

# Estruturas de Dados II

## Filas de prioridades

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**Prof. Rodrigo Minetto**

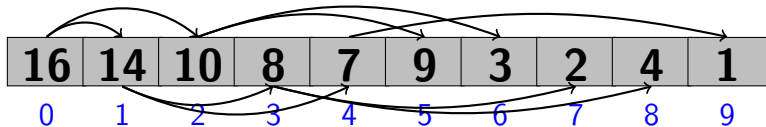
Universidade Tecnológica Federal do Paraná

# Sumário

- 1 Heap
- 2 Filas de Prioridades
- 3 Operação Heap-Maximum
- 4 Operação Heap-Extract-Max
- 5 Operação Heap-Increase-Key
- 6 Operação Max-Heap-Insert

**Relembrando:** a estrutura de dados **heap** (**binário**) é um arranjo que pode ser visualizado como uma árvore binária praticamente completa (a exceção ocorre no último nível que é preenchido da esquerda para direita enquanto existirem elementos). Cada nó da árvore corresponde a um elemento do arranjo.

# Heap



PAI (*i*)

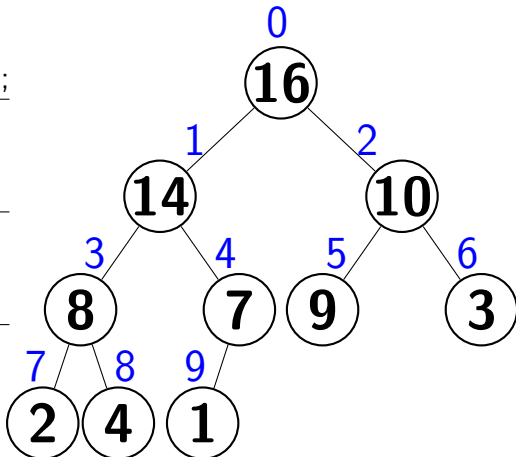
**Return**  $\lfloor (i - 1) / 2 \rfloor$ ;

ESQUERDA (*i*)

**Return**  $i * 2 + 1$ ;

DIREITA (*i*)

**Return**  $i * 2 + 2$ ;



## Heap

A raiz da árvore para um vetor  $V$  sempre se encontra no elemento  $V[0]$ . Existem dois tipos de heaps: **heaps máximos** e **heaps mínimos**:

$$V[\text{PAI}(i)] \geq V[i] \quad (\text{máximo})$$

$$V[\text{PAI}(i)] \leq V[i] \quad (\text{mínimo})$$

Em um heap máximo o maior valor está armazenado na raiz (em um heap mínimo o menor). O algoritmo *heap-sort* usa um *heap-máximo*.

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## Filas de prioridades

O tipo abstrato de dados **heap** tem uma utilidade enorme: seu uso como uma **fila de prioridades**. Os elementos de um vetor  $V$  são chamados de **chaves** ou **prioridades**, podendo existir outros dados associados a cada elemento. As operações básicas em uma fila de prioridade são: **encontrar** o elemento máximo (ou mínimo), **inserir**, **extrair** ou **modificar** uma chave.

## Filas de prioridades

Uma **aplicação** comum **de filas de prioridade máxima** é o seu uso por **sistemas operacionais** para escalonar processos em uma CPU compartilhada. Quando um processo é finalizado ou interrompido, o processo de prioridade mais alta é selecionado para executar dentre àqueles processos pendentes. Filas de prioridade também são usadas em algoritmos famosos na computação como os algoritmos de Dijkstra, Prim e Huffman.



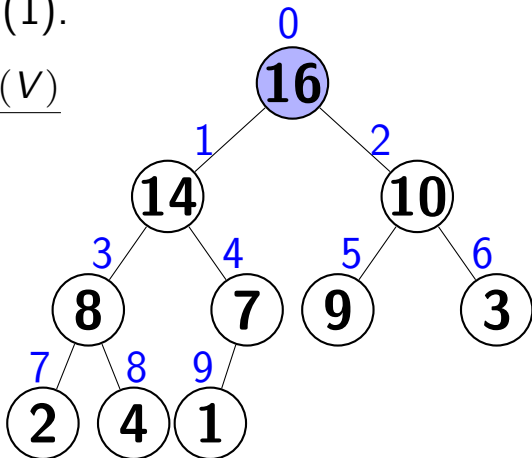
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## Heap-Maximum

A operação Heap-Maximum ( $V$ ) **retorna** o elemento de  $V$  com **maior chave (prioridade)**.  
Complexidade:  $\Theta(1)$ .

HEAP-MAXIMUM ( $V$ )  
**return**  $V[0]$ ;



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## Heap-Extract-Max

A operação Heap-Extract-Max ( $V$ ) **remove** e **re-torna** o elemento de  $V$  com a **maior chave (prioridade)**. Ele é semelhante a extração da maior chave pelo algoritmo Heap-Sort. Note que uma vez que a maior chave é retirada é **necessário refazer o heap com** o procedimento **Max-Heapify**.

# Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

**if** SIZE < 1 **then**

**printf** ("erro: heap underflow");

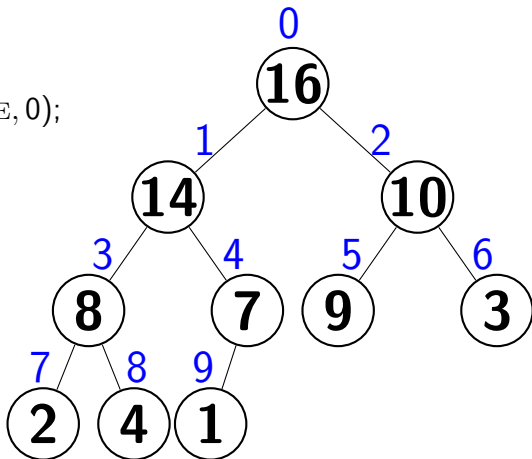
max =  $V[0]$ ;

$V[0] = V[\text{SIZE} - 1]$ ;

SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



## Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

▷ **if** SIZE < 1 **then**

**printf** ("erro: heap underflow");

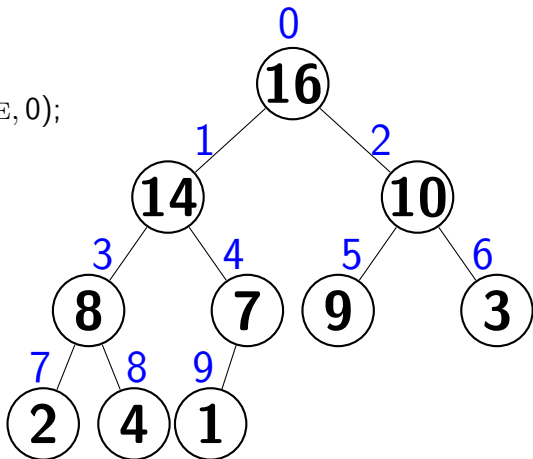
max =  $V[0]$ ;

$V[0] = V[\text{SIZE} - 1]$ ;

SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



# Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

▷ **if**  $10 < 1$  **then**

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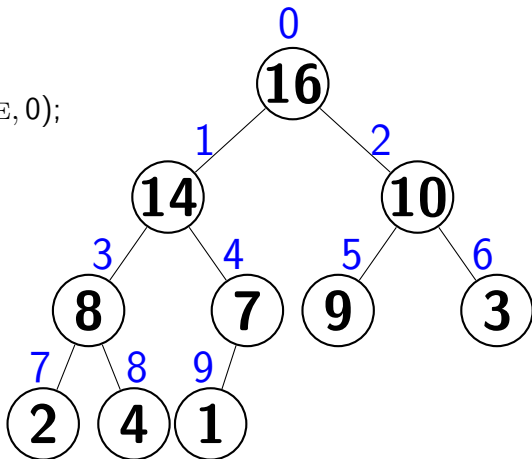
max =  $V[0]$ ;

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SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



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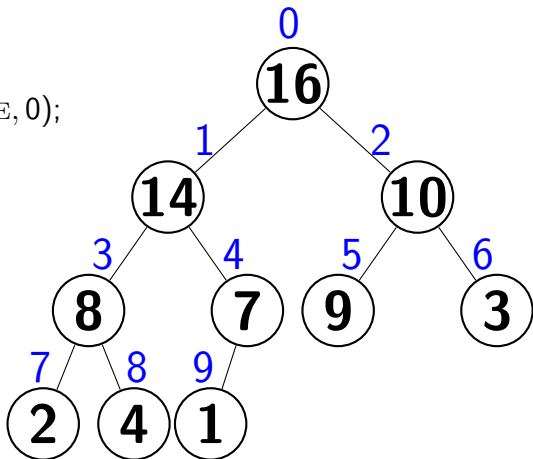
▷  $\text{max} = V[0]$ ;

$V[0] = V[\text{SIZE} - 1]$ ;

$\text{SIZE} = \text{SIZE} - 1$ ;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;





# Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

**if**  $10 < 1$  **then**

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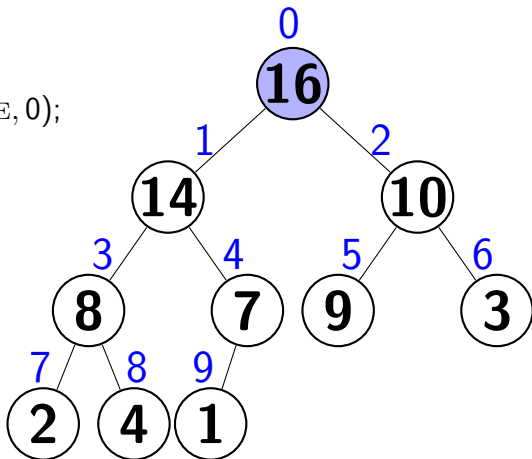
▷ max = 16;

$V[0] = V[SIZE - 1];$

SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



# Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

**if**  $10 < 1$  **then**

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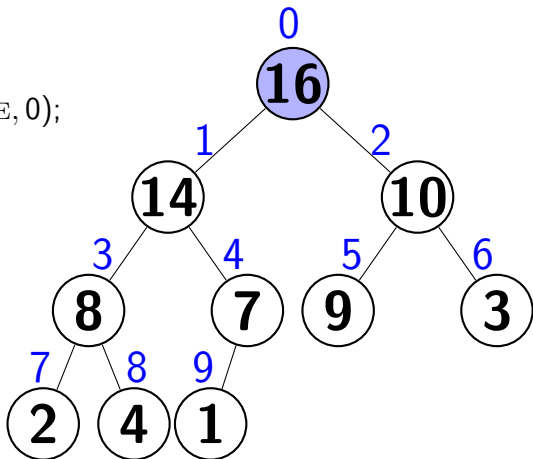
max = 16;

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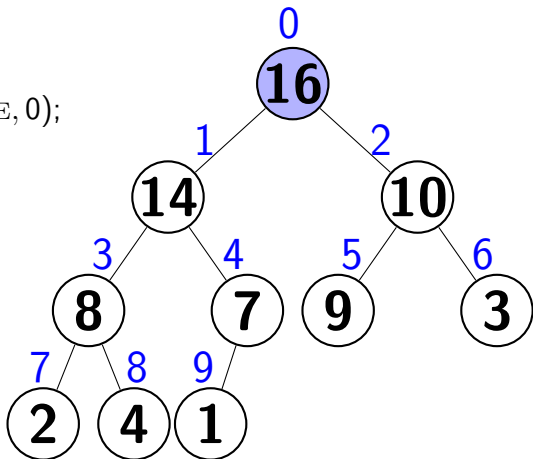
max = 16;

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SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



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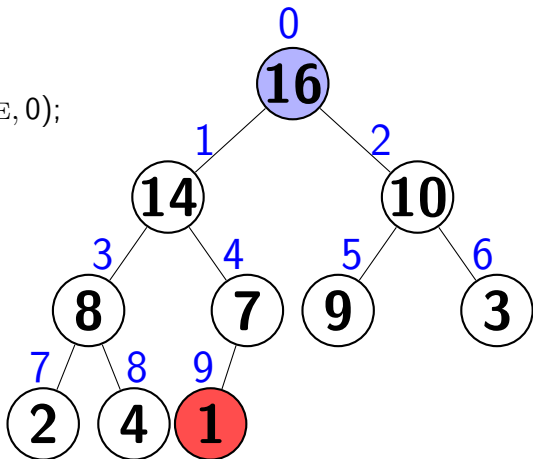
max = 16;

▷  $V[0] = V[9]$ ;

SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



# Heap-Extract-Max

HEAP-EXTRACT-MAX ( $V$ , SIZE)

**if**  $10 < 1$  **then**

**printf** ("erro: heap underflow");

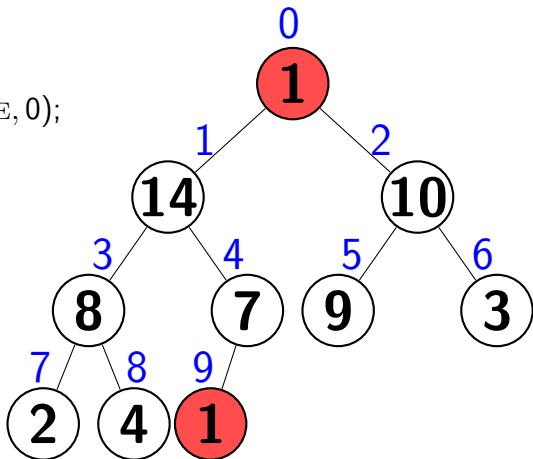
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SIZE = SIZE - 1;

MAX-HEAPIFY ( $V$ , SIZE, 0);

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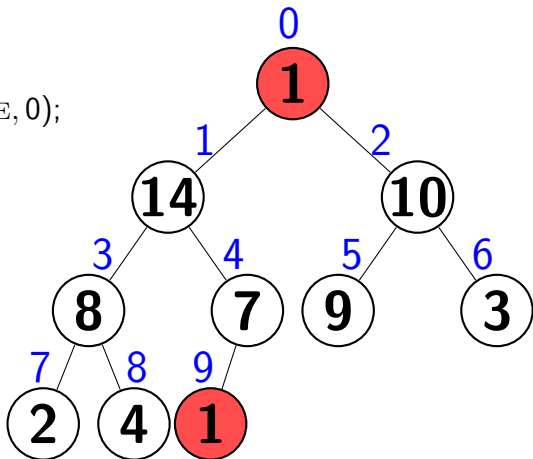
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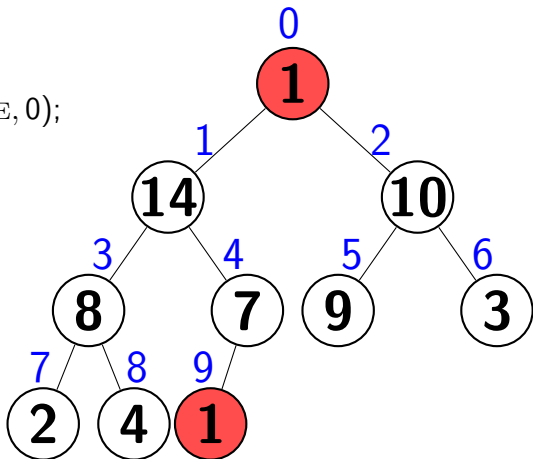
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$V[0] = V[9]$ ;

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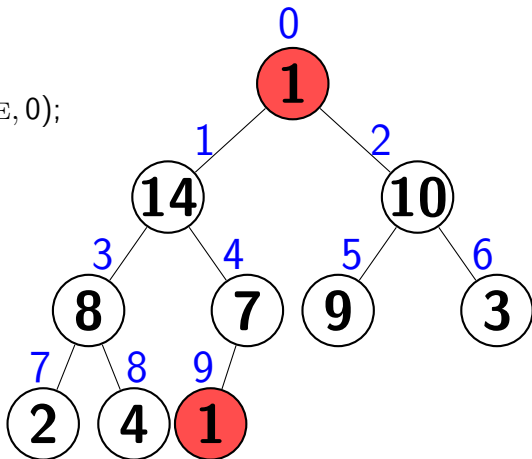
max = 16;

$V[0] = V[9]$ ;

$\triangleright$  SIZE = 9;

MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;





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**if**  $10 < 1$  **then**

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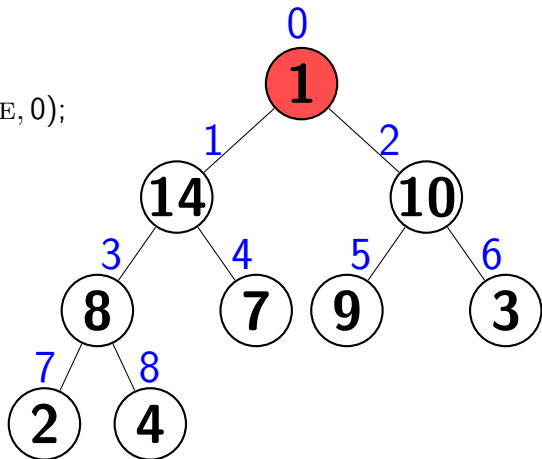
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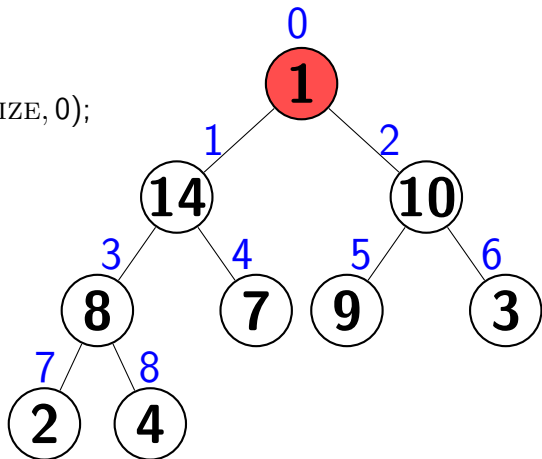
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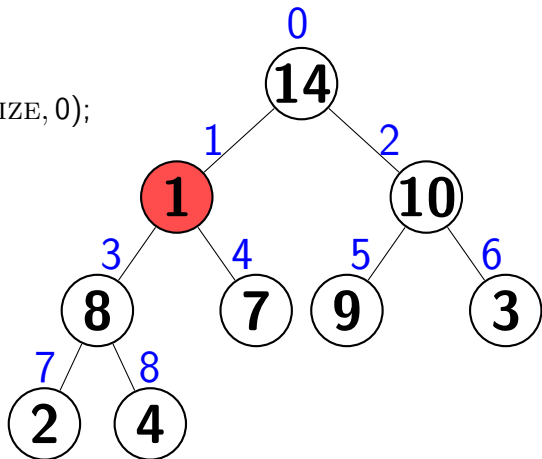
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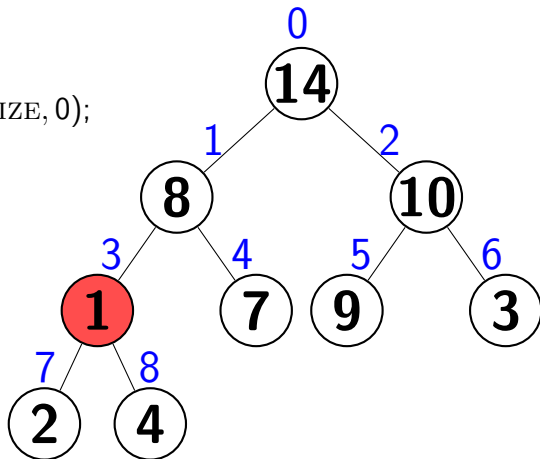
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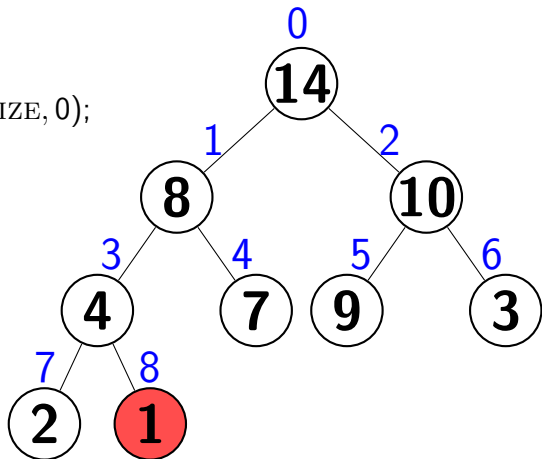
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$V[0] = V[9]$ ;

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▷ MAX-HEAPIFY ( $V$ , SIZE, 0);

**return** max;



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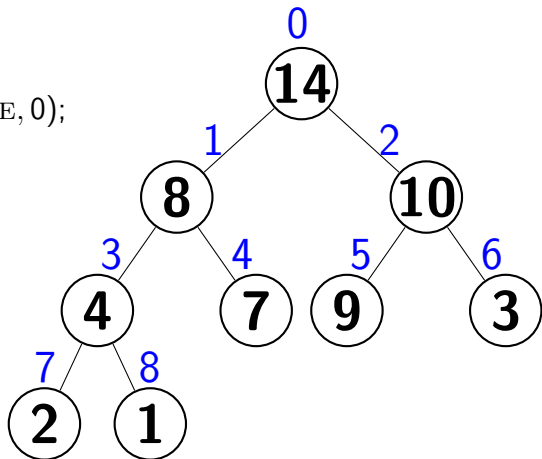
max = 16;

$V[0] = V[9]$ ;

SIZE = 9;

MAX-HEAPIFY ( $V$ , SIZE, 0);

▷ **return** max;



**Complexidade:** o procedimento Heap-Extract-Max possui complexidade  $\mathcal{O}(\log n)$  para um heap com  $n$  elementos, pois o procedimento Max-Heapify possui complexidade  $\mathcal{O}(\log n)$  (comprimento máximo da raiz até um nó folha). Os outros passos do procedimento Heap-Extract-Max possuem complexidade  $\mathcal{O}(1)$ .

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## Heap-Increase-Key

A operação Heap-Increase-Key ( $V, x, k$ ) **umenta** o valor da chave (prioridade) do elemento  $x$  para um novo valor  $k$ , que se presume ser pelo menos tão grande quanto o valor da chave atual  $x$ . Note que essa operação **pode violar** a propriedade de **heap máximo**, assim, é necessário encontrar a posição apropriada para o elemento recém atualizado.

## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ ,  $i$ , **chave**)

**if** **chave**  $< V[i]$  **then**

**printf** ("erro: chave menor que atual");

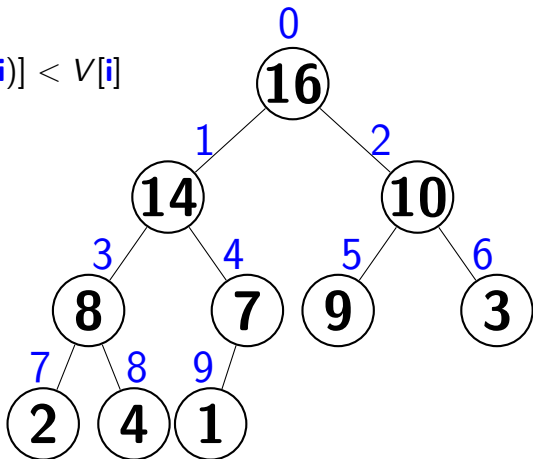
**return**;

$V[i] = \text{chave}$ ;

**while**  $i > 0$  **and**  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;



## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , **8**, **15**)

**if** **chave** <  $V[i]$  **then**

**printf** ("erro: chave menor que atual");

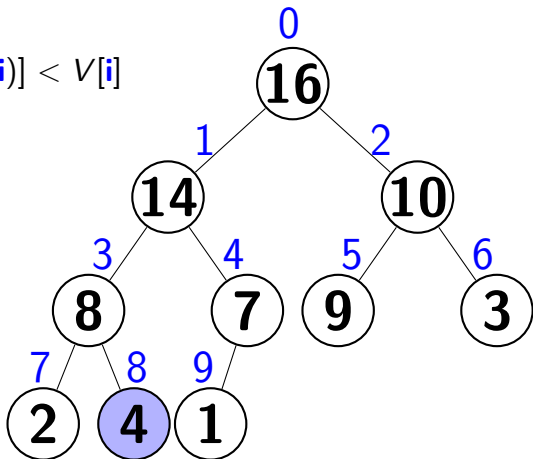
**return**;

$V[i]$  = **chave**;

**while**  $i > 0$  **and**  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

▷ if 15 < 4 then

    printf ("erro: chave menor que atual");

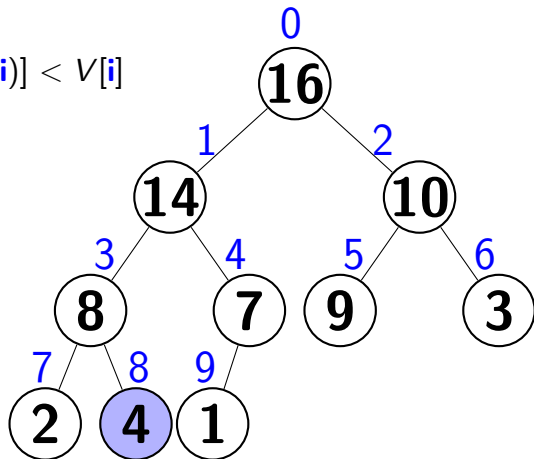
    return;

$V[i] = \text{chave};$

while  $i > 0$  and  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)];$

$i = \text{PAI}(i);$



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

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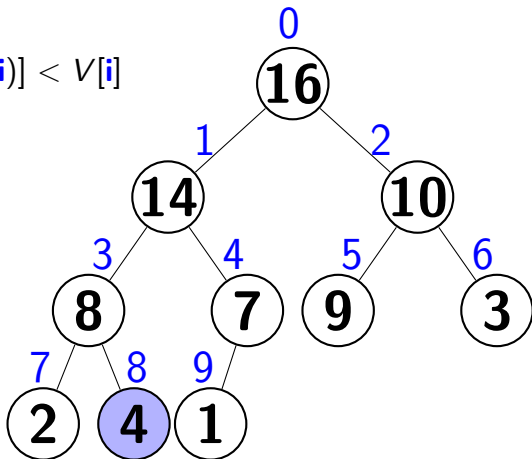
    return;

▷  $V[i] = \text{chave}$ ;

while  $i > 0$  and  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

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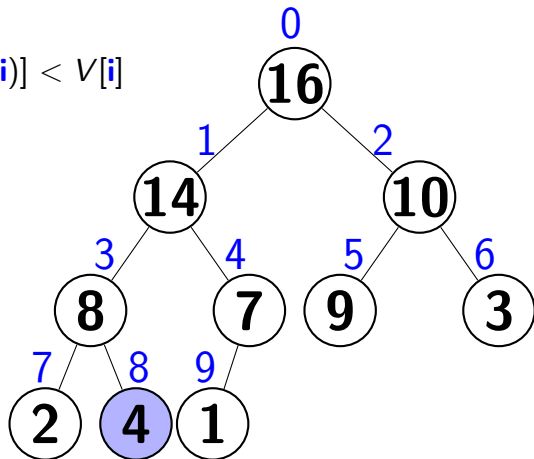
    return;

▷  $V[8] = 15$ ;

while  $i > 0$  and  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;



## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

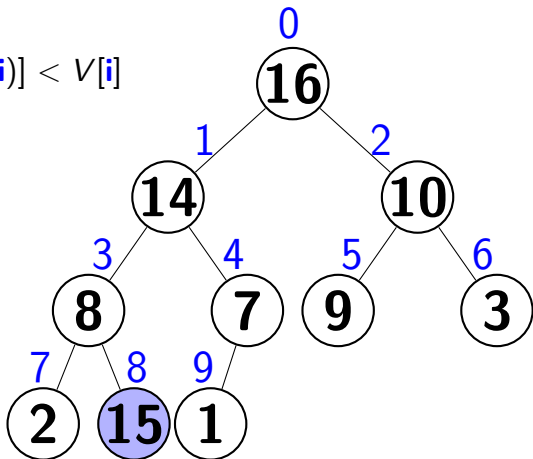
    return;

▷  $V[8] = 15$ ;

while  $i > 0$  and  $V[\text{PAI}(i)] < V[i]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , **8**, **15**)

**if** **15** < **4** **then**

**printf** ("erro: chave menor que atual");

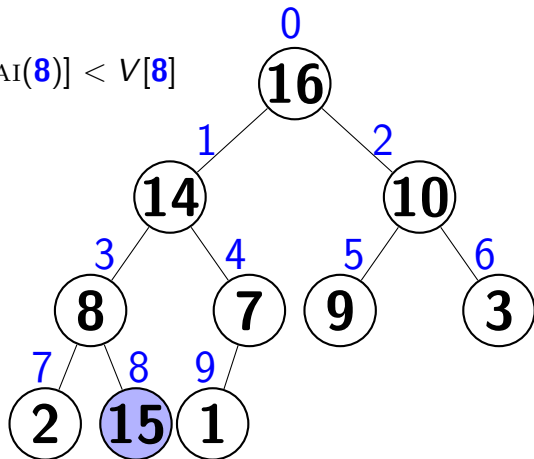
**return**;

$V[\mathbf{8}] = \mathbf{15}$ ;

    ▷ **while** **8** > 0 **and**  $V[\text{PAI}(\mathbf{8})] < V[\mathbf{8}]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;





# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

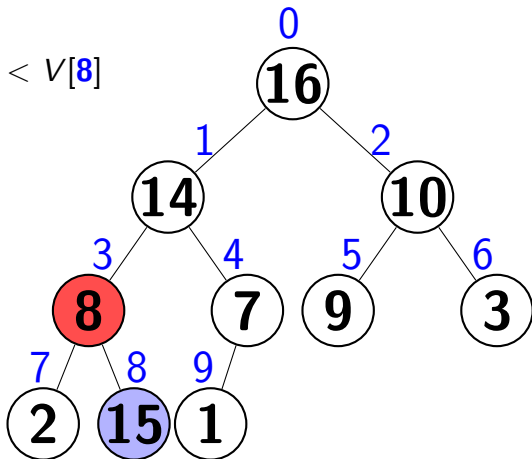
    return;

$V[8] = 15$ ;

▷ while 8 > 0 and  $V[3] < V[8]$

$V[i] \leftrightarrow V[\text{PAI}(i)]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

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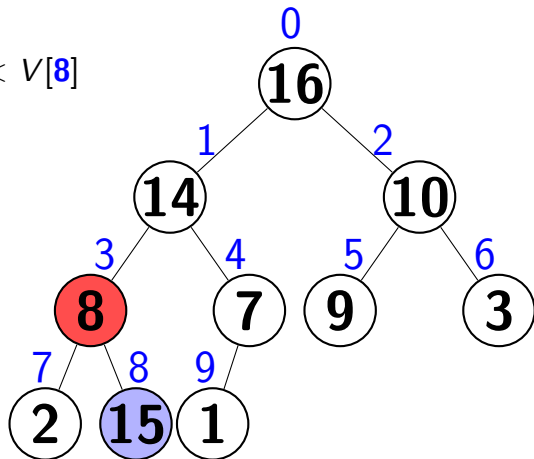
    return;

$V[8] = 15$ ;

while 8 > 0 and  $V[3] < V[8]$

$\triangleright V[8] \leftrightarrow V[\text{PAI}(8)]$ ;

$i = \text{PAI}(i)$ ;



## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

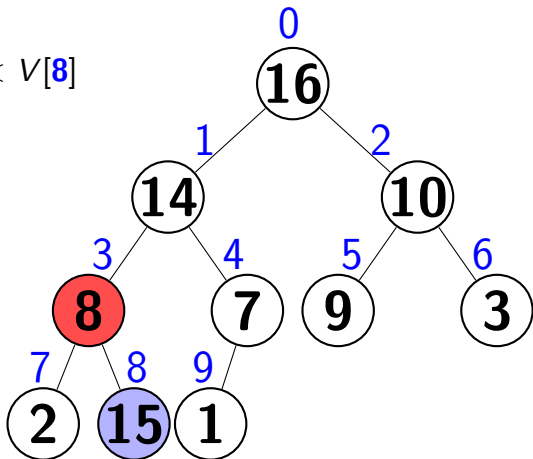
    return;

$V[8] = 15$ ;

while 8 > 0 and  $V[3] < V[8]$

$\triangleright V[8] \leftrightarrow V[3]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

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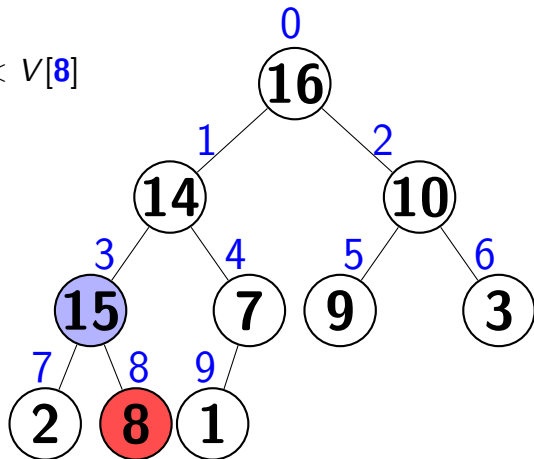
    return;

$V[8] = 15$ ;

while 8 > 0 and  $V[3] < V[8]$

$\triangleright V[8] \leftrightarrow V[3]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

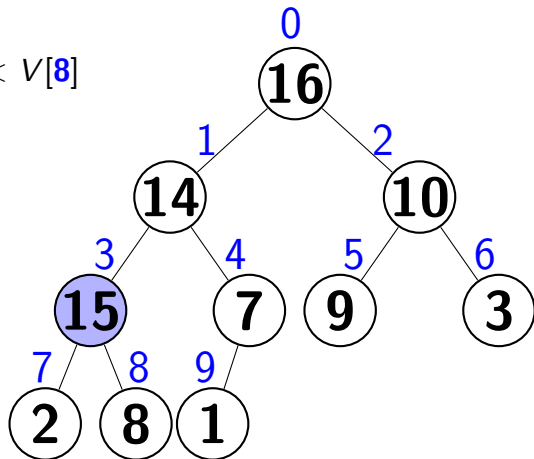
    return;

$V[8] = 15$ ;

while 8 > 0 and  $V[3] < V[8]$

$V[8] \leftrightarrow V[3]$ ;

$\triangleright i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY (V, 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

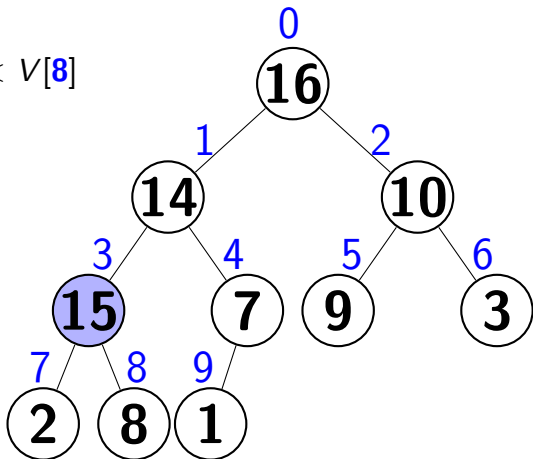
    return;

V[8] = 15;

while 8 > 0 and V[3] < V[8]

    V[8]  $\leftrightarrow$  V[3];

$\triangleright$  3 = PAI(8);



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

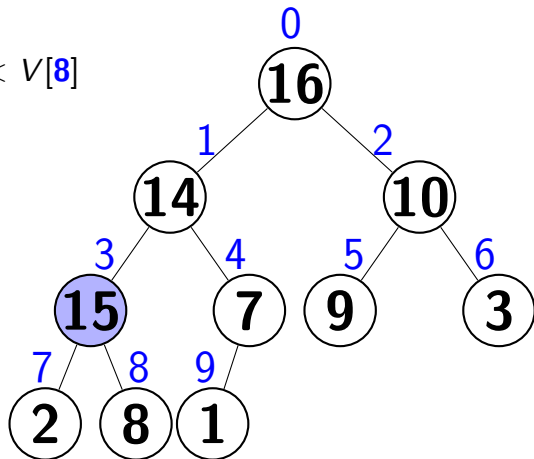
    return;

$V[8] = 15$ ;

while 8 > 0 and  $V[3] < V[8]$

$V[8] \leftrightarrow V[3]$ ;

$\triangleright i = 3$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

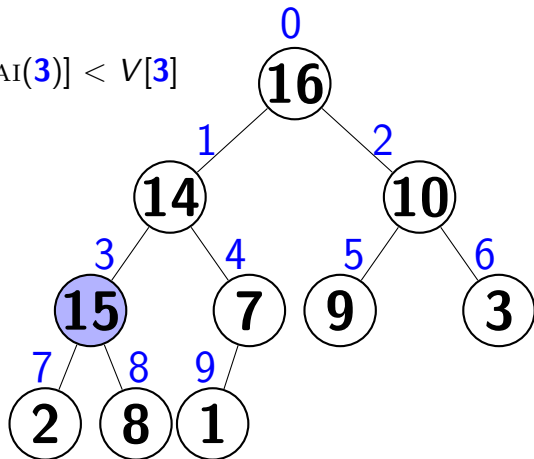
    return;

$V[8] = 15$ ;

▷ while 3 > 0 and  $V[\text{PAI}(3)] < V[3]$

$V[i] \leftrightarrow V[\text{PAI}(3)]$ ;

$i = \text{PAI}(i)$ ;





# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

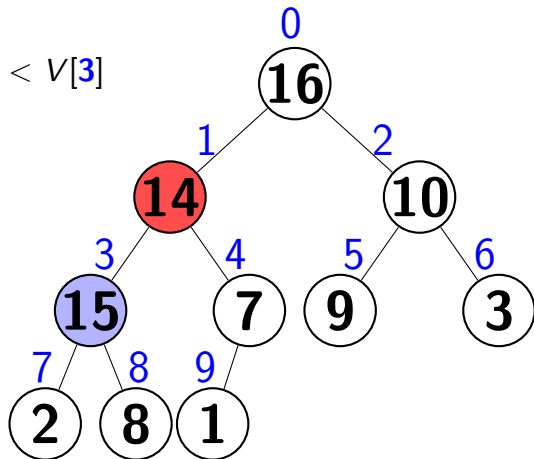
    return;

$V[8] = 15$ ;

▷ while 3 > 0 and  $V[1] < V[3]$

$V[i] \leftrightarrow V[\text{PAI}(3)]$ ;

$i = \text{PAI}(i)$ ;



## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

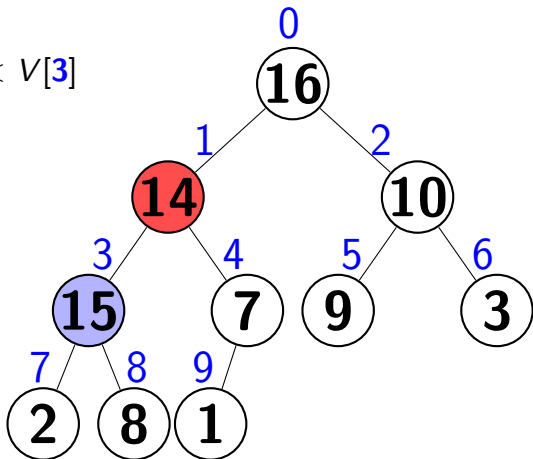
    return;

$V[8] = 15$ ;

while 3 > 0 and  $V[1] < V[3]$

$\triangleright V[i] \leftrightarrow V[\text{PAI}(3)]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

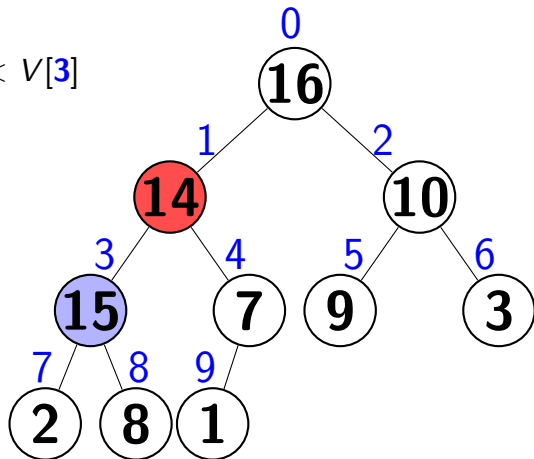
    return;

$V[8] = 15$ ;

while 3 > 0 and  $V[1] < V[3]$

$\triangleright V[3] \leftrightarrow V[1]$ ;

$i = \text{PAI}(i)$ ;



## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

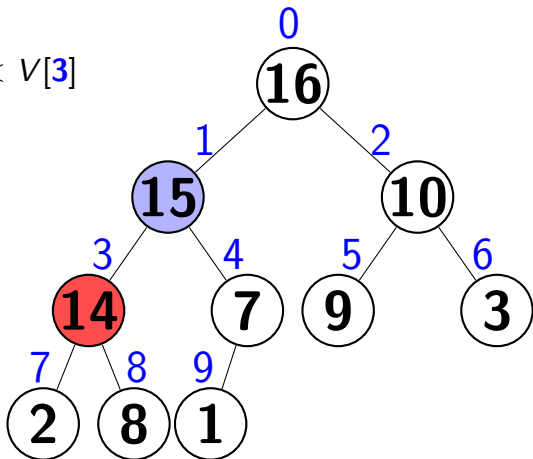
    return;

$V[8] = 15$ ;

while 3 > 0 and  $V[1] < V[3]$

$\triangleright V[3] \leftrightarrow V[1]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY (V, 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

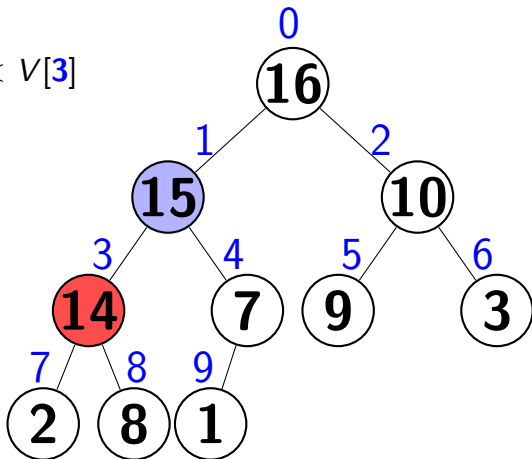
    return;

V[8] = 15;

while 3 > 0 and V[1] < V[3]

    V[3]  $\leftrightarrow$  V[1];

$\triangleright$  i = PAI(3);



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

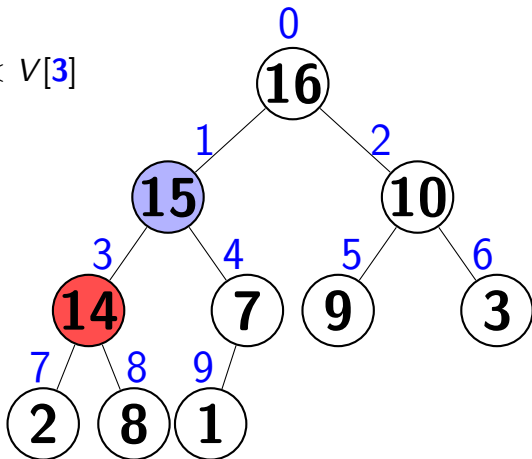
    return;

$V[8] = 15$ ;

while 3 > 0 and  $V[1] < V[3]$

$V[3] \leftrightarrow V[1]$ ;

$\triangleright i = 1$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

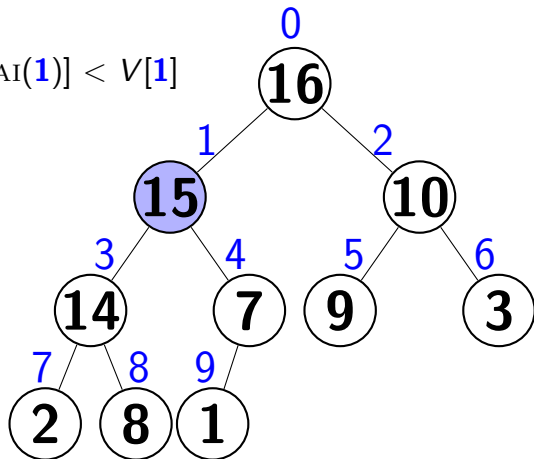
    return;

$V[8] = 15$ ;

▷ while 1 > 0 and  $V[\text{PAI}(1)] < V[1]$

$V[i] \leftrightarrow V[\text{PAI}(1)]$ ;

$i = \text{PAI}(i)$ ;



# Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

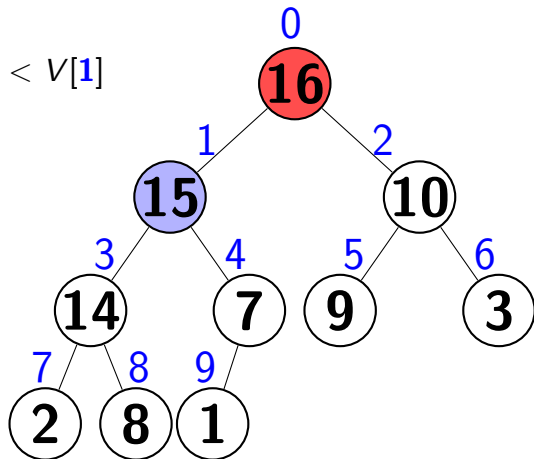
    return;

$V[8] = 15$ ;

▷ while 1 > 0 and  $V[0] < V[1]$

$V[i] \leftrightarrow V[\text{PAI}(1)]$ ;

$i = \text{PAI}(i)$ ;





## Heap-Increase-Key

HEAP-INCREASE-KEY ( $V$ , 8, 15)

if 15 < 4 then

    printf ("erro: chave menor que atual");

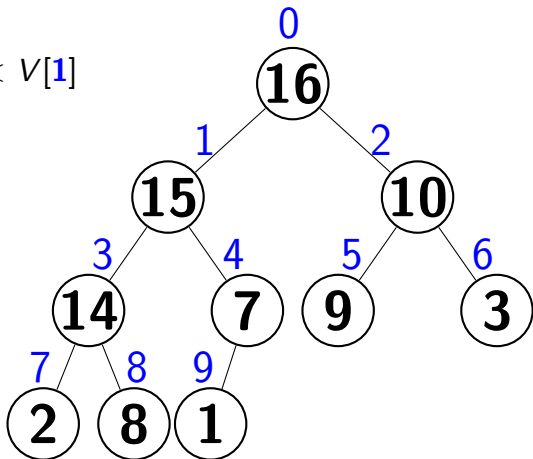
    return;

$V[8] = 15$ ;

while 1 > 0 and  $V[0] < V[1]$

$V[i] \leftrightarrow V[\text{PAI}(1)]$ ;

$i = \text{PAI}(i)$ ;



**Complexidade:** o procedimento Heap-Increase-Key possui complexidade  $\mathcal{O}(\log n)$  para um heap com  $n$  elementos, pois o caminho traçado do nó atualizado até a raiz tem comprimento máximo de  $\mathcal{O}(\log n)$ .

# Sumário

- 1 Heap
- 2 Filas de Prioridades
- 3 Operação Heap-Maximum
- 4 Operação Heap-Extract-Max
- 5 Operação Heap-Increase-Key
- 6 Operação Max-Heap-Insert**

## Max-Heap-Insert

A operação Max-Heap-Insert ( $V, x$ ) insere o elemento  $x$  no conjunto  $V$ . Essa operação poderia ser escrita como  $V \leftarrow V \cup \{x\}$ . Essa operação recebe como entrada a **chave** a ser inserida e **expande o heap com a nova chave**. Note que nessa operação é necessário encontrar a posição apropriada para o elemento recém adicionado.

# Max-Heap-Insert

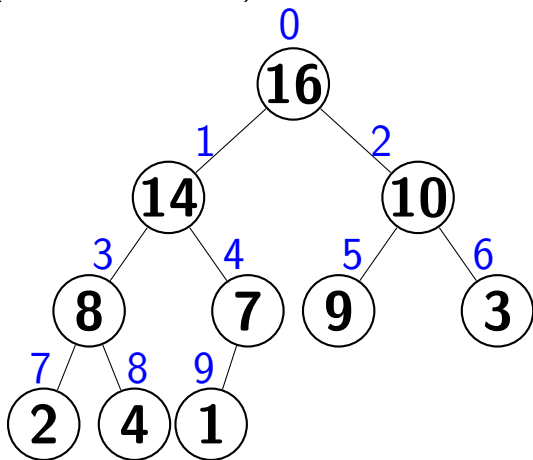
MAX-HEAP-INSERT ( $V$ , Size, **chave**)

---

Size = Size+1;

$V[\text{Size}-1] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

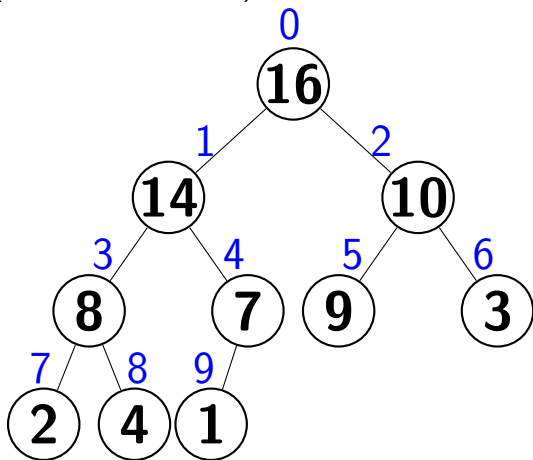
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = Size+1;

$V[\text{Size}-1] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

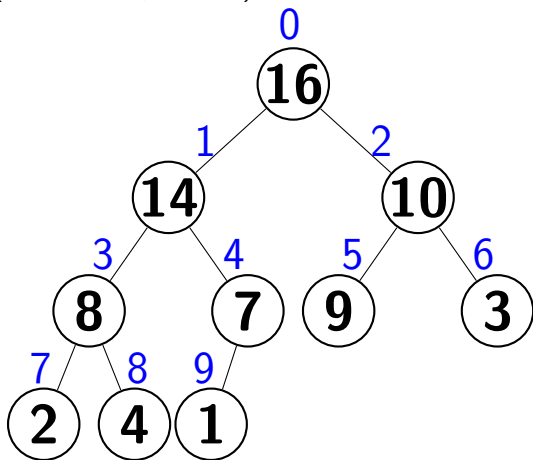
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

▷ Size = Size+1;

$V[\text{Size}-1] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

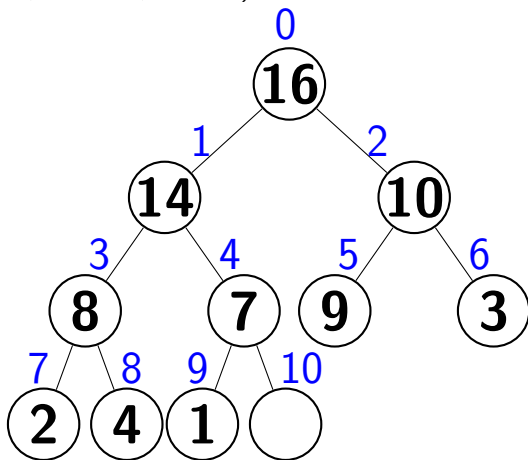
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

▷ Size = 10+1;

$V[\text{Size} - 1] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);





# Max-Heap-Insert

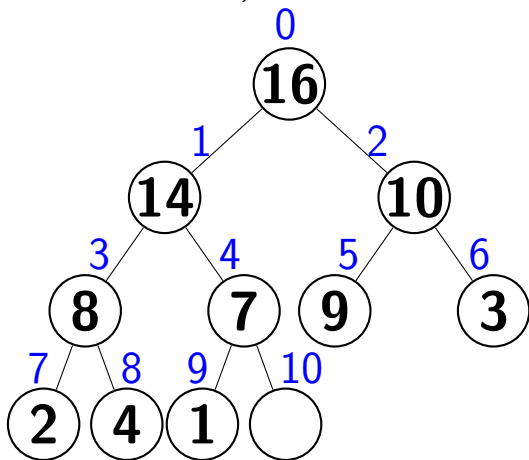
MAX-HEAP-INSERT ( $V$ , Size, 20)

---

Size = 11;

$\triangleright V[\text{Size} - 1] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

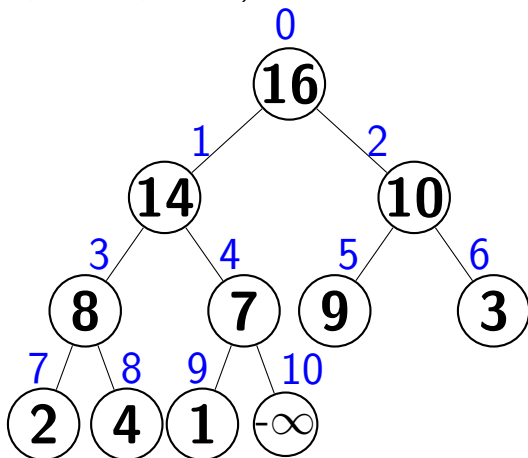
MAX-HEAP-INSERT ( $V$ , Size, 20)

---

Size = 11;

$\triangleright V[10] = -\infty$ ;

HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

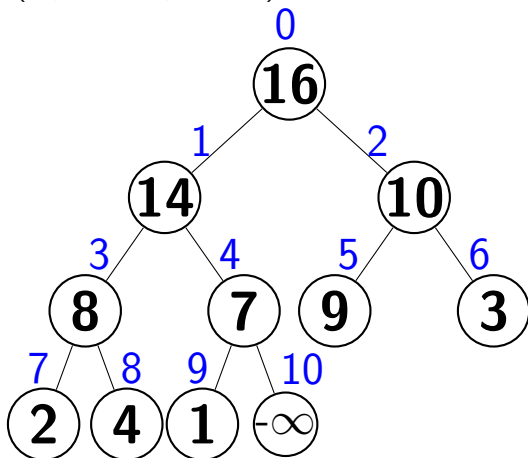
MAX-HEAP-INSERT ( $V$ , Size, 20)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , Size-1, **chave**);



# Max-Heap-Insert

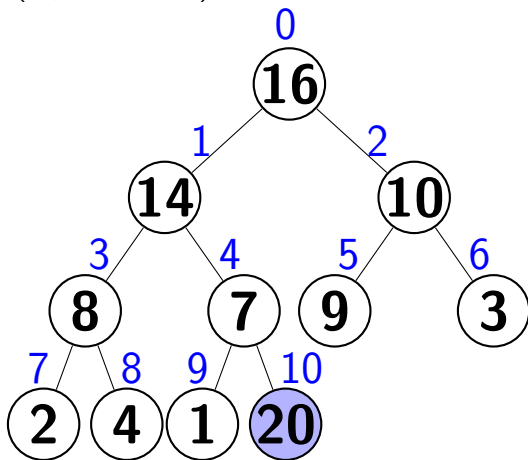
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , 10, **chave**);



# Max-Heap-Insert

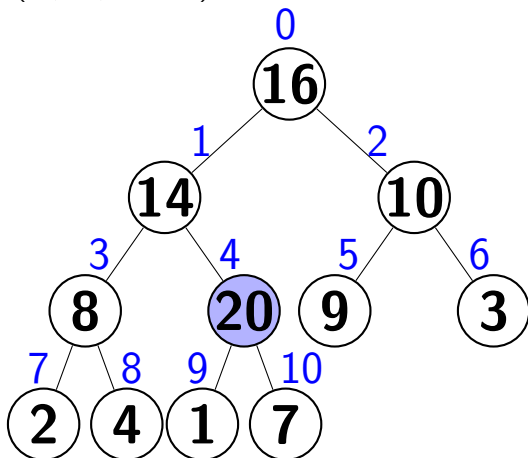
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , 10, **chave**);



# Max-Heap-Insert

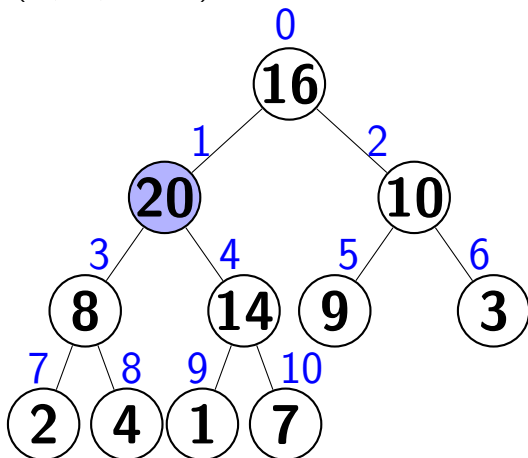
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , 10, **chave**);



# Max-Heap-Insert

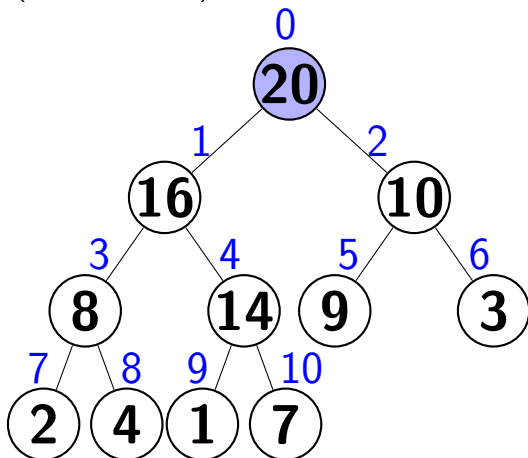
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , 10, **chave**);



# Max-Heap-Insert

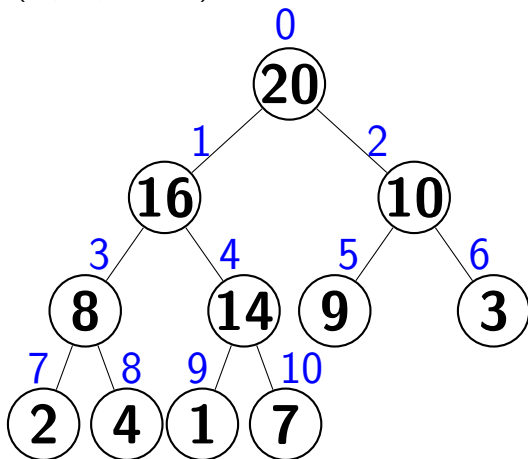
MAX-HEAP-INSERT ( $V$ , Size, **20**)

---

Size = 11;

$V[10] = -\infty$ ;

▷ HEAP-INCREASE-KEY ( $V$ , 10, **chave**);





**Complexidade:** o procedimento Max-Heap-Insert possui complexidade  $\mathcal{O}(\log n)$  para um heap com  $n$  elementos, pois a complexidade do procedimento Heap-Increase-Key é de  $\mathcal{O}(\log n)$ . Em resumo, um heap pode admitir qualquer operação de fila de prioridades em um conjunto de tamanho  $n$  no tempo  $\mathcal{O}(\log n)$ .

## Referências

Algoritmos: Teoria e prática. Cormen et al.