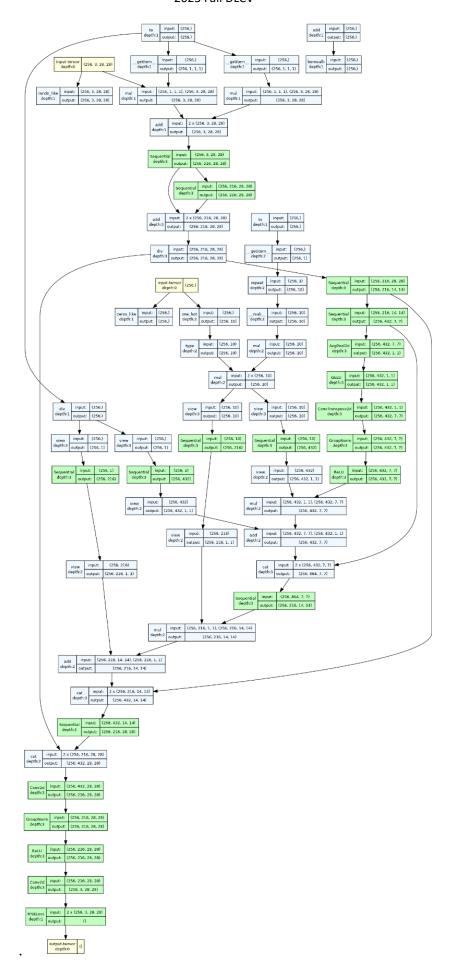
Problem 1: Problem 1: Conditional Diffusion models (35%) [digit dataset - MNIST-M]

1. (5%) Follow the Github Example to draw your model architecture and describe your implementation details

Implementation Details:

Model	Conditional Diffusion MNIST (Adapted from:
Name	https://github.com/TeaPearce/Conditional Diffusion MNIST/tree/ma
	in#conditional-diffusion-mnist)
Diffusion	DDPM
model	
Backbone	U-Net (自己搭小型版本)
Conditioni	https://arxiv.org/abs/2207.12598
ng	(Paper: Classifier-Free Diffusion Guidance)
Method	
Epochs	25
Batch Size	256
Criterion	nn.MSELoss
lr	1e-4
optimizer	Adam
n_feat (裡	216
面很多的	
filter 數都	
是它的倍	
數)	
n_T	400
(generate	
d steps)	

(提供兩個模型的架構圖,第一個是用: torchview,第二個是直接 print 模型出來)



```
DDPM(
   (nn_model): ContextUnet(
     (init_conv): ResidualConvBlock(
        (conv1): Sequential(
          (0): Conv2d(3, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
        (conv2): Sequential(
          (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
     (down1): UnetDown(
        (model): Sequential(
          (0): ResidualConvBlock(
             (conv1): Sequential(
                (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))

    BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)

                (2): GELU(approximate='none')
             (conv2): Sequential(
               (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
          (1): MaxPool2d(kernel size=2, stride=2, padding=0, dilation=1, ceil mode=False)
     (down2): UnetDown(
        (model): Sequential(
          (0): ResidualConvBlock(
             (conv1): Sequential(
                (0): Conv2d(216, 432, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
                (1): BatchNorm2d(432, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
             (conv2): Sequential(
               (0): Conv2d(432, 432, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(432, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
          (1): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
     (to_vec): Sequential(
        (0): AvgPool2d(kernel_size=7, stride=7, padding=0)
        (1): GELU(approximate='none')
     (timeembed1): EmbedFC(
        (model): Sequential(
          (0): Linear(in_features=1, out_features=432, bias=True)
          (1): GELU(approximate='none')
(2): Linear(in_features=432, out_features=432, bias=True)
     (timeembed2): EmbedFC(
        (model): Sequential(
          (0): Linear(in features=1, out features=216, bias=True)
          (1): GELU(approximate='none')
          (2): Linear(in_features=216, out_features=216, bias=True)
```

```
(timeembed2): EmbedFC(
   (model): Sequential(
      (0): Linear(in_features=1, out_features=216, bias=True)
(1): GELU(approximate='none')
      (2): Linear(in_features=216, out_features=216, bias=True)
  )
(contextembed1): EmbedFC(
   (model): Sequential(
  (0): Linear(in_features=10, out_features=432, bias=True)
  (1): GELU(approximate='none')
      (2): Linear(in_features=432, out_features=432, bias=True)
  )
(contextembed2): EmbedFC(
  (model): Sequential(
   (0): Linear(in_features=10, out_features=216, bias=True)
      (1): GELU(approximate='none')
      (2): Linear(in_features=216, out_features=216, bias=True)
(up0): Sequential(
   (0): ConvTranspose2d(432, 432, kernel_size=(7, 7), stride=(7, 7))
   (1): GroupNorm(8, 432, eps=1e-05, affine=True)
   (2): ReLU()
(up1): UnetUp(
   (model): Sequential(
  (0): ConvTranspose2d(864, 216, kernel_size=(2, 2), stride=(2, 2))
  (1): ResidualConvBlock(
          (conv1): Sequential(
             (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
          (conv2): Sequential(
            (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
      (2): ResidualConvBlock(
          (conv1): Sequential(
            (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
          (conv2): Sequential(
             (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
          (up2): UnetUp(
            (model): Sequential(
  (0): ConvTranspose2d(432, 216, kernel_size=(2, 2), stride=(2, 2))
  (1): ResidualConvBlock(
                  (conv1): Sequential(
  (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
  (1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
  (2): GELU(approximate='none')
                  )
(conv2): Sequential(
(0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
                (2): ResidualConvBlock(
                   (conv1): Sequential(
                     (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
                     (0): Conv2d(216, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): BatchNorm2d(216, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(2): GELU(approximate='none')
                  )
            )
          (out): Sequential(
            (0): Conv2d(432, 216, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(1): GroupNorm(8, 216, eps=1e-05, affine=True)
             (2): ReLU()
            (3): Conv2d(216, 3, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
     (loss_mse): MSELoss()
```

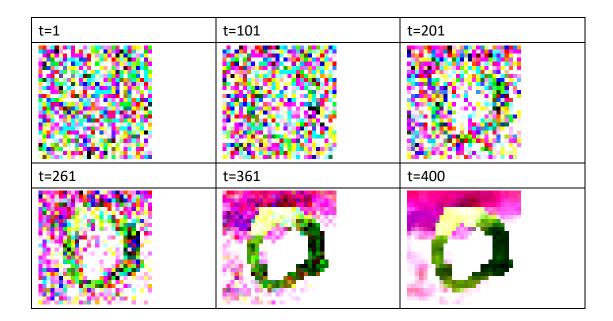
2. (5%) Please show 10 generated images for each digit (0-9) in your report. You can put all 100 outputs in one image with columns indicating different noise inputs and rows indicating different digits. [see the below example]

Columns: Indicating different digits, Rows: Indicating different noise inputs



3. (5%) Visualize total six images in the reverse process of the first "0" in your grid in(2) with different time steps. [see the below example]

(t = the steps of doing denoise, total time steps=400)



- 4. (5%) Please discuss what you've observed and learned from implementing conditional diffusion model.
 - 1. 做 sampling 要依序走完所有的 steps(400 個),所以時間相較一步產生圖 片的 GAN 系列模型有點久。但相較於 GAN,diffusion models 更能操控它 使用它的細節(ex: 能自訂 total time steps of diffusion 以及輸出任意 time step 的圖片)。
 - 2. 使用的 conditioning (Classifier-Free Diffusion Guidance) 的方式如下:

$$\hat{\epsilon}_t = (1+w)\psi(z_t, c) - w\psi(z_t).$$

 $\psi(z_t,c)$:代表 context c

 $\psi(z_t)$:代表在 timestep t 時的 noise zt

在 generation time 時透過調高 weight w (w>=0)來產生更典型但較不多元的圖 片。

Weight experiment:

weight experiment:	
w = 0.0	5 6 6 6 7 6 7 6 7 6 7 6 7 6 7 6 7 6 7 6
w = 0.5	
w = 2.0	1 2 5 4 5 6 7 8 8 0 1 5 3 4 8 6 7 8 8 5 7 2 3 4 5 6 7 8 9

如上可見正面越往下數字越像【正常】的數字,但風格都較統一。

- → 這種能控制【典型】與【多元】(一個 tradeoff) 的生成式學習的模型很有趣 (且應用起來更方便)
- → 學到很新的 conditional diffusion model approach: Classifier-Free Diffusion Guidance 的實做細節

Problem 2: DDIM (35%)

1. (7.5%) Please generate face images of noise 00.pt ~ 03.pt with different eta in one grid. Report and explain your observation in this experiment.



(第一列到第十列分別代表 eta = 0.0, 0.1, ..., 0.9,第一行到第四行則分別代表 noise = 00.pt, 01.pt, 02.pt, 03.pt。)



(兩列的 eta 都是 0.5,第一行到第四行則分別代表 noise = 00.pt, 01.pt, 02.pt, 03.pt)

- 1. 由第二組圖片可知,eta 越大時,每次產生圖片的差異越大(eta=0.0 時,固定 noise 的情況下每次產生的圖片都一樣)
- 2. eta 越大時,變動 eta 所產生的圖片的差異越大 (如第一組圖, eta 小時產生 圖片的風格都很類似, eta 變大後,產生圖片的風格有很大的差異)
- -> eta 是一個控制 deterministic ddim(eta = 0)和 stochastic ddpm(eta=1)之間的 the level of interpolation 的變數,eta 越小就越接近 ddim,反之則接近 ddpm,故由上述觀察驗證,隨著 eta 越大,每次輸出圖片風格差異越大(越接近 ddpm)。

2. (7.5%) Please generate the face images of the interpolation of noise 00.pt ~ 01.pt. The interpolation formula is spherical linear interpolation, which is also known as slerp. What will happen if we simply use linear interpolation? Explain and report your observation.

Slerp(spherical linear interpolation):



$$\boldsymbol{x}_{T}^{(\alpha)} = \frac{\sin((1-\alpha)\theta)}{\sin(\theta)} \boldsymbol{x}_{T}^{(0)} + \frac{\sin(\alpha\theta)}{\sin(\theta)} \boldsymbol{x}_{T}^{(1)}$$

where $\theta = \arccos\left(\frac{(x_T^{(0)})^\top x_T^{(1)}}{\|x_T^{(0)}\|\|x_T^{(1)}\|}\right)$. These values are used to produce DDIM samples.

in this case, $\alpha = \{0.0, 0.1, 0.2, ..., 1.0\}$.

(最左邊的圖 noise=00.pt,最右邊的圖 noise=01.pt, eta 都是 0)

Lerp(linear interpolation):



 $\operatorname{out}_i = \operatorname{start}_i + \operatorname{weight}_i \times (\operatorname{end}_i - \operatorname{start}_i)$

(圖片由左至右的 Weighti 分別等於 (0.0, 0.1, 0.2, ..., 1.0))

(最左邊的圖 noise=00.pt,最右邊的圖 noise=01.pt, eta 都是 0)

觀察(helped by bing AI):

Lerp:如果我們只是使用線性插值來插值兩個 noise 向量,我們會得到兩個向量的線性組合,這可能不是一個單位向量。這意味著插值的 noise 向量可能有不同的大小,這可能影響人臉生成的質量。此外,線性插值可能不保持 noise 向量的平滑性或連續性,這可能導致人臉圖像出現突然或不自然的變化。

Slerp:相反,如果我們使用球面線性插值來插值兩個 noise 向量,我們會得到一個位於通過兩個向量的大圓弧上的單位向量。這意味著插值的 noise 向量會有與原始 noise 向量相同的大小,這可能提高人臉生成的質量。而且,球面線性插值可以保持 noise 向量的平滑性或連續性,這可能產生更自然的人臉圖像過渡。

-> 故如圖所示,使用 slerp 相較於 lerp 產生的影像較為自然(lerp 影像有奇怪的粉紅色出現)。

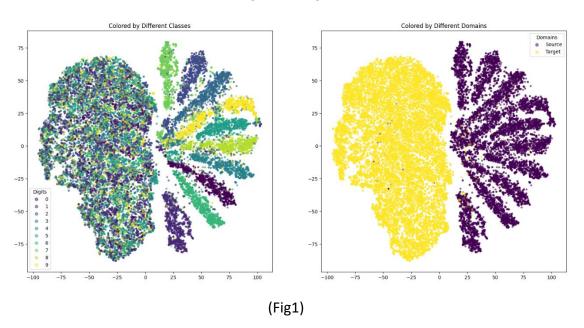
Problem 3: DANN (35%)

1. (10%) Please create and fill the table with the following format in your report:

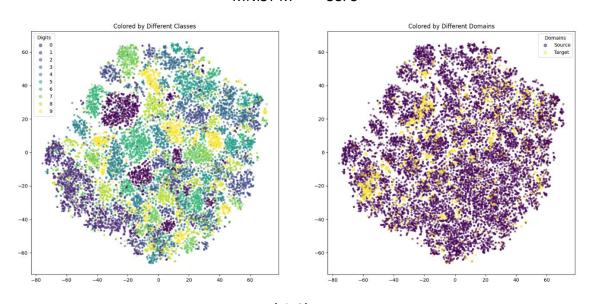
	MNIST-M → SVHN	MNIST-M → USPS
Trained on source	0.4036	0.7870
Adaptation (DANN)	0.4412	0.8864
Trained on target	0.9388	0.9859

2. (10%) Please visualize the latent space (output of CNN layers) of DANN by mapping the validation images to 2D space with t-SNE. For each scenario, you need to plot two figures which are colored by digit class (0-9) and by domain, respectively. Note that you need to plot the figures of both 2 scenarios, so 4 figures in total.

$\mathsf{MNIST}\text{-}\mathsf{M} \,\to\, \mathsf{SVHN}$



$MNIST-M \rightarrow USPS$



(Fig2)

3. (3%) Please describe the implementation details of your model and discuss what you've observed and learned from implementing DANN.

Model Name	Domain-Adversarial Training of Neural Networks ref: 1. https://github.com/NaJaeMin92/pytorch DANN 2. https://github.com/pha123661/NTU-2022Fall-
	DLCV/blob/master/HW2/P3 USPS model.py
Backbone	CNN
Epochs	100
Batch Size	1024
Criterion	nn.CrossEntropyLoss()
	nn.BCEWithLogitsLoss()
Ir	3e-4
optimizer	Adam

使用的架構如下:

```
FeatureExtractor(
  (conv): Sequential(
    (0): Conv2d(3, 64, kernel_size=(4, 4), stride=(1, 1))
    (1): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (3): MaxPool2d(kernel_size=3, stride=2, padding=0, dilation=1, ceil_mode=False)
    (4): Conv2d(64, 64, kernel_size=(5, 5), stride=(1, 1))
    (5): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (6): Dropout2d(p=0.5, inplace=False)
    (7): ReLU()
    (8): MaxPool2d(kernel_size=3, stride=2, padding=0, dilation=1, ceil_mode=False)
    (9): Conv2d(64, 128, kernel_size=(3, 3), stride=(1, 1))
  )
LabelPredictor(
  (l_clf): Sequential(
    (0): Linear(in_features=128, out_features=1024, bias=True)
    (1): BatchNorm1d(1024, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
    (2): ReLU()
    (3): Linear(in_features=1024, out_features=256, bias=True)
    (4): BatchNorm1d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
    (5): ReLU()
    (6): Linear(in_features=256, out_features=10, bias=True)
DomainClassifier(
  (d_clf): Sequential(
    (0): Linear(in_features=128, out_features=1024, bias=True)
    (1): BatchNorm1d(1024, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (3): Linear(in_features=1024, out_features=256, bias=True)
    (4): BatchNorm1d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (5): ReLU()
    (6): Linear(in_features=256, out_features=1, bias=True)
```

觀察與收穫:

DANN 用在 MNIST-M → SVHN,從下界的 0.40 只提升了 4%,距離上界的 90%有很大的距離。而用在 MNIST-M → USPS 則是從下界的 0.8 提升到 0.88,距離上界已經不遠 0.98,由此可知 DANN 用在 MNIST-M → SVHN 不能帶來顯著的提升。其可能原因是 MNIST-M 和 SVHN 的影像太不像了,因此抽取出的特徵難以混淆(見 fig1),故模型在 MNIST-M 學到的能力仍只適用於 MNIST-M(見 fig1,模型在左圖的 target domain 對應到的 feature 的 tsne 都混在一起,代表模型找不出 target domain 有用的特徵)。而 USPS 的影像和 MNIST-M 蠻像的 (見 fig2),故抽取出的特徵容易混淆(見 fig1),故 DANN 效果較好。 -> DANN 適用於 domain gap(btw source and target)不要太大的 task,否則提升效果有限。