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# Non-commutative algebra

Notes

Wednesday 16<sup>th</sup> March, 2022



# Preface

The notes correspond to the master course *Non-commutative Algebra* of the Vrije Universiteit Brussel, Faculty of Sciences, Department of Mathematics and Data Sciences. The course is divided into thirteen two-hours lectures.

Most of the material is based on standard results on group algebras covered in the VUB course *Associative Algebras*. Lecture notes for this course are freely available at <https://github.com/vendramin/associative>. Basic texts on group algebras are Lam's book [6] and Passman's book [7].

This version was compiled on Wednesday 16<sup>th</sup> March, 2022 at 22:05.

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# Lecture 1

## §1. Group rings

Let  $K$  be a field and  $G$  be a group (written multiplicatively). Let  $K[G]$  be the vector space with basis  $\{g : g \in G\}$ . Then  $\dim K[G] < \infty$  if and only if  $G$  is finite. The vector space  $K[G]$  is an algebra with multiplication

$$\left( \sum_{g \in G} \lambda_g g \right) \left( \sum_{h \in G} \mu_h h \right) = \sum_{g, h \in G} \lambda_g \mu_h (gh).$$

**Exercise 1.1.** Prove that  $\mathbb{C}[\mathbb{Z}] \simeq \mathbb{C}[X, X^{-1}]$ .

For  $n \in \mathbb{Z}_{>1}$  let  $C_n$  be the cyclic group of order  $n$ .

**Exercise 1.2.** Let  $n \in \mathbb{Z}_{>1}$ . Prove that  $\mathbb{C}[C_n] \simeq \mathbb{C}[X]/(X^n - 1)$ .

**Exercise 1.3.** Prove that if  $G$  and  $H$  are isomorphic groups, then  $K[G] \simeq K[H]$ .

In a similar way, if  $R$  is a commutative ring (with 1) and  $G$  is a group, then one defines the group ring  $R[G]$ . More precisely,  $R[G]$  is the set of finite linear combinations

$$\sum_{g \in G} \lambda_g g$$

where  $\lambda_g \in R$  and  $\lambda_g = 0$  for all but finitely many  $g \in G$ . One easily proves that  $R[G]$  is a ring with addition

$$\left( \sum_{g \in G} \lambda_g g \right) + \left( \sum_{g \in G} \mu_g g \right) = \sum_{g \in G} (\lambda_g + \mu_g) g$$

and multiplication

$$\left( \sum_{g \in G} \lambda_g g \right) \left( \sum_{h \in G} \mu_h h \right) = \sum_{g, h \in G} \lambda_g \mu_h (gh).$$

Moreover,  $R[G]$  is a left  $R$ -module with  $\lambda(\sum_{g \in G} \lambda_g g) = \sum_{g \in G} (\lambda \lambda_g) g$ .

**Exercise 1.4.** Let  $G$  be a group. Prove that if  $\mathbb{Z}[G] \simeq \mathbb{Z}[H]$ , then  $R[G] \simeq R[H]$  for any commutative ring  $R$ .

question:IP

*Question 1.1 (Isomorphism problem).* Let  $G$  and  $H$  be groups. Does  $\mathbb{Z}[G] \simeq \mathbb{Z}[H]$  imply  $G \simeq H$ ?

Despite the fact that there are several cases where the isomorphism problem has an affirmative answer (e.g. abelian groups, metabelian groups, nilpotent groups, nilpotent-by-abelian groups, simple groups, abelian-by-nilpotent groups), it is false in general. In 2001 Hertweck found a counterexample of order  $2^{21}97^{28}$ , see [5].

question:MIP

*Question 1.2 (Modular isomorphism problem).* Let  $p$  be a prime number. Let  $G$  and  $H$  be finite  $p$ -groups and let  $K$  be a field of characteristic  $p$ . Does  $K[G] \simeq K[H]$  imply  $G \simeq H$ ?

Question 1.2 has an affirmative answer in several cases. However, it is not true in general. This question recently answered by García, Margolis and del Río [2]. They found two non-isomorphic groups  $G$  and  $H$  both of order 512 such that  $K[G] \simeq K[H]$  for all field  $K$  of characteristic two.

## §2. Kapansky's problems

Let  $G$  be a group and  $K$  be a field. If  $x \in G \setminus \{1\}$  is such that  $x^n = 1$ , then, since

$$(1-x)(1+x+x^2+\cdots+x^{n-1})=0,$$

it follows that  $K[G]$  has non-trivial zero divisors. What happens in the case where  $G$  is torsion-free?

example:k[Z]

**Example 2.1.** Let  $G = \langle x \rangle \simeq \mathbb{Z}$ . Then  $K[G]$  has no zero divisors. Let  $\alpha, \beta \in K[G]$  be non-zero elements and write  $\alpha = \sum_{i \leq n} a_i x^i$  with  $a_n \neq 0$  and  $\beta = \sum_{j \leq m} b_j x^j$  with  $b_m \neq 0$ . Since the coefficient of  $x^{n+m}$  of  $\alpha\beta$  is non-zero, it follows that  $\alpha\beta \neq 0$ .

A similar problem concerns units of group algebras. A unit  $u \in K[G]$  is said to be **trivial** if  $u = \lambda g$  for some  $\lambda \in K \setminus \{0\}$  and  $g \in G$ .

**Exercise 2.2.** Prove that units of  $\mathbb{C}[C_2]$  are trivial.

**Exercise 2.3.** Prove that  $\mathbb{C}[C_5]$  has non-trivial units.

prob:dominio

**Open problem 2.1 (Zero divisors).** Let  $G$  be a torsion-free group. Is it true that  $K[G]$  is a domain?

We mention some intriguing problems, generally known as Kaplansky's problems.

prob:units

**Open problem 2.2 (Units).** Let  $G$  be a torsion-free group. Is it true that all units of  $K[G]$  are trivial?

The unit problem is still open for fields of characteristic zero. However, it was recently solved by Gardam [3] in the case of  $K$  the field of two elements. We will present Gardam's theorem as a computer calculation. We will use GAP [1].

**Lemma 2.4.** *The group  $G = \langle a, b : a^{-1}b^2a = b^{-2}, b^{-1}a^2b = a^{-2} \rangle$  is torsion-free. Moreover, the subgroup  $N = \langle a^2, b^2, (ab)^2 \rangle$  is normal in  $G$ , free-abelian of rank three and  $G/N \simeq C_2 \times C_2$ .*

*Proof.* We first construct the group.

```
gap> F := FreeGroup(2);;
gap> A := F.1;;
gap> B := F.2;;
gap> rels := [(B^2)^A*B^2, (A^2)^B*A^2];;
gap> G := F/rels;;
gap> a := G.1;;
gap> b := G.2;;
```

Now we construct the subgroup  $N$  generated by  $a^2$ ,  $b^2$  and  $(ab)^2$ . It is easy to check that  $N$  is normal in  $G$  and that  $G/N \simeq C_2 \times C_2$ . It is even easier to do this with the computer.

```
gap> N := Subgroup(G, [a^2, b^2, (a*b)^2]);;
gap> IsNormal(G, N);
true
gap> StructureDescription(G/N);
"C2 x C2"
```

It is easy to check by hand that  $N$  is abelian, and not so easy to do it with the computer. For example,

$$b^{-2}a^2b^{-2} = b^{-1}a^{-2}b = (b^{-1}a^2b)^{-1} = (a^{-2})^{-1} = a^2.$$

We use the computer to show that  $N$  is free abelian of rank three.

```
gap> AbelianInvariants(N);
[ 0, 0, 0 ]
```

Let us prove that  $G$  is torsion-free. Let  $x = a^2$ ,  $y = b^2$  and  $z = (ab)^2$ . Since  $(G : N) = 4$ , the group  $G$  decomposes as a disjoint union  $G = N \cup aN \cup bN \cup (ab)N$ . Let  $g \in G$  be a non-trivial element of finite order. Since  $N$  is torsion-free,  $g \in aN \cup bN \cup (ab)N$ . Without loss of generality we may assume that  $g \in aN$ , so  $g = an$  for some  $n \in N$ . Let  $\pi : G \rightarrow G/N$  be the canonical map. Since  $g \notin N$  and  $\pi(g) \in G/N \simeq C_2 \times C_2$ ,

$$\pi(g^2) = \pi(g)^2 = 1$$

so  $g^2 \in N$  and hence  $g^2 = 1$ , as  $N$  is torsion-free. Thus

$$1 = g^2 = (an)^2 = (an)(an) = a^2(a^{-1}na)n = x(a^{-1}na)n.$$

Write  $n = x^i y^j z^k$  for some  $i, j, k \in \mathbb{Z}$ . Then

$$a^{-1}na = (a^{-1}x^i a)(a^{-1}y^j a)(a^{-1}z^k a) = x^i t^{-j} z^{-k}$$

and hence  $(a^{-1}na)n = x^{2i}$ . Then it follows that  $1 = g^2 = x(a^{-1}na)n = x^{2i+1}$ , a contradiction.  $\square$

Let  $P$  be the group generated by

$$a = \begin{pmatrix} 1 & 0 & 0 & 1/2 \\ 0 & -1 & 0 & 1/2 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad b = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1/2 \\ 0 & 0 & -1 & 1/2 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

The group  $P$  appears in the literature with various names. For us  $P$  will be the Promislow group. It is easy to check that there exists a surjective group homomorphism  $G \rightarrow P$ . Prove that  $G \simeq P$ .

thm:Gardam

**Theorem 2.5 (Gardam).** *Let  $\mathbb{F}_2$  be the field of two elements. Consider the elements  $x = a^2$ ,  $y = b^2$  and  $z = (ab)^2$  of  $P$  and let*

$$\begin{aligned} p &= (1+x)(1+y)(1+z^{-1}), & q &= x^{-1}y^{-1} + x + y^{-1}z + z, \\ r &= 1 + x + y^{-1}z + xyz, & s &= 1 + (x + x^{-1} + y + y^{-1})z^{-1}. \end{aligned}$$

Then  $u = p + qa + rb + sab$  is a non-trivial unit in  $\mathbb{F}_2[P]$ .

*Proof.* We claim that the inverse of  $u$  is the element  $v = p_1 + q_1a + r_1b + s_1ab$ , where

$$p_1 = x^{-1}(a^{-1}pa), \quad q_1 = -x^{-1}q, \quad r_1 = -y^{-1}r, \quad s_1 = z^{-1}(a^{-1}sa).$$

We only need to show that  $uv = vu = 1$ . We will perform this calculation with GAP. We first need to create the group  $P = \langle a, b \rangle$ .

```
gap> a := [[1, 0, 0, 1/2], [0, -1, 0, 1/2], [0, 0, -1, 0], [0, 0, 0, 1]];;
gap> b := [[-1, 0, 0, 0], [0, 1, 0, 1/2], [0, 0, -1, 1/2], [0, 0, 0, 1]];;
gap> P := Group([a, b]);
```

Now we create the group algebra  $F[P]$  and the embedding  $P \hookrightarrow F[P]$ . The field  $\mathbb{F}_2$  will be  $\text{GF}(2)$  and the embedding will be denoted by  $\mathfrak{f}$ .

```
gap> R := GroupRing(GF(2), P);;
gap> f := Embedding(P, R);;
```

We first need the elements  $x$ ,  $y$  and  $z$  that were defined in the statement.

```
gap> x := Image(f, a^2);;
```

## §2 Kapansky's problems

```
gap> y := Image(f, b^2);;
gap> z := Image(f, (a*b)^2);;
```

Now we define the elements  $p, q, r$  and  $s$ . Note that the identity of the group algebra  $R$  is  $\text{One}(R)$ .

```
gap> p := (One(R)+x)*(One(R)+y)*(One(R)+Inverse(z));;
gap> r := One(R)+x+Inverse(y)*z+x*y*z;;
gap> q := Inverse(x)*Inverse(y)+x+Inverse(y)*z+z;;
gap> s := One(R)+(x+Inverse(x)+y+Inverse(y))*Inverse(z);
```

Rather than trying to compute the inverse of  $u$  we will show that  $uv = vu = 1$ . For that purpose we need to define  $p_1, q_1, r_1$  and  $s_1$ .

```
gap> p1 := Inverse(x)*p^Image(f, a);;
gap> q1 := -Inverse(x)*q;;
gap> r1 := -Inverse(y)*r;;
gap> s1 := Inverse(z)*s^Image(f, a);;
```

Now it is time to prove the theorem.

```
gap> u := p+q*a+r*b+s*a*b;;
gap> v := p1+q1*a+r1*b+s1*a*b;;
gap> IsOne(u*v);
true
gap> IsOne(v*u);
true
```

This completes the proof of the theorem. □

Our proof of Theorem 2.5 is exactly as that of [3].

**Exercise 2.6.** Let  $p$  be a prime number and  $\mathbb{F}_p$  be the field of size  $p$ . Use the technique for proving Gardam's theorem to prove Murray's theorem on the existence on non-trivial units in  $\mathbb{F}_p[P]$ . Reference: arXiv:2106.02147.



## Lecture 2

We now describe some very-well known open problems in the theory of group rings and the connection between them.

**Definition 2.7.** A ring  $R$  is **reduced** if for all  $r \in R$  such that  $r^2 = 0$  one has  $r = 0$ .

Integral domains and boolean rings are reduced.  $\mathbb{Z}/8$  and  $M_2(\mathbb{R})$  are not reduced.

**Example 2.8.**  $\mathbb{Z}^n$  with  $(a_1, \dots, a_n)(b_1, \dots, b_n) = (a_1b_1, \dots, a_nb_n)$  is reduced.

The structure of reduced rings is described by Andrunakevic–Rjabuhin’s theorem. It states that a ring is reduced if and only if it is a subdirect products of domains. See [4, 3.20.5] for a proof.

prob:reducido

**Open problem 2.3.** Let  $G$  be a torsion-free group. Is it true that  $K[G]$  is reduced?

Recall that if  $R$  is a unitary ring, one proves that the Jacobson radical  $J(R)$  is the set of elements  $x$  such that  $1 + \sum_{i=1}^n r_i x s_i$  is invertible for all  $n$  and all  $r_i, s_i \in R$ .

prob:J

**Open problem 2.4 (Semisimplicity).** Let  $G$  be a torsion-free group. It is true that  $J(K[G]) = \{0\}$  if  $G$  is non-trivial?

Recall that an element  $e$  of a ring is said to be *idempotent* if  $e^2 = e$ . Examples of idempotents are 0 and 1 and these are known as the trivial idempotents.

pro:idempotente

**Open problem 2.5 (Idempotents).** Let  $G$  be a torsion-free group and  $\alpha \in K[G]$  be an idempotent. Is it true that  $\alpha \in \{0, 1\}$ ?

**Exercise 2.9.** Prove that if  $K[G]$  has no zero-divisors and  $\alpha \in K[G]$  is an idempotent, then  $\alpha \in \{0, 1\}$ .

**Exercise 2.10.** Prove that  $K[C_4]$  contains non-trivial zero divisors and every idempotent of  $K[C_4]$  is trivial.

The problems mentioned are all related. Our goal is to prove the following implications:

$$2.4 \iff 2.2 \implies 2.3 \iff 2.1$$

We first prove that an affirmative solution to the Units Problem 2.2 yields a solution to Problem 2.3 about the reducibility of group algebras.

**Theorem 2.11.** *Let  $K$  be a field of characteristic  $\neq 2$  and  $G$  be a non-trivial group. Assume that  $K[G]$  has only trivial units. Then  $K[G]$  is reduced.*

*Proof.* Let  $\alpha \in K[G]$  be such that  $\alpha^2 = 0$ . We claim that  $\alpha = 0$ . Since  $\alpha^2 = 0$ ,

$$(1 - \alpha)(1 + \alpha) = 1 - \alpha^2 = 1,$$

it follows that  $1 - \alpha$  is a unit of  $K[G]$ . Since units of  $K[G]$  are trivial, there exist  $\lambda \in K \setminus \{0\}$  and  $g \in G$  such that  $1 - \alpha = \lambda g$ . We claim that  $g = 1$ . If not,

$$0 = \alpha^2 = (1 - \lambda g)^2 = 1 - 2\lambda g + \lambda^2 g^2,$$

a contradiction. Therefore  $g = 1$  and hence  $\alpha = 1 - \lambda \in K$ . Since  $K$  is a field, one concludes that  $\alpha = 0$ .  $\square$

**Exercise 2.12.** What happens if  $K$  is a field of characteristic two?

We now prove that an affirmative solution to the Units Problem 2.2 also yields a solution to the Jacobson Semisimplicity Problem 2.4.

**Theorem 2.13.** *Let  $G$  be a non-trivial group. Assume that  $K[G]$  has only trivial units. If  $|K| > 2$  or  $|G| > 2$ , then  $J(K[G]) = \{0\}$ .*

*Proof.* Let  $\alpha \in J(K[G])$ . There exist  $\lambda \in K \setminus \{0\}$  and  $g \in G$  such that  $1 - \alpha = \lambda g$ . We claim that  $g = 1$ . Assume  $g \neq 1$ . If  $|K| \geq 3$ , then there exist  $\mu \in K \setminus \{0, 1\}$  such that

$$1 - \alpha\mu = 1 - \mu + \lambda\mu g$$

is a non-trivial unit, a contradiction. If  $|G| \geq 3$ , there exists  $h \in G \setminus \{1, g^{-1}\}$  such that  $1 - \alpha h = 1 - h + \lambda gh$  is a non-trivial unit, a contradiction. Thus  $g = 1$  and hence  $\alpha = 1 - \lambda \in K$ . Therefore  $1 + \alpha h$  is a trivial unit for all  $h \neq 1$  and hence  $\alpha = 0$ .  $\square$

**Exercise 2.14.** Prove that if  $G = \langle g \rangle \simeq \mathbb{Z}/2$ , then  $J(\mathbb{F}_2[G]) = \{0, g - 1\} \neq \{0\}$ .

### §3. The transfer map

Now we prove that an affirmative solution to the Units Problem (Open Problem 2.2) yields a solution to Open Problem 2.1 about zero divisors in group algebras. The proof is hard and requires some preliminaries. We first need to discuss a group theoretical tool known as the *transfer map*.

If  $H$  is a subgroup of  $G$ , a **transversal** of  $H$  in  $G$  is a complete set of coset representatives of  $G/H$ .



§3 The transfer map

lem:d

**Lemma 3.1.** *Let  $G$  be a group and  $H$  be a subgroup of  $G$  of finite index. Let  $R$  and  $S$  be transversals of  $H$  in  $G$  and let  $\alpha: H \rightarrow H/[H, H]$  be the canonical map. Then*

$$d(R, S) = \prod \alpha(rs^{-1}),$$

where the product is taken over all pairs  $(r, s) \in R \times S$  such that  $Hr = Hs$ , is well-defined and satisfies the following properties:

- 1)  $d(R, S)^{-1} = d(S, R)$ .
- 2)  $d(R, S)d(S, T) = d(R, T)$  for all transversal  $T$  of  $H$  in  $G$ .
- 3)  $d(Rg, Sg) = d(R, S)$  for all  $g \in G$ .
- 4)  $d(Rg, R) = d(Sg, S)$  for all  $g \in G$ .

*Proof.* The product that defines  $d(R, S)$  is well-defined since  $H/[H, H]$  is an abelian group. The first three claim are trivial. Let us prove 4). By 2),

$$d(Rg, Sg)d(Sg, S)d(S, R) = d(Rg, S)d(S, R) = d(Rg, R).$$

Since  $H/[H, H]$  is abelian, 1) and 3) imply that

$$d(Rg, Sg)d(Sg, S)d(S, R) = d(R, S)d(S, R)d(Sg, S) = d(Sg, S). \quad \square$$

We are now ready to state and prove the theorem:

thm:transfer

**Theorem 3.2.** *Let  $G$  be a group and  $H$  be a finite-index subgroup of  $G$ . The map*

$$\nu: G \rightarrow H/[H, H], \quad g \mapsto d(Rg, R),$$

*does not depend on the transversal  $R$  of  $H$  in  $G$  and it is a group homomorphism.*

*Proof.* The lemma implies that the map does not depend on the transversal used. Moreover,  $\nu$  is a group homomorphism, as

$$\nu(gh) = d(R(gh), R) = d(R(gh), Rh)d(Rh, R) = d(Rg, R)d(Rh, R) = \nu(g)\nu(h). \quad \square$$

The theorem justifies the following definition:

**Definition 3.3.** Let  $G$  be a group and  $H$  be a finite-index subgroup of  $G$ . The **transfer map** of  $G$  in  $H$  is the group homomorphism

$$\nu: G \rightarrow H/[H, H], \quad g \mapsto d(Rg, R),$$

of Theorem 3.2, where  $R$  is some transversal of  $H$  in  $G$ .

We need methods for computing the transfer map. If  $H$  is a subgroup of  $G$  and  $(G : H) = n$ , let  $T = \{x_1, \dots, x_n\}$  be a transversal of  $H$ . For  $g \in G$  let

$$\nu(g) = \prod \alpha(xy^{-1}),$$

where the product is taken over all pairs  $(x, y) \in (Tg) \times T$  such that  $Hx = Hy$  and  $\alpha: H \rightarrow H/[H, H]$  is the canonical map. If we write  $x = x_i g$  for some  $i \in \{1, \dots, n\}$ , then  $Hx_i g = Hx_{\sigma(i)}$  for some permutation  $\sigma \in \mathbb{S}_n$ . Thus

$$\nu(g) = \prod_{i=1}^n \alpha(x_i g x_{\sigma(i)}^{-1}).$$

The cycle structure of  $\sigma$  turns out to be important. For example, if  $\sigma = (12)(345)$  and  $n = 5$ , then a direct calculation shows that

$$\prod_{i=1}^5 \alpha(x_i g x_{\sigma(i)}^{-1}) = \alpha(x_1 g^2 x_1^{-1}) \alpha(x_3 g^3 x_3^{-1}).$$

This is precisely the content of the following lemma.

lem:transfer

**Lemma 3.4.** *Let  $G$  be a group and  $H$  be a subgroup of index  $n$ . Let  $T = \{t_1, \dots, t_n\}$  be a transversal of  $H$  in  $G$ . For each  $g \in G$  there exist  $m \in \mathbb{Z}_{>0}$  and elements  $s_1, \dots, s_m \in T$  and positive integers  $n_1, \dots, n_m$  such that  $s_i^{-1} g^{n_i} s_i \in H$ ,  $n_1 + \dots + n_m = n$  and*

$$\nu(g) = \prod_{i=1}^m \alpha(s_i^{-1} g^{n_i} s_i).$$

*Proof.* For each  $i$  there exist  $h_1, \dots, h_n \in H$  and  $\sigma \in \mathbb{S}_n$  such that  $gt_i = t_{\sigma(i)} h_i$ . Write  $\sigma$  as a product of disjoint cycles, say

$$\sigma = \alpha_1 \cdots \alpha_m.$$

Let  $i \in \{1, \dots, n\}$  and write  $\alpha_i = (j_1 \cdots j_{n_i})$ . Since

$$gt_{j_k} = t_{\sigma(j_k)} h_{j_k} = \begin{cases} t_{j_1} h_{j_k} & \text{si } k = n_i, \\ t_{j_{k+1}} h_{j_k} & \text{otherwise,} \end{cases}$$

then

$$\begin{aligned} t_{j_1}^{-1} g^{n_i} t_{j_1} &= t_{j_1}^{-1} g^{n_i-1} g t_{j_1} \\ &= t_{j_1}^{-1} g^{n_i-1} t_{j_2} h_{j_1} \\ &= t_{j_1}^{-1} g^{n_i-2} g t_{j_2} h_{j_1} \\ &= t_{j_1}^{-1} g^{n_i-2} t_{j_3} h_{j_2} h_{j_1} \\ &\vdots \\ &= t_{j_1}^{-1} g t_{j_{n_i}} h_{n_{i-1}} \cdots h_{j_2} h_{j_1} \\ &= t_{j_1}^{-1} t_{j_1} h_{j_{n_i}} \cdots h_{j_2} h_{j_1} \in H. \end{aligned}$$

Thus  $s_i = t_{j_1} \in T$ . It only remains to note that  $\nu(g) = h_1 \cdots h_n$ . □

§3 The transfer map

An application:

pro:center

**Proposition 3.5.** *If  $G$  is a group such that  $Z(G)$  has finite index  $n$ , then  $(gh)^n = g^n h^n$  for all  $g, h \in G$ .*

*Proof.* Note that we may assume that  $\alpha = \text{id}$ , as  $Z(G)$  is abelian. Let  $g \in G$ . By Lemma 3.4 there are positive integers  $n_1, \dots, n_k$  such that  $n_1 + \dots + n_k = n$  and elements  $t_1, \dots, t_k$  of a transversal of  $Z(G)$  in  $G$  such that

$$\nu(g) = \prod_{i=1}^k t_i g^{n_i} t_i^{-1}.$$

Since  $g^{n_i} \in Z(G)$  for all  $i \in \{1, \dots, k\}$  (as  $t_i g^{n_i} t_i^{-1} \in Z(G)$ ), it follows that

$$\nu(g) = g^{n_1 + \dots + n_k} = g^n.$$

Now Theorem 3.2 implies the claim.  $\square$

The same idea implies the following property:

xca:K\_central

**Exercise 3.6.** If  $G$  is a group and  $K$  is a central subgroup of finite index  $n$ , then  $(gh)^n = g^n h^n$  for all  $g, h \in G$ .

For a group  $G$  we consider

$$\Delta(G) = \{g \in G : (G : C_G(g)) < \infty\}.$$

**Exercise 3.7.** Prove that  $\Delta(\Delta(G)) = \Delta(G)$ .

A subgroup  $H$  of  $G$  is a **characteristic** subgroup of  $G$  if  $f(H) \subseteq H$  for all  $f \in \text{Aut}(G)$ . The center and the commutator subgroup of a group are characteristic subgroups. Every characteristic subgroup is a normal subgroup.

**Exercise 3.8.** Prove that if  $H$  is characteristic in  $K$  and  $K$  is normal in  $G$ , then  $H$  is normal in  $G$ .

**Proposition 3.9.** *If  $G$  is a group, then  $\Delta(G)$  is a characteristic subgroup of  $G$ .*

*Proof.* We first prove that  $\Delta(G)$  is a subgroup of  $G$ . If  $x, y \in \Delta(G)$  and  $g \in G$ , then  $g(xy^{-1})g^{-1} = (gxg^{-1})(gyg^{-1})^{-1}$ . Moreover,  $1 \in \Delta(G)$ . Let us show now that  $\Delta(G)$  is characteristic in  $G$ . If  $f \in \text{Aut}(G)$  and  $x \in G$ , then, since

$$f(gxg^{-1}) = f(g)f(x)f(g)^{-1},$$

it follows that  $f(x) \in \Delta(G)$ .  $\square$

**Exercise 3.10.** Prove that if  $G = \langle r, s : s^2 = 1, srs = r^{-1} \rangle$  is the infinite dihedral group, then  $\Delta(G) = \langle r \rangle$ .

**Exercise 3.11.** Let  $H$  and  $K$  be finite-index subgroups of  $G$ . Prove that

$$(G : H \cap K) \leq (G : H)(G : K).$$



## Lecture 3

### §4. Passman's theorem

pro:FCabeliano

**Proposition 4.1.** *If  $G$  is a torsion-free group such that  $\Delta(G) = G$ , then  $G$  is abelian.*

*Proof.* Let  $x, y \in G = \Delta(G)$  and  $S = \langle x, y \rangle$ . The group  $Z(S) = C_S(x) \cap C_S(y)$  has finite index, say  $n$ , in  $S$ . By Proposition 3.5, the map  $S \rightarrow Z(S)$ ,  $s \mapsto s^n$ , is a group homomorphism. Thus

$$[x, y]^n = (xyx^{-1}y^{-1})^n = x^n y^n x^{-n} y^{-n} = 1$$

as  $x^n \in Z(S)$ . Since  $G$  is torsion-free,  $[x, y] = 1$ . □

lem:Neumann

**Lemma 4.2 (Neumann).** *Let  $H_1, \dots, H_m$  be subgroups of  $G$ . Assume there are finitely many elements  $a_{ij} \in G$ ,  $1 \leq i \leq m$ ,  $1 \leq j \leq n$ , such that*

$$G = \bigcup_{i=1}^m \bigcup_{j=1}^n H_i a_{ij}.$$

*Then some  $H_i$  has finite index in  $G$ .*

*Proof.* We proceed by induction on  $m$ . The case  $m = 1$  is trivial. Let us assume that  $m \geq 2$ . If  $(G : H_1) = \infty$ , there exists  $b \in G$  such that

$$H_1 b \cap \left( \bigcup_{j=1}^n H_1 a_{1j} \right) = \emptyset.$$

Since  $H_1 b \subseteq \bigcup_{i=2}^m \bigcup_{j=1}^n H_i a_{ij}$ , it follows that

$$H_1 a_{1k} \subseteq \bigcup_{i=2}^m \bigcup_{j=1}^n H_i a_{ij} b^{-1} a_{1k}.$$

Hence  $G$  can be covered by finitely many cosets of  $H_2, \dots, H_m$ . By the inductive hypothesis, some of these  $H_j$  has finite index in  $G$ .  $\square$

We now consider a projection operator of group algebras. If  $G$  is a group and  $H$  is a subgroup of  $G$ , let

$$\pi_H : K[G] \rightarrow K[H], \quad \pi_H \left( \sum_{g \in G} \lambda_g g \right) = \sum_{g \in H} \lambda_g g.$$

If  $R$  and  $S$  are rings, a  $(R, S)$ -bimodule is an abelian group  $M$  that is both a left  $R$ -module and a right  $S$ -module and the compatibility condition

$$(rm)s = r(ms)$$

holds for all  $r \in R$ ,  $s \in S$  and  $m \in M$ .

**Exercise 4.3.** Let  $G$  be a group and  $H$  be a subgroup of  $G$ . Prove that if  $\alpha \in K[G]$ , then  $\pi_H$  is a  $(K[H], K[H])$ -bimodule homomorphism with usual left and right multiplications,

$$\pi_H(\beta\alpha\gamma) = \beta\pi_H(\alpha)\gamma$$

for all  $\beta, \gamma \in K[H]$ .

lem:escritura

**Lemma 4.4.** Let  $X$  be a left transversal of  $H$  in  $G$ . Every  $\alpha \in K[G]$  can be written uniquely as

$$\alpha = \sum_{x \in X} x\alpha_x,$$

where  $\alpha_x = \pi_H(x^{-1}\alpha) \in K[H]$ .

*Proof.* Let  $\alpha \in K[G]$ . Since  $\text{supp } \alpha$  is finite,  $\text{supp } \alpha$  is contained in finitely many cosets of  $H$ , say  $x_1H, \dots, x_nH$ , where each  $x_j$  belongs to  $X$ . Write  $\alpha = \alpha_1 + \dots + \alpha_n$ , where  $\alpha_i = \sum_{g \in x_iH} \lambda_g g$ . If  $g \in x_iH$ , then  $x_i^{-1}g \in H$  and hence

$$\alpha = \sum_{i=1}^n x_i(x_i^{-1}\alpha_i) = \sum_{x \in X} x\alpha_x$$

with  $\alpha_x \in K[H]$  for all  $x \in X$ . For the uniqueness, note that for each  $x \in X$  the previous exercise implies that

$$\pi_H(x^{-1}\alpha) = \pi_H \left( \sum_{y \in X} x^{-1}y\alpha_y \right) = \sum_{y \in X} \pi_H(x^{-1}y)\alpha_y = \alpha_x,$$

as

$$\pi_H(x^{-1}y) = \begin{cases} 1 & \text{si } x = y, \\ 0 & \text{si } x \neq y. \end{cases}$$

$\square$

lem:ideal\_pi

**Lemma 4.5.** *Let  $G$  be a group and  $H$  be a subgroup of  $G$ . If  $I$  is a non-zero left ideal of  $K[G]$ , then  $\pi_H(I) \neq \{0\}$ .*

*Proof.* Let  $X$  be a left transversal of  $H$  in  $G$  and  $\alpha \in I \setminus \{0\}$ . By Lemma 4.4 we can write  $\alpha = \sum_{x \in X} x\alpha_x$  with  $\alpha_x = \pi_H(x^{-1}\alpha) \in K[H]$  for all  $x \in X$ . Since  $\alpha \neq 0$ , there exists  $y \in X$  such that  $0 \neq \alpha_y = \pi_H(y^{-1}\alpha) \in \pi_H(I)$  ( $y^{-1}\alpha \in I$  since  $I$  is a left ideal).  $\square$

Another application:

**Proposition 4.6.** *Let  $G$  be a group,  $H$  be a subgroup of  $G$  and  $\alpha \in K[H]$ . The following statements hold:*

- 1)  $\alpha$  is invertible in  $K[H]$  if and only if  $\alpha$  is invertible in  $K[G]$ .
- 2)  $\alpha$  is a zero divisor of  $K[H]$  if and only if  $\alpha$  is a zero divisor of  $K[G]$ .

*Proof.* If  $\alpha$  is invertible in  $K[G]$ , there exists  $\beta \in K[G]$  such that  $\alpha\beta = \beta\alpha = 1$ . Apply  $\pi_H$  and use that  $\pi_H$  is a  $(K[H], K[H])$ -bimodule homomorphism to obtain

$$\alpha\pi_H(\beta) = \pi_H(\alpha\beta) = \pi_H(1) = 1 = \pi_H(1) = \pi_H(\beta\alpha) = \pi_H(\beta)\alpha.$$

Assume now that  $\alpha\beta = 0$  for some  $\beta \in K[G] \setminus \{0\}$ . Let  $g \in G$  be such that  $1 \in \text{supp}(\beta g)$ . Since  $\alpha(\beta g) = 0$ ,

$$0 = \pi_H(0) = \pi_H(\alpha(\beta g)) = \alpha\pi_H(\beta g),$$

where  $\pi_H(\beta g) \in K[H] \setminus \{0\}$ , as  $1 \in \text{supp}(\beta g)$ .  $\square$

lem:Passman

**Lemma 4.7 (Passman).** *Let  $G$  be a group and  $\gamma_1, \gamma_2 \in K[G]$  be such that  $\gamma_1 K[G] \gamma_2 = \{0\}$ . Then  $\pi_{\Delta(G)}(\gamma_1)\pi_{\Delta(G)}(\gamma_2) = \{0\}$ .*

*Proof.* It is enough to show that  $\pi_{\Delta(G)}(\gamma_1)\gamma_2 = \{0\}$ , as in this case

$$\{0\} = \pi_{\Delta(G)}(\pi_{\Delta(G)}(\gamma_1)\gamma_2) = \pi_{\Delta(G)}(\gamma_1)\pi_{\Delta(G)}(\gamma_2).$$

Write  $\gamma_1 = \alpha_1 + \beta_1$ , where

$$\begin{aligned} \alpha_1 &= a_1 u_1 + \cdots + a_r u_r, & u_1, \dots, u_r &\in \Delta(G), \\ \beta_1 &= b_1 v_1 + \cdots + b_s v_s, & v_1, \dots, v_s &\notin \Delta(G), \\ \gamma_2 &= c_1 w_1 + \cdots + c_t w_t, & w_1, \dots, w_t &\in G. \end{aligned}$$

The subgroup  $C = \bigcap_{i=1}^r C_G(u_i)$  has finite index in  $G$ . Assume that

$$0 \neq \pi_{\Delta(G)}(\gamma_1)\gamma_2 = \alpha_1\gamma_2.$$

Let  $g \in \text{supp}(\alpha_1\gamma_2)$ . If  $v_i$  is a conjugate in  $G$  of some  $gw_j^{-1}$ , let  $g_{ij} \in G$  be such that  $g_{ij}^{-1}v_i g_{ij} = gw_j^{-1}$ . If  $v_i$  and  $gw_j^{-1}$  are not conjugate, we take  $g_{ij} = 1$ .

For every  $x \in C$  it follows that  $\alpha_1\gamma_2 = (x^{-1}\alpha_1 x)\gamma_2$ . Since

$$x^{-1}\gamma_1 x \gamma_2 \in x^{-1}\gamma_1 K[G]\gamma_2 = 0,$$

it follows that

$$\begin{aligned} (a_1 u_1 + \cdots + a_r u_r) \gamma_2 &= \alpha_1 \gamma_2 = x^{-1} \alpha_1 x \gamma_2 = -x^{-1} \beta_1 x \gamma_2 \\ &= -x^{-1} (b_1 v_1 + \cdots + b_s v_r) x (c_1 w_1 + \cdots + c_t w_t). \end{aligned}$$

Now  $g \in \text{supp}(\alpha_1 \gamma_2)$  implies that there exist  $i, j$  such that  $g = x^{-1} v_i x w_j$ . Thus  $v_i$  and  $g w_j^{-1}$  are conjugate and hence  $x^{-1} v_i x = g w_j^{-1} = g_{ij}^{-1} v_i g_{ij}$ , that is  $x \in C_G(v_i) g_{ij}$ . This proves that

$$C \subseteq \bigcup_{i,j} C_G(v_i) g_{ij}.$$

Since  $C$  has finite index in  $G$ , it follows that  $G$  can be covered by finitely many cosets of the  $C_G(v_i)$ . Every  $v_i \notin \Delta(G)$ , so each  $C_G(v_i)$  has infinite index in  $G$ , a contradiction to Neumann's lemma.  $\square$

Before proving Passman's theorem, we need to mention that if  $G$  is a torsion-free abelian group, then  $K[G]$  has no non-zero divisors. We will prove this fact later, as an application of the theory of bi-ordered groups (see Corollary 6.15).

thm:Passman

**Theorem 4.8 (Passman).** *Let  $G$  be a torsion-free group. If  $K[G]$  is reduced, then  $K[G]$  is a domain.*

*Proof.* Assume that  $K[G]$  is not a domain. Let  $\gamma_1, \gamma_2 \in K[G] \setminus \{0\}$  be such that  $\gamma_2 \gamma_1 = 0$ . If  $\alpha \in K[G]$ , then

$$(\gamma_1 \alpha \gamma_2)^2 = \gamma_1 \alpha \gamma_2 \gamma_1 \alpha \gamma_2 = 0$$

and thus  $\gamma_1 \alpha \gamma_2 = 0$ , as  $K[G]$  is reduced. In particular,  $\gamma_1 K[G] \gamma_2 = \{0\}$ . Let  $I$  be the left ideal of  $K[G]$  generated by  $\gamma_2$ . Since  $I \neq \{0\}$ , it follows from Lemma 4.5 that  $\pi_{\Delta(G)}(I) \neq \{0\}$ . Hence  $\pi_{\Delta(G)}(\beta \gamma_2) \neq \{0\}$  for some  $\beta \in K[G]$ . Similarly,  $\pi_{\Delta(G)}(\gamma_1 \alpha) \neq \{0\}$  for some  $\alpha \in K[G]$ . Since

$$\gamma_1 \alpha K[G] \beta \gamma_2 \subseteq \gamma_1 K[G] \gamma_2 = \{0\},$$

it follows that  $\pi_{\Delta(G)}(\gamma_1 \alpha) \pi_{\Delta(G)}(\beta \gamma_2) = \{0\}$  by Passman's lemma. Hence  $K[\Delta(G)]$  has zero divisors, a contradiction since  $\Delta(G)$  is an abelian group.  $\square$



## Lecture 4

### §5. More applications of the transfer

Let us start with a group-theoretic application of the transfer map. We start with some applications to the theory of finite groups.

prop:semidirecto

**Proposition 5.1.** *Let  $G$  be a finite group and  $H$  a central subgroup of index  $n$ , where  $n$  is coprime with  $|H|$ . Then  $G \simeq N \rtimes H$ .*

*Proof.* Since  $H$  is abelian,  $H = H/[H, H]$ . Let  $\nu: G \rightarrow H$  be the transfer map and  $h \in H$ . By Lemma 3.4,

$$\nu(h) = \prod_{i=1}^m s_i^{-1} h^{n_i} s_i,$$

where each  $s_i^{-1} h^{n_i} s_i \in H$ . Since  $h^{n_i} \in H \subseteq Z(G)$  for all  $i$ , it follows that  $s_i^{-1} h^{n_i} s_i = h^{n_i}$  for all  $i$ . Thus

$$\nu(h) = \prod_{i=1}^m s_i^{-1} h^{n_i} s_i = \prod_{i=1}^m h^{n_i} = h^{\sum_{i=1}^m n_i} = h^n.$$

The composition  $f: H \hookrightarrow G \xrightarrow{\nu} H$  is a group homomorphism. We claim that it is an isomorphism. It is injective: If  $h^n = 1$ , then  $|h|$  divides both  $|H|$  and  $n$ . Since  $n$  and  $|H|$  are coprime,  $h = 1$ . It is surjective: Since  $n$  and  $|H|$  are coprime, there exists  $m \in \mathbb{Z}$  such that  $nm \equiv 1 \pmod{|H|}$ . If  $h \in H$ , then  $h^m \in H$  and  $\nu(h^m) = h^{nm} = h$ .

Let  $N = \ker f$ . We claim that  $G = N \rtimes H$ . By definition,  $N$  is normal in  $G$  and  $N \cap H = \{1\}$ . To show that  $G = NH$  note that  $|NH| = |N||H|$  and  $G/N \simeq H$ .  $\square$

**Exercise 5.2.** Let  $H$  be a central subgroup of a finite group  $G$ . If  $|H|$  and  $|G/H|$  are coprime, then  $G \simeq H \times G/H$ .

An application to infinite groups taken from Serre's book [8, 7.12].

**Theorem 5.3.** *Let  $G$  be a torsion-free group that contains a finite-index subgroup isomorphic to  $\mathbb{Z}$ . Then  $G \simeq \mathbb{Z}$ .*

*Proof.* We may assume that  $G$  contains a finite-index normal subgroup isomorphic to  $\mathbb{Z}$ . Indeed, if  $H$  is a finite-index subgroup of  $G$  such that  $H \simeq \mathbb{Z}$ , then  $K = \bigcap_{x \in G} xHx^{-1}$  is a non-trivial normal subgroup of  $G$  (because  $K = \text{Core}_G(H)$  and  $G$  has no torsion) and hence  $K \simeq \mathbb{Z}$  (because  $K \subseteq H$ ) and  $(G : K) = (G : H)(H : K)$  is finite. The action of  $G$  on  $K$  by conjugation induces a group homomorphism  $\epsilon : G \rightarrow \text{Aut}(K)$ . Since  $\text{Aut}(K) \simeq \text{Aut}(\mathbb{Z}) = \{-1, 1\}$ , there are two cases to consider.

Assume first that  $\epsilon = \text{id}$ . Since  $K \subseteq Z(G)$ , let  $\nu : G \rightarrow K$  be the transfer homomorphism. By Proposition 3.5 (more precisely, by Exercise 3.6),  $\nu(g) = g^n$ , where  $n = (G : K)$ . Since  $G$  has no torsion,  $\nu$  is injective. Thus  $G \simeq \mathbb{Z}$  because it is isomorphic to a subgroup of  $K$ .

Assume now that  $\epsilon \neq \text{id}$ . Let  $N = \ker \epsilon \neq G$ . Since  $K \simeq \mathbb{Z}$  is abelian,  $K \subseteq N$ . The result proved in the previous paragraph applied to  $\epsilon|_N = 1$  implies that  $N \simeq \mathbb{Z}$ , as  $N$  contains a finite-index subgroup isomorphic to  $\mathbb{Z}$ . Let  $g \in G \setminus N$ . Since  $N$  is normal in  $G$ ,  $G$  acts by conjugation on  $N$  and hence there exists a group homomorphism  $c_g \in \text{Aut}(N) \simeq \{-1, 1\}$ . Since  $K \subseteq N$  and  $g$  acts non-trivially on  $K$ ,

$$c_g(n) = gng^{-1} = n^{-1}$$

for all  $n \in N$ . Since  $g^2 \in N$ ,

$$g^2 = gg^2g^{-1} = g^{-2}.$$

Therefore  $g^4 = 1$ , a contradiction since  $g \neq 1$  and  $G$  has no torsion.  $\square$

Before giving another application of the transfer map, we prove Dietzmann's theorem:

theorem:Dietzmann

**Theorem 5.4 (Dietzmann).** *Let  $G$  be a group and  $X \subseteq G$  be a finite subset of  $G$  closed by conjugation. If there exists  $n$  such that  $x^n = 1$  for all  $x \in X$ , then  $\langle X \rangle$  is a finite subgroup of  $G$ .*

*Proof.* Let  $S = \langle X \rangle$ . Since  $x^{-1} = x^{n-1}$ , every element of  $S$  can be written as a finite product of elements of  $X$ . Fix  $x \in X$ . We claim that if  $x \in X$  appears  $k \geq 1$  times in the word  $s$ , then we can write  $s$  as a product of  $m$  elements of  $X$ , where the first  $k$  elements are equal to  $x$ . Suppose that

$$s = x_1 x_2 \cdots x_{t-1} x x_{t+1} \cdots x_m,$$

where  $x_j \neq x$  for all  $j \in \{1, \dots, t-1\}$ . Then

$$s = x(x^{-1}x_1x)(x^{-1}x_2x) \cdots (x^{-1}x_{t-1}x)x_{t+1} \cdots x_m$$

is a product of  $m$  elements of  $X$  since  $X$  is closed under conjugation and the first element is  $x$ . The same argument implies that  $s$  can be written as

$$s = x^k y_{k+1} \cdots y_m,$$

where each  $y_j$  belongs to  $X \setminus \{x\}$ .

§5 More applications of the transfer

Let  $s \in S$  and write  $s$  as a product of  $m$  elements of  $X$ , where  $m$  is minimal. We need to show that  $m \leq (n-1)|X|$ . If  $m > (n-1)|X|$ , then at least one  $x \in X$  appears exactly  $n$  times in the representation of  $s$ . Without loss of generality, we write

$$s = x^n x_{n+1} \cdots x_m = x_{n+1} \cdots x_m,$$

a contradiction to the minimality of  $m$ .  $\square$

The second result goes back to Schur:

thm:Schur

**Theorem 5.5 (Schur).** *Let  $G$  be a group. If  $Z(G)$  has finite index in  $G$ , then  $[G, G]$  is finite.*

*Proof.* Let  $n = (G : Z(G))$  and  $X$  be the set of commutators of  $G$ . We claim that  $X$  is finite, in fact  $|X| \leq n^2$ . A routine calculation shows that the map

$$\varphi: X \rightarrow G/Z(G) \times G/Z(G), \quad [x, y] \mapsto (xZ(G), yZ(G)),$$

is well-defined. It is, moreover, injective: if  $(xZ(G), yZ(G)) = (uZ(G), vZ(G))$ , then  $u^{-1}x \in Z(G)$ ,  $v^{-1}y \in Z(G)$ . Thus

$$[u, v] = uvu^{-1}v^{-1} = uv(u^{-1}x)x^{-1}v^{-1} = vxv^{-1}(v^{-1}y)y^{-1} = xyx^{-1}y^{-1} = [x, y].$$

Moreover,  $X$  is closed under conjugation, as

$$g[x, y]g^{-1} = [gxg^{-1}, gyg^{-1}]$$

for all  $g, x, y \in G$ . Since  $G \rightarrow G/Z(G)$ ,  $g \mapsto gZ(G)$  is a group homomorphism, Proposition 3.5 implies that  $[x, y]^n = [x^n, y^n] = 1$  for all  $[x, y] \in X$ . The theorem follows from applying Dietzmann's theorem.  $\square$

**Exercise 5.6.** Let  $G$  be the group with generators  $a, b, c$  and relations  $ab = ca$ ,  $ac = ba$  and  $bc = ab$ . Prove the following statements:

- 1)  $G$  is infinite and non-abelian.
- 2)  $Z(G)$  has finite index in  $G$  and every conjugacy class of  $G$  is finite.
- 3)  $[G, G]$  is finite.
- 4) The subgroup  $N = \langle a^3 \rangle$  of  $G$  generated by  $a^3$  is central and  $G/N$  is finite.

We conclude the section with some results similar to that of Schur.

thm:Niroomand

**Theorem 5.7 (Niroomand).** *If the set of commutators of a group  $G$  is finite, then  $[G, G]$  is finite.*

*Proof.* Let  $C = \{[x_1, y_1], \dots, [x_k, y_k]\}$  be the (finite) set of commutators of  $G$  and  $H = \langle x_1, x_2, \dots, x_k, y_1, y_2, \dots, y_k \rangle$ . Since  $C$  is a set of commutators of  $H$ , it follows that  $[G, G] = \langle C \rangle \subseteq [H, H]$ . To simplify the notation we write  $H = \langle h_1, \dots, h_{2k} \rangle$ . Since  $h \in Z(H)$  if and only if  $h \in C_H(h_i)$  for all  $i \in \{1, \dots, 2k\}$ , we conclude that  $Z(H) = C_H(h_1) \cap \dots \cap C_H(h_{2k})$ . Moreover, if  $h \in H$ , then  $hh_ih^{-1} = ch_i$  for some

$c \in C$ . Thus the conjugacy class of each  $h_i$  contains at most as many elements as  $C$ . This implies that

$$|H/Z(H)| = |H/\cap_{i=1}^{2k} C_H(h_i)| \leq \prod_{i=1}^{2k} (H : C_H(h_i)) \leq |C|^{2k}.$$

Since  $H/Z(H)$  is finite,  $[H, H]$  is finite. Hence  $[G, G] = \langle C \rangle \subseteq [H, H]$  is a finite group.  $\square$

thm:HiltonNiroomand

**Theorem 5.8 (Hilton–Niroomand).** *Let  $G$  be a finitely generated group. If  $[G, G]$  is finite and  $G/Z(G)$  is generated by  $n$  elements, then*

$$|G/Z(G)| \leq |[G, G]|^n.$$

*Proof.* Assume that  $G/Z(G) = \langle x_1Z(G), \dots, x_nZ(G) \rangle$ . Let

$$f: G/Z(G) \rightarrow [G, G] \times \dots \times [G, G], \quad y \mapsto ([x_1, y], \dots, [x_n, y]).$$

Note that  $f$  is well-defined: If  $y \in G$   $y z \in Z(G)$ , then  $[x_i, y] = [x_i, yz]$  for all  $i$ . Then  $f(yz) = f(y)$ .

The map  $f$  is injective. Assume that  $f(xZ(G)) = f(yZ(G))$ . Then  $[x_i, x] = [x_i, y]$  for all  $i \in \{1, \dots, n\}$ . For each  $i$  we compute

$$\begin{aligned} [x^{-1}y, x_i] &= x^{-1}[y, x_i]x[x^{-1}, x_i] \\ &= x^{-1}[y, x_i][x_i, x]x = x^{-1}[x_i, y]^{-1}[x_i, x]x = x^{-1}[x_i, y]^{-1}[x_i, y]x = 1. \end{aligned}$$

This implies that  $x^{-1}y \in Z(G)$ . Indeed, since every  $g \in G$  can be written as  $g = x_k z$  for some  $k \in \{1, \dots, n\}$  and some  $z \in Z(G)$ , it follows that

$$[x^{-1}y, g] = [x^{-1}y, x_k z] = [x^{-1}y, x_k] = 1.$$

Since  $f$  is injective,  $|G/Z(G)| \leq |[G, G]|^n$ .  $\square$

**Exercise 5.9.** Prove Theorem 5.8 from Theorem 5.7.

## Lecture 5

### §6. Bi-ordered groups

Based on Example 2.1 we will study some properties of groups.

Recall that a **total order** is a partial order in which any two elements are comparable. This means that a total order is a binary relation  $\leq$  on some set  $X$  such that for all  $x, y, z \in X$  one has

- 1)  $x \leq x$ .
- 2)  $x \leq y$  and  $y \leq z$  imply  $x \leq z$ .
- 3)  $x \leq y$  and  $y \leq x$  imply  $x = y$ .
- 4)  $x \leq y$  or  $y \leq x$ .

A set equipped with a total order is a **totally ordered set**.

**Definition 6.1.** A group  $G$  is **bi-ordered** if there exists a total order  $<$  in  $G$  such that  $x < y$  implies that  $xz < yz$  and  $zx < zy$  for all  $x, y, z \in G$ .

**Example 6.2.** The group  $\mathbb{R}_{>0}$  of positive real numbers is bi-ordered.

The multiplicative group  $\mathbb{R} \setminus \{0\}$  is not bi-ordered. Why?

**Exercise 6.3.** Let  $G$  be a bi-ordered group and  $x, x_1, y, y_1 \in G$ . Prove that  $x < y$  and  $x_1 < y_1$  imply  $xx_1 < yy_1$ .

Clearly, bi-orderability is preserved under taking subgroups.

**Exercise 6.4.** Let  $G$  be a bi-ordered group and  $g, h \in G$ . Prove that  $g^n = h^n$  for some  $n > 0$  implies  $g = h$ .

The following result goes back to Neumann.

**Exercise 6.5.** Let  $G$  be a bi-ordered group and  $g, h \in G$ . Prove that  $g^n \in C_G(h)$  if and only if  $g \in C_G(h)$ .

Bi-ordered groups do not behave nicely under extensions:

xca:BO\_sequence

**Exercise 6.6.** Let  $1 \rightarrow K \rightarrow G \rightarrow Q \rightarrow 1$  be an exact sequence of groups. Assume that  $K$  and  $Q$  are bi-ordered. Prove that  $G$  is bi-ordered if and only if  $x < y$  implies  $gxg^{-1} < gyg^{-1}$  for all  $x, y \in K$  and  $g \in G$ .

**Definition 6.7.** Let  $G$  be a bi-ordered group. The **positive cone** of  $G$  is the set  $P(G) = \{x \in G : 1 < x\}$ .

Let us state some properties of positive cones.

pro:biordenableP1

**Proposition 6.8.** Let  $G$  be a bi-ordered group and let  $P$  be its positive cone.

- 1)  $P$  is closed under multiplication, i.e.  $PP \subseteq P$ .
- 2)  $G = P \cup P^{-1} \cup \{1\}$  (disjoint union).
- 3)  $xPx^{-1} = P$  for all  $x \in G$ .

*Proof.* If  $x, y \in P$  and  $z \in G$ , then, since  $1 < x$  and  $1 < y$ , it follows that  $1 < xy$ . Thus  $1 = z1z^{-1} < zxy^{-1}$ . It remains to prove the second claim. If  $g \in G$ , then  $g = 1$  or  $g > 1$  or  $g < 1$ . Note that  $g < 1$  if and only if  $1 < g^{-1}$ , so the claim follows.  $\square$

The previous proposition admits a converse statement.

pro:biordenableP2

**Proposition 6.9.** Let  $G$  be a group and  $P$  be a subset of  $G$  such that  $P$  is closed under multiplication,  $G = P \cup P^{-1} \cup \{1\}$  (disjoint union) and  $xPx^{-1} = P$  for all  $x \in G$ . Let  $x < y$  whenever  $yx^{-1} \in P$ . Then  $G$  is bi-ordered with positive cone is  $P$ .

*Proof.* Let  $x, y \in G$ . Since  $yx^{-1} \in G$  and  $G = P \cup P^{-1} \cup \{1\}$  (disjoint union), either  $yx^{-1} \in P$  or  $xy^{-1} = (yx^{-1})^{-1} \in P$  or  $yx^{-1} = 1$ . Thus either  $x < y$  or  $y < x$  or  $x = y$ . If  $x < y$  and  $z \in G$ , then  $zx < zy$ , as  $(zy)(zx)^{-1} = z(yx^{-1})z^{-1} \in P$  and  $zPz^{-1} = P$ . Moreover,  $xz < yz$  since  $(yz)(xz)^{-1} = yx^{-1} \in P$ . To prove that  $P$  is the positive cone of  $G$  note that  $x1^{-1} = x \in P$  if and only if  $1 < x$ .  $\square$

An important property:

pro:BOsintorsion

**Proposition 6.10.** Bi-ordered groups are torsion-free.

*Proof.* Let  $G$  be a bi-ordered group and  $g \in G \setminus \{1\}$ . If  $g > 1$ , then  $1 < g < g^2 < \dots$ . If  $g < 1$ , then  $1 > g > g^2 > \dots$ . Hence  $g^n \neq 1$  for all  $n \neq 0$ .  $\square$

The converse of the previous proposition does not hold.

**Exercise 6.11.** Let  $G = \langle x, y : yxy^{-1} = x^{-1} \rangle$ .

- 1) Prove that  $x$  and  $y$  are torsion-free.
- 2) Prove that  $G$  is torsion-free.
- 3) Prove that  $G \simeq \langle a, b : a^2 = b^2 \rangle$ .

**Example 6.12.** The torsion-free group  $G = \langle x, y : yxy^{-1} = x^{-1} \rangle$  is not bi-ordered. If not, let  $P$  be the positive cone. If  $x \in P$ , then  $yxy^{-1} = x^{-1} \in P$ , a contradiction. Hence  $x^{-1} \in P$  and  $x = y^{-1}x^{-1}y \in P$ , a contradiction.

thm:BO

**Theorem 6.13.** *Let  $G$  be a bi-ordered group. Then  $K[G]$  is a domain such that only has trivial units. Moreover, if  $G$  is non-trivial, then  $J(K[G]) = \{0\}$ .*

*Proof.* Let  $\alpha, \beta \in K[G]$  be such that

$$\alpha = \sum_{i=1}^m a_i g_i, \quad g_1 < g_2 < \cdots < g_m, \quad a_i \neq 0 \quad \forall i \in \{1, \dots, m\},$$

$$\beta = \sum_{j=1}^n b_j h_j, \quad h_1 < h_2 < \cdots < h_n, \quad b_j \neq 0 \quad \forall j \in \{1, \dots, n\}.$$

Then

$$g_1 h_1 \leq g_i h_j \leq g_m h_n$$

for all  $i, j$ . Moreover,  $g_1 h_1 = g_i h_j$  if and only if  $i = j = 1$ . The coefficient of  $g_1 h_1$  in  $\alpha\beta$  is  $a_1 b_1 \neq 0$ . In particular,  $\alpha\beta \neq 0$ . If  $\alpha\beta = \beta\alpha = 1$ , then the coefficient of  $g_m h_n$  in  $\alpha\beta$  is  $a_m b_n$ . Hence  $m = n = 1$  and therefore  $\alpha = a_1 g_1$  and  $\beta = b_1 h_1$  with  $a_1 b_1 = b_1 a_1 = 1$  in  $K$  and  $g_1 h_1 = 1$  in  $G$ .  $\square$

thm:Levi

**Theorem 6.14 (Levi).** *Let  $A$  be an abelian group. Then  $A$  is bi-ordered if and only if  $A$  is torsion-free.*

*Proof.* If  $A$  is bi-ordered, then  $A$  is torsion-free. Let us prove the non-trivial implication, so assume that  $A$  is torsion-free abelian. Let  $\mathcal{S}$  be the class of subsets  $P$  of  $A$  such that  $0 \in P$ , are closed under the addition of  $A$  and satisfy the following property: if  $x \in P$  and  $-x \in P$ , then  $x = 0$ . Clearly,  $\mathcal{S} \neq \emptyset$ , as  $\{0\} \in \mathcal{S}$ . The inclusion turns  $\mathcal{S}$  into a partially ordered set and  $\bigcup_{i \in I} P_i$  is an upper bound for the chain  $\{P_i : i \in I\}$ . By Zorn's lemma,  $\mathcal{S}$  admits a maximal element  $P \in \mathcal{S}$ .

*Claim.* If  $x \in A$  is such that  $kx \in P$  for some  $k > 0$ , then  $x \in P$ .

Let  $Q = \{x \in A : kx \in P \text{ for some } k > 0\}$ . We will show that  $Q \in \mathcal{S}$ . Clearly,  $0 \in Q$ . Moreover,  $Q$  is closed under addition, as  $k_1 x_1 \in P$  and  $k_2 x_2 \in P$  imply  $k_1 k_2 (x_1 + x_2) \in P$ . Let  $x \in A$  be such that  $x \in Q$  and  $-x \in Q$ . Thus  $kx \in P$  and  $l(-x) \in P$  for some  $l > 0$ . Since  $klx \in P$  and  $kl(-x) \in P$ , it follows that  $klx = 0$ , a contradiction since  $A$  is torsion-free. Hence  $x \in Q \subseteq P$ .

*Claim.* If  $x \in A$  is such that  $x \notin P$ , then  $-x \in P$ .

Assume that  $-x \notin P$  and let  $P_1 = \{y + nx : y \in P, n \geq 0\}$ . We will show that  $P_1 \in \mathcal{S}$ . Clearly,  $0 \in P_1$  and  $P_1$  is closed under addition. If  $P_1 \notin \mathcal{S}$ , there exists

$$0 \neq y_1 + n_1 x = -(y_2 + n_2 x),$$

where  $y_1, y_2 \in P$  and  $n_1, n_2 \geq 0$ . Thus  $y_1 + y_2 = -(n_1 + n_2)x$ . If  $n_1 = n_2 = 0$ , then  $y_1 = -y_2 \in P$  and  $y_1 = y_2 = 0$ , so it follows that  $y_1 + n_1 x = 0$ , a contradiction. If  $n_1 + n_2 > 0$ , then, since

$$(n_1 + n_2)(-x) = y_1 + y_2 \in P,$$

it follows from the first claim that  $-x \in P$ , a contradiction. Let us show that  $P_1 \in \mathcal{S}$ . Since  $P \subseteq P_1$ , the maximality of  $P$  implies that  $x \in P = P_1$ .

By Proposition 6.9,  $P^* = P \setminus \{0\}$  is the positive cone of a bi-order in  $A$ . In fact,  $P^*$  is closed under addition, as  $x, y \in P^*$  implies that  $x + y \in P$  and  $x + y = 0$  implies  $x = y = 0$ , as  $x = -y \in P$ . Moreover,  $G = P^* \cup -P^* \cup \{0\}$  (disjoint union), as the second claim states that  $x \notin P^*$  implies  $-x \in P$ .  $\square$

Our proof of Passman's theorem (Theorem 4.8) used the fact that the group algebra  $K[G]$  of a torsion-free abelian group  $G$  has no non-zero divisors. We now present a proof of this fact.

cor:domain\_G\_abelian

**Corollary 6.15.** *Let  $A$  be a non-trivial torsion-free abelian group. Then  $K[A]$  is a domain that only admits trivial units and  $J(K[A]) = \{0\}$ .*

*Proof.* Apply Levi's theorem and Theorem 6.13.  $\square$

Some exercises. The first one is a variation on Exercise 6.6.

**Exercise 6.16.** Let  $N$  be a central subgroup of  $G$ . If  $N$  and  $G/N$  are bi-ordered, then  $G$  is bi-ordered. Prove with an example that  $N$  needs to be central, normal is not enough.

**Exercise 6.17.** Let  $G$  be a group that admits a sequence

$$\{1\} = G_0 \subseteq G_1 \subseteq \cdots \subseteq G_n = G$$

such that each  $G_k$  is normal in  $G_{k+1}$  and each quotient  $G_{k+1}/G_k$  is torsion-free abelian. Prove that  $G$  is bi-ordered.

**Exercise 6.18.** Prove that torsion-free nilpotent groups are bi-ordered.

## §7. Left-ordered groups

**Definition 7.1.** A group  $G$  is **left-ordered** if there is a total order  $<$  in  $G$  such that  $x < y$  implies  $xz < yz$  for all  $x, y, z \in G$ .

If  $G$  is left-ordered, the positive cone of  $G$  is defined as  $P(G) = \{x \in G : 1 < x\}$ .

**Exercise 7.2.** Let  $G$  be left-ordered with positive cone  $P$ . Prove that  $P$  is closed under multiplication and that  $G = P \cup P^{-1} \cup \{1\}$  (disjoint union).

xca:LO\_cone

**Exercise 7.3.** Let  $G$  be a group and  $P$  be a subset closed under multiplication. Assume that  $G = P \cup P^{-1} \cup \{1\}$  (disjoint union). Prove that  $x < y$  if and only if  $x^{-1}y \in P$  turns  $G$  into a left-ordered group with positive cone  $P$ .



Left-ordered groups behave nicely with respect to extensions. Let  $G$  be a group and  $N$  be a left-ordered normal subgroup of  $G$ . If  $\pi: G \rightarrow G/N$  is the canonical map and  $G/N$  is left-ordered, then  $G$  is left-ordered with  $x < y$  if and only if either  $\pi(x) < \pi(y)$  or  $\pi(x) = \pi(y)$  and  $1 < x^{-1}y$ .

**Proposition 7.4.** *Let  $G$  be a group and  $N$  be a normal subgroup of  $G$ . If  $N$  and  $G/N$  are left-ordered, then so is  $G$ .*

*Proof.* Since  $N$  and  $G/N$  are both left-ordered, there exist positive cones  $P(N)$  and  $P(G/N)$ . Let  $\pi: G \rightarrow G/N$  be the canonical map and

$$P(G) = \{x \in G : \pi(x) \in P(G/N) \text{ or } x \in N\}.$$

A routine calculation shows that  $P(G)$  is closed under multiplication and that  $G$  decomposes as  $G = P(G) \cup P(G)^{-1} \cup \{1\}$  (disjoint union). It follows from Exercise 7.3 that  $G$  is left-ordered.  $\square$

We now present a criterion for detecting left-ordered groups. We shall need a lemma.

lem:fg

**Lemma 7.5.** *Let  $G$  be a finitely generated group. If  $H$  is a finite-index subgroup, then  $H$  is finitely generated.*

*Proof.* Assume that  $G$  is generated by  $\{g_1, \dots, g_m\}$ . Assume that for each  $i$  there exists  $k$  such that  $g_i^{-1} = g_k$ . Let  $\{t_1, \dots, t_n\}$  be a transversal of  $H$  in  $G$ . For  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, m\}$  write

$$t_i g_j = h(i, j) t_{k(i, j)}.$$

We claim that  $H$  is generated by the  $h(i, j)$ . For  $x \in H$ , write

$$\begin{aligned} x &= g_{i_1} \cdots g_{i_s} \\ &= (t_1 g_{i_1}) g_{i_2} \cdots g_{i_s} \\ &= h(1, i_1) t_{k_1} g_{i_2} \cdots g_{i_s} \\ &= h(1, i_1) h(k_1, i_2) t_{k_2} g_{i_3} \cdots g_{i_s} \\ &= h(1, i_1) h(k_1, i_2) \cdots h(k_{s-1}, i_s) t_{k_s}, \end{aligned}$$

where  $k_1, \dots, k_{s-1} \in \{1, \dots, n\}$ . Since  $t_{k_s} \in H$ , it follows that  $t_{k_s} = t_1 \in H$  and therefore  $x \in H$ .  $\square$

Now the theorem.

**Theorem 7.6.** *Let  $G$  be a finitely generated torsion-free group. If  $A$  is an abelian normal subgroup such that  $G/A$  is finite and cyclic, then  $G$  is left-ordered.*

*Proof.* Note that if  $A$  is trivial, then so is  $G$ . Let us assume that  $A \neq \{1\}$ . Since  $(G : A)$  is finite,  $A$  is finitely generated by the previous lemma. We proceed by induction on the number of generators of  $A$ . Since  $G/A$  is cyclic, there exists  $x \in G$  such that  $G = \langle A, x \rangle$ . Then  $[x, A] = \langle [x, a] : a \in A \rangle$  is a normal subgroup of  $G$

such that  $A/C_A(x) \simeq [x, A]$  (because  $a \mapsto [x, a]$  is a group homomorphism  $A \rightarrow A$  with image  $[x, A]$  and kernel  $C_A(x)$ ). If  $\pi: G \rightarrow G/[x, A]$  is the canonical map, then  $G/[x, A] = \langle \pi(A), \pi(x) \rangle$  and thus  $G/[x, A]$  is abelian, as  $[\pi(x), \pi(A)] = \pi[x, A] = 1$ . Moreover,  $G/[x, A]$  is finitely generated, as  $G$  is finitely generated. Since  $(G : A) = n$  and  $G$  is torsion-free, it follows that  $1 \neq x^n \in A$ . Hence  $x^n \in C_A(x)$  and therefore  $1 \leq \text{rank } C_A(x) < \text{rank } A$  (if  $\text{rank } C_A(x) = \text{rank } A$ , then  $[x, A]$  would be a torsion subgroup of  $A$ , a contradiction since  $x \notin A$ ). So

$$\text{rank}[x, A] = \text{rank}(A/C_A(x)) \leq \text{rank } A - 1$$

and hence  $\text{rank}(A/[x, A]) \geq 1$ . We proved that  $A/[x, A]$  is infinite and hence  $G/[x, A]$  is infinite.

Since  $G/[x, A]$  is infinite, abelian and finitely generated, there exists a normal subgroup  $H$  of  $G$  such that  $[x, A] \subseteq H$  and  $G/H \simeq \mathbb{Z}$ . The subgroup  $B = A \cap H$  is abelian, normal in  $H$  and such that  $H/B$  is cyclic (because it is isomorphic to a subgroup of  $G/A$ ). Since  $\text{rank } B < \text{rank } A$ , the inductive hypothesis implies that  $H$  is left-ordered. Hence  $G$  is left-ordered.  $\square$

**Theorem 7.7 (Malcev–Neumann).** *Let  $G$  be left-ordered group. Then  $K[G]$  has no zero divisors and no non-trivial units.*

*Proof.* If  $\alpha = \sum_{i=1}^n a_i g_i \in K[G]$  and  $\beta = \sum_{j=1}^m b_j h_j \in K[G]$ , then

$$\alpha\beta = \sum_{i=1}^n \sum_{j=1}^m a_i b_j (g_i h_j). \quad (5.1) \quad \boxed{\text{eq:producto}}$$

Without loss of generality we may assume that  $a_i \neq 0$  for all  $i$  and  $b_j \neq 0$  for all  $j$ . Moreover, we may assume that  $g_1 < g_2 < \dots < g_n$ . Let  $i, j$  be such that

$$g_i h_j = \min\{g_i h_j : 1 \leq i \leq n, 1 \leq j \leq m\}.$$

Then  $i = 1$ , as  $i > 1$  implies  $g_1 h_j < g_i h_j$ , a contradiction. Since  $g_1 h_j \neq g_1 h_k$  whenever  $k \neq j$ , there exists a unique minimal element in the left hand side of Equality (5.1). The same argument shows that there is a unique maximal element in (5.1). Thus  $\alpha\beta \neq 0$ , as  $a_1 b_j \neq 0$ , and therefore  $K[G]$  has no zero divisors. If, moreover,  $n > 1$  or  $m > 1$ , then (5.1) contains at least two terms that cancel out and thus  $\alpha\beta \neq 1$ . It follows that units of  $K[G]$  are trivial.  $\square$

Formanek proved that the zero divisors conjecture is true in the case of torsion-free super solvable. Brown and, independently, Farkas and Snider proved that the conjecture is true in the case of groups algebras (over fields of characteristic zero) of polycyclic-by-finite torsion-free groups. These results can be found in Chapter 13 of Passman's book [7].

## §8. The braid group

**Definition 8.1.** Let  $n \geq 1$ . The **braid group**  $\mathbb{B}_n$  is the group with generators  $\sigma_1, \dots, \sigma_{n-1}$  and relations

$$\begin{aligned} \sigma_i \sigma_{i+1} \sigma_i &= \sigma_{i+1} \sigma_i \sigma_{i+1} & \text{if } 1 \leq i \leq n-2, \\ \sigma_i \sigma_j &= \sigma_j \sigma_i & \text{if } |i-j| > 1. \end{aligned}$$

Note that  $\mathbb{B}_1 = \{1\}$  and  $\mathbb{B}_2 \simeq \mathbb{Z}$ . The braid group  $\mathbb{B}_3$  is generated by  $\sigma_1$  and  $\sigma_2$  with relations  $\sigma_1 \sigma_2 \sigma_1 = \sigma_2 \sigma_1 \sigma_2$ .

**Exercise 8.2.** Prove that there exists a group homomorphism  $\mathbb{B}_n \rightarrow \mathbb{S}_n$  given by  $\sigma_i \mapsto (i \ i+1)$  for all  $i \in \{1, \dots, n-1\}$ .

Note that if  $n \geq 3$ , then  $\mathbb{B}_n$  is a non-abelian group, as there exists a surjective group homomorphism  $\mathbb{B}_n \rightarrow \mathbb{S}_n$ .

**Exercise 8.3.** Let  $n \geq 2$ . Prove that the map  $\deg: \mathbb{B}_n \rightarrow \mathbb{Z}$ ,  $\sigma_i \mapsto 1$ , is a group homomorphism. Moreover,  $\ker \deg = [\mathbb{B}_n, \mathbb{B}_n]$ .

The previous result implies, in particular, that  $\mathbb{B}_n$  is an infinite group for all  $n \geq 2$ . Moreover,  $\sigma_i^m \neq 1$  for all  $m \in \mathbb{Z} \setminus \{0\}$  and all  $i$ .

**Exercise 8.4.** Prove that the center  $Z(\mathbb{B}_3)$  of  $\mathbb{B}_3$  is the cyclic group generated by  $(\sigma_1 \sigma_2 \sigma_1)^2$ .

More generally, one can prove that the center of  $\mathbb{B}_n$  is generated by  $\Delta_n^2$ , where

$$\Delta_n = (\sigma_1 \cdots \sigma_{n-1})(\sigma_1 \cdots \sigma_{n-2}) \cdots (\sigma_1 \sigma_2) \sigma_1.$$

As a corollary,  $\mathbb{B}_n \simeq \mathbb{B}_m$  if and only if  $n = m$ .

**Exercise 8.5.** Let  $n \geq 3$ . Prove that  $\mathbb{B}_n$  is not bi-ordered.

One can prove that the natural map  $\mathbb{B}_n \rightarrow \mathbb{B}_{n+1}$  is an injective group homomorphism, this is not an easy proof. Moreover, the diagram

$$\begin{array}{ccc} \mathbb{B}_n & \longrightarrow & \mathbb{S}_n \\ \downarrow & & \downarrow \\ \mathbb{B}_{n+1} & \longrightarrow & \mathbb{S}_{n+1} \end{array}$$

commutes.

xca:derivedB3

**Exercise 8.6.** Use the Reidemeister–Schreier’s method to prove that  $[\mathbb{B}_3, \mathbb{B}_3]$  is isomorphic to the free group in two letters.

A celebrated theorem of Dehornoy states that the braid group  $\mathbb{B}_n$  is left-ordered. The proof of this fact is quite hard. However, there is a nice short proof of the fact that  $\mathbb{B}_3$  is left-ordered, see [, ...].

## §9. Locally indicable groups

**Definition 9.1.** A group  $G$  is **indicable** if there exists a non-trivial group homomorphism  $G \rightarrow \mathbb{Z}$ .

We know that braid groups are indicable. The free group  $F_n$  in  $n$  letters is indicable.

**Definition 9.2.** A group  $G$  is **locally indicable** if every non-trivial finitely generated subgroup is indicable.

Burns–Hale’s theorem states that a group  $G$  is left-ordered if and only if for every non-trivial finitely generated subgroup  $H$  of  $G$  there exists a left-ordered group  $L$  and a non-trivial group homomorphism  $H \rightarrow L$ . As a consequence, locally indicable groups are left-ordered.

**Example 9.3.** Since subgroups of free groups are free, it follows that  $F_n$  is locally indicable.

**Proposition 9.4.** Let  $1 \rightarrow K \rightarrow G \rightarrow Q \rightarrow 1$  be an exact sequence of groups. If  $K$  and  $Q$  are locally indicable, then  $G$  is locally indicable.

*Proof.* Let  $g_1, \dots, g_n \in G$  and  $L = \langle g_1, \dots, g_n \rangle$ . Assume that  $\beta(L) \neq \{1\}$ . Since  $Q$  is locally indicable, there exists a non-trivial group homomorphism  $\beta(L) \rightarrow \mathbb{Z}$ . Then the composition  $L \rightarrow Q \rightarrow \mathbb{Z}$  is then a non-trivial group homomorphism. Assume now that  $\beta(L) = \{1\}$ . Then there exist  $k_1, \dots, k_n \in K$  such that  $\alpha(k_i) = g_i$  for all  $i \in \{1, \dots, n\}$ . Note that  $\alpha: \langle k_1, \dots, k_n \rangle \rightarrow L$  is a group isomorphism. Since  $K$  is locally indicable, there exists a non-trivial group homomorphism  $\langle k_1, \dots, k_n \rangle \rightarrow \mathbb{Z}$ . Thus the composition  $L \rightarrow \langle k_1, \dots, k_n \rangle \rightarrow \mathbb{Z}$  is a non-trivial group homomorphism and hence  $G$  is locally indicable.  $\square$

As a consequence of the previous proposition, if  $G$  and  $H$  are locally indicable groups and  $\sigma: G \rightarrow \text{Aut}(H)$  is a group homomorphism, then  $G \rtimes_\sigma H$  is locally indicable. In particular, the direct product of locally indicable groups is locally indicable.

xca:B3\_LI

**Exercise 9.5.** Prove that  $\mathbb{B}_3$  is locally indicable.

The previous exercise uses the fact that  $[\mathbb{B}_3, \mathbb{B}_3]$  is isomorphic to the free group in two letters, see Exercise 8.6. An alternative solution to the previous fact goes as follows:  $\mathbb{B}_3$  is the fundamental group of the trefoil knot and fundamental groups of knots are locally indicable.

**Exercise 9.6.** Prove that  $\mathbb{B}_4$  is locally indicable.

The previous exercise might be harder than Exercise 9.5. One possible solution is based on using the Reidemeister–Schreier method to prove that  $[\mathbb{B}_4, \mathbb{B}_4]$  is a certain semidirect product between free groups in two generators. Another solution: Let  $f: \mathbb{B}_4 \rightarrow \mathbb{B}_3$  be the group homomorphism given by  $f(\sigma_1) = f(\sigma_3) = \sigma_1$  and  $f(\sigma_2) = \sigma_2$ . Then  $\ker f = \langle \sigma_1 \sigma_3^{-1}, \sigma_2 \sigma_1 \sigma_3^{-1} \sigma_2^{-1} \rangle$  is isomorphic to the free group in two letters. Now use the exact sequence  $1 \rightarrow \ker f \rightarrow \mathbb{B}_4 \rightarrow \mathbb{B}_3 \rightarrow 1$ .

xca:relations

**Exercise 9.7.** Let  $n \geq 5$ . Consider the elements of  $\mathbb{B}_n$  given by

$$\beta_1 = \sigma_1^{-1}\sigma_2, \quad \beta_2 = \sigma_2\sigma_1^{-1}, \quad \beta_3 = \sigma_1\sigma_2\sigma_1^{-2}, \quad \beta_4 = \sigma_3\sigma_1^{-1}, \quad \beta_5 = \sigma_4\sigma_1^{-1}.$$

Prove the following relations:

- 1)  $\beta_1\beta_5 = \beta_5\beta_2$ .
- 2)  $\beta_2\beta_5 = \beta_5\beta_3$ .
- 3)  $\beta_1\beta_3 = \beta_2$ .
- 4)  $\beta_1\beta_4\beta_3 = \beta_4\beta_2\beta_4$ .
- 5)  $\beta_4\beta_5\beta_4 = \beta_5\beta_4\beta_5$ .

**Exercise 9.8.** Let  $n \geq 5$ . Prove that  $\mathbb{B}_n$  is not locally indicable.

For the previous exercise one needs to show that every group homomorphism  $f: \langle \beta_1, \dots, \beta_5 \rangle \rightarrow \mathbb{Z}$  is trivial. Hint: consider the abelianization of  $\langle \beta_1, \dots, \beta_5 \rangle$ .

## §10. Unique product groups

Sea  $G$  un grupo y sean  $A, B \subseteq G$  subconjuntos no vacíos. Diremos que un elemento  $g \in G$  es un producto único en  $AB$  si  $g = ab = a_1b_1$  con  $a, a_1 \in A$  y  $b, b_1 \in B$  implica que  $a = a_1$  y  $b = b_1$ .

**Definition 10.1.** Se dice que un grupo  $G$  tiene la **propiedad del producto único** si dados dos subconjuntos  $A, B \subseteq G$  finitos y no vacíos existe al menos un producto único en  $AB$ .

**Proposition 10.2.** Si un grupo  $G$  es ordenable a derecha, entonces  $G$  tiene la propiedad del producto único.

*Proof.* Sean  $A = \{a_1, \dots, a_n\} \subseteq G$  y  $B \subseteq G$  ambos finitos y no vacíos. Supongamos que  $a_1 < a_2 < \dots < a_n$ . Sea  $c \in B$  tal que  $a_1c$  es el mínimo del conjunto  $a_1B = \{a_1b : b \in B\}$ . Veamos que  $a_1c$  admite una única representación de la forma  $\alpha\beta$  con  $\alpha \in A$  y  $\beta \in B$ . Si  $a_1c = ab$ , entonces, como  $ab = a_1c \leq a_1b$ , se tiene que  $a \leq a_1$  y luego  $a = a_1$  y  $b = c$ .  $\square$

**Exercise 10.3.** Demuestre que un grupo que satisface la propiedad del producto único es libre de torsión.

The converse does not hold. Promislow's group is a celebrated counterexample.

**Theorem 10.4 (Promislow).** The group  $G = \langle a, b : a^{-1}b^2a = b^{-2}, b^{-1}a^2b = a^{-2} \rangle$  does not have the unique product property.

*Proof.* Let

$$S = \{a^2b, b^2a, aba^{-1}, (b^2a)^{-1}, (ab)^{-2}, b, (ab)^2x, (ab)^2, (aba)^{-1}, bab, b^{-1}, a, aba, a^{-1}\}. \quad (5.2)$$

eq:Promislow

We use GAP and the representation  $G \rightarrow \mathbf{GL}(4, \mathbb{Q})$  given by

$$a \mapsto \begin{pmatrix} 1 & 0 & 0 & 1/2 \\ 0 & -1 & 0 & 1/2 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad b \mapsto \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1/2 \\ 0 & 0 & -1 & 1/2 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

to check that  $G$  does not have unique product property, as each

$$s \in S^2 = \{s_1 s_2 : s_1, s_2 \in S\}$$

admits at least two different decompositions of the form  $s = xy = uv$  for  $x, y, u, v \in S$ .

We first create the matrix representations of  $a$  and  $b$ .

```
gap> a := [[1,0,0,1/2],[0,-1,0,1/2],[0,0,-1,0],[0,0,0,1]];;
gap> b := [[-1,0,0,0],[0,1,0,1/2],[0,0,-1,1/2],[0,0,0,1]];;
```

Now we create a function that produces the set  $S$ .

```
gap> Promislow := function(x, y)
> return Set([
> x^2*y,
> y^2*x,
> x*y*Inverse(x),
> (y^2*x)^(-1),
> (x*y)^(-2),
> y,
> (x*y)^2*x,
> (x*y)^2,
> (x*y*x)^(-1),
> y*x*y,
> y^(-1),
> x,
> x*y*x,
> x^(-1)
]);
end;;
```

So the set  $S$  of (5.2) will be `Promislow(a,b)`. We now create a function that checks whether every element of a Promislow subset admits more than one representation.

```
gap> is_UPP := function(S)
> local l, x, y;
> l := [];
> for x in S do
> for y in S do
> Add(l, x*y);
> od;
> od;
```

§10 Unique product groups

```
> if ForAll(Collected(1), x->x[2] <> 1) then
> return false;
> else
> return fail;
> fi;
> end;;
```

Finally, we check whether every element of  $S$  admits more than one representation.

```
gap> S := Promislow(a,b);;
gap> is_UPP(S);
false
```

This completes the proof.  $\square$

There are other examples.

**Definition 10.5.** Se dice que un grupo  $G$  tiene la **propiedad del doble producto único** si dados dos subconjuntos  $A, B \subseteq G$  finitos y no vacíos tales que  $|A| + |B| > 2$  existen al menos dos productos únicos en  $AB$ .

theorem:Strojnowski

**Theorem 10.6 (Strojnowski).** Sea  $G$  un grupo. Las siguientes afirmaciones son equivalentes:

- 1)  $G$  tiene la propiedad del doble producto único.
- 2) Para todo subconjunto  $A \subseteq G$  finito y no vacío, existe al menos un producto único en  $AA = \{a_1a_2 : a_1, a_2 \in A\}$ .
- 3)  $G$  tiene la propiedad del producto único.

*Proof.* La implicación (1)  $\implies$  (2) es trivial. Demostremos que vale (2)  $\implies$  (3). Si  $G$  no tiene la propiedad del producto único, existen subconjuntos  $A, B \subseteq G$  finitos y no vacíos tales que todo elemento de  $AB$  admite al menos dos representaciones. Sea  $C = AB$ . Todo  $c \in C$  es de la forma  $c = (a_1b_1)(a_2b_2)$  con  $a_1, a_2 \in A$  y  $b_1, b_2 \in B$ . Como  $a_2^{-1}b_1^{-1} \in AB$ , existen  $a_3 \in A \setminus \{a_2\}$  y  $b_3 \in B \setminus \{b_1\}$  tales que  $a_2^{-1}b_1^{-1} = a_3^{-1}b_3^{-1}$ . Luego  $b_1a_2 = b_3a_3$  y entonces

$$c = (a_1b_1)(a_2b_2) = (a_1b_3)(a_3b_2)$$

son dos representaciones distintas de  $c$  en  $AB$ , pues  $a_2 \neq a_3$  y  $b_1 \neq b_3$ .

Demostremos ahora que (3)  $\implies$  (1). Si  $G$  tiene la propiedad del producto único pero no tiene la propiedad del doble producto único, existen subconjuntos  $A, B \subseteq G$  finitos y no vacíos con  $|A| + |B| > 2$  tales que en  $AB$  existe un único elemento  $ab$  con una única representación en  $AB$ . Sean  $C = a^{-1}A$  y  $D = Bb^{-1}$ . Entonces  $1 \in C \cap D$  y el elemento neutro 1 admite una única representación en  $CD$  (pues si  $1 = cd$  con  $c = a^{-1}a_1 \neq 1$  y  $d = b_1b^{-1} \neq 1$ , entonces  $ab = a_1b_1$  con  $a \neq a_1$  y  $b \neq b_1$ ). Sean  $E = D^{-1}C$  y  $F = DC^{-1}$ . Todo elemento de  $EF$  se escribe como  $(d_1^{-1}c_1)(d_2c_2^{-1})$ . Si  $c_1 \neq 1$  o  $d_2 \neq 1$  entonces  $c_1d_2 = c_3d_3$  para algún  $c_3 \in C \setminus \{c_1\}$  y algún  $d_3 \in D \setminus \{d_2\}$ . Entonces  $(d_1^{-1}c_1)(d_2c_2^{-1}) = (d_1^{-1}c_3)(d_3c_2^{-1})$  son dos representaciones distintas para  $(d_1^{-1}c_1)(d_2c_2^{-1})$ . Si  $c_2 \neq 1$  o  $d_1 \neq 1$  entonces

$c_2 d_1 = c_4 d_4$  para algún  $d_4 \in D \setminus \{d_1\}$  y algún  $c_4 \in C \setminus \{c_2\}$  y entonces, como  $d_1^{-1} c_2^{-1} = d_4^{-1} c_4^{-1}$ ,  $(d_1^{-1} 1)(1 c_2^{-1}) = (d_4^{-1} 1)(1 c_4^{-1})$ . Como  $|C| + |D| > 2$ ,  $C$  o  $D$  contienen algún  $c \neq 1$ , y entonces  $(1 \cdot 1)(1 \cdot 1) = (1 \cdot c)(1 \cdot c^{-1})$ . Demostramos entonces que todo elemento de  $EF$  tiene al menos dos representaciones.  $\square$

**Exercise 10.7.** Demuestre que si  $G$  es un grupo que satisface la propiedad del producto único, entonces  $K[G]$  tiene solamente unidades triviales.

En general es muy difícil verificar si un grupo posee la propiedad del producto único. Una propiedad similar es la de ser un grupo difuso. Si  $G$  es un grupo libre de torsión y  $A \subseteq G$  es un subconjunto, diremos que  $A$  es antisimétrico si  $A \cap A^{-1} \subseteq \{1\}$ , donde  $A^{-1} = \{a^{-1} : a \in A\}$ . El conjunto de **elementos extremales** de  $A$  se define como  $\Delta(A) = \{a \in A : Aa^{-1} \text{ es antisimétrico}\}$ . Luego

$$a \in A \setminus \Delta(A) \iff \text{existe } g \in G \setminus \{1\} \text{ tal que } ga \in A \text{ y } g^{-1}a \in A.$$

**Definition 10.8.** Un grupo  $G$  se dice **difuso** si para todo subconjunto  $A \subseteq G$  tal que  $2 \leq |A| < \infty$  se tiene  $|\Delta(A)| \geq 2$ .

**Lemma 10.9.** Si  $G$  es ordenable a derecha, entonces  $G$  es difuso.

*Proof.* Supongamos que  $A = \{a_1, \dots, a_n\}$  y  $a_1 < a_2 < \dots < a_n$ . Vamos a demostrar que  $\{a_1, a_n\} \subseteq \Delta(A)$ . Si  $a_1 \in A \setminus \Delta(A)$ , existe  $g \in G \setminus \{1\}$  tal que  $ga_1 \in A$  y  $g^{-1}a_1 \in A$ . Esto implica que  $a_1 \leq ga_1$  y  $a_1 \leq g^{-1}a_1$ , de donde se concluye que  $1 \leq g$  y  $1 \leq g^{-1}$ , una contradicción. De la misma forma se demuestra que  $a_n \in \Delta(A)$ .  $\square$

lemma:difuso=>2up

**Lemma 10.10.** Si  $G$  es difuso, entonces  $G$  tiene la propiedad del doble producto único.

*Proof.* Supongamos que  $G$  no tiene la propiedad del doble producto único. Existen entonces subconjuntos finitos  $A, B \subseteq G$  con  $|A| + |B| > 2$  tales que  $C = AB$  tiene a lo sumo un producto único. Luego  $|C| \geq 2$ . Como  $G$  es difuso,  $|\Delta(C)| \geq 2$ . Si  $c \in \Delta(C)$ , entonces  $c$  tiene una única expresión como  $c = ab$  con  $a \in A$  y  $b \in B$  (de lo contrario, si  $c = a_0 b_0 = a_1 b_1$  con  $a_0 \neq a_1$  y  $b_0 \neq b_1$ . Si  $g = a_0 a_1^{-1}$ , entonces  $g \neq 1$ ,  $gc = a_0 a_1^{-1} a_1 b_1 = a_0 b_1 \in C$  y además  $g^{-1}c = a_1 a_0^{-1} a_0 b_0 = a_1 b_0 \in C$ . Luego  $c \notin \Delta(C)$ , una contradicción.  $\square$

**Open problem 10.1.** Find a non-diffuse group with the unique product property.

## §11. Connel's theorem

When  $K[G]$  is prime? Connel's theorem gives a full answer to this natural question in the case where  $K$  is of characteristic zero.

If  $S$  is a finite subset of a group  $G$ , then we define  $\widehat{S} = \sum_{x \in S} x$ .



lemma:sumN

**Lemma 11.1.** *Let  $N$  be a finite normal subgroup of  $G$ . Then  $\widehat{N} = \sum_{x \in N} x$  is central in  $K[G]$  and  $\widehat{N}(\widehat{N} - |N|1) = 0$ .*

*Proof.* Assume that  $N = \{n_1, \dots, n_k\}$ . Let  $g \in G$ . Since  $N \rightarrow N, n \mapsto gng^{-1}$ , is bijective,

$$g\widehat{N}g^{-1} = g(n_1 + \dots + n_k)g^{-1} = gn_1g^{-1} + \dots + gn_kg^{-1} = \widehat{N}.$$

Since  $nN = N$  if  $n \in N$ , it follows that  $n\widehat{N} = \widehat{N}$ . Thus  $\widehat{N}\widehat{N} = \sum_{j=1}^k n_j\widehat{N} = |N|\widehat{N}$ .  $\square$

Si  $G$  es un grupo, consideramos el subconjunto

$$\Delta^+(G) = \{x \in \Delta(G) : x \text{ tiene orden finito}\}.$$

lem:DcharG

**Lemma 11.2.** *Si  $G$  es un grupo, entonces  $\Delta^+(G)$  es un subgrupo característico de  $G$ .*

*Proof.* Claramente  $1 \in \Delta^+(G)$ . Sean  $x, y \in \Delta^+(G)$  y sea  $H$  el subgrupo de  $G$  generado por el conjunto  $C$  formado por los finitos conjugados de  $x$  e  $y$ . Si  $|x| = n$  y  $|y| = m$ , entonces  $c^{nm} = 1$  para todo  $c \in C$ . Como  $C$  es finito y cerrado por conjugación, el teorema de Dietzmann implica que  $H$  es finito. Luego  $H \subseteq \Delta^+(G)$  y en particular  $xy^{-1} \in \Delta^+(G)$ . Es evidente que  $\Delta^+(G)$  es un subgrupo característico pues para todo  $f \in \text{Aut}(G)$  se tiene que  $f(x) \in \Delta^+(G)$  si  $x \in \Delta^+(G)$ .  $\square$

La segunda aplicación del teorema de Dietzmann es el siguiente resultado:

lem:Connel

**Lemma 11.3.** *Sea  $G$  un grupo y sea  $x \in \Delta^+(G)$ . Existe entonces un subgrupo finito  $H$  normal en  $G$  tal que  $x \in H$ .*

Dejamos la demostración como ejercicio ya que es muy similar a lo que hicimos en la demostración del lema 11.2.

thm:Connel

**Theorem 11.4 (Connell).** *Supongamos que el cuerpo  $K$  es de característica cero. Sea  $G$  un grupo. Las siguientes afirmaciones son equivalentes:*

- 1)  $K[G]$  es primo.
- 2)  $Z(K[G])$  es primo.
- 3)  $G$  no tiene subgrupos finitos normales no triviales.
- 4)  $\Delta^+(G) = 1$ .

*Proof.* Demostremos que (1)  $\implies$  (2). Como  $Z(K[G])$  es un anillo conmutativo, probar que es primo es equivalente a probar que no existen divisores de cero no triviales. Sean  $\alpha, \beta \in Z(K[G])$  tales que  $\alpha\beta = 0$ . Sean  $A = \alpha K[G]$  y  $B = \beta K[G]$ . Como  $\alpha$  y  $\beta$  son centrales,  $A$  y  $B$  son ideales de  $K[G]$ . Como  $AB = 0$ , entonces  $A = \{0\}$  o  $B = \{0\}$  pues  $K[G]$  es primo. Luego  $\alpha = 0$  o  $\beta = 0$ .

Demostremos ahora que (2)  $\implies$  (3). Sea  $N$  un subgrupo normal finito. Por el lema 11.1,  $\widehat{N} = \sum_{x \in N} x$  es central en  $K[G]$  y  $\widehat{N}(\widehat{N} - |N|1) = 0$ . Como  $\widehat{N} \neq 0$  (pues  $K$  tiene característica cero) y  $Z(K[G])$  es un dominio,  $\widehat{N} = |N|1$ , es decir:  $N = \{1\}$ .

Demostremos que (3)  $\implies$  (4). Sea  $x \in \Delta^+(G)$ . Por el lema 11.3 sabemos que existe un subgrupo finito  $H$  normal en  $G$  que contiene a  $x$ . Como por hipótesis  $H$  es trivial, se concluye que  $x = 1$ .

Finalmente demostramos que (4)  $\implies$  (1). Sean  $A$  y  $B$  ideales de  $K[G]$  tales que  $AB = 0$ . Supongamos que  $B \neq 0$  y sea  $\beta \in B \setminus \{0\}$ . Si  $\alpha \in A$ , entonces, como  $\alpha K[G]\beta \subseteq \alpha B \subseteq AB = 0$ , el lema 4.7 de Passman implica que  $\pi_{\Delta(G)}(\alpha)\pi_{\Delta(G)}(\beta) = 0$ . Como por hipótesis  $\Delta^+(G)$  es trivial, sabemos que  $\Delta(G)$  es libre de torsión y luego  $\Delta(G)$  es abeliano por el lema ???. Esto nos dice que  $K[\Delta(G)]$  no tiene divisores de cero y luego  $\alpha = 0$ . Demostramos entonces que  $B \neq 0$  implica que  $A = 0$ .  $\square$

**Theorem 11.5 (Connel).** *Sea  $K$  un cuerpo de característica cero y sea  $G$  un grupo. Entonces  $K[G]$  es artiniiano a izquierda si y sólo si  $G$  es finito.*

*Proof.* Si  $G$  es finito,  $K[G]$  es un álgebra de dimensión finita y luego es artiniiano a izquierda. Supongamos entonces que  $K[G]$  es artiniiano a izquierda.

Primero observemos que si  $K[G]$  es un álgebra prima, entonces por el teorema de Wedderburn  $K[G]$  es simple y luego  $G$  es el grupo trivial (pues si  $G$  no es trivial,  $K[G]$  no es simple ya que el ideal de aumentación es un ideal no nulo de  $K[G]$ ).

Como  $K[G]$  es artiniiano a izquierda, es noetheriano a izquierda por Hopkins–Levitzky y entonces,  $K[G]$  admite una serie de composición por el teorema ???. Para demostrar el teorema procederemos por inducción en la longitud de la serie de composición de  $K[G]$ . Si la longitud es uno,  $\{0\}$  es el único ideal de  $K[G]$  y luego  $K[G]$  es prima y el resultado está demostrado. Si suponemos que el resultado vale para longitud  $n$  y además  $K[G]$  no es prima, entonces, por el teorema de Connel,  $G$  posee un subgrupo normal  $H$  finito y no trivial. Al considerar el morfismo canónico  $K[G] \rightarrow K[G/H]$  vemos que  $K[G/H]$  es artiniiano a izquierda y tiene longitud  $< n$ . Por hipótesis inductiva,  $G/H$  es un grupo finito y luego, como  $H$  también es finito,  $G$  es finito.  $\square$

## Lecture 6

### §12. The Yang–Baxter equation

We now briefly discuss set-theoretic solutions to the Yang–Baxter equation.

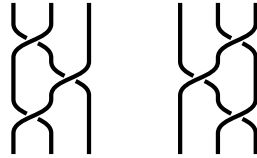
**Definition 12.1.** A *set-theoretic solution* to the Yang–Baxter equation (YBE) is a pair  $(X, r)$ , where  $X$  is a non-empty set and  $r: X \times X \rightarrow X \times X$  is a bijective map that satisfies

$$(r \times \text{id})(\text{id} \times r)(r \times \text{id}) = (\text{id} \times r)(r \times \text{id})(\text{id} \times r),$$

where, if  $r(x, y) = (\sigma_x(y), \tau_y(x))$ , then

$$\begin{aligned} r \times \text{id}: X \times X \times X &\rightarrow X \times X \times X, & (r \times \text{id})(x, y, z) &= (\sigma_x(y), \tau_y(x), z), \\ \text{id} \times r: X \times X \times X &\rightarrow X \times X \times X, & (\text{id} \times r)(x, y, z) &= (x, \sigma_y(z), \tau_z(y)). \end{aligned}$$

The solution  $(X, r)$  is said to be *finite* if  $X$  is a finite set.



**Figure 6.1** The Yang–Baxter equation.

fig:braid

**Example 12.2.** Let  $X$  be a non-empty set. Then  $(X, \text{id})$  is a set-theoretic solution to the YBE.

**Example 12.3.** Let  $X$  be a non-empty set. Then  $(X, r)$ , where  $r(x, y) = (y, x)$ , is a set-theoretic solution to the YBE. This solution is known as the *trivial solution* over the set  $X$ .

By convention, we write

$$r(x, y) = (\sigma_x(y), \tau_y(x)).$$

lem: YB

**Lemma 12.4.** *Let  $X$  be a non-empty set and  $r: X \times X \rightarrow X \times X$  be a bijective map. Then  $(X, r)$  is a set-theoretic solution to the YBE if and only if*

$$\sigma_x \sigma_y = \sigma_{\sigma_x(y)} \sigma_{\tau_y(x)}, \quad \sigma_{\tau_{\sigma_y(z)}(x)} \tau_z(y) = \tau_{\sigma_{\tau_y(x)}(z)} \sigma_x(y), \quad \tau_z \tau_y = \tau_{\tau_z(y)} \tau_{\sigma_y(z)}$$

for all  $x, y, z \in X$ .

*Proof.* We write  $r_1 = r \times \text{id}$  and  $r_2 = \text{id} \times r$ . We first compute

$$\begin{aligned} r_1 r_2 r_1(x, y, z) &= r_1 r_2(\sigma_x(y), \tau_y(x), z) = r_1(\sigma_x(y), \sigma_{\tau_y(x)}(z), \tau_z \tau_y(x)) \\ &= (\sigma_{\sigma_x(y)} \sigma_{\tau_y(x)}(z), \tau_{\sigma_{\tau_y(x)}(z)} \sigma_x(y), \tau_z \tau_y(x)). \end{aligned}$$

Then we compute

$$\begin{aligned} r_2 r_1 r_2(x, y, z) &= r_2 r_1(x, \sigma_y(z), \tau_z(y)) = r_2(\sigma_x \sigma_y(z), \tau_{\sigma_y(z)}(x), \tau_z(y)) \\ &= (\sigma_x \sigma_y(z), \sigma_{\tau_{\sigma_y(z)}(x)} \tau_z(y), \tau_{\tau_z(y)} \tau_{\sigma_y(z)}(x)) \end{aligned}$$

and the claim follows.  $\square$

If  $(X, r)$  is a set-theoretic solution, by definition the map  $r: X \times X \rightarrow X \times X$  is invertible. By convention, we write

$$r^{-1}(x, y) = (\widehat{\sigma}_x(y), \widehat{\tau}_y(x)).$$

Note that this implies that

$$x = \widehat{\sigma}_{\sigma_x(y)} \tau_y(x), \quad y = \widehat{\tau}_{\tau_y(x)} \sigma_x(y).$$

It is easy to check that  $(X, r^{-1})$  is a set-theoretic solution to the YBE. Thus Lemma 12.4 implies that the following formulas hold:

$$\widehat{\tau}_y \widehat{\tau}_x = \widehat{\tau}_{\tau_y(x)} \widehat{\tau}_{\sigma_x(y)}, \quad \widehat{\sigma}_x \widehat{\sigma}_y = \widehat{\sigma}_{\sigma_x(y)} \widehat{\sigma}_{\tau_y(x)}.$$

**Example 12.5.** Let  $X = \{1, 2, 3, 4\}$  and  $r(x, y) = (\sigma_x(y), \tau_y(x))$ , where

$$\begin{array}{llll} \sigma_1 = (132), & \sigma_2 = (124), & \sigma_3 = (143), & \sigma_4 = (234), \\ \tau_1 = (12)(34), & \tau_2 = (12)(34), & \tau_3 = (12)(34), & \tau_4 = (12)(34). \end{array}$$

Then  $r$  is invertible with  $r^{-1}(x, y) = (\widehat{\sigma}_x(y), \widehat{\tau}_y(x))$  given by

$$\begin{array}{llll} \widehat{\sigma}_1 = (12)(34), & \widehat{\sigma}_2 = (12)(34), & \widehat{\sigma}_3 = (12)(34), & \widehat{\sigma}_4 = (12)(34), \\ \widehat{\tau}_1 = (142), & \widehat{\tau}_2 = (123), & \widehat{\tau}_3 = (243), & \widehat{\tau}_4 = (134). \end{array}$$

**Definition 12.6.** A *homomorphism* between the set-theoretic solutions  $(X, r)$  and  $(Y, s)$  is a map  $f: X \rightarrow Y$  such that the diagram

$$\begin{array}{ccc} X \times X & \xrightarrow{r} & X \times X \\ f \times f \downarrow & & \downarrow f \times f \\ Y \times Y & \xrightarrow{s} & Y \times Y \end{array}$$

is commutative, that is  $s(f \times f) = (f \times f)r$ . An *isomorphism* of solutions is a bijective homomorphism of solutions.

Since we are interested in studying the combinatorics behind set-theoretic solutions to the YBE, it makes sense to study the following family of solutions.

**Definition 12.7.** We say that a set-theoretic solution  $(X, r)$  to the YBE is *non-degenerate* if the maps  $\sigma_x$  and  $\tau_x$  are permutations of  $X$ .

By convention, a *solution* we will mean a non-degenerate **set-theoretic** solution to the YBE.

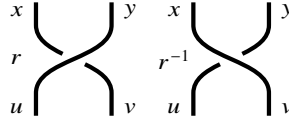
lem:LYZ

**Lemma 12.8.** Let  $(X, r)$  be a solution.

- 1) Given  $x, u \in X$ , there exist unique  $y, v \in X$  such that  $r(x, y) = (u, v)$ .
- 2) Given  $y, v \in X$ , there exist unique  $x, u \in X$  such that  $r(x, y) = (u, v)$ .

*Proof.* For the first claim take  $y = \sigma_x^{-1}(u)$  and  $v = \tau_y(x)$ . For the second,  $x = \tau_y^{-1}(v)$  and  $u = \sigma_x(y)$ .  $\square$

The bijectivity of  $r$  means that any row determines the whole square. Lemma 12.8 means that any column also determines the whole square, see Figure 6.2.



**Figure 6.2** Any row or column determines the whole square.

fig:braid

**Example 12.9.** If the map  $(x, y) \mapsto (\sigma_x(y), \tau_y(x))$  satisfies the Yang–Baxter equation, then so does  $(x, y) \mapsto (\tau_x(y), \sigma_y(x))$ .

exa:Lyubashenko

**Example 12.10.** Let  $X$  be a non-empty set and  $\sigma$  and  $\tau$  be bijections on  $X$  such that  $\sigma \circ \tau = \tau \circ \sigma$ . Then  $(X, r)$ , where  $r(x, y) = (\sigma(y), \tau(x))$ , is a non-degenerate solution. This is known as the *permutation solution* associated with permutations  $\sigma$  and  $\tau$ .

exa:Venkov

**Example 12.11.** Let  $G$  be a group. Then  $(G, r)$ , where  $r(x, y) = (xyx^{-1}, x)$ , is a solution.

**Example 12.12.** Let  $n \geq 2$  and  $X = \mathbb{Z}/(n)$  be the ring of integers modulo  $n$ . Prove that the map  $r(x, y) = (2x - y, x)$  satisfies the the set-theoretic YBE.

The following result was proved by Lu, Yan and Zhu.

thm:LYZ

**Theorem 12.13 (Lu–Yan–Zhu).** Let  $G$  be a group,  $\xi: G \times G \rightarrow G$ ,  $\xi(x, y) = x \triangleright y$ , be a left action of the group  $G$  on itself as a set and  $\eta: G \times G \rightarrow G$ ,  $\eta(x, y) = x \triangleleft y$ , be a right action of the group  $G$  on itself as a set. If the compatibility condition

$$uv = (u \triangleright v)(u \triangleleft v)$$

holds for all  $u, v \in G$ , then the pair  $(G, r)$ , where

$$r: G \times G \rightarrow G \times G, \quad r(u, v) = (u \triangleright v, u \triangleleft v)$$

is a solution. Moreover, if  $r(x, y) = (u, v)$ , then

$$r(x^{-1}, y^{-1}) = (u^{-1}, v^{-1}), \quad r(x^{-1}, u) = (y, v^{-1}), \quad r(v, y^{-1}) = (u^{-1}, x).$$

*Proof.* We write  $r_1 = r \times \text{id}$  and  $r_2 = \text{id} \times r$ . Let

$$r_1 r_2 r_1(u, v, w) = (u_1, v_1, w_1), \quad r_2 r_1 r_2(u, v, w) = (u_2, v_2, w_2).$$

The compatibility condition implies that  $u_1 v_1 w_1 = u_2 v_2 w_2$ . So we need to prove that  $u_1 = u_2$  and  $w_1 = w_2$ . We note that

$$\begin{aligned} u_1 &= (u \triangleright v) \triangleright ((u \triangleleft v) \triangleright w), & w_1 &= (u \triangleleft v) \triangleleft w, \\ u_2 &= u \triangleright (v \triangleright w), & w_2 &= (u \triangleleft (v \triangleright w)) \triangleleft (v \triangleleft w). \end{aligned}$$

Using the compatibility condition and the fact that  $\xi$  is a left action,

$$u_1 = ((u \triangleright v)(u \triangleleft v)) \triangleright w = (uv) \triangleright w = u \triangleright (v \triangleright w) = u_2.$$

Similarly, since  $\eta$  is a right action,

$$w_2 = u \triangleleft ((v \triangleright w)(v \triangleleft w)) = u \triangleleft (vw) = (u \triangleleft v) \triangleleft w = w_1.$$

To prove that  $r$  is invertible we proceed as follows. Write  $r(u, v) = (x, y)$ , thus  $u \triangleright v = x$ ,  $u \triangleleft v = y$  and  $uv = xy$ . Since

$$(y \triangleright v^{-1})u = (y \triangleright v^{-1})(y \triangleleft v^{-1}) = yv^{-1} = x^{-1}u,$$

it follows that  $y \triangleright v^{-1} = x^{-1}$ , i.e.  $v^{-1} = y^{-1} \triangleright x^{-1}$ . Similarly,

$$v(u^{-1} \triangleleft x) = (u^{-1} \triangleright x)(u^{-1} \triangleleft x) = u^{-1}x = vy^{-1}$$

implies that  $u^{-1} = y^{-1} \triangleleft x^{-1}$ . Clearly  $r^{-1} = \zeta(i \times i)r(i \times i)\zeta$ , is the inverse of  $r$ , where  $\zeta(x, y) = (y, x)$  and  $i(x) = x^{-1}$ .  $\square$

**Proposition 12.14.** *Under the assumptions of Theorem 12.13, if  $r(x, y) = (u, v)$ , then*

$$r(v^{-1}, u^{-1}) = (y^{-1}, x^{-1}), \quad r(x^{-1}, u) = (y, v^{-1}), \quad r(v, y^{-1}) = (u^{-1}, x).$$

*Proof.* In the proof of Theorem 12.13 we found that the inverse of the map  $r$  is given by  $r^{-1} = \zeta(i \times i)r(i \times i)\zeta$ , where  $\zeta(x, y) = (y, x)$  and  $i(x) = x^{-1}$ . Hence

$$r^{-1}(y^{-1}, x^{-1}) = \zeta(i \times i)r(i \times i)\zeta(y^{-1}, x^{-1}) = \zeta(i \times i)r(x, y) = (v^{-1}, u^{-1}).$$

It follows that  $r(v^{-1}, u^{-1}) = (y^{-1}, x^{-1})$ . To prove the equality  $r(x^{-1}, u) = (y, v^{-1})$  we proceed as follows. Since  $r(x, y) = (u, v)$ , it follows that  $x \triangleright y = u$ . Then  $x^{-1} \triangleright u = y$  and hence  $r(x^{-1}, u) = (y, z)$  for some  $z \in G$ . Since  $xy = uv$  and  $x^{-1}u = yz$ , it immediately follows that  $yt = yv^{-1}$ . Then  $z = v^{-1}$ . Similarly one proves  $r(v, y^{-1}) = (u^{-1}, x)$ .  $\square$





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