

## Kronig Penny Model

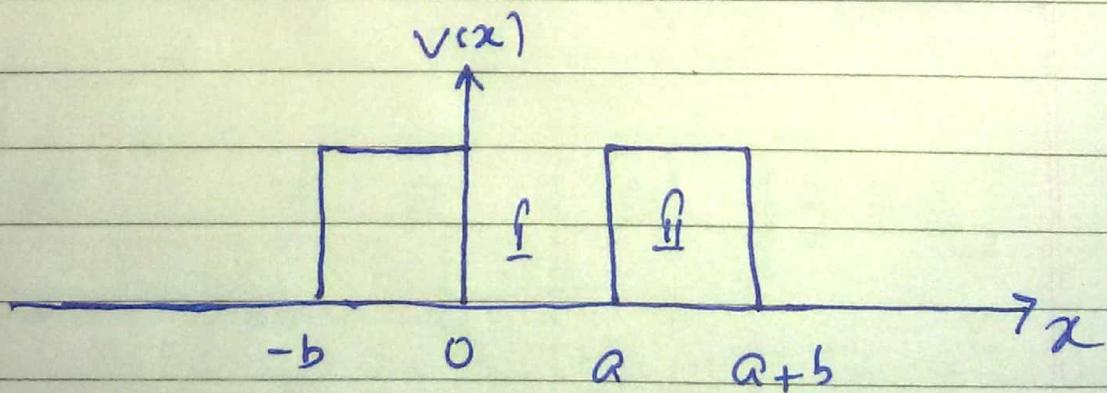
The free electron model implies that potential inside solid is uniform in metal.

It is the treatment of energy levels of an electron experiencing ~~to~~ one dimensional (1D) periodic potential.

Consider a periodic potential with period  $L = a+b$  as shown in figure.

where  $a$  = width of well

$b$  = " " barrier



The potential function for the particle can be expressed as

$$V(x) = 0 \text{ for region I}$$

$$V(x) = V \text{ for } " \text{ II }$$

For region I the Schrödinger wave eqn can be written as

$$\frac{d^2\psi_1}{dx^2} + \frac{2mE}{\hbar^2} \psi_1 = 0$$

$$\frac{d^2\psi_1}{dx^2} + \alpha^2 \psi_1 = 0 \quad \text{--- (I)}$$

$$\text{where } \alpha^2 = \frac{2mE}{\hbar^2}$$

Since the potential is periodic, its solution can be written as

$$\psi_1 = v_1 e^{ikx}$$

$$\frac{d\psi_1}{dx} = e^{ikx} \frac{dv_1}{dx} + ik v_1 e^{ikx}$$

$$\frac{d^2\psi_2}{dx^2} = e^{ikx} \frac{d^2v_1}{dx^2} + ik \frac{dv_1}{dx} e^{ikx} + ik \frac{dv_1}{dx} e^{ikx} + (ik)^2 v_1 e^{ikx}$$

$$\frac{d^2\psi_1}{dx^2} = e^{ikx} \frac{d^2v_1}{dx^2} + 2ik \frac{dv_1}{dx} e^{ikx} - k^2 v_1 e^{ikx}$$

$$\frac{d^2\psi_1}{dx^2} = e^{ikx} \left[ \frac{d^2v_1}{dx^2} + 2ik \frac{dv_1}{dx} - k^2 v_1 \right]$$

Eq ① becomes

$$e^{ikx} \left[ \frac{d^2v_1}{dx^2} + 2ik \frac{dv_1}{dx} - k^2 v_1 \right] + \alpha^2 v_1 e^{ikx} = 0$$

$$\frac{d^2v_1}{dx^2} + 2ik \frac{dv_1}{dx} - k^2 v_1 + \alpha^2 v_1 = 0 \quad \text{--- ②}$$

For region II

The Schrödinger wave eq ② can be written

$$\Rightarrow \frac{d^2\psi_2}{dx^2} + \frac{2m(E-V)}{\hbar^2} \psi_2 = 0$$

$$\frac{d^2\psi_2}{dx^2} + \frac{2m(V-E)}{\hbar^2} \psi_2 = 0$$

$$\frac{d^2\psi_2}{dx^2} - \beta^2 \psi_2 = 0 \quad \text{--- (2)}$$

- And its solution is

$$\psi_2 = v_2 e^{i\kappa x}$$

proceeding as above :-

$$\frac{d^2v_2}{dx^2} + 2ik \frac{dv_2}{dx} - \kappa^2 v_2 - \beta^2 v_2 = 0 \quad \text{--- (4)}$$

The solution of (2) & (4)

$$v_1 = A e^{i(\alpha-k)x} + B e^{-i(\alpha+k)x}$$

$$v_2 = C e^{(\beta-ik)x} + D e^{-(\beta+ik)x}$$

We have boundary conditions

$$v_1(0) = v_2(0) \quad \mid \quad v_1(a) = v_2(-b)$$

$$v_1'(0) = v_2'(0) \quad \mid \quad v_1'(a) = v_2'(-b)$$

$$A + B = C + D$$

$$A e^{iR(\alpha-k)a} + B e^{-i(\alpha+k)a} = C e^{-(\beta-ik)b} + D e^{(\beta+ik)b}$$

$$i(\alpha-k)A - i(\alpha+k)B = (\beta-ik)C - (\beta+ik)D$$

$$i(\alpha-k)A e^{i(\alpha-k)a} - i(\alpha+k)B e^{-i(\alpha+k)a} = (\beta-ik)C e^{-(\beta-ik)b} - (\beta+ik)D e^{(\beta+ik)b}$$

# To solve determinant form

$$D = \begin{vmatrix} 1 & 1 & 1 & 1 \\ e^{i(\alpha-\kappa)a} & e^{-i(\alpha+\kappa)a} & e^{-(\beta-i\kappa)b} & e^{(\beta+i\kappa)b} \\ i(\alpha-\kappa)e^{i(\alpha-\kappa)a} & -i(\alpha+\kappa) & (\beta-i\kappa) & -(\beta+i\kappa) \\ i(\alpha+\kappa)e^{-i(\alpha+\kappa)a} & -j(\alpha+\kappa)e^{-i(\alpha+\kappa)a} & (\beta-i\kappa)e^{-(\beta-i\kappa)b} & -(\beta+i\kappa)e^{(\beta+i\kappa)b} \end{vmatrix}$$

By expanding determinant, we get

$$\frac{\beta^2 - \alpha^2}{2\alpha\beta} \sinh \beta b \sin \alpha a + \cosh \beta b \cos \alpha a = \cos \kappa(a+b)$$

when  $V \rightarrow 0$  and  $b \rightarrow 0$

but  $Vb$  will be finite

as  $b \rightarrow 0$   $\sinh \beta b \rightarrow \beta b$

and  $\cosh \beta b \rightarrow 1$

$$\frac{\beta^2 - \alpha^2}{2\alpha\beta} \approx \frac{\beta^2}{2\alpha\beta} = \frac{\beta}{2\alpha}$$

$$\frac{P^2 b}{2\alpha} \sin \alpha + \cos \alpha = \cos k\alpha$$

$$\frac{P^2 ba}{2\alpha} \sin \alpha + \cos \alpha = \cos k\alpha$$

Let  $P = \frac{P^2 ab}{2}$        $P^2 = \frac{2m(v-E)}{\hbar^2}$

$$P = \frac{2m v}{\hbar^2} \cdot \frac{ab}{2} = \frac{mv}{\hbar^2} ab$$

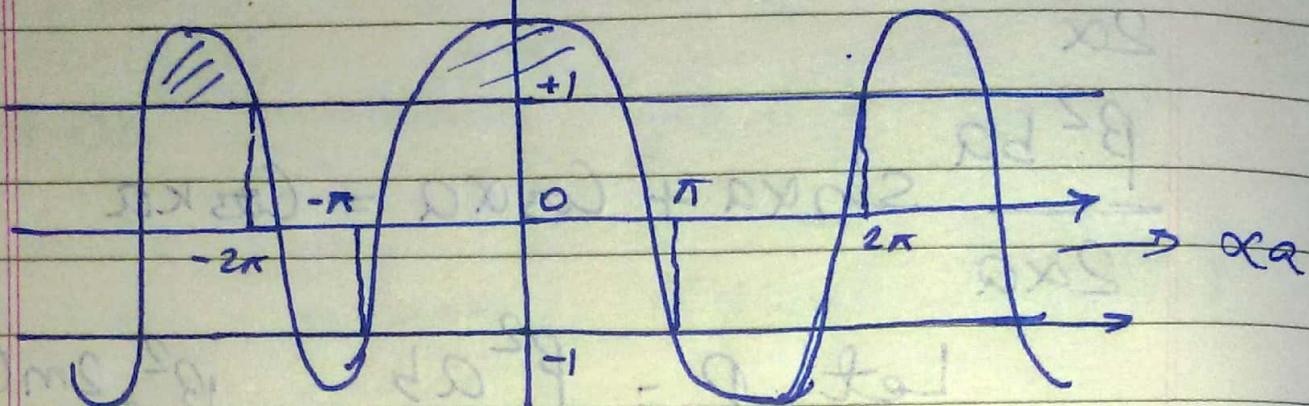
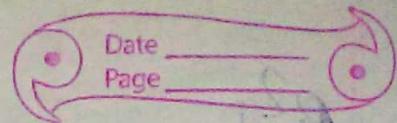
$$P = \frac{mv}{\hbar^2} ab$$

$$\frac{P \sin \alpha}{\alpha} + \cos \alpha = \cos k\alpha$$

It is tedious and complicated  
to solve

So, we plot the graph

$$\frac{P \sin kx + \cos \alpha q}{\alpha q}$$



$$\cos kx = \pm 1 = \cos n\pi$$

$$ka = n\pi$$

$$\Rightarrow k = n \frac{\pi}{a}$$

$$n = 1, 2, 3, \dots$$

$$\alpha^2 = \frac{2mE}{\hbar^2}$$

$$E = \frac{\hbar^2 \alpha^2}{2m}$$

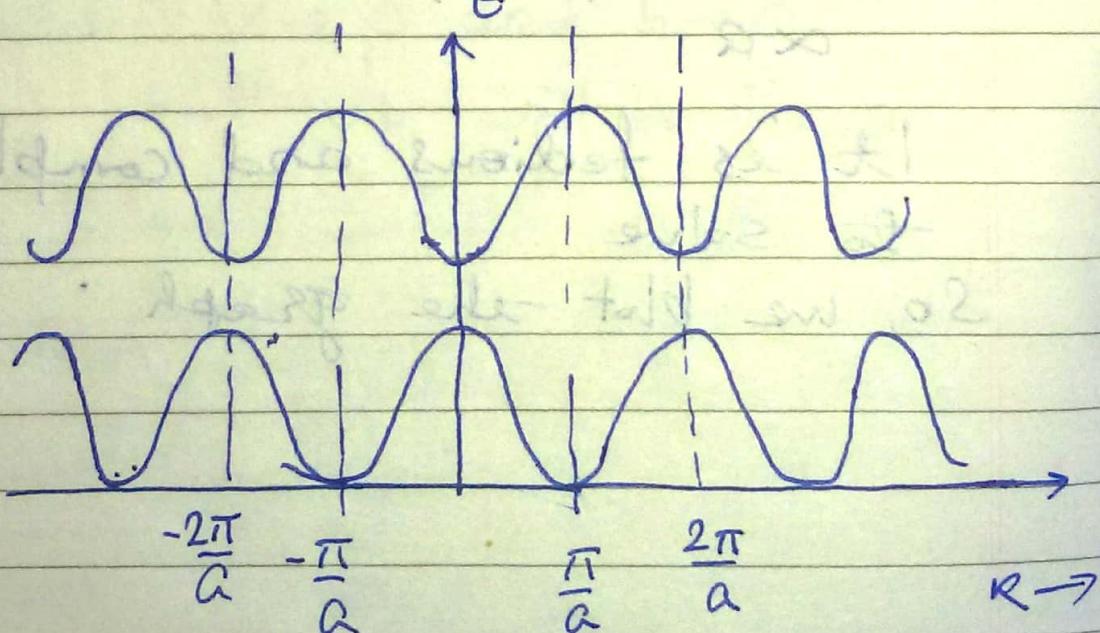


Fig: E-k Curve for 1D lattice  
Structure from k-p model

### Case I

As  $p \rightarrow \infty$ , the band reduces to a single energy level i.e. discrete energy spectrum for isolated atoms which is the actual case so,  $P$  is proportional to the potential barrier of the given system.

$$\sin \alpha = 0$$

$$\alpha a = n\pi$$

$$\alpha^2 a^2 = n^2 \pi^2$$

$$\frac{2mE}{\hbar^2} a^2 = n^2 \pi^2$$

$$E = \frac{n^2 \pi^2 \hbar^2}{2ma^2}$$

which is exactly same as the equation of an electron in an infinite potential well of width  $a$ .

## Case II

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when  $P \rightarrow 0$   $\cos \alpha a = \cos ka$

$$\alpha a = ka$$

$$\alpha^2 = k^2$$

$$\frac{2mE}{\hbar^2} = k^2$$

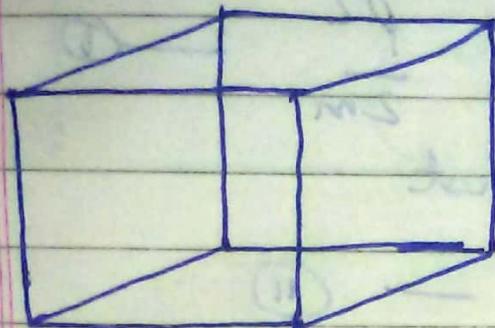
$$E = \frac{\hbar^2 k^2}{2m}$$

Eq^n is exactly same as that of eq^n for a free electron.

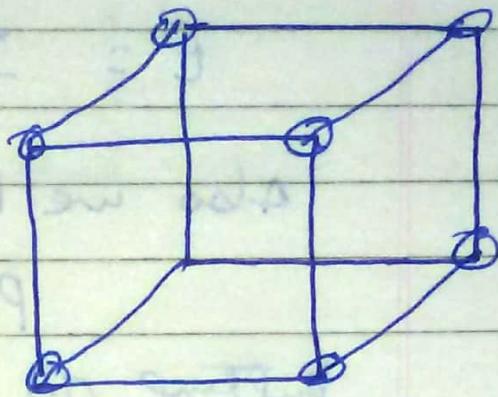
So, the variation in the value of  $P$  from zero to infinity accounts for the whole range of conditions in the crystalline solid.

## Effective Mass

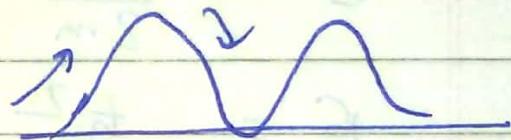
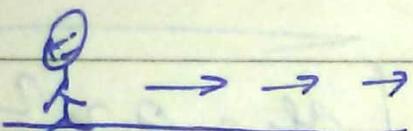
$$m^* = \frac{\hbar^2}{d^2 E / d k^2}$$



free space



crystal lattice



Effective mass is that mass which a particle (electron) seems to have when it is present in a crystal of periodic potential.

$$m^* = \frac{\hbar^2}{\left( \frac{d^2 E}{dk^2} \right)}$$

$$K.E = \frac{1}{2} m v^2$$

$$E = \frac{m v^2}{2m} = \frac{p^2}{2m} \quad \text{--- (i)}$$

Also we know that

$$p = \hbar k \quad \text{--- (ii)}$$

Putting (ii) in (i) we get

$$E = \frac{\hbar^2 k^2}{2m}$$

$$E = \frac{\hbar^2}{2m} k^2$$

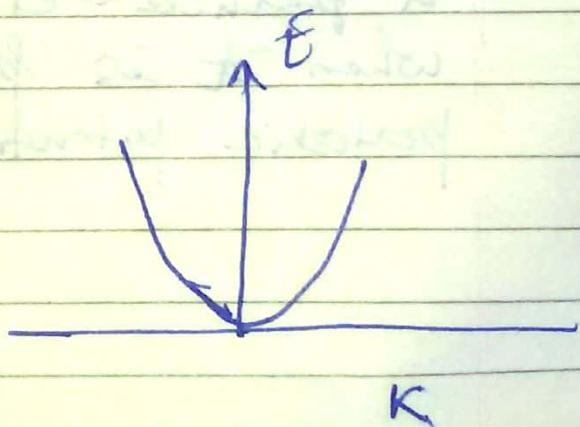
$$[y = ax^2]$$

Diff w.r.t  $k$

parabolic eqn

$$\frac{dE}{dk} = \left( \frac{\hbar^2}{2m} \right) 2k$$

$$\frac{dE}{dk} = \frac{\hbar^2}{m} k$$



$$\frac{d^2E}{dk^2} = \frac{\hbar^2}{m}$$

$\therefore$  for crystal  $m \rightarrow m^\infty$

$$m^* = \frac{\hbar^2}{\left(\frac{d^2 E}{dk^2}\right)}$$

$$E = \frac{\hbar^2 k^2}{2m}$$

$$\frac{dE}{dk} = \frac{\hbar^2 k}{m}$$

$$\frac{dE}{dk} = \frac{\hbar(\hbar k)}{m}$$

$$\frac{dE}{dk} = \frac{\hbar m v}{\hbar k}$$

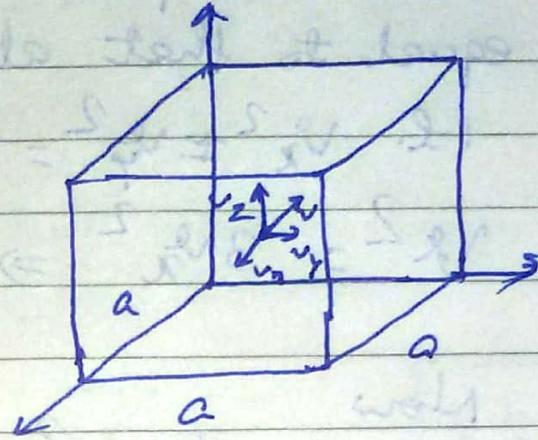
$$\text{Velocity } v = \frac{1}{\hbar} \frac{dE}{dk}$$

The velocity of particle is the crystal.

## Thermal Velocity of Electron at Equilibrium

Consider an electron 'e' of mass 'm' is free to move inside a box of length 'a'.

The electron has momentum  $\rightarrow +mv_x$



while moving along the x-dir'

&  $-mv_x$  ---- - - ve -- after its reflection from wall of the box

$\therefore$  Change in momentum  $\Delta p = 2mv_x$

time interval between collision  $\Delta t = \frac{2a}{v_x}$

$$\therefore \text{Force on the wall } F = \frac{dp}{dt} = \frac{mv_x^2}{a}$$

$$\begin{aligned} \text{Pressure on the wall} &= \frac{\text{Force}}{\text{Area}} = \frac{mv_x^2}{a \cdot a^2} \\ &= \frac{mv_x^2}{a^3} \end{aligned}$$

Total pressure due to N electrons

$$P = \frac{Nm v_x^3}{a^3}$$

for acceleration

$$a = \frac{d\dot{x}}{dt} = \frac{d}{dt} \left( \frac{1}{k} \frac{dE}{dx} \right)$$

$$a = \frac{1}{k} \frac{d}{dt} \left( \frac{dE}{dx} \right)$$

$$\theta \frac{d}{dt} = \frac{dx}{dt} \frac{d}{dx} \left( \frac{dE}{dx} \right)$$

$$a = \frac{1}{k} \frac{dx}{dt} \frac{d}{dx} \left( \frac{d\theta}{dx} \right)$$

$$a = \boxed{\frac{1}{k} \frac{dx}{dt} \frac{d^2 E}{dx^2}}$$

with  $\theta$  should go through eq.  
rotation

The mean square velocity

$$V^2 = V_x^2 + V_y^2 + V_z^2$$

Since mean square velocity along x-dirn  
is equal to that along y & z direction.

$$\text{i.e. } V_x^2 + V_y^2 = V_z^2$$

$$V^2 = 3V_x^2 \Rightarrow V_x^2 = \frac{V^2}{3}$$

Now

$$P = \frac{NmV^2}{3a^3}$$

$$P = \frac{NmV^2}{3V} \quad [\because V = a^3]$$

$$PV = \frac{NmV^2}{3} \quad \text{--- (1)}$$

we have, ideal gas eq<sup>n</sup>  $PV = nRT$

$$\frac{NmV^2}{3} = nRT$$

$$V^2 = \frac{3nRT}{Nm}$$

$$N = n N_A$$

Total no. of electrons = no. of moles  $\times$  no. of electrons in 1 mole.

$$U^2 = \frac{3nRT}{N_A m}$$

$$U^2 = \frac{3RT}{N_A m}$$

$$U = \sqrt{\frac{3RT}{N_A m}} = \sqrt{3 \frac{kT}{m}}$$

$$K = \frac{R}{N_A} = 1.38 \times 10^{-23} \text{ J K}^{-1}$$

## Electron Mobility, Conductivity and Resistivity

When a conductor does not have applied electric field across it, its conduction electrons move randomly. Since there is no net flow of charge so there is no current. When a potential difference is applied across it, the free electrons tend to move in the direction opposite to that of applied electric field with a speed called drift speed  $v_d$ .

Let an electron of mass 'm' and charge 'e' is placed in an electric field  $E$ . The electric force experienced by it is

$$F = eE$$

Also from Newton's law

$$F = ma$$

Hence  $ma = eE$

$$a = \frac{eE}{m}$$

The average speed drift speed of electron is  $v_d = aT$  where  $T \rightarrow$  average time between collision called mean free time or relaxation time

$$\therefore v_d = \frac{eET}{m} \quad \text{--- (1)}$$

Resistivity  $\rho = \frac{1}{6}$

$$\boxed{\rho = \frac{m}{ne^2 T}}$$

Here

$$\rho = \frac{J}{E}$$

$$\rho = \frac{ne^2 T}{\frac{m}{e}}$$

$$\rho = \frac{eT e n}{m}$$

$$\boxed{\rho = ue n}$$

The electron mobility is defined as the drift speed per unit applied electric field.

$$\text{Electron mobility } \mu = \frac{v_d}{E} = \frac{eT}{\epsilon m}$$

$$\boxed{\mu = \frac{eT}{m}} \quad \text{--- (2)}$$

$$\text{Current density } J = \frac{I}{A} = \frac{nev_d A}{A}$$

$$J = nev_d$$

$$\boxed{J = \frac{ne^2 T}{m} E} \quad \boxed{v_d = \frac{eT}{m} E} \quad \text{--- (3)}$$

$$\text{Also } J = \sigma E \quad \text{--- (4)}$$

Comparing (3) & (4)

$$\boxed{\sigma = \frac{ne^2 T}{m}} \quad \text{--- (5)}$$

Electron Conductivity

# Einstein relation between Mobility and diffusion Coefficient

$$\frac{D}{\mu} = V_T$$

$V_T$  = Thermal voltage

$$V_T = \frac{KT}{q}$$

$$K = 1.38 \times 10^{-23} \text{ J/K} \quad (\text{Boltzmann constant})$$

$$q = 1.6 \times 10^{-19} \text{ C}$$

$$T = \text{kelvin} = 273.15 \text{ K}$$

$$V_T = 23.5 \text{ mV}$$

At room temp

$$V_T = 25 \text{ mV}$$

$$\boxed{\frac{D}{\mu} = \frac{KT}{q}}$$

Einstein relation

$$\boxed{\frac{D_n}{\mu_n} = \frac{D_p}{\mu_p} = \frac{KT}{q}}$$

Here, -ve sign indicates that diffusion occurs towards decreasing concentration gradient.

## Drift Current

$$J \propto E$$

$$J = \sigma E$$

$$\sigma = \text{new}$$

### Extrinsic

$$J = n q u_n E$$

$$J = p q u_p E$$

$$I = (n q u_n E) A$$

$$I = (p q u_p E) A$$

### Intrinsic

$$J = \sigma_i E$$

$$J = n_i q (u_n + u_p) E$$

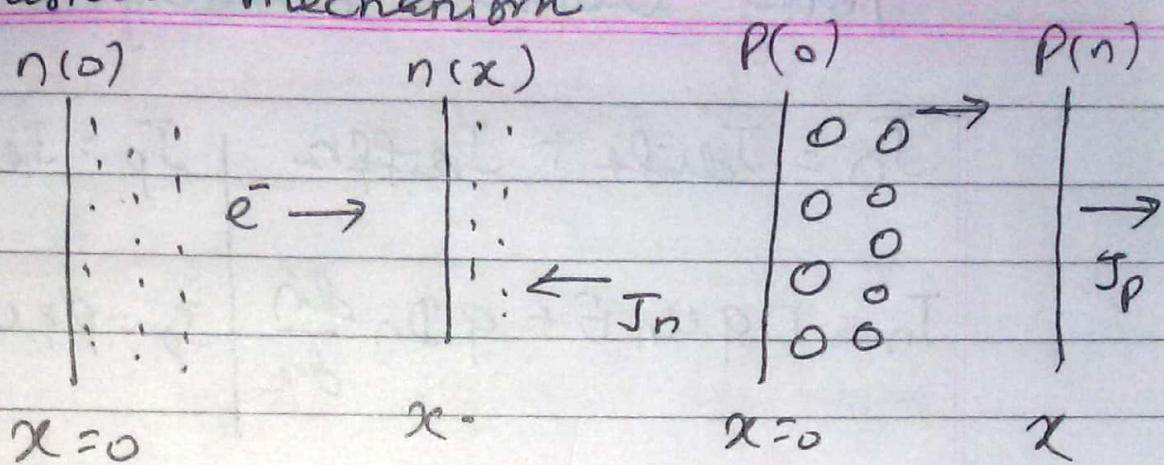
$$\frac{I}{A} = \frac{q}{t \cdot A} = \phi$$

6. To determine ...
7. To determine the low resistance of a Foster bridge
8. To plot a graph current and frequency in an L-C circuit

Lab textbook: B. Sc Practical Physics by C. L. Arora

Science & Technology/Revised Syllabus-2012

## Diffusion mechanism



$$J_n \propto \frac{dn}{dx}$$

$$J_n = q D_n \frac{dn}{dx} \quad \text{--- (1)}$$

Current is flowing in  $-ve \times dim.$

$D_n \rightarrow$  diffusion coefficient of free electron

$$J_p \propto \frac{dp}{dx}$$

$$J_p = -q D_p \left( \frac{dp}{dx} \right) \quad \text{--- (2)}$$

$D_p \rightarrow$  diffusion coefficient of holes.

coefficient of holes.

[Unit  $m^2/sec$  or  $cm^2/sec$ ]

N-type

P-type

$$J_{drift} = 6_n E$$

$$J_{drift} = n q e n E$$

$$J_{diffusion} = q D_n \frac{dn}{dx}$$

$$J_{drift} = 6_p E$$

$$= p q e p E$$

$$J_{diffusion} = -q D_p \frac{dp}{dx}$$

## Total Current density

$$J_n = J_{\text{drift}} + J_{\text{diff}, n}$$

$$J_n = n q u_n E + q D_n \frac{dn}{dx}$$

$$J_p = J_{\text{drift}} + J_{\text{diff}, p}$$

$$J_p = P q u_p E + q D_p \frac{dp}{dx}$$

For most materials  
the diffusion coefficient is found to  
vary with temp as

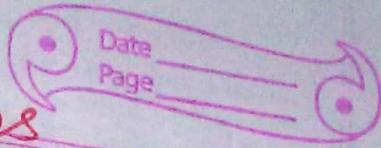
$$D = D_0 e^{-\frac{Q}{kT}}$$

$$D_0 = \frac{1}{2} a^2 f \quad a \rightarrow \text{lattice constant}$$

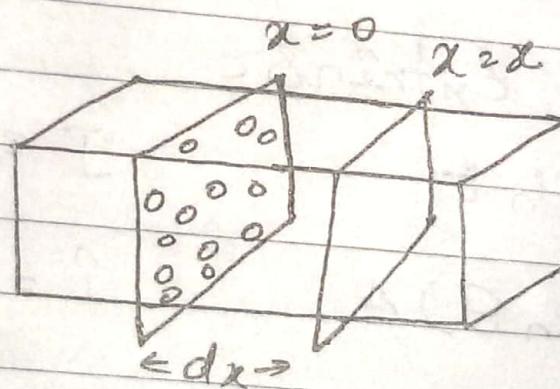
$$Q \rightarrow \text{activation energy} \quad f \rightarrow \text{vibrational freq of lattice}$$

- 6. To determine ...
- 7. To determine the low ...
- 8. To plot a graph current and frequency in an -  
ii) the quality factor

## Diffusion of Electrons



If the number of either electron or holes is greater in one area compare to another area then carriers tend to move from region of higher concentration to the region of lower concentration even in the absence of applied voltage. This process is called diffusion. The electric current produced due to this process is known as diffusion current.



Natural process

$$\text{Concentration gradient} = \frac{dn}{dx}$$

### Fick's Law (Adolf Fick)

The net rate of particles moving through an area (carrier flux) is directly proportional to concentration gradient.

$$\phi \propto \frac{dn}{dx} \Rightarrow \phi = -D \frac{dn}{dx}$$

D  $\rightarrow$  diffusion coefficient

## Alternative method

$$J = J_{\text{drift}} + J_{\text{diffusion}}$$

$$= \sigma E + e D \frac{dn}{dx}$$

$$J = n e \mu E + e D \frac{dn}{dx}$$

Under equilibrium condition, total current zero.

$$n e \mu E + e D \frac{dn}{dx} = 0$$

$$\Rightarrow e D \frac{dn}{dx} = -n e \mu E$$

$$\frac{dn}{n} = -\frac{\mu E}{D} dx$$

Integrating

$$\ln(n) = -\frac{\mu E x}{D} + \ln(A)$$

$$\ln\left(\frac{n}{A}\right) = -\frac{\mu E x}{D} \quad \ln(A) \rightarrow \text{const}^+$$

$$n = A e^{-\frac{\mu E x}{D}} \quad \text{--- (1)}$$

From Boltzmann statistics, for an  
electric field

$$n = A e^{-\frac{e E x}{k T}} \quad \text{--- (2)}$$

$$\frac{\mu E x}{D} = \frac{e E x}{k T} \Rightarrow \boxed{\frac{D}{\mu} = \frac{k T}{e}}$$

$$u = \frac{U_d}{E} = \frac{\frac{m}{s}}{\frac{\text{volt}}{m}} \quad \boxed{E = -\frac{dv}{dx}}$$

$$u = \frac{m^2}{\text{volt} \cdot s}$$

$$\frac{D}{u} = v_T$$

$$D = u \cdot v_T$$

$$= \frac{m^2}{\text{volt} \cdot \text{sec}}$$

$$\boxed{D = \frac{m^2}{\text{sec}}}$$

6. To ...
7. To determine ...
8. To plot a graph current and frequency  
ii) the quality factor