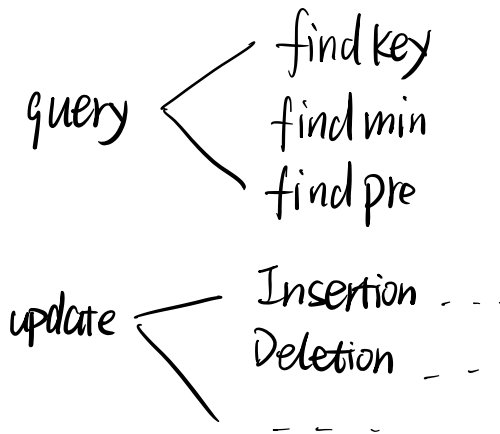


# A data structure

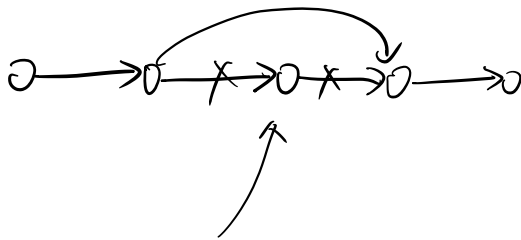
1. store data
2. support operations



time  
space

## linked list

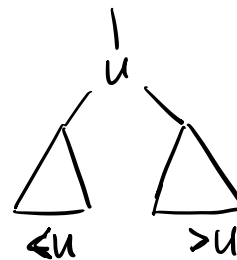
findkey	$O(n)$
Insertion	$O(1)$
Deletion	$O(n)$



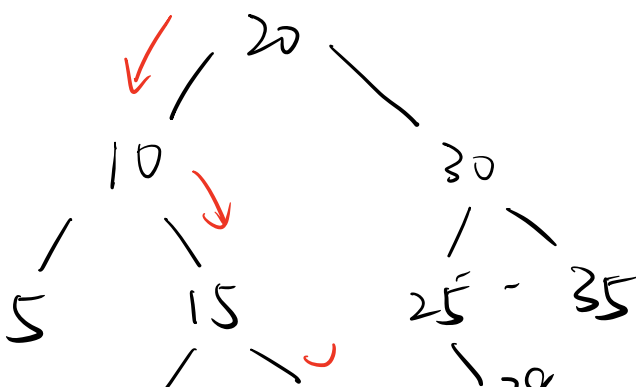
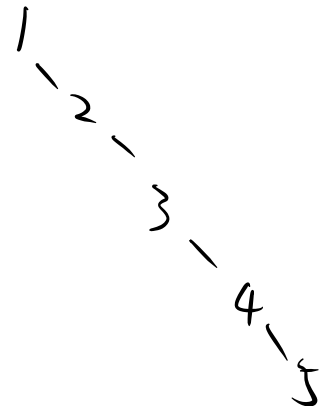
## binary search tree (BST)

$O(h)$
$O(h)$
$O(h)$

## BST property



$h=n$



find key (16)

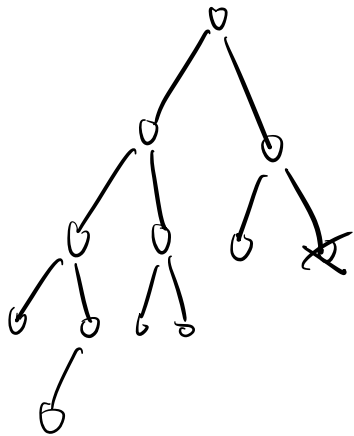
Ins (18)

Del (12)

Del (17)

12 17 28 Del(20)  
18

Idea 1 complete binary tree Not work



$$h = o(\lg n)$$

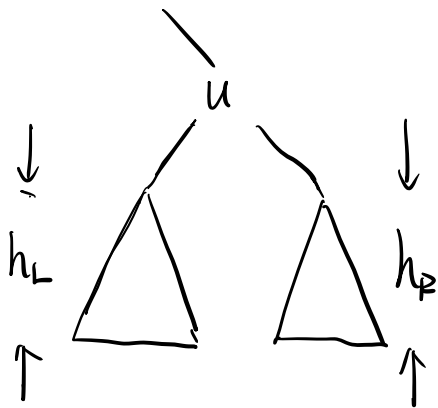
find key  $O(\lg n)$

Ins  $O(\lg n)$

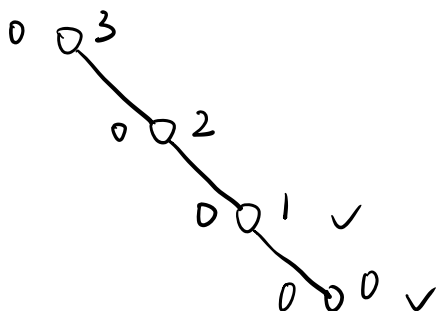
Del  $O(\log n)$

$O(n)$   
+ time to restore  
the balance

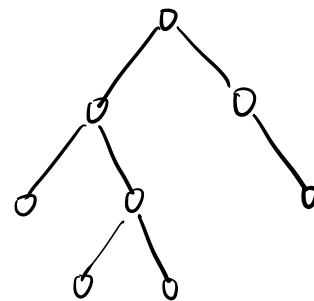
## Idea 2



"balanced" if  $\underbrace{|h_L - h_R|}_{\text{balance factor}} \leq 1$

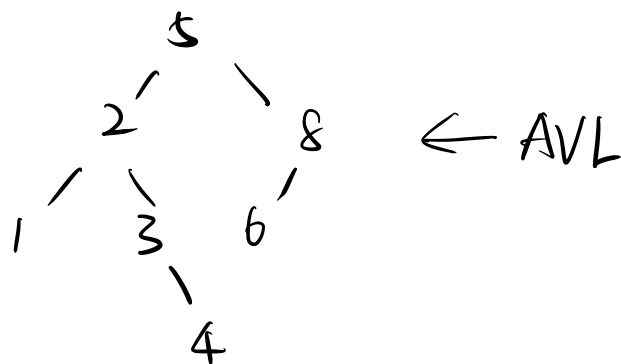


imbalanced tree



balanced tree

An AVL tree is a balanced BST.



Lemma

A balanced binary tree with  $n$  nodes must have height  $O(\lg n)$

Proof:

Any balanced binary tree of height  $h$  has at least  $c^h$  nodes.

↓ constant.

$$n \geq c^h \Rightarrow h \leq \lg_c n = O(\lg n)$$

$n(h)$ : the smallest # nodes to make a balanced binary tree with height  $h$  (BBT)

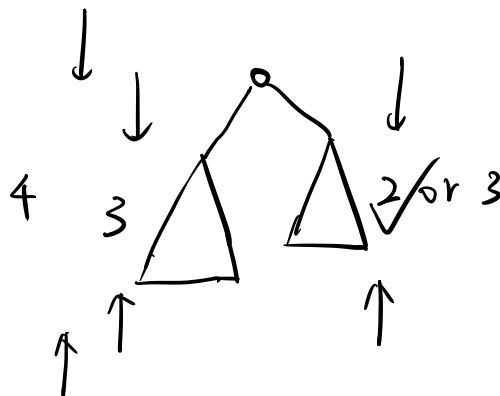
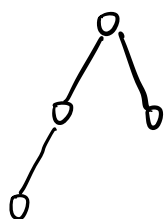
$$n(0) = 0$$

$$n(1) = 1$$

$$n(2) = 2$$

$$n(3) = 4$$

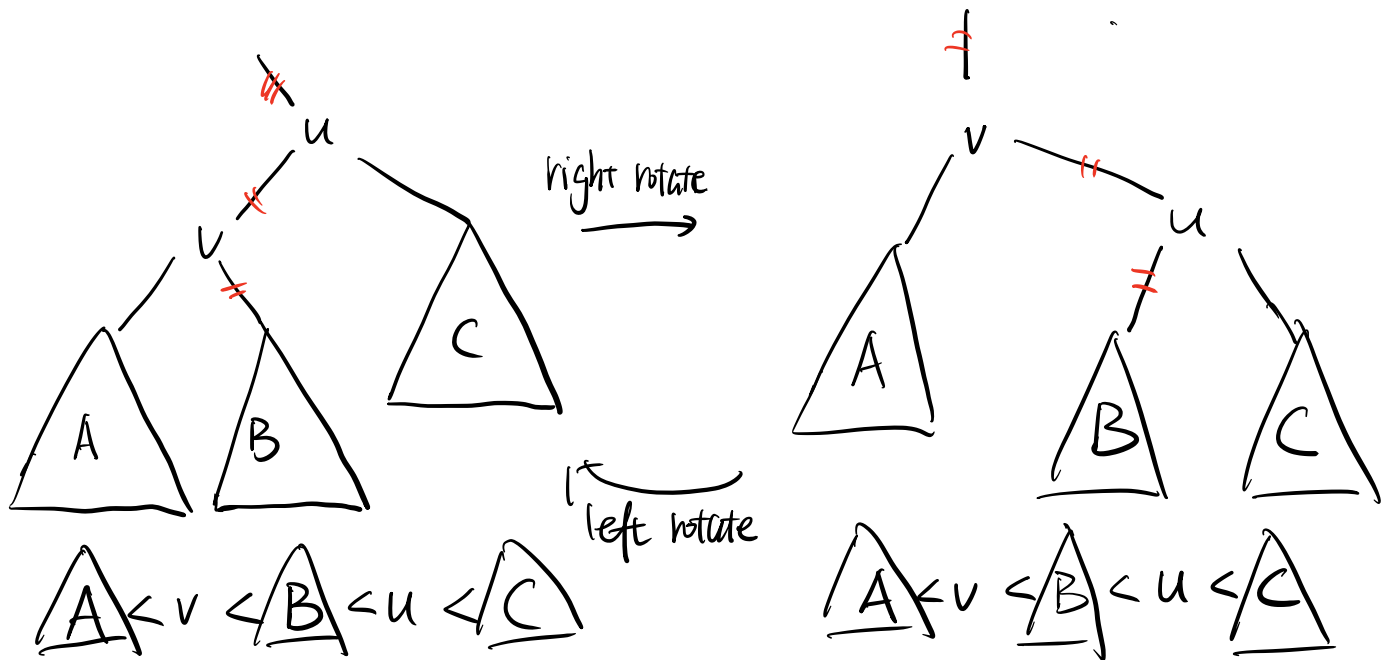
$$n(4) = 1 + n(3) + n(2) = 7$$



$$n(h) = 1 + n(h-1) + n(h-2)$$

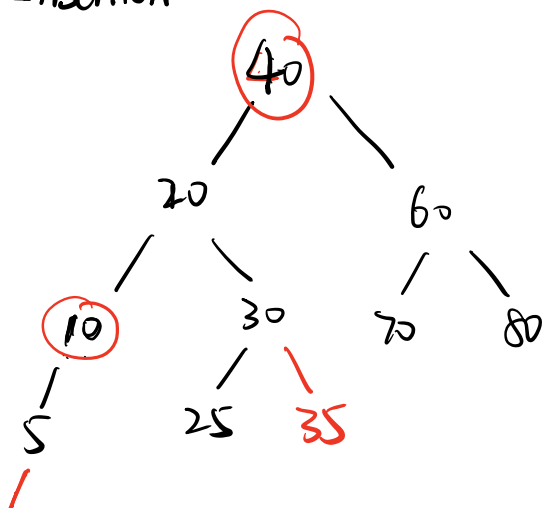
$$n(h) \geq \left(\frac{1+\sqrt{5}}{2}\right)^h$$

Rotation



preserve BST property  
O(1) time

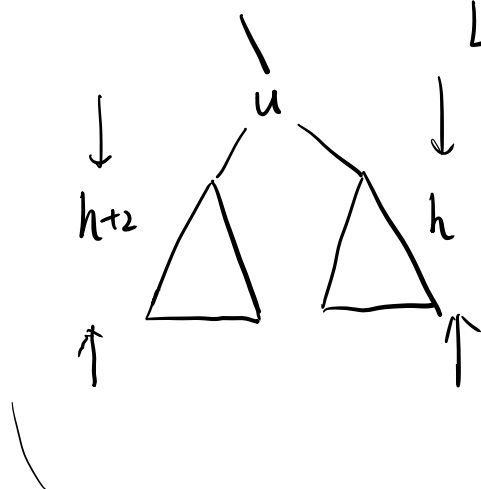
Insertion



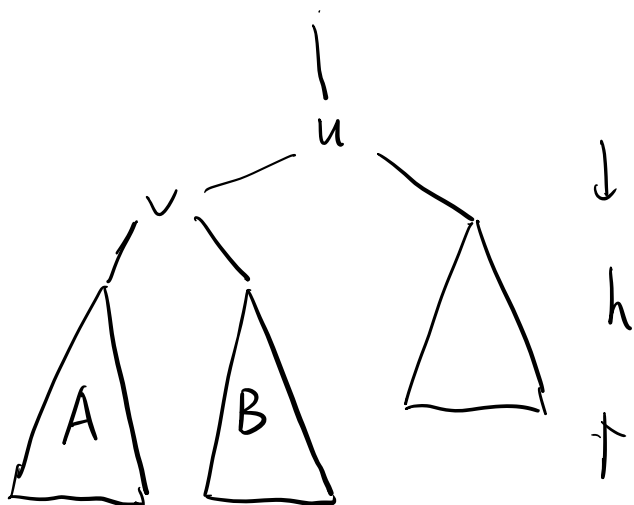
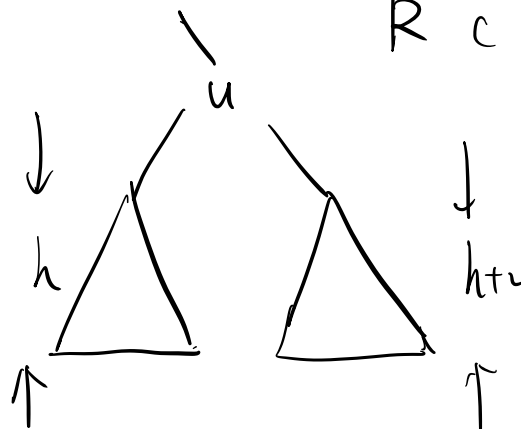
Ins(35)

Ins(2)

L case

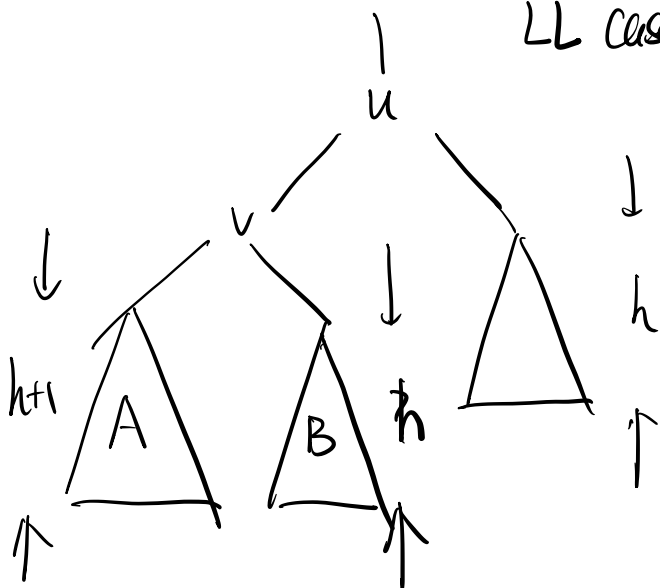


R case

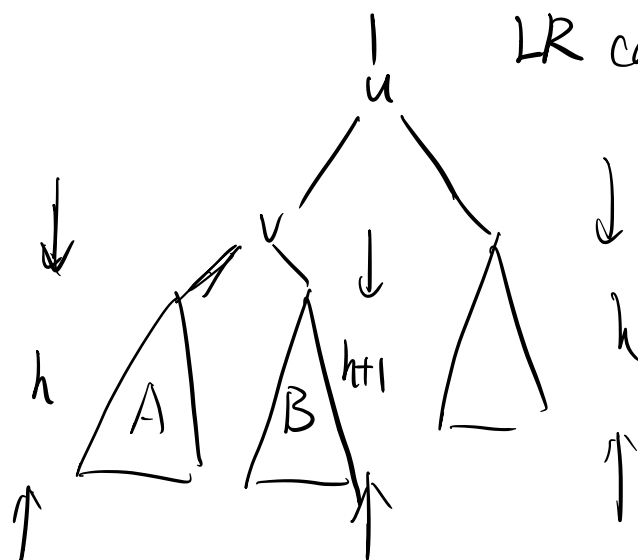


at least one of A and B have height  $h+1$

LL case



LR case



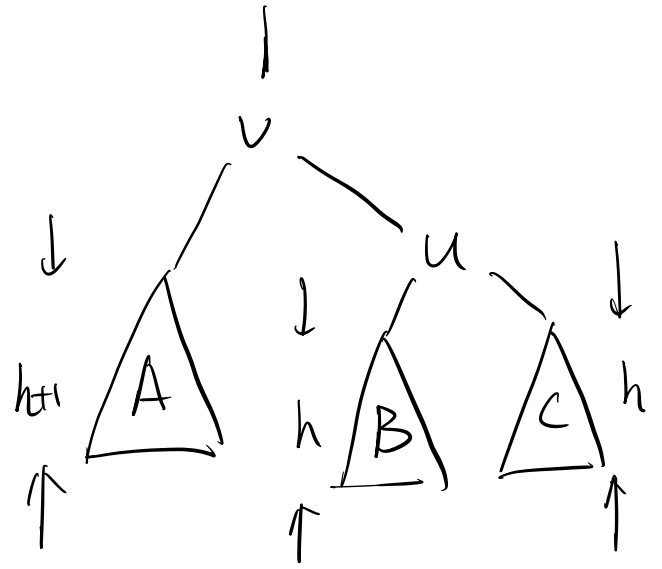
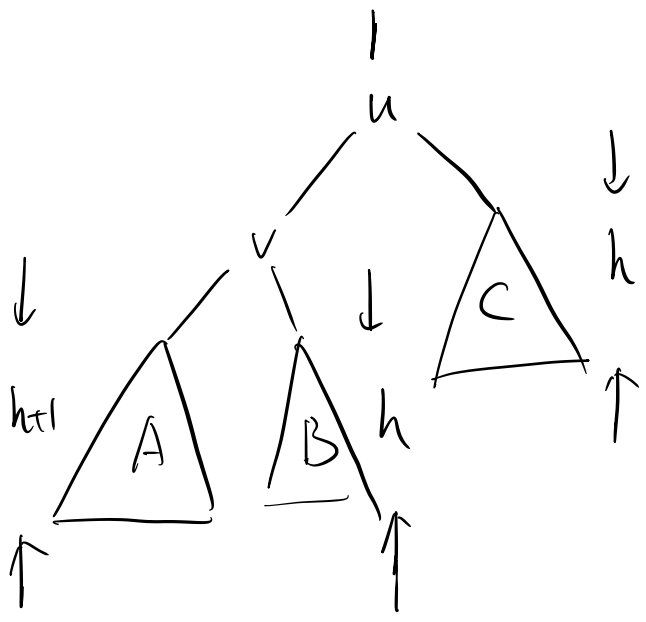
$> h+1$ ? X

$< h$ ?

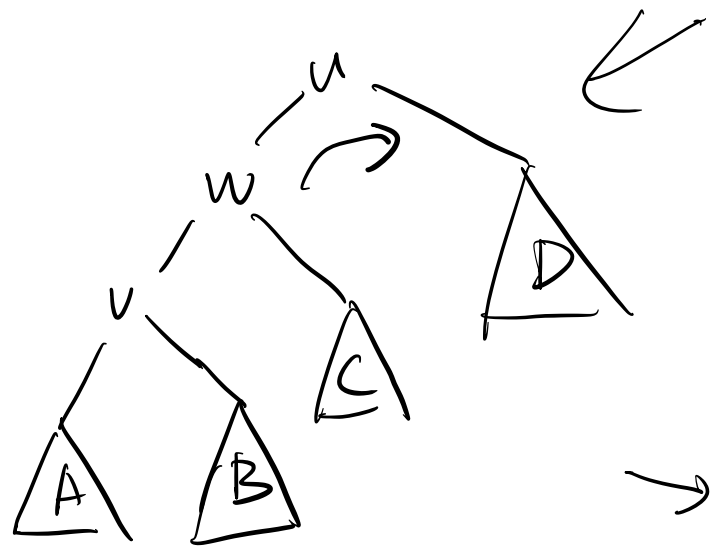
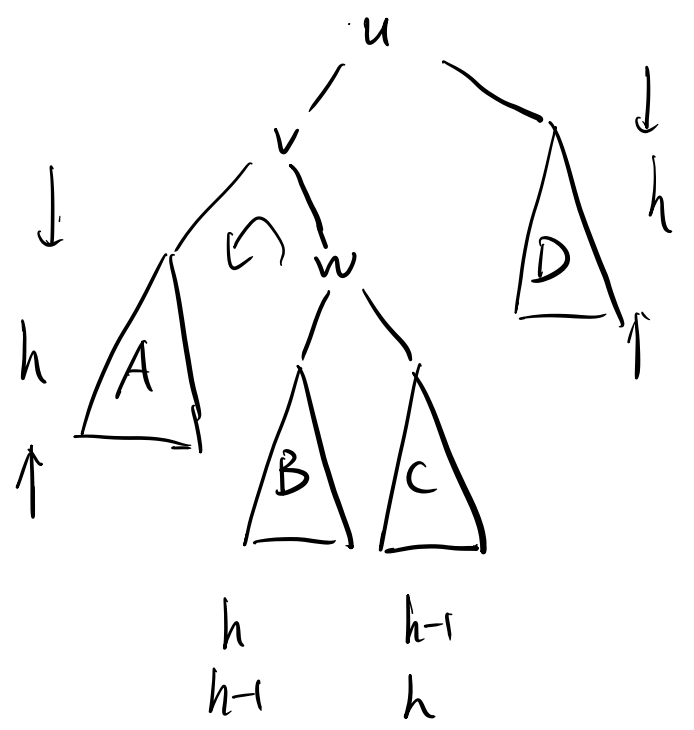
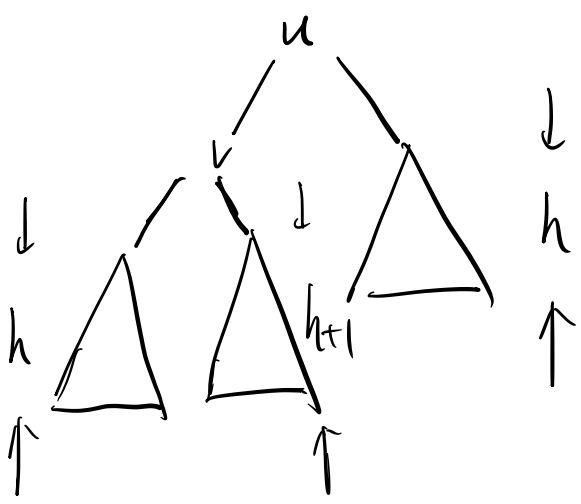
1 2 3

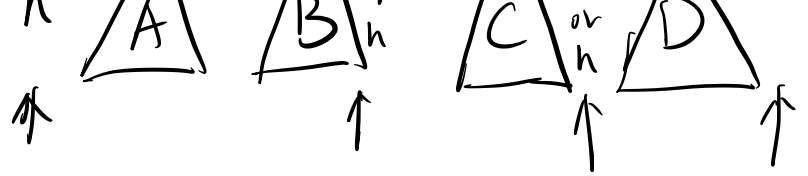
$h$  or  $(h+1)$  : X

LL case



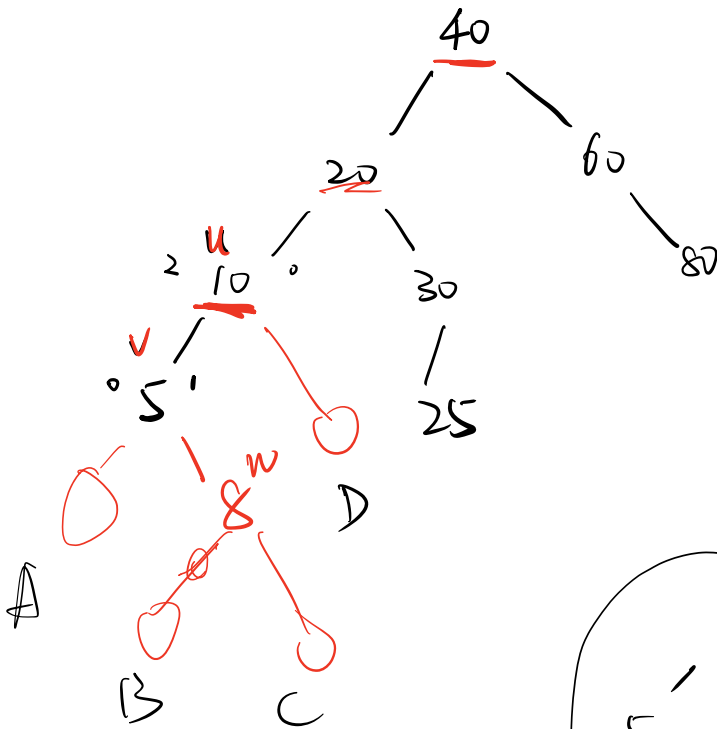
LR case



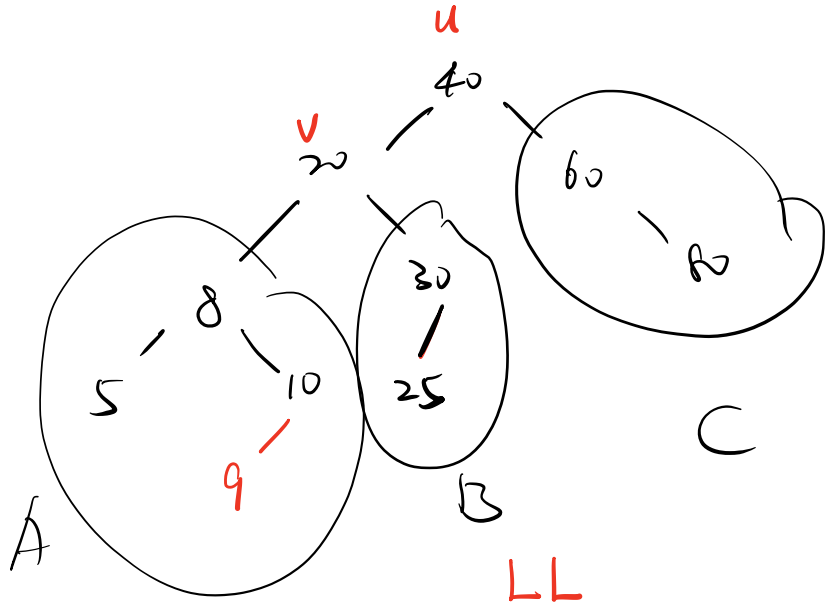


Ins(8)

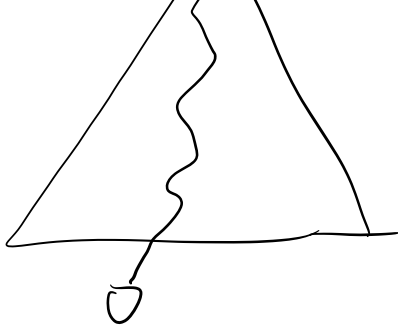
LR case.



Ins(9)



$$O(\lg n) + O(\lg n) \cdot O(1) = O(\lg n)$$



0 1

## Deletion

Every BST deletion in AVL tree is essentially removing a leaf.

delete(u)

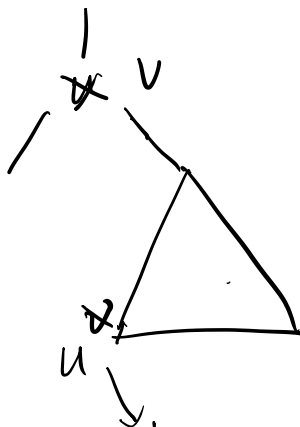
1. u is a leaf.

2. u has one child v.

v must be a leaf.

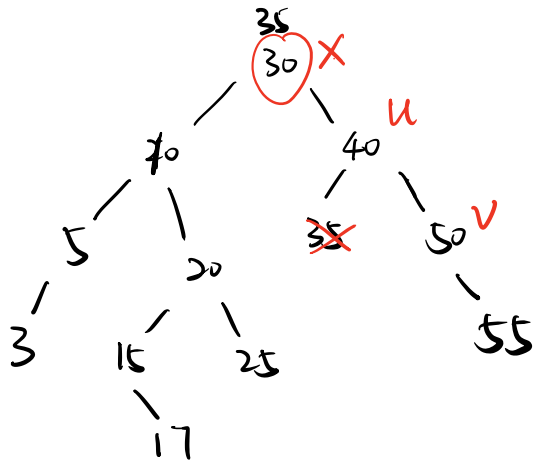
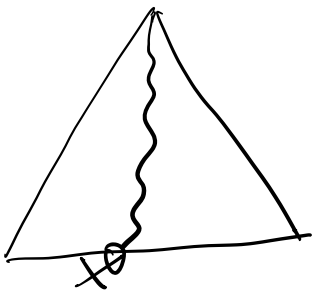


3. u has two children.

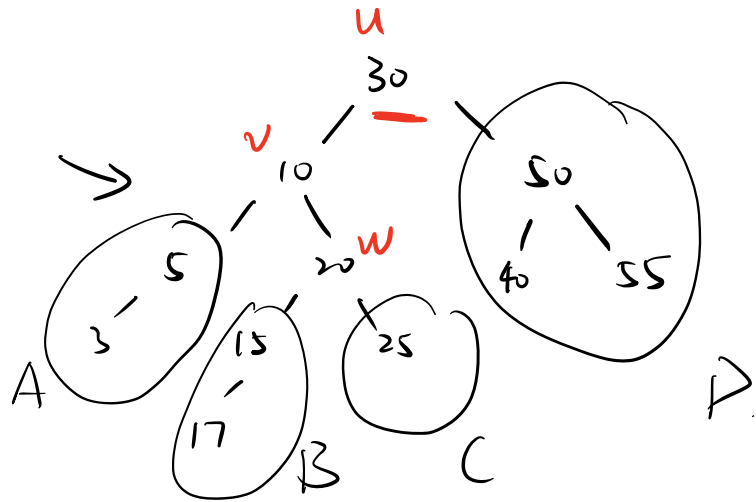


has at most one child case 1 & 2.

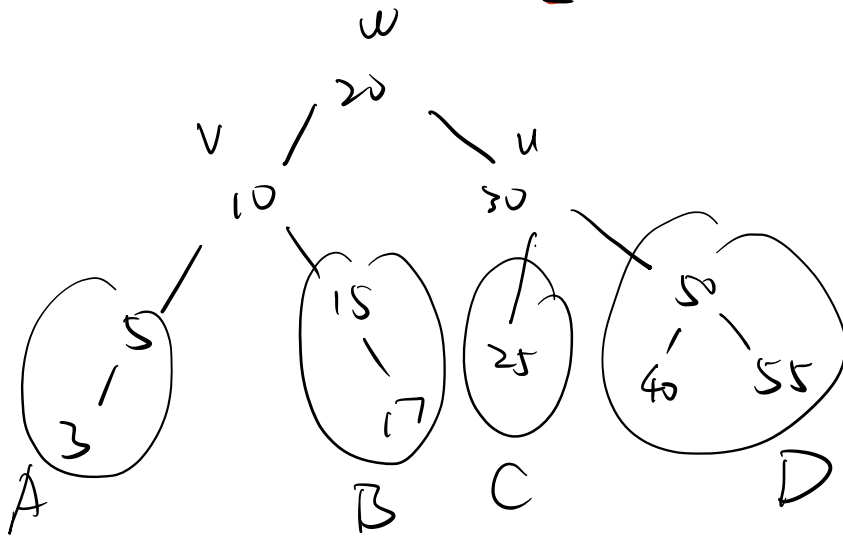




RR case.



LR case.



deletion time

$$O(\lg n) + O(\lg n) \cdot O(1) = O(\lg n)$$



2 / 1 / 0  
15 25 35  
10

