



# Take a deep breath ML for a mechanical ventilator

Haritha Retnakaran, Martin Lützner, Christian Klingler  
19-05-22

# Our team

**Haritha Retnakaran**



**Physicist  
Data Scientist  
Germany**

**Christian Klingler**



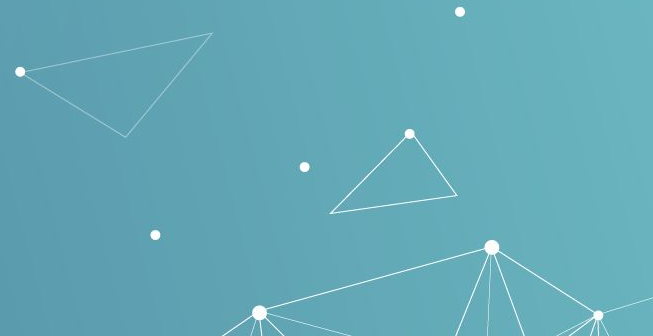
**Biochemist  
Data Scientist  
Freiburg**

**Martin Lützner**

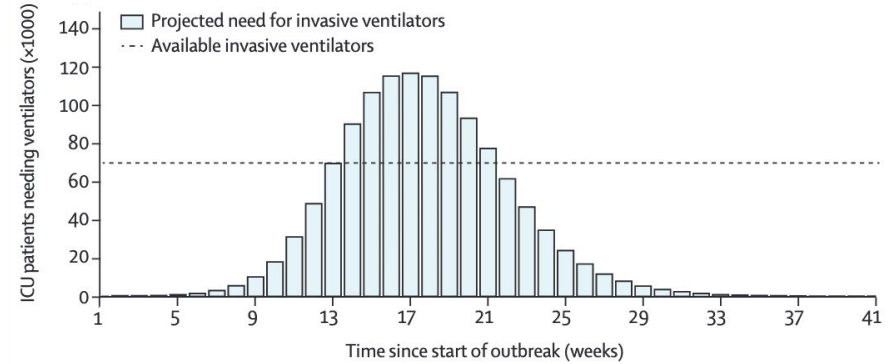


**Theoretical Physicist  
Data Scientist  
Berlin**

# Medical situation and demand

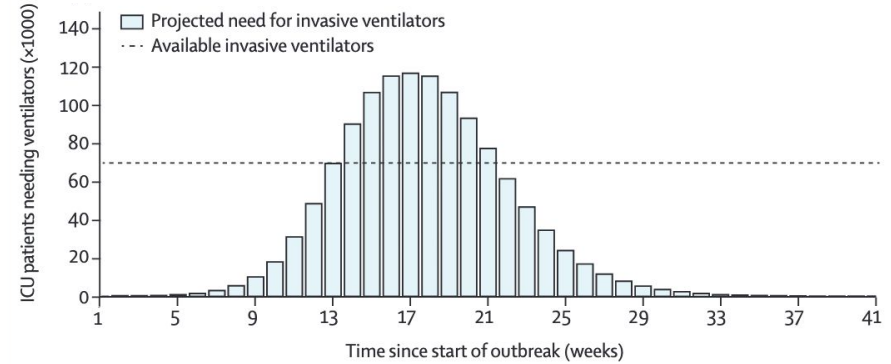


# Medical situation and demand



Wells C. R. et. al. The Lancet; 2020

# Medical situation and demand

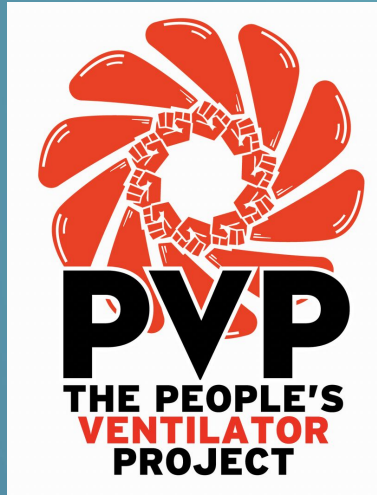


Wells C. R. et. al. The Lancet; 2020

- Rapidly-deployable ventilator need
- Low cost ventilator need
- “automatic” mode of action needed

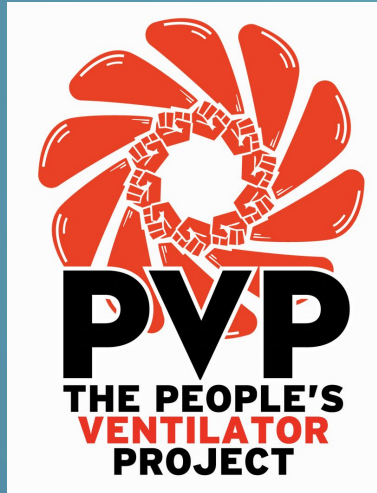


# Stakeholder information



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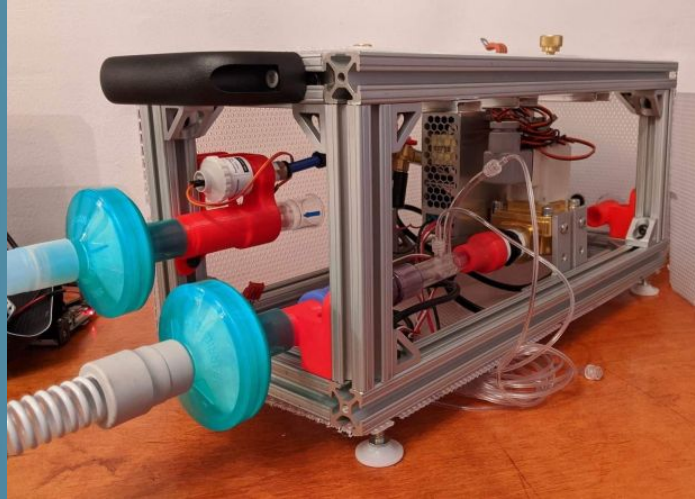
funded by  
**Princeton  
University**



# Stakeholder information

funded by  
**Princeton  
University**

**Fully automatic  
mechanical  
ventilator**

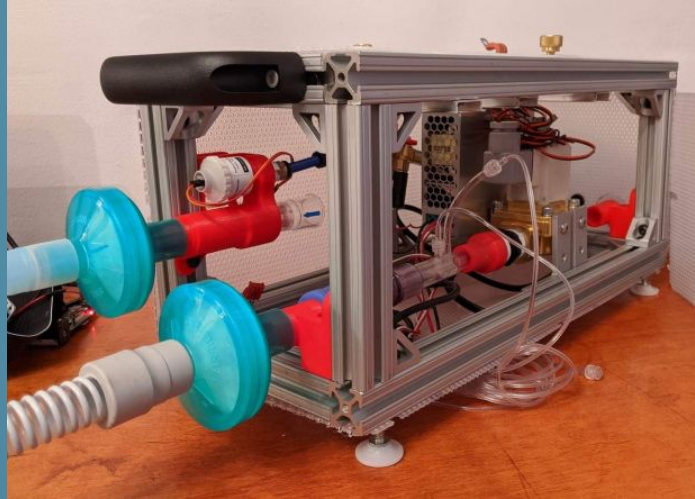




# Stakeholder information

funded by  
**Princeton  
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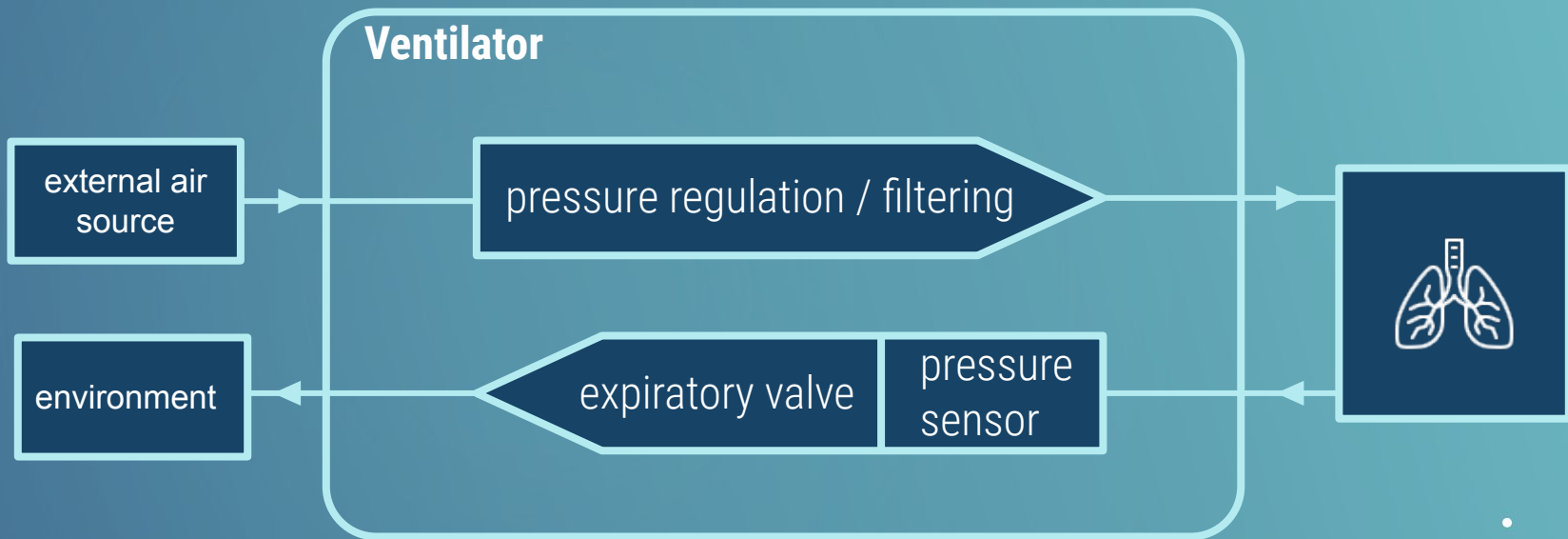
**Fully automatic  
mechanical  
ventilator**



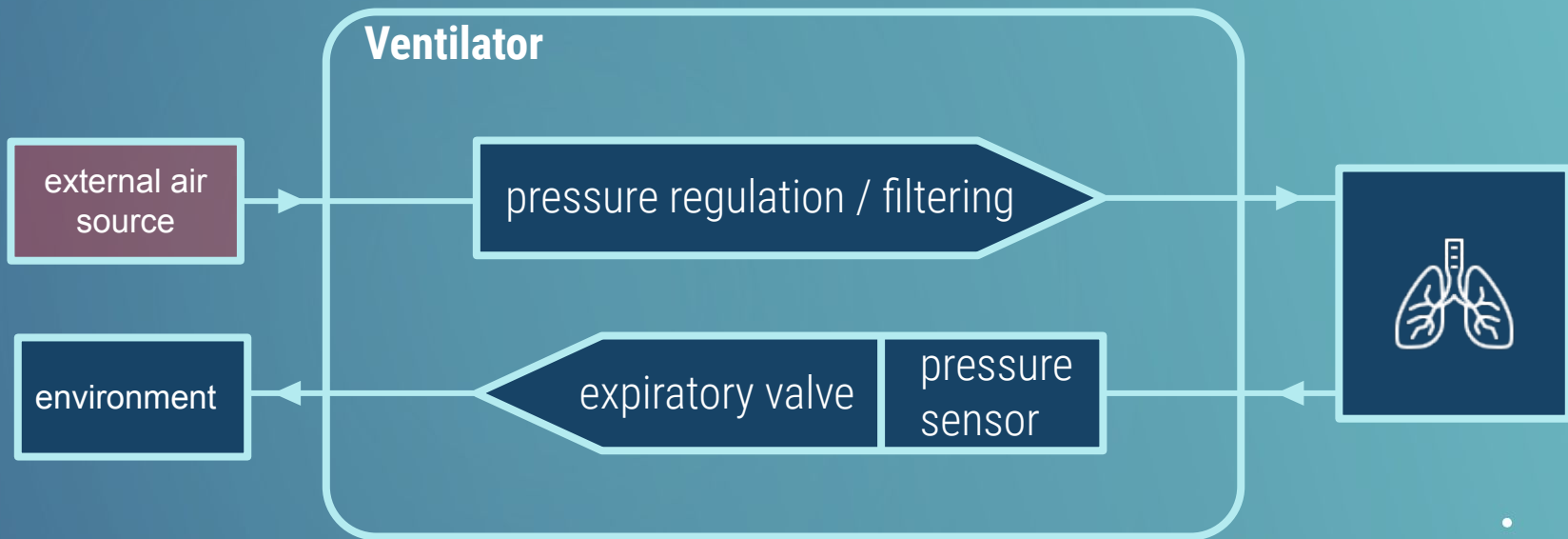
DIY for < **\$1,300**  
by **single person**  
in **3 days**

Customer: **Health  
Care Services**

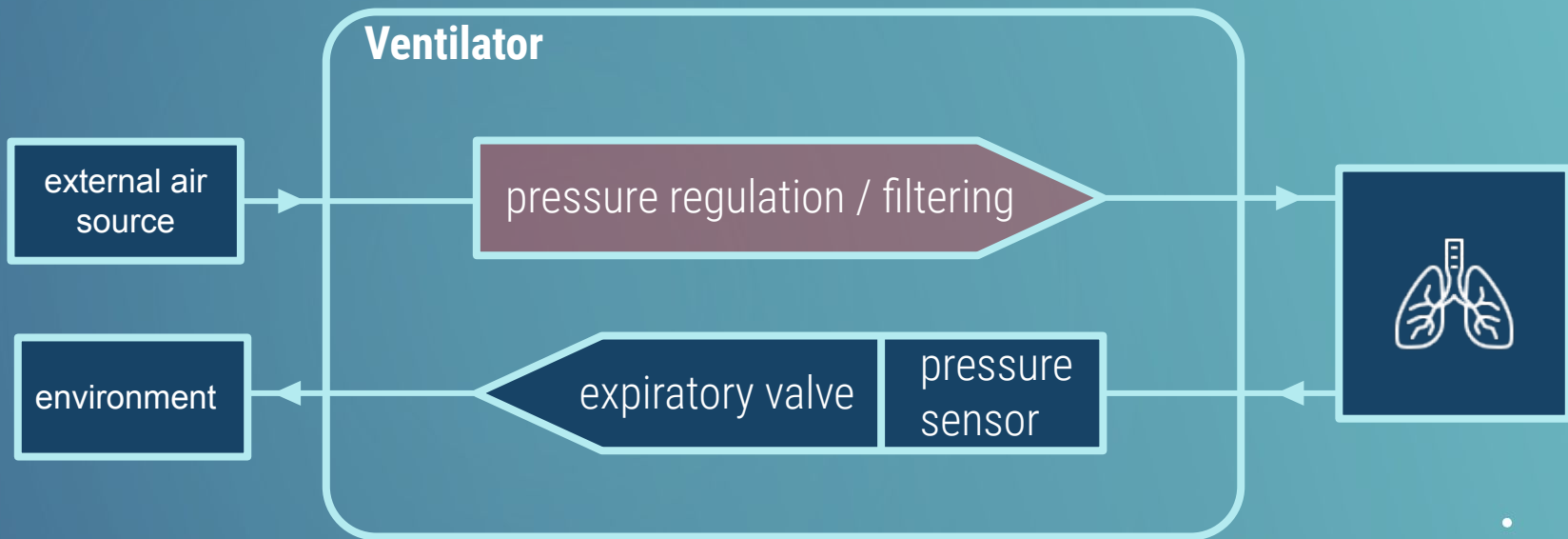
# Respiratory circuit



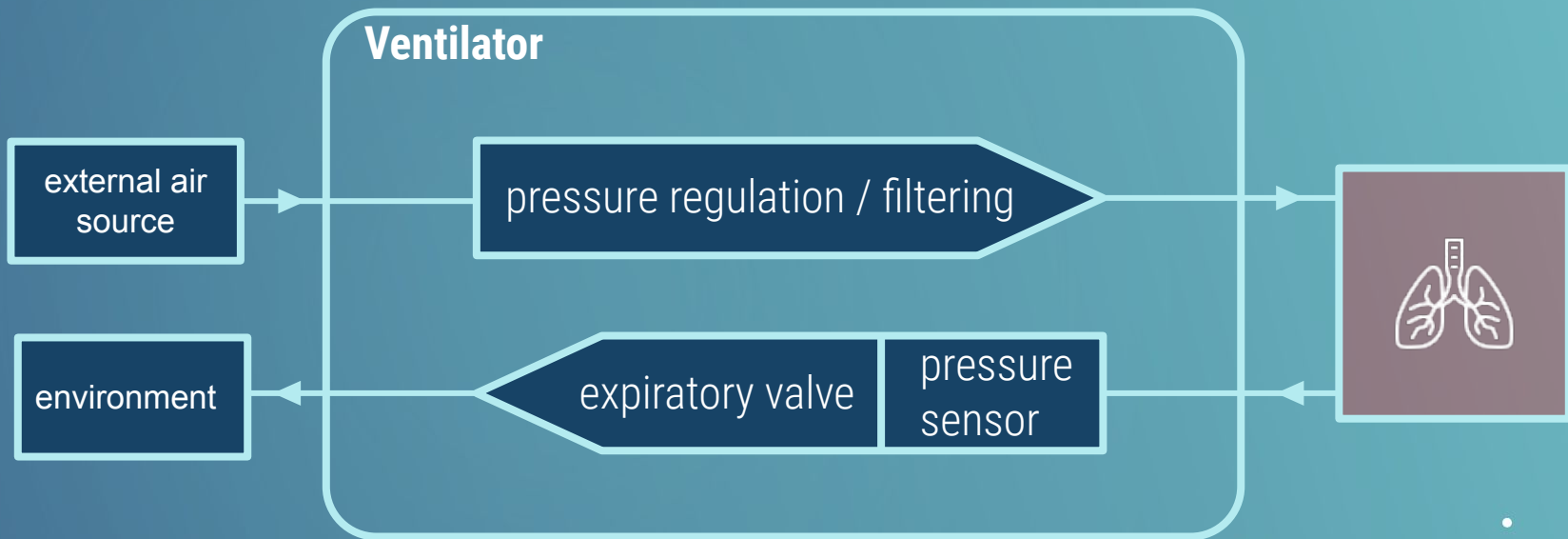
# Respiratory circuit



# Respiratory circuit

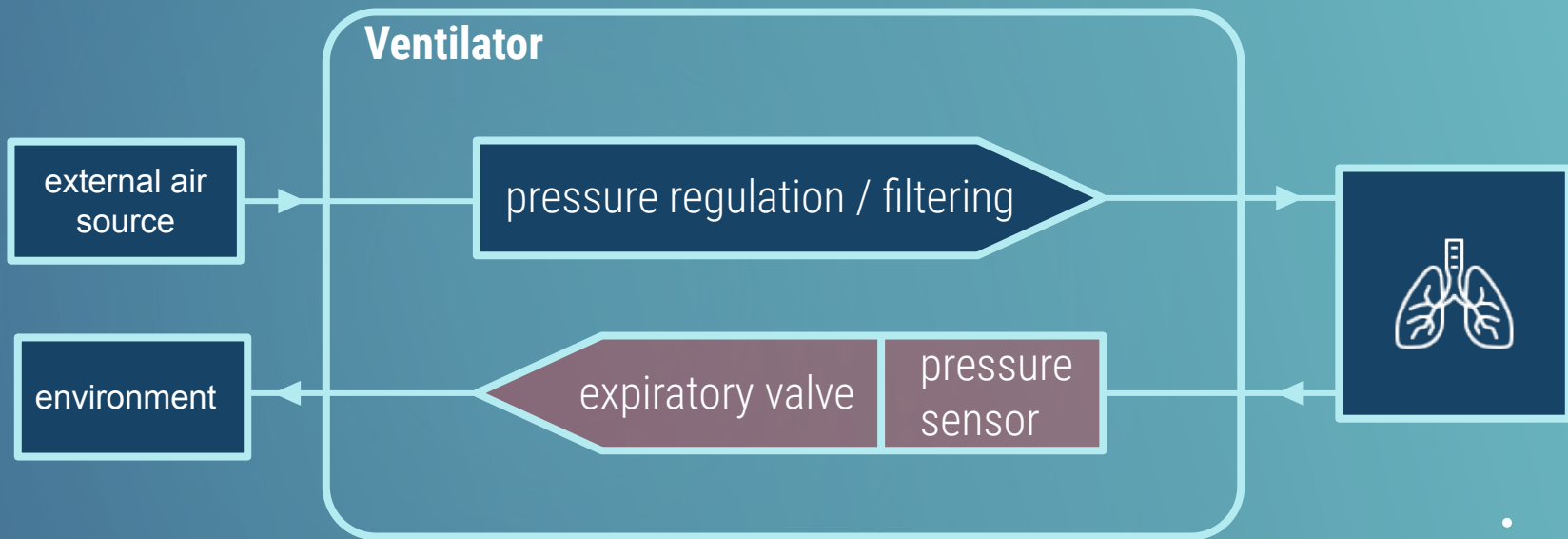


# Respiratory circuit

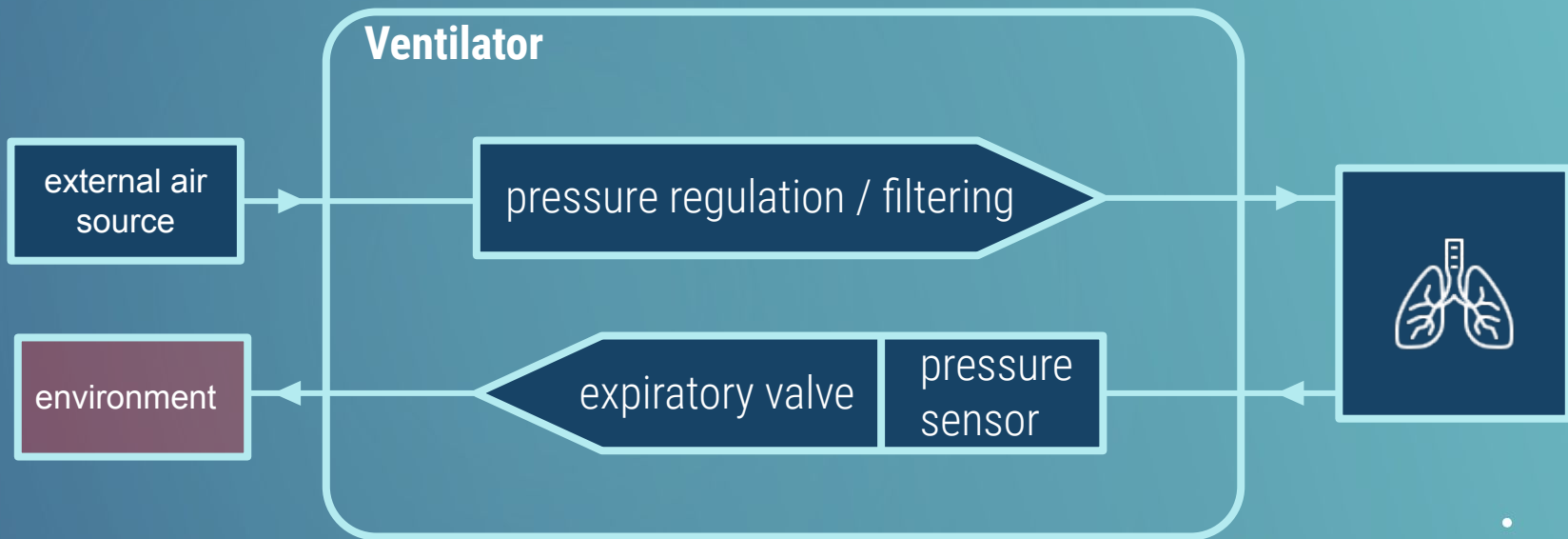




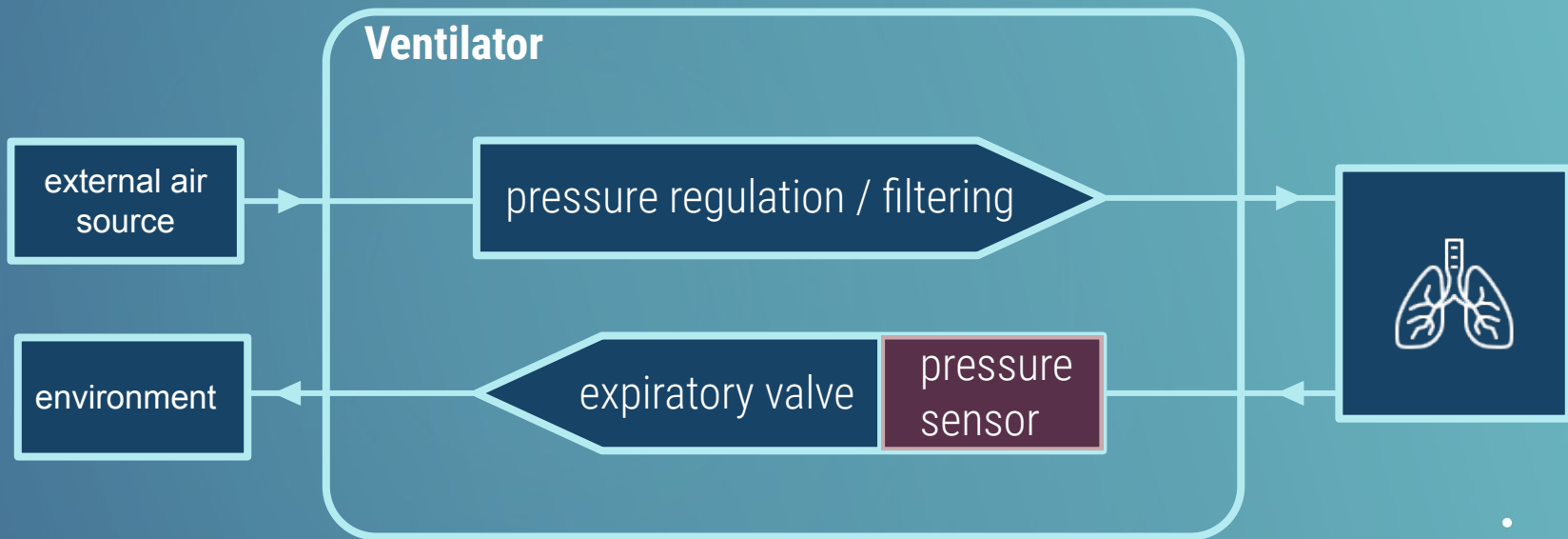
# Respiratory circuit



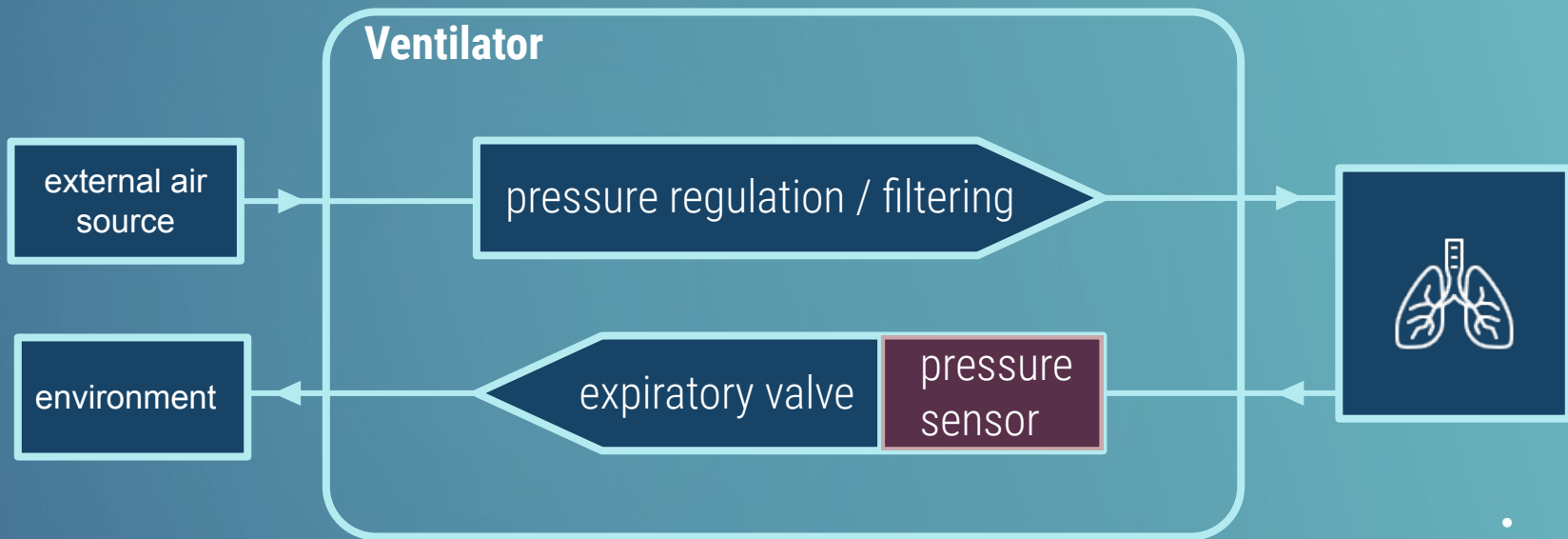
# Respiratory circuit



# Respiratory circuit



# Respiratory circuit



Error metric:  
**Mean absolute error (MAE)**

An abstract geometric pattern consisting of white dots connected by thin white lines, forming a network of triangles and polygons. This pattern is set against a teal background that has a subtle gradient, being darker on the left and lighter on the right.

# 01

## Data Analysis

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# Data structure

Breath ID

Time steps (TS)

Input flow (IF)

output valve

Resistance (R)

Compliance (C)

total air volume

Time-shifted IF

base

engineered



# Data structure

Breath ID

Time steps (TS)

Input flow (IF)

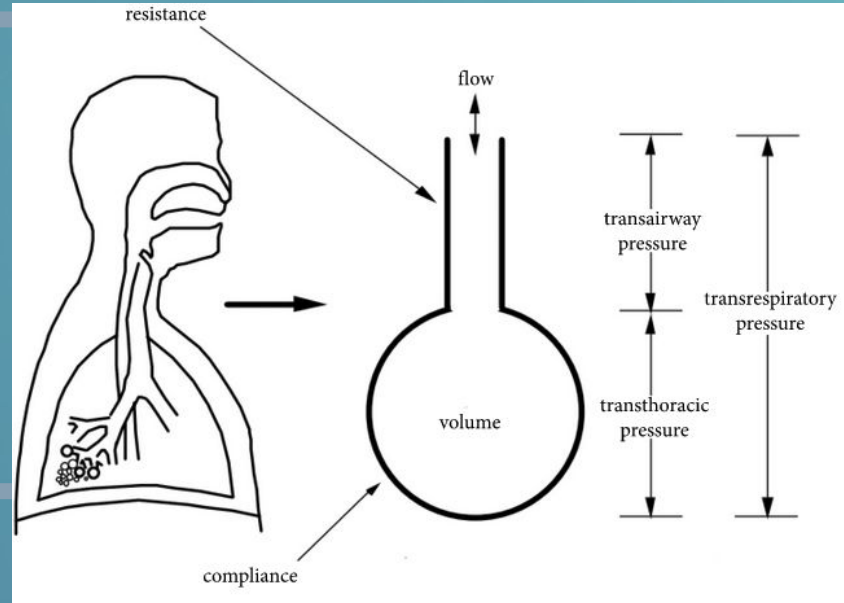
output valve

Resistance (R)

Compliance (C)

total air volume

Time-shifted IF



# Data structure

Breath ID

Time steps (TS)

Input flow (IF)

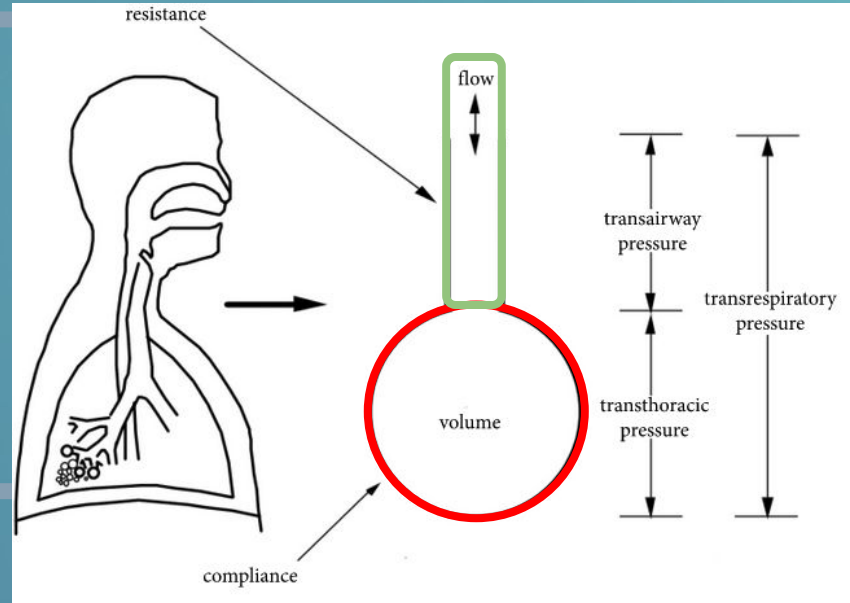
output valve

Resistance (R)

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total air volume

Time-shifted IF



# Data structure

Breath ID

Time steps (TS)

Input flow (IF)

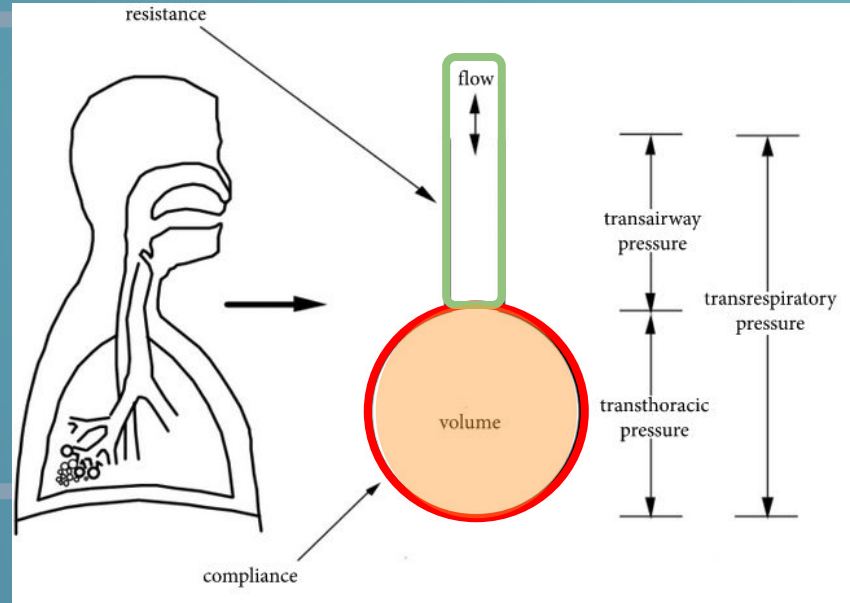
output valve

Resistance (R)

Compliance (C)

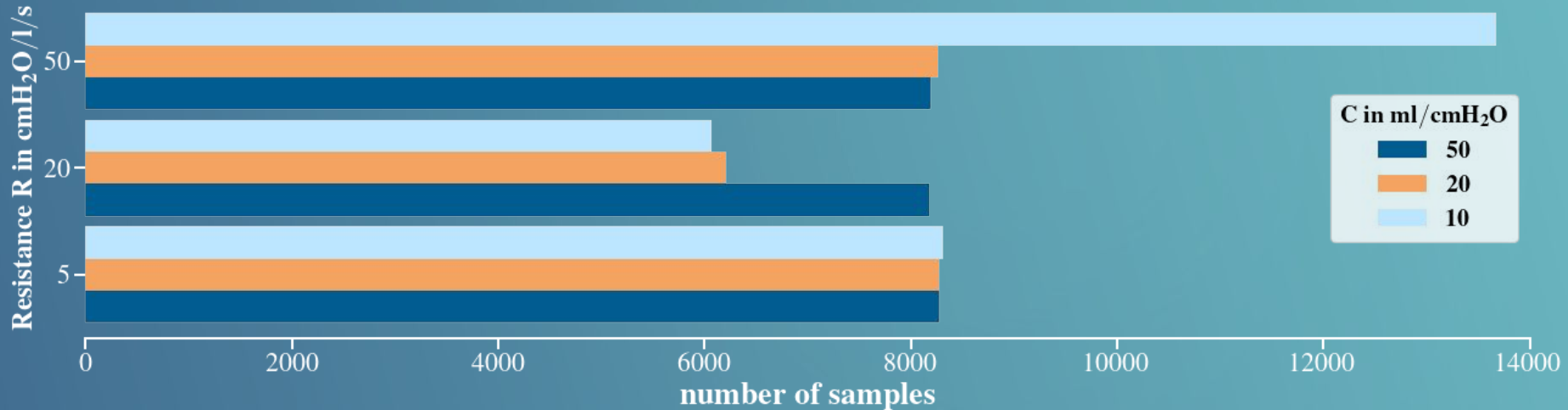
total air volume

Time-shifted IF



# Distribution of data

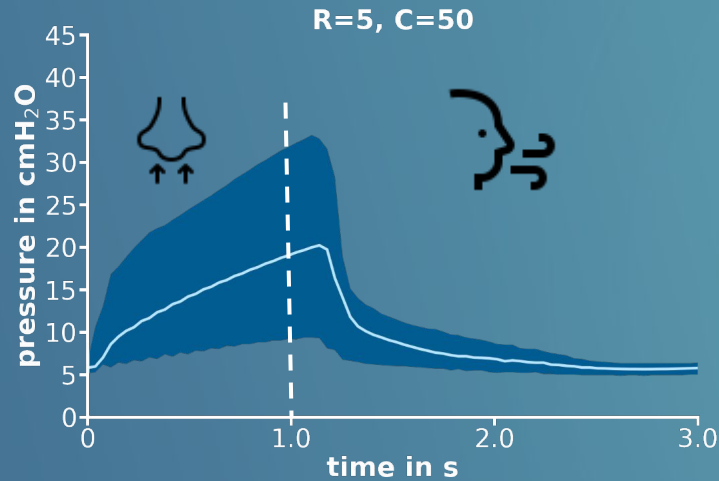
- 74500 sample breaths with 9 different parameter combinations
- More samples for unhealthy lung parameters





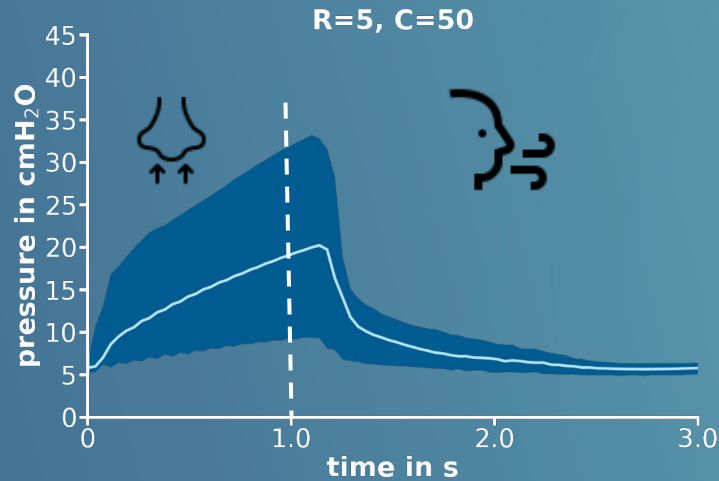
# Breathing profiles

- Very different shapes of breaths



# Breathing profiles

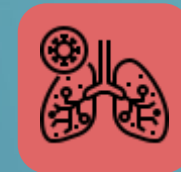
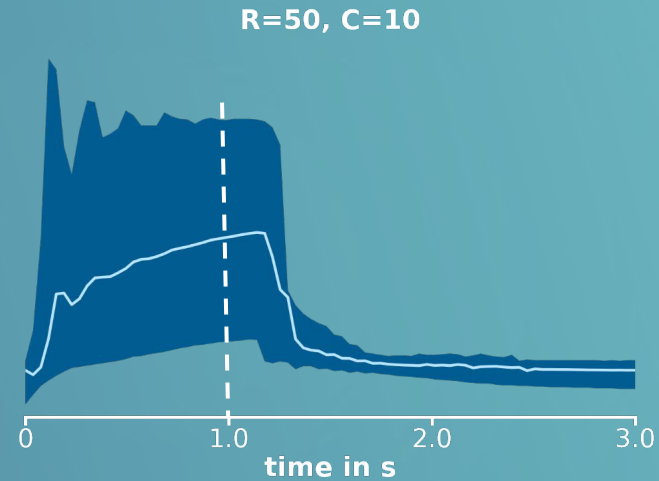
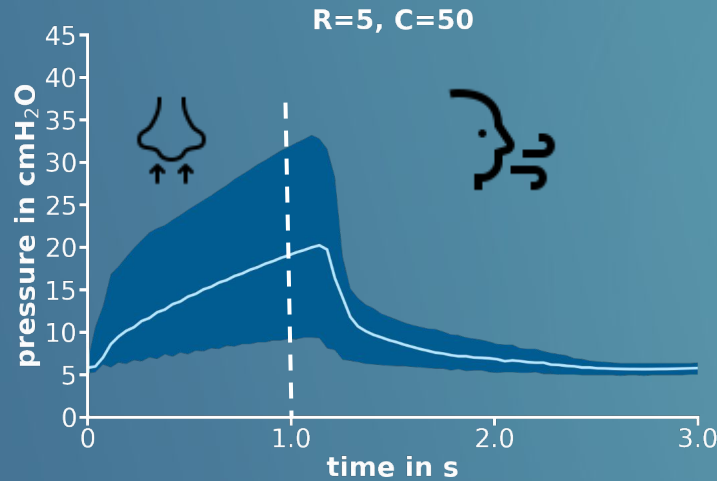
- Very different shapes of breaths



Unit of  $R$ :  $\text{cmH}_2\text{O}/\text{l/s}$   
Unit of  $C$ :  $\text{ml}/\text{cmH}_2\text{O}$

# Breathing profiles

- Very different shapes of breaths
- Larger deviations for unhealthy parameters



Unit of R:  $\text{cmH}_2\text{O}/\text{l/s}$   
Unit of C:  $\text{ml}/\text{cmH}_2\text{O}$

# 02

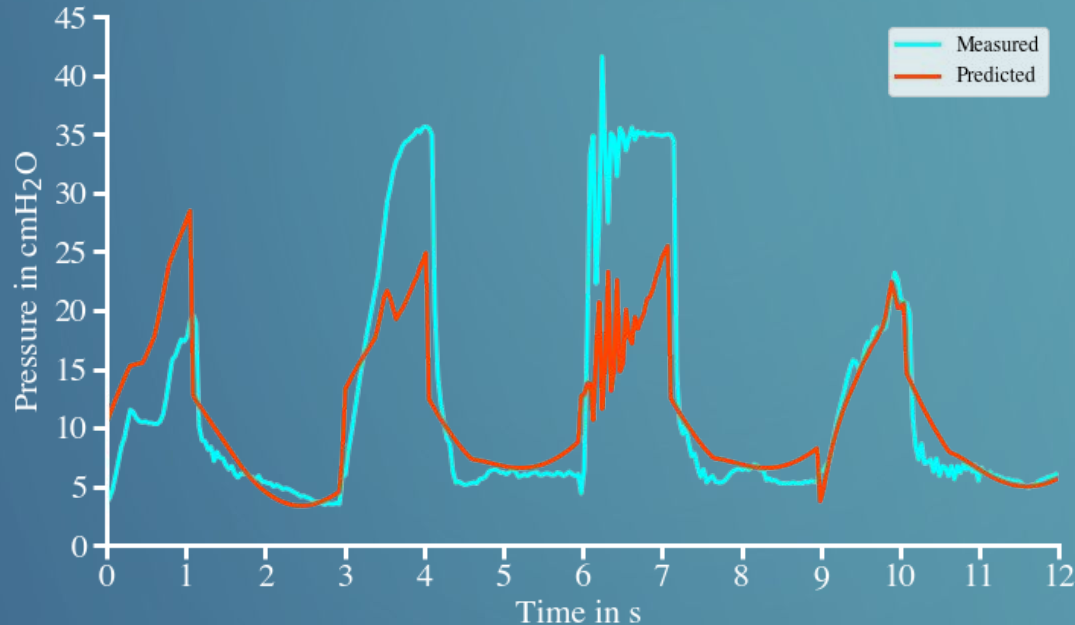
## Models

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# Baseline model

Polynomial function (degree 2) on input flow, resistance, compliance, output valve



**MAE = 3.38 cmH<sub>2</sub>O**

**More complex models needed!**





# Model comparison

	ANN	XGB
MAE	0.47	0.25
Improvement (to baseline)	86,1%	92,6%



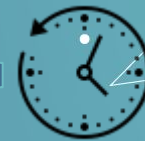
# Model comparison

	ANN	XGB	ARIMA
MAE	0.47	0.25	4.91
Improvement (to baseline)	86,1%	92,6%	-45,2%



# Model comparison

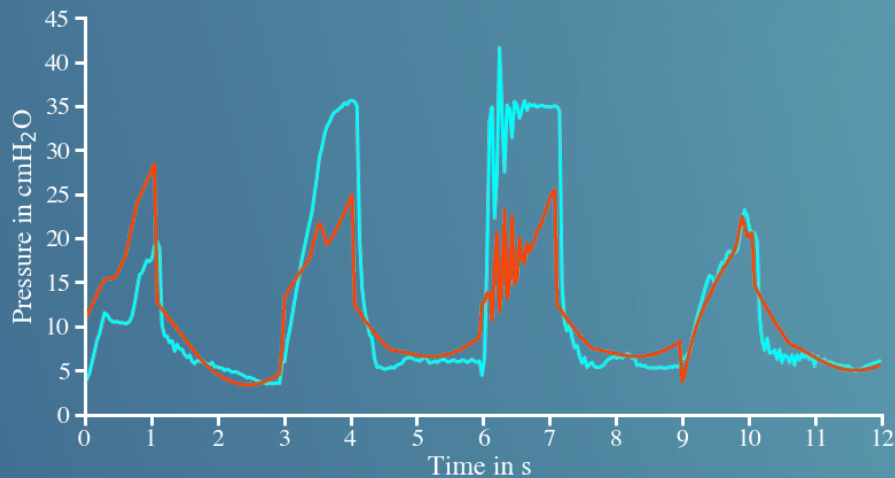
	ANN	XGB	ARIMA	XGB(ARF)	ANN(ARF)
MAE	0.47	0.25	4.91	0.158	0.15
Improvement (to baseline)	86,1%	92,6%	-45,2%	95,3%	95,6%



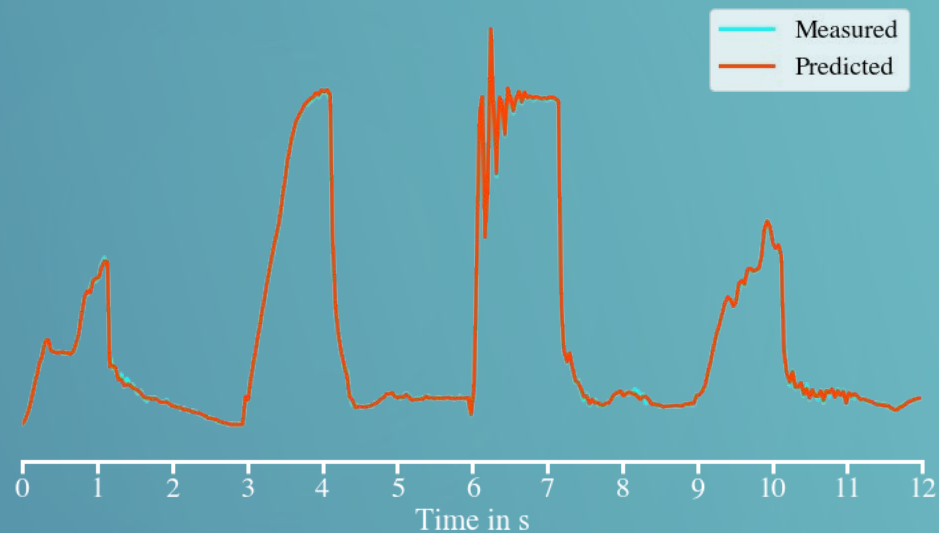
ARF Autoregressive features

# Comparing the models

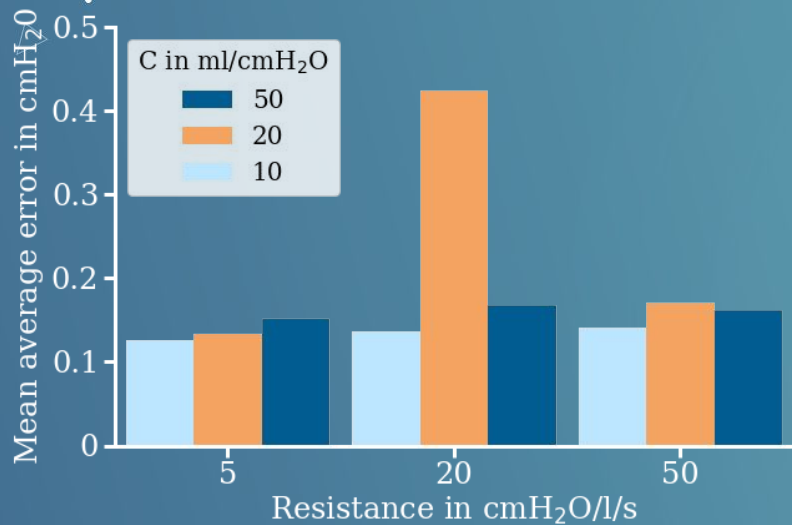
**Baseline model**



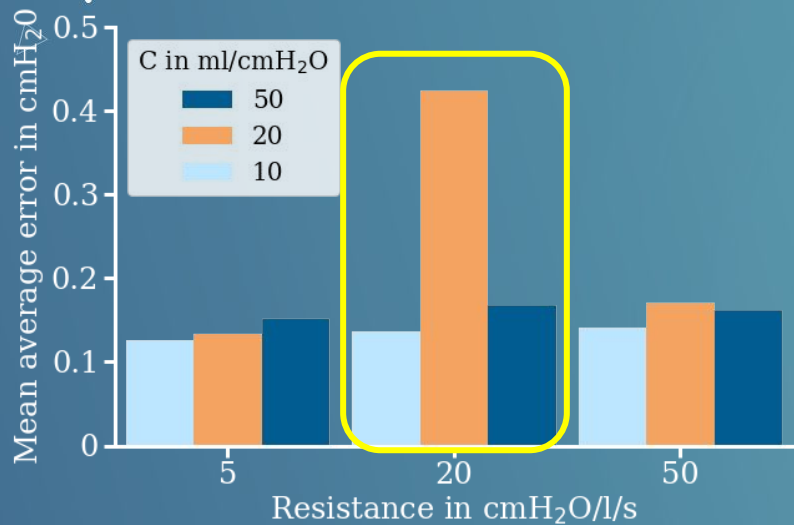
**Best model: ANN(ARF)**



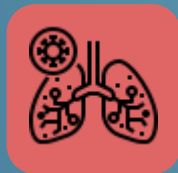
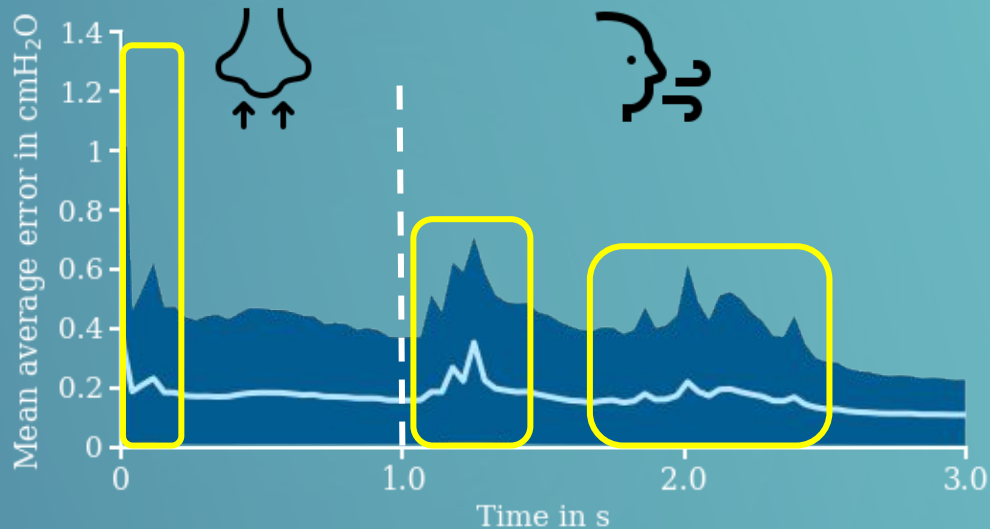
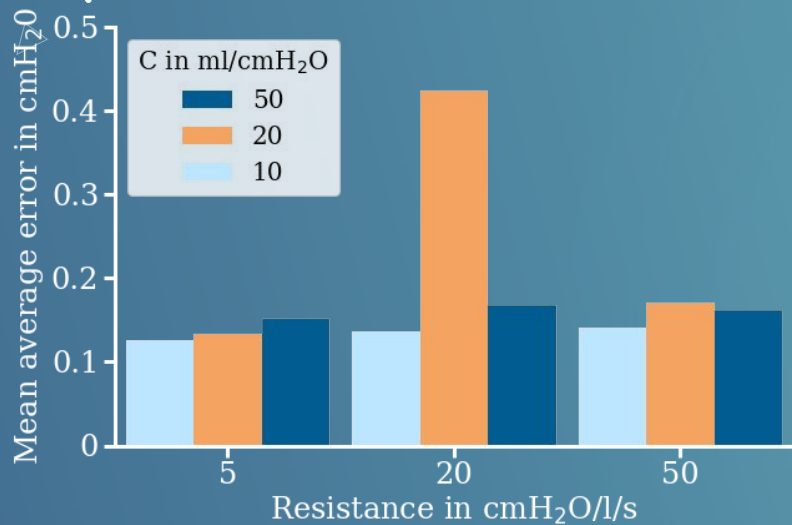
# Error analysis



# Error analysis



# Error analysis



# 03

## Next steps

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# Outlook


- Investigate large error for relatively unhealthy lung parameters
- Fight overfitting in combined model
- Applying LSTM (time-series model)
- Further optimise current models
- Do more feature engineering (e.g. improve function fit)



# Outlook

- Investigate large error for relatively unhealthy lung parameters
- Fight overfitting in combined model
- Applying LSTM (time-series model)
- Further optimise current models
- Do more feature engineering (e.g. improve function fit)

Thank you for your attention!

A decorative geometric pattern in the bottom right corner, consisting of white dots and thin white lines forming a network of triangles and polygons on a teal background.



# BACKUP SLIDES

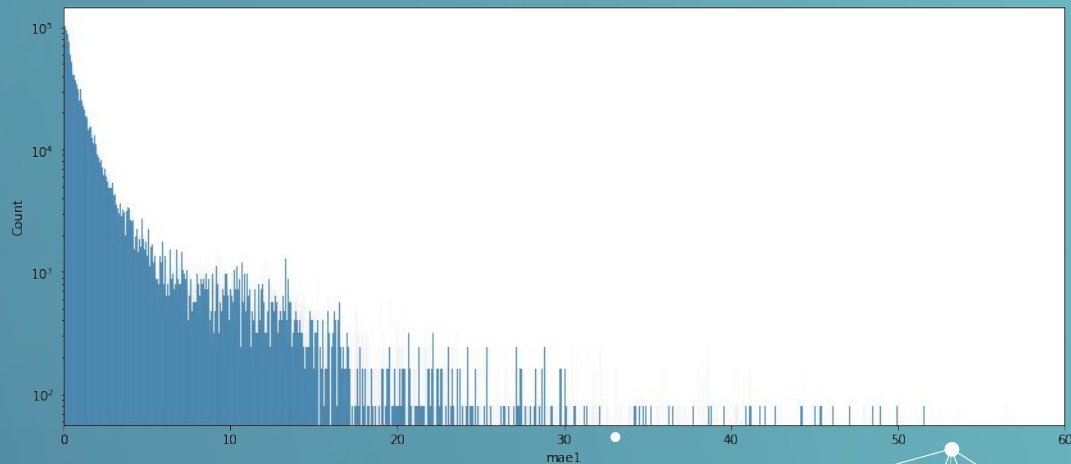
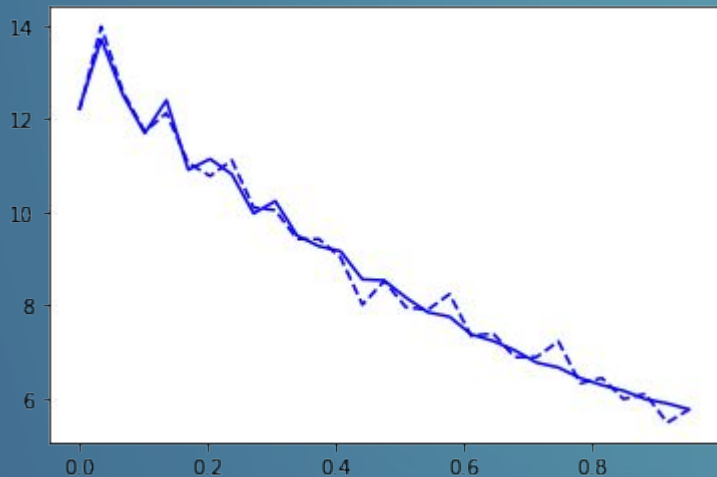


# Fitting the input flow

Function of the form

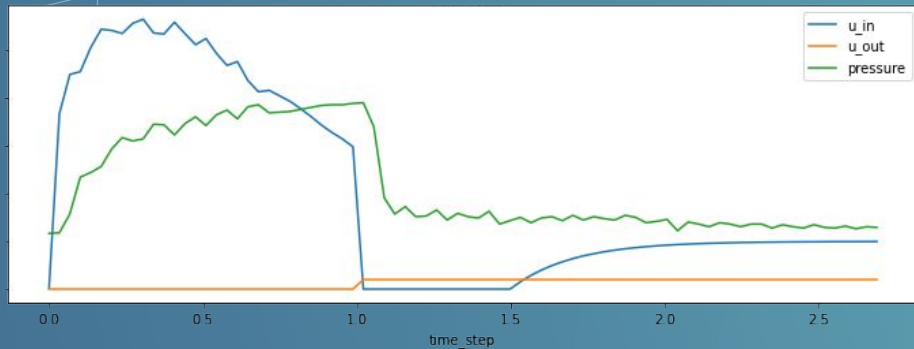
$$f(x, a, b, c, d, e, f, g, h) = a \cdot e^{b \cdot t} \cdot \sin(c \cdot t + d) + e \cdot \sin(f \cdot t + g) + h$$

is fitted to input flow in the inspiratory phase.

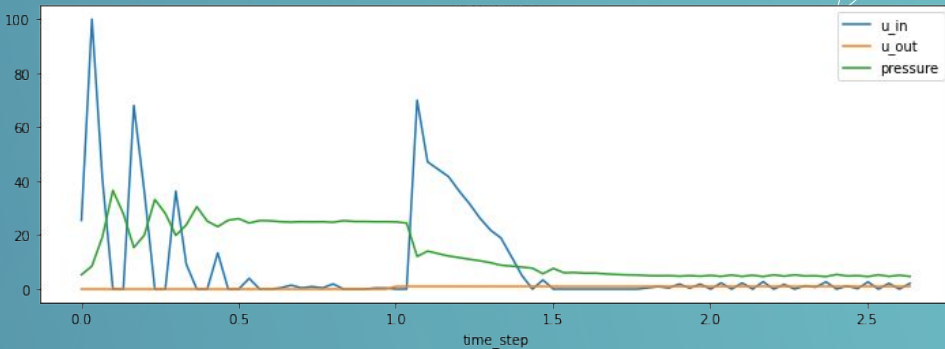


# Example breaths

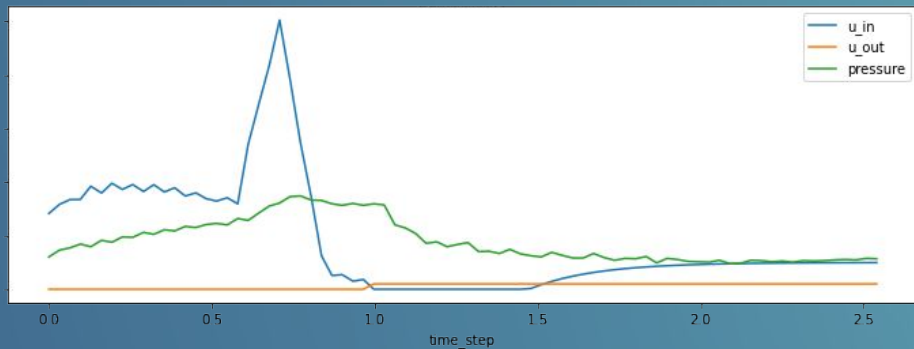
Breath 1



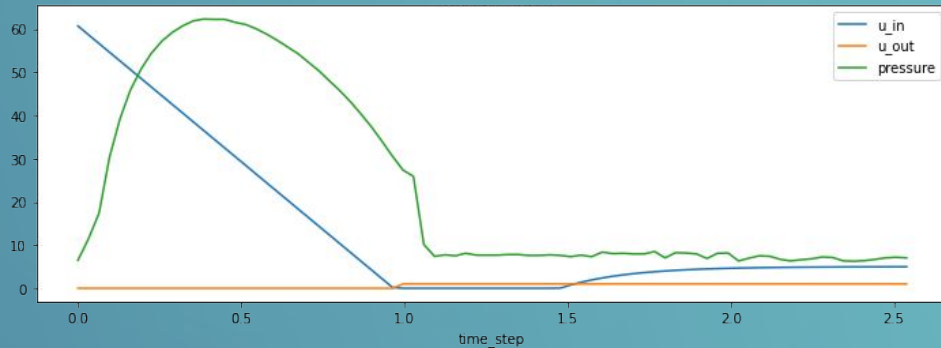
Breath 35



Breath 22



Breath 69451



# ANN Architecture

```
#Build a model
def build_and_compile_model4(norm):
    model = keras.Sequential([norm,
        layers.Dense(300, kernel_initializer = 'uniform',
            activation='relu'),
        layers.Dense(300, kernel_initializer = 'uniform',
            activation='relu'),
        layers.Dense(300, kernel_initializer = 'uniform',
            activation='relu'),
        layers.Dense(300, kernel_initializer = 'uniform',
            activation='relu'),
        layers.Dense(300, kernel_initializer = 'uniform',
            activation='relu'),
        layers.Dense(1)
    ])

    model.compile(loss='mae',
        metrics='mae',
        optimizer=tf.keras.optimizers.Adam(0.001))

    return model
```

```
validation_split=0.2,
```

```
verbose=1, epochs=100,
```

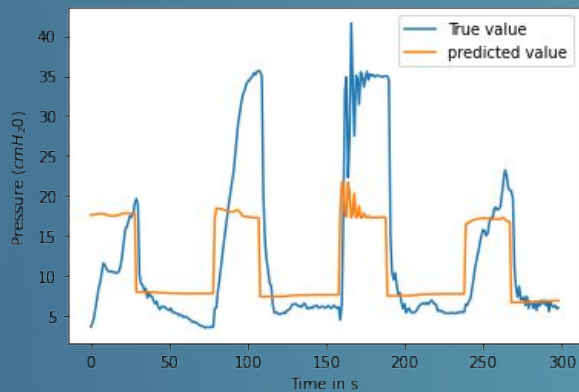
```
batch_size = 500,
```

Abstract geometric patterns in the top corners of the slide, consisting of white lines connecting dots to form various polygons and star-like shapes.

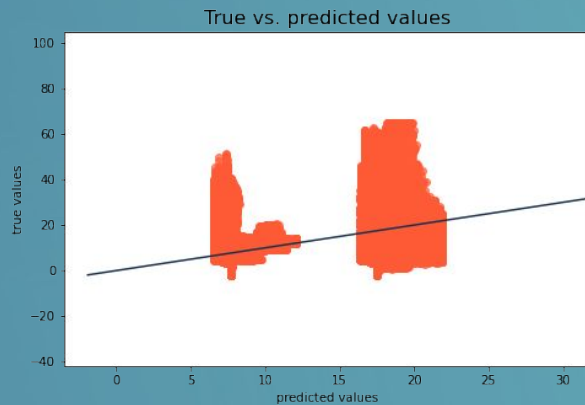
# **Error analysis for different models (300 timesteps) Without AR features**



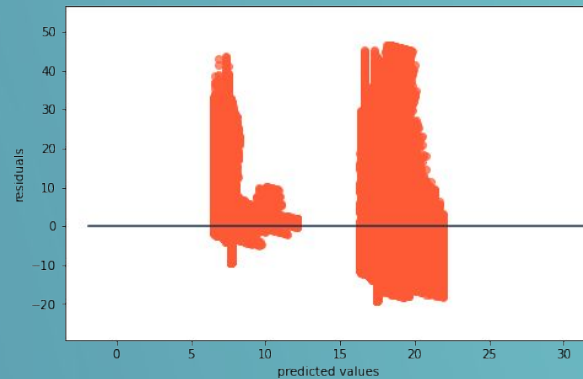
## Baseline model



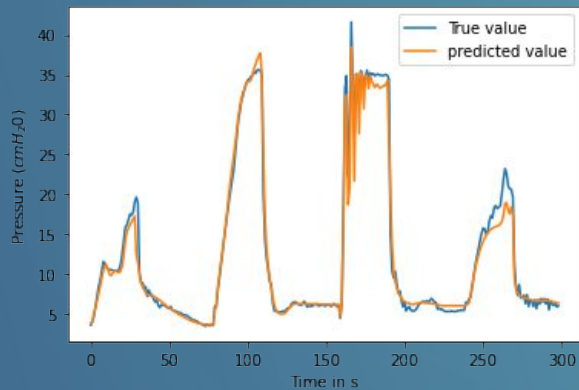
Error Analysis



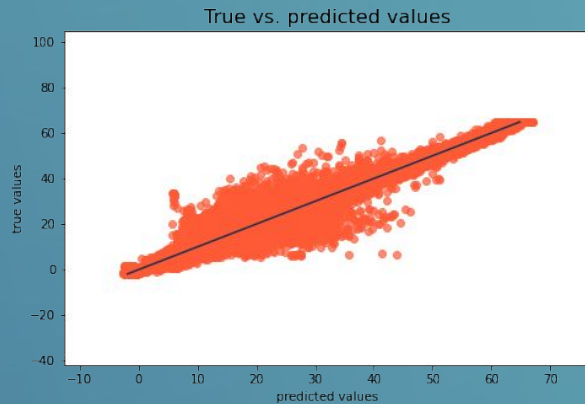
Residual Scatter Plot



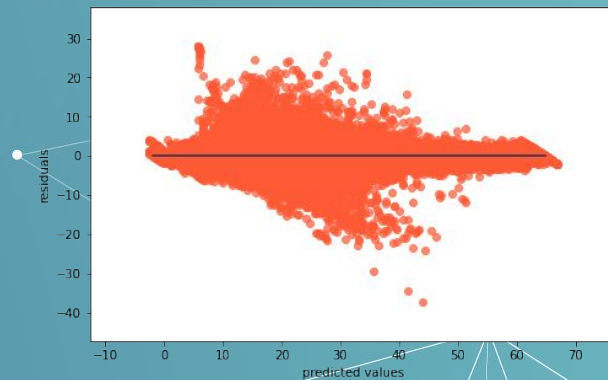
## ANN



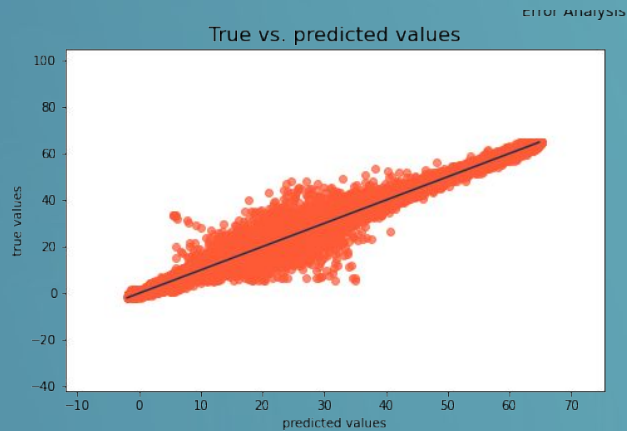
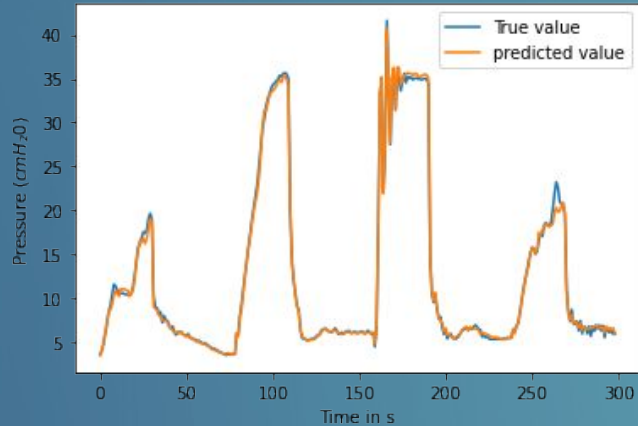
Error Analysis



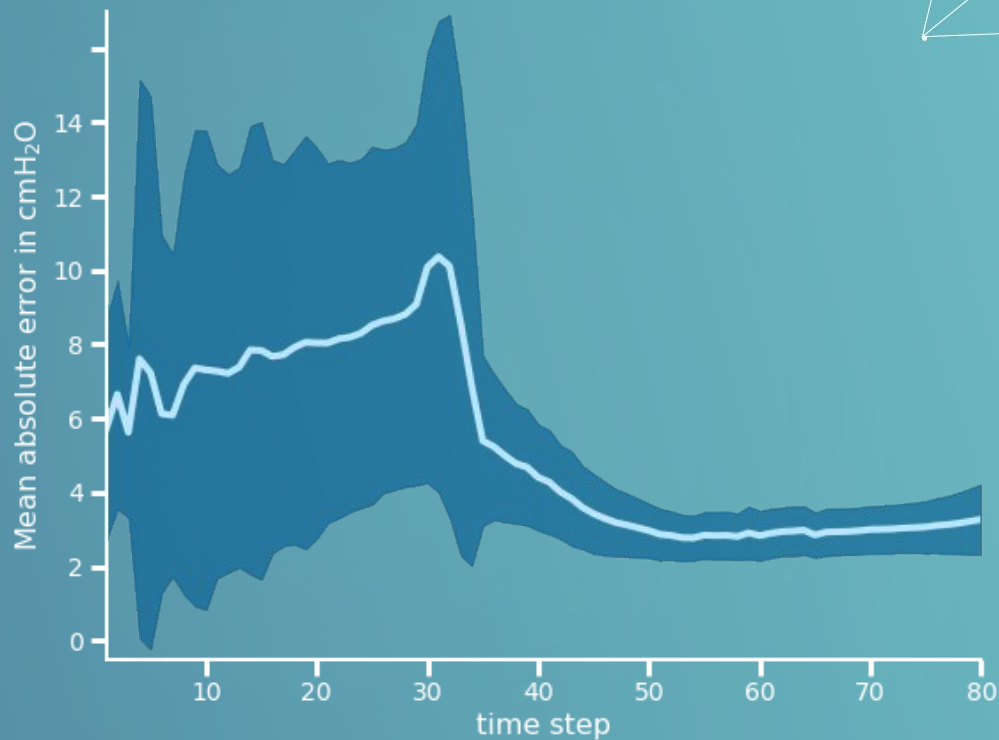
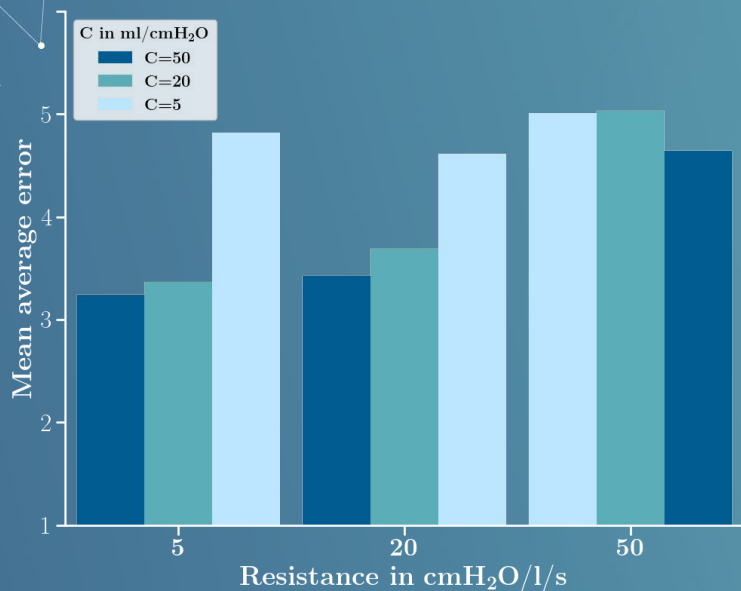
Residual Scatter Plot



# XGBoost



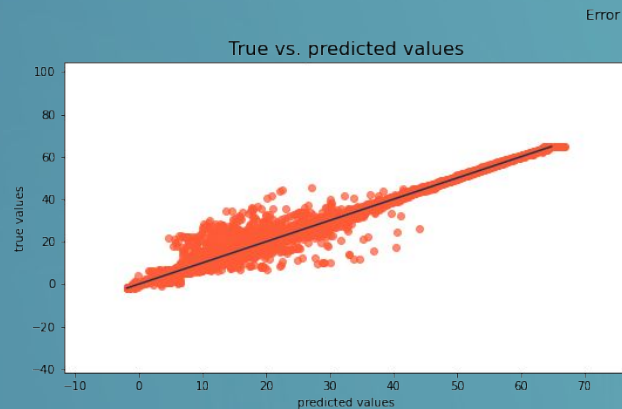
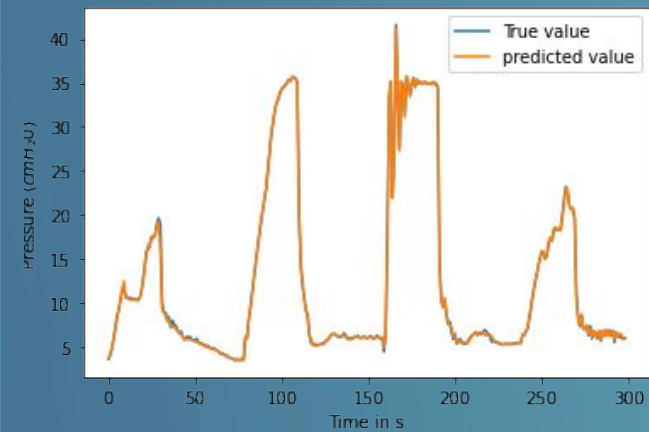
# Error analysis



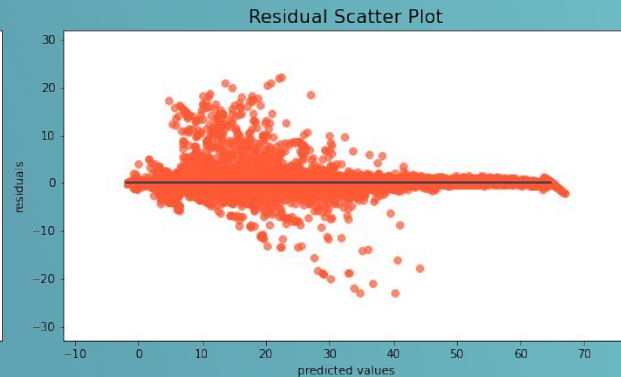
# Error analysis for different models (300 timesteps) With AR features



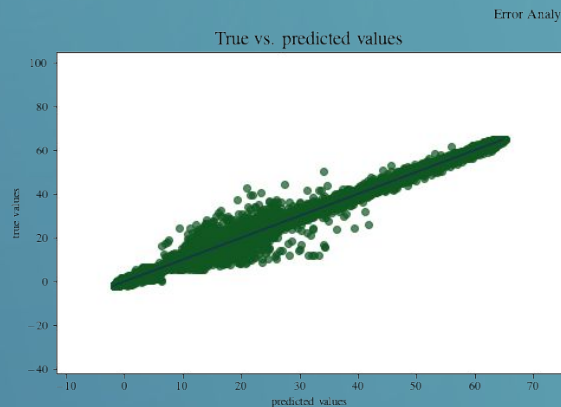
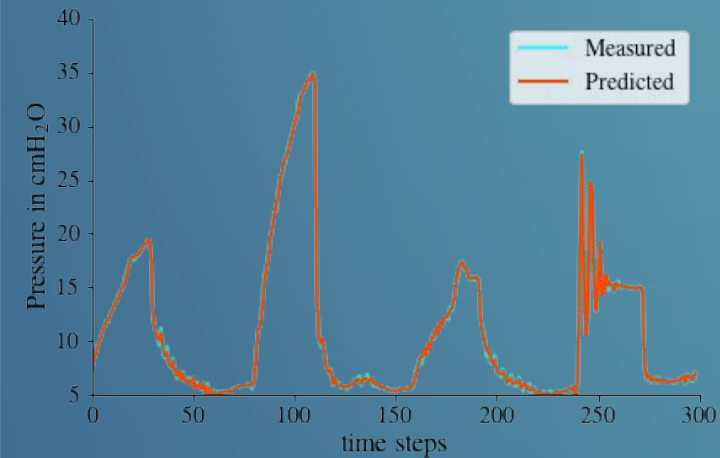
## ANN



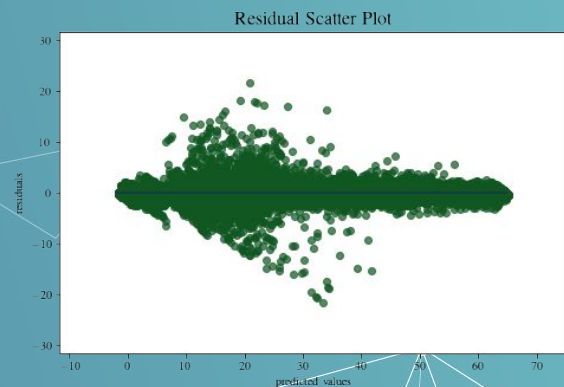
Error Analysis



## XGB

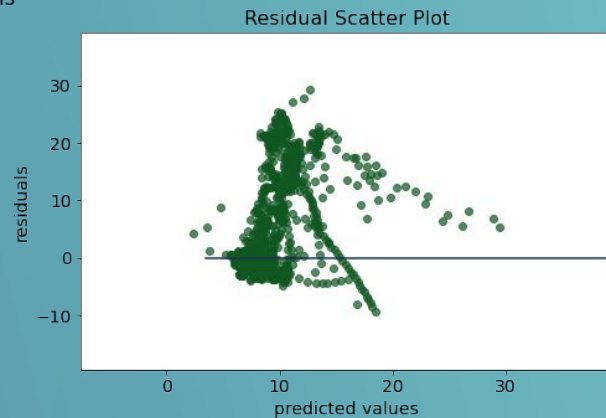
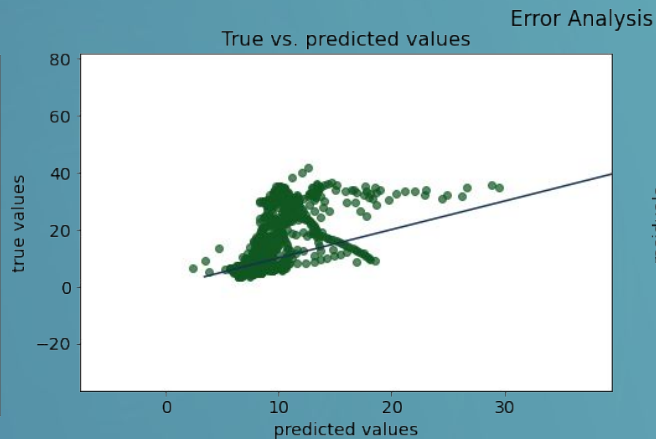
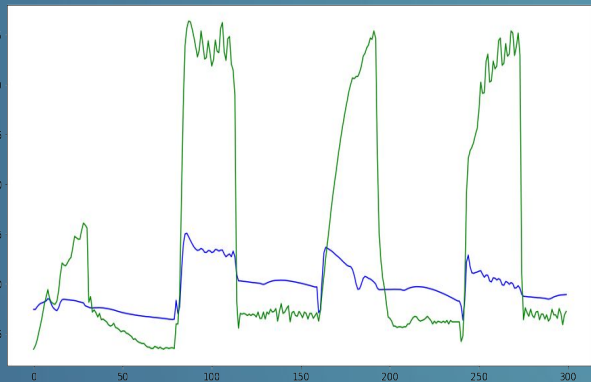


Error Analysis



# Error analysis for different autoregressional models (300 timesteps)

## ARIMA

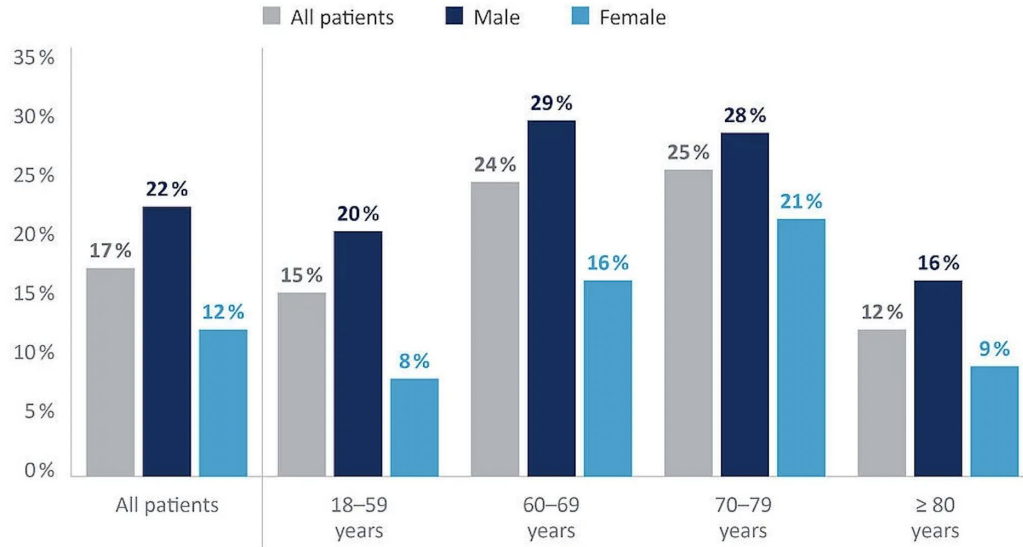


# Autoregressional approach

Idea: calculate AR features on the fly and use them as input features

	LR	PF	XGB
Train MAE	1.498	0.464	0.19
Test MAE	1.495	38.51	0.38
Deviation			

# Medical situation and demand



Source: Hospitalised patients with COVID-19 with completed hospital treatments admitted to hospital between 26 February and 19 April 2020 based on administrative claims data from the German Local Health Care Funds (Allgemeine Ortskrankenkassen, AOK). Research Institute of the Local Health Care Funds (WIdO).

Rapidly-deployable ventilator needed

Low cost ventilator needed



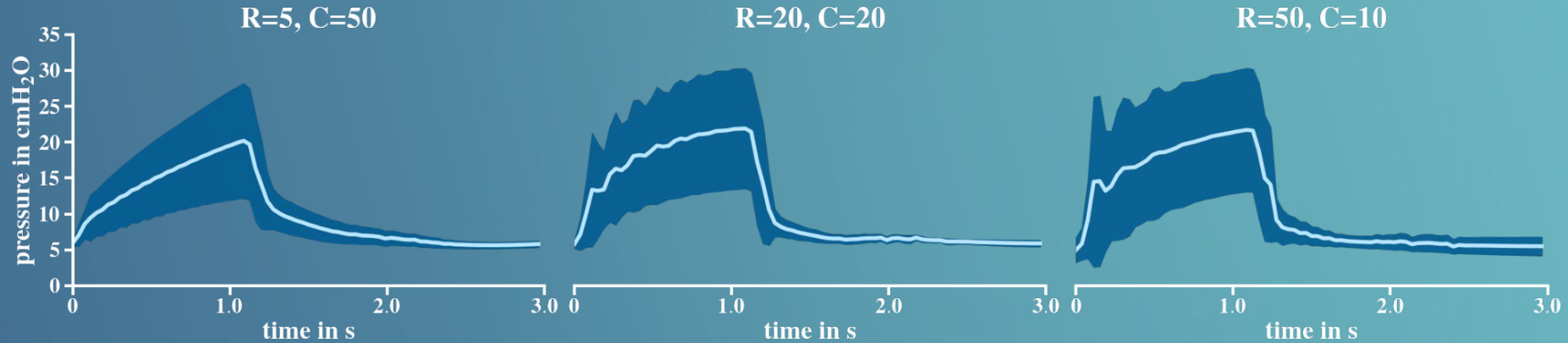
# Additional features(Kaggle)

```
df=df.query('u_out==0')
df['u_in_cumsum'] = (df['u_in']).groupby(df['breath_id']).cumsum()
df['minus_one']=-1.0
df['plus_one']=1.0
df['exponent']=(df['minus_one']*df['time_step'])/(df['R']*df['C'])
df['factor']=np.exp(df['exponent'])
df['vf']=(df['u_in_cumsum']*df['R'])/df['factor']
df['vt']=0
df.loc[df['time_step'] != 0,
'vt']=df['vol']/(df['C']*(df['minus_one']*df['factor']+df['plus_one']))
df['v']=df['vf']+df['vt']
```



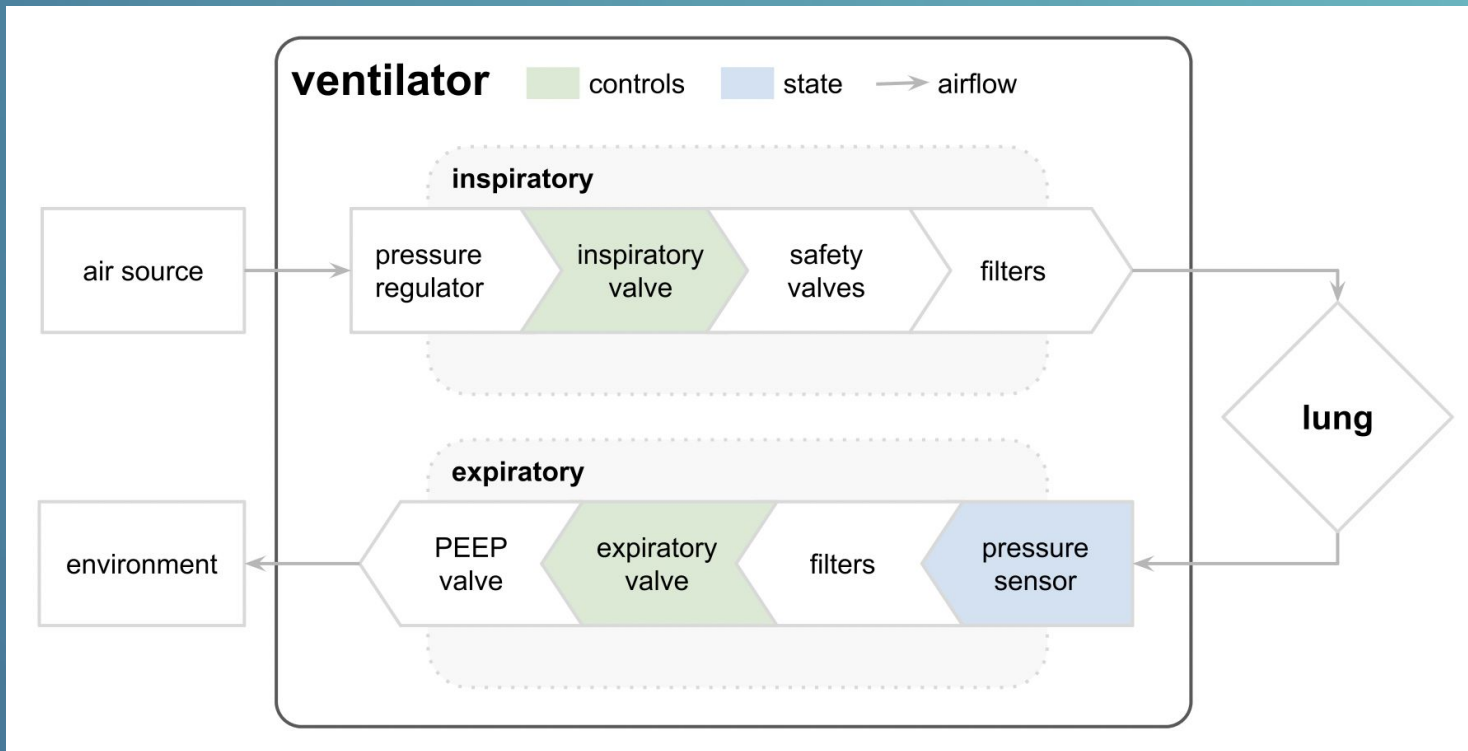
# Breathing profiles

- Very different shapes of breaths
- Larger deviations for unhealthy parameters



Unit of R: cmH<sub>2</sub>O/l/s  
Unit of C: ml/cmH<sub>2</sub>O

# Respiratory circuit



# References

PID: <https://ai.googleblog.com/2022/02/machine-learning-for-mechanical.html>

