

# Fundamentals of Artificial Intelligence – Constraint Satisfaction Problems

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# Organization

- 1 Defining Constraint Satisfaction Problems
- 2 Backtracking Search for Constraint Satisfaction Problems
- 3 Variable Selection (Heuristics)
  - Minimum Remaining Values //
  - Degree Heuristic
- 4 Value Selection (Heuristics)
- 5 Interleaving Search and Inference
  - Forward Checking //
  - Arc Consistency Algorithm //
- 6 The Structure of Constraint Satisfaction Problems
  - Conditioning
  - Tree Decomposition

The content is covered in the AI book by the section “Constraint Satisfaction Problems”.

# Learning Outcomes

- You can explain the difference between constraint satisfaction problems (CSPs) and standard search problems.
- You can judge whether a problem is a CSP.
- You can create formally defined CSPs from a problem description.
- You can create constraint graphs.
- You can apply backtracking search.
- You can apply and decide when to use the following heuristics: *Minimum Remaining Values*, *Degree Heuristic*, and *Least Constraining Value*.
- You can apply techniques interleaving search and inference: *Forward Checking* and *Arc Consistency Algorithm*.
- You can exploit the structure of CSPs and reduce the complexity of solving tree-structured and nearly tree-structured CSPs.

# Difference to Standard Search Problems

每个状态都是原子式的，或者说是不可分割的，没有内部结构。

## Standard Search Problems

Each **state** is **atomic, or indivisible**, and has no internal structure.

## Constraint Satisfaction Problems (CSPs)

- We use a **factored representation** of each state: a set of variables, each of which has a value.
- The goal test is whether each variable has a value that satisfies all constraints of the problem.

**Benefit:** Allows useful general-purpose algorithms with more power than standard search algorithms by exploiting the structure of the states.

# Set-Up

## Constraint Satisfaction Problem

A constraint satisfaction problem is a tuple  $(X, D, C)$ , where:

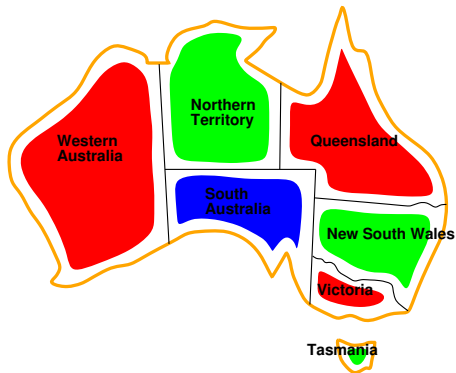
- $X = \{X_1, \dots, X_n\}$  is a set of variables,
  - $D = \{D_1, \dots, D_n\}$  is a set of the respective domains of values, and
  - $C = \{C_1, \dots, C_m\}$  is a set of constraints.
- 
- Each domain  $D_i$  consists of a set of allowable values  $\{v_1, \dots, v_k\}$  for variable  $X_i$ .
  - Each constraint  $C_i$  consists of a pair  $\langle \text{scope}, \text{rel} \rangle$ , where *scope* is a tuple of variables that participate in the constraint and *rel* is a relation that defines the possible values.

# Example Problem: Map Coloring



- **Variables:**  $X = \{WA, NT, Q, NSW, V, SA, T\}$
- **Domains:**  $D_i = \{red, green, blue\}$
- **Constraints:** adjacent regions must have different colors  
 $C = \{SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, SA \neq V, WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V\};$       ( $SA \neq WA$  is short for  $\langle (SA, WA), SA \neq WA \rangle$ )

# Possible Solution of Map Coloring

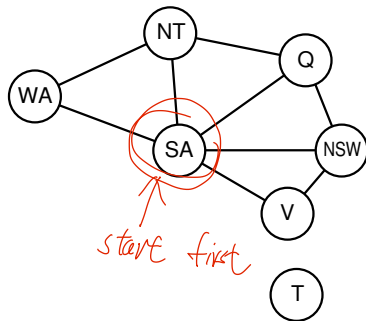


**Solutions** are assignments satisfying all constraints, e.g.,  
 $\{WA = \text{red}, NT = \text{green}, Q = \text{red}, NSW = \text{green}, V = \text{red}, SA = \text{blue}, T = \text{green}\}$

## Constraint Graph

It can be helpful to visualize a constraint satisfaction problem as a **constraint graph**:

- Nodes correspond to variables,
- edges connect two variables that participate in a constraint.



Standard search would require searching all combinations, but

- by fixing e.g.,  $SA = \text{blue}$ , none of the five neighbors can choose blue. This reduces from  $3^5 = 243$  assignments in standard search to only  $2^5 = 32$  (reduction by 87%).
- Tasmania is even an independent subproblem.



# Varieties of Constraint Satisfaction Problems

Not  
relevant for  
the exam

## Discrete domains

**Finite domains**; size  $d \Rightarrow \mathcal{O}(d^n)$  complete assignments

- For instance, Boolean CSPs, incl. Boolean satisfiability (NP-complete).

**Infinite domains** (integers, strings, etc.)

- For instance, job scheduling, variables are start/end days for each job;
- requires a constraint language, e.g.,  $StartJob_1 + 5 \leq StartJob_3$ ;
- **linear** constraints solvable, **nonlinear** undecidable.

## Continuous domains (not part of this lecture)

- For instance, start/end times for Hubble Telescope observations.
- Linear constraints solvable in polynomial time by linear programming methods.

# Varieties of Constraints

- **Unary** constraints involve a single variable,  
e.g.,  $SA \neq green$
- **Binary** constraints involve pairs of variables,  
e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,  
e.g.,  $SA \neq WA \neq NT$ . Higher order constraints can be rewritten as several binary constraints. Previous example:  $SA \neq WA$  and  $WA \neq NT$  and  $SA \neq NT$ .

For that reason, we only consider binary constraints from now on.

- **Preferences** (soft constraints), e.g., *red* is better than *green* is often representable by a cost for each variable assignment  
→ constrained optimization problems (not part of this lecture)

# Real-World Constraint Satisfaction Problems



Not  
relevant for  
the exam

- **Assignment problems**, e.g., who teaches what class?
- **Timetabling problems**, e.g., which class is offered when and where?
- **Hardware configuration**, e.g., what kind of processor, memory, bus system, motherboards, etc., can be combined?
- **Spreadsheets**, e.g., check constraints to ensure correctness of data.
- **Transportation scheduling**, e.g., scheduling of trains so that changing trains is easy.
- **Factory scheduling**, e.g., determining in which order pieces have to be assembled.
- **Floorplanning**, e.g., how should the rooms in a house be arranged, when the living room should face south and the bathroom should have a window to the outside?

Many real-world problems involve real-valued variables.

# Standard Search Formulation

Let's start with the naive search approach, then fix it.

States are defined by the values assigned so far:

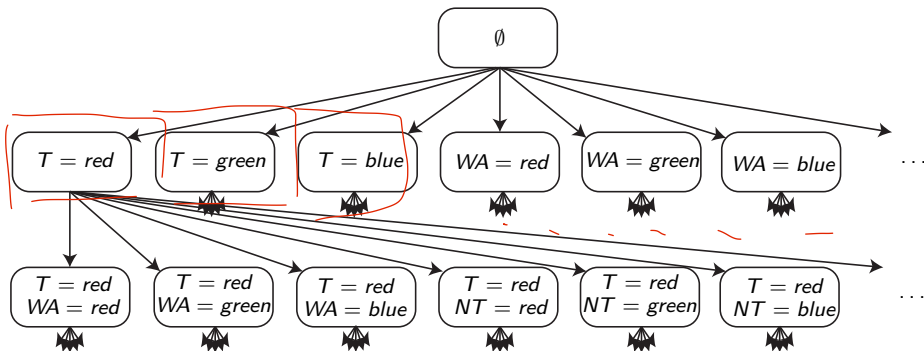
- **Initial state**: the empty assignment  $\emptyset$ .
- **Successor function**: assign a value to an unassigned variable not conflicting with the current assignment.
- **Goal test**: the current assignment is complete.

## Comments:

- 1 This is the same for all CSPs! 😊
- 2 Every solution appears at depth  $n$  with  $n$  variables  
 $\Rightarrow$  use depth-first search.
- 3 Path is irrelevant.
- 4  $b = (n - l)d$  at depth  $l$ , hence  $n!d^n$  leaves in the worst case! 😞  
 ( $n$ : nr. of variables,  $d$ : nr. of values; assumption: all variables have same nr. of values)

## Example of the Naive Approach

We use the map coloring problem:



Number of nodes in the worst case:

- **First level:**  $n \cdot d$  nodes ( $n$ : number of variables,  $d$ : number of values).
- **Second level:**  $(n \cdot d)((n - 1) \cdot d)$  nodes.
- $n^{\text{th}}$  level:  $\prod_{l=0}^{n-1} (n - l) \cdot d = \prod_{l=0}^{n-1} (n - l) \cdot \prod_{l=0}^{n-1} d = n! d^n$  nodes.

# Backtracking Search

- We can drastically improve the naive approach by considering that variable assignments are **commutative**:

$[WA = red \text{ then } NT = green]$  same as  $[NT = green \text{ then } WA = red]$

$\Rightarrow$  Only consider assignments to a single variable at each node

$\Rightarrow b = d$  and there are only  $d^n$  leaves

- Depth-first search for CSPs with single-variable assignments is called **backtracking** search.
- **Backtracking** search is the basic uninformed algorithm for CSPs (e.g., solves the  $n$ -queens problem for  $n \approx 25$ ).

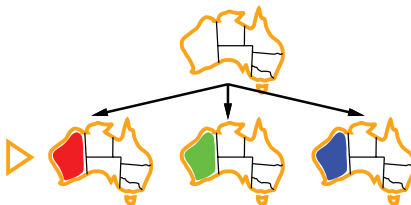
# Backtracking Search: Map-Coloring Example (1)

Part of the search tree for the map-coloring problem:



## Backtracking Search: Map-Coloring Example (2)

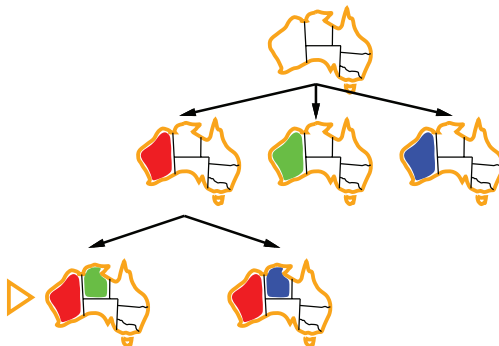
Part of the search tree for the map-coloring problem:





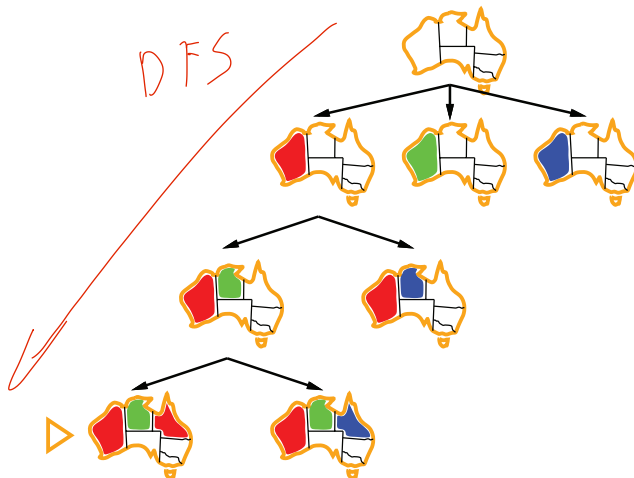
## Backtracking Search: Map-Coloring Example (3)

Part of the search tree for the map-coloring problem:



# Backtracking Search: Map-Coloring Example (4)

Part of the search tree for the map-coloring problem:



# Backtracking Search: Algorithm

**function** Backtracking-Search (*csp*) **returns** solution/failure

**return** Recursive-Backtracking( $\{\}$ , *csp*)

**function** Recursive-Backtracking (*assignment*, *csp*) **returns** sol./failure

**if** *assignment* is complete **then return** *assignment*

*var*  $\leftarrow$  Select-Unassigned-Variable(*csp*)

**for each** *value* **in** Order-Domain-Values(*var*, *assignment*, *csp*) **do**

**if** *value* is consistent with *assignment* given Constraints[*csp*] **then**

add  $\{var = value\}$  to *assignment*

*inferences*  $\leftarrow$  Inference(*csp*, *var*, *value*)

**if** *inferences*  $\neq$  failure **then**

add *inferences* to *assignment*

*result*  $\leftarrow$  Recursive-Backtracking(*assignment*, *csp*)

**if** *result*  $\neq$  failure **then return** *result*

remove *inferences* from *assignment*

remove  $\{var = value\}$  from *assignment*

**return** failure

# Backtracking Search: Heuristics (1)

```

function Recursive-Backtracking (assignment, csp) returns sol./failure
if assignment is complete then return assignment
var  $\leftarrow$  Select-Unassigned-Variable(csp)
for each value in Order-Domain-Values(var, assignment, csp) do
    if value is consistent with assignment given Constraints[csp] then
        add {var = value} to assignment
        inferences  $\leftarrow$  Inference(csp, var, value)
        if inferences  $\neq$  failure then
            add inferences to assignment
            result  $\leftarrow$  Recursive-Backtracking(assignment, csp)
            if result  $\neq$  failure then return result
            remove inferences from assignment
        remove {var = value} from assignment
return failure
  
```

- 1 Which variable should be assigned next? (Sec. Variable Selection)

## Backtracking Search: Heuristics (2)

```

function Recursive-Backtracking (assignment, csp) returns sol./failure
if assignment is complete then return assignment
var  $\leftarrow$  Select-Unassigned-Variable(csp)
for each value in Order-Domain-Values(var, assignment, csp) do
    if value is consistent with assignment given Constraints[csp] then
        add {var = value} to assignment
        inferences  $\leftarrow$  Inference(csp, var, value)
        if inferences  $\neq$  failure then
            add inferences to assignment
            result  $\leftarrow$  Recursive-Backtracking(assignment, csp)
            if result  $\neq$  failure then return result
            remove inferences from assignment
        remove {var = value} from assignment
return failure
  
```

- ① Which variable should be assigned next? (Sec. Variable Selection)
- ② In what order should its values be tried? (Sec. Value Selection)

## Backtracking Search: Heuristics (3)

```

function Recursive-Backtracking (assignment, csp) returns sol./failure

if assignment is complete then return assignment
var  $\leftarrow$  Select-Unassigned-Variable(csp)
for each value in Order-Domain-Values(var, assignment, csp) do
    if value is consistent with assignment given Constraints[csp] then
        add {var = value} to assignment
        inferences  $\leftarrow$  Inference(csp, var, value)
        if inferences  $\neq$  failure then
            add inferences to assignment
            result  $\leftarrow$  Recursive-Backtracking(assignment, csp)
            if result  $\neq$  failure then return result
            remove inferences from assignment
        remove {var = value} from assignment
return failure
  
```

- ① Which variable should be assigned next? (Sec. Variable Selection)
- ② In what order should its values be tried? (Sec. Value Selection)
- ③ Can we detect inevitable failure early? (Sec. Interleaving Search and Inference)

## Backtracking Search: Heuristics (4)

```

function Recursive-Backtracking (assignment, csp) returns sol./failure
if assignment is complete then return assignment
var  $\leftarrow$  Select-Unassigned-Variable(csp)
for each value in Order-Domain-Values(var, assignment, csp) do
    if value is consistent with assignment given Constraints[csp] then
        add {var = value} to assignment
        inferences  $\leftarrow$  Inference(csp, var, value)
        if inferences  $\neq$  failure then
            add inferences to assignment
            result  $\leftarrow$  Recursive-Backtracking(assignment, csp)
            if result  $\neq$  failure then return result
            remove inferences from assignment
        remove {var = value} from assignment
return failure
  
```

- ① Which variable should be assigned next? (Sec. Variable Selection)
- ② In what order should its values be tried? (Sec. Value Selection)
- ③ Can we detect inevitable failure early? (Sec. Interleaving Search and Inference)
- ④ Can we take advantage of problem structure? (Sec. The Structure of CSPs)

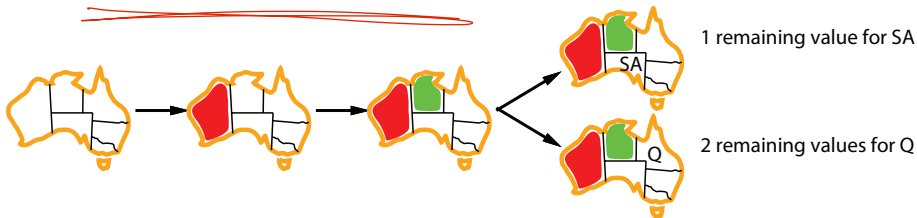
# Variable Selection I: Minimum Remaining Values

The backtracking algorithm contains  $var \leftarrow \text{Select-Unassigned-Variable}(csp)$ .

- The simplest strategy is to choose the next unassigned variable in order ( $\{X_1, X_2, \dots\}$ ).
- The above strategy seldomly is the most efficient one.

**Example (see figure):** for  $WA = \text{red}$  and  $NT = \text{green}$ , there is only one possibility for  $SA$ , so it makes sense to assign  $SA = \text{blue}$  rather than assigning  $Q$ . After  $SA$  is assigned, the choices for  $Q$ ,  $NSW$ , and  $V$  are all forced.

The intuitive idea of choosing the variable with the fewest possible values first is called **minimum-remaining-values** (MRV) heuristic.

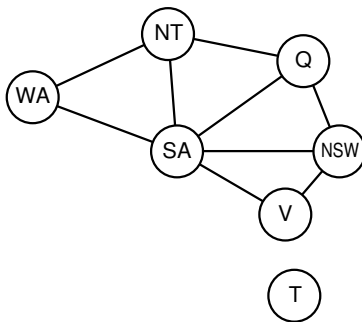




## Variable Selection II: Degree Heuristic

- The MRV heuristic does not help in choosing the first region in Australia.
- A good choice is to select the variable that is involved in the largest number of constraints on other unassigned variables, called degree heuristic.

**Example (see figure):** *SA* is involved in 5 constraints, while other variables are only in 2 or 3 constraints, except for *T*. Once *SA* is chosen, continuing use of degree heuristic solves the problem without any false step.



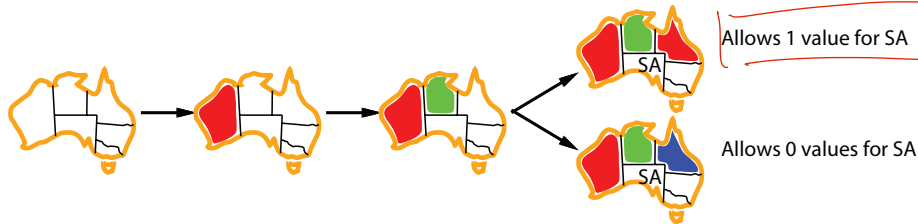
# Value Selection: Least Constraining Value

The backtracking algorithm contains

**for each** value **in** Order-Domain-Values(*var*, *assignment*, *csp*) **do**.

- Optimal order of choosing values?
- A good choice is to select the value that rules out the fewest choices for neighboring values in the constraint graph, called **least-constraining-value heuristic**.

**Example (see figure):** *WA* = red and *NT* = green are selected and our next choice is *Q*. Blue would be a bad choice since it removes all options for the neighbor *SA*, while red leaves an option for *SA*. Thus, red is preferred.



# Comments on Variable and Value Selection

**Why should variable selection be fail-first, but value selection be fail-last?**

- **Variable selection:** Choosing variables with the minimum number of remaining values helps to prune the search tree (in the end, each variable has to be selected anyways).
- **Value selection:** We only need one solution; therefore, it makes sense to look at the most likely values first.

It is not guaranteed that the proposed heuristics always provide fast solutions; however, they work well in most cases.

# Inference in Constraint Satisfaction Problems

## Inference

Act or process of deriving logical conclusions from known premises.

Inference can be applied at different times:

- **After each assignment:** see `Inference()` in backtracking algorithm.
- **As pre-processing:** before applying the backtracking algorithm.

Considered inference techniques (others exist)

- **Forward checking** (after each assignment): inconsistent values of neighboring variables are removed.
- **Arc consistency algorithm** (after each assignment or as pre-processing): inconsistent values of all variables are removed.

## Definition of Arc Consistency

### Arc consistency of a variable

Variable  $X_i$  is arc-consistent with variable  $X_j$ , if for every value in the domain  $D_i$  there exists a value in  $D_j$  satisfying the binary constraint of the arc  $(X_i, X_j)$ .

**Example:**  $X$  is arc-consistent with  $Y$  for the constraint  $Y = X^2$  if  $D_X = \{0, 1, 2, 3\}$  and  $D_Y = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ , but  $Y$  is not arc-consistent with  $X$  (direction of the arc matters).

### Arc consistency of a CSP

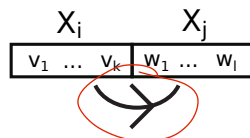
A constraint graph is arc-consistent if every variable is arc-consistent with every other variable.

**Example:** the constraint graph  $Y = X^2$  is arc-consistent, if  $D_X = \{0, 1, 2, 3\}$  and  $D_Y = \{0, 1, 4, 9\}$ .

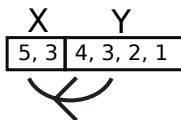
# Visualization and Examples of Arc Consistency

## Visualization

If variable  $X_i$  with domain  $D_i = \{v_1, \dots, v_k\}$  is arc-consistent with variable  $X_j$  with domain  $D_j = \{w_1, \dots, w_l\}$ , we visualize this by:

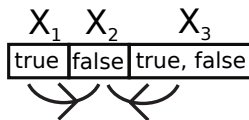


**Examples:** are the visualized arc-consistencies correct?



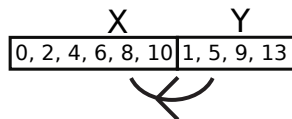
$$Y < X < Y^2$$

no



$$X_1 \vee X_2, X_2 \Rightarrow X_3$$

yes



$$Y = 2X + 1$$

yes

# Inference I: Forward Checking (1)

After a variable  $X_i$  is assigned during backtracking search, forward checking makes all variables  $X_j$  constrained with  $X_i$  arc-consistent with  $X_i$ .

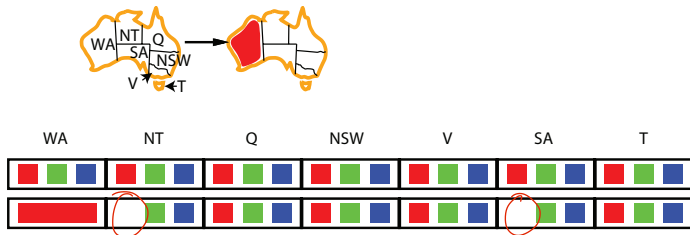
**Initial domains:**



## Inference I: Forward Checking (2)

After a variable  $X_i$  is assigned during backtracking search, forward checking makes all variables  $X_j$  constrained with  $X_i$  arc-consistent with  $X_i$ .

**After assigning  $WA = red$ :**



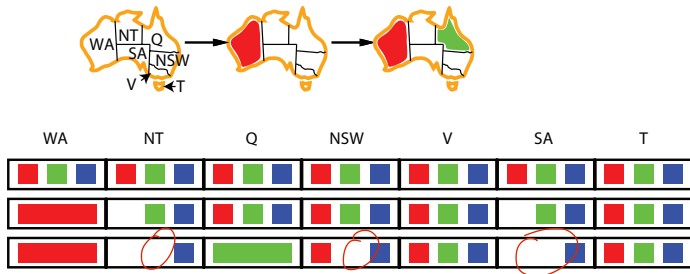
**Comment:** forward checking removes the value red from all neighbors of WA so that they are arc-consistent with WA. Thus, NT is arc-consistent with WA, and SA is arc-consistent with WA.



## Inference I: Forward Checking (3)

After a variable  $X_i$  is assigned during backtracking search, forward checking makes all variables  $X_j$  constrained with  $X_i$  arc-consistent with  $X_i$ .

**After assigning  $Q = \text{green}$ :**

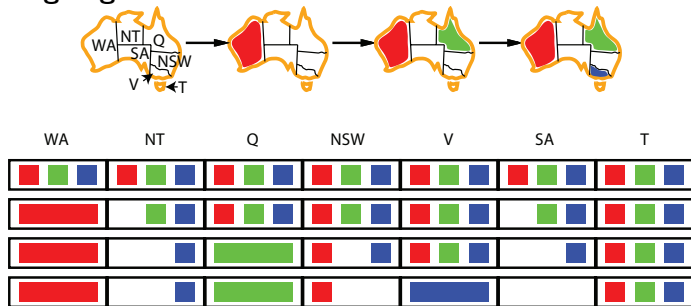


**Comment:** forward checking removes the value *green* from all neighbors of  $Q$ . Note that forward checking does not change the domains of other variables and does not assign variables whose domain only contains a single value.

# Inference I: Forward Checking (4)

After a variable  $X_i$  is assigned during backtracking search, forward checking makes all variables  $X_j$  constrained with  $X_i$  arc-consistent with  $X_i$ .

**After assigning  $V = \text{blue}$ :**



**Comment:** forward checking results in a failure, since the domain for  $SA$  becomes empty. Thus, the backtracking search algorithm will backtrack: it revokes the last inconsistent assignment  $V = \text{blue}$ .

# Inference II: Arc Consistency Alg. ( ArcConsistencyAlgorithm.ipynb)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**inputs:** *csp*: a binary CSP, *queue*: a queue of arcs  $(X_i, X_j)$

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values $(X_i, X_j)$  **then**

**if** size of  $\text{Domain}(X_i) = 0$  **then return** *failure*

**for each**  $X_k$  **in**  $\text{Neighbors}[X_i] \setminus \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return** *csp*

**function** Remove-Inconsistent-Values  $(X_i, X_j)$  **returns** true iff succeeds

*removed*  $\leftarrow$  *false*

**for each**  $x$  **in**  $\text{Domain}[X_i]$  **do**

**if** no value  $y$  in  $\text{Domain}[X_j]$  allows  $(x, y)$  to satisfy the constraint of  $(X_i, X_j)$

**then** delete  $x$  from  $\text{Domain}[X_i]$ ; *removed*  $\leftarrow$  *true*

**return** *removed*

Time complexity is  $\mathcal{O}(cd^3)$  ( $c$ : number of arcs,  $d$ : maximum domain size).

# Initialization of the Arc Consistency Algorithm

The input variable *queue* is initialized depending on when AC-3 is used:

- **after each assignment:** after assigning variable  $X_i$ , add the arcs  $(X_j, X_i)$  to *queue*, where  $X_j$  are all unassigned neighbors of  $X_i$ .
- **as pre-processing:** add all arcs of the CSP to *queue*.

If the arc consistency algorithm terminates successfully, all variables of the CSP are arc-consistent with each other and possibly have reduced domains.

# Arc Consistency Algorithm: Example (1)

Example for applying the arc consistency algorithm (AC-3) after an assignment.

In the initial map-coloring problem, we assigned  $WA = red$ , applied the arc consistency algorithm in  $Inference(csp, var, value)$ , and just assigned  $Q = green$ .



pop

WA	NT	Q	NSW	V	SA	T
Red	Green, Blue	Green	Red, Green, Blue	Red, Green, Blue	Green, Blue	Red, Green, Blue

Now, we again apply  $Inference(csp, var, value)$ .

- add  $(NSW, Q)$ ,  $(SA, Q)$ ,  $(NT, Q)$  to *queue*: since  $Q$  has just been assigned, the *queue* is initialized with all arcs to neighbors of  $Q$
- call  $AC-3(csp, queue)$

# Arc Consistency Algorithm: Example (2)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ] \ { $X_j$ } **do**

            add  $(X_k, X_i)$  to *queue*

- $(NSW, Q) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $NSW, Q$ ) **returns** *true*: green removed from domain of NSW
- add  $(V, NSW)$ ,  $(SA, NSW)$  to *queue*: neighbors of  $NSW$  (except  $Q$ )



# Arc Consistency Algorithm: Example (3)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ] \ { $X_j$ } **do**

            add  $(X_k, X_i)$  to *queue*

- $(SA, Q) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $SA, Q$ ) **returns** *true*: green removed from domain of SA
- add  $(WA, SA), (NT, SA), (NSW, SA), (V, SA)$  to *queue*: neighbors of SA (except Q)



# Arc Consistency Algorithm: Example (4)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ] \ { $X_j$ } **do**

            add  $(X_k, X_i)$  to *queue*

- $(NT, Q) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $NT, Q$ ) **returns** *true*: *green* removed from domain of  ~~$NT$~~
- add  $(WA, NT), (SA, NT)$  to *queue*: neighbors of  $NT$  (except  $Q$ )





# Arc Consistency Algorithm: Example (5)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ] \ { $X_j$ } **do**

            add  $(X_k, X_i)$  to *queue*

- $(V, NSW) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $V, NSW$ ) **returns** *false*:  $V$  is already arc-consistent with  $NSW$



# Arc Consistency Algorithm: Example (6)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ] \ { $X_j$ } **do**

            add ( $X_k, X_i$ ) to *queue*

- $(SA, NSW) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $SA, NSW$ ) **returns** *false*:  $SA$  is already arc-consistent with  $NSW$



**Comment:** even though  $SA$  is arc-consistent with  $NSW$ ,  $NSW$  is not arc-consistent with  $SA$ .

# Arc Consistency Algorithm: Example (7)

**function** AC-3 (*csp*, *queue*) **returns** *failure* or the reduced *csp* otherwise

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{Remove-First}(\text{queue})$

**if** Remove-Inconsistent-Values( $X_i, X_j$ ) **then**

**if** size of Domain( $X_i$ ) = 0 **then return** *failure*

**for each**  $X_k$  **in** Neighbors[ $X_i$ ]  $\setminus \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

- $(WA, SA) \leftarrow \text{Remove-First}(\text{queue})$
- Remove-Inconsistent-Values( $WA, SA$ ) **returns** *false*:  $WA$  is already arc-consistent with  $SA$



## Arc Consistency Algorithm: Example (8)

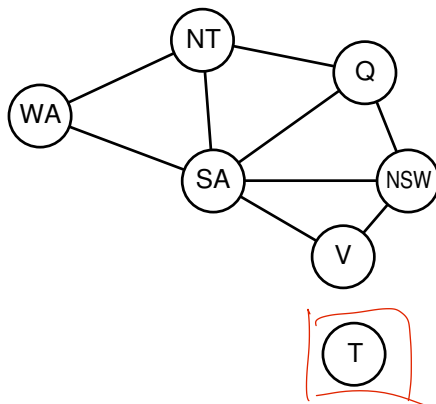
- $(NT, SA) \leftarrow \text{Remove-First}(\text{queue})$
- $\text{Remove-Inconsistent-Values}(NT, SA)$  **returns** *true*: *blue* removed from domain of *NT*
- AC-3 **returns** *failure*:  $\text{size of Domain}(NT) = 0$



**Result of applying AC-3:** the backtracking search algorithm receives a *failure* and knows that the CSP cannot be solved with the last assignment  $Q = \text{green}$ . Thus, the search algorithm backtracks by removing the inconsistent assignment and restoring the modified domains.

Further examples of applying AC-3 are presented in the exercise.

# Problem Structure



Tasmania and the mainland are **independent subproblems**.

Identifiable as **connected components** of the constraint graph.

# Complexity Reduction of Independent Problems

Completely independent problems provide a fantastic simplification:

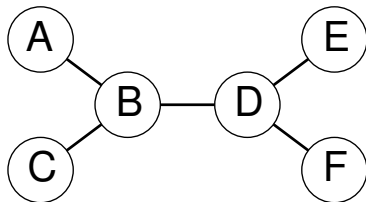
- Suppose each subproblem has  $c$  variables out of  $n$  total.
- Worst-case solution cost is  $n/c \cdot d^c$ , **linear** in  $n$ .
- Worst-case solution cost of the full problem is  $d^n$ , **exponential** in  $n$ .

## Example

$n = 80$ ,  $d = 2$ ,  $c = 20$ :

- full problem:  $2^{80} = 4$  billion years at 10 million nodes/sec  
(approximate time until earth consumed by the sun)
- independent problems:  $4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec

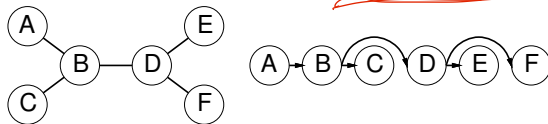
# Tree-Structured CSPs



- If the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time ( $n$ : nr. of variables,  $d$ : domain size).
- Compare to general CSPs, where worst-case time is  $O(d^n)$ .
- This property also applies to logical and probabilistic reasoning.

# Tree-Structured CSPs: Algorithm

- 1 Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering (topological sort).



Any tree with  $n$  nodes has only  $n - 1$  arcs, so that topological sort is  $\mathcal{O}(n)$ .

- 2 The obtained variable order  $X_1, X_2, \dots, X_n$  is made directly arc-consistent.

## Direct arc consistency

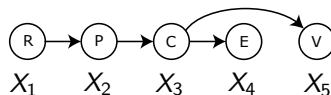
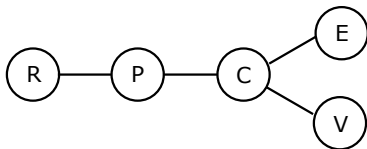
A CSP is direct arc-consistent for the ordered variables  $X_1, X_2, \dots, X_n$  if and only if every  $X_i$  is arc-consistent with each directly connected  $X_j$  for  $j > i$ .

The complexity is  $\mathcal{O}(nd^2)$  since for each node, two variables with  $d$  possible domain values have to be compared pairwise.

- 3 We can march down the list of variables in the directed arc-consistent graph and choose any remaining value without backtracking.



# Tree-Structured CSPs: Example (1)



**Option 1:** The initial domains of all countries are  $D_i = \{\text{red}, \text{green}, \text{blue}\}$

$$D_5 = \{\text{red}, \text{green}, \text{blue}\}$$

$$D_4 = \{\text{red}, \text{green}, \text{blue}\}$$

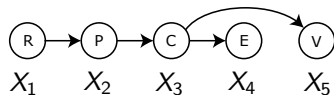
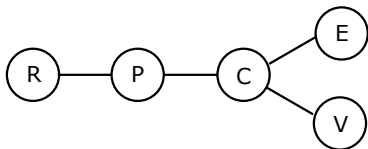
$$D_3 = \{\text{red}, \text{green}, \text{blue}\}$$

$$D_2 = \{\text{red}, \text{green}, \text{blue}\}$$

$$D_1 = \{\text{red}, \text{green}, \text{blue}\}$$

We select  $X_1 = \text{red}$ ,  $X_2 = \text{green}$ ,  $X_3 = \text{blue}$ ,  
 $X_4 = \text{red}$ ,  $X_5 = \text{green}$ .

# Tree-Structured CSPs: Example (2)



**Option 2:** The initial domains of all countries are  $D_i = \{red, green, blue\}$ , except that  $D_5 = \{blue\}$

$$D_5 = \{blue\}$$

$$D_4 = \{red, green, blue\}$$

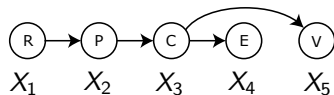
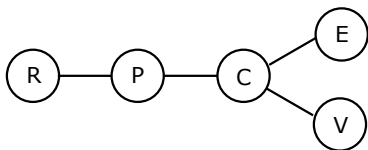
$$D_3 = \{red, green\}$$

$$D_2 = \{red, green, blue\}$$

$$D_1 = \{red, green, blue\}$$

We select  $X_1 = red$ ,  $X_2 = green$ ,  $X_3 = red$ ,  $X_4 = green$ ,  $X_5 = blue$ .

# Tree-Structured CSPs: Example (3)



**Option 3:** The initial domains of all countries are  $D_i = \{red, green, blue\}$ , except that  $D_5 = \{blue\}$  and  $D_4 = \{green\}$

$$D_5 = \{blue\}$$

$$D_4 = \{green\}$$

$$D_3 = \{red\}$$

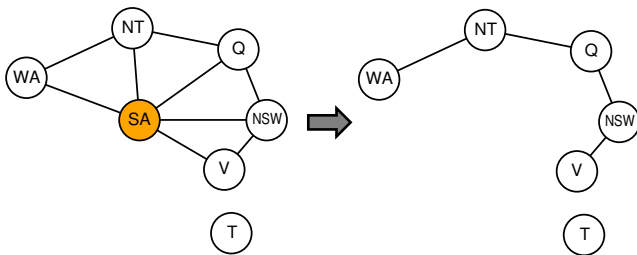
$$D_2 = \{green, blue\}$$

$$D_1 = \{red, green, blue\}$$

We select  $X_1 = red$ ,  $X_2 = green$ ,  $X_3 = red$ ,  $X_4 = green$ ,  $X_5 = blue$ .

# Nearly tree-structured CSPs: Conditioning (1)

**Conditioning:** instantiate a variable, prune its neighbors' domains.



The value chosen for *SA* could be wrong, requiring us to try all values:

- ① Choose a subset of variables  $S \subset X$  such that the constraint graph becomes a tree after removing  $S$ .
- ② For each possible constraint-satisfying assignment to variables in  $S$ ,
  - ① remove values from the other domains inconsistent with  $S$ , and
  - ② if the remaining CSP has a solution, return it with the one of  $S$ .

# Nearly tree-structured CSPs: Conditioning (2)

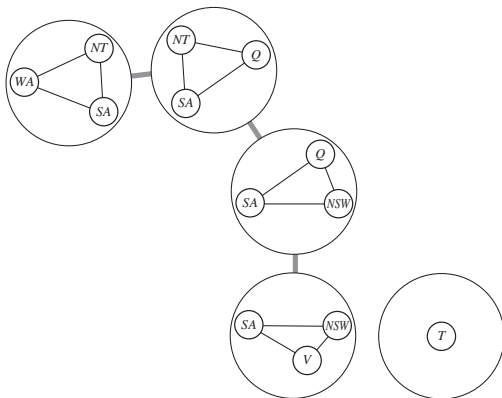
A few comments:

- Size of  $S$  is  $c$ : runtime  $\mathcal{O}(d^c \cdot (n - c)d^2)$ .  
Explanation: We try each of the  $d^c$  combinations in  $S$ , and for each one we solve a tree problem of size  $n - c$ .
- For small  $c$ , the approach is very fast. In the worst case,  $c$  can become as large as  $n - 2$ .
- Finding the smallest  $S$  to obtain a tree-structure is NP-hard, but several efficient approximation algorithms are known.

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# Nearly tree-structured CSPs: Tree Decomposition (1)

**Tree Decomposition:** decomposing the CSP into a “super-tree” of subproblems.



## Requirements:

- Every variable  $X_i$  appears in at least one subproblem.
- If variables  $X_i$  and  $X_j$  are connected in the original problem, they must be connected in at least one subproblem.
- If a variable appears in two subproblems, it must appear in every subproblem along the connecting path of the super-tree.

# Nearly tree-structured CSPs: Tree Decomposition (2)

- We solve each subproblem independently.
- If any subproblem has no solution, the entire problem has no solution.
- If we can solve all subproblems, we attempt to construct a global solution as follows:
  - ① We view each subproblem as a “super-variable”  $\hat{X}_i$  whose domain  $\hat{D}_i$  is the set of all solutions of the subproblem.

**Example (leftmost subproblem):**

$$\hat{D}_1 = \left\{ \begin{array}{l} \{WA = red, SA = blue, NT = green\}, \\ \{WA = red, SA = green, NT = blue\}, \\ \{WA = green, SA = blue, NT = red\}, \\ \{WA = green, SA = red, NT = blue\}, \\ \{WA = blue, SA = red, NT = green\}, \\ \{WA = blue, SA = green, NT = red\}, \end{array} \right\}$$

## Nearly tree-structured CSPs: Tree Decomposition (3)

- ② We solve the constraints connecting the subproblems, using the efficient algorithm for trees. The constraints between subproblems simply insist that the solutions of the subproblems agree on their shared variables.

**Example (leftmost solution):**

$$\{WA = red, SA = blue, NT = green\},$$

the only consistent solution for the next subproblem is

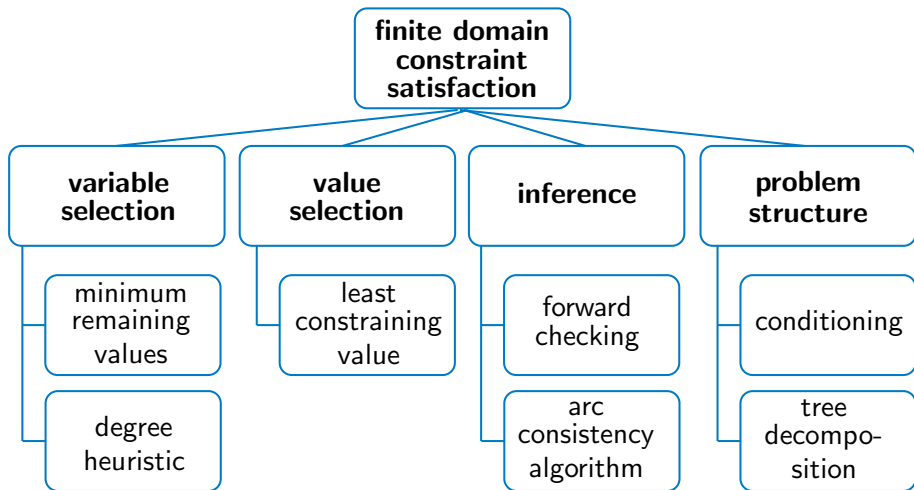
$$\{SA = blue, NT = green, Q = red\}$$

as can be verified using

$$\hat{D}_2 = \left\{ \begin{array}{l} \{SA = red, NT = blue, Q = green\}, \\ \{SA = red, NT = green, Q = blue\}, \\ \{SA = green, NT = blue, Q = red\}, \\ \{SA = green, NT = red, Q = blue\}, \\ \{SA = blue, NT = red, Q = green\}, \\ \{SA = blue, NT = green, Q = red\}, \end{array} \right\}$$



# Overview of Constraint Satisfaction Methods



# Summary

- **Constraint satisfaction problems** (CSPs) require finding evaluations of variables within their domains to satisfy a set of constraints.
- **Backtracking search**, a form of depth-first search, is commonly used for solving CSPs. Inference can be interwoven with search.
- The **minimum-remaining-values** and **degree** heuristics are domain-independent methods to choose the next variable in backtracking search.
- The **least-constraining-value** heuristics helps in selecting the first value for a variable.
- The complexity of solving CSPs strongly depends on the structure of their constraint graph:
  - Tree-structured problems can be solved in linear time.
  - **Conditioning** and **tree decomposition** can partially transform a problem into a tree-structured one.