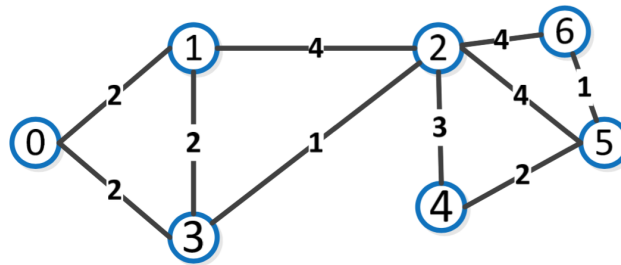


## Machine Learning for Graphs and Sequential Data Exercise Sheet 6

## Graphs: Clustering

**Problem 1:** Given the graph below, find the following partitionings of the graph for  $k = 2$ :

- The partitioning giving the global minimum cut
- A partitioning approximately minimizing the ratio cut
- A partitioning approximately minimizing the normalized cut



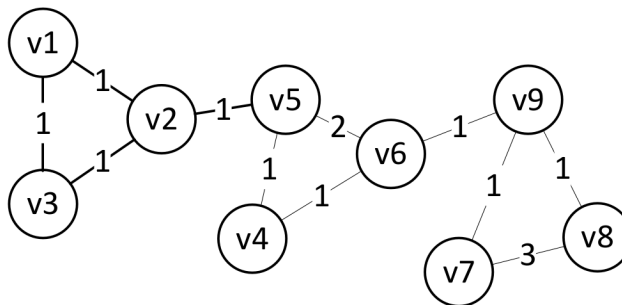
**Problem 2:** Consider minimizing the ratio cut on a graph with two clusters  $C_1$  and  $C_2$  and  $N$  nodes in total. The indicator vector

$$f_{C_1,i} = \begin{cases} +\sqrt{\frac{|C_1|}{|C_1|}} & \text{if } v_i \in C_1 \\ -\sqrt{\frac{|C_1|}{|C_1|}} & \text{otherwise} \end{cases}$$

is defined as in the lecture. Prove the following three properties about  $f_{C_1}$ .

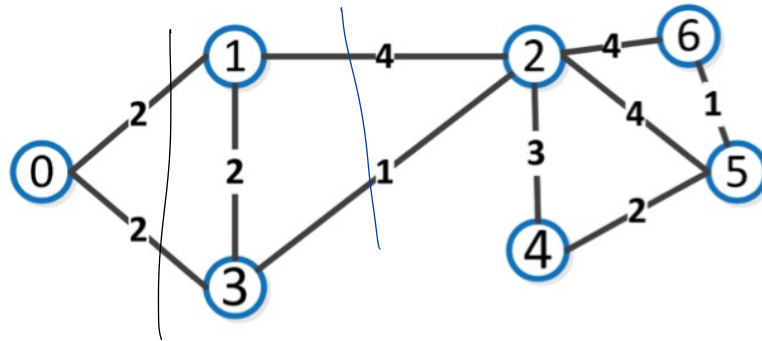
- $1^T f_{C_1} = \sum_i f_{C_1,i} = 0$
- $f_{C_1}^T f_{C_1} = \|f_{C_1}\|_2^2 = |V|$
- $f_{C_1}^T L f_{C_1} = |V| \left[ \frac{\text{cut}(C_1, C_2)}{|C_1|} + \frac{\text{cut}(C_1, C_2)}{|C_1|} \right]$

**Problem 3:** Answer the following questions regarding the graph below. Formulate a conjecture first and then verify it computationally in a notebook.



**Problem 1:** Given the graph below, find the following partitionings of the graph for  $k = 2$ :

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a)

$cut(C_1, C_2) = \sum_{v_i \in C_1, v_j \in C_2} w(v_i, v_j)$  is minimized

Problem: Tend to cut small vertex sets / consider only

Ratio Cut: Minimize  $\frac{cut(C_1, C_2)}{|C_1|} + \frac{cut(C_2, C_1)}{|C_2|}$

Normalized Cut: Minimize  $\frac{cut(C_1, C_2)}{vol(C_1)} + \frac{cut(C_1, C_2)}{vol(C_2)}$

volume of a set of nodes

$$\begin{aligned} vol(C_i) &= \sum_{v_i \in C_i} deg(v_i) \\ assoc(C_i, V) &= \sum_{v_i \in C_i, v_j \in V} w(v_i, v_j) \\ cut(C_i, V) &= \sum_{v_i \in C_i, v_j \in V} w(v_i, v_j) \end{aligned}$$

a)  $cut(C_1, C_2) = 4$

b)  $\frac{cut(C_1, C_2)}{|C_1|} + \frac{cut(C_2, C_1)}{|C_2|} = \frac{5}{3} + \frac{5}{4} = \frac{35}{12}$

c)  $\frac{cut(C_1, C_2)}{vol(C_1)} + \frac{cut(C_1, C_2)}{vol(C_2)} = \frac{5}{12+5} + \frac{5}{28+5}$

**Problem 2:** Consider minimizing the ratio cut on a graph with two clusters  $C_1$  and  $C_2$  and  $N$  nodes in total. The indicator vector

$$f_{C_1, i} = \begin{cases} +\sqrt{\frac{|C_1|}{|C_1|}} & \text{if } v_i \in C_1 \\ -\sqrt{\frac{|C_1|}{|C_1|}} & \text{otherwise} \end{cases}$$

is defined as in the lecture. Prove the following three properties about  $f_{C_1}$ .

a)  $1^T f_{C_1} = \sum_i f_{C_1, i} = 0$

b)  $f_{C_1}^T f_{C_1} = \|f_{C_1}\|_2^2 = |V|$

c)  $f_{C_1}^T L f_{C_1} = |V| \left[ \frac{\text{cut}(C_1, C_2)}{|C_1|} + \frac{\text{cut}(C_1, C_2)}{|C_1|} \right]$

a)  $|C_1| \sqrt{\frac{|C_1|}{|C_1|}} + |\bar{C}_1| \left( -\sqrt{\frac{|C_1|}{|C_1|}} \right)$   
 $= \sqrt{|C_1| |\bar{C}_1|} - \sqrt{|C_1| |\bar{C}_1|} = 0$

b)  $(f_{C_1, i})^2 = |C_1| \frac{|C_1|}{|C_1|} + |\bar{C}_1| \frac{|C_1|}{|C_1|}$   
 $= |V|$

c)  $f_{C_1}^T L f_{C_1} = \frac{1}{2} \sum W_{uv} (f_{C_1, u} - f_{C_1, v})^2$   
 $= \frac{1}{2} \left[ \sum_{u \in C_1, v \in C_1} W_{uv} (\cancel{f_{C_1, u}} + \cancel{f_{C_1, v}})^2 + \sum_{u \in \bar{C}_1, v \in \bar{C}_1} (\cancel{f_{C_1, u}} - \cancel{f_{C_1, v}})^2 \right]$   
 $+ 2 \sum_{u \in C_1, v \in \bar{C}_1} W_{uv} (f_{C_1, u} - f_{C_1, v})^2$   
 $= \sum W_{uv} (f_{C_1, u}^2 - 2 f_{C_1, u} f_{C_1, v} + f_{C_1, v}^2)$   
 $\left( \frac{|C_1|}{|C_1|} + 2 + \frac{|C_1|}{|C_1|} \right) \sum_{\text{cut}(C_1, \bar{C}_1)} W_{uv}$   
 $|V| \left( \frac{(|C_1| + |\bar{C}_1|)}{|C_1| |\bar{C}_1|} \right)$

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- a) How does the first eigenvector change when increasing the weight between node  $v_6$  and  $v_9$ ? *unchange*
- b) How does the spectral embedding change? *move closer, CT?*
- c) How does this change affect the final clustering?  *$v_9$  may inter  $v_6$  cluster*

**Problem 3:** Answer the following questions regarding the graph below. Formulate a conjecture first and then verify it computationally in a notebook.

