

# Fundamentals of Artificial Intelligence

## Exercise 3: Constraint Satisfaction Problems

Sebastian Mair

Technical University of Munich

November 17th, 2023

# Recap: Constraint Satisfaction Problems (CSP)

## CSP tuple $(X, D, C)$

- $X = \{X_1, \dots, X_n\}$  is a set of variables
- $D = \{D_1, \dots, D_n\}$  is a set of domains, where each domain  $D_i$  is the set of allowable values  $\{v_1, \dots, v_k\}$  for variable  $X_i$
- $C = \{C_1, \dots, C_m\}$  is a set of constraints (can be **unary**, **binary** or **higher-order (n-ary)**)

Goal: Assign a value to each variable such that all constraints are satisfied

# Recap: Backtracking Search

**function** Recursive-Backtracking (*assignment*, *csp*) **returns** sol./failure

**if** *assignment* is complete **then return** *assignment*

*var*  $\leftarrow$  ~~Select-Unassigned-Variable~~(*csp*)

**for each** *value* **in** ~~Order-Domain-Values~~(*var*, *assignment*, *csp*) **do**

**if** *value* is consistent with *assignment* given Constraints[*csp*] **then**

add {*var* = *value*} to *assignment*

*inferences*  $\leftarrow$  Inference(*csp*, *var*, *value*)

**if** *inferences*  $\neq$  failure **then**

add *inferences* to *assignment*

*result*  $\leftarrow$  Recursive-Backtracking(*assignment*, *csp*)

**if** *result*  $\neq$  failure **then return** *result*

remove *inferences* from *assignment*

remove {*var* = *value*} from *assignment*

**return** failure

## Important heuristics:

- Variable Selection
- Value Selection
- Inference

# Recap: Variable Selection and Value Selection Heuristics

## Variable Selection

- **Minium Remaining Values** (MRV): choose variable with the fewest possible values first
- **Degree heuristics**: choose variable that is involved in the largest number of constraints on other unassigned variables (highest degree)

## Value Selection

- **Least Constraining Value**: choose value that rules out the fewest choices for neighboring values in the constraint graph

# Recap: Inference in CSP

## Inference techniques

- **Forward checking** (after each assignment): inconsistent values of neighboring variables are removed.
- **Arc consistency algorithm** (after each assignment or as pre-processing): inconsistent values of all variables are removed.

## Arc consistency of a variable

$X_i$  is arc-consistent with  $X_j$ , if for every value in the domain  $D_i$  there exists a value in  $D_j$  satisfying the binary constraint of the arc  $(X_i, X_j)$ .

**Example:** Constraint:  $Y = X^2$ , Domains  $D_X = \{0, 1, 2, 3\}$  and  $D_Y = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$   
 → Question:  $(X, Y)$  and/or  $(Y, X)$  arc-consistent?

Tweedback code: **znnk** (twbk.de/znnk)

# Arc Consistency Algorithm

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**inputs:** *csp*: a binary CSP, *queue*: a queue of arcs  $(X_i, X_j)$

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ]  $\setminus \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return** *csp*

**function** Remove-Inconsistent-Values ( $X_i, X_j$ ) **returns** true iff succeeds

*removed*  $\leftarrow$  *false*

**for each**  $x$  **in** Domain[ $X_i$ ] **do**

**if** no value  $y$  in Domain[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint of  $(X_i, X_j)$

**then** delete  $x$  from Domain[ $X_i$ ]; *removed*  $\leftarrow$  *true*

**return** *removed*

## Problem 3.1: Turning n-ary constraints into binary constraints

Suppose that we have  $CSP = (X, D, E^1)$  with

$$\begin{aligned}X &= \{A, B, C\}, \\D &= \{\text{dom}(A), \text{dom}(B), \text{dom}(C)\}, \\E &= \{\langle (A, B, C), A + B = C \rangle\},\end{aligned}$$

where each domain can be  $\{0, 1, \dots, 9\}$  for example.

---

<sup>1</sup>the symbol E is taken from German word *Einschränkung*.

## Problem 3.1.1

Draw the constraint hypergraph for the CSP. Based on the number of variables involved, what is the type of the constraint?

$$X = \{A, B, C\},$$

$$D = \{\text{dom}(A), \text{dom}(B), \text{dom}(C)\},$$

$$E = \{\langle (A, B, C), \underbrace{A + B = C} \rangle\},$$



## Problem 3.1.2

We can eliminate the higher-order constraint in  $E$  by replacing the constraint node  $\square$  with a new variable node  $Z$ . What is the domain for variable  $Z$ ? What is the new constraint set  $E'$  after introducing the new variable  $Z$ ?

$$X = \{A, B, C\},$$

$$D = \{\text{dom}(A), \text{dom}(B), \text{dom}(C)\},$$

$$E = \{\langle (A, B, C), A + B = C \rangle\},$$

$$\text{dom}(Z) = \{z_1, z_2, z_3 \mid z_1 = \text{dom}(A) \wedge z_2 = \text{dom}(B) \wedge z_3 = \text{dom}(C)\}$$

$$E' = \{ \langle (z_1, A), z_1 = A \rangle, \langle (z_2, B), z_2 = B \rangle, \langle (z_3, C), z_3 = C \rangle \} \vee E$$

## Problem 3.1.3

Modify  $CSP'$  such that it only contains binary constraints and formally express the new  $CSP'' = (X'', D'', E'')$ .

$$X = \{A, B, C\},$$

$$D = \{\text{dom}(A), \text{dom}(B), \text{dom}(C)\},$$

$$E = \{\langle (A, B, C), A + B = C \rangle\},$$

$$X'' \Rightarrow \{A, B, C, Z\}$$

$$D'' = D \cup \{\text{dom}(Z)\}$$

$$\text{dom}(Z) = \{z_1, z_2, z_3 \mid z_1 = \text{dom}(A) \wedge z_2 = \text{dom}(B) \wedge z_3 = \text{dom}(C)$$

$$E'' = \{ \langle z_1 = A \rangle, \langle z_2 = B \rangle, \langle z_3 = C \rangle \}$$

binary

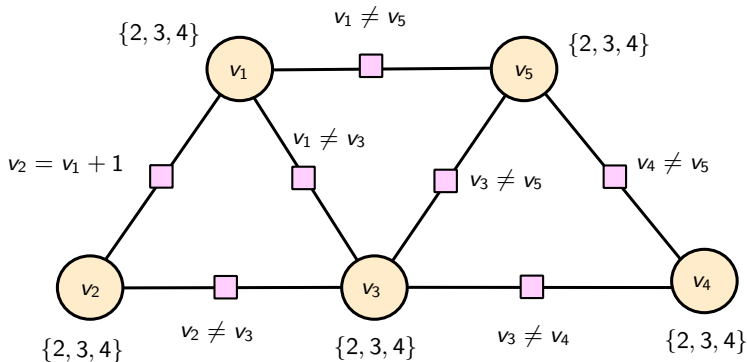
$$\wedge z_1 + z_2 = z_3 \}$$

## Problem 3.1.4

Taking inspiration from previous solutions, how can you generally turn a  $n$ -ary constraint into binary constraints?

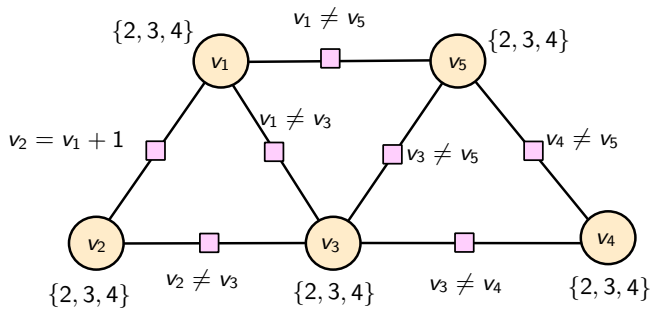
## Problem 3.2

Consider the constraint satisfaction problem in the figure below.



→ only binary constraints.

# Problem 3.2.1: Sort variables by domain size and by degree



domain size  
3  $v_1, v_2, v_3, v_4, v_5$

degree size  
3  $v_1, v_5$   
2  $v_2, v_4$   
4  $v_3$

## Problem 3.2.2: Perform Backtracking Search by Hand

### Variable selection:

Variable to expand next: apply minimum-remaining-values (MRV) heuristic; if there is a tie, use degree heuristics; if there is a tie again, choose the variable with the lower index.

### Value selection:

Value to assign next: use least-constraining-value heuristics; if there is a tie, choose number 3; if this is not possible choose the lowest value.

### Inference:

After each assignment: perform forward checking as inference. Backtrack if you find an inconsistency.

# Problem 3.2.2: Perform Backtracking Search by Hand

step	assign	current domains					degree					backtrack to
		$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	/	234	234	234	234	234	3	2	4	2	3	
1	$\boxed{v_3} = 3$ degree	24	24	/	24	24	2	1	-	1	2	
2	$\boxed{v_1} = 2$ low index	/	$\emptyset$	/			.	.	-			2
2	$\boxed{v_1} = 4$	/	$\emptyset$	/								
1	$\boxed{v_3} = 2$	34	34	/	34	34	2	1	-	1	2	
2	$v_4 = 3$	/	4	/	34	4	-	0	-	1	1	
3	$v_5 = 4$ tie	/	4	/	3	/	-	0	-	0	-	

Variable: MRV  $\rightarrow$  Degree  $\rightarrow$  lower index  
 Value: least constr. value  $\rightarrow 3 \rightarrow$  lowest value  
 Inference: forward checking =  
 Tweedback code: **znnk** (twbk.de/znnk)

4  $v_2 = 4$   
 5  $v_4 = 3$

## Problem 3.2.3: Perform Backtracking Search by Hand

### **Variable selection:**

Variable to expand next: apply minimum-remaining-values (MRV) heuristic; if there is a tie, use degree heuristics; if there is a tie again, choose the variable with the lower index.

### **Value selection:**

Value to assign next: use least-constraining-value heuristics; if there is a tie, choose number 3; if this is not possible choose the lowest value.

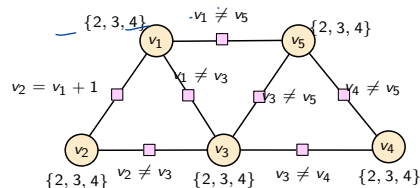
### **Inference:**

After each assignment: perform arc consistency algorithm. Backtrack if you find an inconsistency.



# Problem 3.2.3: Perform Backtracking Search by Hand

step	assign	current domains					degree					backtrack to
		$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	/	234	234	234	234	234	}	2	4	2	}	
1	$v_3=3$	24	24	/	24	24	2	1	-	1	2	
1	$v_3=2$	3	4	/	3	4	2	1	-	1	2	1
2	$v_1=3$	/	4	/	3	4	-	0	-	1	1	
3	$v_4=3$	/	4	/	/	4	-	0	-	-	0	
4	$v_2=4$	/	/	/	/	4	-	-	-	-	0	
5	$v_5=4$	/	/	/	/	/	-	-	-	-	-	



Variable: MRV  $\rightarrow$  Degree  $\rightarrow$  lower index

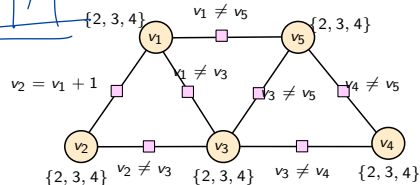
Value: least constr. value  $\rightarrow 3 \rightarrow$  lowest value

Inference: arc consistency algorithm

# Problem 3.2.3: Perform Backtracking Search by Hand (AC-3)

Arc	Queue	Domain Change
	<del><math>(V_1, V_3)</math></del> <del><math>(V_2, V_3)</math></del> <del><math>(V_4, V_3)</math></del> <del><math>(V_5, V_3)</math></del>	
$(V_1, V_3)$	<del><math>(V_2, V_1)</math></del> $(V_5, V_1)$ $(V_1, V_2)$ $(V_5, V_4)$	$V_1 : 2 \ 4$
$(V_2, V_3)$	$(V_1, V_5)$ $(V_4, V_5)$	$V_2 : 2 \ 4$
$(V_4, V_3)$		$V_4 : 2 \ 4$
$(V_5, V_3)$		$V_5 : 2 \ 4$
$(V_2, V_1)$		<u><math>V_2 : \emptyset</math></u>

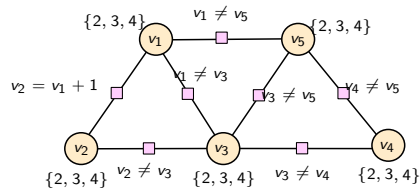
Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))



# Problem 3.2.3: Perform Backtracking Search by Hand (AC-3)

Arc	Queue	Domain Change

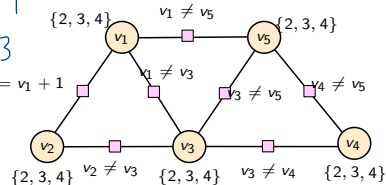
Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))



# Problem 3.2.3: Perform Backtracking Search by Hand (AC-3)

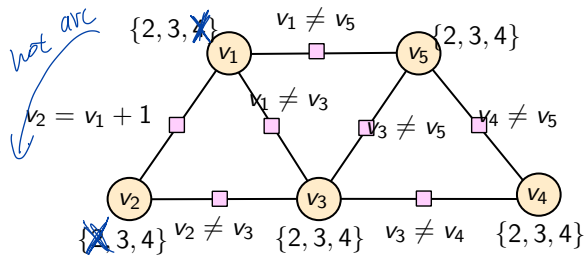
Arc	Queue	Domain Change
	<del><math>(V_1, V_3)</math></del> <del><math>(V_2, V_3)</math></del> <del><math>(V_4, V_3)</math></del> <del><math>(V_5, V_3)</math></del>	
$(V_1, V_3)$	<del><math>(V_2, V_1)</math></del> <del><math>(V_5, V_1)</math></del> <del><math>(V_1, V_2)</math></del> <del><math>(V_5, V_4)</math></del>	<del><math>V_1 = 3, 4</math></del>
$(V_2, V_3)$	<del><math>(V_1, V_5)</math></del> <del><math>(V_4, V_5)</math></del> <del><math>(V_3, V_2)</math></del> <del><math>(V_3, V_1)</math></del>	<del><math>V_2 = 3, 4</math></del>
$(V_4, V_3)$	<del><math>(V_5, V_1)</math></del> <del><math>(V_3, V_5)</math></del> <del><math>(V_3, V_4)</math></del> <del><math>(V_4, V_5)</math></del>	<del><math>V_4 = 3, 4</math></del>
$(V_5, V_3)$		<del><math>V_5 = 3, 4</math></del>
$(V_2, V_1)$		$V_2 = 4$
$(V_5, V_1)$		$V_1 = 3$
$(V_1, V_2)$		$V_5 = 4$
$(V_3, V_4)$		$V_4 = 3$
$(V_3, V_1)$		
$(V_3, V_5)$		
$(V_4, V_5)$		
$(V_5, V_4)$		
$(V_1, V_5)$		
$(V_2, V_5)$		
$(V_3, V_2)$		
$(V_4, V_2)$		
$(V_5, V_2)$		
$(V_1, V_4)$		
$(V_2, V_4)$		
$(V_3, V_4)$		
$(V_4, V_4)$		
$(V_5, V_5)$		
$(V_1, V_1)$		
$(V_2, V_2)$		
$(V_3, V_3)$		
$(V_4, V_4)$		
$(V_5, V_5)$		

Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))



## Problem 3.2.4

Consider the constraint satisfaction problem at its initial state. Is the CSP arc consistent? Is this a convenient initial condition if we plan to apply backtracking search? Describe the domains after the arc consistency algorithm has been applied to the CSP as a preprocessing step.



Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))

## Problem 3.2.4

New, arc-consistent CSP:

## Problem 3.2.5: Backtracking Search after Preprocessing

### Variable selection:

Variable to expand next: apply minimum-remaining-values (MRV) heuristic; if there is a tie, use degree heuristics; if there is a tie again, choose on randomly.

### Value selection:

Value to assign next: use least-constraining-value heuristics; if there is a tie, choose choose one randomly.

### Inference:

After each assignment: perform arc consistency algorithm. Backtrack if you find an inconsistency.

Assume the data structure of the queue is a set, i.e., if we add an element to the queue which is already in the queue, the element will not be added a second time (each element is unique).

# Problem 3.2.5: Backtracking Search after Preprocessing

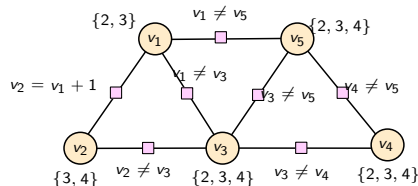
step	assign	current domains					degree					backtrack to
		$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	$\emptyset$	23	34	234	234	234	3	2	4	2	3	
1	$V_1=2$											

Variable: MRV  $\rightarrow$  Degree  $\rightarrow$  randomly

Value: least constr. value  $\rightarrow$  randomly

Inference: arc consistency algorithm

Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))



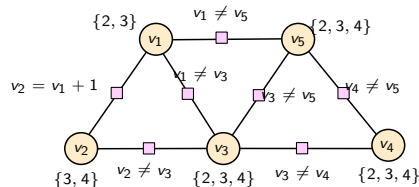


# Problem 3.2.5: Backtracking Search after Preprocessing (AC-3)

 $V_1 = 2$ 

Arc	Queue	Domain Change
	<del><math>(v_2, v_1)</math></del> <del><math>(v_3, v_1)</math></del> <del><math>(v_5, v_1)</math></del>	$v_2 = 3$
$(v_2, v_1)$	<del><math>(v_3, v_2)</math></del> <del><math>(v_2, v_5)</math></del> <del><math>(v_5, v_3)</math></del> <del><math>(v_4, v_3)</math></del>	<del><math>v_3 = 3</math></del> 4
$(v_3, v_1)$	$(v_3, v_5)$ $(v_4, v_5)$ $(v_3, v_1)$ $(v_1, v_2)$	$v_5 = 3 \ 4$
$(v_5, v_1)$		$v_3 = 4$
$(v_3, v_2)$	$(v_4, v_3)$	
$(v_2, v_5)$		
$(v_3, v_5)$		

Tweedback code: **znnk** ([twbk.de/znnk](http://twbk.de/znnk))



## Problem 3.2.6

For each of the previous performances of backtracking search with a different inference compare the number of iterations and the number of times you needed to backtrack.

**Forward checking** (3.2.2): \_\_\_\_\_

**Arc Consistency Algorithm** (3.2.3): \_\_\_\_\_

**Arc Consistency Algorithm after preprocessing** (3.2.5): \_\_\_\_\_