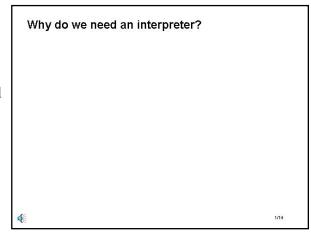
### **6.001 Notes: Section 15.1**

### **Slide 15.1.1**

Our goal over the next few lectures is to build an **interpreter**, which in a very basic sense is the ultimate in programming, since doing so will allow us **to define our language**.

This is a somewhat surprising statement. But, in fact, as we will see through these lectures, it really is correct. The reason it is correct is the following:

Every expression we write in our language has a meaning associated with it. Deducing the meaning associated with an expression is the **process** of evaluation. And therefore, if we can define the program that executes that deduction for us, our definition of that program (i.e. our definition of the interpreter) provides for us the exact specification of what's legal in our



language and how to deduce meanings of expressions within our language.

Our goal is to work through this understanding in stages. We will explore a series of examples, initially some very simple examples, but culminating in a full-scale Scheme evaluator. We are going work our way up to this, letting you see how to add the different pieces into an interpreter.

Before you proceed, however, there is a code handout that goes with this lecture. I suggest that you stop, go back to the web page, and print out a copy of the code to have next to you as we go through the lecture.

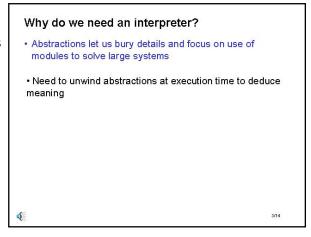
### Why do we need an interpreter?

 Abstractions let us bury details and focus on use of modules to solve large systems

### **Slide 15.1.2**

First, let's set the stage for why we want to do this, why do we want to build an interpreter? Why do we need an interpreter? Think about what we have seen so far in this course. We have spent a lot of time talking about, and using, the ideas of abstraction, both procedural and data. We know that this is a powerful idea, as it lets us bury details, and it certainly supports the expression of ideas at an appropriate level. Said another way, we can think about what we want to **do** and separate that from the **details** of how to actually make it happen.

But eventually, we need a process for unwinding all of those abstractions, to get the value that corresponds to an expression's meaning. That means we need to implement **semantics** of interpreting expressions.



### Why do we need an interpreter?

- Abstractions let us bury details and focus on use of modules to solve large systems
- Need to unwind abstractions at execution time to deduce meaning
- · Have seen such a process Environment Model
- · Now want to describe that process as a procedure

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### Slide 15.1.4

Notice the words I used. I said, "we need a **process** for unwinding those abstractions". If we can have such a process, then we should be able to describe it in a procedure: that is our language for describing processes.

In fact, you have already seen a version of this description, just not as a procedure. What was the description? ... the environment model!

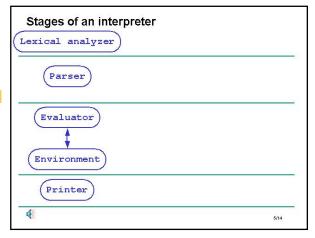
If you think about it, that makes sense. The environment model just described the process for how to determine a meaning associated with an expression, which in turn just unwrapped the abstractions down to the primitive operations. Today, we want to talk about how to actually build an evaluator as a procedure

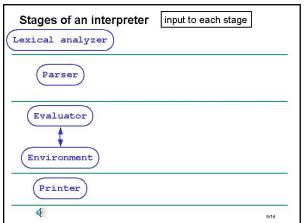
rather than as that abstract environment model.

### **Slide 15.1.5**

First, what are the stages of an interpreter? For the kind of languages we are considering, Scheme or Lisp like languages, typically there are five stages. There is a **lexical analyzer**, a **parser**, an **evaluator** that typically works hand-in-hand with an **environment**, and there is a **printer**.

Let's look at what goes on in each of these at a high level, before we build them.



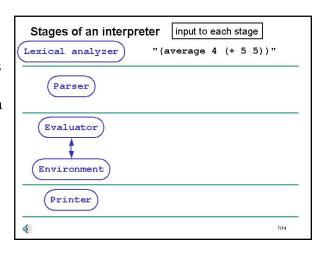


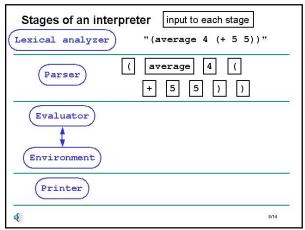
To do that, let's talk about the input and output characteristics of each of them.

By focusing on the input to each successive stage in the interpretation, we can get a sense of what should happen at each stage, and thus get a sense of how to build an interpreter.

### Slide 15.1.7

The initial input is a string of characters, which represents the typewritten version of the expression we want to evaluate. This is exactly the thing that we would type in at a terminal if we wanted to have an expression evaluated. So the initial input is a string of characters.





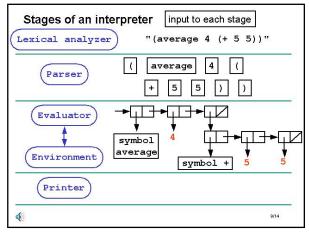
### **Slide 15.1.8**

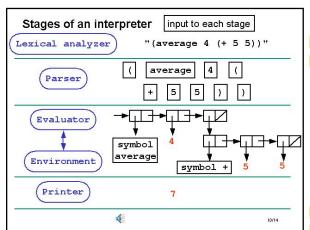
The first step is to use a **lexical analyzer** to convert that string of characters into units or words. This is shown here, where the string gets converted into a set of words or isolated characters like "(" and ")" and "+" and numbers. Thus the input to the next stage is an ordered sequence of these units or words.

### **Slide 15.1.9**

The second stage then **parses** those words into a structure that we can use for evaluation. In particular, we convert the linear sequence of words into a tree structure. We are using pairs here for convenience but that is not required. We could use any other representation of trees as well.

As we do this, we are going convert the self-evaluating expressions into their internal values. So notice the form we get for the next stage: it's a tree structure, and hanging off of the leaves of the tree are numbers, symbols, or other objects that are represented as basic words. This is the input to the next stage.



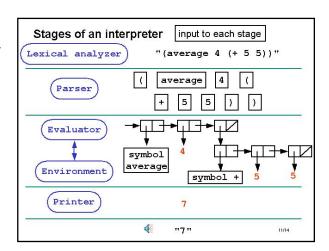


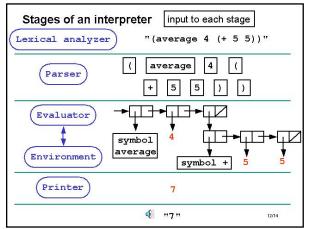
Now comes the heart of the interpreter. We want to take that tree structure and deduce its value. First, notice the form of tree structure. We will talk about this in detail later, but you can already see how the parser has converted things into a tree. Every time we see an "(", indicating the beginning of a new combination, we have created a new list structure. If the parser is already inside a list structure, it drops down a level, so that we build up a tree where each horizontal slice through the tree corresponds to some combination. Now what is the evaluator supposed to do? It wants to take that tree structure, plus an environment, and interpret it. And what does that mean? Think

of the environment as a way of associating names with more primitive values. It acts much like a dictionary. The evaluator will use a set of rules to walk through this tree, looking up values associated with symbols from the environment, i.e. from that dictionary, and then using the rules to reduce complex expressions to simpler things, culminating in some simple value that we will return.

### Slide 15.1.11

That value becomes input to the final stage. The printer simply converts things back into the appropriate form for display on the monitor, and then ...





Slide 15.1.12

... that just gets displayed to the user.

So here is a summary of that process in words.

### Role of each part of the interpreter

- · Lexical analyzer
- · break up input string into "words" called tokens
- Parser
  - · convert linear sequence of tokens to a tree
  - · like diagramming sentences in elementary school
  - also convert self-evaluating tokens to their internal values
  - − **#**f is converted to the internal false ∨alue
- Evaluator
  - · follow language rules to convert parse tree to a value
  - read and modify the environment as needed
- . Drinte
  - · convert value to human-readable output string



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### Goal of this lecture

- · Implement an interpreter for a programming language
- · Only write evaluator and environment
  - · use scheme's reader for lexical analysis and parsing
  - · use scheme's printer for output
  - to do this, our language must look like scheme
- · Call the language scheme\*
  - · All names end with a star
- · Start with a simple calculator for arithmetic
- · Progressively add scheme\* features



14/14

### Slide 15.1.14

Our goal is to implement an interpreter. Actually, that's not quite right. Our goal is for you to **understand** what goes into an interpreter, which we will explore by implementing one. Since the key part of an interpreter, the crucial part, is the evaluator, we are going to concentrate almost exclusively on that. We are going to use Scheme for all the rest of the pieces, that is, we will use Scheme's lexical analyzer and parser, and Scheme's printer, rather than building them from scratch. This means of course that we will need to create an evaluator for a language that looks a lot like Scheme in the sense of having a tree structure as the output of its parser and a set of rules for

manipulating that tree structure, as the way of dealing with the actual evaluation. It also says that the syntax of the language we are going to use to demonstrate an interpreter will need to look at lot like Scheme, in terms of things like using parentheses to delimit expressions and other related issues.

We say this because we don't want you to get confused between what is going in Scheme and the general ideas of building an evaluator and interpreter. Our goal is to build an interpreter, especially the evaluator part, and let you see how that occurs and use that to explore the idea of how these things implement the rules for a language. We are going to build our own simple language and its evaluator. For convenience, we are going to call this language, **Scheme\***. It has a lot of the characteristics of Scheme, but we will use the convention that a \* will be placed at the end of every expression in **our** language, to distinguish it from the corresponding Scheme expression. We'll start with a simple evaluator and work our way up. The first simple evaluator will be one that handles simple arithmetic expressions.

**6.001 Notes: Section 15.2** 

Our plan is to start by building an evaluator that handles arithmetic expressions, and in fact we will restrict ourselves just arithmetic expressions of two or fewer arguments. We would like to be able to evaluate things like the example shown on the slide: adding 24 to whatever we get by adding 5 and 6. Notice the \* at the end of the symbol plus to indicate that this is something that we will build within our language.

```
1. Arithmetic calculator

Want to evaluate arithmetic expressions of two arguments, like:

(plus* 24 (plus* 5 6))
```

```
1. Arithmetic calculator

(define (tag-check e syn) (and (pair? e) (eq? (car e) sym)))
(define (sum? e) (tag-check e 'plus*))

(define (eval exp)
((cond
((number? exp) exp)
((sum? exp) (eval-sum exp))
(else
(error "unknown expression " exp))))

(define (eval-sum exp)
(+ (eval (cadr exp)) (eval (caddr exp))))

(eval '(plus* 24 (plus* 5 6)))
```

### Slide 15.2.2

And here is some code that captures how we will evaluate expressions of this form. This is identical to the code listed in the separate code handout, and I suggest you have that page handy as we go through this development.

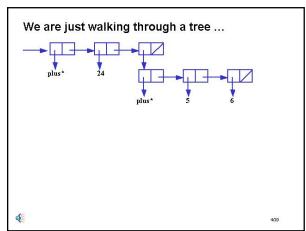
### **Slide 15.2.3**

Notice what we are doing here. We are using our knowledge of Scheme to describe the process of evaluating expressions in this new language. We are writing, **in Scheme**, the description of that process.

Okay, what do we need? We have a procedure for evaluating expressions in our new language, called eval. Notice its form. It has a way of dealing with the base case, which is an expression that just consists of a number. And to do that it uses **type checking**.

Then, we have a way of dealing with the compound case. Here, it uses type checking to see if we have a **sum** and notice how

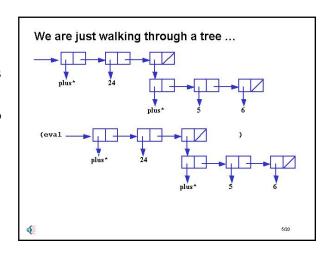
this works. It uses the keyword of the expression to determine the type of that expression. If the expression is a **sum** then we will just add, using the primitive operation of addition, the values of the subexpressions. But a key point arises here! To get those values we need to evaluate each subexpression as well, since we don't know at this stage if they are just numbers or are themselves compound expressions.

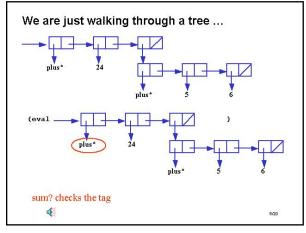


Let's look at this in more detail. First, let's look at the input to this evaluation process. Remember that our expression, which we typed in, is converted into list structure, as a tree of symbols and numbers. It looks like what is shown on the slide, and this is what gets handed to the evaluator as a representation of our example expression. So let's treat this as if this exact tree structure were passed in to eval.

### Slide 15.2.5

And what does eval do with this input? Check the code on the handout. Eval grabs the list and tests its tag. That means it first checks to see if this whole thing is a number. Since it is not, it takes the first element of this list structure and checks to see if it is the special symbol plus\*.



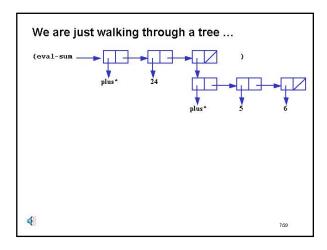


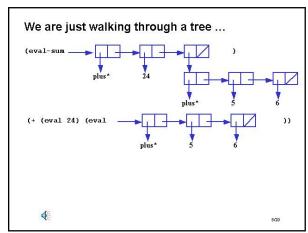
### Slide 15.2.6

Having done that it dispatches on type to the right procedure to handle this kind of expression. Having determine that it is a sum by checking the tag, it sends it off to eval-sum, and this is (for now at least) just a normal procedure application. We apply the procedure to the expression.

### Slide 15.2.7

So now eval has reduced this to applying eval-sum to the tree structure shown. Notice what the body of eval-sum does. It walks down the tree, grabbing out the two subexpressions, that is the first and second components of this sum. Eval-sum then converts this into adding, using the built-in primitive, whatever I get by evaluating the first subexpression and whatever I get by evaluating the second subexpression.



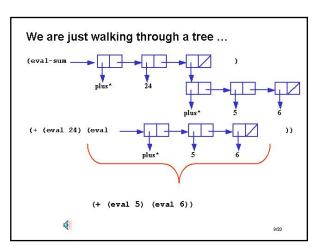


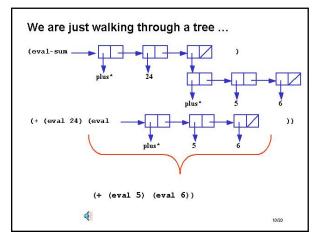
That leads to this form. Notice what we have done: we have reduced evaluation of one expression into some simple primitive operations on evaluation of other subexpressions. And what has that done? It has literally just walked down the tree structure that represents this expression, pulling out the right pieces. It has used the tag to tell us who to send the expression to, and then it has simply grabbed cars and cdrs of the list structure, and handed them off to new evaluations. Now at this stage, evaluating the first subexpression (eval 24) is easy. We see from our code that it will use type checking to determine this is a number and simply return that expression. And that's nice! This is just pointing to the number 24 so the

number 24 gets returned. What about the other piece?

### **Slide 15.2.9**

Well this just looks like the kind of expression we started with. We are evaluating some list structure that happens to represent a sum. It's got a tag at the front to say it is one of these plus\* expressions, so we can do exactly the same thing. The evaluation of this expression will unwrap into an eval-sum of the same list structure, and that will reduce to a primitive application of + to whatever I get by evaluating the subexpressions, and that I get by walking down the tree, grabbing the right pieces, applying eval and getting back the numbers.

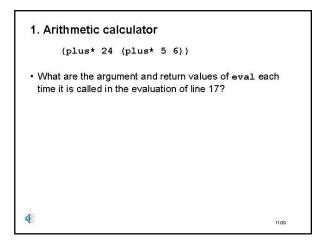




### Slide 15.2.10

And now we see that we have unwrapped this abstraction down to some primitive operations, primitive application of addition to some simple expressions, in this case just numbers. And of course this will finally reduce to the answer we expect. However, a key thing to note is how this simple evaluator has taken in a tree structure representing an expression and has unwrapped it into successive evaluations until it reduces to a set of applications of primitive built-in procedures to primitive values.

Since this is important, these stages of eval unwrapping into simpler and simpler things, and the dispatching on type to the correct procedure, let's look at this one more time. In this case, let's focus on how eval unwinds the abstraction, and what values are returned at each stage of the evaluation. As before, you may find it convenient to have a copy of the code in front of you as we go through this examination.



# 1. Arithmetic calculator (plus\* 24 (plus\* 5 6)) • What are the argument and return values of eval each time it is called in the evaluation of line 17? (eval '(plus\* 24 (plus\* 5 6)))

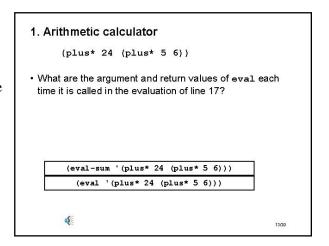
### Slide 15.2.12

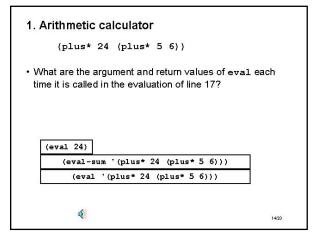
So we start with eval of this full expression. We've put a 'in front of the expression to show that we want list structure equivalent to this expression.

Thus we start with an eval of this expression.

### Slide 15.2.13

Eval first checks the type of this expression, deduces that it is not a number, but is a sum (because of the type tag), so this expression gets dispatched to eval-sum. Eval sends the expression to the procedure that is exactly set up to deal with this particular form of list structure.

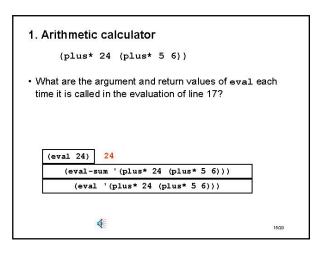


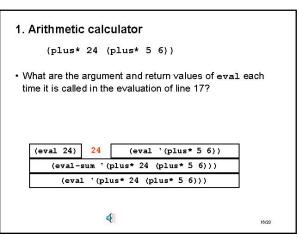


### Slide 15.2.14

Now, eval-sum says, "go ahead and add whatever I get by evaling each of the pieces". We haven't actually specified in what order to do the subpieces, but for convenience assume that it is done from left to right. So we now need to trace down the tree, and get (eval 24).

Eval once again checks the type of this expression, deduces it is a number, and just returns that expression, literally a pointer to that thing which is an internal representation for the number 24.



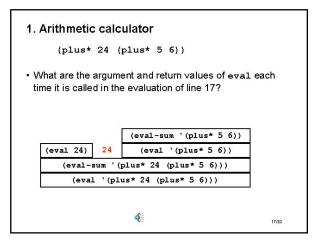


### Slide 15.2.16

Next, eval has to evaluate the second subexpression, so this is the eval of the expression shown at top right. As before, we are going to dispatch on type, i.e. check to see what kind of "beast" this is, deduce that it is a "sum" and therefore pass this on to the right procedure to handle sums.

### Slide 15.2.17

Once more, eval-sum will reduce to applying the addition operation to whatever it gets by evaluating the subpieces. Thus, we need to extract the subexpressions and once again apply eval to them. Notice the nice recursive unwinding that is going on here.

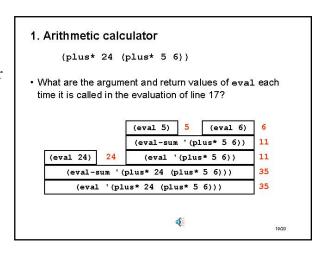


### 1. Arithmetic calculator (plus\* 24 (plus\* 5 6)) • What are the argument and return values of eval each time it is called in the evaluation of line 17? (eval 5) 5 (eval 6) 6 (eval -sum '(plus\* 5 6)) (eval -sum '(plus\* 24 (plus\* 5 6))) (eval '(plus\* 24 (plus\* 5 6)))

### Slide 15.2.18

Well this just unwraps one more time. Again, we will apply + to whatever we get by evaluating the two pieces, and eval in both cases just dispatches on type, determines the expression is a number and returns the expression as the value.

Notice where we are at this stage. We have unwrapped this compound expression into a nested sequence of operations of primitive things to primitive values. At this stage we can gather up the things we have left to do. We have some deferred operations, for example in the topmost eval-sum we can now add 5 and 6 to get 11, and so on, reducing all the deferred primitive operations down to a single value.



## 1. Things to observe cond determines the expression type no work to do on numbers scheme's reader has already done the work it converts a sequence of characters like "24" to an internal binary representation of the number 24 eval-sum recursively calls eval on both argument expressions

### Slide 15.2.20

Thus, we have built a simple evaluator that handles sums of no more than two arguments. Here are some key points to notice from this exercise, since our goal is to understand the process of evaluation.

First, eval does type tag checking. It dispatches based on type, much like we saw earlier in the course.

Second, numbers are just numbers, so there is nothing really to do.

Third, complex expressions nicely get recursively evaluated in pieces. Eval unwraps a complex expression into an

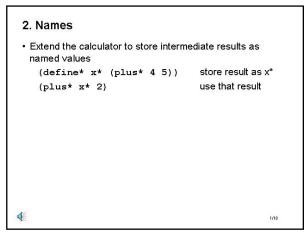
evaluation of the simpler parts, plus a deferred operation to

gather the values back together. Numbers just get handled as numbers. And eventually we reduce this whole thing down to a set of primitive operations on primitive values.

### **6.001 Notes: Section 15.3**

### Slide 15.3.1

Okay, now let's build on this basic system. Suppose we want to give **names** to things. For example, suppose we want to have the behavior shown here, in which we can store intermediate results as named values, and then just use those names anywhere that we would want to use the actual expression and its resulting value. This is the kind of behavior we saw earlier in the term in Scheme, how would we add that behavior to the evaluator we are building for simple arithmetic expressions?



### 2. Names • Extend the calculator to store intermediate results as named values (define\* x\* (plus\* 4 5)) store result as x\* (plus\* x\* 2) use that result •Store bindings between names and values in a table

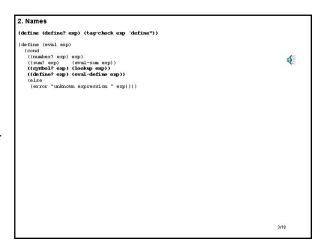
### **Slide 15.3.2**

Of course, the first thing we realize is that this means we will need a way of storing **bindings** between names and values. We can certainly imagine getting the value for something, but now we have to have a way of storing the name and value together. Define\* has to have some way of gluing pieces together. So we need to add this capability to our evaluator.

### **Slide 15.3.3**

This is now the second page of your code handout. Don't be intimidated by this code, as we have highlighted the things we have changed from the first evaluator, shown here in **bold face font**.

So what have we added? First, we need another type checker, something that checks whether the expression is a define\* expression, here called define?. We have also added two new pieces to the evaluator.



### 2. Names (define (define? exp) (tag-check exp 'define")) (define (eval exp) (cond (cond (cond) (

### **Slide 15.3.4**

Note that in this version of eval we have a way of creating names for values, and a way of getting back the value associated with a name. Thus, we have two new dispatches in our evaluator: something that checks to see if the expression is a symbol, in which case we will lookup its value; and something that checks to see if the expression is a definition (checked using the special tag define\*), in which case we dispatch to something the evaluates these special expressions.

### Slide 15.3.5

Before we look at the procedures that will handle lookup and eval-define, let's first think about what we need. We will need a way of gluing things together, and we know how to do that. Let's just assume a data abstraction, called a table. It has a constructor, make-table. It has a way of getting things out of a table, thus given a table and symbol, table-get gives us back either a nil to indicate no binding for that symbol was present, or the actual binding. We have a way of putting things into the table, table-put!, and we have binding-value which when given a binding, returns the value part of that pairing.

```
2. Names

(define (define? exp) (tag-check exp 'define*))

(define (eval exp)

(cond

((mamber? exp) exp)

((cymbol? exp) (eval-sum exp))

((define exp) (eval-sum exp))

(else

(error "unknown expression" exp))))

; variation on table ADT from prior lecture(only difference is; that table-get
; returns a binding, while original version returned a value):
; nabe-table void > table
; table-get

table-get

table-get

table-get

table-get

table-get

table-get

table-get

table-get

(binding = nall)

; binding-value

binding > anytype > under

(define environment (make-table))
```

What is the point of this? We can simply assume that this table abstraction exists, and then we can build an **environment**. Let's define environment in our underlying Scheme to be a table, and then we can create procedures for lookup and eval-define.

### **Slide 15.3.6**

Looking up the value of a symbol is simply a matter of manipulating the table. We find the binding in the table for this symbol, and then return that value part of the binding. This is simply manipulating the environment and we can abstract that away.

### Slide 15.3.7

The real thing we have added is a way of dealing with a new kind of expression, something built by define\* that is creating a binding of a name and a value. So what should this do?

This procedure says to walk the tree structure to get out the name (remember this is just walking the tree structure, there is **no** evaluation going on here). Then, **evaluate** the expression that will provide the value of the binding. Notice the use of eval which recursively returns to the top level, and evaluates this expression using the same rules! Once I get a value, I stick it into the table that represents the environment, paired with the name in a binding.

```
2. Names

(define (define? exp) (tag-check exp 'define*))

(define (eval exp)
(cond
((namber? exp) exp)
((namber? exp) exp)
((namber? exp) exp)
((define? exp) (eval-num exp))
((define? exp) (eval-define exp))
((define? exp) (eval-define exp))

; variation on table BNT from prior lecture (only difference is ; that table-get
; returns a binding, shalle original version returned a value):
; make-table
vid > table, symbol = 0. Sinding | mull)
; table-get
table, symbol = 0. Sinding | mull)
; table-get
table, symbol = mytype > undef
; binding-value
binding > anytype

(define environment (make-table))
(define (double name)
(ilet (Oxinding (table-get environment name)))
(ilet (Oxinding (table-get environment name)))
(idet (mall? binding)))

(define (eval-define exp)
(defined-to-be (castir exp)))
(defined-to-be (castir exp)))
((table-put| environment name (eval defined-to-be))
'undefined())
```

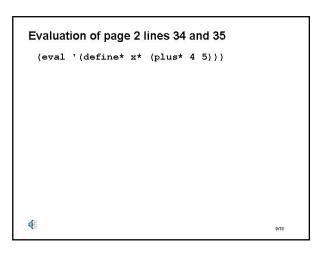
### Evaluation with names (eval '(define\* x\* (plus\* 4 5))) (eval '(plus\* x\* 2)) What are the argument and return values of eval each time it is called in lines 34 and 35? Show the environment each time it changes during evaluation of these two lines.

### Slide 15.3.8

8/18

Since we have added a new component to our evaluator, let's again look at what happens if we evaluate these two expressions in our evaluator, especially watching to see what is returned each time we recursively call eval in this process. So keep track of the argument and return values of eval as we trace through this process, using the code handout to keep track of this process.

So let's use our extended evaluator, by evaluating this define\* expression. Remember that this expression is just represented as tree structure, the ' is just used here to remind that the parser will have reduced this input expression to the equivalent tree structure. So what does eval do with this expression? It is a dispatch, so it will deduce that this is a define\* expression, and will thus send the expression to a handler. That procedure will decide to eval the value subexpression.



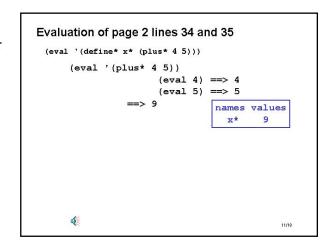
# Evaluation of page 2 lines 34 and 35 (eval '(define\* x\* (plus\* 4 5))) (eval '(plus\* 4 5)) (eval 4) ==> 4 (eval 5) ==> 5 ==> 9

### Slide 15.3.10

Well that is a lot like what we saw before! We are now doing an eval on **this** list structure. And we have literally walked down the list structure for the first expression to grab off this piece and now evaluate it. This just recursively determines the type of expression (a sum) and thus passes it off to eval-sum which evaluates each of the subexpressions in turn, then applies the primitive + operation to return a value (9). So the value returned by evaluating this subexpression is just 9.

### Slide 15.3.11

And then what does eval-define say to do (remember this is where we were when we went off to evaluate the subexpression). It simply takes the name (or symbol) x\*, grabbing that off of the tree structure, it takes the returned value, and it binds them together in the table somewhere.



### Evaluation of page 2 lines 34 and 35 (eval '(define\* x\* (plus\* 4 5))) (eval '(plus\* 4 5)) (eval 4) ==> 4 (eval 5) ==> 5 ==> 9 names values x\* 9

### Slide 15.3.12

And having done its work, it just returns the symbol undefined to tell us it has completed the evaluation of this definition.

So now let's evaluate an expression that includes one of these names, such as the expression shown. As before, this expression is converted to tree structure, which is then evaluated. The tag checking dispatches this to eval-sum. That procedure says to add together (using +) whatever I get by recursively evaluating the subpieces.

First is (eval  $x^*$ ). Notice in line 9 of evaluator that because this part of the tree structure is a symbol, we do a lookup. That is, we use the table abstraction to get the binding associated with this symbol, and returns the value associated with that binding in the table, in this case 9. The rest of the evaluation proceeds as in previous cases.

```
Evaluation of page 2 lines 34 and 35
 (eval '(define* x* (plus* 4 5)))
      (eval '(plus* 4 5))
                      (eval 4) ==> 4
                      (eval 5) ==> 5
                                names values
  ==> undefined
                                         9
 (eval '(plus* x* 2))
     (eval 'x*) ==> 9
     (eval 2) ==> 2
            1
```

```
Evaluation of page 2 lines 34 and 35
 (eval '(define* x* (plus* 4 5)))
      (eval '(plus* 4 5))
                      (eval 4) ==> 4
                      (eval 5) ==> 5
                ==> 9
                                names values
 ==> undefined
                                         9
 (eval '(plus* x* 2))
     (eval 'x*) ==> 9
     (eval 2) ==> 2
 ==> 11
               1
```

### Slide 15.3.14

So in fact this was fairly straightforward. As long as we have the abstraction of the table, we see how we can add bindings to it, through definitions. Notice also how just walking the tree structure of the expression allows us to grab off symbols without evaluating them, in this case, getting X\* so that we could bind it together with a value in the table. Other than that, all the other things still hold for our evaluator, we can simply now give names to values.

### Slide 15.3.15

Having now extended our evaluator by adding in this new capability, let's step back and extract some key messages. First of all, notice that we added two new dispatch clauses to our evaluator. One of them just dispatches to eval-define using standard type checking of tags. The other clause, however, relies on using symbol?, the underlying Scheme function, to check for a name. Why is this reasonable? Note that we are relying on the underlying Scheme reader to convert things into a parse tree, and in particular, that reader will convert sequences of characters like x \* into symbols in the parse tree, which then gets passed on to eval. Thus, eval will get a structure that can be handled by symbol?.

### 2. Things to observe · Use scheme function symbol? to check for a name • the reader converts sequences of characters like "x\*" to symbols in the parse tree 1

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### 2. Things to observe Use scheme function symbol? to check for a name the reader converts sequences of characters like "x\*" to symbols in the parse tree Can use any implementation of the table ADT

### **Slide 15.3.16**

Note the second thing we added, a table. Or rather, we added an abstract data type of a table to represent our environments. Note that we could have used **any** implementation of a table. We are not relying in **any way** on the specifics on a table implementation, and that is exactly the point. As long as we have a way of creating tables, we can build an evaluator that simply manipulates them.

### Slide 15.3.17

A key thing to note as well is that we have now added a **special form** to our little evaluator! If you think carefully about it, you will note that prior to this we were simply evaluating normal combinations, which for this language were sums of two arguments. However, eval-define does something different. It recursively evaluates only the **second** subexpression! In other words, eval-define takes the first subtree of the parse tree passed in, without evaluation, and treats it as a symbol. It only does evaluation on the second subtree.

### 2. Things to observe

- · Use scheme function symbol? to check for a name
  - the reader converts sequences of characters like "x\*" to symbols in the parse tree
- · Can use any implementation of the table ADT
  - eval-define recursively calls eval on the second subtree but not on the first one

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### **6.001 Notes: Section 15.4**

### **Slide 15.4.1**

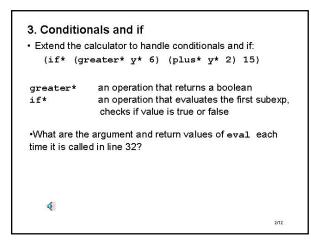
What else can we add to our evaluator? To this point, we have no way of making decisions. We can't branch to do different things depending on a value. So let's extend our evaluator to handle this, i.e. let's extend our calculator to handle conditions and ifs: Statements such as the example shown, in which if something is true we want to do one thing, otherwise we want to do something else.

### 3. Conditionals and if

 Extend the calculator to handle conditionals and if: (if\* (greater\* y\* 6) (plus\* y\* 2) 15)

1

1/12



We can already see from our example that we are going to need to add two kinds of things. First, we will need procedures that return Boolean values. Thus, <code>greater\*</code> should evaluate a pair of expressions, then return <code>true</code> if the value of the first expression is larger than the value of the second expression. Of course, we can easily imaging adding other such expression to our language, so long as we have some expressions that evaluate expressions and return Boolean values. The second thing we will need is an operation that lets us control the branching of our code. We know that this should be a special form in which we should first evaluate the first subexpression, check its value, and then based on that value

either evaluate and return the second subexpression or evaluate and return the third subexpression. This means we need to add an if \* expression to our language.

### **Slide 15.4.3**

As before, there is a fair amount of code here, but we have **highlighted** the changes from the earlier version. First, we need two new ways of dispatching on greater\* and if\* expressions, just using type checking as before. This means we need ways of checking the type of such expressions, and we need to add dispatch clauses to the cond expression inside eval, one for each new type of expression. This is just like before.

```
3. Conditionals and if

(define (speacef up) (tap-decdt up 'greater'))
(define (tar up)
(conditional tar)
(conditional t
```

```
3. Conditionals and if

(define (greater sup) (tap-dack op 'greater'))
(define (tri sup)
(define (tri sup)
(combet? sup) (expl-true exp))
((combet? sup) (expl-true exp)
((combet? sup) (expl-true exp)
((combet? sup) (expl-true exp))
((combet? sup) (expl-true exp)
((combet? sup) (expl-true exp)
() (combet? sup) (expl-true exp)
() (combet? sup) (expl-true exp)
() (expl-true expl-true exp)
() (expl-true expl-true exp)
() (expl-true expl-true expl-true
```

### **Slide 15.4.4**

First, greater\* expressions. Remember that exp will be a pointer to some tree structure that has been created by the parser. The first subexpression in that tree structure will be the symbol greater\*. The second subexpression will be some other expression, as will the third subexpression. Our plan is to get the values of the second and third subexpressions. We will use tree operations to extract the right pieces, the recursively evaluate them. Once we have the values of these subexpressions, we can use the underlying Scheme primitive operation to compare the numerical values and return a Boolean answer.

This may seem a little odd; why not use Scheme's operation directly? Remember, our goal is to understand how to connect the evaluator to built-in primitives, and to allow for alternative ways of executing operations. So remember what we do, we walk the tree, grab the pieces, recursively evaluate them, then pass the pieces on to primitive procedures to complete the reduction to a value.

What about if \* expressions? What happens when we dispatch to eval-if?

Once more, remember that what is passed in to this procedure is tree structure, created by the parser. This tree structure will several pieces: the tag if\*; then tree structure representing the predicate of the expression; then tree structure representing the consequent of the expression; then tree structure representing the alternative of the expression. Notice how in our procedure we use list manipulation to pull off each piece of the tree, and then we evaluate the first one.

Once we have the value of test, we can then use the

```
3. Conditionals and if

(define (granter? esp) (tay-shock esp 'granter'))
(define (art esp)
(define (art esp)
((masker? esp) (tay-shock esp 'if'))
((masker? esp) (expl-same esp))
(((masker? esp) (expl-same esp))
(((masker? esp) (expl-same esp))
(((granter? esp) (expl-same esp))
(((granter? esp) (expl-same esp))
(((aft' esp) ((expl-same esp)))
((aft' esp) ((expl-same esp)))
((expl-same esp))
((expl-same esp)))
((expl-same esp))
((expl-same esp))
((expl-same esp)))
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((expl-same esp)))
((expl-same esp))
((expl-same esp)))
((expl-same esp))
((expl-same esp)))
((expl-same esp))
((expl-same esp))
((expl-same esp)))
((expl-same esp))
(
```

underlying primitive mechanism for branching, <code>cond</code>, to decide that if <code>test</code> is <code>true</code>, then and only then, take the consequent and evaluate it. If <code>test</code> is <code>false</code>, then and only then, take the alternative and evaluate it. Notice the order in which things are done. This is a special form in which we first evaluate the predicate. Depending on that value, we either evaluate the consequent or the alternative, and return that value as the value of the overall expression.

```
3. Conditionals and if

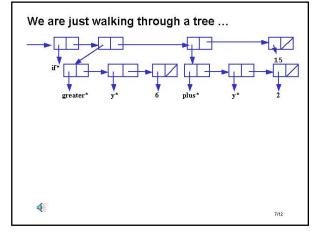
(define (granter esp) (tay-shade esp 'granter'))
(define (if esp) (tay-shade esp 'granter'))
(define (if esp) (tay-shade esp 'granter'))
((crimit esp) (crimit esp)
((crimit esp) (esp) (esp)
((crimit esp) (esp) (esp)
((crimit esp) (esp) (esp)
((granter' esp) (esp) (esp)
((granter' esp) (esp) (esp)
((granter' esp) (esp) (esp)
((define (esp) (esp) (esp) (esp) (esp)
((define (esp) (esp) (esp) (esp) (esp)
((define (esp) (esp) (esp) (esp) (esp) (esp)
((define (esp) (
```

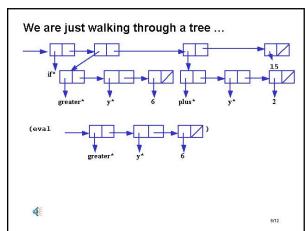
### **Slide 15.4.6**

So having added these two new expressions to our evaluator, let's look at some examples of evaluation using them, as shown on the slide, tracing through the process of recursively using eval.

### **Slide 15.4.7**

We can assume that we have done the evaluation of (define\* y\* 9), since we know what that does. Now let's look at evaluating the if\* expression.

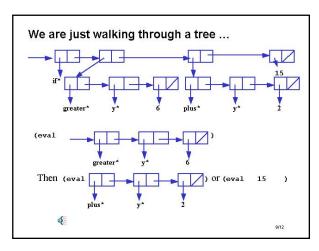




The first thing eval does is check this expression. Is it a number? Is it a sum? Is it a symbol? Is it a define? Is it a greater? Is it an if? In other words, it checks the tag on the expression, eventually determining that this is an if\* expression. Thus it dispatches the expression to eval-if. If you look at the code handout, you will see that eval-if first walks down the tree structure and pulls out the predicate expression. It then evaluates that piece, as shown.

### Slide 15.4.9

Depending on what value is returned by evaluating that first piece, the evaluator will either walk the tree structure to get out the consequent piece or the alternative piece, and it will evaluate that piece. Thus, this special form will first evaluate one piece, and then branch to an evaluation of one of the other two pieces, but only one of them.



### Evaluation of page 3 line 32 (eval '(if\* (greater\* y\* 6) (plus\* y\* 2) 15)) (eval '(greater\* y\* 6)) (eval 'y\*) ==> 9 (eval 6) ==> 6 ==> #t (eval '(plus\* y\* 2)) (eval 'y\*) ==> 9 (eval 2) ==> 2 ==> 11 ==> 11

### Slide 15.4.10

Then we can just step through the stages. The first stage evaluates the full expression. This dispatches to eval-if, which evaluates the first subexpression in the tree structure. That does a second dispatch to the procedure that handles greater\* expressions. Here we walk further down the tree to get the subexpressions to this procedure, and recursively evaluate them. Having returned values (one by lookup, the other as a number), we actually apply the primitive comparison operator, and since the returned value is true we then extract the appropriate piece of the tree (the consequent) and we evaluate that piece. This just becomes a dispatch like the

previous cases, and you can see the recursive calls to eval used to get the values of the subexpressions before the application of the primitive procedure.

Once more, let's step back and extract some messages from this exercise.

Note that eval-greater is really just like evalsum. Both recursively call eval on the arguments, then apply a primitive procedure to the resulting values.

### 3. Things to observe

- eval-greater is just like eval-sum from page 1
  - · recursively call eval on both argument expressions
  - · call scheme > to compute value

11.

### 3. Things to observe

- eval-greater is just like eval-sum from page 1
  - recursively call eval on both argument expressions
  - call scheme > to compute value
- •eval-if does not call eval on all argument expressions:
  •call eval on the predicate
  - •call eval on the consequent or on the alternative but

### Slide 15.4.12

On the other hand, our conditional, eval-if, behaved differently. It is a special form. Rather than evaluating all of its arguments, it only evaluates some of them, and in a particular order, as shown on the slide.

### **6.001 Notes: Section 15.5**

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### Slide 15.5.1

In the last example, when we introduced a new kind of expression into our evaluator, we had to add a new dispatch into eval. We created a new type checker for the expression, and then we added a new clause to the cond to dispatch off to a procedure to handle this kind of expression.

That's okay with a small number of things, but we would like to add lots of operators, for multiplication, exponentiation, less than, and so on. How can we add lots of operators to our evaluator but still keep eval compact, and more importantly easy for us to extend later?

### 4. Store operators in the environment

- · Want to add lots of operators but keep eval short
- · Operations like plus\* and greater\* are similar
  - evaluate all the argument subexpressions
  - perform the operation on the resulting values



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### 4. Store operators in the environment

- · Want to add lots of operators but keep eval short
- Operations like plus\* and greater\* are similar
  - · evaluate all the argument subexpressions
  - · perform the operation on the resulting values

1

### **Slide 15.5.2**

We have already hinted at how to do this. We have noted that operations like plus\* and greater\* are quite similar.

They evaluate all their arguments, and then they perform a particular operation on the resulting values. That sounds like a common pattern, so we would like to capture that pattern and take advantage of that abstraction.

### Slide 15.5.3

In particular, we will call this standard pattern an **application**. It is an application of a particular operator to a set of arguments. We can therefore implement a single case in our evaluator for all applications: just one way of dispatching. That will allow us to easily extend other operations to our evaluator, by simply creating the operation and having the same dispatch handle the application.

### 4. Store operators in the environment

- Want to add lots of operators but keep eval short
- · Operations like plus\* and greater\* are similar
- · evaluate all the argument subexpressions
  - · perform the operation on the resulting values
- Call this standard pattern an application Implement a single case in eval for all applications

### 4. Store operators in the environment

- · Want to add lots of operators but keep eval short
- · Operations like plus\* and greater\* are similar
  - · evaluate all the argument subexpressions
- · perform the operation on the resulting values

•Call this standard pattern an application Implement a single case in eval for all applications

·Approach:

•eval the first subexpression of an application ·put a name in the environment for each operation value of that name is an procedure

apply the procedure to the operands

evaluator?

### Slide 15.5.4

Here is our plan for accomplishing this. We will now first evaluate the first subexpression of an application. Note that in the previous cases we did not do this, we used the name to dispatch in eval to the right primitive procedure. Now we will evaluate the first subexpression to deduce the operation to apply. But that means we have to have a way of getting access to the appropriate operation, so we will put a name in the environment for each operation that we want to treat as an application. The value of that name will be a procedure and then we can simply apply the procedure to the values of the other subexpressions. How can we make this extension to our

4

As with the previous cases, we suggest that you look at a printout of the code as we walk through this exposition. As before, we will try **highlighting** the changes we make to our existing evaluator, as we evolve to handle new kinds of expressions.

First, we need a way of detecting an application, and we are going to simply rely on the expression being a **pair**, that is, if it is a combination, then it is an application. But if we are going to assume that, then in our dispatch cases inside eval, applications had best be things we do **after** we check for the special kinds of things. And thus we can see a form evolving. In eval we first check for the primitives (numbers and

```
4. Store procedures in the environment

(define (application? e) (pain? e))

(define (rval tsp)

(combar? tsp)

(combar? tsp)

(define (rsp)

(define (rsp)

(define (rsp))

(
```

symbols), and then we consider compound expressions and check for any that are special forms (things that do not obey the normal rules for evaluation, such as define\* and if\*). Finally, we check for applications, that is, we make sure we do have a compound expression, in which case we treat it as an application. Notice that we can now drop the special checks for greater\* and similar operations, since they will now be caught by the application case.

Notice what we do if we have an application. Remember that the input is one of those tree structures representing the expression. We grab the first element of the tree and **evaluate** it. That should give us a procedure. We then walk down all the remaining elements of the tree and we will **map** eval down that list. We are just treating map as a primitive here, so that it applies eval to each element of that list and create a new list of the resulting values.

Then we will **apply** that procedure value to that list of arguments.

```
4. Store procedures in the environment

(define (application* e) (pair* e))

(define (application* e) (pair* e))

(define (val exp)

((mobal* evo) (val-define ep))

((pair* evo) (val-define ep))

((application* exp) (apply (enal (aca app))

((application* exp) (apply (enal (aca app)))

((its (exror "unboom approxison* exp))))

(its (exror "unboom approxison* exp))))

(define chame sphare explain exp)

(define chame apply apply)

(define chame apply apply)

(define chame apply (pat-schame paceable eperator)

(enhome apply (pat-schame paceable eperator)

(enhome apply (pat-schame paceable eperator)

(entore "up axive non a paceable eperator)
```

### **Slide 15.5.6**

We know that recursively eval should do the right thing. If our expression is an application of a named procedure to some arguments, it will lookup the value of the name, which presumably is attached to some procedure in the environment. Similarly, mapping eval down the list of other expressions will return a list of values. Now, how do we do an **application**? Conceptually, **apply** says: check to see if the operator, the first subexpression, is a primitive, that is, one of the things built into my simple calculator (e.g. greater\*, plus\*). If it is, then get the corresponding primitive and apply it to the arguments, that is just do the normal Scheme thing to this primitive application.

So to use this, I simply need to create primitive operators, and I can do that with an abstract data type that stores away underlying procedures (basic things we are inheriting from Scheme) into a structure. We will make a primitive object by taking an underlying procedure, tagging it, so that I can check tags to find primitives and get out the actual procedure from the data abstraction.

```
4. Store procedures in the environment

(define (application* a) (pain* a))

(define (application* a) (pain* a))

(define (application* a) (pain* a))

((option* application* application*
```

```
4. Store procedures in the environment

(define (application* e) (pain* e))

(define (rul exp)

(cond

((muker* exp) (sokey exp)

((fir)wholl* exp) (sokey exp)

((fir)wholl* exp) (sokey exp)

((fir) exp)

((application* exp) (sokey exp)

((application* exp) (sokey exp)

((sp) (application* exp) (sokey exp)

((sp) (application* exp) (sokey exp)

((sp) (application* exp) (sokey exp)

((sp) (sp) (sokey)

(sp) (sp) (sokey)

(sp) (sokey)

(sp) (sokey)

(sp) (sokey)

(stokey)

(sp) (sokey)

(stokey)

(sp) (sokey)

(so
```

### Slide 15.5.8

Remember that we have an environment, a little table abstraction that contains bindings for things, so initially, before I start using my evaluator, I can establish in that environment some built in primitives. For example, I can put in a built in primitive for plus\* and one for greater\*, and one for the symbol true\*, which is bound to the machine representation for the Boolean value of true.

This should start looking familiar to you. Note that we are

This should start looking familiar to you. Note that we are setting up an environment that contains bindings of built in names to built in primitive procedures. We also have for those procedures a way of tagging them to tell that they are

procedures we have created. Then our evaluator is going to be able to use those built in primitives to execute the application of a procedure to some arguments.

### Slide 15.5.9

Okay, let's check it out. Let's evaluate the three shown expressions in order in our little evaluator. This will check both application and special forms in our new evaluator.

```
4. Store procedures in the environment

(define (application* e) (pair* e))

(define (application* e) (pair* e))

(define (val* exp)

((republ* typ) (val* define exp))

((define typ) (val* define exp)

((define typ) (val* define exp))

((define typ) (val* define exp))

((define typ) (val* define exp))

((deplacation* exp) (sply (exp val (def vop))))

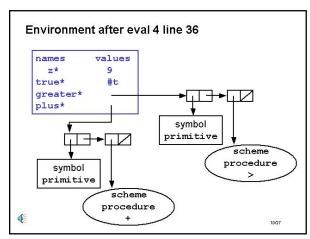
(dist (error "unknown expression" exp))))

(): stame schows's sply exp va can zeuse the name

(define (apply sperator operands)

((define (apply sperator operands)

((exp val (exp v
```



First, what does our environment look like? It is just an abstract data type of a table, containing names and values. This will include a binding of z\* to 9 which we know comes from evaluating the define\* expression. We also have a binding for true\*, since that was one of the built in definitions. We also established bindings for names to representations of some primitive procedures.

### Slide 15.5.11

So let's step through the stages of evaluation of a simple expression. As before, keep the code handy to follow along. We start with the evaluation of the tree structure associated with (plus\* 9 6), the ' is just use to remind us that this is tree structure along which we are walking. Eval steps through a big dispatch checking the type of this expression against each of its cases. Having decided it is not one of the explicit things about which it knows, it checks that it is a compound procedure, and since this is, eval assumes we have an application.

```
Evaluation of eval 4 line 37

(eval '(plus* 9 6))
```

### Evaluation of eval 4 line 37 (eval '(plus\* 9 6)) (apply (eval 'plus\*) (map eval '(9 6)))

### Slide 15.5.12

So eval unwinds this into an application of whatever we get by evaluating the first part of the tree to the list of the evaluation of the remaining parts of the tree. Notice how we are just walking through the tree, grabbing the pieces, and recursively evaluating each one in turn.

### Slide 15.5.13

So what happens with the eval of the symbol plus\*? Again, eval walks through each of its cases, checking the type of this expression against those cases. It decides this is a symbol, so it just does a look up in the environment. This just returns the representation associated with that name, in this case a tagged list, as shown. We now have the value of the operator. We can move on to mapping eval down the list of other pieces, which we know turns into a list of applying eval to each piece in turn.

### Evaluation of eval 4 line 37 (eval '(plus\* 9 6)) (apply (eval 'plus\*) (map eval '(9 6))) (apply '(primitive #[add]) (list (eval 9) (eval 6)) (apply '(primitive #[add]) '(9 6))

### Slide 15.5.14

And of course we have seen what this does. For each expression, eval checks the type of the expression, decides it is a number, and just returns that expression as the value. This results in a list of the values 9 and 6.

Notice the form we now have: an application of a representation of a primitive procedure to a list of values. So we can now do the apply.

### **Slide 15.5.15**

Apply first checks the argument to make sure it is a primitive. Since this one does have the right tag, it can reduce this to the underlying Scheme application of the procedure associated with addition to the values. And that just reduces to

```
Evaluation of eval 4 line 37

(eval '(plus* 9 6))

(apply (eval 'plus*) (map eval '(9 6)))

(apply '(primitive #[add])

        (list (eval 9) (eval 6))

(apply '(primitive #[add]) '(9 6))

(scheme-apply
    (get-scheme-procedure '(primitive #[add]))
    '(9 6))
```

### Slide 15.5.16

...this case. We just have a Scheme application of one of its primitive procedures to a list of values. Notice what we have done. We have reduced evaluation of an expression to an application of a procedure to a set of values, where we have recursively evaluated each subexpression to get that procedure and list of arguments. If the arguments were themselves combinations, we would have done the same process recursively on each of them, continually unwinding the evaluation down to an application, in this case of addition to some values ...

... returning the value we expect. The key thing to see here is the evolution of evaluation of a combination reducing to application of a procedure to a set of values.

## Evaluation of eval 4 line 38 (eval '(if\* true\* 10 15))

### Slide 15.5.18

That example demonstrates that our normal procedure applications now work. Let's make sure that special forms still work, by considering the example of an if\* expression. Of course we get the behavior we expect. Given the tree structure associated with this expression, eval checks each case in its set of possibilities, checking the type of expression until it deduces that this is an if\* expression. In this case, it dispatches off to a procedure designed to handle such expressions. Note that it reaches this case before it gets to normal applications.

### Slide 15.5.19

That reduces to using the special procedure eval-if on this expression, and check the code to remind yourself what eval-if does.

```
Evaluation of eval 4 line 38

(eval '(if* true* 10 15))

(eval-if '(if* true* 10 15))
```

### Evaluation of eval 4 line 38 (eval '(if\* true\* 10 15)) (eval-if '(if\* true\* 10 15)) (let ((test (eval 'true\*))) (cond ...))

### Slide 15.5.20

Eval-if first evaluates the predicate. It takes the expression, walks down the tree structure, pulls off the first subexpression, and evaluates it. We will temporarily give the result the name test. Key point is that we only evaluate this first subexpression, before we look at anything else.

Eval on this expression again walks through its cases, decides this is a symbol, and does a look up on it. It goes into the environment, finds the binding of this symbol in that table abstraction, and returns the binding, in this case the underlying representation for the Boolean value of true.

```
Evaluation of eval 4 line 38

(eval '(if* true* 10 15))
(eval-if '(if* true* 10 15))
(let ((test (eval 'true*))) (cond ...))
(let ((test (lookup 'true*))) (cond ...))
```

## Evaluation of eval 4 line 38 (eval '(if\* true\* 10 15)) (eval-if '(if\* true\* 10 15)) (let ((test (eval 'true\*))) (cond ...)) (let ((test (lookup 'true\*))) (cond ...)) (let ((test #t)) (cond ...))

### Slide 15.5.22

Having now obtained the value for this lookup, we can now proceed to the conditional expression. Thus, based on the value, it walks through the rest of the tree structure representing the expression, and grabs the appropriate piece.

### Slide 15.5.23

and this reduces to simply evaluating this piece of the expression tree, and will return the value of this expression as the value of the overall if\* expression. Because this is a number, this is self-evaluating and returns ...

```
Evaluation of eval 4 line 38

(eval '(if* true* 10 15))
(eval-if '(if* true* 10 15))
(let ((test (eval 'true*))) (cond ...))
(let ((test (lookup 'true*))) (cond ...))
(let ((test #t)) (cond ...))
(eval 10)
```

### Evaluation of eval 4 line 38 (eval '(if\* true\* 10 15)) (eval-if '(if\* true\* 10 15)) (let ((test (eval 'true\*))) (cond ...)) (let ((test (lookup 'true\*))) (cond ...)) (let ((test #t)) (cond ...)) (eval 10) 10

### Slide 15.5.24

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...just the expression itself.

Key thing to notice is how eval of an if\* has walked through the tree structure representing the expression, in a particular order, and it has reduced the evaluation of that expression to the evaluation of one of the subexpressions. Also notice how we did not walk through all of the tree evaluating all of the pieces. We only evaluated "on demand", which is very different than an application.

In fact, notice that apply is **never** called here. We only do evaluation of pieces of the expression. Of course if one of those pieces had been an application we would have used apply but in terms of the parts of if\* we never used apply. If\* is a special form that handles evaluation of subpieces in a particular order, but never relies on an application of a procedure since it is not a procedure application.

```
Evaluation of eval 4 line 38

(eval '(if* true* 10 15))
(eval-if '(if* true* 10 15))
(let ((test (eval 'true*))) (cond ...))
(let ((test (lookup 'true*))) (cond ...))
(let ((test #t)) (cond ...))
(eval 10)
10

Apply is never called!
```

### 4. Things to observe

- · applications must be last case in eval
  - · no tag check

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### Slide 15.5.26

Once more, let's step back from our example and extract some key observations. We have now extended our evaluator to include applications, which means we can create other kinds of expressions. But to do this, we must have applications be the last thing considered as an option in eval. We are not checking tags for applications, we are simply relying on the fact that is something is not one of the known special forms, it must be an application.

Also notice the form of eval, in which we first check for primitives, then for special forms, then for applications.

### Slide 15.5.27

And as we just said, we never used apply in the last example. Applications evaluate all of their arguments before proceeding. Special forms handle things in a different order, exactly to control when arguments are evaluated. So now we have seen a basic way of structuring an evaluator. We have primitive expressions, primitive means of combination, primitive conditionals, and primitive means of application, which enable creation of other expressions. Next time, we will look at further extending our evaluator.

### 4. Things to observe • applications must be last case in eval • no tag check •apply is never called in line 38 •applications evaluate all subexpressions •expressions that need special handling, like if\*, gets their own case in eval