



UNIVERSITY OF
CAMBRIDGE

Department of Computer
Science and Technology

Research project report title page

Candidate 1234N

“CARICO: Scheduling via Federated Workload Capacity Estimation”

Submitted in partial fulfillment of the requirements for the
Computer Science Tripos, Part III

Total page count: ??

Main chapters (excluding front-matter, references and appendix): 52 pages (pp 8–59)

Main chapters word count: 467

Methodology used to generate that word count:

[For example:

```
$ make wordcount
gs -q -dSAFER -sDEVICE=txtwrite -o - \
    -dFirstPage=6 -dLastPage=11 report-submission.pdf | \
egrep '[A-Za-z]{3}' | wc -w
467
```

]

Declaration

I, 1234N, being a candidate for Part III of the Computer Science Tripos, hereby declare that this report and the work described in it are my own work, unaided except as may be specified below, and that the report does not contain material that has already been used to any substantial extent for a comparable purpose. In preparation of this report, I adhered to the Department of Computer Science and Technology AI Policy. I am content for my report to be made available to the students and staff of the University.

Date:

Abstract

Kubernetes is an existing widely-used open-source container orchestration system that automates the deployment, scaling and management of containerized applications. Efficient scheduling is a critical component of Kubernetes, dictating an optimal allocation of pods across nodes to maximise a set of goals. Kubernetes schedulers generally fall into two categories: pod-descriptive and telemetric-based. Pod-descriptive schedulers, like the default `kube-scheduler`, rely on accurately defined Pod resource requests for optimal performance. In contrast, telemetric-based schedulers leverage collected Node metrics to identify under or over-utilized Nodes. While these are often used to refine decisions made by existing pod-descriptive schedulers, this dissertation focuses on exploring the potential of a telemetric-only scheduler.

Scheduling in Kubernetes without explicit Pod resource requests remains largely unexplored due to its complexity. Traditional bin-packing approaches are insufficient; instead, a telemetric-only scheduler requires a novel strategy to derive optimal plans from collected telemetry.

PRONTO offers a promising direction. This federated, asynchronous, and memory-limited algorithm schedules tasks across hundreds of workers. It enables individual workers to build local workload models from telemetry, which are then aggregated to form a global system view. For a telemetric-only scheduler, maximizing information aggregation is crucial. However, in very large clusters, centralizing all this data quickly becomes a bottleneck. By distributing the knowledge federation workload across the cluster, we can significantly reduce the load on a central scheduler.

Unfortunately, PRONTO’s direct application to Kubernetes proved unfeasible due to its communication latency assumptions and early empirical findings. Consequently, I propose CARICO (Italian for "load"), a novel scheduler that shares PRONTO’s core properties but accounts for communication latency. CARICO enables Nodes to perform FSVD on capacity-based metrics, modeling past resource usage to estimate future workload capacity.

To evaluate CARICO, I implemented a prototype within the Kubernetes ecosystem. This involved extensive investigation into various metrics and filters to generate a precise capacity signal. Finally, I benchmarked CARICO’s overall performance against the default

kube-scheduler on a Kubernetes cluster with diverse workloads. While CARICO achieved comparable throughput, it significantly outperformed kube-scheduler as a QoS scheduler, demonstrating lower Pod Completion times and improved workload isolation.

Contents

| | | |
|----------|---|-----------|
| 1 | Introduction (961) | 8 |
| 1.1 | Dissertation Aims and Contributions | 9 |
| 1.2 | Dissertation Overview | 10 |
| 2 | Background | 11 |
| 2.1 | Kubernetes | 11 |
| 2.1.1 | Kubernetes Overview | 11 |
| 2.1.2 | Scheduling in Kubernetes | 12 |
| 2.2 | PRONTO | 12 |
| 2.2.1 | Principle Component Analysis | 12 |
| 2.2.2 | Singular Value Decomposition | 13 |
| 2.2.3 | Principal Component Analysis | 13 |
| 2.2.4 | Subspace-Merge | 14 |
| 2.2.5 | Incremental-SVD | 14 |
| 2.2.6 | FPCA and FSVD | 14 |
| 2.2.7 | Low-Rank Approximations | 15 |
| 2.2.8 | PRONTO System Overview | 15 |
| 2.2.9 | PRONTO Reject-Job Signal | 15 |
| 2.2.10 | Strengths | 16 |
| 2.2.11 | Weaknesses | 16 |
| 2.3 | Related Work | 19 |
| 2.3.1 | Federated and Distributed Scheduling | 19 |
| 2.3.2 | Online, Real-Time, and Streaming Scheduling | 20 |
| 2.3.3 | Performance-Aware and Predictive Scheduling | 20 |
| 2.3.4 | Machine Learning-Based Schedulers | 20 |
| 2.3.5 | Summary of Related Work | 21 |
| 2.4 | Summary | 21 |
| 3 | Carico | 22 |
| 3.1 | Capacity Signal | 22 |
| 3.2 | Local Model | 23 |
| 3.3 | Subspace Merging | 23 |

| | | |
|----------|--|-----------|
| 3.4 | Capacity Signal | 24 |
| 3.4.1 | Example Scenarios | 25 |
| 3.5 | Reserve Cost and Capacity | 26 |
| 3.6 | Properties | 27 |
| 4 | Implementation | 29 |
| 4.1 | System Architecture | 30 |
| 4.2 | Spazio Pod | 31 |
| 4.2.1 | Metric Collection | 32 |
| 4.2.2 | Filtering Metrics | 35 |
| 4.2.3 | Signal Generation | 36 |
| 4.2.4 | Calculating Cost and Capacity | 39 |
| 4.3 | Aggregation Server | 42 |
| 4.4 | Scheduler Pod | 44 |
| 4.4.1 | Kubernetes Scheduler Plugin | 44 |
| 5 | Evaluation | 45 |
| 5.1 | Evaluation Setup | 46 |
| 5.2 | Spazio Overhead | 46 |
| 5.3 | CPU-centric Workloads | 47 |
| 5.3.1 | Throughput | 47 |
| 5.3.2 | Pod Completions | 48 |
| 5.3.3 | Resource Utilisation | 49 |
| 5.4 | Memory-centric Workloads | 50 |
| 5.4.1 | Throughput | 51 |
| 5.4.2 | Pod Completions | 51 |
| 5.4.3 | Resource Utilisation | 53 |
| 5.5 | Mixed Workloads | 54 |
| 5.5.1 | Throughput | 54 |
| 5.5.2 | Pod Completions | 55 |
| 5.5.3 | Resource Utilisation | 56 |
| 5.6 | Workload Isolation | 56 |
| 5.7 | Limitation | 57 |
| 5.8 | Summary | 57 |
| 6 | Conclusion and Future Work | 58 |
| 6.1 | Summary | 58 |
| 6.2 | Future Work | 58 |
| A | Required Lemmas for Model Interpretation | 62 |
| A.1 | Proof: The First Left Singular Vector as a Pseudo Weighted Average . . . | 62 |
| A.1.1 | Fundamental Representation of u_1 | 62 |

| | | |
|-------|---|----|
| A.1.2 | Contribution of Columns to u_1 | 62 |
| A.1.3 | Non-Uniqueness of u_1 and Relation to Perron-Frobenius Theorem . | 63 |
| A.1.4 | Interpretation as a Pseudo Weighted Average | 63 |
| A.1.5 | Conclusion | 63 |
| A.2 | Proof: Monotonicity of the First Singular Value for Non-Negative Matrices | 64 |
| A.2.1 | Relating Singular Values to $\mathbf{M}^T \mathbf{M}$ | 64 |
| A.2.2 | Comparing $\mathbf{A}^T \mathbf{A}$ and $\mathbf{B}^T \mathbf{B}$ | 64 |
| A.2.3 | Monotonicity of Spectral Radius | 64 |
| A.2.4 | Conclusion | 65 |
| A.3 | Proof: Singular Value of Concatenated Matrix | 65 |
| A.3.1 | Setup | 65 |
| A.3.2 | Relating $\sigma_1(\mathbf{C})$ to $\sigma_1(\mathbf{A})$ | 65 |
| A.3.3 | Relating $\sigma_1(\mathbf{C})$ to $\sigma_1(\mathbf{B})$ | 66 |
| A.3.4 | Conclusion | 66 |
| A.4 | σ_1 of Scaled Concatenation | 67 |
| A.4.1 | Expanding $\sigma_1(C)$ | 67 |

Chapter 1

Introduction (961)

Kubernetes has firmly established itself as the leading platform for container orchestration, experiencing widespread adoption across diverse industries and organizations of all scales [1]. From stateless microservices to complex stateful databases, Kubernetes serves as the infrastructure backbone for cloud computing services like Google Cloud [2] and Azure [3]. Its versatility, from supporting machine learning (ML) deployments [4], edge computing [5] end serverless functions [6, 7], solidifies Kubernetes’ position as a cornerstone of the cloud-native industry.

A core function of container orchestration is the efficient scheduling of containers across a cluster. Kubernetes performs online scheduling, a particularly challenging subset of the NP-hard task-scheduling problem. In online scheduling, tasks must be allocated without prior knowledge of future arrivals [8]. In this dynamic and resource-intensive landscape, efficient online scheduling is paramount for both performance and cost-effectiveness. Poor scheduling decisions can lead to significant infrastructure waste, directly impacting an organization’s bottom line. Misconfiguration in resource requests and limits is a primary cause of under-utilized resources or idle nodes, leading to higher billing [9, 10].

Kubernetes was built upon the ideas from Borg [], a large-scale cluster management developed and used by Google. Consequently, Kubernetes’ default scheduler [11] (**kube-scheduler**) performs bin-packing based on the resource requests included in Pod descriptions and heuristic-based capacities calculated from the Nodes’ advertised hardware. The performance achieved by **kube-scheduler** therefore depends on accurate estimates of Pod resource usage. While telemetry-based systems have been proposed to improve scheduling decisions, they often use expensive deep-ML techniques [12, 13] or focuses on a per-Node level [14]. These telemetry-based systems typically augment existing resource requests or re-schedule already running workloads to less congested Nodes.

PRONTO [15] offers a promising direction for a purely telemetry-driven approach. This novel federated, asynchronous, and memory-limited algorithm schedules allows each compute node to work with a global view of the system by distributing the knowledge federa-

tion workload to reduce the burden on a central scheduler. However, its direct application within a Kubernetes scheduling environment faces significant challenges. PRONTO’s original design assumes zero communication latency and yields only a binary ”Reject-Job” signal, which lacks the granularity for sophisticated Node scoring and fails to account for significant Pod startup latencies observed in real-world Kubernetes clusters [16]. Furthermore, empirical investigations into Linux Pressure Stall Information (PSI) metrics, a potential replacement for the VMware vSphere CPU-Ready metric, revealed frequent transient spikes attributed to the container runtime. This would make it difficult to efficiently distinguish genuine resource contention from noise when collecting a small set of metrics. These limitations necessitate a more sophisticated signal that is both **comparable** for effective Node ranking and **reservable** to track the pending impact of in-flight Pods.

1.1 Dissertation Aims and Contributions

This dissertation aims to apply the theory behind PRONTO within the Kubernetes ecosystem by proposing, implementing, and evaluating CARICO (Italian for ”load”), a novel, purely telemetry-driven scheduling algorithm that maintains the federated, asynchronous, and memory-limited properties of PRONTO explicitly accounting for communication latency.

The key contributions of this project are:

- **Feasibility Analysis of Pronto for Kubernetes:** An investigation into the applicability of PRONTO’s principles to Kubernetes scheduling, highlighting its flawed assumptions and presenting empirical evidence for the challenges of using raw telemetry metrics.
- **Proposal of CARICO:** A novel federated, asynchronous, and memory-limited scoring algorithm that explicitly accounts for communication latency.
 - Novel application of Federated Singular Value Decomposition (FSVD) to build a local model of recent resource usage, adapting the standard SVD subspace merge operation for a lack of mean-centering.
 - Presentation of a novel **comparable** capacity signal that scores a Node’s predicted ability to accept new workloads, considering recent resource utilisation across the cluster.
 - Application of simple signal processing techniques to transform the generated capacity signal into a **reservable** metric, enabling schedulers to account for communication latencies.
- **Prototype Implementation and Evaluation of CARICO:**

- Extensive investigation into different telemetry metrics and signal processing techniques within the setting of a Kubernetes cluster to generate an accurate and precise capacity signal.
- Analysis of the correctness of the generated signal and exploration of approaches for estimating reservation quantities.
- Comprehensive evaluation of CARICO’s overall performance under various workloads on a real-world Kubernetes cluster, comparing its throughput, Quality of Service (QoS), and performance isolation against the industry-standard `kube-scheduler`.

1.2 Dissertation Overview

The remainder of this dissertation is structured as follows:

- **Chapter 2 (Background):** This chapter provides foundational knowledge, detailing Kubernetes architecture and its scheduling mechanism. It introduces PRONTO, explaining its core mathematics and highlighting its accuracy in predicting performance degradation, while also critically examining its weaknesses that necessitate a more sophisticated signal. Finally, it reviews existing Kubernetes schedulers to contextualize this work within the current landscape.
- **Chapter 3 (Design):** This chapter proposes the novel CARICO algorithm, providing detailed proofs and reasoning to explain the generation and properties of its capacity signal.
- **Chapter 4 (Implementation):** This chapter outlines the structure of the CARICO system within Kubernetes, exploring the selection of numerous metrics and signal processing techniques employed to generate an accurate and precise signal.
- **Chapter 5 (Evaluation):** This chapter presents a comprehensive evaluation of CARICO’s performance under different workloads, collecting numerous performance metrics and comparing it against the standard `kube-scheduler`.
- **Chapter 6 (Conclusion and Future Work):** This final chapter summarizes the key findings of the dissertation and proposes potential directions for future research.

Chapter 2

Background

This chapter lays the groundwork for understanding the problem space addressed by this dissertation. I first explain how scheduling works in Kubernetes, providing the necessary context for the system architecture. Subsequently, the chapter delves into PRONTO, outlining its core concepts and the compelling motivations for exploring its application within the Kubernetes ecosystem. Finally, a review of related Kubernetes schedulers is provided to highlight the existing landscape and position this work within the current state of the art.

2.1 Kubernetes

2.1.1 Kubernetes Overview

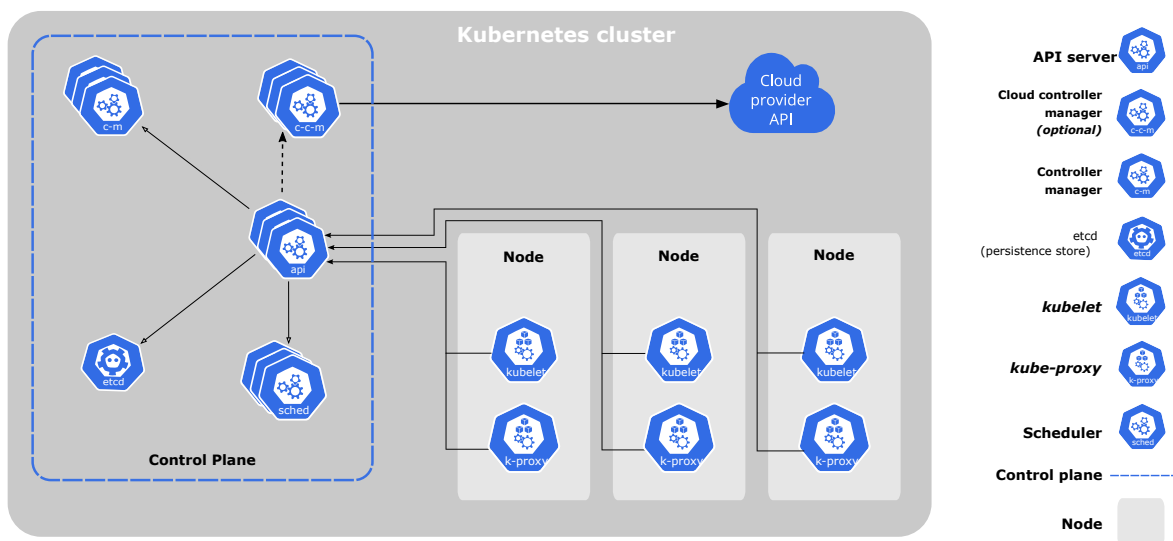


Figure 2.1: The components of a Kubernetes cluster [17]

A Kubernetes cluster consists of a control plane and one or more worker Nodes. Compo-

nents in the control plane manage the overall state of the cluster. The `kube-apiserver` exposes the Kubernetes HTTP API, which is used to publish objects such as Deployments, DaemonSets and Jobs. Each Node in the cluster contains a `kubelet` which manages Pods and ensures they and their containers are running via a container runtime.

Kubernetes objects are persistent entities in the Kubernetes system. They act as “records of intent” and describe the cluster’s desired state: once created, the Kubernetes system will constantly work to ensure that the objects exists. The Kubernetes API is used to create, modify or delete these Kubernetes objects. Almost every Kubernetes object includes two fields: `spec` and `status`. `spec` is used on creation as a description of the Objects desired state. Users can define affinities and QoS classes within this field to influence scheduling decisions. Containers also contain a `spec` field which specifies `request` and `limits`. The `request` field behaves as a set of minimum requirements and is used when scheduling Pods. In contrast, the `limits` field is used by kernel of the Node to throttle a container’s resource usage. `status` describes the current state of the object, supplied and updated by the Kubernetes system. These fields are core to scheduling in Kubernetes.

2.1.2 Scheduling in Kubernetes

In Kubernetes, Pods are the smallest deployable units of computing that you can create and manage. It represents a single instance of a running process in your cluster and typically contains one or more containers that are tightly coupled and share resources. Pods can be individually created with their own Yaml files. However, the Kubernetes API also provides workload objects to manage multiple pods: these objects represent a higher abstraction level than a Pod, and the Kubernetes control plane uses the workload’s specification to manage Pod objects on your behalf. Example workloads include Deployment, StatefulSet, DaemonSet and Job.

When a Pod is created, it initially exists in a “Pending” state - it has been declared but hasn’t yet been allocated to a Node. Kubernetes schedulers watch for newly created but unassigned Pods, and based on a set of rules or algorithms, select the most suitable Node for that Pod. Once a Node is chosen, the scheduler “binds” the Pod to the Node, updating the Pod’s definition in the Kubernetes API server by setting its `spec.nodeName` field to the name of the Node. Once this occurs, the Pod transitions from “Pending” to “Running”.

2.2 Pronto

2.2.1 Principle Component Analysis

This section first explains Singular Value Decomposition (SVD) and how it relates to solutions of Principal Component Analysis (PCA). I then introduce Incremental-SVD and

Subspace-Merge, which are used to perform FPCA on the stream of telemetry produced by each Node.

2.2.2 Singular Value Decomposition

The SVD of a real matrix \mathbf{A} with m rows and n columns where $m \geq n$ is defines as $\mathbf{A} = \mathbf{U}\Sigma\mathbf{V}^T$. Here, \mathbf{U} and \mathbf{V} are orthogonal matrices of shape $m \times m$ and $n \times n$ containing the left and right singular vecotrs, respectively. Σ is a rectangular matrix of shape $m \times n$ with singular values σ_i along its diagonal [18].

$$\mathbf{A} = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix} = \begin{bmatrix} | & & | \\ u_1 & \dots & u_m \\ | & & | \end{bmatrix} \begin{bmatrix} \sigma_1 & & | & & | \\ & \ddots & 0 & \dots & 0 \\ & & \sigma_m & | & | \end{bmatrix} \begin{bmatrix} - & v_1^T & - \\ & \vdots & \\ - & v_m^T & - \\ - & v_{m+1}^T & - \\ & \vdots & \\ - & v_n^T & - \end{bmatrix} \quad (2.1)$$

SVD can also be written compactly by discarding the elements which do not contribute to \mathbf{A} .

$$\mathbf{A} = \begin{bmatrix} | & & | \\ u_1 & \dots & u_m \\ | & & | \end{bmatrix} \begin{bmatrix} \sigma_1 & & \\ & \ddots & \\ & & \sigma_m \end{bmatrix} \begin{bmatrix} - & v_1^T & - \\ & \vdots & \\ - & v_m^T & - \end{bmatrix} \quad (2.2)$$

There always exists the SVD for a real matrix, but the decomposition is not unique: if $\mathbf{A} = \mathbf{U}_1\Sigma\mathbf{V}_1^T = \mathbf{U}_2\Sigma\mathbf{V}_2^T$ then $\Sigma_1 = \Sigma_2$ but $\mathbf{U}_1 = \mathbf{U}_2\mathbf{B}_a$ and $\mathbf{V}_1 = \mathbf{V}_2\mathbf{B}_b$ for some block diagonal unitary matrices $\mathbf{B}_a, \mathbf{B}_b$ [18, 19]. Each column in \mathbf{U} and \mathbf{V} is an eignenvector of $\mathbf{A}\mathbf{A}^T$ and $\mathbf{A}^T\mathbf{A}$.

2.2.3 Principal Component Analysis

Pricpal Component Analysis is a staple of linear dimensionality-reduction techniques. The standard PCA procedure takes as input a matrix \mathbf{B} representing n columns of data with m dimensions. The matrix is first mean-centered: $\mathbf{A}_{ij} = (\mathbf{B}_{ij} - \mu_i)$ where μ_i is the mean of the row i . The output of PCA is a set of vectors that explain most of the variance within \mathbf{B} . Given the covariance of the mean-centered matrix \mathbf{A} is defined as $\mathbf{A}\mathbf{A}^T$, the Principal Components (PCs) maximise the following equation:

$$\text{Var}_i = \max_{\substack{x_i \in \mathbb{R}^m \setminus \{\mathbf{0}\} \\ \|x_i\|=1 \\ x_i \perp x_1 \dots x_{i-1}}} x_i^T \mathbf{A}\mathbf{A}^T x_i \quad (2.3)$$

As $\mathbf{A} = \mathbf{U}\Sigma\mathbf{V}^T$ from SVD, $\mathbf{A}\mathbf{A}^T = \mathbf{U}\Sigma\mathbf{V}^T\mathbf{V}\Sigma\mathbf{U}^T = \mathbf{U}\Sigma^2\mathbf{U}^T$. Therefore, it can be shown that the PCs $x_i = u_i$ from \mathbf{U} . The pair \mathbf{U}, Σ will also be referred to as a subspace as they provide sufficient information to describe the original \mathbf{B} matrix.

2.2.4 Subspace-Merge

Subspace-Merge is used to merge two subspaces together. Given two subspaces (\mathbf{U}_1, Σ) and (\mathbf{U}_2, Σ) from \mathbf{Y}_1 and \mathbf{Y}_2 respectively, the subspace of $\mathbf{Y} = [\mathbf{Y}_1, \mathbf{Y}_2]$ is:

$$\mathbf{U}\Sigma = \text{SVD}([\mathbf{U}_1\Sigma_1, \mathbf{U}_2\Sigma_2]) \quad (2.4)$$

$[\mathbf{A}, \mathbf{B}]$ signifies the concatenation of two matrices with the same number of rows.

2.2.5 Incremental-SVD

Incremental-SVD allows PRONTO to become a streaming algorithm with limited memory. It takes a stream of chunks \mathbf{Y}_i , such that $[\mathbf{Y}_1, \dots, \mathbf{Y}_l] = \mathbf{Y}$, and with each recieved chunk it performs Subspace-Merge to produce \mathbf{U}_l, Σ_l where $\mathbf{Y} = \mathbf{U}_l\Sigma_l\mathbf{V}_l^T$

Algorithm 1 Incremental-SVD

Data: $\mathbf{Y} = [\mathbf{Y}_1, \dots, \mathbf{Y}_l]$

Result: \mathbf{U}_l, Σ_l such that $\mathbf{Y} = \mathbf{U}\Sigma\mathbf{V}^T$

$\mathbf{U}_1, \Sigma_1, \mathbf{V}_1^T = \text{SVD}(\mathbf{Y}_1)$

for $i = 2$ to l **do**

$\mathbf{U}_i, \Sigma_i, \mathbf{V}_i^T = \text{SVD}([\mathbf{U}_{i-1}\Sigma_{i-1}, \mathbf{Y}_i])$

end for

If the shape of the batches of data is $m \times b$, the space complexity of Iterative-SVD is $\mathcal{O}(m^2 + mb)$ as only the latest version of \mathbf{U}_i, Σ_i and \mathbf{Y}_i are needed for each iteration.

2.2.6 FPCA and FSVD

FPCA combines the relationship between standard SVD and PCA with the Subspace-Merge operation, to calculate the PCs of the data $[\mathbf{Y}_1, \dots, \mathbf{Y}_m]$ from m nodes. Every node i performs perform SVD on their local data, to produce the subspace \mathbf{U}_i, Σ_i . These subspaces can be merged using at most $m - 1$ Subspace-Merges to obtain the global subspace $\mathbf{U}'\Sigma'$, corresponding to the PCs of the aggregated data from all the nodes. PRONTO turns this procedure into a streaming algorithm, by running Subspace-Merge on the subspaces produced by incremental-SVD. Finally, PRONTO also introduces a forgetting factor γ in front of $\mathbf{U}_i\Sigma_i$ in Incremental-SVD that allows it to “forget” old data by gradually reducing the influence of previous subspaces. Like with standard PCA, FPCA can be considered as FSVD on mean-centered data.

2.2.7 Low-Rank Approximations

It can be shown that using the first r eigenvectors in the above algorithms approximate the result of using all m eigenvectors, i.e. if

$$\mathbf{Y} = \begin{bmatrix} | & & | \\ u_1 & \dots & u_m \\ | & & | \end{bmatrix} \begin{bmatrix} \sigma_1 & & \\ & \ddots & \\ & & \sigma_m \end{bmatrix} \begin{bmatrix} - & v_1^T & - \\ & \vdots & \\ - & v_m^T & - \end{bmatrix} \quad (2.5)$$

We can use $\mathbf{U}^r = \begin{bmatrix} | & & | \\ u_1 & \dots & u_r \\ | & & | \end{bmatrix}$ and $\mathbf{\Sigma}^r = \begin{bmatrix} \sigma_1 & & \\ & \ddots & \\ & & \sigma_r \end{bmatrix}$ where $r \leq m$ in Incremental-SVD and Subspace-Merge. This lets PRONTO reduce the number of computations it performs and its memory usage.

2.2.8 Pronto System Overview

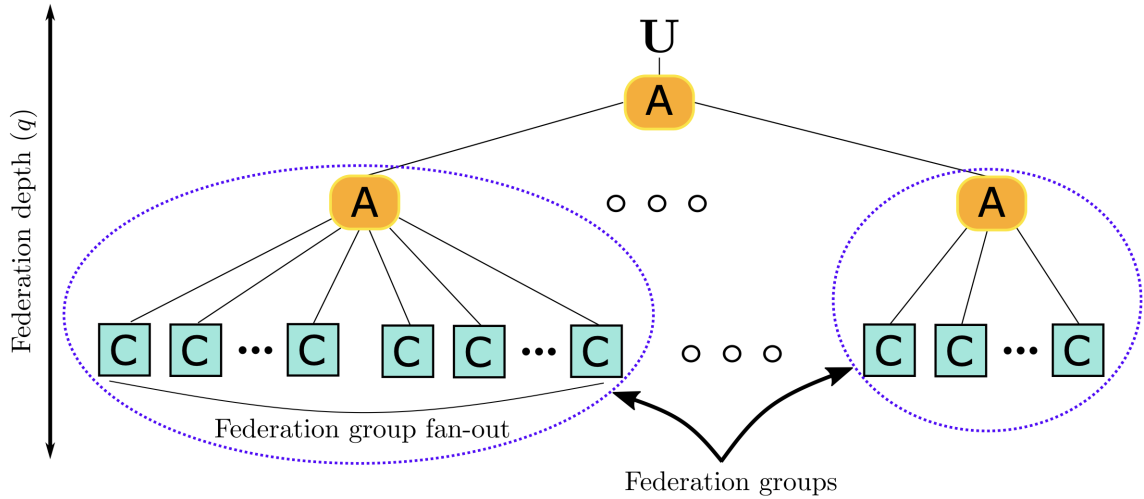


Figure 2.2: How local models are aggregated in PRONTO. Dedicated aggregator nodes propagate the updated subspaces until the root is reached [15].

There are two types of nodes in PRONTO: compute node (C) and aggregator node (A). Compute nodes collect and center node statistics (i.e. CPU and Memory) and perform Incremental-SVD to obtain the low rank approximations of the local subspace $\mathbf{U}, \mathbf{\Sigma}$. The aggregator nodes before Subspace-Merge on incoming subspaces, with subspace produced by the root aggregator node being propagated back to the compute nodes.

2.2.9 Pronto Reject-Job Signal

Each compute node projects their data onto the latest version of \mathbf{U} , and identifies all the spikes. If the weighed sum of these spikes, using the corresponding singular values in $\mathbf{\Sigma}$,

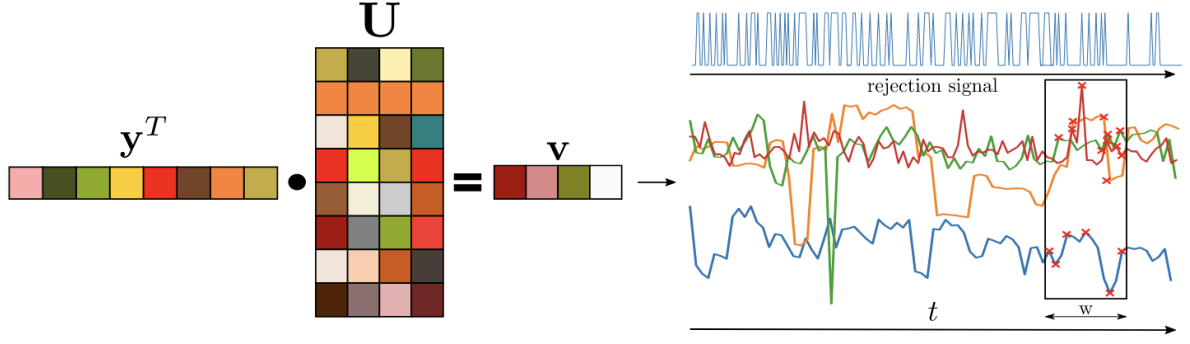


Figure 2.3: Projection of incoming $y \in \mathbb{R}^d$ onto embedding $U \in \mathbb{R}^{d \times r}$ producing R projections in $v \in \mathbb{R}^{1 \times r}$. Projections are tracked over time for detecting spikes which form the basis of the rejection signal. The sliding window for spike detection for each projection is of size w also shown in the figure.

exceeds a threshold, a rejection signal is raised to indicate that the node is potentially experiencing performance degradation and a job should not be scheduled on that node.

2.2.10 Strengths

PRONTO's core strength is its ability to leverage global telemetry data to predict performance degradation with high accuracy. The original PRONTO paper [15], which compared its effectiveness against non-distributed dimensionality-reduction methods, demonstrated superior performance in predicting CPU-Ready spikes in real-world datacenter traces. This improved accuracy over the non-distributed strategies suggests that significant correlations exist between the resource usages of different jobs across various nodes at the same point in time. This federated approach allows for a more comprehensive understanding of system-wide resource contention, providing more accurate contention predictions than with only individual node data. These compelling results indicate a strong potential benefit from applying PRONTO underlying principles to a Kubernetes environment where efficient resource management is paramount.

2.2.11 Weaknesses

While PRONTO offers a promising approach to performance prediction, its direct application within Kubernetes scheduling environment faces significant challenges due to fundamental assumptions and practical limitations of its original design.

Assumptions

The PRONTO paper primarily focuses on a method for measuring node "responsiveness" to future workloads, yielding a binary Reject-Job signal. However, implementing its design in a complex system like Kubernetes raises fundamental challenges:

1. **Zero-Latency Assumption:** A critical assumption in the PRONTO paper is the absence of communication latency, and implicitly binding latency within the system. This implies that scheduled workloads are immediately reflected in the telemetry, and thus the signal. In such a scenario, a central scheduler could instantaneously stop assigning workloads once a Node signal potential degradation. However, this assumption does not hold in real-world Kubernetes clusters. The latency between a pod being bound to a Node and that Pod actually starting to run and consume resources has been shown to reach as high as 4 seconds [16]. Directly applying PRONTO’s binary signal in this high-latency environment could lead to a “runaway train” scenario: Nodes might advertise willingness to accept new Pods while a large number of “inflight” Pods are still pending startup and will overload the node once active.
2. **Lack of Explicit Allocation Algorithm:** PRONTO allows individual compute nodes to reject or accept incoming jobs. However, it does not explicitly provide a system to optimally decide which compute nodes are considered for a task. While a simple approach would be to allow individual Nodes to request Pending Pods, it suffers from scalability issues as hundreds of Nodes sending requests to the Kubernetes APIServer would greatly degrade its performance or even cause it to crash. Instead, I decided to take a centralised approach, where Nodes periodically update their signal to influence where Pods are allocated.
3. **Limited Scoring Capability:** Unlike typical Kubernetes schedulers that employ a scoring-function to rank Nodes and select the “optimal” fit [11], a binary signal offers no mechanism to differentiate between suitable Nodes. This could lead to suboptimal allocation decisions, potentially reducing overall cluster throughput and efficiency

These issues raise the need for a more sophisticated signal that is:

1. **Comparable:** provides enough information to score and rank Nodes effectively.
2. **Reservable:** allows the scheduler to track the pending impact of previous scheduling decisions until Pods have begun running.

Peak-Prediction

PRONTO relies on peak-detection within contention metrics (originally CPU-Ready in VMware vSphere) to predict future performance degradation. For PRONTO to generate an accurate Reject-Job signal in Kubernetes, the chosen contention metrics should exhibit clear, distinguishable spikes during genuine high-resource contention. While increasing the number of collected metrics can help reduce the impact of erroneous spikes, collecting more telemetry and operating on larger matrices will incur additional overheads and reduce the number of tasks a Node can accept before valid contention is detected.

Linux-based systems offer Pressure Stall Information (PSI) metrics, accessible via `/proc/pressure/<cpu>`. These pseudo-files track the time tasks are stalled waiting for resources. To investigate the feasibility of using PSI for peak prediction within a Kubernetes Node, I polled the `/proc/pressure` files under different workloads.

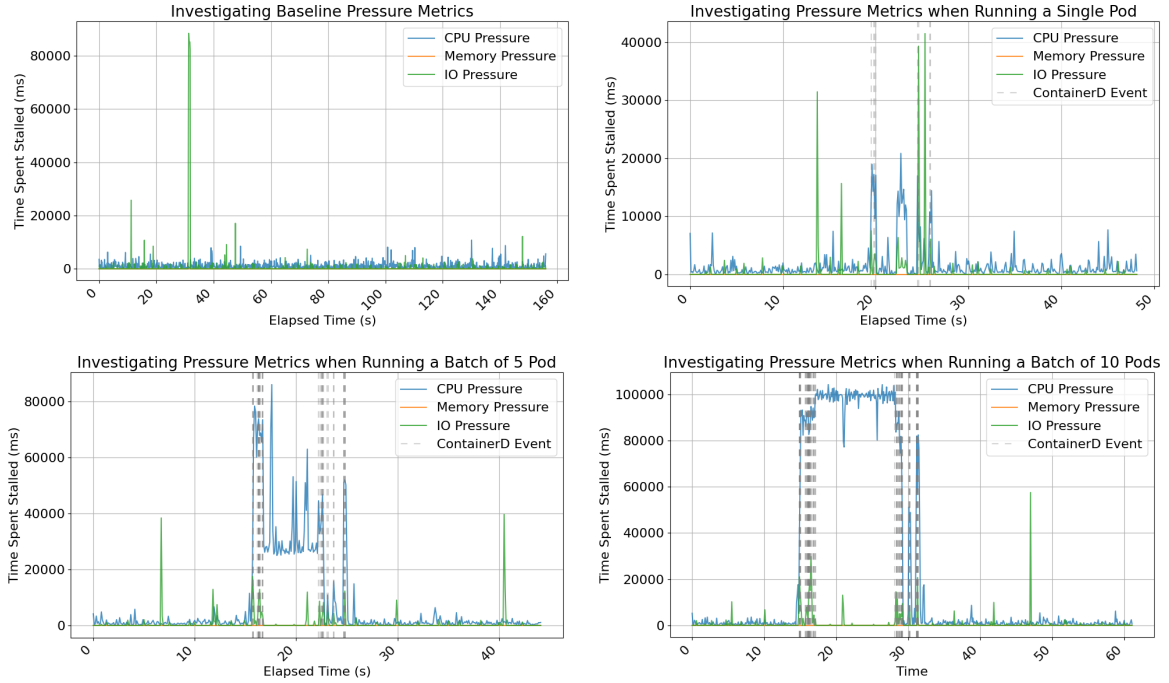


Figure 2.4: Measurements of `total` from `/proc/pressure/` under different loads. The container runtime results in spikes no matter the workload.

As demonstrated in Figure 2.4, even with lightweight workloads, the PSI metrics frequently experience significant spikes. These transient spikes can be attributed to the container runtime (e.g. Containerd) consuming resources during the creation or deletion of containers. These “noise” would be difficult to distinguish from genuine indicators of resource contention, compromising the accuracy of PRONTO’s peak-detection mechanism.

The PSI metrics also expose an average over a 10-second window. Figure 2.5 illustrates that averaging the PSI metrics can indeed reduce the impact of these container runtime-induced spikes. However, this smoothing comes at the cost of responsiveness. In the experiments with a 10-pod batch, the averaged metrics failed to converge on the true value observed in Figure 2.4 before the Pods had finished running. 10 seconds in the timeframe of Kubernetes can result in the same “runaway train” situation described earlier.

Based on this early empirical investigation, I conclude that direct peak-prediction from sub-second polling of raw PSI metrics, can not be easily applied within a fast-paced Kubernetes environment.

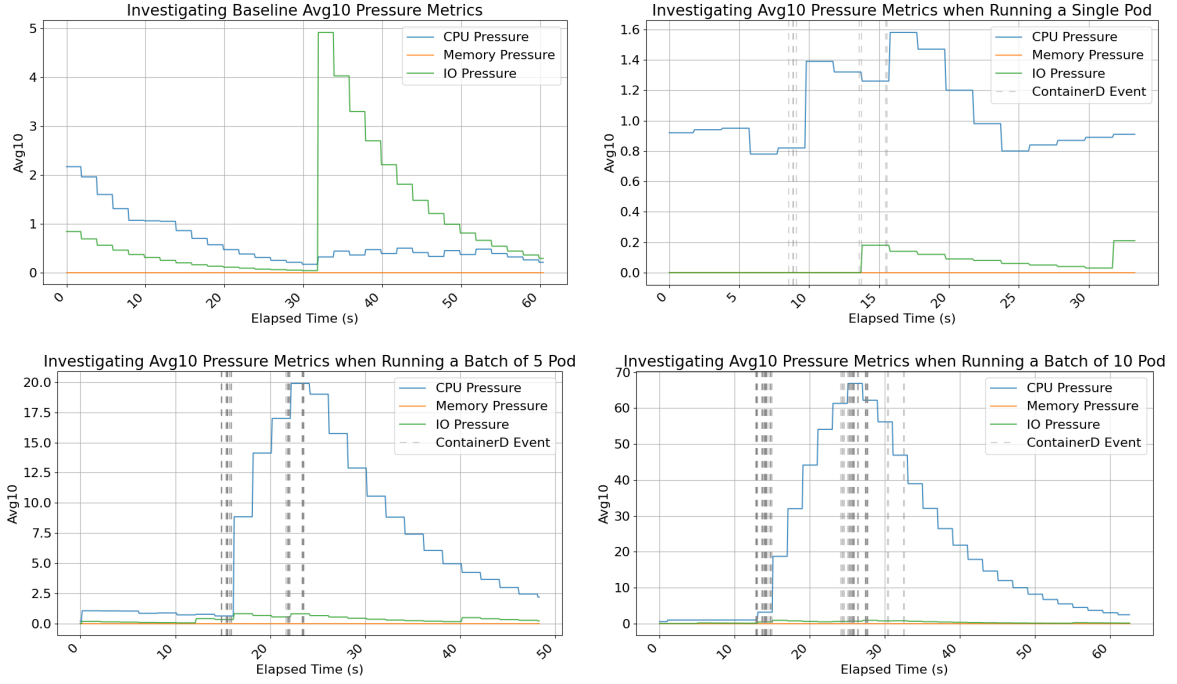


Figure 2.5: Measurements of `avg10` from `/proc/pressure/` under different loads. The container runtime results in spikes no matter the workload.

2.3 Related Work

This section examines existing Kubernetes schedulers, highlighting those that share characteristics with PRONTO: distributed and federated schedulers, online schedulers, performance-aware schedulers, and machine learning-based schedulers.

2.3.1 Federated and Distributed Scheduling

PRONTO is described as a federated algorithm that executes plans in a decentralised fashion. Each computing node makes independent decisions for task assignments without needed global synchronisation. This contrasts with monolithic centralised schedulers [11, 20], such as the default Kubernetes scheduler (`kube-scheduler`), which acts as a single dispatcher on the master node and has a global state view. These schedulers can suffer from scalability issues, reliance on cached data and increased network traffic [15]. Research has been done in multi-cluster scheduling and cluster federation, addressing challenges in scalability and geo-distributed environments. Examples include frameworks like KubeFed [21] and architectural proposals like Foggy [22]. Although PRONTO immediate focus is on scheduling within a network of nodes that might not be geographically dispersed or owned by different entities, its federated learning approach to sharing knowledge through aggregated iterates is relevant to discussions around decentralised control and coordination in distributed infrastructures.

2.3.2 Online, Real-Time, and Streaming Scheduling

TODO: THIS SECTION FEELS WEAK, I MAY DELETE PRONTO is designed for on-line task scheduling and operates on real-time performance. As a streaming algorithm, PRONTO processes incoming data in a single pass without storing historical information, enabling Nodes to make immediate scheduling decisions about incoming workloads. While the default Kubernetes scheduler reacts to changes, it performs bin-packing with statically defined resource requests and capacity. Research outside of Kubernetes has repeatedly shown that analysing large-scale performance data in near real-time for efficient scheduling is difficult [15]. As a result, PRONTO’s explicit streaming algorithm enabling low-latency scheduling decisions distinguishes it from approaches that might involve more substantial processing pipelines, offline techniques or the reliance on potentially stale cached information.

2.3.3 Performance-Aware and Predictive Scheduling

As a performance-aware scheduler, PRONTO employs dimensionality-reduction technique to efficiently process the vast amount of telemetry data produced by each node, with the goal of predicting performance degradation. While, CPU and RAM utilisation are common metrics for performance-aware Kubernetes schedulers [12, 23–26], PRONTO distinguishes itself as the first scheduler to incorporate CPU-Ready for task scheduling.

Furthermore, the dynamic nature of Kubernetes workloads strongly suggest that predictive techniques could improve scheduling efficiency and resource utilisation [27]. Traditional forecasting methods (such as exponential smoothing, ARIMA, and SVM) were also explored in [15] for CPU Ready spike prediction, but were found to have worse accuracy. This still remains an open research topic.

2.3.4 Machine Learning-Based Schedulers

PRONTO exploits unsupervised learning technique, specifically Federated PCA, to discover hidden correlations within unstructured high-dimensional telemetry data. Machine Learning (ML) algorithms are increasingly adopted in scheduling to learn from data and improving decision quality. Reinforcement learning-based schedulers [12, 13, 28, 29] use reward functions to continuously improve scheduling decisions. While, ML has been used before to predict application or resource utilisation [14, 25, 30], these schedulers are typically domain-specific. PRONTO’s application of unsupervised PCA to predict the performance of a Node represents a novel use-case of ML with broad applications within the scheduling domain.

2.3.5 Summary of Related Work

In summary, PRONTO distinguishes itself within the Kubernetes scheduling landscape through its federated and decentralised approach, enabling independent decision-making at the node level. Its streaming algorithm allows for immediate, low-latency task assignments. As a performance-aware scheduler, it innovatively uses CPU-Ready for task scheduling and applies unsupervised Federated PCA for predictive insights, offering a distinct machine learning approach.

2.4 Summary

This chapter provided the foundational knowledge for the dissertation, introducing an overview of the Kubernetes architecture and its scheduling mechanism. It then introduced PRONTO, a novel approach to scheduling, explaining the core mathematics behind its FPCA, and highlighting its accuracy in predicting performance degradation through global telemetry. However, significant weaknesses were identified, emphasising the necessity for a more sophisticated **comparable** and **reservable** signal. Finally, a review of existing Kubernetes schedulers, helped distinguish **Pronto** from the current landscape and highlight its potential contribution.

Chapter 3

Carico

This chapter details CARICO, a novel federated, asynchronous, memory-limited algorithm, building upon the principles of PRONTO. Rather than performing standard FPCA, CARICO applies FSVD on non-centered metrics to build a model of experience resource usage. By combining this model with a new continuous signal function, nodes can be scored by their capacity according to the expected workload and their current resource usage. Finally, we explain the two assumptions required to translate the calculated capacity signal into one that can be reserved. As this algorithm produces a signal that measures "capacity", I will refer to it as CARICO - "load" in Italian.

3.1 Capacity Signal

In Section 2.2.11, we identified critical limitations of PRONTO's binary "Reject-Job" signal within the Kubernetes ecosystem: its lack of comparable Node scoring and inability to handle Pod startup latencies. While one could conceivably compare the number of detected spikes, this does not translate into a reservable signal, meaning it's impossible to quantitatively assign or reserve "peak detections" for incoming Pods. Furthermore, as empirically demonstrated in Figure 4.4, contention metrics often do not change proportionally to the number of tasks assigned, making them difficult to reserve accurately.

To overcome these limitations, a signal reflecting varying levels of contention and offering a predictable, scalar relationship with task assignments was necessary. This led us to reconsider a metric of capacity. Supported by its use within `kube-scheduler`, this approach provides the necessary properties to make it suitable with reservation mechanisms. The subsequent challenge was to adapt PRONTO's underlying mathematical framework, FSVD, to produce a measure of capacity instead of performance variability. The goal was to develop a continuous, comparable, and reservable signal that could effectively and accurately guide scheduling decisions.

3.2 Local Model

In PRONTO, iterative-SVD is performed on the mean-centered batch of CPU-Ready samples and its latest local model. Iterative-SVD allows PRONTO to become a stream algorithm with memory usage proportional to $O(d)$ where d is the number of features in the batch. The resulting U and Σ matrix correspond to the PCs of the latest data combined with historical data.

In CARICO, the nodes perform iterative-SVD on a batch of $[0,1]$ -normalised telemetry data. The telemetry data must have the following property: a value of 0 indicates empty while a value of 1 indicates capacity is full. As we are no-longer mean-centering the dataset before applying SVD, the value we are maximising is:

$$\begin{aligned}
 x_i^T \mathbf{A}^T \mathbf{A} x_i &= \left\| \begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \cdots \begin{bmatrix} | \\ a_n \\ | \end{bmatrix} \right\|^2 \\
 &= \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \right)^2 + \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_2 \\ | \end{bmatrix} \right)^2 + \cdots + \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_n \\ | \end{bmatrix} \right)^2
 \end{aligned} \tag{3.1}$$

$$\tag{3.2}$$

The j^{th} term in the above equation is maximised by choosing $x_i = a_j / \|a_j\|$. Hence the x_i that maximises the entire sum can be interpreted as a 'weighted average' over all a_j s. An a_j with a greater length representing higher resource usage will contribute more to the direction of the unit vector x_i .

3.3 Subspace Merging

Given a new batch of samples A' , performing incremental-SVD to merge to get the new PCA. As every element in A and A' is $[0,1]$ normalised, the maximised expression follows

this property:

$$x_i^T [\mathbf{A}\mathbf{A}']^T [\mathbf{A}\mathbf{A}'] x_i = \left\| \begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \cdots \begin{bmatrix} | \\ a_{2n} \\ | \end{bmatrix} \right\|^2 \quad (3.3)$$

$$= \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \right)^2 + \cdots + \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_{2n} \\ | \end{bmatrix} \right)^2 \quad (3.4)$$

$$\geq \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \right)^2 + \cdots + \left(\begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_n \\ | \end{bmatrix} \right)^2 \quad (3.5)$$

As σ_i corresponds to Var_i , with each iterative-SVD, we increase the eigenvalues of Σ . This is problematic as exploding values can result in more expensive matrix operations. In addition, both PRONTO and CARICO use Σ as some sort of weighting. Unreasonably large σ_i values will result in incorrect signal values.

$$\mathbf{B} = \left[\left(\frac{\sqrt{\alpha}}{\sqrt{\alpha + \beta}} \mathbf{A} \right) \left(\frac{\sqrt{\beta}}{\sqrt{\alpha + \beta}} \mathbf{A}' \right) \right] \quad (3.6)$$

$$x_i^T \mathbf{B}^T \mathbf{B} x_i = \frac{\alpha}{\alpha + \beta} \left\| \begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_1 \\ | \end{bmatrix} \cdots \begin{bmatrix} | \\ a_n \\ | \end{bmatrix} \right\|^2 + \quad (3.7)$$

$$\frac{\beta}{\alpha + \beta} \left\| \begin{bmatrix} - & x_i^T & - \end{bmatrix} \begin{bmatrix} | \\ a_{n+1} \\ | \end{bmatrix} \cdots \begin{bmatrix} | \\ a_{2n} \\ | \end{bmatrix} \right\|^2 \quad (3.8)$$

$$\therefore \min(\text{Var}_i(\mathbf{A}), \text{Var}_i(\mathbf{A}')) \leq \text{Var}_i(\mathbf{B}) \leq \max(\text{Var}_i(\mathbf{A}), \text{Var}_i(\mathbf{A}')) \quad (3.9)$$

However, scaling the concatenated matrix using the method above can upper and lower bound the growth of Σ . This also effectively behaves as an EMA, as each contribution of the previous sample is reduced by $\alpha/(\alpha + \beta)$.

3.4 Capacity Signal

From the model section, we understood \mathbf{U} as the orthonormal vectors that maximise the projected squared distance of the telemetry dataset \mathbf{A} . As every element in \mathbf{A} is in the range $[0,1]$, u_1 within \mathbf{U} will have either all positive or all negative values. This means that the other orthonormal vectors u_2, \dots, u_n will have at least one element that differs in sign from another. As a result, the remaining eigenvalues of \mathbf{U} are not valid resource-usage directions: $\forall 1 < i < n, k \neq 0 : \exists j \in 1, \dots, n$ such that $(ku_i)_j \leq 0$. As a result, only u_1 gives us a meaningful result: a weighted average of resource usage.

From this we can build a new capacity signal that considers both the estimated workload resource usage and its current resource usage. Given the current workload y , u_1 and σ_1 from the latest U and Σ , a Node's estimated capacity signal k is given by:

$$y_{\text{predict}} = y + k * \sqrt{\sigma_1} u_1 \quad (3.10)$$

$$\max_k \forall i : y_{\text{predict}} < 1 \quad (3.11)$$

As σ_1 is the sum of the projected square distances of the recent workload, we can scale u_1 by this distance to represent the size of expected workload. We could have also averaged the squared distance using $\sqrt{\sigma_1/b}$, but this would just scale k for all nodes and not provide any more information.

3.4.1 Example Scenarios

To better understand how this signal works, I will explore how the signal changes under different different loads. This scenarios will also be used to control the correctness of the signal implementation in the prototype.

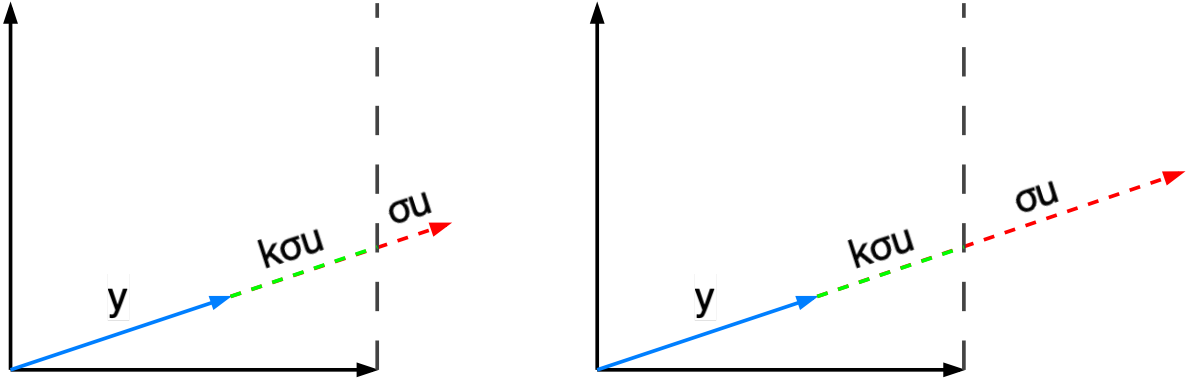


Figure 3.1: Visualisations of a Node's resource usage y and expected resource usage $\sigma_1 u_1$ when learning of conflicting resource utilisation.

Figure 3.1 presents the scenario where a Node updates its local model, learning that the experienced workload has increased in resource usage (σ_1 has increased). This means that a smaller constant k is needed before the combined vectors cross a resource boundary. As a result, a Node advertises a smaller capacity signal as it has less capacity for the expected resource usage.

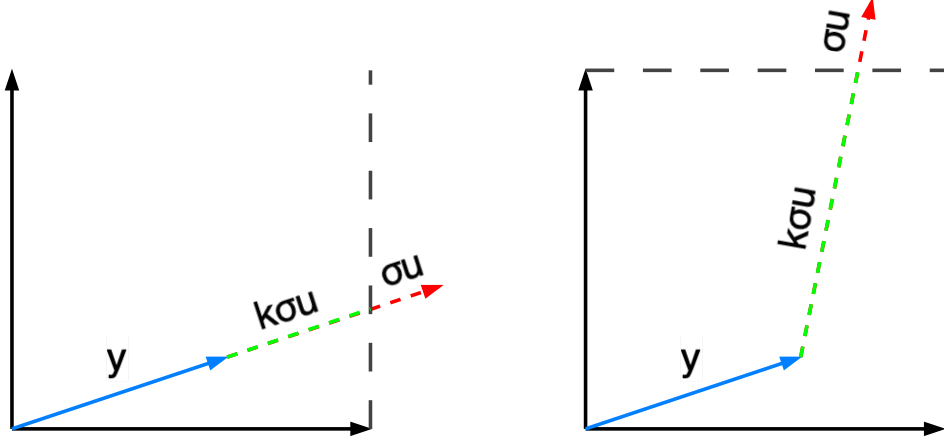


Figure 3.2: Visualisations of a Node’s resource usage y and expected resource usage $\sigma_1 u_1$ when learning of complementary resource utilisation.

Figure 3.2 presents the scenario where a Node updates its local model, learning that the learned workload is using more resources but in a different direction. This could be described as a complementary workload as the the dot product of the current resource utilisation and the expected resource utilisation is much smaller. Therefore, a larger constant k is needed to cross a resource boundary. This results in a Node advertising a higher capacity signal as it has more capacity for the new expected resource utilisation.

3.5 Reserve Cost and Capacity

Like PRONTO, CARICO’s signal uses its current resource usage, and therefore, only reflects Pods that have been scheduled and are running on the Node. For CARICO to work in a system with Pod startup latency, the scheduler must be able to predict the effect a Pod will have on a Node’s signal. CARICO assumes that Nodes know the number of currently running Pods, as well as, have the ability to estimate their signal capacity and per-Pod cost (I will explain the prediction methods used in the prototype in section ??). From With information, a Node can calculate its available capacity from:

$$\text{avail.} = \frac{\text{signal}}{\text{per-Pod cost}} \quad (3.12)$$

$$\text{avail.} = \frac{\text{capacity}}{\text{per-Pod cost}} - \text{pod count} \quad (3.13)$$

$$(3.14)$$

This metric has two useful properties:

- **Dual-Mode:** The available capacity can be calculated using two equations. This is especially useful in Kubernetes as during the creation or deletion of a Pod, the current measured resource usage can experience large spikes. To combat this noise,

Nodes can switch to predicting available capacity using estimated capacity and Pod count. This reduces fluctuations in a Node’s advertised capacity and improves scheduling decisions

- **Unit of Measure:** This metrics’ unit of measure is Pod count. Sending the latest signal, capacity and per-pod-cost values would increase the amount of data sent between Nodes and increase the complexity of the central scheduler’s algorithm.

Each Node n will broadcast its avail_n to a central scheduler. This scheduler also tracks each Node’s reserved amount as reserved_n . For each Pod waiting to be assigned to a Node, the scheduler performs the following operations:

- **Filter:** Filters out all Nodes n with $\text{avail}_n - \text{reserved}_n < 1$. Ensures we do not schedule on Nodes that do not have enough resources for another pod.
- **Score:** Score Nodes n by $\text{avail}_n - \text{reserved}_n$. This ensures we allocate to Nodes which can fit more Pods.
- **Reserve:** Once a node is Node has been chosen, we increment reserved_n by 1 for that Node. Once a scheduled Pod is no no longer in the Pending state, the central scheduler decrements reserved_n by 1 for the Node n the Pod was assigned to.

3.6 Properties

PRONTO is designed to be *federated*, *streaming* and *unsupervised*. CARICO exhibits identical properties while also considering the existance of communication and Pod startup latency.

Federated: PRONTO executes scheduling plans in a decentralised fashion without knowledge of the global performance dataset. Such approach in Kubernetes could actually decrease performance. The Kubernetes API server handles the publishing and updating of Kubernetes objects. A decentralised system with no global synchronisation or coordination could result in a stampede of Bind requests that could overload the Kubernetes API server and slow down the publishing of incoming Pods. Instead, CARICO uses a central scheduler to score Nodes and perform the final Bind operation. However, it can still be considered federated because of its use of federated PCA [1]: individual Nodes can have a unified view of the global workload while maintaining their individual autonomy to set their score as they deem fit.

Streaming: CARICO’s use of iterative-SVD, like PRONTO, means it only requires memory linear to the number of features considered; the required memory is proportional to $\mathcal{O}(d)$. Furthermore, like PRONTO, CARICO only requires a single pass over the incoming data without having to store historical data in order to update its estimates.

Unsupervised: CARICO uses a derivative of PCA, a popular technique for discovering

linera structure or reducing dimensionality in data. Like PRONTO, it exploits the resulting subspace estimate along with the incoming data to reveal patterns in recent resource-usage.

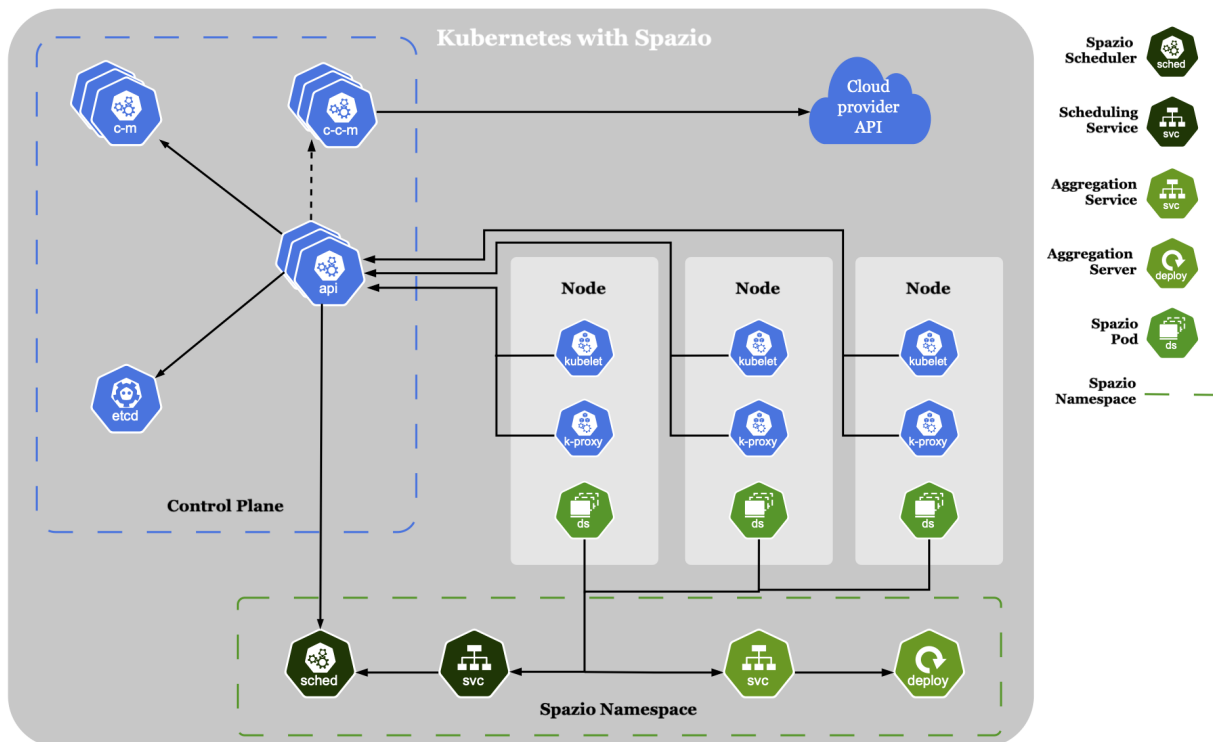
Continuous Value PRONTO is a binary signal, which makes difficult to score Nodes. CARICO's avail. $\in \mathbb{R}$, allowing Nodes to be filtered and scored against each other.

Latency Resilient: Unlike PRONTO which assumes no communication latency, CARICO was designed with Kubernetes in mind, and thus must consider possible latency in communication and Pod startup. CARICO's avail.'s unit of measure allows the central scheduler to easily track the estimated cost of Pods in-flight, ensuring subsequent scheduling decisions do not mistakenly overload a Node with a high avail..

Chapter 4

Implementation

4.1 System Architecture



The Spazio system consists of three core components (shown in figure 4.1):

- Spazio DaemonSet: each Node in the cluster will contain a Spazio Pod. This pod periodically collects telemetry from the Node and generates its local model and a capacity signal, which it sends to the Scheduling Service. When the Spazio Pod deems its local model outdated, it requests the latest aggregated global model from the Aggregation service.
- Scheduler: In Spazio, the scheduler is a **Scheduler Plugin**, implementing custom Filter, Score and Reserve functions. It also acts as the server of the Scheduling service, receiving the latest capacity scores of each Node to inform its scheduling decisions.
- Aggregation Server: This deployment provides the Aggregation service. The Aggregation Server Pod receives local models from Nodes and returns the latest aggregated global model.

4.2 Spazio Pod

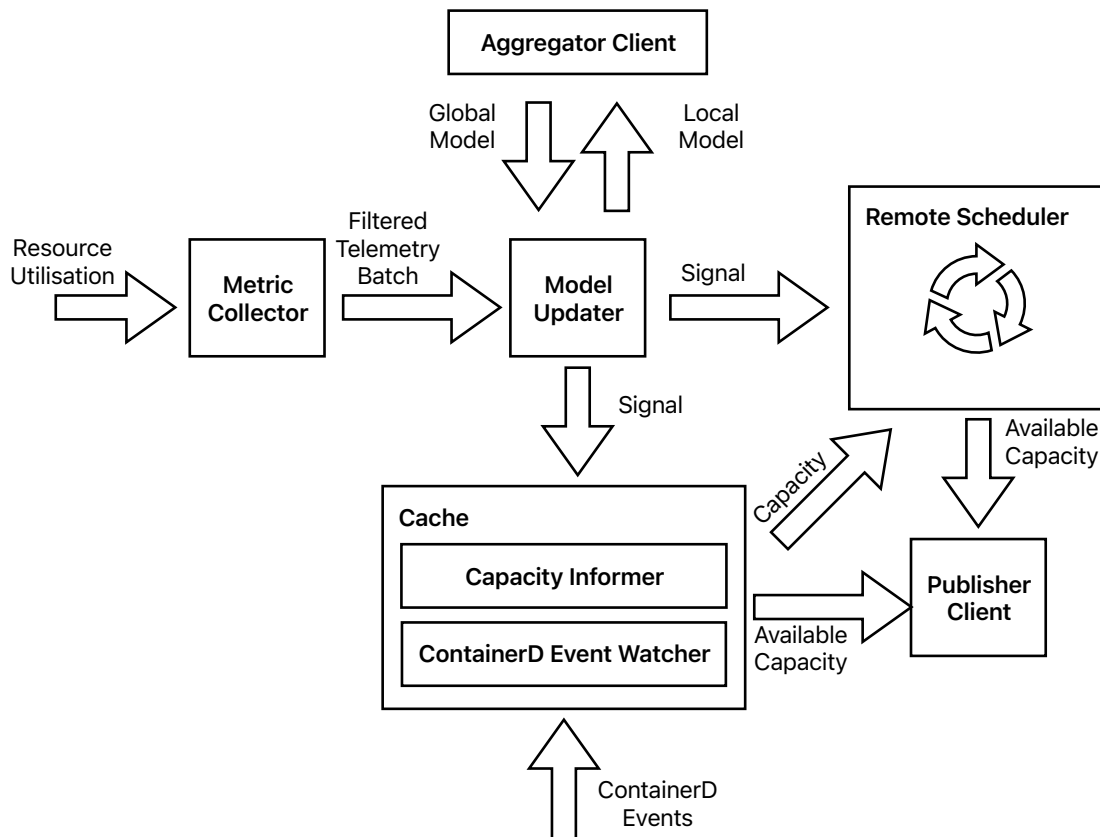


Figure 4.2: Core components within the Spazio pod

As mentioned in section 4.1, the Spazio Pods are defined within a DaemonSet - a DaemonSet ensures that all Nodes run a copy of a Pod. The Spazio Pods collect telemetry from the Node and generate its local model and capacity signal. The following sections will delve deeper into the implementation decisions behind the Spazio Pods.

4.2.1 Metric Collection

To build its local model, the Spazio Pod must first collect telemetry. In Kubernetes there are numerous ways to obtain telemetry data. During my project, I explored two sources of telemetry data:

- Metrics Server: a cluster add-on that acts as a centralised source of container resource metrics.
- `/proc/`: a pseudo-filesystem within Linux that exposes realtime information about running processes and system's hardware.

With Metrics Server, a scraper is used to periodically (default every 15 seconds) collect resource metrics from Kubelets and exposes them from its `metrics.k8s.io/v1` API Service. While it is simple to use, it provides a limited range of metrics, only CPU and RAM utilisation, and introduces another layer of latency. Furthermore, 15 seconds between scraping is too long as Pods may complete in under 15 seconds, and therefore, risk not being detected at all.

TODO: INCLUDE POINT THAT LOCAL MODEL TAKES B SAMPLES AND THEREFORE INCREASES LATENCY.

On the other hand, `/proc/` can be read from with very little latency, providing the most up-to-date view of the current state of the system. Furthermore, `/proc/` contains various files and subdirectories, each providing specific information. Examples include, `/proc/stat` which contains the amount of time CPU cores spend in different states, `/proc/meminfo` provides statistics about memory usage, `/proc/diskstats` presents the raw, low-level I/O statistics of all block devices. Finally, the metrics are not generated periodically, but rather on-the-fly. This guarantees that the information you see is as current as the system's internal state.

Due to the overwhelming benefits, I decided to use `/proc/` as the source of my telemetry data. The following sections the different metrics I considered, providing explanations to implementation decisions.

Utilisation Metrics

Utilisation metrics, such as % CPU utilised or % Memory available, are de-facto metrics when implementing industry-standard [31, 32]. These metrics can also be collected from `/proc/`.

To collect CPU utilisation, I used the `/proc/stat` file. This file reports the cumulative count of "jiffies" (typically hundredths of a second) each CPU spent in a specific mode [33]. I can then calculate CPU utilisation using:

$$\text{CPU Usage\%} = 1 - \frac{\Delta\text{idle} + \Delta\text{iowait}}{\Delta\text{across all fields}}$$

`/proc/meminfo` can also be used to collect memory utilisations. This file shows a snapshot of the memory usage in kilobytes. The percentage of memory used can then be calculated from the given field:

$$\text{Memory Used\%} = 1 - \frac{\text{MemFree} + \text{Buffers} + \text{Cached}}{\text{MemTotal}}$$

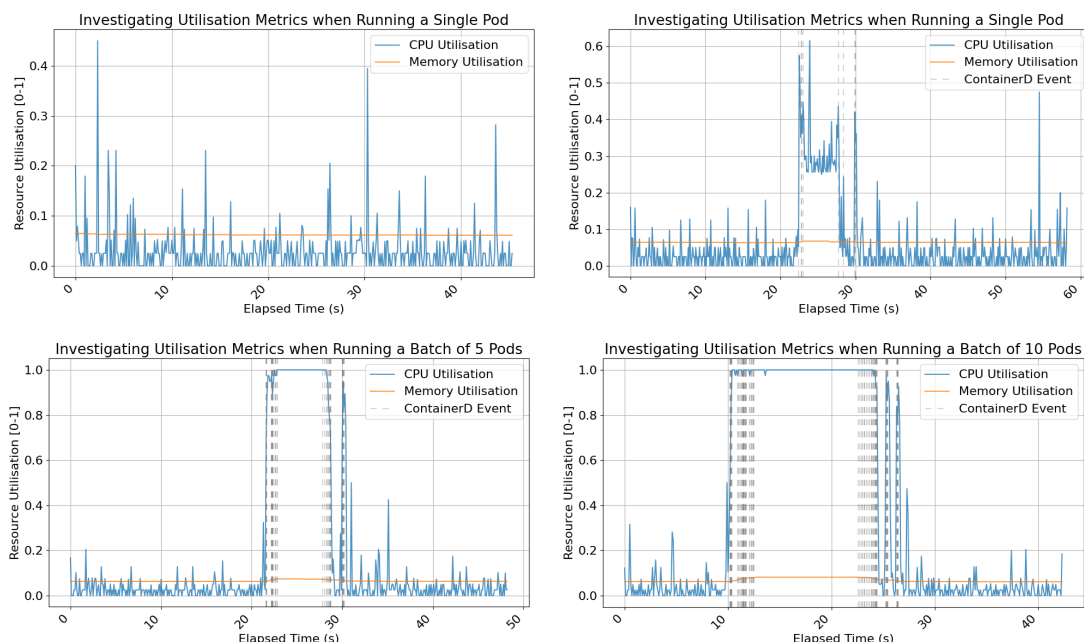


Figure 4.3: In this figure we sample CPU and memory utilisation from values of `/proc/stat`, `/proc/meminfo` at 10Hz during various Kubernetes workload.

To evaluate whether utilisation metrics were suitable for Spazio, I measured their output under different different workloads. Figure 4.3 presents the metrics behaviour when running different Job sizes of `pi-2000` Pods. As the cpu-intense workload involves calculating π to 2000 digits, we can see how the CPU utilisation correctly increases for that node while memory utilisation remains constant.

Issues of using CPU Utilisation

While evaluating early versions of the prototype which only used utilisation metrics, its lackluster throughput compared to the default `kube-scheduler` illuminated a problem of CPU utilisation. When deploying 1000 Pods, each requesting 100 milliseconds of CPU

time, across 19 Nodes, the **kube-scheduler** would immediately allocate all pods evenly across the Nodes. This would result in ≈ 45 pods running on each node. Meanwhile, Spazio with only utilisation telemetry would allocate at most 5 Pods at once on a Node. In both situations, CPU utilisation was 100%, however, the default **kube-scheduler** managed to achieve a high throughput with a long-tailed distribution of individual Pod completion times.

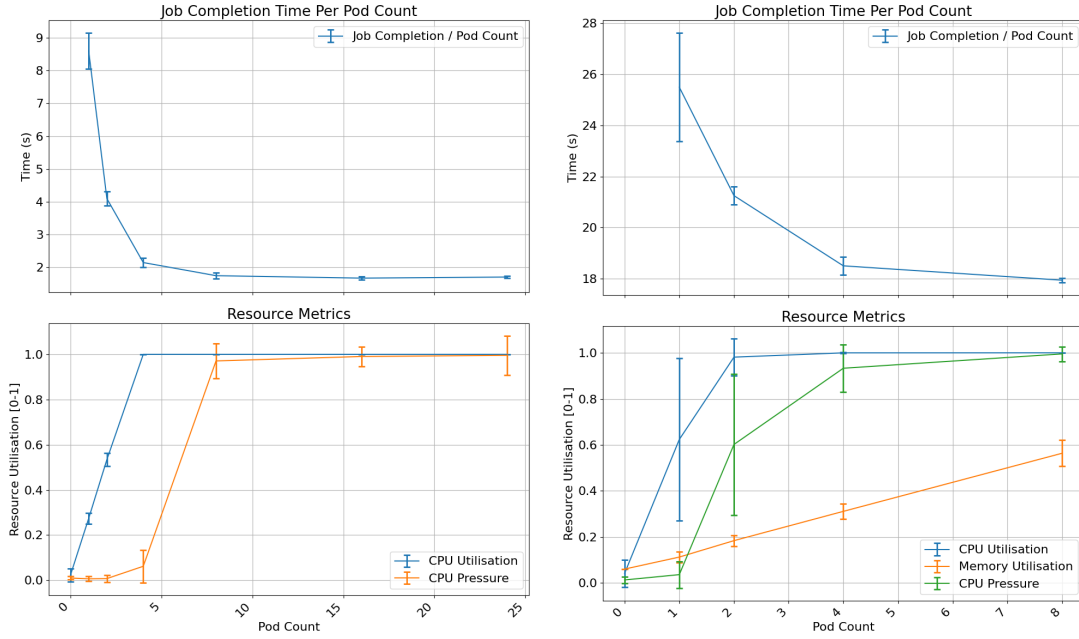


Figure 4.4: In this figure we sample CPU and memory utilisation from values of `/proc/stat`, `/proc/meminfo` at 10Hz during various Kubernetes workload.

TODO: CHANGE THIS GRAPH TO JOB COMPLETION / POD COUNT

As a result, I decided to further investigate this phenomenon. Figure 4.4 shows how a Job's completion time changes as you increase its completion and parallelism count when running different Pods: cpu-intense Pi-2000 and a small ML workload (training and inference). I also decided to include measurements from `/proc/pressure`. This investigation revealed that the relationship between the number of pods running on a node at a time and their completion time showed a close-to linear relationship. I hypothesise that this is caused by the cluster being run on top of VMs. As hypervisors aim to abstract the underlying hardware from the VMs, it also inadvertently hides hardware effects, such as cache contention and CPU thrashing. This means that high CPU utilisation may not truly signify performance degradation. Therefore, CPU utilisation is not a definitive measure of resource capacity, and explains why older versions of the prototype were not able to push through in terms of pod count and achieve higher throughput.

Combining CPU Utilisation and CPU Pressure

Using raw `/proc/pressure` metrics would also not suffice as these metrics barely increase until the pod count pushes past the number of cores. Therefore, the initial per-Pod costs for the first few Pods would be unreasonably low and would result in a Node advertising a falsely high Pod capacity. I instead chose to combine both CPU utilisation and CPU pressure into a single metric using:

$$CPU = \frac{CPU\ Utilisation + CPU\ Pressure}{2}$$

This ensures the metric steadily increases with the number of Pods running on a Node, but prevents the CPU metric from reaching 1 too quickly. Instead, the CPU metric is only maximised once `/proc/pressure` measures that there was always at least one thread waiting for the CPU.

Figure 4.5: In this figure we investigate the behaviour of combining both CPU Utilisation and CPU Pressure.

4.2.2 Filtering Metrics

While Spazio doesn't perform peak detection, the signal will still be influenced by short-lived spikes. As mentioned in the earlier section, pod creation and deletion incurs a visible spike in resource usage. This spike introduces noise into a Node's local model, as well as, its capacity signal. A noisy signal can also make it difficult to achieve accurate capacity and per-Pod cost estimation. As such, I needed to de-noise the original metrics.

Investigation into the recorded telemetry showed that the spikes caused from container events would last ≈ 200 milliseconds. Thus when sampling at a 10Hz frequency we can use Dynamic EMA to suppress container-event caused spikes but allow the smoothed metric to quickly converge on the new utilisation if the spike exceeded the 300 millisecond threshold.

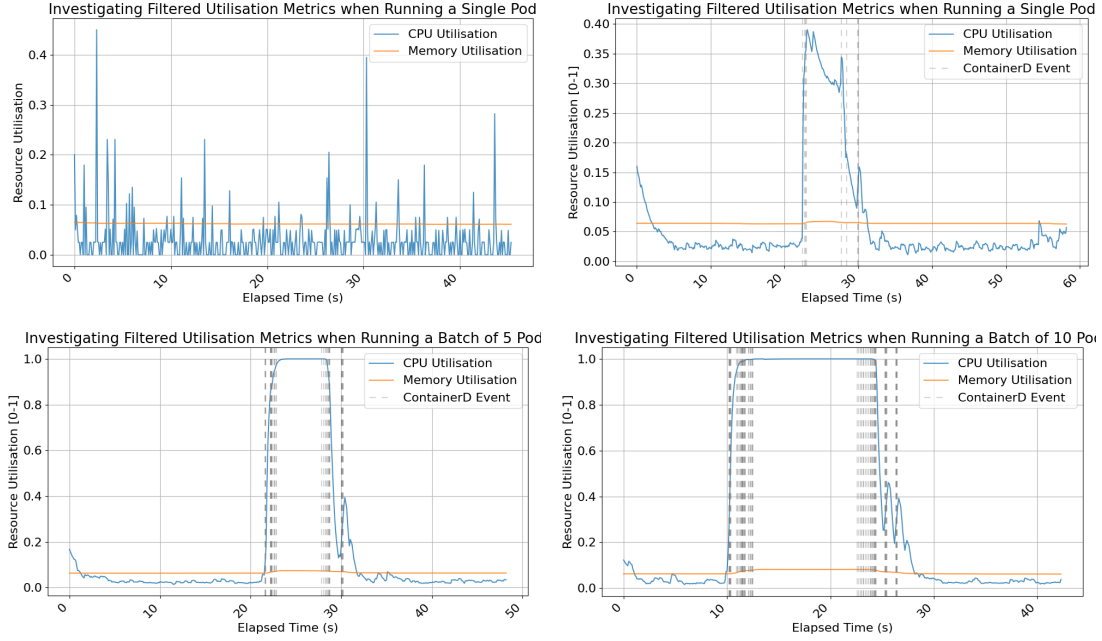


Figure 4.6: This figures shows the smoothed metrics under different workloads.

Comparing Figure 4.3 with 4.6 demonstrates the dampening of the Dynamic EMA and its quick responsive to prolonged changes in workloads. While more sophisticated filters exist, they were not considered due to their higher computational cost and thus would rob scheduled pods of the available resources. I had considered applying the filter directly to the signal instead of the collected telemetry, but by only filtering the signal, it would allow the local model to be polluted by these container-event resource spikes.

4.2.3 Signal Generation

In the Spazio Pod, the signal is calculated at a frequency of 1Hz. This frequency is the same frequency at which the local model is updated. This ensures that the signal tracks with the local model. Increasing the frequency would give the central scheduler a more up-to-date view of a Node's resource status, but would incur additional resource overhead. Not only would this overhead reduce the available resources to other Pods, it would be reflected by a lower signal, potentially reducing capacity a Node advertises.

To check for a correct implementation of the signal, I measured the calculated signal when under the situations described in 3.4.1. For the conflicting workload, I had the measured Node execute a light CPU-focused workload (`ng-stress --cpu=8 --cpu-load=25`) with the surrounding Nodes executing a CPU-intense workload (`bpi(2000)`). For the complementary workload, the measured Node executed a light Memory-focused workload (`ng-stress --vm=4 --vm-bytes=4G`) with the surrounding Nodes also executing the same CPU-intense workload as in the conflicting scenario. The measured signals are shown in figures 4.7 and 4.8

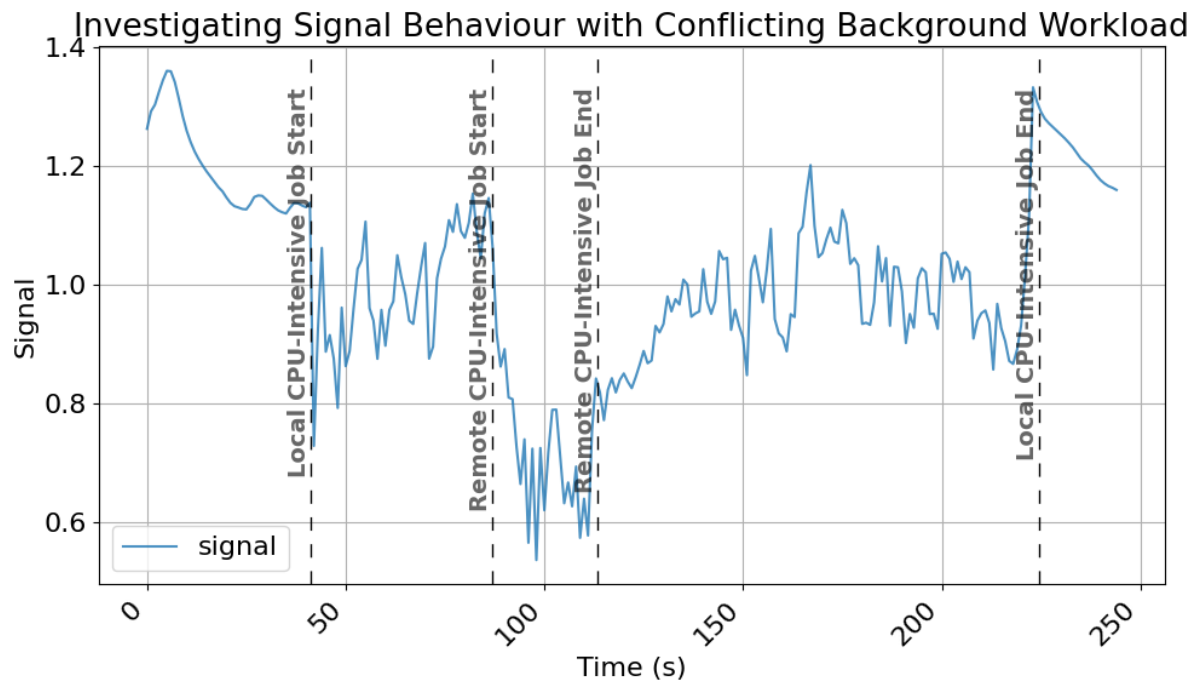


Figure 4.7: The calculated capacity signal of a Node running `ng-stress --cpu=8 --cpu-load=25`. While running this workload, 1000 Pods running `bpi(2000)` was scheduled across surrounding Nodes.

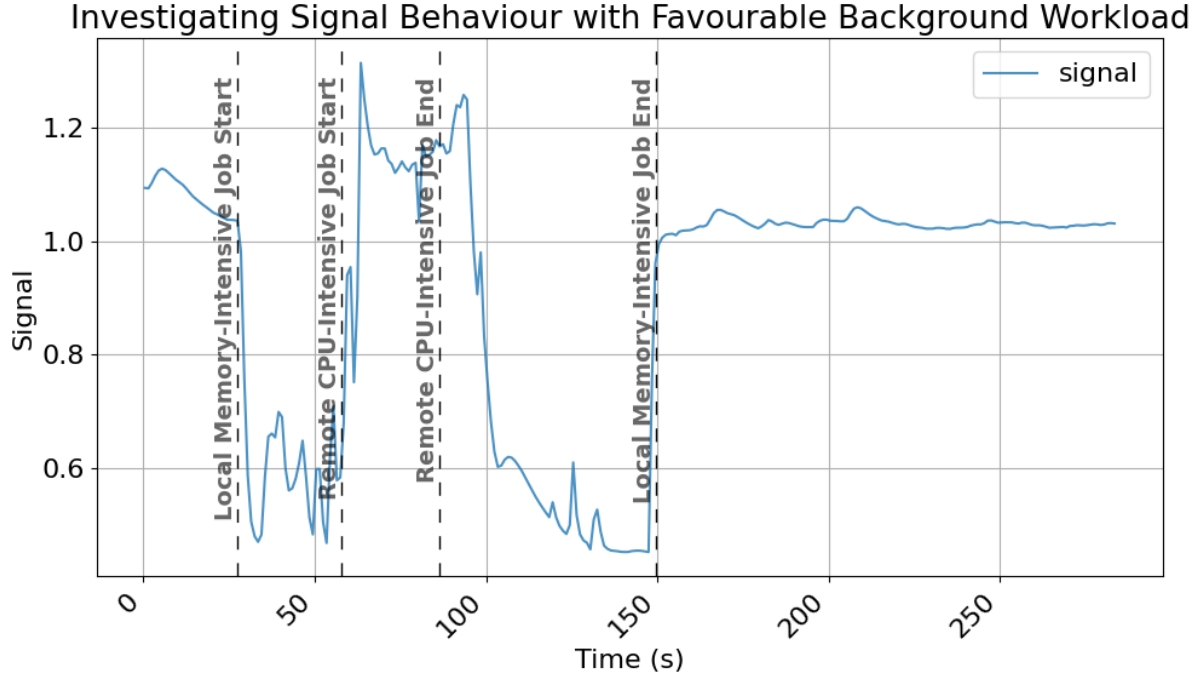


Figure 4.8: The calculated capacity signal of a Node running `ng-stress --vm=4 --vm-bytes=4G`. While running this workload, 1000 Pods running `bpi(2000)` was scheduled across surrounding Nodes.

Figures 4.7 and 4.8 demonstrates how a Node’s capacity signal reacts to changes in surrounding workloads when experiencing different resource usage. In Figure 4.7, the measured Node is running a light CPU-focused workload. Once the CPU-intense workload is scheduled on surrounding Nodes, the measured Node’s capacity signal drops. This is expected as the local models of surrounding Nodes will reflect a more costly CPU-focused workload. As a result, when the measured Node aggregates its local model, its new model will reflect this higher CPU usage with a larger σ_1 value. As the measured Node’s directions of the measured Node’s resource usage and expected workload are still CPU-focused, the increased σ_1 value results in a lower k , and thus a lower capacity signal.

In Figure 4.7, the measured Node is running a light Memory-focused workload. When the CPU-intense workload is scheduled on surrounding Nodes, the measured Node’s capacity signal increases. This matches 3.4 hypothesis. Like in the previous scenario, the global model reflects a heavy CPU-focused workload, and thus, so will the aggregated local model of the measured Node. However, as the measured Node’s resource usage is now orthogonal to the expected resource usage, a larger constant k is needed to maximise a resource. This reflects the sharp increase in capacity signal we observe in figure 4.8.

4.2.4 Calculating Cost and Capacity

As the new proposed signal also used its current resource usage in the calculation, pods scheduled on a node would not impact the signal until they had started running. Thus, I needed a means of reserving the signal to prevent the scheduler from running away and greedily assigning all pods to the node with highest score. As pod workload may vary over time, I needed a means of dynamically estimating the "signal cost" of assigning a pod to a node. In addition, I needed the method to be able to handle multiple pods being created and deleted at once.

Detecting Pod Events

There are numerous ways to detect the addition and removal of pods from a node. I investigated two approaches : watching the Kubernetes API and watching the ContainerD events. The goals of the listeners were as follows:

- Detect the creation and deletion of pods to establish a pod count
- Provide warning for potential container-caused churn

The latter requirement is needed as the Dynamic EMA used on the resources won't be able to smooth longer resource spikes caused by a burst of multiple container events. Instead, by detecting pod events earlier, we can halt capacity and per-Pod cost estimations until the burst has passed.

Comparing Kubernetes API Event Listener with ContainerD EventListener During Pod Creation

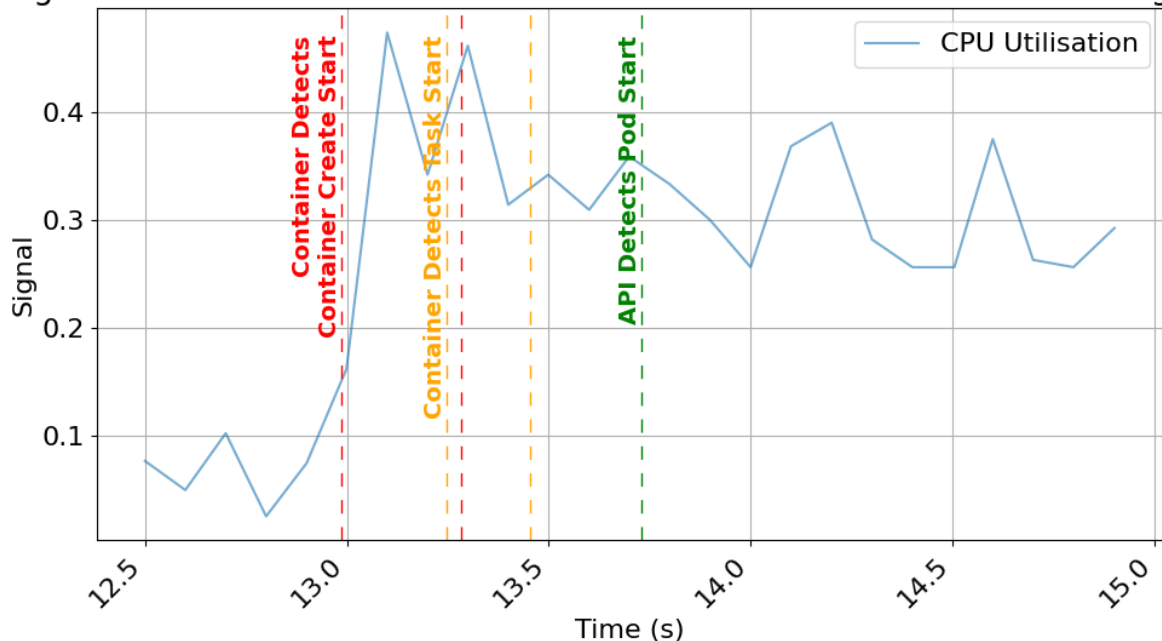


Figure 4.9: When different event listeners detected the creation of a Pod.

ing Kubernetes API Event Listener with ContainerD EventListener During Pod

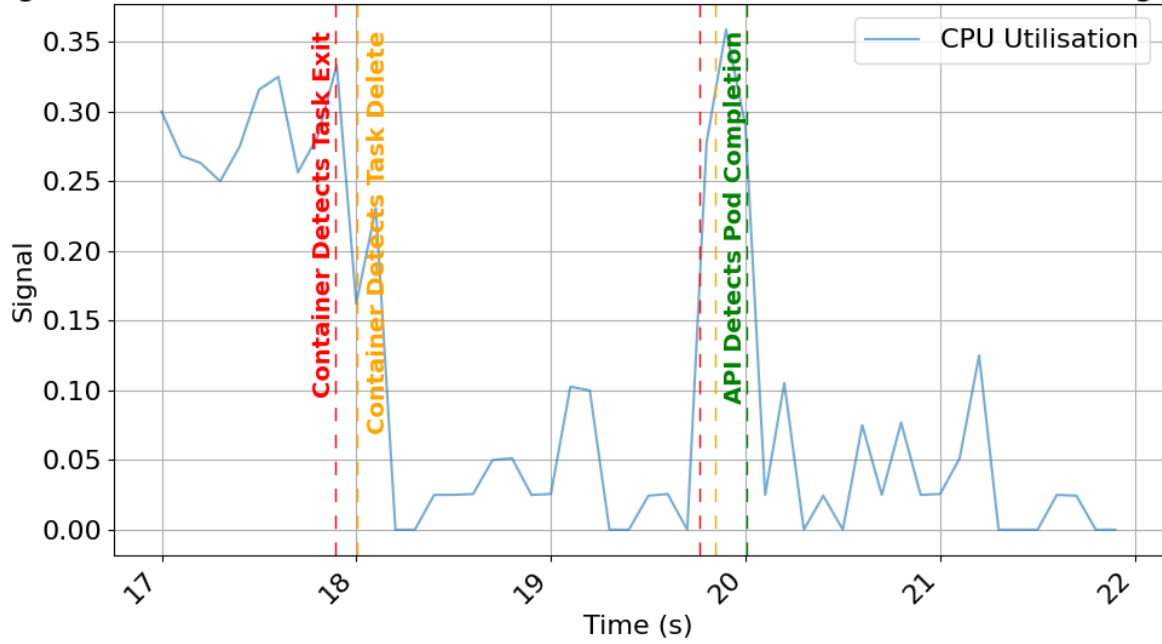


Figure 4.10: When different event listeners detected the completion of a Pod.

As shown in figures 4.9 and 4.10, the two-way latency from sending events to the `kube-apiserver` before then detecting results in the Kubernetes API listener to miss the spikes caused by container events. Without a forewarn, nodes will include container event resource spikes into their resource predictions. On the other hand, we can see that certain container events precede the spikes. While handling container events is more complex, it can alert the node of potential spikes and thus reduce the introduction of noise into our calculations.

Estimating Pod-Cost

As mentioned in Section 3.5, Spazio assumes a Node has the capability of estimating its capacity and per-Pod cost. I needed a streaming signal processing technique with a low-overhead and the ability to work with a dynamic system (handle changes in workloads over time). A Kalman filter [1] is a powerful algorithm used for estimating the true state of a dynamic from a series of noisy and uncertain measurements. It's widely applied in fields like navigation (GPS), robotics, signal processing and control systems.

TODO: Should I explain what a Kalman filter is in more detail? I devised three Kalman filter-based approaches to estimating reservation costs.

- 1D Kalman Filter predicting reservation cost based on the function:

$$\Delta \text{signal} = \Delta \text{no. of running pods} \times \text{cost}$$

- 2D Kalman Filter to predict the signal based on the function:

$$\text{signal} = \text{capacity} + \text{per pod cost} \times \text{no. of pods}$$

- Two separate 1D Kalman Filters predicting the equation:

$$\text{signal} = \text{capacity} + \text{per pod cost} \times \text{no. of pods}$$

. This dual filter approach has each filter learn a separate variable. By splitting the filter into two, it prevents non-zero covariance entries in the P matrix which cause the oscillations seen in the 2D Kalman filter.

To smooth out predictions, I employed two techniques. The first technique utilised the container event listener: on detection of container churn, the Spazio Pod would temporarily halt estimations until it had passed. Secondly, I halted estimates once the signal reached 0. When the capacity signal is 0, it indicates that at least one resource is at capacity. If this occurs and another Pod begins running on the same Node, the signal still outputs 0. Without halting estimates, the filters would continue to decrease the per-Pod cost, resulting in an inaccurately low per-Pod cost and thus advertise too large of a capacity.

Comparing Different Filter Approaches to Estimating Capacity and Per-Pod-C

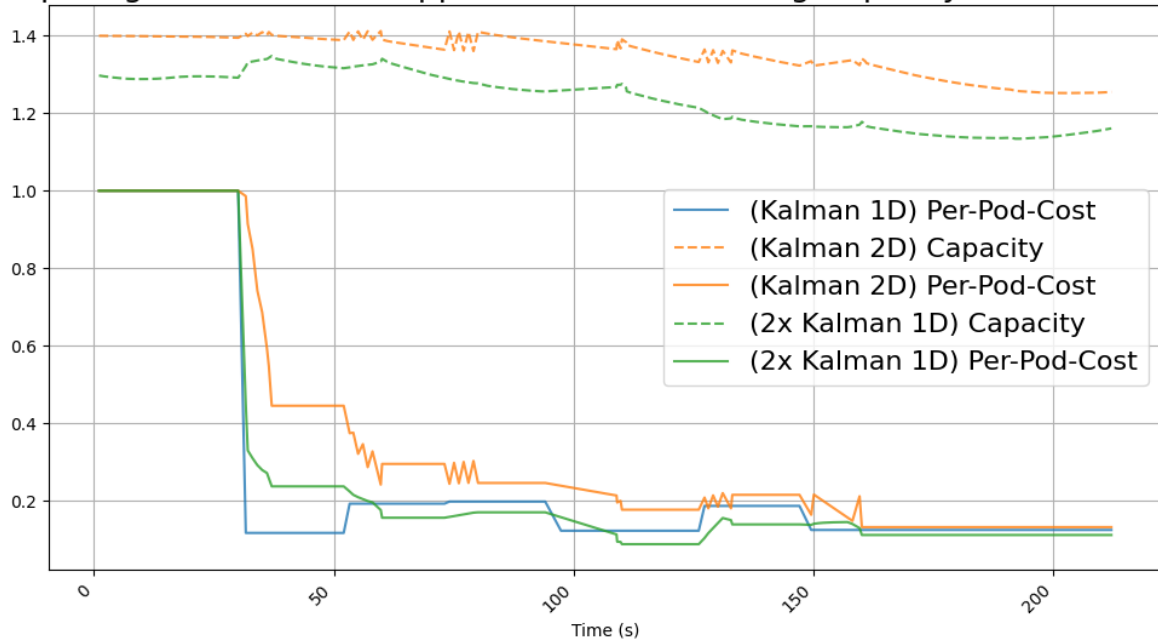


Figure 4.11: The estimates of the Kalman filters when Node experiences variable-sized bursts of `bpi(2000)` Pods.

To decide the optimal approach, I observed each methods' behaviour when executing

Jobs of various sizes. This is depicted in Figure 4.11. While Δ -based Kalman filter produced accurate estimates of per-Pod cost, its one-dimensional property prevents it from estimating the capacity of the Node. However, its stability inspired the double Kalman filter approach. The 2D Kalman filter approach provides a simple method for estimating both the Node's capacity and its per-Pod cost. However, to ensure faster convergence, I used large constants in the Q matrix. This resulted in large oscillations as the filter attempts to correct any error by modifying both the capacity and cost variables. Finally, the dual Kalman filters approach converged quickly on an accurate estimation without exhibiting the oscillations seen in the 2D Kalman filter. This made the Dual 1D Kalman filter the optimal choice.

4.3 Aggregation Server

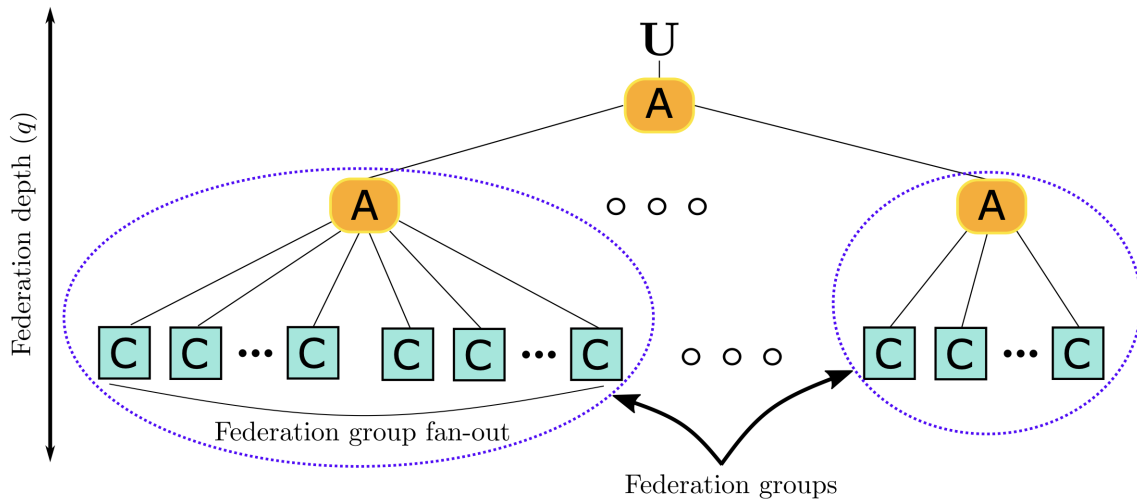


Figure 4.12: How local models are aggregated in Pronto. Dedicated aggregator nodes propagate the updated subspaces until the root is reached.

Pronto aggregation approach is similar to the distributed agglomerative summary model (DASM) [1]. Local models are aggregated in a "bottom-up" approach following a tree-structure depicted in Figure 4.12. While this approach is stated to require minimal synchronisation, it requires multiple dedicated Pods and Kubernetes inherent communication latency could result in a significant latency between a change in workload and the global model reflecting the change.

Therefore, I decided to use a flat on-the-fly approach to aggregation: when the Aggregation Server receives an aggregation request with a Node's local model, rather than aggregating the model before returning the result, the server enqueues the model to be aggregated and returns its latest view of the aggregated model. This implementation

trades consistency for latency.

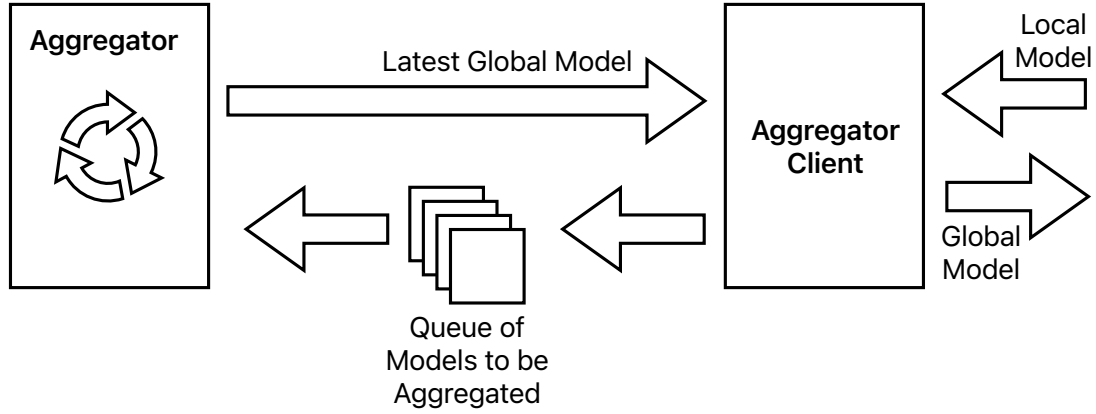


Figure 4.13: The components within the Aggregator Server.

The Aggregation Server executes a thread which waits on a queue of local models to aggregate. When the queue is non-empty, the thread pops the local model and performs a Subspace Merge operation (as defined in 3.3). To prevent a single local-model from influencing the global model completely, it uses an $\alpha = \# \text{ of Nodes} - 1, \beta = 1$. Aggregation clients perform a gRPC call to the address specified by the Aggregation Service. This gRPC function is implemented by the server and takes the local model of a Node as its argument. The function first enqueues the local model to be merged and returns the latest view of the global model. This has the clients themselves aggregate their local model with the received global model. By removing the aggregation of the model from the gRPC call's critical path, we reduce the work done by the Aggregation Server and allows the Server to handle more clients.

4.4 Scheduler Pod

4.4.1 Kubernetes Scheduler Plugin

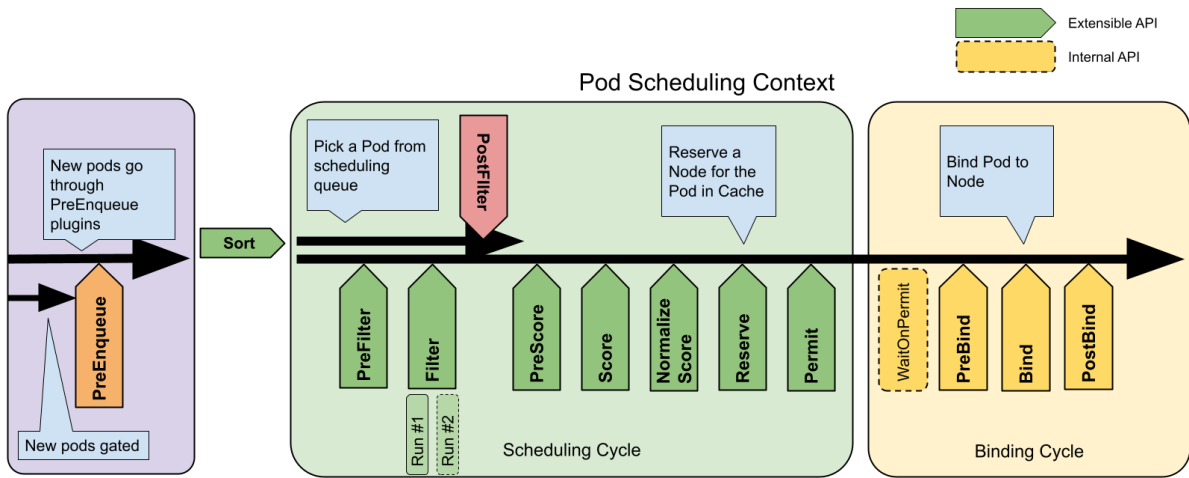


Figure 4.14: The available scheduling framework extension points [34].

The scheduling framework (depicted in Figure 4.14) is a pluggable architecture for the Kubernetes scheduler. The scheduling framework defines a set of extension points, which scheduler plugins have to register to be invoked. A scheduler plugin can register to multiple extension points, with each extension point defining an interface: a set of functions the scheduler plugin has to implement.

Implementing a Spazio-based scheduler plugin is much simpler than implementing a scheduler from scratch, while offering many performance benefits. Firstly, the scheduler operations defined in 3.5 align with the extension points offered by the scheduling framework. Secondly, the scheduling framework gives me access to efficient data structures and algorithms designed by Kubernetes engineers. Finally, scheduling plugins provide another layer of customisability: you can selectively enable or disable default plugins, or define the order in which custom plugins run to augment the overall behaviour. This means that the Spazio plugin could be used in tandem with other plugins to improve scheduling decisions.

To keep track of pod reservations, the Spazio scheduler uses a `map` from Pods ID to Node ID and a Kubernetes API Pod Event Listener. On Reserve, the scheduler increments a Node's reserve quantity and stores a record in the `map` with the Pod ID and the corresponding Node ID. The scheduler's API listener watches for any Pods that move from the 'Pending' status and if there exists a record within the `map` with the Pod's ID, the corresponding Node's reserve quantity is decremented.

Chapter 5

Evaluation

This section is focused on the empirical evaluation of Spazio as a Kubernetes scheduler. As I was unable to find any telemetric-only schedulers, I decided to compare SPAZIO against the default Kubernetes scheduler throughout this section. `kube-scheduler` is an industry-standard scheduler that was built upon the lessons learnt from Borg [], and thus, has been thoroughly optimised and battle tested. As `kube-scheduler` is a Pod description-based scheduler, I use multiple different resource requests to highlight how the performance achieved can vary depending on description.

The chapter is divided into the following sections: **Overhead - Section 5.2:** I measure the computational overhead incurred from running the SPAZIO Pods on every Node. To ascertain this effect, I compare the Job Completion time with and without the deployment of the SPAZIO Pod DaemonSet

Different Workloads - Sections 5.3, 5.4, 5.5: These sections investigate the performance of SPAZIO when deploying different workloads. For each workload, I investigate four metrics: Job Completion, Number of Running Pods, Pod Completion and Resource Usage. Job Completion measures the time it takes for all the Pods specified by a Job to complete. This then acts as a strong indicator of overall throughput of a scheduler. The Number of Running Pods will be used to explain the achieved Job Completion. Pod Completion instead focuses on the distribution of the time it takes for the Pods to complete. The distribution gives an indication of the amount of contention that occurs during the deployment of the Job. A tail-heavy distribution indicates high levels of contention and that the scheduler is overloading a Node. Finally, resource utilisation will focus on CPU and Memory utilisation. I decided to only measure these two resources as they are the only two that are currently considered in the scheduling decisions made by both SPAZIO and the default Kubernetes scheduler.

Workload Isolation - Section 5.6: In this section I investigate the workload-isolation provided by SPAZIO and the default Kubernetes scheduler. Workload isolation is considered a core component of QoS scheduling, and thus is a useful metric to observe.

5.1 Evaluation Setup

These experiments ran on a Kubernetes cluster containing 20 virtual machines (VMs) running on the Xen hypervisor. One of the machines is used as the master Node, and the rest are worker Nodes. The master Node contains all the Pods in the control plane, and during the evaluation of Spazio, it contains the Spazio Scheduler and Aggregation Server. Each VM features four Intel Xeon Gold 6142 CPUs 2.60Ghz with 8 GB of RAM running Ubuntu 24.04.2 LTS. Each CPU has a single core with hyperthreading disabled. When running `kubectl describe nodes`, each Node advertises 4000 milli-CPU seconds and 8GB of RAM.

During the evaluation, I use a Prometheus deployment [1] to collect various statistics, such as running Pod count, resource utilisation and Kubernetes object completions.

5.2 Spazio Overhead

To measure the overhead incurred from running the Spazio pods on the Nodes, I compared the completion time of Jobs when running on Nodes with and without the Spazio deployment. I considered measuring resource utilisation on a Node with just the Spazio Pod running, but much of the behaviour of the Spazio Pod occurs during container events. As a result, the measured overhead would not represent the entire impact of the Spazio Pod. Instead, by measuring the overhead over Jobs, we aggregate the impact of Spazio across multiple container events, providing a more holistic view of its impact.

| Number of Pods | % Overhead with Spazio |
|----------------|------------------------|
| 100 | -2.33 ± 3.29 |
| 250 | -1.19 ± 2.44 |
| 500 | 4.84 ± 1.25 |
| 750 | 1.69 ± 0.42 |
| 1000 | 2.27 ± 0.66 |

Table 5.1: The overhead incurred when running Spazio Pods on Nodes during the executing of Jobs with varying Pod counts. Each Pod executed `bpi(2000)` and requested 200 milliseconds of CPU time.

To measure Spazio’s overhead, I used Pod’s executing `bpi(2000)`. Table 5.2 presents the relative change in Job Completion time with Spazio Pods running on the Nodes. We can see that the Job Completion time of smaller Jobs are more noisy, therefore, resulting in an observed decrease in Job Completion times. However, with larger and more stable Jobs, the overhead from Spazio is more visible. From these observations we can conclude that Spazio has $\approx 2\%$ overhead.

5.3 CPU-centric Workloads

For this experiment, I used a CPU-heavy workload: Pods calculatig π to 2000 digits (`bpi(2000)`). This simple workload helps visualise the impact of combining CPU Pressure with CPU Utilisation.

5.3.1 Throughput

During the measurements with the default Kubernetes scheduler, I used the following CPU requests: 100m, 200m, 500m, 1000m. The results are given in Table 5.2

| Scheduler | Requested | Job Completion (s) | | | | |
|-----------|-----------|--------------------|----------------|----------------|----------------|---------------|
| | CPU | 100 Pods | 250 Pods | 500 Pods | 750 Pods | 1000 Pods |
| Default | 100m | 15.7 \pm 0.6 | 31.7 \pm 2.1 | 56 \pm 1.7 | 84.7 \pm 0.6 | 112 \pm 0.0 |
| Default | 200m | 15.7 \pm 0.7 | 30.7 \pm 0.6 | 55 \pm 1 | 79 \pm 0.0 | 103 \pm 1 |
| Default | 500m | 15.7 \pm 1.2 | 32 \pm 2.6 | 57.7 \pm 0.6 | 81 \pm 2 | 104 \pm 2.1 |
| Default | 1000m | 21 \pm 2.0 | 54.7 \pm 0.6 | 96 \pm 2.6 | 133 \pm 0.6 | 175 \pm 1 |
| Spazio | | 20.3 \pm 0.6 | 35.3 \pm 0.6 | 60.3 \pm 2.5 | 89 \pm 2 | 109 \pm 1 |

Table 5.2: Job Completion of Job deployments with different Pod counts. Each Pod executed `bpi(2000)`. For the default scheduler, the requested resources are also given

From Table 5.2, we can see how much the performance of the default scheduler varies depending on the amount of CPU time requested. Over-estimating requests can result in the CPU being underutilised, while under-estimating can result in too many Pods running on a Node at once. With SPAZIO, we observed only observed a 10% reduction in Job Completion time. This can be attributed to its use of telemetric data: CPU utilisation and CPU Pressure. In Section 4.2.1, I investigated these metrics and showed how with `bpi(2000)` Pods, these metrics indicated full capacity when running 4-8 Pods. As the capacity signal of a Node is tied to these metrics, the Node's will never advertise more capacity than ≈ 8 Nodes.

TODO: POTENTIALLY MENTION HOW 1000M AND 500M CORRESPOND TO THE LIMIT OF USING CPU UTILISATION AND CPU PRESSURE

5.3.2 Pod Completions

| Scheduler | CPU Request | Mean | Std. | Min. | 25% | Median | 75% | Max. |
|------------|-------------|-------|-------|------|-------|--------|-------|-------|
| Kubernetes | 100m | 47.43 | 14.59 | 7.00 | 39.00 | 52.00 | 57.00 | 73.00 |
| Kubernetes | 500m | 9.55 | 1.49 | 5.00 | 9.00 | 10.00 | 10.00 | 14.00 |
| Spazio | | 7.69 | 0.99 | 5.00 | 7.00 | 8.00 | 8.00 | 11.00 |

Table 5.3: Pod Completion of multi-resource Job deployments with different Pod counts. For the default scheduler, `bpi(2000)` Pods requested 100 milliseconds of CPU and ML Pods requested 200 milliseconds CPU and 750Mi of memory

I decided to further investigate the behaviour of the schedulers by measuring the Pod Completion time given in Figure 5.3. From this table, we observe that the lower request default schedulers ends up with a very tail-heavy distribution for Pod Completions. Increasing the request to 500 milliseconds, reduces the mean by $\approx 80\%$ while also reducing the skew. However, CARICO is able to achieve the lowest Pod Completion distribution. To help explain the reason by this result, I also measured the number of Pods running on each Nodes during the Job execution, and present the values in Figure 5.1.

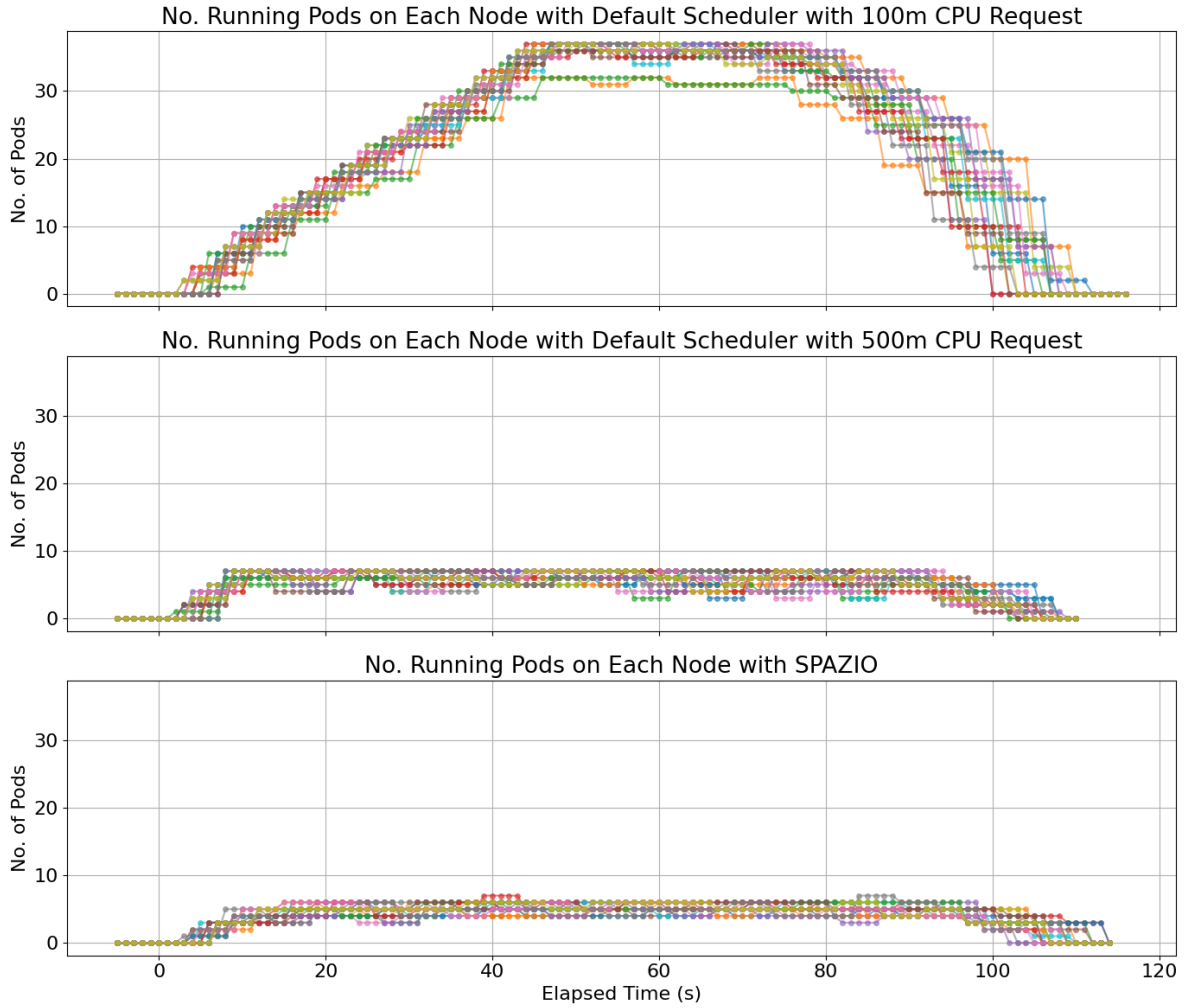


Figure 5.1: The number of `bpi(2000)` Pods running on a Node during the execution of a Job with 1000 Pods.

Figure 5.1 depicts the different approaches taken by each scheduler. As the default Kubernetes Scheduler tackles scheduling as if it were a bin-packing problem, it schedules as many pods that can fit on a Node; each Node has 4000m CPU capacity, 1000m per core. As a result, the 100m request results in a stampede of Pods while the 500m request and CARICO assign a relatively constant number of Pods. However, the default scheduler with 500m Pods takes the edge in terms of throughput as it achieves a higher number of running Pods per Node.

TODO: SHOULD I TALK ABOUT NOT OVERPROVISIONING AS MEMORY USES OOM KILLS IF FULL AND THEREFORE RISKED CORRECTNESS OF SIGNAL

5.3.3 Resource Utilisation

Figure 5.2 shows the resource utilisation during the execution of a Job with 1000 Pods. The default Kubernetes scheduler with 100m CPU requests achieves 100% CPU utilisation

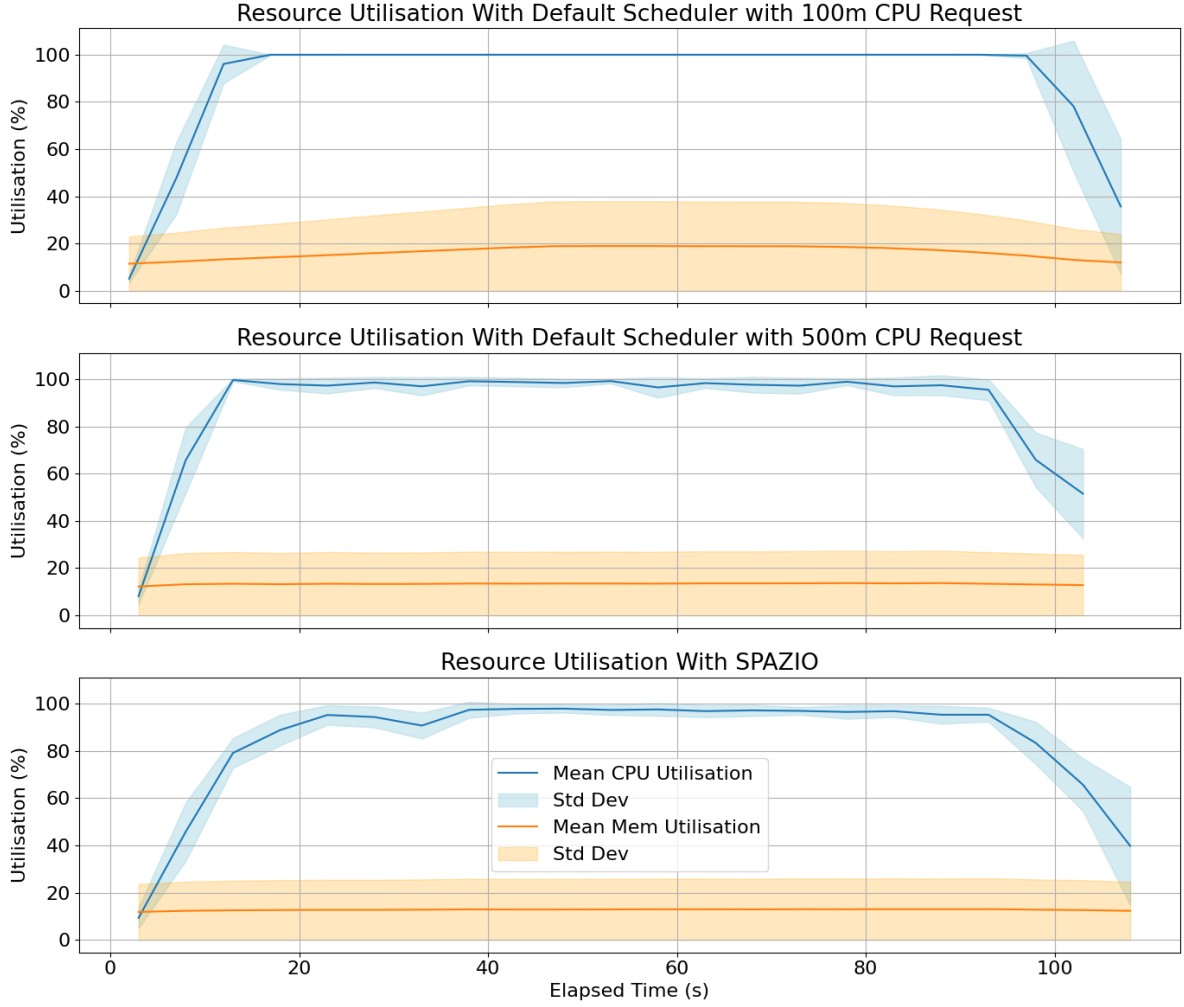


Figure 5.2: the resource utilisation when scheduling a job with 1000 pods executing `bpi(2000)`. the top figure gives the resource utilisation when scheduling with the default kubernetes scheduler. the bottom figure gives the resource utilisation when scheduling with spazιο.

due to the large number of Pods running on each Node. The resulting allocation even results in a visible increase in the Memory usage.

5.4 Memory-centric Workloads

In this experiment, I use a Job that specifies Pods that performed a small ML workload. This workload uses a significant amount of memory, which unlike CPU, must be carefully handled. If we increase the number of processes on a fully-utilised CPU, it only results in each process having a smaller portion of CPU time and degrading its performance. On the other hand, memory is less forgiving as once memory runs out, the kernel begins OOM killing processes. This be detrimental to Job Completion, as terminated Pods results in wasted computations.

5.4.1 Throughput

| Scheduler | Requested | | Job Completion (s) | |
|-----------|-----------|--------|--------------------|------------------|
| | CPU | Memory | 100 Pods | 200 Pods |
| Default | 200m | 750Mi | 144.3 ± 0.6 | 362 ± 20.4 |
| SPAZIO | | | 189 ± 4.36 | 353.3 ± 12.7 |

Table 5.4: Job Completion of Job deployments with different Pod counts. Each Pod executed a small ML workload. For the default scheduler, the requested resources are also given

The Job Completions from the experiment are given in Table 5.4. CARICO achieves a worse performance in the smaller 100 Pod Job, but actually overtakes the default Kubernetes scheduler with the 200 Pod Job.

5.4.2 Pod Completions

| Scheduler | # Pods | Mean | Std. | Min. | 25% | Median | 75% | Max. |
|------------|--------|--------|-------|-------|--------|--------|--------|--------|
| Kubernetes | 100 | 127.38 | 5.29 | 118 | 123.00 | 126.00 | 132.00 | 138.00 |
| SPAZIO | 100 | 59.02 | 14.62 | 27.00 | 55.00 | 61.00 | 66.00 | 94.00 |
| Kubernetes | 200 | 225.19 | 36.19 | 45.00 | 231.00 | 236.00 | 239.00 | 244.00 |
| SPAZIO | 200 | 64.46 | 14.33 | 23.00 | 57.75 | 67.00 | 73.25 | 89.00 |

Table 5.5: Pod Completion of multi-resource Job deployments with different Pod counts. For the default scheduler, `bpi(2000)` Pods requested 100 milliseconds of CPU and ML Pods requested 200 milliseconds CPU and 750Mi of memory

Table 5.5 gives the Pod Completion Distribution of the ML-based Jobs. When using the default Kubernetes scheduler, increasing the Pod count in a Job where the resource request is underestimated, increases drastically the average Pod Completion time as well as skewing the distribution to become more tail-heavy. However, the distribution of Pod Completion Time achieved by CARICO remains consistent as you increase the Job size.

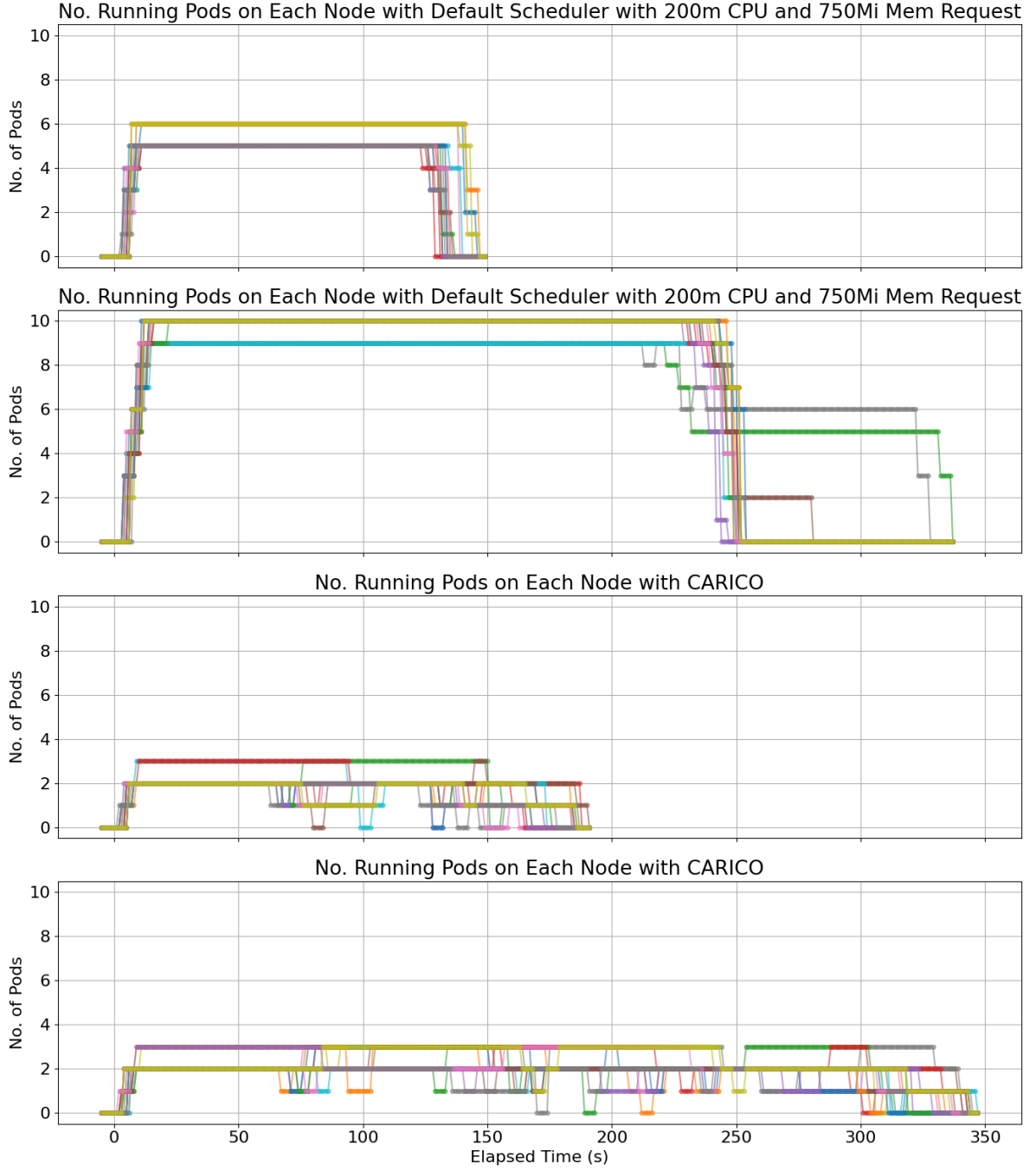


Figure 5.3: The number of ML Pods running on a Node during the execution of a Job with 100 and 200 Pods.

Figure 5.3 depicts the number of Pods running on each Node at a given time. We can see how in the larger ML Job, the default scheduler is able to allocate a majority of the Pods across the Nodes. Each Node advertises $\approx 8\text{GB}$ of memory while each Pod requests 750MiB of Memory. All these Pods end up competing for resources, and the overcontention increases individual Pod completion time. The scheduler still has to wait for Pods to terminate to free up memory. When space does become available, it is because a stampede of Pods have terminated. While, the scheduler was able to now allocate the

remaining Pods, only a few Nodes are used while the rest become idle. This second wave of Pods results in a slower throughput compared CARICO which ensures 1-3 Pods are always running on a Node.

5.4.3 Resource Utilisation

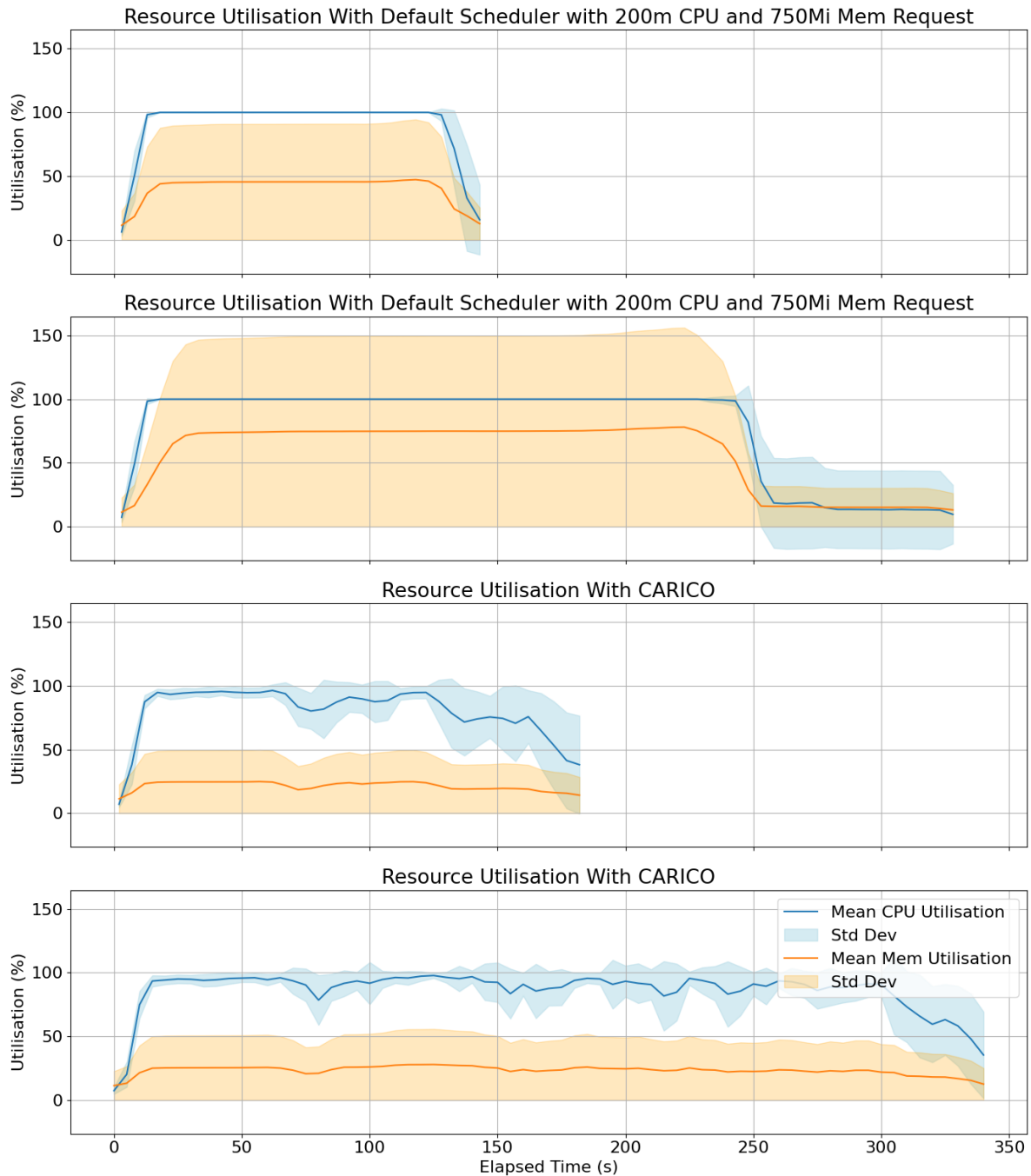


Figure 5.4: The resource utilisation when scheduling a Job with 1000 Pods executing `bpi(2000)`. The top figure gives the resource utilisation when scheduling with the default Kubernetes scheduler. The bottom figure gives the resource utilisation when scheduling with SPAZIO.

Figure 5.3 also helps to explain the resulting resource usage depicted in Figure ?? . While the ML workload is memory-intense, it still contributes significantly to CPU utilisation. As the default scheduler does not actively look at resource utilisation, it is able to allocate Pods to Node’s with fully-utilised CPUs. As a result, it achieves a higher memory usage with both Jobs. However, we can also observe the effect of the second wave of Pod allocations during the 200 Pod Job. During the last minute of the Job’s executing, the average CPU and Memory utilisation of the cluster have dropped to $\approx 10\%$; barely above their baseline utilisation. On the other hand, CARICO is again limited by the Node’s CPU metrics, achieving a lower overall memory utilisation.

5.5 Mixed Workloads

In this experiment, I deployed the two Jobs defined above: a short-lived CPU-focused workload and a longer running ML workload. This experiment evaluates how SPAZIO handles more than one type of workload.

5.5.1 Throughput

To thoroughly evaluate SPAZIO in this scenario, I varied the distribution of Pods from each Job. The observed Job Completions are given in Table 5.6.

| Scheduler | Job Size | | Job Completion (s) |
|-----------|------------|-----|--------------------|
| | bpi (2000) | ML | |
| Default | 500 | 20 | 105.7 ± 10.6 |
| SPAZIO | 500 | 20 | 88.7 ± 2.5 |
| Default | 250 | 50 | 118 ± 4.58 |
| SPAZIO | 250 | 50 | 115.7 ± 0.58 |
| Default | 100 | 100 | 149 ± 0.0 |
| SPAZIO | 100 | 100 | 192 ± 4.0 |

Table 5.6: Job Completion of multi-resource Job deployments with different Pod counts. For the default scheduler, **bpi**(2000) Pods requested 100 milliseconds of CPU and ML Pods requested 200 milliseconds CPU and 750Mi of memory

The Job Completions from the experiment are given in Table 5.6. CARICO achieves a higher throughput during the 500-20 and 250-50 combined workloads. However, the final 100-100, we see how the limiting throughput is now governed by the throughput achieved with the memory-centric workload.

5.5.2 Pod Completions

Due to Spazio's lackluster throughput, I decided to investigate distribution of Pods across Nodes and how it impacted Pod Completion times.

| Scheduler | Job | Mean | Std. | Min. | 25% | Median | 75% | Max. |
|------------|---------|-------|-------|-------|-------|--------|-------|-------|
| Kubernetes | pi-2000 | 28.78 | 7.52 | 7.00 | 27.00 | 30.00 | 33.00 | 45.00 |
| Kubernetes | ML | 65.25 | 10.53 | 49.00 | 58.25 | 64.00 | 75.25 | 82.00 |
| SPAZIO | pi-2000 | 7.40 | 1.14 | 5.00 | 7.00 | 7.00 | 8.00 | 11.0 |
| SPAZIO | ML | 34.50 | 7.39 | 22.00 | 27.50 | 36.00 | 40.25 | 44.00 |

Table 5.7: Pod Completion of multi-resource Job deployments with different Pod counts. For the default scheduler, `bpi(2000)` Pods requested 100 milliseconds of CPU and ML Pods requested 200 milliseconds CPU and 750Mi of memory

Table 5.7 gives the Pod Completion Distribution during an execution of the 500-20 Job combination. We can observe that the Pod Completion times for both Jobs is significantly higher with the default scheduler compared to CARICO. This shows how CARICO is still able to achieve a low-tailed Pod Completion time when scheduling workloads with different resource requests.

TODO: SHOULD I ONLY INVESTIGATE WORKLOADS WE HAVE SEEN BEFORE SO THAT I CAN COMPARE THE CHANGE IN DISTRIBUTION

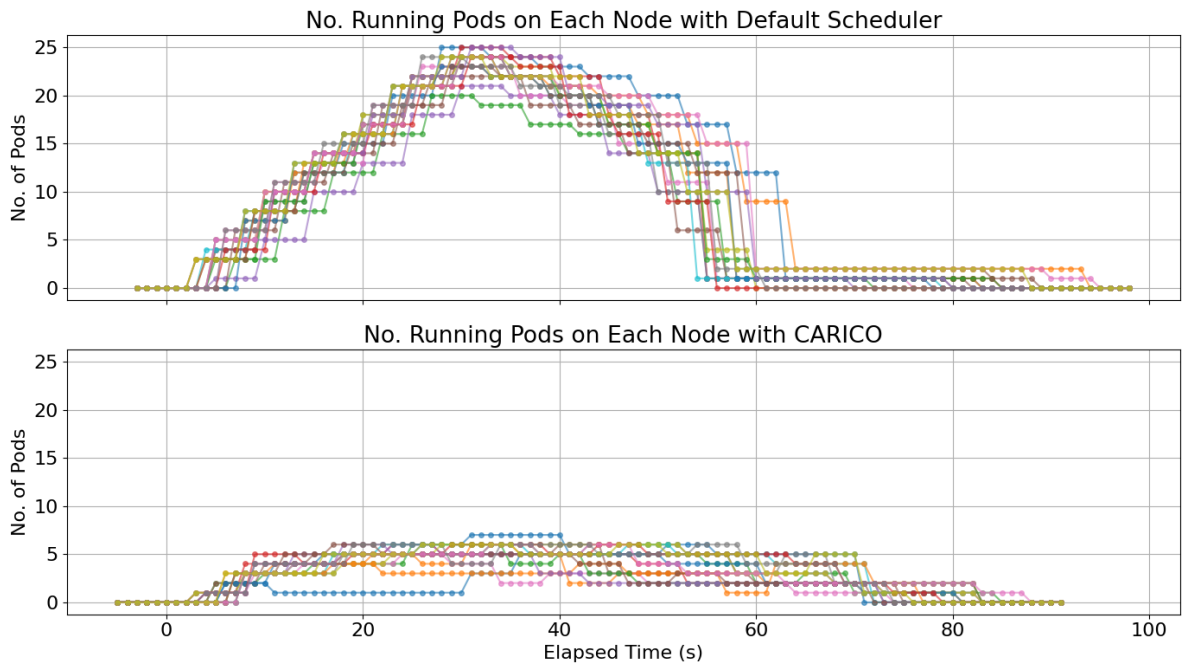


Figure 5.5: The number of Pods running on a Node during the 500-20 Job combination.

Figure 5.5 depicts the number of Pods running on each Node at a given time. Like in 5.3,

the default scheduler ends up with long-running Pods on small percentage of the Nodes in the cluster. The default scheduler see that the Node's have enough advertised capacity, and therefore, allocate all the Pods as they arrive. This again results in a stampede of completions, and only a few Nodes continue to do work. However, CARICO's rate of Pod allocation remains consistent, ensuring that Nodes have a close to constant number of Pods.

5.5.3 Resource Utilisation

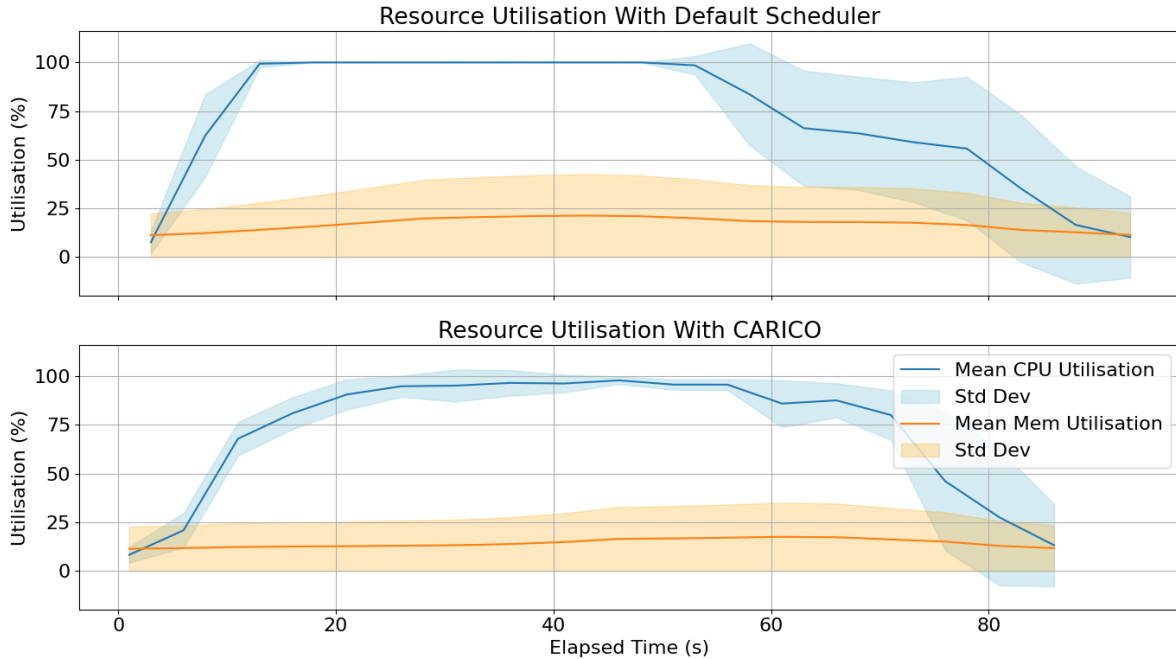


Figure 5.6: The resource utilisation when scheduling a Job with 1000 Pods executing `bpi(2000)`. The top figure gives the resource utilisation when scheduling with the default Kubernetes scheduler. The bottom figure gives the resource utilisation when scheduling with SPAZIO.

Figure 5.6 shows the resource utilisation running the 500-20 combined Jobs. For the same reasons as with the ML workload, the default scheduler ends up with a low resource utilisation for the latter portion of the Job execution. Instead, CARICO achieves a more consistent resource utilisations, experiencing less of a fall in CPU utilisation near the end of the Job. In this scenario, CARICO achieves a more efficient allocation of resources.

5.6 Workload Isolation

To evaluate Spazio's QoS, I investigated how its scheduling decisions impact the performance of already running Pods. For this experiment, I had a Pod running on a worker

Node, while another Pod periodically sent HTTP GET requests. This polling Pod would then measure latency of the response. I then scheduled a Job of 1000 Pods executing `bpi(2000)` across the cluster and measured how the response latency changed. In the default schedulers case, each Pod requested 100 milliseconds of CPU time.

| Scenario | Response Latency (ms) | | | | | |
|-------------------|-----------------------|-------|-------|-------|-------|-------|
| | Min | Med | P90 | P95 | P99 | Max |
| Baseline | 0.99 | 3.04 | 3.78 | 4.00 | 4.47 | 8.32 |
| Default Scheduler | 1.07 | 10.06 | 18.61 | 22.28 | 28.82 | 54.49 |
| Spazio | 1.00 | 2.48 | 6.09 | 7.82 | 10.53 | 17.39 |

Table 5.8: The distribution of a servers response latency when different schedulers attempt to allocate a 1000 Pod Job across the server.

Table 5.8 contains the measured distribution of the response latency from the server. It shows how scheduling with the default scheduler using 100m CPU requests, resulting a significant shift in latency distribution. The median more than doubles and the distribution greatly shifted towards the tail: the maximum latency was $\approx \times 7$ larger. On the other hand, when scheduling with CARICO, the median latency actually decreased. Furthermore, while the tail distribution did increase, the max latency was only $\approx \times 2$ bigger.

5.7 Limitation

Limitations: *In this section, I will go over the limitations of the system. I will highlight how certain metrics like CPU-Utilisations don't give any more information once saturated. I will also have to mention how due to the sub-linear pod completion time, the Kubernetes scheduler is able to achieve higher job throughput by packing more pods into nodes.*

5.8 Summary

Summary: *In this section, I will summarise the results of my evaluation section, highlighting key findings and reasoning.*

Chapter 6

Conclusion and Future Work

6.1 Summary

The goal of this dissertation was to implement a telemetric-only Kubernetes scheduler using the theory behind PRONTO as a spring-board. I based the design of the scheduler behind PRONTO because of its federated nature and its use of contention-based metrics.

This dissertation resembles little to what was in the Project Proposal, and I feel this tells a lot about this project. A telemetric-only Kubernetes scheduler was a novel and interesting presented an interesting and novel

6.2 Future Work

Bibliography

- [1] Latest Kubernetes Adoption Statistics: Global Insights, May 2024. Section: Blog. URL: <https://edgedelta.com/company/blog/kubernetes-adoption-statistics>.
- [2] Google Kubernetes Engine (GKE). URL: <https://cloud.google.com/kubernetes-engine>.
- [3] schaffererin. What is Azure Kubernetes Service (AKS)? - Azure Kubernetes Service. URL: <https://learn.microsoft.com/en-us/azure/aks/what-is-aks>.
- [4] Praneel Madabushini. Leveraging Kubernetes for AI/ML Workloads: Case studies in autonomous driving and large language model infrastructure. *World Journal of Advanced Engineering Technology and Sciences*, 15(1):1044–1052, January 2025. Publisher: GSC Online Press. URL: <https://journalwjaets.com/node/480>, doi:10.30574/wjaets.2025.15.1.0320.
- [5] What is Kubernetes on Edge?, June 2024. URL: <https://www.clouddraft.io/what-is/kubernetes-on-edge>.
- [6] Testimonials - Knative. URL: <https://knative.dev/docs/about/testimonials/>.
- [7] Apache OpenWhisk is a serverless, open source cloud platform. URL: <https://openwhisk.apache.org/>.
- [8] Kirk Pruhs, Jiri Sgall, and Eric Torng. Online scheduling., 2004.
- [9] Kubernetes Cost Optimization: Strategies & Best Practices. URL: <https://www.cloudbolt.io/cloud-cost-management/kubernetes-cost-optimization/>.
- [10] Nikhil Gopinath. Bin Packing and Cost Savings in Kubernetes Clusters on AWS. URL: <https://www.sedai.io/blog/bin-packing-and-cost-savings-in-kubernetes-clusters-on-aws>.
- [11] Kubernetes Scheduler. Section: docs. URL: <https://kubernetes.io/docs/concepts/scheduling-eviction/kube-scheduler/>.
- [12] Yixin Bao, Yanghua Peng, and Chuan Wu. Deep learning-based job placement in distributed machine learning clusters. In *IEEE INFOCOM 2019-IEEE conference on computer communications*, pages 505–513. IEEE, 2019.

- [13] Yanghua Peng, Yixin Bao, Yangrui Chen, Chuan Wu, Chen Meng, and Wei Lin. D12: A deep learning-driven scheduler for deep learning clusters. *IEEE Transactions on Parallel and Distributed Systems*, 32(8):1947–1960, 2021.
- [14] Ying Yang and Lijun Chen. Design of kubernetes scheduling strategy based on lstm and grey model. In *2019 IEEE 14th International Conference on Intelligent Systems and Knowledge Engineering (ISKE)*, pages 701–707. IEEE, 2019.
- [15] Andreas Grammenos, Evangelia Kalyvianaki, and Peter Pietzuch. Pronto: Federated Task Scheduling, April 2021. arXiv:2104.13429 [cs]. URL: <http://arxiv.org/abs/2104.13429>, doi:10.48550/arXiv.2104.13429.
- [16] Abdul Qadeer. Scaling Kubernetes to Over 4k Nodes and 200k Pods, January 2022. URL: <https://medium.com/paypal-tech/scaling-kubernetes-to-over-4k-nodes-and-200k-pods-29988fad6ed>.
- [17] Kubernetes Components. Section: docs. URL: <https://kubernetes.io/docs/concepts/overview/components/>.
- [18] Gilbert Strang. *Introduction to Linear Algebra*. Wellesley-Cambridge Press, 2009.
- [19] Armin Eftekhari, Raphael A Hauser, and Andreas Grammenos. Moses: A streaming algorithm for linear dimensionality reduction. *IEEE transactions on pattern analysis and machine intelligence*, 42(11):2901–2911, 2019.
- [20] Ionel Gog, Malte Schwarzkopf, Adam Gleave, Robert N. M. Watson, and Steven Hand. Firmament: fast, centralized cluster scheduling at scale. In *Proceedings of the 12th USENIX conference on Operating Systems Design and Implementation*, OSDI’16, pages 99–115, USA, November 2016. USENIX Association.
- [21] Francescomaria Faticanti, Daniele Santoro, Silvio Cretti, and Domenico Siracusa. An application of kubernetes cluster federation in fog computing. In *2021 24th Conference on Innovation in Clouds, Internet and Networks and Workshops (ICIN)*, pages 89–91. IEEE, 2021.
- [22] Daniele Santoro, Daniel Zozin, Daniele Pizzolli, Francesco De Pellegrini, and Silvio Cretti. Foggy: A platform for workload orchestration in a fog computing environment. In *2017 IEEE International Conference on Cloud Computing Technology and Science (CloudCom)*, pages 231–234. IEEE, 2017.
- [23] Angel Beltre, Pankaj Saha, and Madhusudhan Govindaraju. Kubesphere: An approach to multi-tenant fair scheduling for kubernetes clusters. In *2019 IEEE cloud summit*, pages 14–20. IEEE, 2019.
- [24] Muhammad Fadhriga Bestari, Achmad Imam Kistijantoro, and Anggrahita Bayu Sasmita. Dynamic resource scheduler for distributed deep learning training in ku-

- bernetes. In *2020 7th International Conference on Advance Informatics: Concepts, Theory and Applications (ICAICTA)*, pages 1–6. IEEE, 2020.
- [25] Marcos Carvalho and Daniel Fernandes Macedo. Qoe-aware container scheduler for co-located cloud environments. In *2021 IFIP/IEEE International Symposium on Integrated Network Management (IM)*, pages 286–294. IEEE, 2021.
 - [26] László Toka. Ultra-reliable and low-latency computing in the edge with kubernetes. *Journal of Grid Computing*, 19(3):31, 2021.
 - [27] Carmen Carrión. Kubernetes scheduling: Taxonomy, ongoing issues and challenges. *ACM Computing Surveys*, 55(7):1–37, 2022.
 - [28] Jiaming Huang, Chuming Xiao, and Weigang Wu. Rlsk: A job scheduler for federated kubernetes clusters based on reinforcement learning. In *2020 IEEE International Conference on Cloud Engineering (IC2E)*, pages 116–123. IEEE, 2020.
 - [29] Yiwen Han, Shihao Shen, Xiaofei Wang, Shiqiang Wang, and Victor CM Leung. Tailored learning-based scheduling for kubernetes-oriented edge-cloud system. In *IEEE INFOCOM 2021-IEEE conference on computer communications*, pages 1–10. IEEE, 2021.
 - [30] Ishak Harichane, Sid Ahmed Makhlouf, and Ghalem Belalem. A proposal of kubernetes scheduler using machine-learning on cpu/gpu cluster. In *Computer Science On-line Conference*, pages 567–580. Springer, 2020.
 - [31] Apache Hadoop. Apache hadoop yarn. *The Apache Software Foundation*, 2016.
 - [32] Shalmali Sahasrabudhe and Shilpa S. Sonawani. Improved filter-weight algorithm for utilization-aware resource scheduling in OpenStack. In *2015 International Conference on Information Processing (ICIP)*, pages 43–47, December 2015. URL: <https://ieeexplore.ieee.org/document/7489348/>, doi:10.1109/INFOP.2015.7489348.
 - [33] proc.stat(5) - Linux manual page. URL: https://www.man7.org/linux/man-pages/man5/proc_stat.5.html.
 - [34] Scheduling Framework. Section: docs. URL: <https://kubernetes.io/docs/concepts/scheduling-eviction/scheduling-framework/>.

Appendix A

Required Lemmas for Model Interpretation

A.1 Proof: The First Left Singular Vector as a Pseudo Weighted Average

Let \mathbf{A} be an $m \times n$ matrix with non-negative elements $0 \leq a_{ij} \leq 1$. Let u_1 be the first left singular vector and σ_1 the corresponding singular value of \mathbf{A} .

A.1.1 Fundamental Representation of u_1

The vector u_1 is an eigenvector of $\mathbf{A}\mathbf{A}^T$ associated with the largest eigenvalue σ_1^2 : $\mathbf{A}\mathbf{A}^T u_1 = \sigma_1^2 u_1$. Let a_j denote the j -th column of \mathbf{A} . Then $\mathbf{A}\mathbf{A}^T = \sum_{j=1}^n a_j a_j^T$. Substituting this into the eigenvalue equation and assuming $\sigma_1 \neq 0$: $(\sum_{j=1}^n a_j a_j^T) u_1 = \sigma_1^2 u_1 \implies \sum_{j=1}^n a_j (a_j^T u_1) = \sigma_1^2 u_1$. Thus u_1 can be expressed as a linear combination of the columns of \mathbf{A} :

$$u_1 = \sum_{j=1}^n \left(\frac{a_j^T u_1}{\sigma_1^2} \right) a_j = \sum_{j=1}^n w_j a_j, \text{ where } w_j = \frac{a_j^T u_1}{\sigma_1^2}$$

A.1.2 Contribution of Columns to u_1

The magnitude of each weight w_j is given by:

$$|w_j| = \frac{|a_j^T u_1|}{\sigma_1^2} = \frac{\|a_j\| |\cos(\theta_j)|}{\sigma_1^2}$$

where θ_j is the angle between column a_j and u_1 . Columns a_j with larger norms $\|a_j\|$ or those more parallel to u_1 (i.e. $|\cos(\theta_j)| \approx 1$) will have weights w_j of greater magnitude. Consequently, these "larger" or more aligned columns contribute more significantly to the sum defining u_1 and thus to its direction

A.1.3 Non-Uniqueness of u_1 and Relation to Perron-Frobenius Theorem

The singular vectors in SVD are not unique: if $\mathbf{A} = \mathbf{U}_1 \Sigma \mathbf{V}_1^T = \mathbf{U}_2 \Sigma \mathbf{V}_2^T$ then $\Sigma_1 = \Sigma_2$ but $\mathbf{U}_1 = \mathbf{U}_2 \mathbf{B}_a$ and $\mathbf{V}_1 = \mathbf{V}_2 \mathbf{B}_b$ for some block diagonal unitary matrices $\mathbf{B}_a, \mathbf{B}_b$ [19].

Since \mathbf{A} has non-negative entries ($a_{ij} \geq 0$), $\mathbf{A}\mathbf{A}^T$ is a non-negative matrix. By the Perron-Frobenius theorem, there exists an eigenvector u_1^+ corresponding to the eigenvalue σ_1^2 whose components u_{1i}^+ are all non-negative ($u_{1i}^+ \geq 0$). The first left singular vector u_1 obtained from SVD must then be $u_1 = bu_1^+$ where $b = \pm 1$.

A.1.4 Interpretation as a Pseudo Weighted Average

- **Case 1:** $b = 1 \implies u_1 = u_1^+$.

In this case, $a_j^T u_1 = a_j^T u_1^+ = \sum_i a_{ij} u_{1i}^+ \geq 0$ (as $a_{ij} \geq 0, u_{1i}^+ \geq 0$).

The weights $w_j = (a_j^T u_1) / \sigma_1^2$ are therefore non-negative ($w_j \geq 0$).

$u_1 = \sum w_j a_j$ becomes a conic combination of the non-negative column vectors a_j . This u_1 (itself non-negative) acts as a pseudo weighted average, representing a principal direction within the cone spanned by the a_j . Columns contributing larger non-negative w_j pull u_1 more strongly in their direction.

- **Case 2:** $b = -1 \implies u_1 = -u_1^+$.

Here, $a_j^T u_1 = a_j^T (-u_1^+) = -a_j^T (u_1^+) \leq 0$.

The weights $w_j = (a_j^T u_1) / \sigma_1^2$ are non-positive ($w_j \leq 0$).

While $u_1 = \sum w_j a_j$ now involves non-positive weights for non-negative vectors a_j , the magnitudes $|w_j| = (a_j^T u_1) / \sigma_1^2$ remain the same as in Case 1. Thus, columns a_j that are “larger” or more aligned with u_1^+ still contribute with greater magnitude to the sum, determining the orientation of the line spanned by u_1 .

A.1.5 Conclusion

The vector u_1 is a linear combination of the columns of \mathbf{A} , $u_1 = \sum w_j a_j$. The magnitude of the coefficient w_j for each column a_j is proportional to the projection of a_j onto u_1 (scaled by $1/\sigma_1^2$). This means the columns with larger norms or those more aligned with the principal direction (the line spanned by u_1) contribute more significantly to defining this direction.

Irrespective of the sign b (determined by the SVD algorithm), the line along which u_1 lies is shaped by this weighted aggregation.

A.2 Proof: Monotonicity of the First Singular Value for Non-Negative Matrices

Let \mathbf{A} and \mathbf{B} be $m \times n$ matrices with real entries such that $0 \leq a_{ij} \leq b_{ij} \leq 1$ for all i, j . We want to show that $\sigma_1(\mathbf{A}) \leq \sigma_1(\mathbf{B})$, where $\sigma_1(\mathbf{M})$ denotes the first (largest) singular value of a matrix \mathbf{M} .

A.2.1 Relating Singular Values to $\mathbf{M}^T \mathbf{M}$

The square of the first singular value, $\sigma_1(\mathbf{M})^2$, is the largest eigenvalue of the matrix $\mathbf{M}^T \mathbf{M}$. Since $\mathbf{M}^T \mathbf{M}$ is a symmetric positive semi-definite matrix, its largest eigenvalue is also its spectral radius, $\rho(\mathbf{M}^T \mathbf{M})$. Thus, $\rho(\mathbf{A})^2 = \rho(\mathbf{A}^T \mathbf{A})$ and $\rho(\mathbf{B})^2 = \rho(\mathbf{B}^T \mathbf{B})$.

A.2.2 Comparing $\mathbf{A}^T \mathbf{A}$ and $\mathbf{B}^T \mathbf{B}$

Let $\mathbf{M}_\mathbf{A} = \mathbf{A}^T \mathbf{A}$ and $\mathbf{M}_\mathbf{B} = \mathbf{B}^T \mathbf{B}$. The (j, k) -th element of these matrices are:

$$(\mathbf{M}_\mathbf{A})_{jk} = \sum_{i=1}^m a_{ij} a_{ik} \quad (\text{A.1})$$

$$(\mathbf{M}_\mathbf{B})_{jk} = \sum_{i=1}^m b_{ij} b_{ik} \quad (\text{A.2})$$

Since $0 \leq a_{ij} \leq b_{ij}$ for all i, j :

- All a_{ij} and b_{ij} are non-negative
- Therefore, $a_{ij} a_{ik} \leq b_{ij} b_{ik}$ for all i, j, k . Summing over i :

$$\sum_{i=1}^m a_{ij} a_{ik} \leq \sum_{i=1}^m b_{ij} b_{ik}$$

This implies $(\mathbf{M}_\mathbf{A})_{jk} \leq (\mathbf{M}_\mathbf{B})_{jk}$ for all j, k . Thus, $0 \leq \mathbf{M}_\mathbf{A} \leq \mathbf{M}_\mathbf{B}$ element-wise. Both $\mathbf{M}_\mathbf{A}$ and $\mathbf{M}_\mathbf{B}$ are matrices with non-negative entries.

A.2.3 Monotonicity of Spectral Radius

A standard result from Perron-Frobenius theory states that if \mathbf{X} and \mathbf{Y} are non-negative matrices such that $\mathbf{X} \leq \mathbf{Y}$ element-wise, then their spectral radii satisfy $\rho(\mathbf{X}) \leq \rho(\mathbf{Y})$. Applying this result to $\mathbf{M}_\mathbf{A} = \mathbf{A}^T \mathbf{A}$ and $\mathbf{M}_\mathbf{B} = \mathbf{B}^T \mathbf{B}$:

$$\rho(\mathbf{A}^T \mathbf{A}) \leq \rho(\mathbf{B}^T \mathbf{B})$$

A.2.4 Conclusion

From Section A.2.1 and A.2.3:

$$\sigma_1(\mathbf{A})^2 \leq \sigma_1(\mathbf{B})^2$$

Since the singular values are by definition non-negative ($\sigma_1(\mathbf{M}) \geq 0$):

$$\sigma_1(\mathbf{A}) \leq \sigma_1(\mathbf{B})$$

This shows that if the values of a non-negative matrix \mathbf{A} are increased (while remaining non-negative, e.g., elements between 0 and 1), the first singular value of the resulting matrix \mathbf{B} will be greater than or equal to that of \mathbf{A} .

A.3 Proof: Singular Value of Concatenated Matrix

This section proves the following statement:

Given two non-negative matrices $\mathbf{A} \in \mathbb{R}^{m \times n_1}$ and $\mathbf{B} \in \mathbb{R}^{m \times n_2}$, the first singular value of the concatenated matrix $\mathbf{C} = [\mathbf{A}, \mathbf{B}]$ is greater than or equal to the maximum of the first singular values of \mathbf{A} and \mathbf{B} . That is,

$$\sigma_1([\mathbf{A}, \mathbf{B}]) \geq \max(\sigma_1(\mathbf{A}), \sigma_1(\mathbf{B}))$$

A.3.1 Setup

Let \mathbf{A} be an $m \times n_1$ matrix and \mathbf{B} be an $m \times n_2$ matrix. The concatenated matrix $\mathbf{C} = [\mathbf{A}, \mathbf{B}]$ is an $m \times (n_1 + n_2)$ matrix. The first singular value of any matrix \mathbf{M} , denoted $\sigma_1(\mathbf{M})$, is defined as its spectral norm:

$$\sigma_1(\mathbf{M}) = \|\mathbf{M}\|_2 = \max_{\|x\|_2=1} \|\mathbf{M}x\|_2$$

where x is a unit vector.

A.3.2 Relating $\sigma_1(\mathbf{C})$ to $\sigma_1(\mathbf{A})$

Let $v_{\mathbf{A}}^*$ be a unit vector in \mathbb{R}^{n_1} such that $\sigma_1(\mathbf{A}) = \|\mathbf{A}v_{\mathbf{A}}^*\|_2$. Such a vector $v_{\mathbf{A}}^*$ is the right singular vector corresponding to $\sigma_1(\mathbf{A})$.

Consider a vector $x_0 \in \mathbb{R}^{n_1+n_2}$ constructed as $x_0 = \begin{bmatrix} v_{\mathbf{A}}^* \\ 0_{n_2 \times 1} \end{bmatrix}$, where $0_{n_2 \times 1}$ is the zero vector of dimensions n_2 .

The squared norm of x_0 is $\|x_0\|_2^2 = \|v_{\mathbf{A}}^*\|_2^2 + \|0_{n_2 \times 1}\|_2^2 = 1^2 + 0 = 1$. Thus, x_0 is a unit vector.

By definitions of $\sigma_1(\mathbf{C})$:

$$\sigma_1(\mathbf{C}) = \max_{\|x\|_2=1} \|\mathbf{C}x\|_2$$

Since x_0 is a specific unit vector, we have:

$$\sigma_1(\mathbf{C}) \geq \|\mathbf{C}x_0\|_2$$

Computing $\mathbf{C}x_0$ gives:

$$\mathbf{C}x_0 = [\mathbf{A}, \mathbf{B}] \begin{bmatrix} v_{\mathbf{A}}^* \\ 0_{n_2 \times 1} \end{bmatrix} = \mathbf{A}v_{\mathbf{A}}^* + \mathbf{B}0_{n_2 \times 1} = \mathbf{A}v_{\mathbf{A}}^*$$

Therefore,

$$\sigma_1(\mathbf{C}) \geq \|\mathbf{A}v_{\mathbf{A}}^*\|_2 = \sigma_1(\mathbf{A})$$

A.3.3 Relating $\sigma_1(\mathbf{C})$ to $\sigma_1(\mathbf{B})$

Similarly, let $v_{\mathbf{B}}^*$ be a unit vector in \mathbb{R}^{n_2} such that $\sigma_1(\mathbf{B}) = \|\mathbf{B}v_{\mathbf{B}}^*\|_2$. Such

Consider a vector $x_1 \in \mathbb{R}^{n_1+n_2}$ constructs as $x_1 = \begin{bmatrix} 0_{n_1 \times 1} \\ v_{\mathbf{B}}^* \end{bmatrix}$.

The squared norm of x_1 is $\|x_1\|_2^2 = \|0_{n_1 \times 1}\|_2^2 + \|v_{\mathbf{B}}^*\|_2^2 = 0 + 1^2 = 1$. Thus, x_1 is a unit vector.

Again, by definitions of $\sigma_1(\mathbf{C})$:

$$\sigma_1(\mathbf{C}) \geq \|\mathbf{C}x_1\|_2$$

Computing $\mathbf{C}x_1$ gives:

$$\mathbf{C}x_1 = [\mathbf{A}, \mathbf{B}] \begin{bmatrix} 0_{n_1 \times 1} \\ v_{\mathbf{B}}^* \end{bmatrix} = \mathbf{A}0_{n_1 \times 1} + \mathbf{B}v_{\mathbf{B}}^* = \mathbf{B}v_{\mathbf{B}}^*$$

Therefore,

$$\sigma_1(\mathbf{C}) \geq \|\mathbf{B}v_{\mathbf{B}}^*\|_2 = \sigma_1(\mathbf{B})$$

A.3.4 Conclusion

From Sections A.3.2 and A.3.3, we have shown that $\sigma_1(\mathbf{C}) \geq \sigma_1(\mathbf{A})$ and $\sigma_1(\mathbf{C}) \geq \sigma_1(\mathbf{B})$. This implies that $\sigma_1(\mathbf{C})$ must be greater than or equal to the maximum of these two values:

$$\sigma_1([\mathbf{A}, \mathbf{B}]) \geq \max(\sigma_1(\mathbf{A}), \sigma_1(\mathbf{B}))$$

A.4 σ_1 of Scaled Concatenation

This section proves the following statement: Given two non-negative matrices $\mathbf{A} \in \mathbb{R}^{m \times n_1}$ and $\mathbf{B} \in \mathbb{R}^{m \times n_2}$ and non-negative scalar weights $\gamma_{\mathbf{A}} = \sqrt{w_{\mathbf{A}}}$ and $\gamma_{\mathbf{B}} = \sqrt{w_{\mathbf{B}}}$ such that $w_{\mathbf{A}} + w_{\mathbf{B}} = 1$, the resulting first singular value and the first left singular vector of the concatenated matrix $\mathbf{C} = [\gamma_{\mathbf{A}}\mathbf{A}, \gamma_{\mathbf{B}}\mathbf{B}]$ has the following property:

$$\min(P_{\mathbf{A}}(u_{\mathbf{C}}), P_{\mathbf{B}}(u_{\mathbf{C}})) \leq \sigma_{\mathbf{C}}^2 \leq \max(P_{\mathbf{A}}(u_{\mathbf{C}}), P_{\mathbf{B}}(u_{\mathbf{C}}))$$

where $\sigma_{\mathbf{C}}$ and $u_{\mathbf{C}}$ correspond to the first singular value and first left singular vector of \mathbf{C} , $P_{\mathbf{M}}(u)$ is the sum of squared scalar porjections of the columns in \mathbf{M} onto u (let m_j be the j -th column of \mathbf{M} $P_{\mathbf{M}}(u) = \sum_{j=1}^n (u^T m_j)^2$).

A.4.1 Expanding $\sigma_1(C)$

By definition, $S_C = \sigma_1(C)$ and u_C is the corresponding first singular left vector. Thus, they satisfy the eigenvalue equation for CC^T :

$$CC^T u_C = S_C^2 u_C$$

Pre-multiplying by u_C^T :

$$u_C^T(CC^T u_C) = u_C^T(S_C^2 u_C) \tag{A.3}$$

$$u_C^T CC^T u_C = S_C^2 (u_C^T u_C) \tag{A.4}$$

Since u_C is a unit vector, $u_C^T u_C = \|u_C\|_2^2 = 1$. So,

$$S_C^2 = u_C^T CC^T u_C$$

Now, lets express CC^T in terms of A and B :

The matrix $C = [\gamma_A A, \gamma_B B]$.

Then $C^T = \begin{bmatrix} \gamma_A A^T \\ \gamma_B B^T \end{bmatrix}$.

So, $CC^T = [\gamma_A A, \gamma_B B] \begin{bmatrix} \gamma_A A^T \\ \gamma_B B^T \end{bmatrix} = (\gamma_A A)(\gamma_A A^T) + (\gamma_B B)(\gamma_B B^T)$

$$CC^T = \gamma_A^2 AA^T + \gamma_B^2 BB^T$$

Substitute this expression for CC^T into the equation for S_C^2 :

$$S_C^2 = u_C^T (\gamma_A^2 AA^T + \gamma_B^2 BB^T) u_C \tag{A.5}$$

$$S_C^2 = \gamma_A^2 (u_C^T AA^T u_C) + \gamma_B^2 (u_C^T BB^T u_C) \tag{A.6}$$

Using the definitions for $P_A(u_C)$ and $P_B(u_C)$:

- $P_A(u_C) = u_C^T A A^T u_C$ (sum of squared projections of columns of A onto u_C)
- $P_B(u_C) = u_C^T B B^T u_C$ (sum of squared projections of columns of B onto u_C)

This means the equation becomes:

$$S_C^2 = \gamma_A^2 P_A(u_C) + \gamma_B^2 P_B(u_C)$$

We are given weights γ_A, γ_B such that $\gamma_A^2 \geq 0, \gamma_B^2 \geq 0$, and $\gamma_A^2 + \gamma_B^2 = 1$. This means that S_C^2 is a convex combination of the two values $P_A(u_C)$ and $P_B(u_C)$. A fundamental property of convex combinations is that they always lie between the minimum and maximum of the values being combined.

Let $x = P_A(u_C)$ and $y = P_B(u_C)$. Then $S_C^2 = \gamma_A^2 x + \gamma_B^2 y$.

If $x \leq y$:

- $\min(x, y) = x = (\gamma_A^2 + \gamma_B^2)x = \gamma_A^2 x + \gamma_B^2 x \leq \gamma_A^2 x + \gamma_B^2 y = S_C^2$ (since $\gamma_B^2 \geq 0$ and $x \leq y$).
- $\max(x, y) = y = (\gamma_A^2 + \gamma_B^2)y = \gamma_A^2 y + \gamma_B^2 y \geq \gamma_A^2 x + \gamma_B^2 y = S_C^2$ (since $\gamma_A^2 \geq 0$ and $x \leq y$).

A similar argument holds if $y \leq x$. Therefore:

$$\min(P_A(u_C), P_B(u_C)) \leq S_C^2 \leq \max(P_A(u_C), P_B(u_C))$$

Lorem ipsum dolor sit amet, consetetur sadipscing elitr, sed diam nonumy eirmod tempor invidunt ut labore et dolore magna aliquyam erat, sed diam voluptua. At vero eos et accusam et justo duo dolores et ea rebum. Stet clita kasd gubergren, no sea takimata sanctus est Lorem ipsum dolor sit amet. Lorem ipsum dolor sit amet, consetetur sadipscing elitr, sed diam nonumy eirmod tempor invidunt ut labore et dolore magna aliquyam erat, sed diam voluptua. At vero eos et accusam et justo duo dolores et ea rebum. Stet clita kasd gubergren, no sea takimata sanctus est Lorem ipsum dolor sit amet. Lorem ipsum dolor sit amet, consetetur sadipscing elitr, sed diam nonumy eirmod tempor invidunt ut labore et dolore magna aliquyam erat, sed diam voluptua. At vero eos et accusam et justo duo dolores et ea rebum. Stet clita kasd gubergren, no sea takimata sanctus est Lorem ipsum dolor sit amet.