

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

Lukas Döllner

Seminar: Software Quality

Informatics 4 - Software and Systems Engineering

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## What is the problem?

Over time, projects accumulate a lot of regression tests.

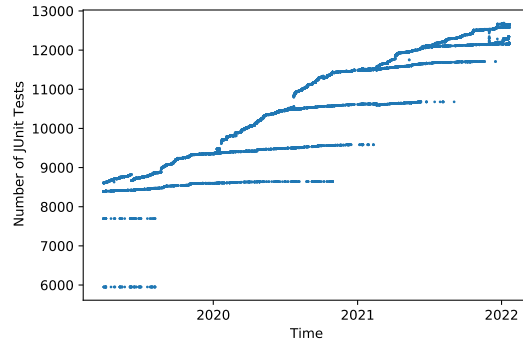


Figure: Spring Boot JUnit tests in the “master” branch.

Too many regression tests.

→ *RetestAll* not feasible

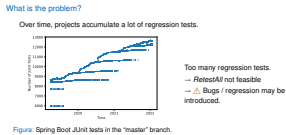
→ ⚠ Bugs / regression may be introduced.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Motivation

└ What is the problem?

- Approx. number of JUnit Tests in the Spring Boot Repository.
- From < 9000 in Beginning 2019 to approx. 13000 now.
- We are assuming that these are all regression tests
- Test suits tend to get too big.
- executing all tests (called retest all) requires too many computing resources.
- leads to a higher probability of occurring Regression faults



## How can we solve this problem?

### Regression Test Minimization

Eliminate redundant test cases.

### Regression Test Selection

Only run affected test cases.

### Regression Test Prioritization

Fail fast — Maximize early fault detection.

[regression\_testing\_reduction]

2022-06-12

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Motivation

└ How can we solve this problem?

- Yoo and Harman identified 3 ways to tackle the problem of growing regression test suites.
- Minimization -> Eliminate redundancy
- Selection -> Only execute affected tests
- Prioritization -> Intelligently reorder tests
- 
- For today's presentation, I'm going to focus on **Regression Test Selection**.

How can we solve this problem?

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Eliminate redundant test cases.

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Eliminate redundant test cases.

**Regression Test Selection**

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Regression Test Prioritization

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[regression\_testing\_reduction]

## Regression Test Selection (RTS)

1. **Dependency collection:** Which parts of the source code affect the test's result?
2. **Identification of affected tests:** Were any of these parts changed?

[regression\_testing\_reduction]

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Motivation

└ Regression Test Selection (RTS)

- Regression test selection is a 2-step process
- 1. Dependency Collection -> Associate tests with their tested source code. (this could be done asynchronously)
- 2. Identification of affected tests -> Use set of source code changes to determine affected tests.

S:4:00

Regression Test Selection (RTS)

1. **Dependency collection:** Which parts of the source code affect the test's result?
2. **Identification of affected tests:** Were any of these parts changed?

[regression\_testing\_reduction]

## Safe Regression Test Selection

“[...] they select every test from the original test suite that can expose faults in the modified program.” [**safety\_paper**].

Additionally, we require that **safe** RTS does not otherwise interfere with testing.

Since 1997, a lot of RTS tools claimed to be **safe**. However, this *safety* is often only semi-formally proven for code-only changes.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### └ Motivation

### └ **Safe** Regression Test Selection

- In 1997, two researchers defined **safe** RTS as the ability of your tool to ...
- We add to this definition that the rts tools must not otherwise interfere with testing.
- Over the last 20 years, many supposedly safe rts tools were published.
- Most of them do not supply perfect formal proofs of their safety. And they often only proof safety for code changes.
- But is this a problem?

#### Safe Regression Test Selection

“[...] they select every test from the original test suite that can expose faults in the modified program.” [**safety\_paper**].

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Since 1997, a lot of RTS tools claimed to be **safe**. However, this *safety* is often only semi-formally proven for code-only changes.

## RTS Safety — Not so easy?

Zhu and others presented RTSCHECK, a tool for testing RTS-Tools, in 2019.

They found **9 sources of unsafety** in 3 RTS-Tools that were expected to act safe in most conditions.

[unsafety\_eval]

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Motivation

└ RTS Safety — Not so easy?

- In 2019, a research group published a tool called RTScheck for testing RTS-tools.
- First project to find empirical evidence for unsafety in supposedly safe rts tools.
- They found multiple sources of unsafety in popular RTS-tools.
- With my work, I want to extend this catalog of sources of unsafety.

S:4:00

RTS Safety — Not so easy?

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Supposedly **safe** RTS-Tools act unsafe in certain conditions.

[unsafety\_eval]

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

- └ Motivation

- └ RTS Safety — Not so easy?

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[unsafety\_eval]



# Research Questions & Study Objects

## Study Objects

Name	<i>Ekstazi</i>	<i>GIBstazi</i>	<i>OpenClover</i>	<i>STARTS</i>	<i>HyRTS</i>
Venue	ISSTA	ISSRE	—	ASE	ICSE
Dependency Collection Technique	Dynamic Runtime Instrumentation	Dynamic with Static Analysis	Static Instrumentation	Static Analysis	Dynamic Runtime Instrumentation

Table: Study Objects

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

— Research Questions & Study Objects

### — Study Objects

- My study objects: A mix of common Java RTS-tools
- **Ekstazi**: Most commonly seen tool. -> International Symposium on Software Testing and Analysis
- **GIBstazi**: Wrapper around Ekstazi. -> International Symposium on Software Reliability Engineering
- **OpenClover**: Previously developed by Atlassian.
- **STARTS**: The only purely static tool. -> International Conference on Automated Software Engineering
- **HyRTS**: A hybrid RTS-tool. -> International Conference on Software Engineering

S:6:00

Study Objects

Name	Ekstazi	GIBstazi	OpenClover	STARTS	HyRTS
Venue	ISSTA	ISSRE	—	ASE	ICSE
Dependency Collection Technique	Dynamic Runtime Instrumentation	Dynamic with Static Analysis	Static Instrumentation	Static Analysis	Dynamic Runtime Instrumentation

Table: Study Objects

## Research Questions

- RQ1** What sources of unsafety exist for the examined RTS-tools?
- RQ2** What are the differences in safety of the examined tools, in the context of the previously identified sources of unsafety?
- RQ3** How can potential for unsafety be automatically identified in code changes without dynamic program analysis?
- RQ4** In which quantities do the identified sources of unsafety occur in real world software projects?

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### └ Research Questions & Study Objects

### └ Research Questions

- Part 1: Sources of unsafety in the laboratory.

RQ1 What sources of unsafety exist for the examined RTS-tools?

RQ2 What are the differences in safety of the examined tools, in the context of the previously identified sources of unsafety?

- 
- Part 2: Sources of unsafety in the wild -> look at actual software projects.

RQ3 How can potential for unsafety be automatically identified in code changes without dynamic program analysis?

RQ4 In which quantities do the identified sources of unsafety occur in real world software projects?

S:6:00

#### Research Questions

**RQ1** What sources of unsafety exist for the examined RTS-tools?

**RQ2** What are the differences in safety of the examined tools, in the context of the previously identified sources of unsafety?

**RQ3** How can potential for unsafety be automatically identified in code changes without dynamic program analysis?

**RQ4** In which quantities do the identified sources of unsafety occur in real world software projects?

# Evaluation of Sources of Unsafety

RQ1 & RQ2

S:10:00

## Color Code

Conflicted with the proposed source of unsafety, the RTS-tool...

✓	... acts <b>safe</b> .
✗	... acts <b>unsafe</b> .
⚠	... was unable to execute the tests.

Example:

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✗
<i>STARTS</i>	✗
<i>HyRTS</i>	⚠

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Approach

└ Evaluation of Sources of Unsafety

└ Color Code

Color Code

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Example:

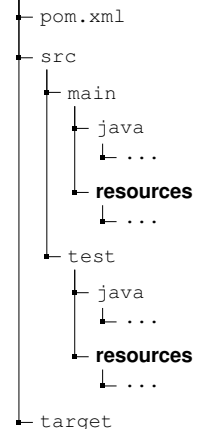
<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✗
<i>STARTS</i>	✗
<i>HyRTS</i>	⚠

- **Important:** To find sources of unsafety, I looked at existing research, skimmed through the tool's source codes and thought about complications with the tool's dependency collection.
- In the following slides, you will only see the sources of unsafety that actually make one of the examined tools act unsafe.
- 
- Color-Code for the following slides. -> Table on the right side of the screen. -> Table shows response of the examined tools.
- General notice: In order to save time, I'm not going to discuss the information contained in these tables in detail.

S:10:00

## External Files

Java Project Root



<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

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- Approach
  - Evaluation of Sources of Unsafety
    - External Files

External Files

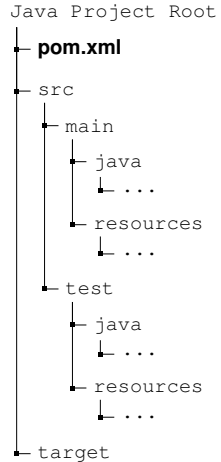


<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

- File resources explicitly loaded via program code.
- Common location for external files in maven directory structure is the resources folder.
- One big difference to the next source of unsafety (configuration files)

S:10:00

## Configuration Files



```
<version>2.11.4</version>
```



```
<version>2.12.0</version>
```

<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	✓
<i>HyRTS</i>	×

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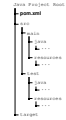
## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

### Evaluation of Sources of Unsafety

### Configuration Files

Configuration Files



<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	✓
<i>HyRTS</i>	×

- Implicitely loaded
- Change behavior of libraries and external tools
- Here example: changing a library version
- “As we can see from the response of the tools, this is actually a different source of unsafety than external files.”

S:10:00

# Reflections

```
Class.forName("ModifiedClass");
```

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<i>STARTS</i>	✗
<i>HyRTS</i>	✗

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

- Approach
  - Evaluation of Sources of Unsafety
    - Reflections

- A well known problem for static tools: reflections
- “We focused on the `Class.forName(...)` method, because it allows the programmer to access features of classes that are not explicitly imported.”
- `Class.forName` method source for most reflection problems.

S:10:00

Reflections

```
Class.forName("ModifiedClass");
```

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<i>STARTS</i>	✗
<i>HyRTS</i>	✗



# Runtime Instrumentation

## Ekstazi & GIBstazi:

Dynamic Bytecode Instrumentation incompatible with modern language features.

```
MyClass.class.getAnnotatedInterfaces();
MyClass.class.toGenericString();
```

## OpenClover:

Source Code Instrumentation alters results of reflective method calls.

```
MyClass.class.getDeclaredClasses();
MyClass.class.getFields();
```

<i>Ekstazi</i>	×
<i>GIBstazi</i>	×
<i>OpenClover</i>	×
<i>STARTS</i>	✓
<i>HyRTS</i>	✓

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

### Evaluation of Sources of Unsafety

### Runtime Instrumentation

- Special source of unsafety:
- A rather common problem - Runtime instrumentation that changes the code's behavior
- Invasive or buggy runtime instrumentation can cause unsafety.
- Ekstazi's and GIBstazi's (Dynamic Bytecode Instrumentation) can't execute all reflective method calls, which is causing runtime errors
- OpenClover's source code instrumentation adds fields and classes and therefore secretly changes method call results.

S:10:00

#### Runtime Instrumentation

*Ekstazi & GIBstazi:*  
Dynamic Bytecode Instrumentation incompatible with modern language features.

```
MyClass.class.getAnnotatedInterfaces();
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#### OpenClover:

Source Code Instrumentation alters results of reflective method calls.

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MyClass.class.getFields();
```

<i>Ekstazi</i>	×
<i>GIBstazi</i>	×
<i>OpenClover</i>	×
<i>STARTS</i>	✓
<i>HyRTS</i>	✓

# Dependency Injection (1/3)

## Dependency Source Code Changes - Spring Framework

```
@Bean
public BeanInterface beanName() {
    // change this implementation
    return new Implementation();
}
```

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	⚠
<i>OpenClover</i>	⚠
<i>STARTS</i>	✗
<i>HyRTS</i>	✓

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

### Evaluation of Sources of Unsafety

### Dependency Injection (1/3)

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Dependency Source Code Changes - Spring Framework

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<i>Ekstazi</i>	✓
<i>GIBstazi</i>	⚠
<i>OpenClover</i>	⚠
<i>STARTS</i>	✗
<i>HyRTS</i>	✓

- Probably one of the most underestimated sources of unsafety.
- Once dependency injection is applied, the examined tools start to act unsafely
- Focused research on Spring and Guice frameworks as they are the most commonly used libraries.
- 
- For starters, this is a straight forward source of unsafety:
- Declare an injected dependency, create a matching implementation and change this implementation. (here with Spring)
- -> next slide for Guice

S:10:00

# Dependency Injection (1/3)

## Dependency Source Code Changes - Guice Framework

```
@ImplementedBy(A.class)
public interface InterfaceA {
    void method();
}

class A {
    // change this source code
}
```

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<b>STARTS</b>	✗
<i>HyRTS</i>	✓

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

### Evaluation of Sources of Unsafety

### Dependency Injection (1/3)

- Change the implementation of an injected dependency with Guice.

S:10:00

```
@ImplementedBy(A.class)
public interface InterfaceA {
    void method();
}

class A {
    // change this source code
}
```

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<b>STARTS</b>	✗
<i>HyRTS</i>	✓

## Dependency Injection (2/3)

### Collection Injection - Spring Framework

```
@Autowired
Collection<BeanInterface> injected;
```

⇒ Multiple Beans packed into a collection.

<i>Ekstazi</i>	×
<i>GlBstazi</i>	⚠
<i>OpenClover</i>	⚠
<i>STARTS</i>	×
<i>HyRTS</i>	×

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

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- Approach
  - Evaluation of Sources of Unsafety
    - Dependency Injection (2/3)

- Big problem with the Spring framework: Automatic classpath scanning (we'll come back to that in a moment)
- Define multiple implementations for the same dependency (Bean) -> Spring *implicitly* packs all into a collection
- Adding new implementations is undetected

S:10:00

```
@Autowired
Collection<BeanInterface> injected;
```

⇒ Multiple Beans packed into a collection.

<i>Ekstazi</i>	×
<i>GlBstazi</i>	⚠
<i>OpenClover</i>	⚠
<i>STARTS</i>	×
<i>HyRTS</i>	×

## Dependency Injection (2/3)

### Collection Injection - Guice Framework

```
@ProvidesIntoSet
InjectedType impl1() {
    return Implementation();
}

@ProvidesIntoSet
InjectedType impl2() {
    return OtherImplementation();
}
```

⇒ Set of multiple implementations.

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<i>STARTS</i>	✓
<i>HyRTS</i>	✗

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

#### Evaluation of Sources of Unsafety

#### Dependency Injection (2/3)

- Collection injection is also available for the Guice framework
- way more *explicit*
- Most tools are quite good at detecting Guice collection injection.

S:10:00

```
@ProvidesIntoSet
InjectedType impl1() {
    return Implementation();
}

@ProvidesIntoSet
InjectedType impl2() {
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<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✓
<i>STARTS</i>	✓
<i>HyRTS</i>	✗

## Dependency Injection (3/3)

### Classpath Scanning - Spring Framework only

```
@SpringBootApplication
public class MainSpringAppClass { }

@Configuration
@ComponentScan("example.beanConfig")
public class MainConfiguration { }
```

<i>Ekstazi</i>	×
<i>GlBstazi</i>	× / ⚠
<i>OpenClover</i>	× / ⚠
<i>STARTS</i>	×
<i>HyRTS</i>	×

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

#### Evaluation of Sources of Unsafety

#### Dependency Injection (3/3)

- Classpath scanning - the big source of unsafety of the spring framework
- The code only uses annotations and doesn't explicitly call an injector or configuration class.
- Spring handles dependency management in the background
- -> Dependencies are unclear, changes may not be detected

S:10:00

```
@SpringBootApplication
public class MainSpringAppClass { }

@Configuration
@ComponentScan("example.beanConfig")
public class MainConfiguration { }
```

<i>Ekstazi</i>	×
<i>GlBstazi</i>	× / ⚠
<i>OpenClover</i>	× / ⚠
<i>STARTS</i>	×
<i>HyRTS</i>	×

# Occurrence of Unsafety in the Wild

RQ3 & RQ4

S:13:00

## Occurence in the Wild

But, are these scenarios actually relevant?

Do these sources of unsafety occur in real-world software projects?

⇒ Search through the last 100 commits of 100 open source projects.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└─ Approach

└─ Occurence of Unsafety in the Wild

└─ Occurence in the Wild

- You may ask yourselves: “Are these purely hypothetical sources of unsafety that occur under laboratory conditions?”
- I asked myself the same question.
- Performed a broad search.

S:13:00

Occurence in the Wild

But, are these scenarios actually relevant?

Do these sources of unsafety occur in real-world software projects?

⇒ Search through the last 100 commits of 100 open source projects.



## Occurence in the Wild

### Study Object Selection

Choose the 100 public projects with the most “stars” from GitHub that. . .

- ▶ ... have the majority of their code written in Java
- ▶ ... use Maven as their build system
- ▶ ... were recently updated (at least once after the 06/01/2020)
- ▶ ... have at least 100 JUnit test cases (approximated)
- ▶ ...

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Approach

- └ Occurrence of Unsafety in the Wild
- └ Occurrence in the Wild

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- ▶ ... use Maven as their build system
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- ▶ ... have at least 100 JUnit test cases (approximated)
- ▶ ...

- Filtered the most popular open source repositories from github
- They had to be mostly written in Java, use Maven und were recently updated.
- + They need to have at least 100 Junit tests
- > we want to make sure that the projects are actual candidates for using an RTS solution in the future
- We sorted all these repositories by stars and picked the top 100 to be our study objects.

S:13:00

# Occurence in the Wild

## Study Object Selection

A selection of the contained projects. (Collected between 11/11/2021 and 11/13/2021)

- ▶ Google Guava (42835 Stars)
- ▶ Apache Dubbo (36435 Stars)
- ▶ Jenkins (18057 Stars)
- ▶ Apache Flink (17519 Stars)
- ▶ Apache Hadoop (12081 Stars)
- ▶ Google Guice (10532 Stars)
- ▶ Apache Zookeeper (9962 Stars)
- ▶ JUnit 4 (8213 Stars)
- ▶ Signalapp Signal-Server (7210 Stars)
- ▶ Apache HBase (4260 Stars)

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# The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

## Approach

### Occurence of Unsafety in the Wild

#### Occurence in the Wild

- Only a quick overview over some of the well known projects in the study object group.

S:13:00

A selection of the contained projects. (Collected between 11/11/2021 and 11/13/2021)

- ▶ Google Guava (42835 Stars)
- ▶ Apache Dubbo (36435 Stars)
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- ▶ JUnit 4 (8213 Stars)
- ▶ Signalapp Signal-Server (7210 Stars)
- ▶ Apache HBase (4260 Stars)

# Occurence in the Wild

## Commit Scanners

Scan of the last 100 commits of each project. Each source of unsafety was evaluated based on these questions.

External Files	Dependency Injection	Runtime Instrumentation	Reflections
Did the commiter change any external files?	Was any keyword related to dependency injection added / removed?	Does the source code contain any problematic methods?	1. Were reflective accesses introduced? 2. Were reflectively accessed classes changed?

**Table:** Commit Scanner Objectives

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# The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

## Approach

### Occurence of Unsafety in the Wild

#### Occurence in the Wild

- To detect said sources of unsafety, I created, what I call, "commit scanners".
- 
- External files scanner: detectes commit changes to files in the resources folder (maven, previously shown)
- Dependency Injection scanner: Searches for keywords that are related to spring or guice dependency injection and might introduce unsafety
- Runtime Instrumentation scanner: Detects keywords that cause unsafety with the runtime instrumentation of some of the examined tools.
- Reflections Scanner: Detects occurences of the `Class.forName(...)` method call
- Reflections Scanner: Also searches for changes on classes that are reflectively accessed
- Reflections Scanner: Detected reflections also trigger static initializers -> more yield
- I'm not going to go into technical details of the scanning and jump right to the results.

8:12:00

## Occurence in the Wild Commit Scanners

Scan of the last 100 commits of each project. Each source of unsafety was evaluated based on these questions.

External Files	Dependency Injection	Runtime Instrumentation	Reflections
Did the commiter change any external files?	Was any keyword related to dependency injection added / removed?	Does the source code contain any problematic methods?	1. Were reflective accesses introduced? 2. Were reflectively accessed classes changed?

Table: Commit Scanner Objectives

## Commit Scanners — Pseudocode

### Main Repository Scanner:

```
for commit in repo.traverse_commits(to=100):  
    for scanner in commit_scanners:  
        scanner.scan(commit)
```

### Commit Scanner Module:

```
def scan(self, commit):  
    for change in commit:  
        if change_is_source_of_unsafety(change):  
            self.unsafety_storage.add(commit)
```

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

- Approach
  - Occurrence of Unsafety in the Wild
    - Commit Scanners — Pseudocode

Commit Scanners — Pseudocode

**Main Repository Scanner:**

```
for commit in repo.traverse_commits(to=100):  
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```
def scan(self, commit):  
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            self.unsafety_storage.add(commit)
```

# Results

S:17:00

## Results

### Sources of Unsafety for specific RTS-Tools: RQ1 & RQ2

Source of Unsafety	<i>Ekstazi</i>	<i>GIBstazi</i>	<i>OpenClover</i>	<i>STARTS</i>	<i>HyRTS</i>
Dynamic Dispatch	✓	✓	×	✓	✓
External Files	×	✓	×	×	×
Configuration Files	×	✓	×	✓	×
Reflections	✓	✓	✓	×	×
Static Initializers	×	×	×	×	×
Dependency Injection (Spring)	✓	⚠	⚠	×	✓
Dependency Injection (Guice)	✓	✓	✓	×	✓
Collection Injection (Spring)	×	⚠	⚠	×	×
Collection Injection (Guice)	✓	✓	✓	✓	×
Classpath Scanning (Spring)	×	×	×	×	×
Runtime Instrumentation	×	×	×	✓	✓

**Table:** Test results from the PoC Repositories.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

Results  
Sources of Unsafety for specific RTS-Tools: RQ1 & RQ2

Source of Unsafety	<i>Ekstazi</i>	<i>GIBstazi</i>	<i>OpenClover</i>	<i>STARTS</i>	<i>HyRTS</i>
Dynamic Dispatch	✓	✓	×	×	×
External Files	×	✓	×	×	×
Configuration Files	✓	✓	✓	✓	×
Reflections	✓	✓	✓	×	×
Static Initializers	×	×	×	×	×
Dependency Injection (Spring)	✓	⚠	⚠	×	✓
Dependency Injection (Guice)	✓	✓	✓	×	✓
Collection Injection (Spring)	×	⚠	⚠	×	×
Collection Injection (Guice)	✓	✓	✓	✓	×
Classpath Scanning (Spring)	×	×	×	×	×
Runtime Instrumentation	×	×	×	✓	✓

Table: Test results from the PoC Repositories.

- Full evaluation of the discovered sources of unsafety. (same color code as before)
- The gray sources weren't previously discussed
- 
- Few things to note:
  - External files are only safe with GIBstazi
  - static initialization, spring dependency injection and classpath scanning is unsafe with all examined tools
- following are the results of the scans of real world software projects.

S:17:00

## Results

### Sources of Unsafety for specific RTS-Tools: RQ1 & RQ2

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External Files	×	✓	×	×	×
Configuration Files	×	✓	×	✓	×
Reflections	✓	✓	✓	×	×
Static Initializers	×	×	×	×	×
Dependency Injection (Spring)	✓	⚠	⚠	×	✓
Dependency Injection (Guice)	✓	✓	✓	×	✓
Collection Injection (Spring)	×	⚠	⚠	×	×
Collection Injection (Guice)	✓	✓	✓	✓	×
Classpath Scanning (Spring)	×	×	×	×	×
Runtime Instrumentation	×	×	×	✓	✓

**Table:** Test results from the PoC Repositories.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

Results  
Sources of Unsafety for specific RTS-Tools: RQ1 & RQ2

Source of Unsafety	<i>Ekstazi</i>	<i>GIBstazi</i>	<i>OpenClover</i>	<i>STARTS</i>	<i>HyRTS</i>
Dynamic Dispatch	✓	✓	×	✓	✓
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Dependency Injection (Spring)	✓	⚠	⚠	×	✓
Dependency Injection (Guice)	✓	✓	✓	×	✓
Collection Injection (Spring)	×	⚠	⚠	×	×
Collection Injection (Guice)	✓	✓	✓	✓	×
Classpath Scanning (Spring)	×	×	×	×	×
Runtime Instrumentation	×	×	×	✓	✓

Table: Test results from the PoC Repositories.

- Full evaluation of the discovered sources of unsafety. (same color code as before)
- The gray sources weren't previously discussed
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- Few things to note:
  - External files are only safe with GIBstazi
  - static initialization, spring dependency injection and classpath scanning is unsafe with all examined tools
- following are the results of the scans of real world software projects.

S:17:00

## Results

### Occurrence of Sources of Unsafety in the Wild: RQ3 & RQ4

#### External Files Scanner

- ▶ 10% of the scanned commits change external files.
- ▶ They alter, on average, 1993.59 lines of text in an average of 11.85 files.
- ▶ These changes were only counted in the `resources` and `filters` folders.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

### Results

- starting with the external files scanner:
- 989 scanned commits have potential for unsafety
- We detected big changes to a lot of external files, making this an important factor to safety.

S:17:00

#### External Files Scanner

- ▶ 10% of the scanned commits change external files.
- ▶ They alter, on average, 1993.59 lines of text in an average of 11.85 files.
- ▶ These changes were only counted in the `resources` and `filters` folders.



## Results

### Occurence of Sources of Unsafety in the Wild: RQ3 & RQ4

#### Dependency Injection

- ▶ 53% of the projects use the Spring framework, 17% use Guice libraries.
- ▶ Detected 484 commits with Spring-related changes
- ▶ ... and 297 commits with Guice-related changes.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

### Results

- Dependency injection is very important: the majority of the scanned projects uses the spring framework and 17% use guice
- Detected potential for unsafety in 484 commits for spring and 297 commits for guice
- This has to be evaluated even further, but automatically classifying these is hard.

S:17:00

#### Dependency Injection

- ▶ 53% of the projects use the Spring framework, 17% use Guice libraries.
- ▶ Detected 484 commits with Spring-related changes
- ▶ ... and 297 commits with Guice-related changes.

## Results

### Occurrence of Sources of Unsafety in the Wild: RQ3 & RQ4

#### Runtime Instrumentation

- ▶ 63% of the programs are possibly affected by dynamic or source code instrumentation.
- ▶ *Ekstazi* cannot execute code from 14 projects.
- ▶ *OpenClover* changes the behavior of code in 52 projects.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

- 63% of the projects cannot be tested with either the ekstazi / GIBstazi or OpenClover tools
- Ekstazi prevents execution (because of unsupported method calls) of 14 projects => unexpected runtime errors
- OpenClover changes the behavior of method calls in 52 projects => no obvious errors, just wrong test results
- 3 projects are affected by both tools' runtime instrumentation.
- On average 14.80 incompatible files per affected repository.

S:17:00

#### Runtime Instrumentation

- ▶ 63% of the programs are possibly affected by dynamic or source code instrumentation.
- ▶ *Ekstazi* cannot execute code from 14 projects.
- ▶ *OpenClover* changes the behavior of code in 52 projects.

## Results

### Occurrence of Sources of Unsafety in the Wild: RQ3 & RQ4

#### Reflections

- ▶ 56 projects use the `Class.forName(...)` method.
- ▶ A total of 333 occurrences of the `Class.forName(...)` method call.
- ▶ 72 changes on reflectively accessed classes were found.  
(⚠ High false negative rate.)

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

### Results

- More than half of the projects use reflective class access
- 333 references to classes that are not detected by *STARTS* & *HyRTS*
- 72 changes that were (most likely) not detected by *STARTS* & *HyRTS*

S:17:00

#### Reflections

- ▶ 56 projects use the `Class.forName(...)` method.
- ▶ A total of 333 occurrences of the `Class.forName(...)` method call.
- ▶ 72 changes on reflectively accessed classes were found.  
(⚠ High false negative rate.)

## Results

### Occurence of Sources of Unsafety in the Wild

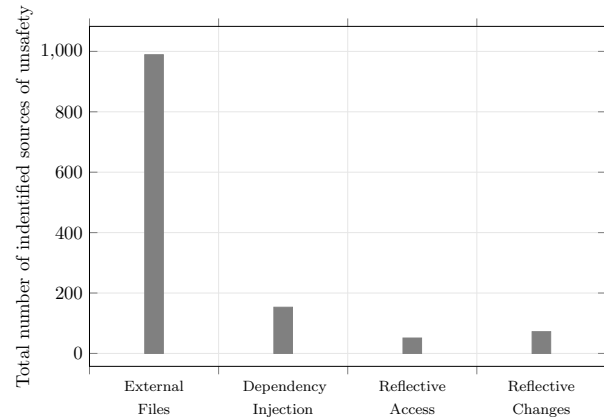


Figure: Number of detected sources of unsafety.

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

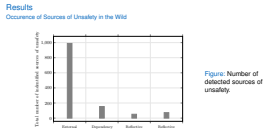


Figure: Number of detected sources of unsafety.

- chart to show the composition of the detected sources of unsafety
- Majority of detected unsafety comes from external files
- Next biggest part is associated with dependency injection

S:17:00

S:20:00

# Conclusions

## Conclusion

### The Alarming State of RTS for Java

- ▶ All examined RTS-tools act in an unsafe manner.
- ▶ Latest versions of the tools cannot be used as **safe** RTS-tools.
- ▶ **Safe** RTS for Java is possible though, but it is not easy.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Conclusions

### Conclusion

- We couldn't identify a suitable tool for safe RTS for Java.
- Although safety is theoretically possible, faulty implementations or methodological limitations can make RTS-tools unsafe.
- 

S:20:00

- ▶ All examined RTS-tools act in an unsafe manner.
- ▶ Latest versions of the tools cannot be used as **safe** RTS-tools.
- ▶ **Safe** RTS for Java is possible though, but it is not easy.

## Conclusion

### Maintenance of Research Projects

- Tools developed for research purposes are not maintained properly.
- Further research has to focus on improving existing tools.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Conclusions

### Conclusion

- We identified the common pattern that RTS-tools from research papers are not well maintained.
- => Research should focus on improving existing solutions, rather than creating new, unmaintained solutions.

S:20:00

- Conclusion  
Maintenance of Research Projects
- Tools developed for research purposes are not maintained properly.
  - Further research has to focus on improving existing tools.

Thank you for your attention



# Time for Questions

# Appendix

## Bibliography I

# 2022-06-12 The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

## └ Bibliography

# Backup Slides

## Sources of Unsafety

### Approach to Simulating Scenarios

Each scenario that could lead to unsafe behavior of one of the tools is simulated.

#### Proof of Concept (PoC) Repositories

A **PoC Repository** contains a mini Java project that documents the steps required to reproduce the unsafe behavior.

Through Maven profiles, I can comfortably switch between tools without altering the configuration.

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Sources of Unsafety

- Sources of unsafety are simulated using self-sufficient Java Maven projects.
- They also act as a kind of documentation.
- For the next slides: Really quick overview over some of my identified sources of unsafety.

S:10:00

Each scenario that could lead to unsafe behavior of one of the tools is simulated.

#### Proof of Concept (PoC) Repositories

A **PoC Repository** contains a mini Java project that documents the steps required to reproduce the unsafe behavior.

Through Maven profiles, I can comfortably switch between tools without altering the configuration.

## Dynamic Dispatch

Runtime selection of the most specific method in the inheritance chain.

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✗
<i>STARTS</i>	✓
<i>HyRTS</i>	✓

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## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Dynamic Dispatch

- Question: "Does the RTS-tool act unsafe in the case of a dynamic dispatch?"
- As we can see, only OpenClover is not able to detect a dynamic dispatch

S:10:00

Dynamic Dispatch

Runtime selection of the most specific method in the inheritance chain.

<i>Ekstazi</i>	✓
<i>GIBstazi</i>	✓
<i>OpenClover</i>	✗
<i>STARTS</i>	✓
<i>HyRTS</i>	✓

# Static Initializers

```
class A {
    static {
        // Code with side-effects
    }
}

/* [...] */

Class.forName("A");
```

<i>Ekstazi</i>	×
<i>GIBstazi</i>	×
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

2022-06-12

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Static Initializers

S:10:00

Static Initializers

```
class A {
    static {
        // Code with side-effects
    }
}

/* [...] */

Class.forName("A");
```

<i>Ekstazi</i>	×
<i>GIBstazi</i>	×
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

# Runtime Instrumentation

Keywords that cause unsafety.

<i>Ekstazi</i>	<i>OpenClover</i>
<code>getAnnotatedInterfaces()</code>	<code>getDeclaredClasses()</code>
<code>getAnnotatedSuperclass()</code>	<code>getDeclaredFields()</code>
<code>toGenericString()</code>	<code>getClasses()</code>
	<code>getFields()</code>

**Table:** Methods whose behavior changes with the corresponding RTS-tools runtime instrumentation. All methods are called on the meta class object of type `Class`.

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# The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

└ Runtime Instrumentation

<i>Ekstazi</i>	<i>OpenClover</i>
<code>getAnnotatedInterfaces()</code>	<code>getDeclaredClasses()</code>
<code>getAnnotatedSuperclass()</code>	<code>getDeclaredFields()</code>
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	<code>getFields()</code>

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# Dependency Injection

## Examined Frameworks:

- ▶ Spring
- ▶ Guice

## Scenarios:

- ▶ Configuration in External File
- ▶ Configuration in Code
  - ▶ Dependency Source Code Changes
  - ▶ Collection Injection
  - ▶ Implicit Configuration
    - ⇒ Class Path Scanning (Spring-only)

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# The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

## └ Dependency Injection

S:10:00

Dependency Injection

Examined Frameworks:

- ▶ Spring
- ▶ Guice

Scenarios:

- ▶ Configuration in External File
- ▶ Configuration in Code
  - ▶ Dependency Source Code Changes
  - ▶ Collection Injection
  - ▶ Implicit Configuration
    - ⇒ Class Path Scanning (Spring-only)

# Dependency Injection

## Configuration in External Files

When placing the configuration of dependencies into an external file, the primary cause of unsafety is the tool's inability to detect changes to this external file.

<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

2022-06-12

The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

Dependency Injection

Configuration in External Files

S:10:00

Dependency Injection

Configuration in External Files

When placing the configuration of dependencies into an external file, the primary cause of unsafety is the tool's inability to detect changes to this external file.

<i>Ekstazi</i>	×
<i>GIBstazi</i>	✓
<i>OpenClover</i>	×
<i>STARTS</i>	×
<i>HyRTS</i>	×

# Keywords for Dependency Injections

## Occurrence of Sources of Unsafety in the Wild: RQ3 & RQ4

Spring Framework		Guice Framework	
Keyword	Regular expression	Keyword	Regular expression
@Bean	@Bean	@AutoBindSingleton	@AutoBindSingleton
@Component	@Component	@Provides	@Provides
		@CheckedProvides	@CheckedProvides
		@ProvidesIntoSet	@ProvidesIntoSet
		@ProvidesIntoMap	@ProvidesIntoMap
		@ProvidesIntoOptional	@ProvidesIntoOptional
		bind(...)	bind(.*)
		LifecycleInjector	LifecycleInjector

**Table:** Keywords used for the Dependency Injection Scanner

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The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

Keywords for Dependency Injections

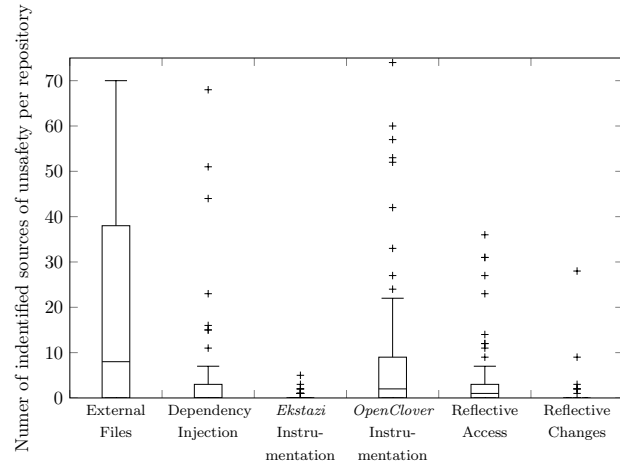
Keywords for Dependency Injections  
Occurrence of Sources of Unsafety in the Wild: RQ3 & RQ4

Spring Framework		Guice Framework	
Keyword	Regular expression	Keyword	Regular expression
@Bean	@Bean	@AutoBindSingleton	@AutoBindSingleton
@Component	@Component	@Provides	@Provides
		@CheckedProvides	@CheckedProvides
		@ProvidesIntoSet	@ProvidesIntoSet
		@ProvidesIntoMap	@ProvidesIntoMap
		@ProvidesIntoOptional	@ProvidesIntoOptional
		bind(...)	bind(.*)
		LifecycleInjector	LifecycleInjector

Table: Keywords used for the Dependency Injection Scanner

## Results

### Occurence of Sources of Unsafety in the Wild

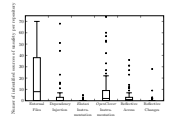


**Figure:** Sources of unsafety per repository (Removed outliers above 75 sources of unsafety. The full diagram is shown on the next slide.).

## 2022-06-12 The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

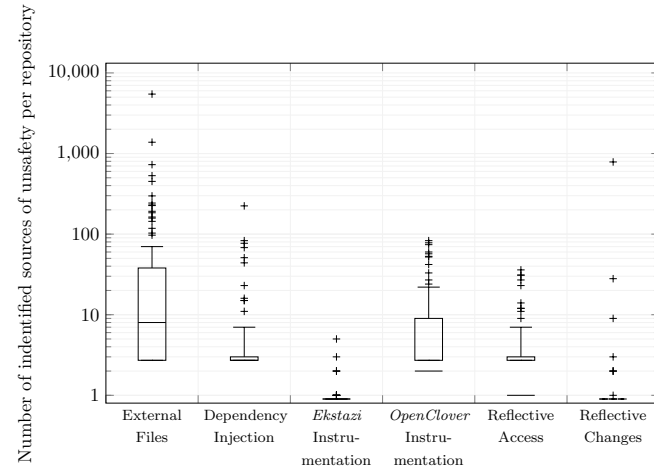
#### Results Occurrence of Sources of Unsafety in the Wild



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## Results

### Occurrence of Sources of Unsafety in the Wild

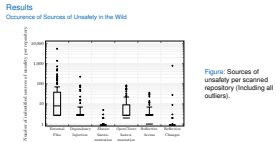


**Figure:** Sources of unsafety per scanned repository (Including all outliers).

## The Unsafety in Java Regression Test Selection and its Occurrence in the Wild

### Results

- Box plot showing the number of sources of unsafety per repository
- Y-scale is logarithmic
- Big outliers for external files and reflective accesses





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