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## CS181 Winter 2019 – Problem Set 3

Due Monday, February 11, 11:59 pm

- Please write your student ID **and the names of anyone you collaborated with** in the spaces provided and attach this sheet to the front of your solutions. **Please do not include your name anywhere since the homework will be blind graded.**
- An extra credit of **5%** will be granted to solutions written using L<sup>A</sup>T<sub>E</sub>X. Here is one place where you can create L<sup>A</sup>T<sub>E</sub>X documents for free: <https://www.overleaf.com/>. The link also has tutorials to get you started. There are several other editors you can use.
- If you are writing solutions by hand, please write your answers in a neat and readable hand-writing.
- Always explain your answers. When a proof is requested, you should provide a rigorous proof.
- If you don't know the answer, write "I don't know" along with a clear explanation of what you tried. For example: "I couldn't figure this out. I think the following is a start, that is correct, but I couldn't figure out what to do next. [[Write down a start to the answer that you are sure makes sense.]] Also, I had the following vague idea, but I couldn't figure out how to make it work. [[Write down vague ideas.]]" At least 20% will be given for such an answer.  
Note that if you write things that do not make any sense, no points will be given.
- The homework is expected to take anywhere between 8 to 14 hours. You are advised to start early.
- Submit your homework online on Gradescope.

Note: <i>Suggested practice problems from the book: 2.4 and 2.5. Please, do not turn in solutions to problems from the book.</i>
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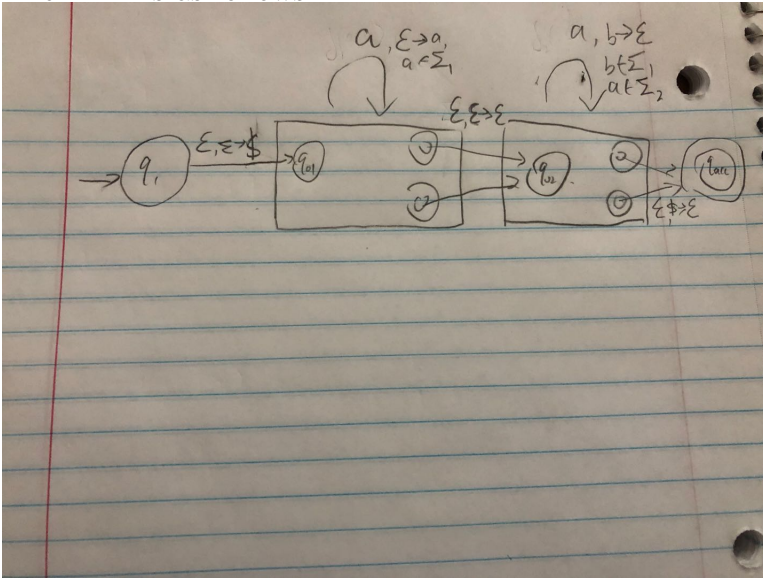
## Problem 1

We can solve this problem by constructing a PDA  $M = \{Q, \Sigma, \Gamma, \delta, q_1, F\}$ . Since,  $A, B$  are two regular languages, there exists two DFA  $M_1 = \{Q_1, \Sigma_1, \delta_1, q_{01}, F_1\}$ ,  $M_2 = \{Q_2, \Sigma_2, \delta_2, q_{02}, F_2\}$  that accepts  $A$  and  $B$  respectively. The idea is, we add  $\epsilon$ -transitions from all accept states of  $M_1$  to the start state of  $M_2$ . For the first half of the PDA,  $\delta = \delta_1$  for the state transitions, and push all symbols onto the stack. For the second part of the PDA,  $\delta = \delta_2$  for the state transitions, and pop the top element of the stack if the element is not  $\$$ . The accepted states of  $M_2$  have  $\epsilon$ -transitions to the accept state  $q_{acc}$  we define for the PDA, the transition will only take place when the top element of the stack is  $\$$ . A more formal definition of the PDA is as follows:

- $Q = Q_1 \cup Q_2 \cup \{q_1, q_{acc}\}$ ,  $q_1$  is the new start state,  $q_{acc}$  is the new accept state.
- $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \{\epsilon\}$
- $\Gamma = \Sigma_1 \cup \{\epsilon, \$\}$
- $q_1$  is the new start state
- $F = q_{acc}$ ,  $q_{acc}$  is the new accept state.

$$\delta(q, a, b) = \begin{cases} (q_{01}, \$) & \text{if } q = q_1, a = \epsilon, b = \epsilon \\ (\delta_1(q, a), a) & \text{if } q \in Q_1, a \in \Sigma_1, b = \epsilon \\ (q_{02}, \epsilon) & \text{if } q \in F_1, a = \epsilon, b = \epsilon \\ (\delta_2(q, a), \epsilon) & \text{if } q \in Q_2, a \in \Sigma_2, b \in \Sigma_1 \\ (q_{acc}, \epsilon) & \text{if } q \in F_2, a = \epsilon, b = \$ \end{cases}$$

The PDA is as follows:



Suppose  $s \in A \nabla B$ ,  $M$  will begin in  $q_1$  and we can have  $\epsilon$ -transition into  $q_{01}$  by pushing  $\$$  onto the stack. After processing the first part of the string, we end up in one of the accept states in  $M_1$ , with the stack contains the full string  $x$ . Then we can have a  $\epsilon$ -transition into  $q_{02}$  without modifying the stack. After processing the remaining part of string  $s$ , we end up in one of the accept states of  $M_2$ ,

and since  $s \in A\nabla B$ ,  $|x| = |y|$ , the stack only contains a \$ symbol. Then the final  $\epsilon$ -transition will pop the \$ symbol and lead  $M$  into its accept state  $q_{acc}$ . Hence,  $A\nabla B \subseteq L(M)$ .

Suppose  $s$  is accepted by  $M$ . The only possible transitions to reach  $M_2$  part of the PDA are those with initial input  $x \in A$ . The only possible transitions to reach states that can have a transition to the accept state  $q_{acc}$  are those with subsequent input  $y \in M_2$ . Now, in order to go to  $q_{acc}$ , the PDA must be in one of the accept state of  $M_2$ , and the stack in the meantime, must only contain a single \$ symbol. This, means the number of pushes ( $|x|$ ) equals the number of pops ( $|y|$ ). Hence,  $s = xy, x \in A, y \in B, |x| = |y|$ . Hence,  $L(M) \subseteq A\nabla B$ .

Hence,  $L(M) = A\nabla B$ , and hence  $A\nabla B$  is a CFG. (Proven)

## Problem 2

(a) The intuition is if  $x \neq y$ , there must be a first position  $i$  such that  $x_i \neq y_i$  if  $|x| = |y|$ , or  $|x| \neq |y|$ . Let  $G$  be the CFG shown below. We claim that  $L(G) = L_1$ .

### Grammar:

$$\begin{aligned} S &\rightarrow A0C \mid B1C \mid E \\ A &\rightarrow 1C\$ \mid DAD \\ B &\rightarrow 0C\$ \mid DBD \\ C &\rightarrow DC \mid \epsilon \\ D &\rightarrow 0 \mid 1 \\ E &\rightarrow DED \mid \$DC \mid CD\$ \end{aligned}$$

The first two cases of  $S$  handles the case when the  $i$ th element of  $x$  and  $y$  are different,  $i \in N$ . Let's consider when  $S = A0C$ , the case when  $S = B1C$  can be analysed in exactly the same way. When  $S = A0C$ ,  $S$  can be written as  $S = u1v\$w0x$ , where  $u$  represents string derived from first  $D = 0/1$  in  $DAD$ ,  $1v\$$  represents the string when  $A$  generates when  $A$  becomes  $1C\$$ , and  $v$  is the string derived from  $C$ .  $w$  is the right part of  $DAD$ , and finally  $x$  is the string derived from the second  $C$  in  $A0C$ . Since  $DAD$  would expand in a symmetrical manner,  $|u| = |w|$ , and  $x = u1v$ ,  $y = w0x$  must be different in  $(|u| + 1)$ th element. And from the grammar, we can see  $|u|, |v|, |w|, |x|$  can be zero. This case does not require  $|x| = |y|$ , but we still need to cover when  $|x| \neq |y|$  with all the first  $\min(|x|, |y|)$  element of the two strings are the same.

The third case of  $S$  handles the case when  $|x| \neq |y|$ . We can see that it generates  $S = D...D\$D...DCD$  or  $S = D...DCD\$D...D$ . Since the two  $D...D$  in  $S$  are generated by the rule  $DED$ , both have the same length. Since  $|CD| \geq 1$ ,  $|x| \neq |y|$ . This case also covers the case when  $x/y = \epsilon$ :  $S = \$DC \mid CD\$$  can serve the purpose.

Suppose  $s \in L(G)$ . According to the above analysis, a string  $s$  generated by  $G$  either has  $x, y$  differ in  $i$ th element or differ in length. Thus,  $s \in L_1 \Rightarrow L(G) \subseteq L_1$ .

Suppose  $s \in L_1$ . Then, either  $x, y$  differ in at least one corresponding position, or  $x, y$  differ in length, both are covered in the CFG. Thus,  $s \in L(G) \Rightarrow L_1 \subseteq L(G)$ .

Hence, we proved our claim and  $L_1$  is a CFL.

(b) The intuition is if  $x \neq y$ , there must be a first position  $i$  such that  $x_i \neq y_i$ .

Let  $G$  be the CFG shown below. We claim that  $L(G) = L_2$ .

**Grammar:**

$S \rightarrow AB \mid BA$

$A \rightarrow CAC \mid 0$

$B \rightarrow CBC \mid 1$

$C \rightarrow 0 \mid 1$

Suppose  $s \in L(G)$ . From the grammar of  $L(G)$ , we can see that  $s$  is divided into two parts with a  $\$$  in between. There are two situations: the first part contains at least a '1' and the second contains at least a '0', or vice versa. Thus,  $s = a0bc1d$  or  $s = a1bc0d$ ,  $|a| = |b|$ ,  $|c| = |d|$ . Also, since  $A, B$  are two odd-length strings,  $s$  must be able to be divided into two strings of equal length. In fact, the first  $(|a| + |c| + 1)$  elements belong to the first substring and the first 0/1 is at the  $(|a| + 1)th$  position. The second 1/0 is also at the  $(2|a| + 1 + |c| + 1) - (|a| + |c| + 1) = (|a| + 1)th$  position of the second substring. Hence, we can write  $s = xy$ ,  $|x| = |y|$ ,  $x \neq y$ , since the  $(|a| + 1)th$  element of  $x$  and  $y$  must be different. Therefore,  $s \in L_2 \Rightarrow L(G) \subseteq L_1$ .

Suppose  $s \in L_2$ . Hence, there must be a first position  $i$  such that  $x_i \neq y_i$ . Hence,  $s = a0ba1c$  or  $s = a1ba0c$ , where  $(|a| + |b| + 1) = \frac{|x|}{2}$ ,  $|b| = |c|$ . Since,  $|ba| > |c| = |b|$ , we can also write  $s = a0de1c$ , or  $s = a1de0c$ , where  $|e| = |c|$ ,  $|d| = |ba| - |e| = |b| + |a| - |c| = |b| + |a| - |b| = |a|$ . This is the form we defined for the strings generated by the above CFL. Hence,  $s$  can be generated by the CFL  $G_{above}$ . Therefore,  $s \in L(G) \Rightarrow L_2 \subseteq L(G)$ .

Hence, we proved our claim and  $L_2$  is a CFL.