

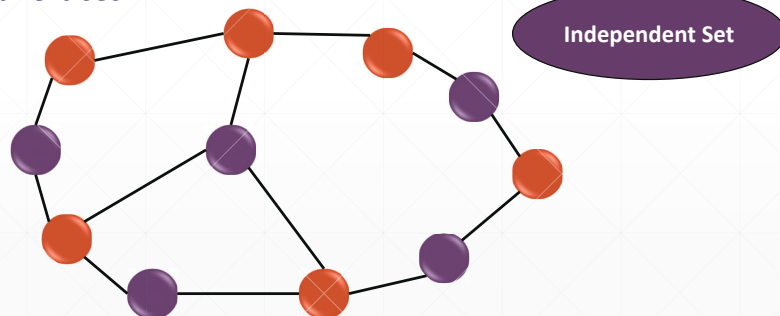
Dynamic Programming Algorithms

Maximum Independent Set

CS 336: Design and Analysis of Algorithms
Konstantin Makarychev

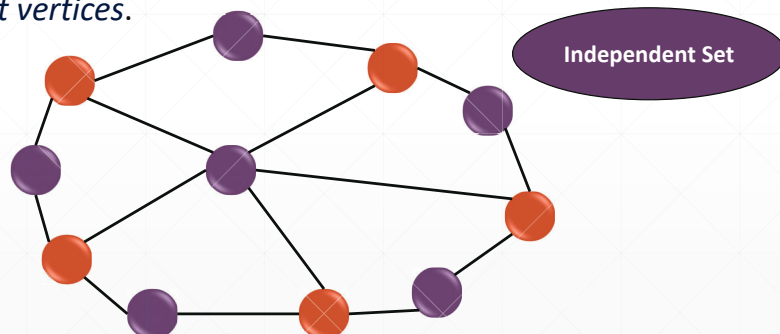
Independent Sets in Graphs

- I is an *independent set* in a graph G if I doesn't contain *adjacent vertices*.



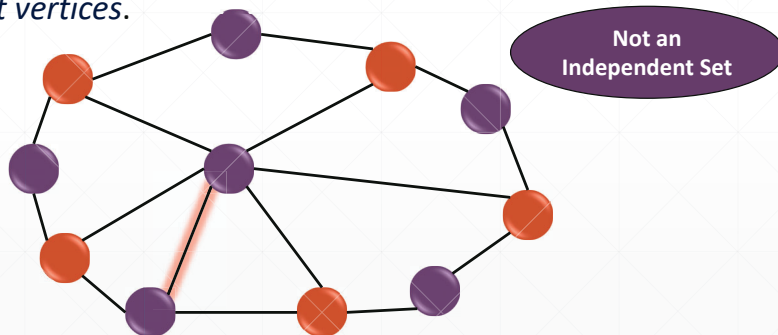
Independent Sets in Graphs

- I is an *independent set* in a graph G if I doesn't contain *adjacent vertices*.



Independent Sets in Graphs

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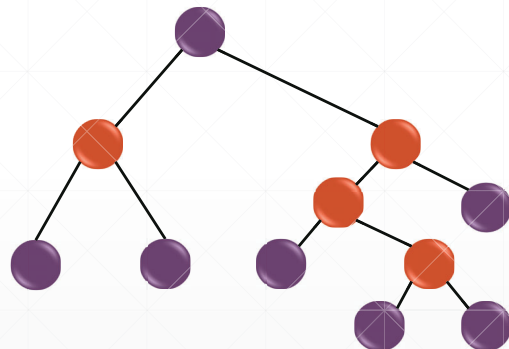


Maximum Independent Sets

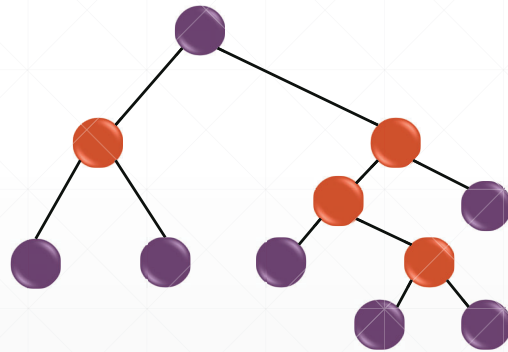
Problem: Given a graph $G = (V, E)$, find a maximum independent set $I \subset V$.

- **Bad news:** The problem is very hard to solve (NP-hard).
- **Good news:** The problem is easy on trees.

Maximum Independent Set in Trees



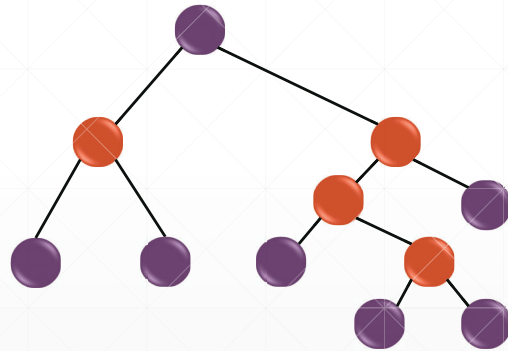
Maximum Independent Set in Trees



Greedy Algorithm for Trees

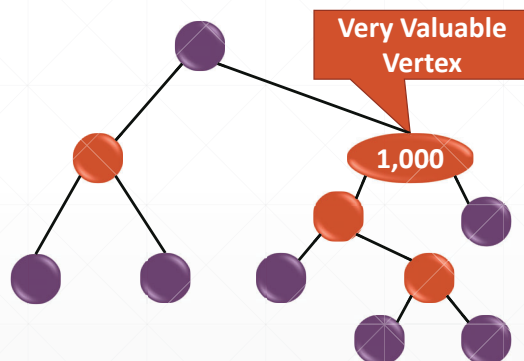
- Sort vertices by depth in decreasing order.
- For each node x :
 - if children of x are not in I , add x .
 - Else: discard x .

Maximum Independent Set in Weighted Trees



- Suppose vertices have weights.
- Goal:** Find maximum weight independent set.

Maximum Independent Set in Weighted Trees



- Suppose vertices have weights.
- Goal:** Find maximum weight independent set.
- Very expensive vertices must belong to I .

Recursive Algorithm for Binary Trees

function Max_Independent_Set (x)

Let y and z be the children of x .

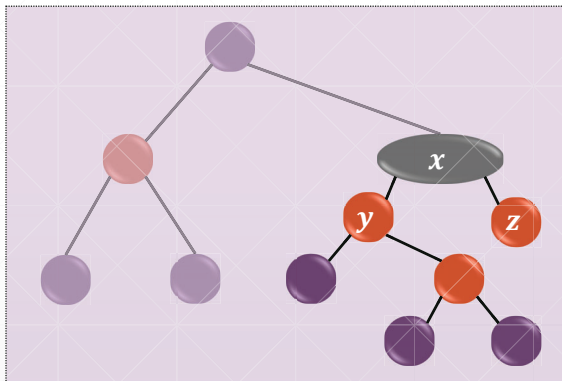
Find optimal solutions for subtrees rooted in y and z .

$$I_y = \text{Max_Independent_Set}(y)$$

$$I_z = \text{Max_Independent_Set}(z)$$

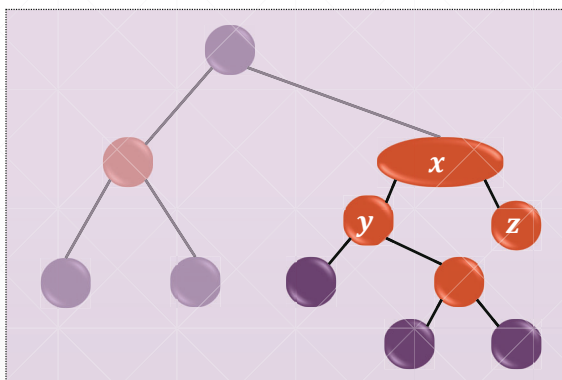
How to combine solutions I_y and I_z ? A better subproblem?

Maximum Independent Set in Weighted Trees



- Should we add x to I ?
- It depends...

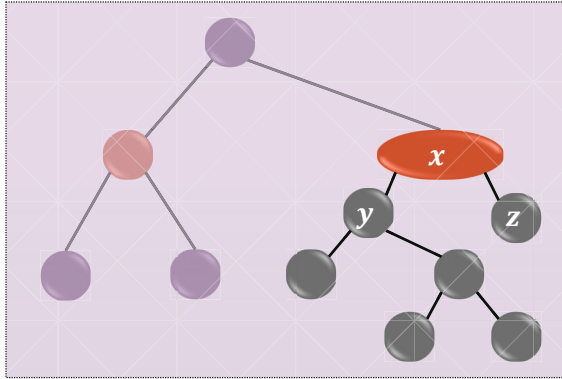
Maximum Independent Set in Weighted Trees



Consider two cases.

- If $x \notin I$, then
we can pick arbitrary
independent sets
 I_y and I_z in subtrees T_y
and T_z rooted at y and
 z .

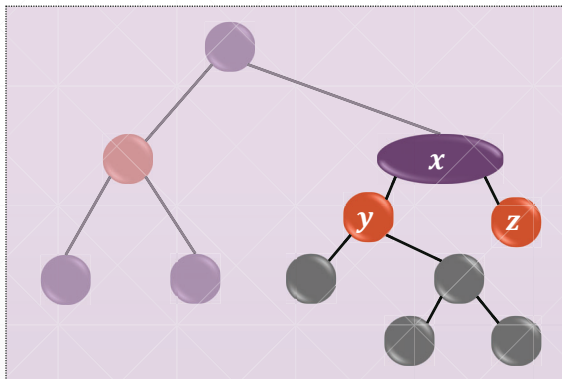
Maximum Independent Set in Weighted Trees



Consider two cases.

- If $x \notin I$, then independent sets I_y and I_z in subtrees T_y and T_z rooted at y and z are not restricted.

Maximum Independent Set in Weighted Trees



Consider two cases.

- If $x \in I$, then independent sets I_y^R and I_z^R in subtrees T_y and T_z rooted at y and z **cannot** contain y and z .

Two Subproblems

- Maximum Independent Set in Subtree T_x :
 - The cost of the maximum weight independent set I_x in T_x .
- Restricted Maximum Independent Set in Subtree T_x :
 - The maximum weight independent set I_x^R in T_x that does not contain x .

Maximum Independent Set in T_x

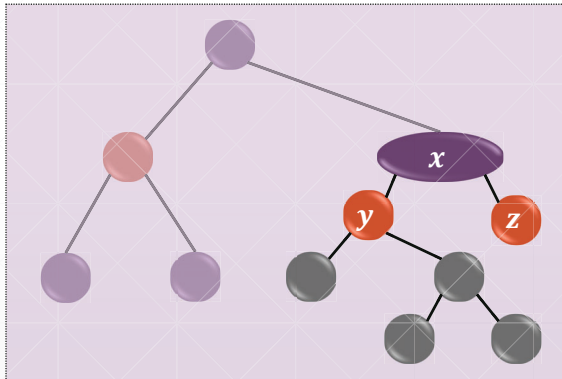
function Max_Independent_Set (x)

Let y and z be children of x :

$$I_y = \text{Max_Independent_Set}(y)$$
$$I_z = \text{Max_Independent_Set}(z)$$
$$I_y^R = \text{Restricted_Max_Independent_Set}(y)$$
$$I_z^R = \text{Restricted_Max_Independent_Set}(z)$$

Return the best of two solutions $I_y \cup I_z$ and $\{x\} \cup I_y^R \cup I_z^R$

Restricted Maximum Independent Set



We have $x \notin I_x^R$, hence

independent sets I_y and I_z in subtrees T_y and T_z rooted at y and z are not restricted.

Restricted Maximum Independent Set in T_x

function Restricted_Max_Independent_Set (x)

Let y and z be children of x :

$$I_y = \text{Max_Independent_Set}(y)$$
$$I_z = \text{Max_Independent_Set}(z)$$

Return set $I_y \cup I_z$

Dynamic Programming

function Max_Independent_Set (x)

➤ Lookup result for x in the cache or DP table.

Let y and z be children of x :

$$\begin{aligned} I_y &= \text{Max_Independent_Set}(y) \\ I_z &= \text{Max_Independent_Set}(z) \end{aligned}$$

$$\begin{aligned} I_y^R &= \text{Restricted_Max_Independent_Set}(y) \\ I_z^R &= \text{Restricted_Max_Independent_Set}(z) \end{aligned}$$

Store the result in the cache or DP table.

Return the best of two solutions $I_y \cup I_z$ and $\{x\} \cup I_y^R \cup I_z^R$

Dynamic Programming

function Restricted_Max_Independent_Set (x)

➤ Lookup result for x in the cache or DP table.

Let y and z be children of x :

$$\begin{aligned} I_y &= \text{Max_Independent_Set}(y) \\ I_z &= \text{Max_Independent_Set}(z) \end{aligned}$$

➤ Store the result ($I_y \cup I_z$) in the cache or DP table.

Return set $I_y \cup I_z$

Filling values in DP Table

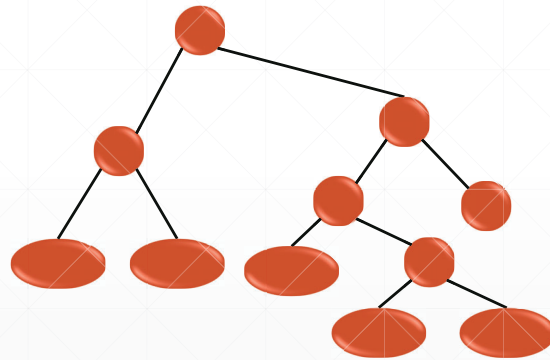
We can

❖ Recursively call Max_Independent_Set and Restricted_Max_Independent_Set as discussed above.

or

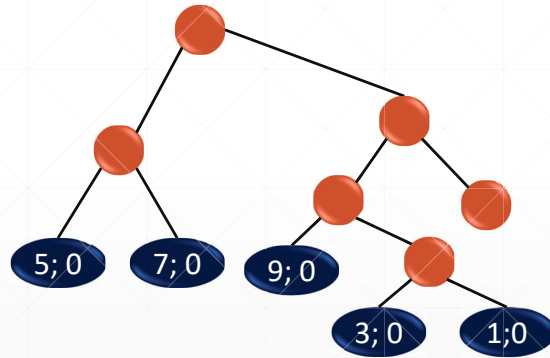
❖ Fill DP table bottom up.

Bottom-up Approach



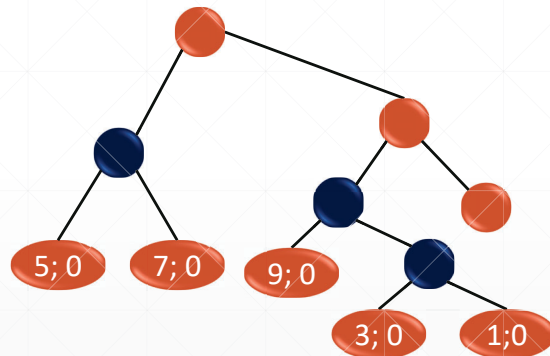
- Start with values in leaves.

Bottom-up Approach



- Start with values in leaves.

Bottom-up Approach



- Start with values in leaves.
- Fill in DP table level by level.
- When **Alg** needs a result, it is already available.

Which Approach is Better?

- In terms of the running time, both solutions are (about) the same.
 - The choice depends on the specific problem and implementation.
 - A bottom-up approach lets you avoid recursion. Sometimes, recursion may be expensive.
 - However, the top-down approach may eliminate some unnecessary computations.
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Running Time

- We perform a constant number of operations for every node in the *binary* tree.
 - Hence, the running time is $O(n)$.
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Questions?
