



Croucher Foundation  
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# Flavour Physics from Heterotic Standard Models with Split Bundles

Lucas Leung

based on arXiv:2407.XXXXX

In collaboration with: Andrei Constantin, Kit Fraser-Taliente, Thomas Harvey and Andre Lukas

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# Motivation

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- explaining Yukawa couplings: VEVs of moduli fields
- **Froggatt and Nielsen** [1979] proposed using horizontal symmetries  $U(1)_H$  to explain flavour structures

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$\mathcal{O}(1)$ -coefficients

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- computation of Yukawa couplings in heterotic line bundle standard models can be achieved - but it is **HARD** + done on a case-by-case basis [Constantin et al. 2402.01615]
- salient feature of these models: **flavour symmetries**  $\mathcal{J}$  [Anderson et al. 1202.1757]  
$$\mathcal{J}/\mathbb{Z}n \cong U(1)^n \quad \text{i.e. } q_{\mathcal{J}} \sim q_{\mathcal{J}} + n$$
- correct spectrum using **GA**  $\sim \mathcal{O}(10^5)$  models [Anderson et al. 1307.4787]
- **Goal: additional constraints from flavour symmetries from an EFT approach**

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- Heterotic Line Bundle Standard Models
- Simple Cases and Examples
- Genetic Algorithms
- Implementation and Algorithms
- Results
- Conclusion

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# 4d $\mathcal{N} = 1$ SUSY Standard Models

[Anderson et al. (2012)]

- These 4d  $\mathcal{N} = 1$  SUSY Standard Models are inspired by heterotic SMs with split bundles
- Gauge symmetry -  $G_{\text{SM}} \times \mathcal{J}$ ,  $\frac{\mathcal{J}}{\mathbb{Z}\mathbf{n}} \cong U(1)^{f-1}$
- For field  $F$ :  $Q_{\mathcal{J}}(F) \sim Q_{\mathcal{J}}(F) + \mathbf{n}$
- Charge pattern of matter and moduli fields in  $\mathcal{J}$ :

Symbol	SM rep	SU(5) rep	Charge Pattern in $\mathcal{J}$	Notation	Description
$Q_I$	$(\mathbf{3}, \mathbf{2})_1$	$\mathbf{10}^I$	$q = e_a$	$\mathbf{10}_a$	LH quarks
$u_I$	$(\bar{\mathbf{3}}, \mathbf{1})_{-4}$	$\mathbf{10}^I$	$q = e_a$	$\mathbf{10}_a$	RH $u$ quarks
$e_I$	$(\mathbf{3}, \mathbf{2})_1$	$\mathbf{10}^I$	$q = e_a$	$\mathbf{10}_a$	RH electrons
$d_I$	$(\bar{\mathbf{3}}, \mathbf{1})_2$	$\bar{\mathbf{5}}^I$	$q = e_a + e_b$	$\bar{\mathbf{5}}_{a,b}$	RH $d$ quarks
$L_I$	$(\mathbf{1}, \mathbf{2})_{-3}$	$\bar{\mathbf{5}}^I$	$q = e_a + e_b$	$\bar{\mathbf{5}}_{a,b}$	LH leptons
$H_d$	$(\mathbf{1}, \mathbf{2})_{-3}$	$\bar{\mathbf{5}}_H$	$q = e_a + e_b$	$\bar{\mathbf{5}}_{a,b}^H$	Down-Higgs
$H_u$	$(\mathbf{1}, \mathbf{2})_3$	$\bar{\mathbf{5}}_H$	$q = -e_a - e_b$	$\mathbf{5}_{a,b}^H$	Up-Higgs
$\nu_I$	$(\mathbf{1}, \mathbf{1})_1$	$\mathbf{1}^I$	$q = e_a - e_b$	$\mathbf{1}_{a,b}$	RH neutrinos
$\phi_i$	$(\mathbf{1}, \mathbf{1})_1$	$\mathbf{1}$	$q = e_a - e_b$	$\phi_{a,b}$	Bundle moduli

$\mathbf{n} = (n_1, n_2, \dots, n_f)$   $|\mathbf{n}| = 5$

Specifies Split Bundle Structure Group

$H = S(U(n_1) \times \dots \times U(n_f))$

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$L_I$	$(1, 2)_{-3}$	$\bar{5}^I$	$q = e_a + e_b$	$\bar{5}_{a,b}$	LH leptons
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$H_u$	$(1, 2)_3$	$\bar{5}_H$	$q = -e_a - e_b$	$5_{a,b}^H$	Up-Higgs
$\nu_I$	$(1, 1)_1$	$1^I$	$q = e_a - e_b$	$1_{a,b}$	RH neutrinos
$\phi_i$	$(1, 1)_1$	$1$	$q = e_a - e_b$	$\phi_{a,b}$	Bundle moduli

$\mathbf{n} = (n_1, n_2, \dots, n_f) \quad |\mathbf{n}| = 5$

Specifies Split Bundle Structure Group

$H = S(U(n_1) \times \dots \times U(n_f))$

**Different to traditional FN:**

- **discrete quotients**
  - **small SM charges**
  - **non-perturbative contributions**

# Flavour Physics of Heterotic Standard Models with Split Bundles

## Phenomenological Considerations

- Mass and Mixing Hierarchies
- Match Electroweak-breaking Scale  $\langle H \rangle$
- Avoid Fine-Tuning with  $\mathcal{O}(1)$ -coefficients



## Yukawa Textures



## Optimise $\mathcal{O}(1)$ -coefficients and VEVs

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**Optimise  $\mathcal{O}(1)$ -coefficients and VEVs**

**Only 7 sectors to be searched**

$n$	Charges			
(1, 1, 1, 1, 1)	$10_1$	$10_2$	$10_5$	$\bar{5}_{4,5}^H$
	$10_1$	$10_2$	$10_4$	$\bar{5}_{4,4}^H$
(1, 1, 1, 2)	$10_2$	$10_3$	$10_4$	$\bar{5}_{1,4}^H$
	$10_1$	$10_2$	$10_3$	$\bar{5}_{1,4}^H$
	$10_1$	$10_3$	$10_4$	$\bar{5}_{1,2}^H$
(1, 1, 3)	$10_1$	$10_2$	$10_3$	$\bar{5}_{3,3}^H$
(1, 2, 2)	$10_1$	$10_2$	$10_3$	$\bar{5}_{3,3}^H$
(1, 4)	-			
(2, 3)	-			
(5)	unsplit			

# Flavour Physics of Heterotic Standard Models with Split Bundles

**Example in  $n = (1,1,1,2)$  with  $\bar{5}_{4,4}^H$**

$$Y^u \sim \begin{pmatrix} & \mathbf{10}_2 & \mathbf{10}_3 & \mathbf{10}_4 \\ \mathbf{10}_2 & \phi_{1,4}\phi_{4,2}^2\phi_{3,2}^2 & \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}\phi_{3,2} \\ \mathbf{10}_3 & \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}^2 & \phi_{1,4}\phi_{4,3} \\ \mathbf{10}_4 & \phi_{1,4}\phi_{4,3}\phi_{3,2} & \phi_{1,4}\phi_{4,3} & \phi_{1,4} \end{pmatrix}$$
  

$$Y^d \sim \begin{pmatrix} & \bar{\mathbf{5}}_{1,2} & \bar{\mathbf{5}}_{1,4} & \bar{\mathbf{5}}_{2,3} \\ \mathbf{10}_2 & \phi_{3,2}^2\phi_{2,1}\phi_{4,3} & \phi_{3,2}\phi_{2,1} & \phi_{3,2}\phi_{2,1}\phi_{1,4} \\ \mathbf{10}_3 & \phi_{4,3}\phi_{3,2}\phi_{2,1} & \phi_{2,1} & \phi_{2,1}\phi_{1,4} \\ \mathbf{10}_4 & \phi_{3,2}\phi_{2,1} & \phi_{2,1}^2\phi_{1,4}\phi_{3,2} & \phi_{2,1}^2\phi_{1,4}^2\phi_{3,2} \end{pmatrix}$$

$$\langle \phi_{4,2} \rangle \sim \epsilon^2, \langle \phi_{2,1} \rangle \sim \epsilon, \langle \phi_{1,3} \rangle \sim \epsilon^2, \langle \phi_{3,4} \rangle \sim \epsilon^2$$

$$Y_u = \begin{pmatrix} \epsilon^6 & \epsilon^5 & \epsilon^3 \\ \epsilon^5 & \epsilon^4 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix}, Y_d = \begin{pmatrix} \epsilon^7 & \epsilon^7 & \epsilon^5 \\ \epsilon^6 & \epsilon^6 & \epsilon^4 \\ 0 & 0 & \epsilon^2 \end{pmatrix}$$

**Yukawa Textures** ————— **with choice of VEV powers**

# Flavour Physics of Heterotic Standard Models with Split Bundles

**Example in  $n = (1,1,1,2)$  with  $\bar{5}_{4,4}^H$**

$$Y^u \sim \begin{pmatrix} & \mathbf{10}_2 & \mathbf{10}_3 & \mathbf{10}_4 \\ \mathbf{10}_2 & \left( \begin{array}{ccc} \phi_{1,4}\phi_{4,2}^2\phi_{3,2}^2 & \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}\phi_{3,2} \\ \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}^2 & \phi_{1,4}\phi_{4,3} \\ \phi_{1,4}\phi_{4,3}\phi_{3,2} & \phi_{1,4}\phi_{4,3} & \phi_{1,4} \end{array} \right) \\ \mathbf{10}_3 & & & \\ \mathbf{10}_4 & & & \end{pmatrix}$$
  

$$Y^d \sim \begin{pmatrix} & \bar{\mathbf{5}}_{1,2} & \bar{\mathbf{5}}_{1,4} & \bar{\mathbf{5}}_{2,3} \\ \mathbf{10}_2 & \left( \begin{array}{ccc} \phi_{3,2}^2\phi_{2,1}\phi_{4,3} & \phi_{3,2}\phi_{2,1} & \phi_{3,2}\phi_{2,1}\phi_{1,4} \\ \phi_{4,3}\phi_{3,2}\phi_{2,1} & \phi_{2,1} & \phi_{2,1}\phi_{1,4} \\ \phi_{3,2}\phi_{2,1} & \phi_{2,1}^2\phi_{1,4}\phi_{3,2} & \phi_{2,1}^2\phi_{1,4}^2\phi_{3,2} \end{array} \right) \\ \mathbf{10}_3 & & & \\ \mathbf{10}_4 & & & \end{pmatrix}$$

**Yukawa Textures**



**with choice of VEV powers**

**typical  $\epsilon \sim 0.4$**

$$\langle \phi_{4,2} \rangle \sim \epsilon^2, \langle \phi_{2,1} \rangle \sim \epsilon, \langle \phi_{1,3} \rangle \sim \epsilon^2, \langle \phi_{3,4} \rangle \sim \epsilon^2$$

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**Example in  $n = (1,1,1,2)$  with  $\bar{5}_{4,4}^H$**

$$Y^u \sim \begin{pmatrix} & 10_2 & 10_3 & 10_4 \\ 10_2 & \phi_{1,4}\phi_{4,2}^2\phi_{3,2}^2 & \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}\phi_{3,2} \\ 10_3 & \phi_{1,4}\phi_{4,3}^2\phi_{3,2} & \phi_{1,4}\phi_{4,3}^2 & \phi_{1,4}\phi_{4,3} \\ 10_4 & \phi_{1,4}\phi_{4,3}\phi_{3,2} & \phi_{1,4}\phi_{4,3} & \phi_{1,4} \end{pmatrix}$$
  

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**Yukawa Textures**  
 $\sim O(10^9)$  choices



**typical  $\epsilon \sim 0.4$**

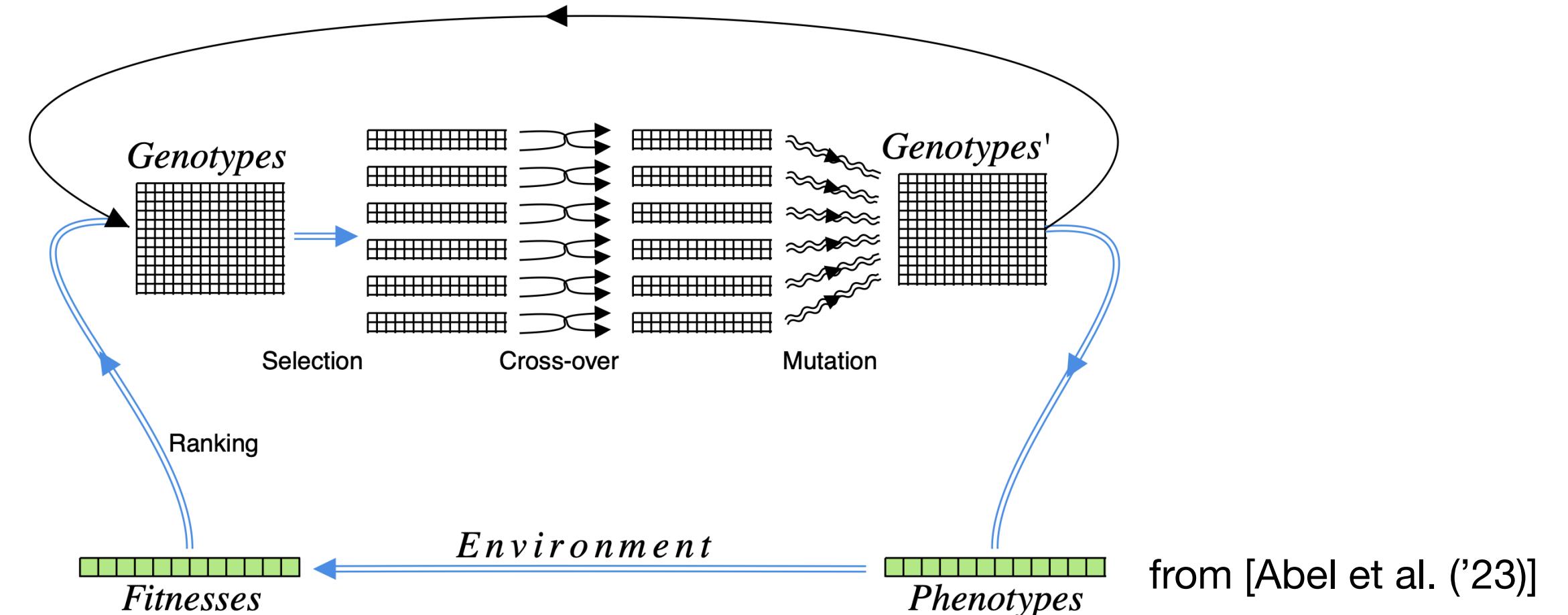
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**with choice of VEV powers**

# Genetic Algorithms

- A family of optimisation-search algorithms.
- Two parts: **Environment** + **Evolution**



from [Abel et al. ('23)]

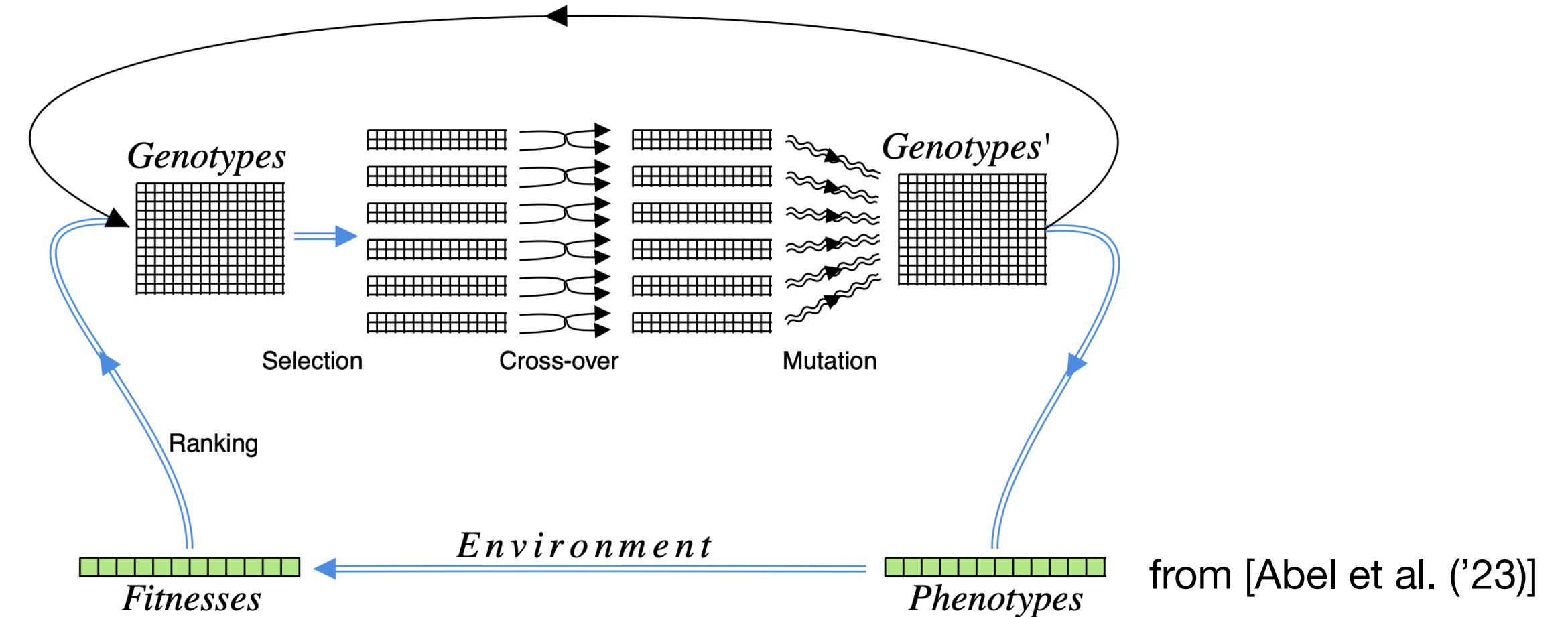
**Bitlist**

**ENVIRONMENT**

**Fitness**

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Charge Patterns +  
VEVs

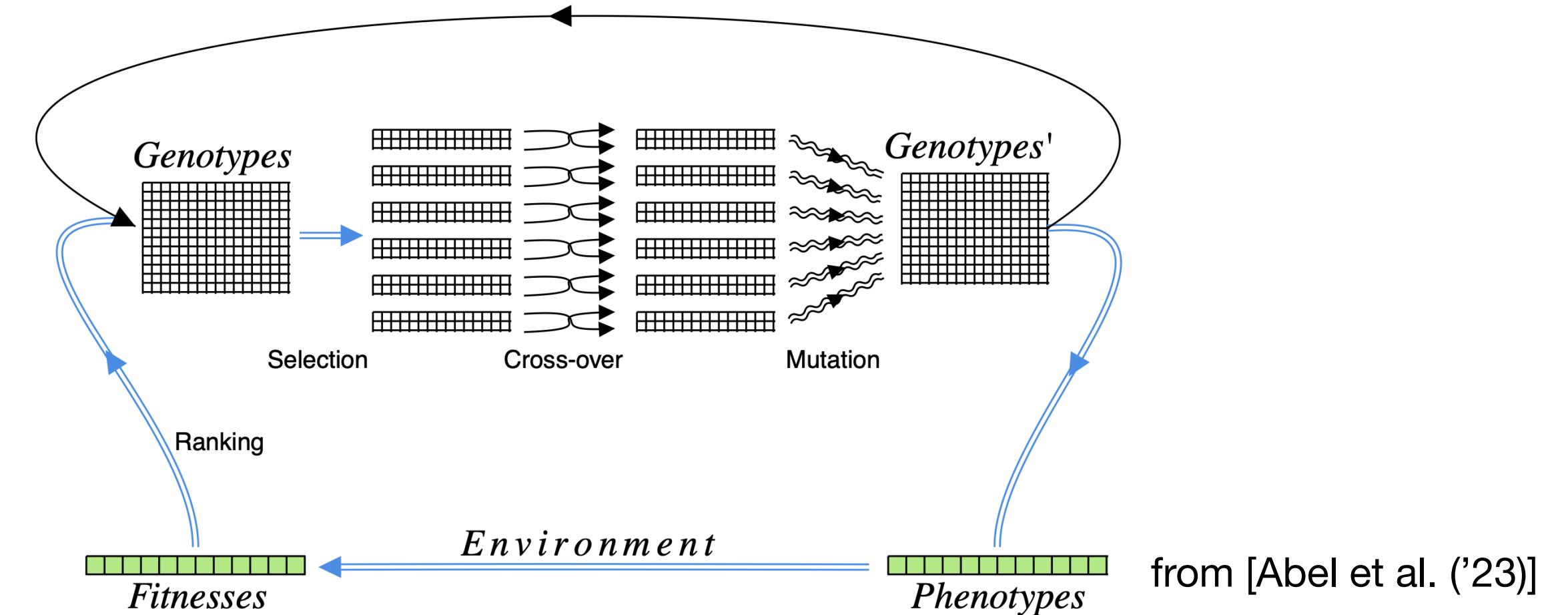
$10_a, 10_b, 10_c, \bar{5}_{a,b}, \bar{5}_{c,d}, \bar{5}_{e,f}, \bar{5}_{a,b}^H, 1_{a,b} \dots$

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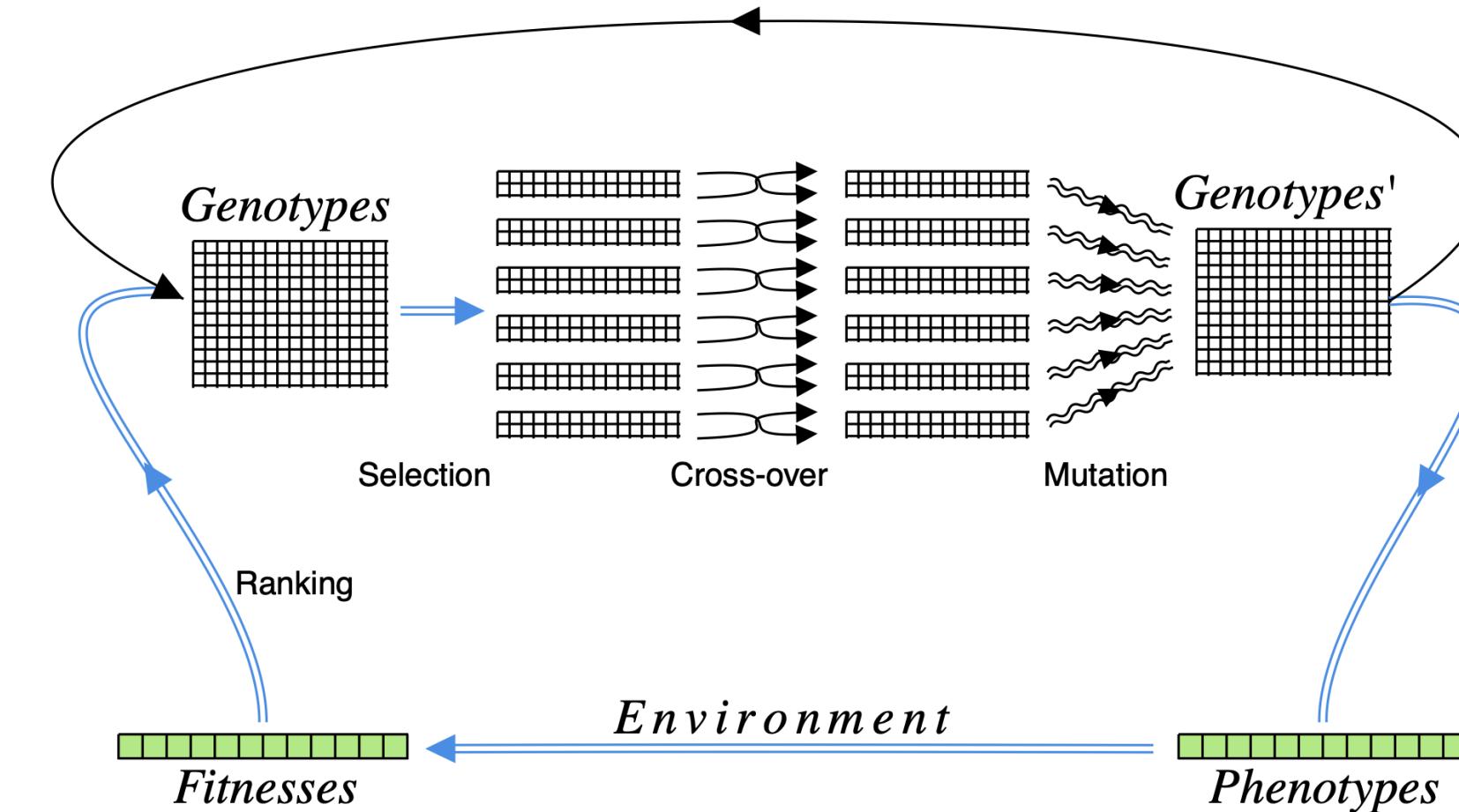
**Superpotential Operators**

$$\mathcal{O}_{Y_u} \sim Y_u^{(IJ)} 10_{(I)} 5^H 10_{(J)}$$

$$\mathcal{O}_{Y_d} \sim Y_d^{(IJ)} 10_{(I)} \bar{5}^H \bar{5}_{(J)}$$

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**Physical Observables**

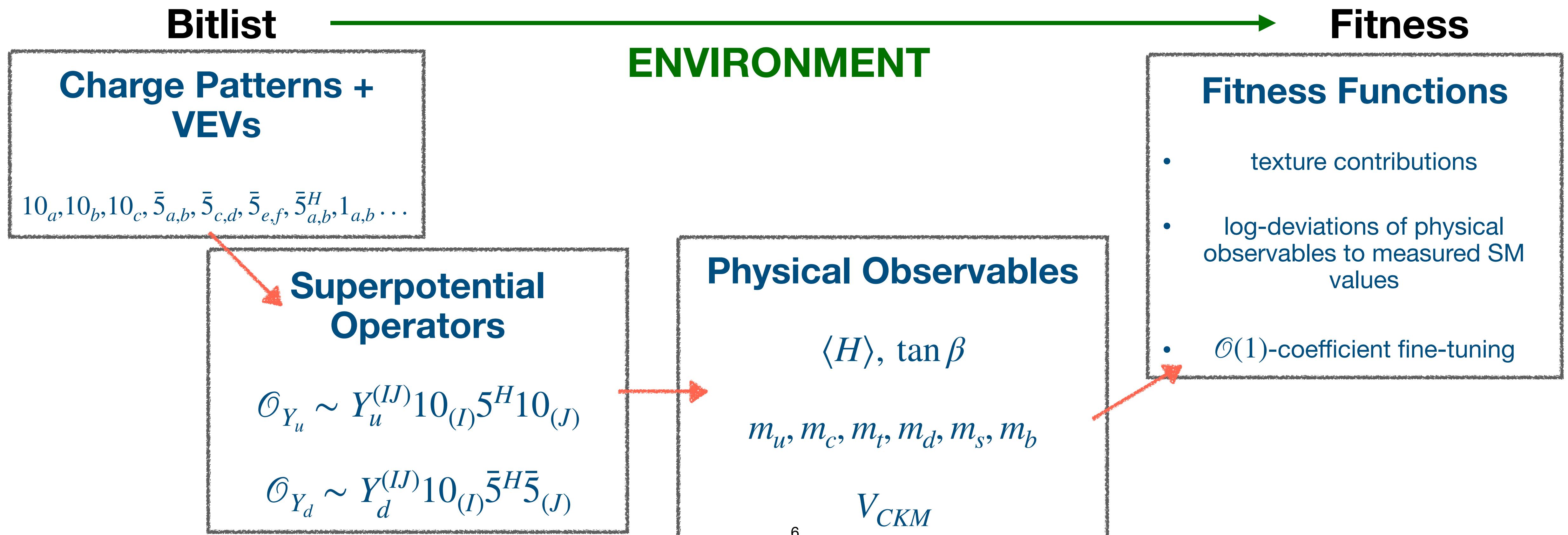
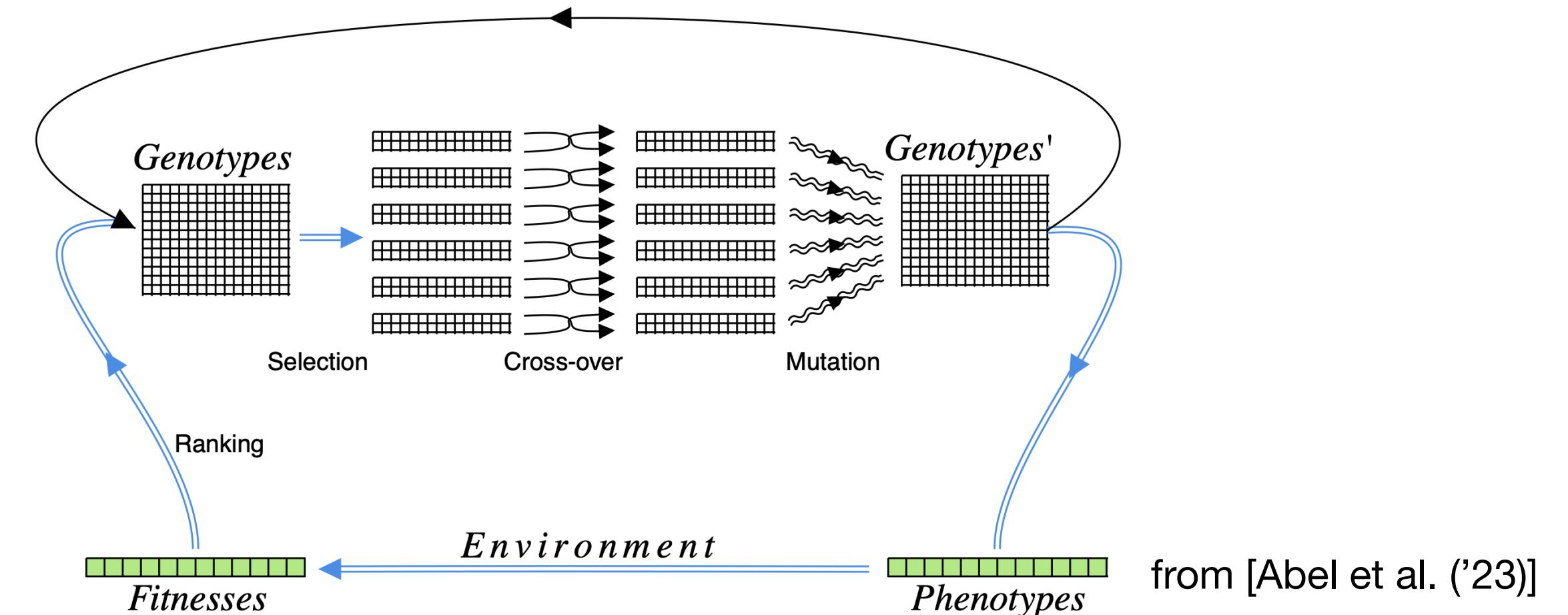
$$\langle H \rangle, \tan \beta$$

$$m_u, m_c, m_t, m_d, m_s, m_b$$

$$V_{CKM}$$

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# Results - Scans

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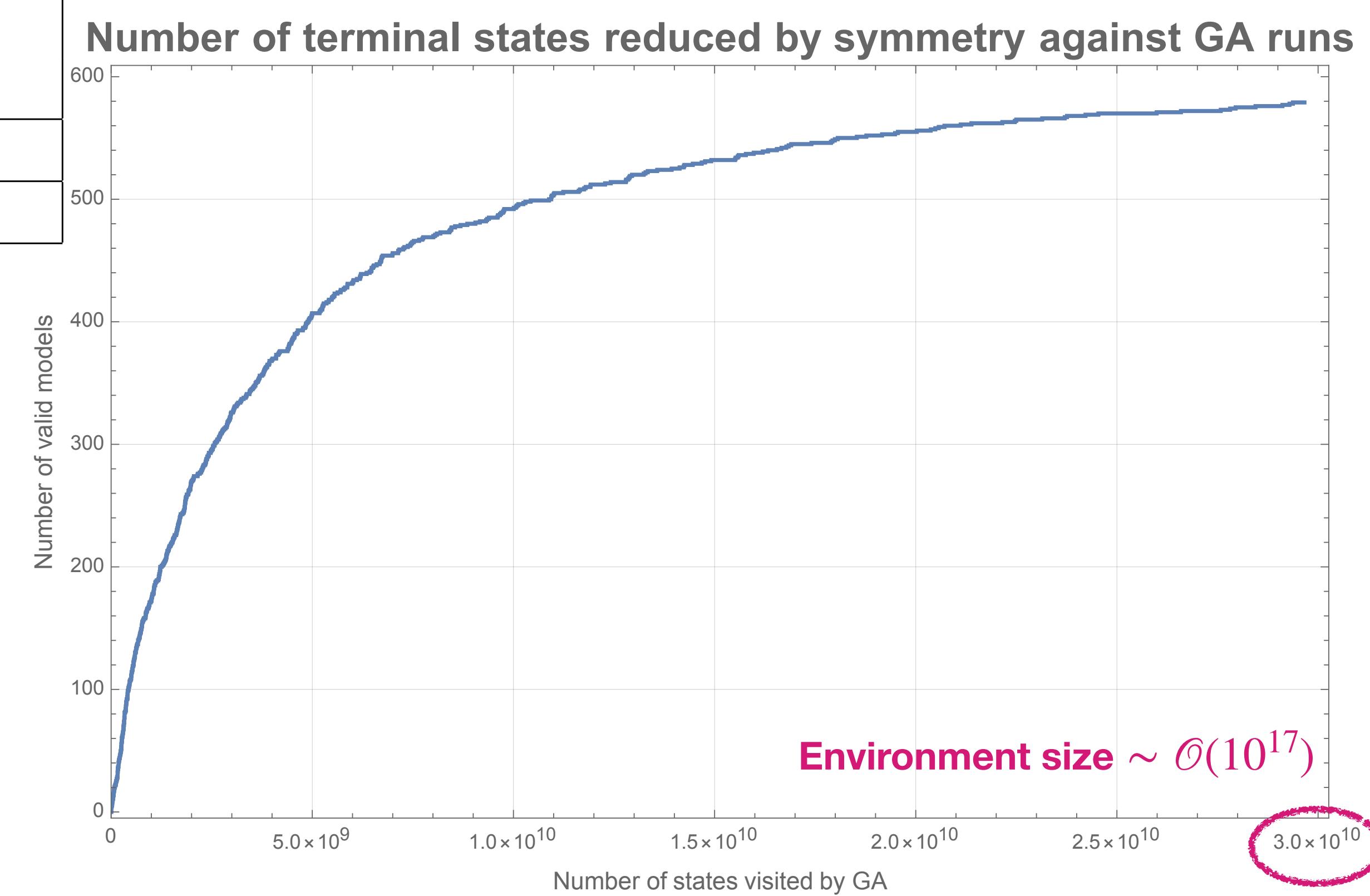
## Perturbative (Bundle Moduli) Scan

$n$	Charges of $\mathbf{10}$ and $\bar{\mathbf{5}}^H$				$n_\phi \leq 3$	$n_\phi = 4$	$n_\phi = 5$
(1, 1, 1, 1, 1)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_5$	$\bar{\mathbf{5}}_{4,5}^H$	—	$\geq 10$	$\geq 550$
(1, 1, 1, 2)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{4,4}^H$	—	$\geq 10$	$\geq 1200$
	$\mathbf{10}_2$	$\mathbf{10}_3$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{1,4}^H$	—	$\geq 100$	$\geq 350$
	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_{1,4}^H$	—	—	—
	$\mathbf{10}_1$	$\mathbf{10}_3$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{1,2}^H$	—	—	—
(1, 1, 3)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_{3,3}^H$	—	—	—
(1, 2, 2)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_{3,3}^H$	—	—	—

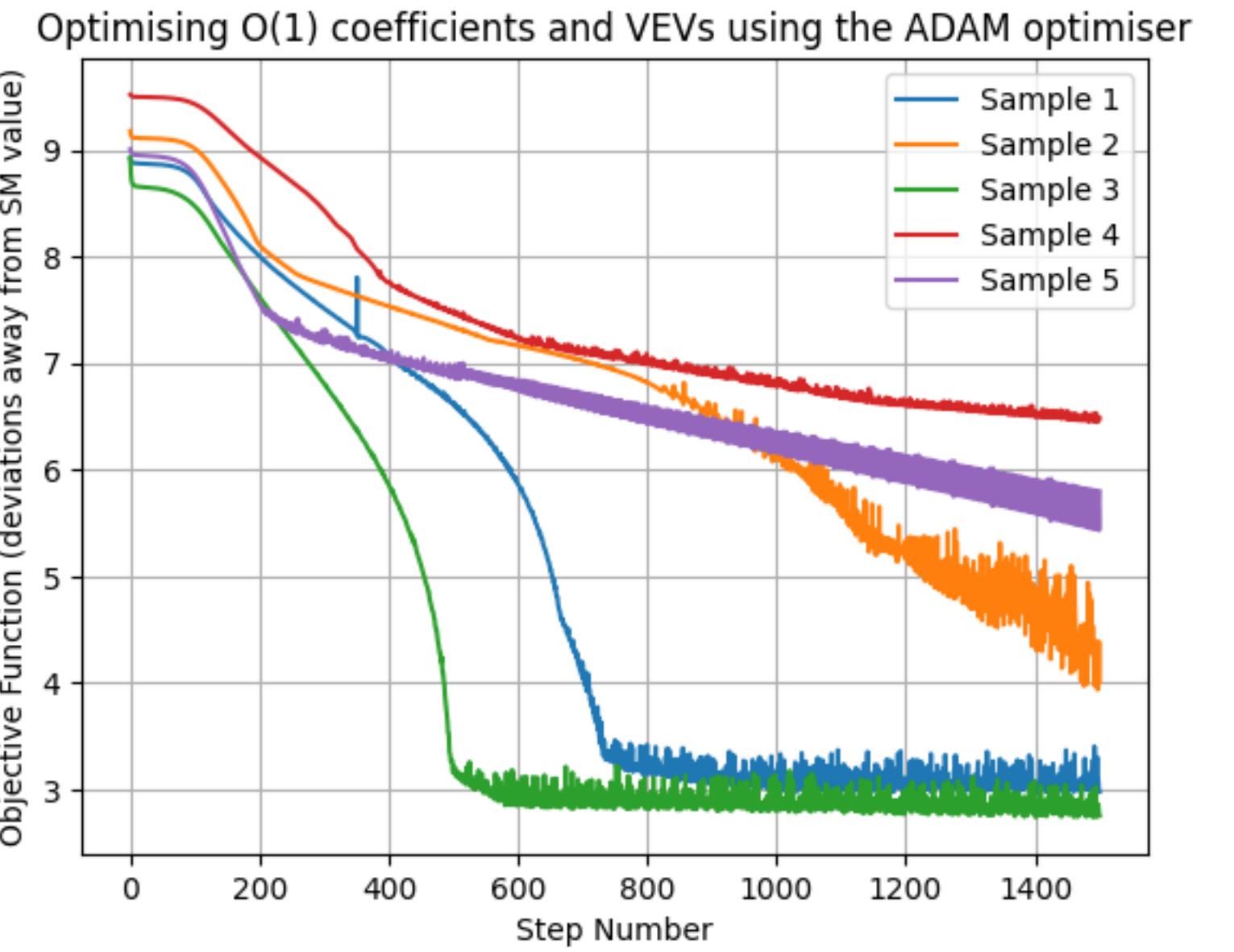
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(1, 1, 1, 2)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{4,4}^H$	—	$\geq 10$	$\geq 1200$
	$\mathbf{10}_2$	$\mathbf{10}_3$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{1,4}^H$	—	$\geq 100$	$\geq 350$
	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_{1,4}^H$	—	—	—
	$\mathbf{10}_1$	$\mathbf{10}_3$	$\mathbf{10}_4$	$\bar{\mathbf{5}}_{1,2}^H$	—	—	—
(1, 1, 3)	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_{3,3}^H$	—	—	—
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# Results - Model Example



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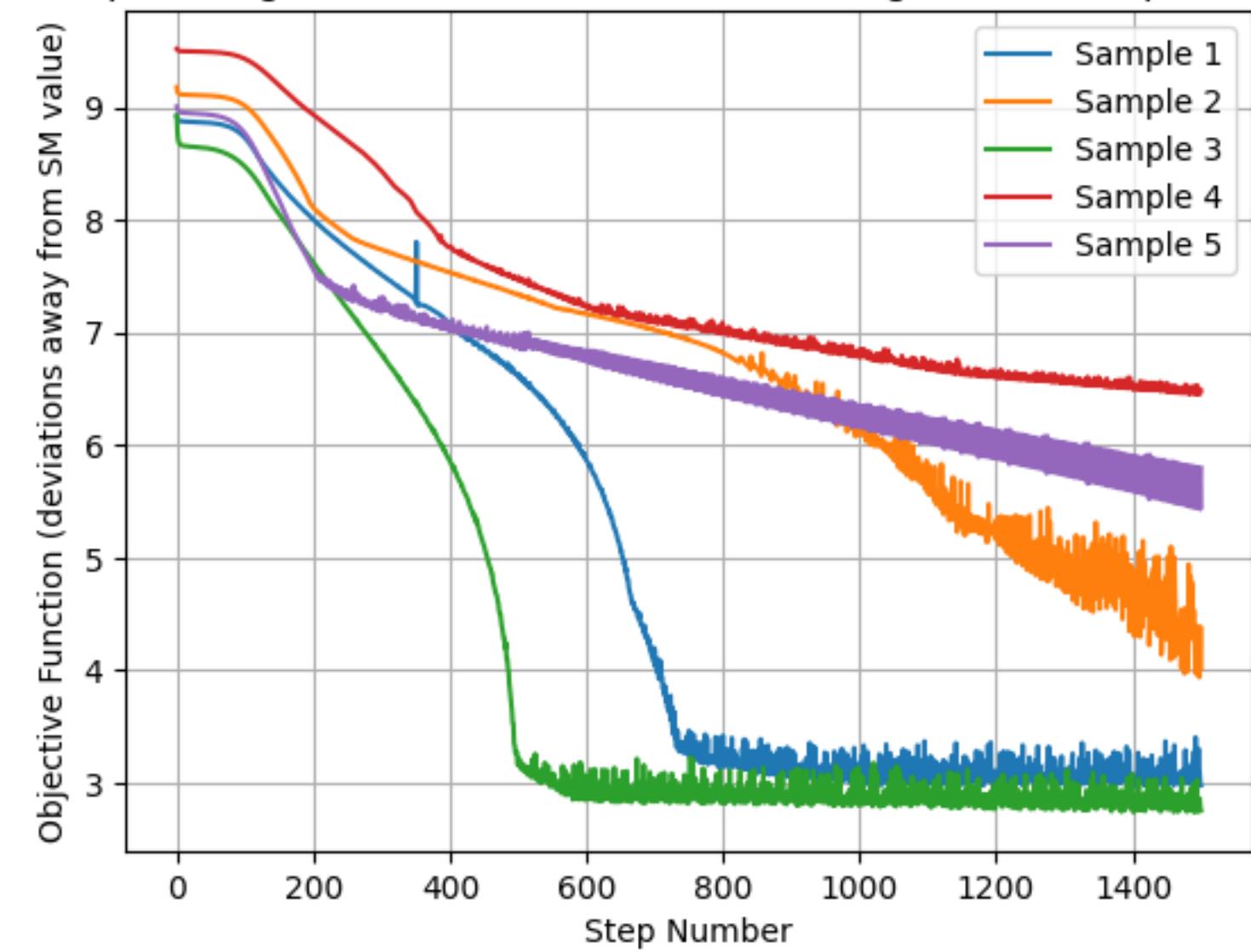
## Spectrum

$10_1$	$10_2$	$10_5$
$\bar{5}_{1,2}$	$\bar{5}_{1,2}$	$\bar{5}_{1,2}$
$\bar{5}^H_{4,5}$		
$\phi_{5,1}$	$\phi_{3,5}$	$\phi_{4,5}$
		$\phi_{1,2}$
		$\phi_{4,1}$

## VEVs

Moduli VEV	Value ( $/M_{pl}$ )
$\langle \phi_{5,1} \rangle$	0.05117908
$\langle \phi_{3,5} \rangle$	0.49406093
$\langle \phi_{4,5} \rangle$	0.36864188
$\langle \phi_{1,2} \rangle$	0.1319671
$\langle \phi_{4,1} \rangle$	0.10001969

Optimising O(1) coefficients and VEVs using the ADAM optimiser



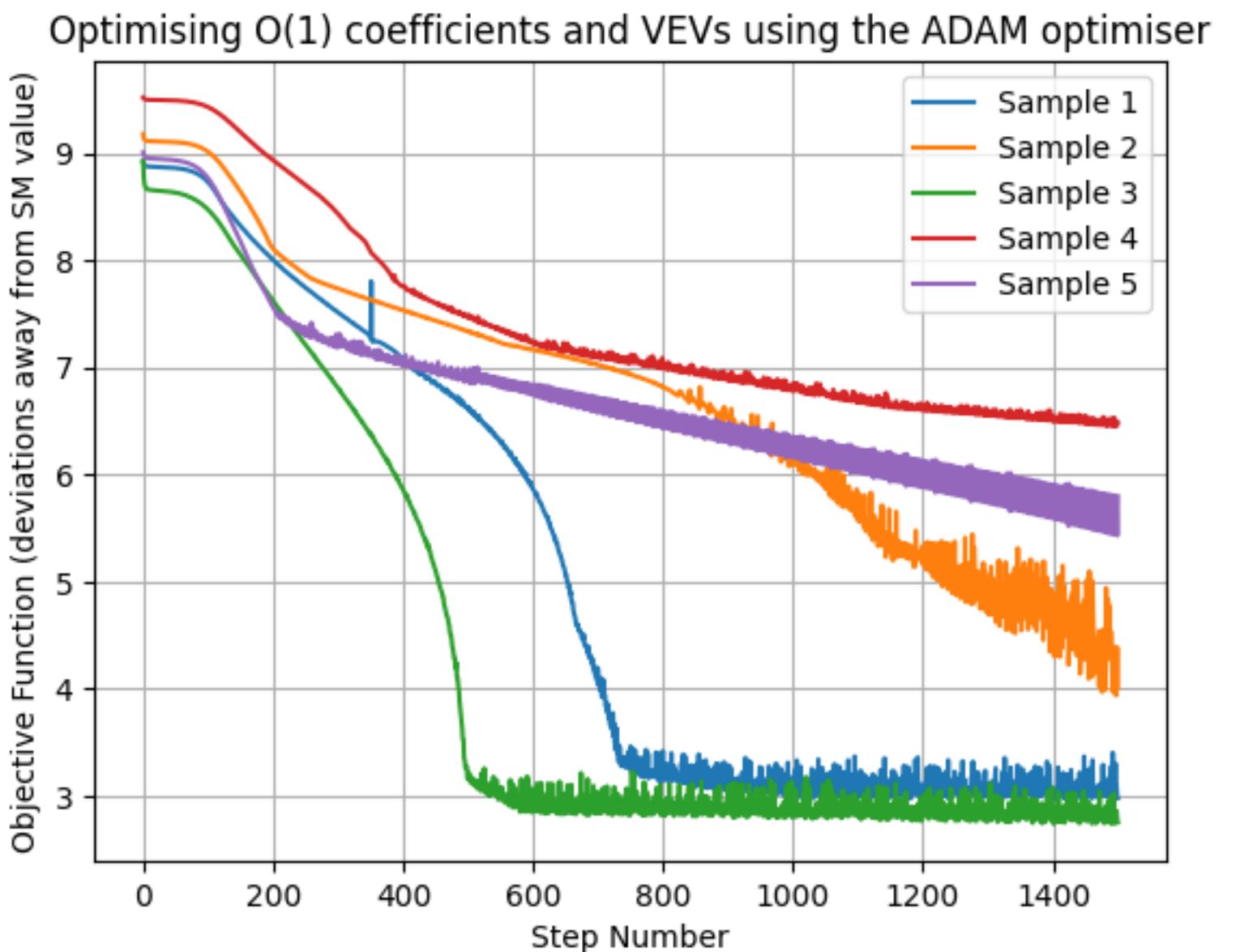
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## Yukawa Textures

$$Y_u \sim \begin{pmatrix} \phi_{5,1}\phi_{4,1} & \phi_{5,1}\phi_{1,2}\phi_{4,1} & \phi_{4,1} \\ \phi_{5,1}\phi_{1,2}\phi_{4,1} & \phi_{5,1}\phi_{1,2}^2\phi_{4,1} & \phi_{1,2}\phi_{4,1} \\ \phi_{4,1} & \phi_{1,2}\phi_{4,1} & \phi_{4,5} \end{pmatrix}$$

$$Y_d \sim \begin{pmatrix} \phi_{5,1}\phi_{3,5} & \phi_{5,1}\phi_{3,5} & \phi_{5,1}\phi_{3,5} \\ \phi_{5,1}\phi_{3,5}\phi_{1,2} & \phi_{5,1}\phi_{3,5}\phi_{1,2} & \phi_{5,1}\phi_{3,5}\phi_{1,2} \\ \phi_{3,5} & \phi_{3,5} & \phi_{3,5} \end{pmatrix}$$

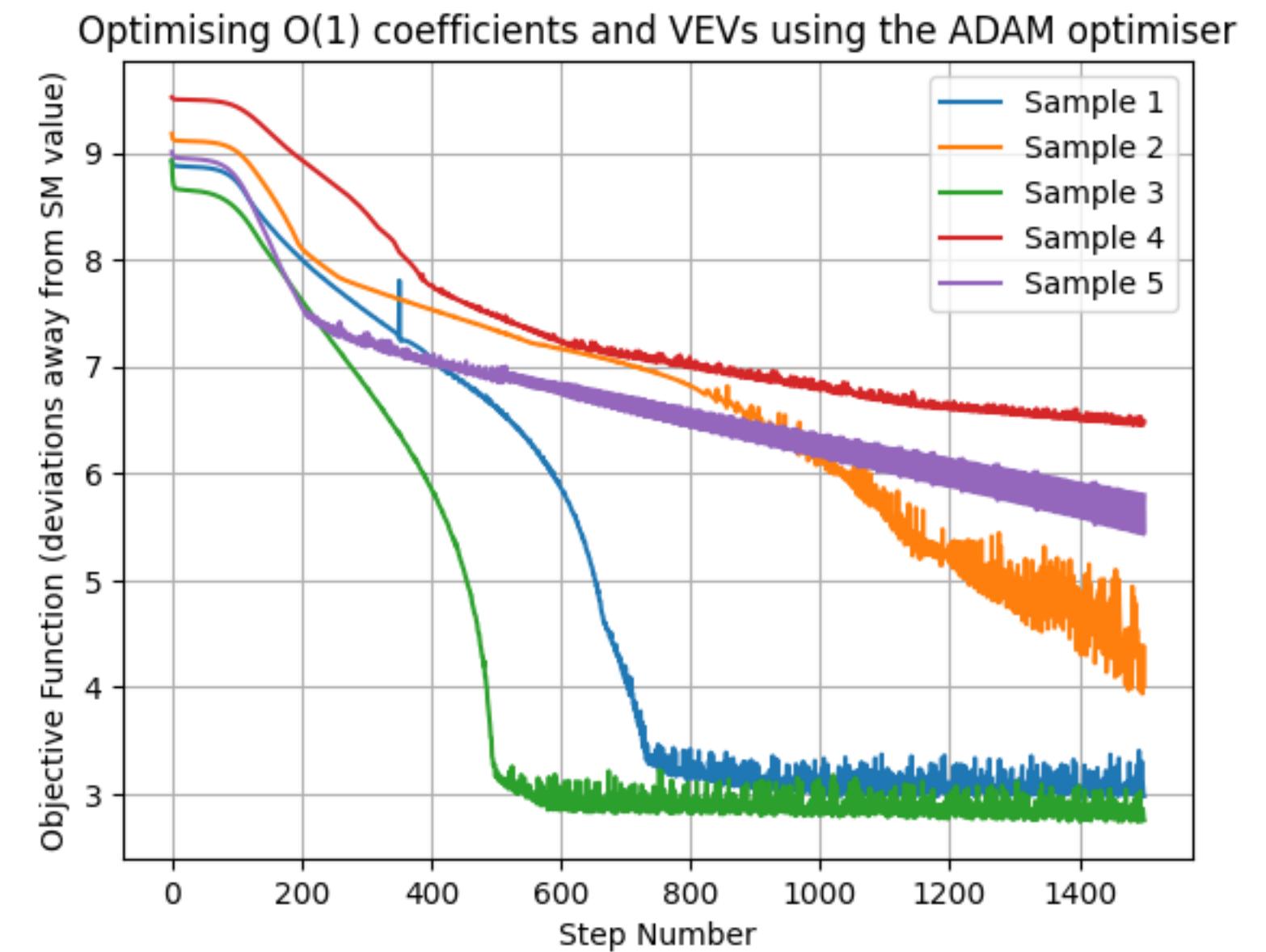
# Results - Model Example

## Spectrum

$10_1$	$10_2$	$10_5$
$\bar{5}_{1,2}$	$\bar{5}_{1,2}$	$\bar{5}_{1,2}$
$\bar{5}^H_{4,5}$		
$\phi_{5,1}$	$\phi_{3,5}$	$\phi_{4,5}$
		$\phi_{1,2}$
		$\phi_{4,1}$

## VEVs

Moduli VEV	Value ( $/M_{pl}$ )
$\langle \phi_{5,1} \rangle$	0.05117908
$\langle \phi_{3,5} \rangle$	0.49406093
$\langle \phi_{4,5} \rangle$	0.36864188
$\langle \phi_{1,2} \rangle$	0.1319671
$\langle \phi_{4,1} \rangle$	0.10001969



## Yukawa Textures

$$Y_u \sim \begin{pmatrix} \phi_{5,1}\phi_{4,1} & \phi_{5,1}\phi_{1,2}\phi_{4,1} & \phi_{4,1} \\ \phi_{5,1}\phi_{1,2}\phi_{4,1} & \phi_{5,1}\phi_{1,2}^2\phi_{4,1} & \phi_{1,2}\phi_{4,1} \\ \phi_{4,1} & \phi_{1,2}\phi_{4,1} & \phi_{4,5} \end{pmatrix}$$

$$Y_d \sim \begin{pmatrix} \phi_{5,1}\phi_{3,5} & \phi_{5,1}\phi_{3,5} & \phi_{5,1}\phi_{3,5} \\ \phi_{5,1}\phi_{3,5}\phi_{1,2} & \phi_{5,1}\phi_{3,5}\phi_{1,2} & \phi_{5,1}\phi_{3,5}\phi_{1,2} \\ \phi_{3,5} & \phi_{3,5} & \phi_{3,5} \end{pmatrix}$$

## Computed Physical Quantities

$$\langle H \rangle = 174.064 \text{ GeV}$$

$$m_u = (2.18 \text{ MeV} \quad 1.27 \text{ GeV} \quad 172.69 \text{ GeV})$$

$$m_d = (4.94 \text{ MeV} \quad 100.25 \text{ MeV} \quad 4.18 \text{ GeV})$$

$$V_{CKM} = \begin{pmatrix} 0.974 & 0.225 & 0.004 \\ 0.225 & 0.973 & 0.043 \\ 0.006 & 0.042 & 0.999 \end{pmatrix}$$

# Conclusions & Outlook

- We have constructed a **GA environment** that allows us to search for heterotic standard models with split bundles using flavour symmetries.
- We have performed **searches** on the perturbative sector of the system and found a list of viable models.
- **Guidance to top-down model building!**
  - **Extension with non-perturbative** contributions.
  - **Extension to the lepton sector.** R-parity violating terms, the  $\mu$ -term and Weinberg operator. Neutrino mass generation?
  - **String perspective** - similar flavour constraints in F-theory local models?