 1: description of how the “pattern space exploration” genetic algorithm works. We see “ $p_1$ ” and “ $p_2$ ” as input parameters, and “ $s_1$ ” and “ $s_2$ ” as output parameters. The algorithm seeks to reach every cell in the grid of “ $s_1$ ” and “ $s_2$ ” to discover the model’s output result domains. A and B and C are outputs of contrasting models



(a)



(b)

Figure 2: PSE results for two different management scenarios: (a) community management and (b) management delegated to an external 'operator'. The size of the points (GA. sample) represents the number of times the algorithm reached this space during its evolution. The color of the points represents the number of young tree saplings that were protected. The x-axis denotes the millet production that was achievable, and the y-axis corresponds to the wood fuel extraction for cooking that was realized in the system. In figure (a), the two numbered squares, 1 and 2, represent the two contrasting situations that were discussed.

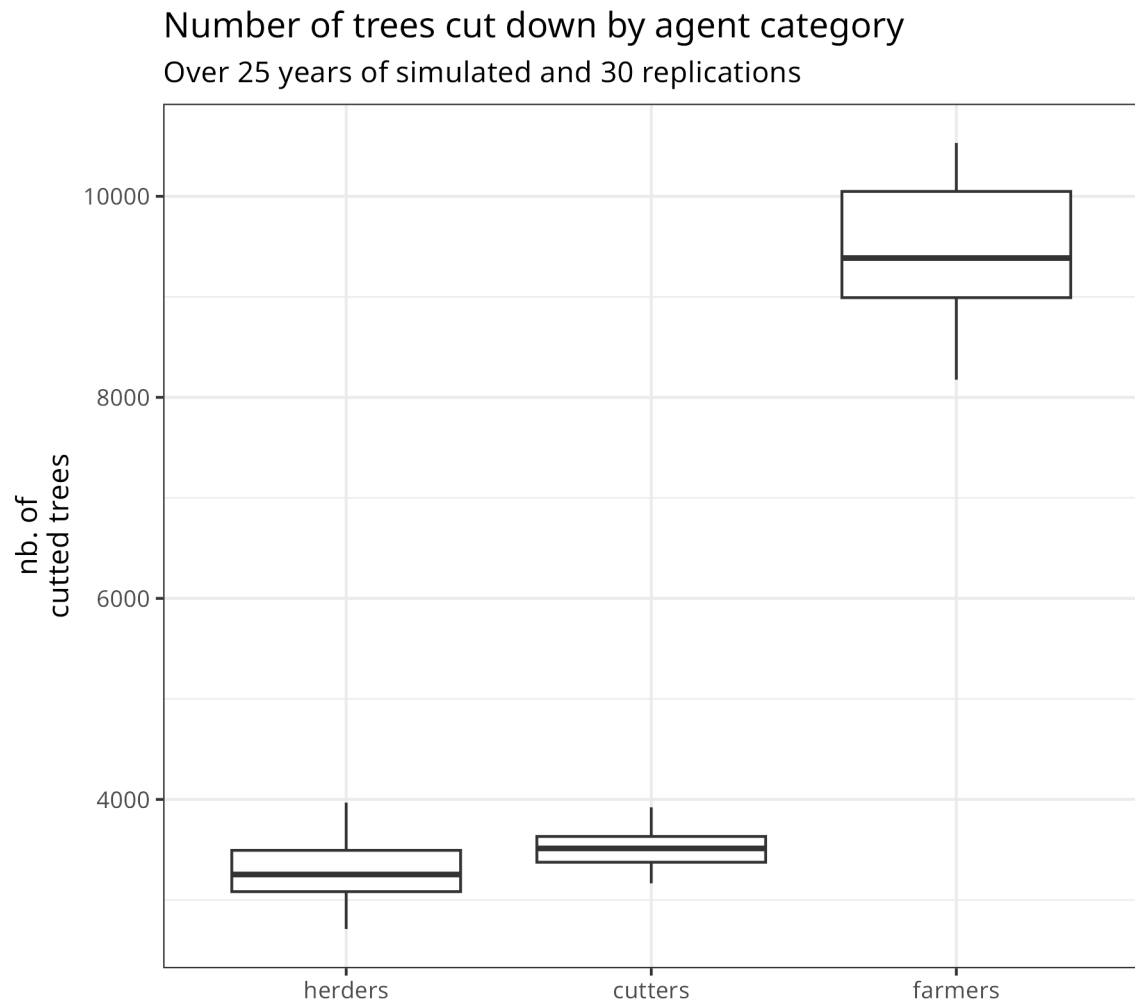


Figure 3: Boxplot showing the total number of trees cut down at the end of the simulation by resource user category. It is evident that farmers are responsible for the highest number of trees removed from the system across all categories. These results are based on 30 replications of the same parameter set.