

From Local Actors to Leaf Protectors: A Collaborative Modeling Approach for Rethinking Tree Management and Protection Measures in Senegal’s Groundnut Basin

E. Delay^{1,2,6*†}, L. Broutin^{1,2,4†}, A. Fallot^{1,2}, A. Perrotton³, A. Gonin⁴, and D. Masse⁵

¹CIRAD, UMR SENS, F-34398 Montpellier, France.

²SENS, CIRAD, IRD, Université de Paul Valéry Montpellier 3, Montpellier, France.

³Forêts et Sociétés, Univ Montpellier, CIRAD, Montpellier, France.

⁴Université Paris Nanterre, Laboratoire LAVUE, FR.

⁵IRD, Eco&Sols, Abidjan, Côte d’Ivoire.

⁶UMI UMMSCO, Université Cheick Anta Diop, Dakar, Sénégal.

*Address correspondence to: etienne.delay@cirad.fr

†These authors contributed equally to this work.

Abstract

How can a participatory simulation model contribute to understanding the socio-ecological dynamics and fostering innovative strategies for sustainable management of trees, crops, and pastoralism in the peanut basin?

In the agro-pastoral zones, the Sahelian ecosystems have undergone significant degradation, characterized by a reduction in tree cover, as a consequence of the droughts in the 1960s and 1990s. The peanut basin stands out for its positive interrelationships between trees, crops, and pastoralism. However, the regeneration of the *Faidherbia* park has declined since the major droughts. Through collaborative efforts with agro-pastoral farmers, we have developed a simulation model – The SAFIRE model : Simulation of Agents for Fertility, Integrated Energy, Food security, and Reforestation– that aims to unravel the complex social and ecological dynamics at play and explore potential strategies in partnership with local communities.

By exploring the results of the model co-designed with local stakeholders, we have identified more effective management strategies, as per the request of the local actors. However, more importantly, we have collectively questioned the conditions for improving tree cover and the viability of the socio-ecosystem, particularly in relation to the demand for firewood and local cereal for sustenance. This has prompted the stakeholders to engage in community-wide discussions and transform agro-pastoralists into leaf protectors.

1 Introduction

Your manuscript should contain all of the numbered sections specified in this template: Introduction, Results, Discussion, Materials and Methods.

The manuscript should start with a brief introduction that lays out the problem addressed by the research and describes the paper's importance. The scientific question being investigated should be described in detail. The introduction should provide sufficient background information to make the article understandable to readers in other disciplines and provide enough context to ensure that the implications of the experimental findings are clear.

2 Materials and Methods

2.1 Modelling for Empowerment - An Anthropological Approach to Participatory Model Co-construction

Collecte de données, par des ateliers et des entretiens mobiles

”mais en quoi l'expérience du voyage anthropologique propose t-elle de renouveler ou de recon-sidérer l'épistémologie du déplacement (de l'un vers l'autre) ? Par-delà la constitution des objets des sciences sociales, ethnies, cultures, sociétés, en quoi le voyage du sujet est-il progressivement devenu vecteur de la réflexion théorique, et parabole du jet ? ”

2.2 ODD

Dans cette section nous allons décrire le modèle The SAFIRE model (Simulation of Agents for Fertility, Integrated Energy, Food security, and Reforestation) en utilisant le framework de description ODD [1-3].

2.2.1 Overview

Purpose

The objective of this study was co-defined with the participants based on their desire to restore trees and biodiversity. According to their perspective, the decline in tree population is strongly linked to individual practices associated with pastoralism. Thus, the aim was to reassess the functioning of their system, the role of "tree cutters," and the optimization of surveillance by comparing community-based surveillance efforts with centralized surveillance conducted by them and the forestry department.

Throughout the study, we also examined the role of farmers and agro-pastoralists in the disappearance of trees. It was observed that young tree seedlings are no longer marked and destroyed by animal-drawn tools.

State variables and scales

Process overview and scheduling

2.2.2 Design Concepts

Basic principles Objectives Emergence Sensing Interaction Stochasticity Observation

2.2.3 Details

Initialization Input data Submodels

2.3 Statistical Analysis and Companion Modeling

On va parler de ComMod, de viabilité et de la manière dont on questionne les deux

2.3.1 Sensitivity analysis : saltelli method

Sensitivity analysis comprises a range of techniques that assess how a model responds to variations in its input parameters. These statistical methods aim to quantify the extent to which changes in the inputs influence the variability observed in the outputs. In accordance with the definition provided by Saltelli et al. (2008)[4], sensitivity analysis determines the "relative importance of each input in determining [output] variability." Consequently, these methods often yield a ranking or ordering of the inputs based on their respective sensitivity levels.

2.3.2 Pattern Space Exploration (PSE)

The PSE [5] method, based on genetic algorithm, is specifically designed to comprehensively cover the output space, resulting in its maximum score in output exploration – e.g. "explore the output's diversity of a model"¹. By exploring the output space, the PSE method uncovers new patterns, providing insights into the model's sensitivity by examining the corresponding input values. Unlike calibration-based methods, PSE's effectiveness is influenced by the dimensionality of the output space, as it keeps a record of all the covered locations during exploration. This can become costly when dealing with more than three or four dimensions.

In addition, the PSE method usually takes stochasticity into account by estimating selected models using the median of multiple output values obtained from model runs. For our purposes, and as we are in a situation where the results need to be discussed with stakeholders, we have chosen to focus not on the median, but on the last decile. This means that simulations are retained if more than 90% of the results converge towards the identified output.

3 Results

L'analyse de saltelli nous permet de comparer deux scénarios de surveillance, ce qui nous permet d'identifier les phénomènes de réarrangement de variables qui s'opère quand on change de régime

¹<https://openmole.org/PSE.html>, consulté le 5 juin 2023

| | probaDiscu | probaDenonce | FreqMeet | qPrésenceBrousse | TimeField |
|--------------------|------------|--------------|----------|------------------|-----------|
| om_trees | 0.65 | 0.12 | 0.23 | 0.061 | 0.26 |
| om_stockMil | 0.67 | 0.08 | 0.28 | 0.05 | 0.18 |

Table 1: Saltelli sensitivity analysis when surveillance is delegated to the community

de surveillance.

Suite a cela nous avons pratiqué un PSE (Patern Space exploration) pour identifier les simulations qui, dans le contexte d’une surveillance communautaire, permettent d’augmenter le nombre d’arbres. On fait face ici à un processus non linéaire avec une augmentation de la fertilité corrélé à une augmentation du nombre d’arbres.

3.1 Sensibilité - Saltelli

Nous avons pratiqué deux fois la même analyse sur des scénarios de simulation différents. Nous avons dans un premier temps effectué une analyse sur le système de surveillance communautaire. La seconde analyse transfère la charge du travail sur une surveillance dédiée pour mimer le fonctionnement de la surveillance par les agents des eaux et forêt.

Confronter ces deux analyses nous permet d’évaluer l’influence d’un changement de pratique sur le fonctionnement du système pour bien situer les changements structuraux qu’ils induisent.

3.1.1 Surveillances communautaire

Dans un scénario de surveillance communautaire, l’analyse de sensibilité globale montre que la probabilité de discussion de l’intérêt des arbres joue un rôle extrêmement important aussi bien sur la production en mil (0.67) que sur le nombre total d’arbre (0.65) en fin de simulation (c.f. table 1).

La fréquence des réunions de sensibilisation au bénéfice de l’arbre, joue un rôle – bien que plus limité – sur la quantité d’arbre (0.23), et sur la production de mil (0.28). Dans la même proportion le temps passé au champs a aussi un effet sur le nombre d’arbres (0.26), et sur la production de mil (0.18).

Enfin de la probabilité de dénoncé un coupeur d’arbre quand on le voit à un impacte plutôt limité sur le nombre d’arbre (0.12), et encore plus sur la production de mil (0.08).

3.1.2 Surveillances par les eaux et forêts

Dans un scénario dans lequel la surveillance est effectuée par un agent des eaux et forêt la dynamique change un peu. Dans le mesure où cette surveillance n’est plus faite par la population, la

3.2 Patern Space exploration

L'algorithme de PSE demande à discretiser l'espace des sorties de modèles. Son objectif est alors de cribler la diversité de cet espace des sorties. Nous avons paramétré l'objectif pour qu'il ne conserve comme pertinent que les résultats qui sont atteints dans 95% des cas de la simulation. Les paramètres d'entrée – XXXX – sont laissés libres pour permettre la recherche.

tpsAuChamp in (0.0, 100.0), qPrésenceBrousse in (0.0, 1.0), fréquenceRéu in (1.0, 10.0), probaDenonce in (0.0, 100.0), probaDiscu in (1.0, 100.0), nbProTGMax in (5.0, 50.0)

Sur la figure 1 on a filtré les résultats qui ont été atteints plus de 4 fois par le modèle pour se concentrer sur les situations les plus probables. On constate qu'il y a une relation négative entre la production de mil et la production de bois de chauffage.

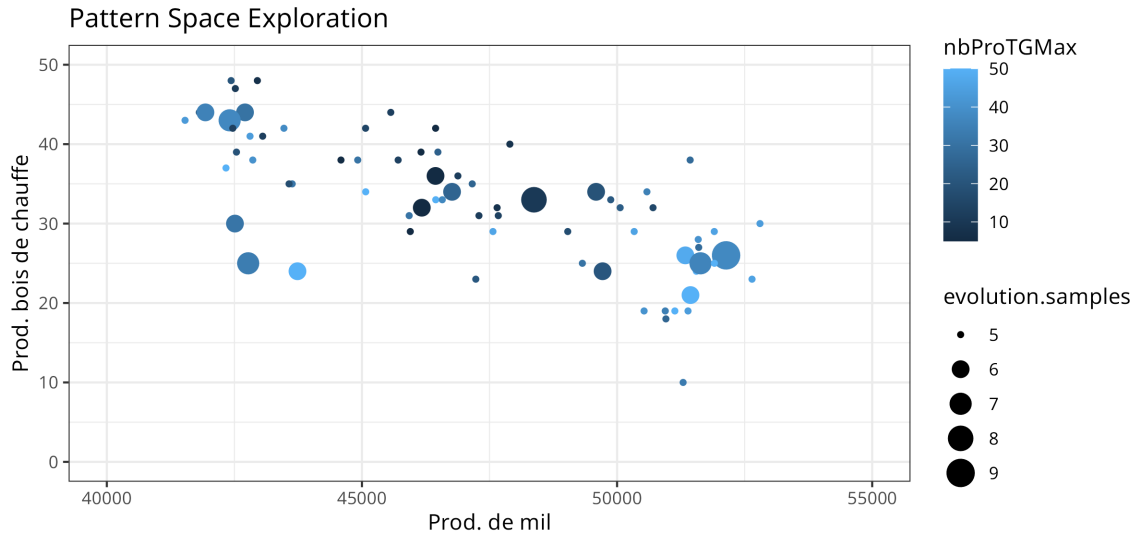


Figure 1: Résultat de 33600 évolutions de l'algorithme PSE. Chaque point est un résultat dans l'espace des sorties. La couleur met en évidence le nombre de jeunes pousses protégé par les agriculteurs, et la taille des points donne une idée de la "facilité" pour le modèle à atteindre cet espace. Plus un point est gros, plus le modèle arrive à l'atteindre.

Viabilité du système

4 Discussion

Include a Discussion that summarizes (but does not merely repeat) your conclusions and elaborates on their implications. There should be a paragraph outlining the limitations of your results and interpretation, as well as a discussion of the steps that need to be taken for the findings to be applied. Please avoid claims of priority.

143 Acknowledgments

144 General

145 We warmly thank the residents of Diohine for their hospitality, with special thanks to: Aissatou
146 Faye, Robert Diatte, Pierre Faye, Paul Sene, Ameth Paul Thiaw, Assane Diouf, Guedj Diouf, Nicolas
147 Diouf, Ablaye Faye, Idrissa Faye, Maire-Hélène Ndjira Diouf, Seynabou Gakou, Joseph Sene, Ndeye
148 Thiamal.

149 Author Contributions

150 Describe contributions of each author to the paper, using the first initial and full last name.

151 “L. Broutin conceived the model and realize interviews.”

152 “E. Delay and L. Broutin animate multi-actor focus groups.”

153 “E. Delay conducte the HPC exploration.”

154 “E. Delay and L. Broutin realize the first draft of this manuscript.”

155 “All authors contributed equally to 2nd version of the manuscript.”

156 Funding

157 This work is part of the research and development project DSCATT (Dynamics of Soil Carbon
158 Sequestration in Tropical and Temperate Agricultural Systems, <https://dscatt.net/FR/index.html>)
159 co-funded by Agropolis Fondation [reference ID 1802-001] through the “Investissements d’avenir”
160 program Labex Agro [ANR-10-LABX-0001-01] within the framework of I-SITE MUSE [ANR-16-
161 IDEX-0006] and supported by the TOTAL Energies Foundation.

162 Conflicts of Interest

163 The author(s) declare(s) that there is no conflict of interest regarding the publication of this article.

164 Data Availability

165 A data availability statement is compulsory for all research articles. This statement describes
166 whether and how others can access the data supporting the findings of the paper, including 1)
167 what the nature of the data is, 2) where the data can be accessed, and 3) any restrictions on data
168 access and why.

169 If data are in an archive, include the accession number or a placeholder for it. Also include any
170 materials that must be obtained through a Material Transfer Agreements (MTA).

171 Supplementary Materials

172 Describe any supplementary materials submitted with the manuscript (e.g., audio files, video clips
173 or datasets).

174 Please group supplementary materials in the following order: materials and methods, figures,
175 tables, and other files (such as movies, data, interactive images, or database files).

176 Example: Fig. S1. Title of the first supplementary figure.

177 Fig. S2. Title of the second supplementary figure.

178 Table S1. Title of the first supplementary table.

179 Data file S1. Title of the first supplementary data file.

180 Movie S1. Title of the first supplementary movie.

181 Be sure to submit all supplementary materials with the manuscript and remember to reference
182 the supplementary materials at appropriate points within the manuscript. We recommend citing
183 specific items, rather than referring to the supplementary materials in general, for example: “See
184 Figures S1-S10 in the Supplementary Material for comprehensive image analysis.”

185 A link to access the supplementary materials will be provided in the published article.

186 Supplementary Materials may include additional author notes—for example, a list of group
187 authors.

188 Guidelines for References

189 There is only one reference list for all sources cited in the main text, figure and table legends, and
190 Supplementary Materials. Do not include a second reference list in the Supplementary Materials
191 section. Include references cited only in the Supplementary Materials at the end of the reference
192 section of the main text; reference numbering should continue as if the Supplementary Materials are
193 a continuation of the main text. References cited only in the Supplementary Materials section are
194 not counted toward length guidelines.

195 Authors are responsible for ensuring that the information in each reference is complete and
196 accurate.

197 DOIs, if available, should be included for each reference.

198 Please do not include any extraneous language such as explanatory notes as part of a reference to
199 a given source. The Journal of Remote Sensing prefers that manuscripts do not include end notes; if
200 information is important enough to include, please put into main text. If you need to include notes,
201 please explain why they are needed in your cover letter to the editor.

202 References

- 203 1. Grimm V, Berger U, Bastiansen F, et al. A standard protocol for describing individual-based
204 and agent-based models. *Ecological Modelling* 2006;198:115–26.
- 205 2. Grimm V, Berger U, DeAngelis D, Polhill J, Giske J, and Railsback S. The ODD protocol: A
206 review and first update. *Ecological Modelling* 2010;221:2760–8.
- 207 3. Grimm V, Railsback SF, Vincenot CE, et al. The ODD Protocol for Describing Agent-Based
208 and Other Simulation Models: A Second Update to Improve Clarity, Replication, and Structural
209 Realism. *Journal of Artificial Societies and Social Simulation* 2020;23:7.

- 210 4. Saltelli A, ed. Global sensitivity analysis: the primer. OCLC: ocn180852094. Chichester, England
211 ; Hoboken, NJ: John Wiley, 2008.
- 212 5. Chérel G, Cottineau C, and Reuillon R. Beyond Corroboration: Strengthening Model Valida-
213 tion by Looking for Unexpected Patterns. PLOS ONE 2015;10. Publisher: Public Library of
214 Science:e0138212.