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# Review of the paper: "Optimal spectral transportation with application to music transcription"

**Project for the course "Computational Optimal Transport"** 

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## The problem: Robustness of Music Transcription

Definition: Music transcription aims to "translate" a raw musical signal according to its composition (notes)

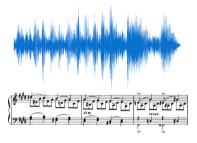


Figure: Music transcription on Prelude in C# minor, Op.3 No.2, S. Rachmaninoff

**The idea :** Approximate an input spectrogram V by a product  $\hat{V} = WH$ , where W is a dictionary of representation of notes, and H is the optimal allocation of notes.  $V = (v_1, ..., v_n)$ .

#### A relevant baseline: PLCA

#### Naive frequency-wise comparison : Probabilistic Latent Component Analysis (PLCA)

- The process :
  - Normalize columns  $v_n$ 's of V (discrete probability distribution); same requirements for W and H
  - Comparison of V and  $\hat{V}$  (or  $v_n$  and  $\hat{v}_n$ ); min  $D_{KL}(V|\hat{V})$  s.t.  $\forall n, ||h_n||_1 = 1$  over  $H \ge 0$
  - Use of KL divergence :  $D_{KL}(v_n|\hat{v}_n) = \sum_{i=1}^M v_i \log(v_i/\hat{v}_i)$  and  $D_{KL}(V|\hat{V}) = \sum_{n=1}^N D_{KL}(v_n|\hat{v}_n)$
- Analysis:
  - Pros of KL : Tool for comparing distributions => notion of """distance"" (not a metric)
  - BUT : Separability of KL => frequency-wise comparison between  $v_n$  and  $\hat{v}_n$
  - Lack of robustness to small displacements in the frequency support (disproportional changes of KL)
  - Use of other methods, such as OT-based approaches, to fix it (see content of [1])

## **OT-based approaches**

#### Approach of the studied article [11: "Optimal spectral transportation with application to music transcription"

- The idea :
  - Columns  $v_0$ 's are energy distributions of intensities  $v_{01}, \ldots, v_{0M}$  at sampling frequencies  $f_1, \ldots, f_M$
  - Use of a transportation matrix in  $\{T \in \mathbb{R}^{M \times M} | \forall i, j \in [0, M], \sum_{i=1}^{M} t_{i,j} = v_{ni}, \sum_{i=1}^{M} t_{i,j} = \hat{v}_{ni}\}$
  - Use of a cost matrix C to quantify the distance between uncorrelated frequencies
  - Define the "OT divergence" :  $D_C(V|\hat{V}) = \sum_n D_C(v_n|\hat{v}_n) = \sum_n \min_T \sum_{i,j} c_{i,j} t_{i,j}$
  - Solve min  $D_C(V|\hat{V})$  s.t.  $\forall n, ||h_n||_1 = 1 (H > 0)$
- Analysis:
  - $D_C(\cdot|\cdot)$  is an OT-based measure that can handle robustness issues with C
  - Define  $C_h$  with  $c_{i,i} = \min_{\alpha=1,\dots,q_{min}} (f_i qf_i)^2 + \epsilon \delta_{\alpha \neq 1}$  for inharmonicities and variation of timbre
  - Define W as a set of Dirac vectors placed at the fundamental frequencies  $\nu_1, \ldots, \nu_K$  of the notes to identify
  - This method can be extended to regularized ones by means of additional assumptions

## Optimization and regularization

#### Improvement of classical OST: Analysis and regularization

- Analysis:
  - Naive OT unmixing :  $\min_{h_0 \ge 0, T \ge 0} < T, C > \text{s.t. } T \in \Theta$  : LP (computationally heavy)
  - With W set of Diracs and K < M, sparse transportation matrix  $\tilde{T}$  related to fundamentals  $\nu_1, ..., \nu_K$  and  $\hat{h}_n = L^T v_n$  where L is sparse => computationally efficient algorithm
  - Define  $\tilde{c}_{i,k} = \min_{a} (f_i g\nu_k)^2 + \epsilon \delta_{a\neq 1} = \tilde{T}$  deals with the fundamentals directly
  - Opportunity to keep this method or to settle regularization(s)
- Regularizations :
  - Entropic regularization :  $\min_{h_e \geq 0, T \geq 0} < \tilde{T}, \tilde{C} > +\lambda_e \Omega_e(\tilde{T})$  s.t  $T \in \Theta$ ;  $\Omega_e(\tilde{T}) = \sum_{i,k} \tilde{t}_{ik} \log(\tilde{t}_{ik})$ Distribute energies a bit more in order to get a smoother estimate of transport  $\tilde{\mathcal{T}}$ ; closed-form solution
  - Group regularization : Add  $\lambda_a \Omega_a(\tilde{\tau}) = \lambda_a \sum_{t} \sqrt{\|\tilde{t}_k\|_1}$ Use the group structure of  $\tilde{T}$ : solution obtained by iterations of classic OST with additive term on  $\tilde{C}$
  - Entropic + Group regularization: Use both methods at the same time

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## **Experiment 1: Synthetic data**

#### Synthetic harmonic templates: Setup and results

- Setup:
  - Generate a synthetic dictionary of template (12 here)
  - extract two templates to generate a new one, and apply a small shift on fundamental frequencies
  - Compute the  $l_1$  error between  $h_n$  and  $\hat{h}_n$  for each method

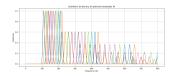


Figure: 12 simulated harmonic templates

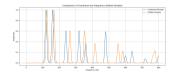


Figure: Small shift of fundamentals

- Results :
  - Group regularization approach is the best (due to sparse context of these synthesis templates)
  - Same conclusion for variation of timbre



#### **Experiment 2 : Real-world data**

#### Piano recordings from dataset MAPS: Setup and results

- Setup :
  - Produce a spectrogram V by means of Hann windows and overlap
  - Real-world music instrument can produce time varying signals, due to their physical composition
  - Comparison tools based on MIDI system

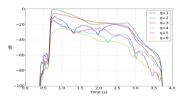


Figure: Harmonics of a single piano note along time

- Results:
  - Entropic regularization performs better in this situation (due to a better distribution of transportation)



## **Conclusion and opening**

Overview of the study of article [1]: "Optimal spectral transportation with application to music transcription"

- Problem and solutions :
  - Naive measure of fit:  $\min D_{KL}(V|\hat{V}) =>$  frequency-wise comparison => lack of robustness
  - PLCA have been widely used to perform MT, but is no longer state-of-the-art
  - OT-based approaches: Leverage OT techniques to proceed a global comparison
  - Entropic regularization good on real-world musical data; Group regularization efficient on sparse profiles
- Possible openings / extensions :
  - Quadratic regularization => maintains a sparse transport plan
  - Unbalanced optimal transport => better deal with outliers and noise
  - Deep Learning architectures such as LSTMs or Transformers to process the audio tracks

## Thank You for Listening Ready for Q&A session

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#### Reference



N. Courty R. Flamary, C. Févotte and V. Emiya.

Optimal spectral transportation with application to music transcription, 2016.