

# CSCI 3434 Theory of Computation Homework 2

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September 29, 2017

HW 3.1 Give regular expressions for each of the following subsets of  $\{a, b\}^*$ .

- (a)  $\{x \mid x \text{ contains an even number of } a\text{'s}\}$

The pattern  $((aa)^*b^* + ab^*a)^*$  matches strings with an even number of  $a$ 's.

The first part of the Or,  $(aa)^*b^*$  will match any string that has an even number of  $a$ 's followed by any number of  $b$ 's. Since  $(aa)^*$  can match the empty string, it is possible to start a string with a  $b$ . The second part of the Or,  $ab^*a$  will match any string that has two  $a$ 's separated by any number of  $b$ 's. The pattern matches either of these two possibilities any number of times. Thus, this pattern will match strings if and only if they have an even number of  $a$ 's.

- (b)  $\{x \mid x \text{ contains an odd number of } b\text{'s}\}$

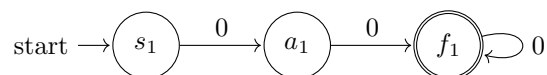
The pattern  $(a^*ba^*)((bb)^*a^* + ba^*b)^*$  matches strings with an odd number of  $b$ 's.

The first part of the concatenation,  $(a^*ba^*)$  guarantees there will always be at least one  $b$  which is necessary since every odd number has to form  $2k + 1$  for  $k \in \mathbf{Z}$ . In the second part of the concatenation, we see the same pattern as in part (a) except the  $a$ 's and  $b$ 's are reversed. This gives the  $2k$  part of the equation for an odd number. Thus, this pattern matches strings if and only if they have an odd number of  $b$ 's.

HW 3.2 Give deterministic finite automata accepting the set of strings matching the following regular expressions.

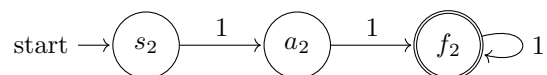
- (a)  $(000^* + 111^*)^*$ .

We begin by constructing an NFA that accepts  $000^*$ .

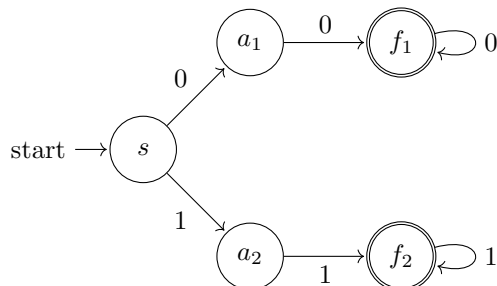


This NFA accepts strings that have at least 2  $00$ 's which is what the pattern  $000^*$  matches.

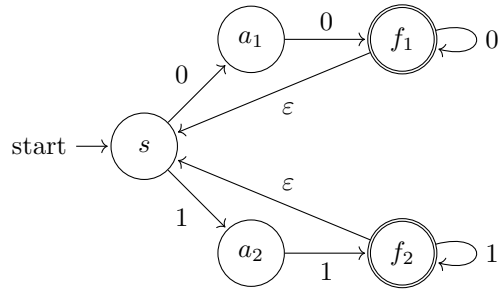
Likewise, we can construct an NFA that accepts  $111^*$ .



Then we can combine them into an NFA that accepts  $000^* + 111^*$  using  $\varepsilon$ -transitions.



Finally, we construct an NFA that accepts  $(000^* + 111^*)^*$  using  $\varepsilon$ -transitions.



Next we use  $\varepsilon$ -closures  $C_\varepsilon(A)$  as defined on page 318 of Kozen and the subset construction to construct an equivalent DFA. The  $\varepsilon$ -closures are as follows:

$$\begin{aligned} C_\varepsilon(s) &= \{s\} \\ C_\varepsilon(a_1) &= \{a_1\} \\ C_\varepsilon(a_2) &= \{a_2\} \\ C_\varepsilon(f_1) &= \{f_1, s\} \\ C_\varepsilon(f_2) &= \{f_2, s\} \end{aligned}$$

Then we use the subset construction to find an equivalent DFA<sup>1</sup>:

	0	1
$\rightarrow [s]$	$[a_1]$	$[a_2]$
$[a_1]$	$[f_1]$	$\emptyset$
$[a_2]$	$\emptyset$	$[f_2]$
$F[f_1]$	$[f_1, s]$	$[a_2]$
$F[f_2]$	$[a_1]$	$[f_2, s]$
$F[f_1, s]$	$[f_1, s, a_1]$	$[a_2]$
$F[f_2, s]$	$[a_1]$	$[f_2, s, a_2]$
$F[f_1, s, a_1]$	$[f_1, s, a_1]$	$[a_2]$
$F[f_2, s, a_2]$	$[a_1]$	$[f_2, s, a_2]$

To avoid the issue of mapping to the empty set in a DFA, we add a new state  $Z$  to replace  $\emptyset$

	0	1
$\rightarrow [s]$	$[a_1]$	$[a_2]$
$[a_1]$	$[f_1]$	$d$
$[a_2]$	$d$	$[f_2]$
$F[f_1]$	$[f_1, s]$	$[a_2]$
$F[f_2]$	$[a_1]$	$[f_2, s]$
$F[f_1, s]$	$[f_1, s, a_1]$	$[a_2]$
$F[f_2, s]$	$[a_1]$	$[f_2, s, a_2]$
$F[f_1, s, a_1]$	$[f_1, s, a_1]$	$[a_2]$
$F[f_2, s, a_2]$	$[a_1]$	$[f_2, s, a_2]$
$d$	$d$	$d$

Then, using the DFA minimization algorithm, we can make this DFA simpler:

<sup>1</sup>Method taken from <https://www.youtube.com/watch?v=oEraHUCwFVU>

$[s]$										
	$[a_1]$									
		$[a_2]$								
x	x	x	$[f_1]$							
x	x	x		$[f_2]$						
x	x	x			$[f_1, s]$					
x	x	x				$[f_2, s]$				
x	x	x					$[f_1, s, a_1]$			
x	x	x						$[f_2, s, a_2]$		
x	x	x							x	$d$
			x	x	x	x	x			

Table 1: First iteration

$[s]$										
x	$[a_1]$									
x	x	$[a_2]$								
x	x	x	$[f_1]$							
x	x	x	x	$[f_2]$						
x	x	x		x	$[f_1, s]$					
x	x	x	x		x	$[f_2, s]$				
x	x	x		x		x	$[f_1, s, a_1]$			
x	x	x	x		x		x	$[f_2, s, a_2]$		
x	x	x	x	x	x	x	x	x	x	$d$

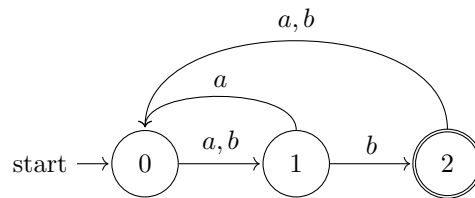
Table 2: Second iteration

From this, we see that  $[f_1] \approx [f_1, s] \approx [f_1, s, a_1]$  and  $[f_2] \approx [f_2, s] \approx [f_2, s, a_2]$ .  
Thus, the final DFA's transition table is:

	0	1
$\rightarrow$ $[s]$	$[a_1]$	$[a_2]$
$[a_1]$	$[F_1]$	$d$
$[a_2]$	$d$	$[F_2]$
$F[F_1]$	$[F_1]$	$[a_2]$
$F[F_2]$	$[a_1]$	$[F_2]$
$d$	$d$	$d$

Try to simplify as much as possible.

ME 15 Give a regular expression equivalent to the following automaton.



$$\alpha_{02}^{\{0,1,2\}} = \alpha_{02}^{\{0,2\}} + \alpha_{01}^{\{0,2\}} \left( \alpha_{11}^{\{0,2\}} \right)^* \alpha_{12}^{\{0,2\}}$$

We begin by expanding the first term

$$\alpha_{02}^{\{0,2\}} = \alpha_{02}^{\{0\}} + \alpha_{02}^{\{0\}} \left( \alpha_{22}^{\{0\}} \right)^* \alpha_{22}^{\{0\}}$$

$$\alpha_{02}^{\{0\}} = \alpha_{02}^{\emptyset} + \alpha_{00}^{\emptyset} \left( \alpha_{00}^{\emptyset} \right)^* \alpha_{02}^{\emptyset}$$

$$\alpha_{02}^{\emptyset} = \emptyset$$

$$\alpha_{00}^{\emptyset} = \varepsilon$$

$$\alpha_{02}^{\{0\}} = \emptyset + \varepsilon (\varepsilon)^* \emptyset = \emptyset \text{ by 9.3 and 9.9}$$

$$\alpha_{02}^{\{0,2\}} = \emptyset + \emptyset \left( \alpha_{22}^{\{0\}} \right)^* \alpha_{22}^{\{0\}} = \emptyset + \emptyset = \emptyset \text{ by 9.3 and 9.9}$$

Next we expand the second term

$$\alpha_{01}^{\{0,2\}} = \alpha_{01}^{\{0\}} + \alpha_{02}^{\{0\}} \left( \alpha_{22}^{\{0\}} \right)^* \alpha_{21}^{\{0\}}$$

$$\alpha_{01}^{\{0\}} = \alpha_{01}^{\emptyset} + \alpha_{00}^{\emptyset} \left( \alpha_{00}^{\emptyset} \right)^* \alpha_{01}^{\emptyset}$$

$$\alpha_{01}^{\emptyset} = a + b$$

$$\alpha_{01}^{\{0\}} = (a + b) + \emptyset (\emptyset)^* (a + b) = a + b$$

$$\alpha_{01}^{\{0,2\}} = (a + b) + \emptyset \left( \alpha_{22}^{\{0\}} \right)^* \alpha_{21}^{\{0\}} = a + b$$

Then we expand the third term

$$\alpha_{11}^{\{0,2\}} = \alpha_{11}^{\{2\}} + \alpha_{10}^{\{2\}} \left( \alpha_{00}^{\{2\}} \right)^* \alpha_{01}^{\{2\}}$$

$$\alpha_{11}^{\{2\}} = \alpha_{11}^{\emptyset} + \alpha_{12}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{21}^{\emptyset}$$

$$\alpha_{11}^{\emptyset} = \varepsilon$$

$$\alpha_{12}^{\emptyset} = b$$

$$\alpha_{22}^{\emptyset} = \varepsilon$$

$$\alpha_{21}^{\emptyset} = \emptyset$$

$$\alpha_{11}^{\{2\}} = \varepsilon + b (\varepsilon)^* \emptyset = \varepsilon$$

$$\alpha_{10}^{\{2\}} = \alpha_{10}^{\emptyset} + \alpha_{12}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{20}^{\emptyset}$$

$$\alpha_{10}^{\emptyset} = a$$

$$\alpha_{20}^{\emptyset} = a + b$$

$$\alpha_{10}^{\{2\}} = a + b (\varepsilon)^* a + b = a + b(a + b)$$

$$\alpha_{00}^{\{2\}} = \alpha_{00}^{\emptyset} + \alpha_{02}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{20}^{\emptyset}$$

$$\alpha_{00}^{\{2\}} = \varepsilon + \emptyset (\varepsilon)^* (a + b) = \varepsilon$$

$$\alpha_{01}^{\{2\}} = \alpha_{01}^{\emptyset} + \alpha_{02}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{21}^{\emptyset}$$

$$\alpha_{01}^{\{2\}} = a + b + \emptyset (\varepsilon)^* \emptyset = a + b$$

$$\alpha_{11}^{\{0,2\}} = \varepsilon + (a + b(a + b))(\varepsilon)^* (a + b) = \varepsilon + (a + b(a + b))(a + b)$$

Finally we expand the fourth term

$$\alpha_{12}^{\{0,2\}} = \alpha_{12}^{\{2\}} + \alpha_{10}^{\{2\}} \left( \alpha_{00}^{\{2\}} \right)^* \alpha_{02}^{\{2\}}$$

$$\alpha_{12}^{\{2\}} = \alpha_{12}^{\emptyset} + \alpha_{12}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{22}^{\emptyset}$$

$$\alpha_{12}^{\{2\}} = b + b(\varepsilon)^* \varepsilon = b + b = b$$

$$\alpha_{02}^{\{2\}} = \alpha_{02}^{\emptyset} + \alpha_{02}^{\emptyset} \left( \alpha_{22}^{\emptyset} \right)^* \alpha_{22}^{\emptyset}$$

$$\alpha_{02}^{\{2\}} = \emptyset + \emptyset (\varepsilon)^* \varepsilon = \emptyset$$

$$\alpha_{12}^{\{0,2\}} = b + (a + b(a + b))(\varepsilon)^* \emptyset = b$$

Combining all four terms together, we get

$$\begin{aligned}\alpha_{02}^{\{0,1,2\}} &= \emptyset + (a+b)(\varepsilon + (a+b(a+b))(a+b))^*b \\ &= (a+b)(\varepsilon + (a+b(a+b))(a+b))^*b\end{aligned}$$

1

HW 4.1 Show the following sets are not regular.

- (b)  $A = \{x \in \{a, b, c\}^* \mid x \text{ is a palindrome; i.e., } x = \text{rev } x\}$ . (use the pumping lemma thoroughly) Let  $k \geq 0$ . Choose  $x = \varepsilon$ ,  $y = a^k$  and  $z = bcb(a)^k$ . This is a palindrome since there are an equal number of  $a$  on the left and right sides of the string and the  $bcb$  in the middle is a palindrome. We can also see that  $|y| \geq k$  since  $|y| = k$ . Now, for all  $uvw$  such that  $y = uvw$  and  $v \neq \varepsilon$  we will show there exists an  $i \geq 0$  such that  $uv^i w \notin A$ . Any  $uvw$  can be written as  $a^p a^q a^r$  where  $p + q + r = k$  and  $q > 0$ . Then, choose  $i \geq 2$ . Then  $uv^i w = a^p a^{iq} a^r$ . Thus  $|uv^i w| = p + iq + r > k$ . This means that  $xuv^i wz$  is of the form  $a^l bcb a^k$  where  $l > k$ . This string is no longer a palindrome since there are more  $a$ s on the left than on the right so the reverse string will not be the same. Thus, palindromes are not regular languages.
- (d) The set PAREN of balanced parentheses  $()$ . For example, the string  $(( ( ) ( ) ) ( ) )$  is in PAREN, but the string  $) ( )$  is not.

For  $k > 0$ , let  $x = ({}^k, y = ){}^k$ , and  $z = \varepsilon$ . This string is in PAREN since the number of opening parenthesis is the same as the number of closing parenthesis. We can also see that  $|y| \geq k$  since  $|y| = k$ . Then, for all  $uvw = y$  such that  $|v| > 0$ , we know that  $v = ){}^m$  where  $m \leq k$ . Write  $uvw$  as  $({}^l ){}^m ){}^n$  where  $l + m + n = k$  and  $m > 0$ . Then, choose  $i \geq 2$ . Thus,  $uv^i w = ({}^l ){}^{im} ){}^n = ){}^{im} ({}^l ){}^n$ . Thus  $|uv^i w| = l + im + n > k$ . This means that there are now more closing parenthesis in  $uv^i w$  than opening parenthesis in  $x$  so  $xuv^i wz \notin \text{PAREN}$ .

ME 37 Which of the following sets are regular and which are not? Give justification.

- (a)  $A = \{a^n b^{2m} \mid n \geq 0 \text{ and } m \geq 0\}$

Assume that  $A$  is regular. Then  $\text{rev } A$  is also regular. Define  $h : \{a, b\}^* \rightarrow \{a, b\}^*$  by  $h(a) = b$  and  $h(b) = a$ . Then, consider  $A' = h(\text{rev } A)$ . This language should also be regular since  $h$  is a homomorphism. Finally, the language  $A \cap A'$  should also be regular. There are two cases to consider with this intersection. If  $n < 2m$ , then

$$A \cap A' = \{a^n b^n \mid n \geq 0\}$$

which we know is not regular.

If,  $n > 2m$ , then

$$A \cap A' = \{a^{2m} b^{2m} \mid m \geq 0\}.$$

The set  $\{a^{2m} b^{2m} \mid m \geq 0\}$  can be easily be seen to be non regular via the pumping lemma. For  $k \geq 0$ , let  $x = a^{2k}, y = b^{2k}$ , and  $z = \varepsilon$ . Then for any  $u, v, w$  such that  $uvw = y$ , choose  $i \geq 2$ . Then  $|uv^i w| \geq 2k$  so  $xuv^i wz \notin \{a^{2m} b^{2m} \mid m \geq 0\}$ .

Since  $A \cap A'$  is not regular, than our assumption that  $A$  is regular must be wrong. Therefore,  $A$  is not regular.

- (b)  $B = \{a^n b^m \mid n = 2m\}$

This is the same as the set  $\{a^{2m} b^m \mid m \geq 0\}$ . Then, let  $x = \varepsilon, y = a^{2k}$ , and  $z = b^k$ . This string is clearly in  $B$  and  $|y| = 2k$ . Then, choose  $i \geq 2$ . Thus  $|uv^i w| > 2k$  so  $xuv^i wz \notin B$  since it is not of the form  $a^{2m} b^m$ . Thus,  $B$  is not regular.

- (c)  $C = \{a^n b^m \mid n \neq m\}$

Assume that  $C$  is regular. Then  $\sim C$  is also regular. Now, take the following intersection

$$\sim C \cap L(a^* b^*)$$

We know that  $L(a^* b^*)$  is regular since it is the language of a valid regular expression. The result of this intersection is  $\{a^n b^n \mid n \geq 0\}$ . To see that this is the result of the intersection, we note that  $L(a^* b^*)$  are any string of the form, some number  $a$ 's followed by some number of  $b$ 's. If the number of  $a$ 's and  $b$ 's were different, it would mean this string would not be in  $\sim C$ . Thus, the strings have to have the same number of  $a$ 's and  $b$ 's. Since  $\{a^n b^n \mid n \geq 0\}$  is not regular, then  $C$  cannot be regular either.

- (d)  $D = \{a^{p-1} \mid p \text{ is prime}\}$

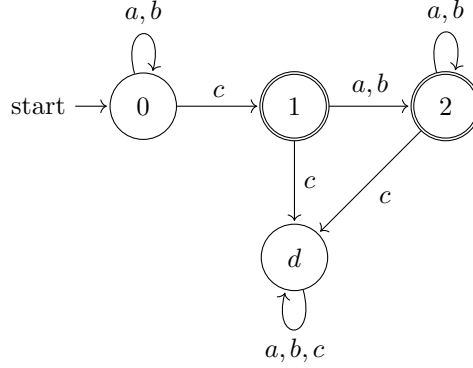
If  $D$  were regular, then  $\{a\}D$  should be regular due to regular languages being closed under concatenation. However,  $\{a\}D = \{aa^{p-1} \mid p \text{ is prime}\} = \{a^p \mid p \text{ is prime}\}$ . But, we know that this set is not regular.

- (e)  $E = \{xcx \mid x \in \{a, b\}^*\}$

Given  $k \geq 0$ , consider  $x = \varepsilon, y = a^k$  and  $z = ca^k$ . Then  $xyz \in E$  since  $a^k \in \{a, b\}^*$  and thus  $xyz$  has the form  $xcx$  and we can see that  $|y| = k$ . Let  $uvw$  be such that  $y = uvw$  and  $v \neq \varepsilon$ . Let  $i \geq 2$ . Then  $|uv^i w| > k$  (same logic as 4.1b). This means that  $xuv^i wz = a^l ca^k$  where  $l > k$  so which means this string is not of the form  $xcx$ . Thus,  $E$  is not regular.

- (f)  $F = \{xcy \mid x, y \in \{a, b\}^*\}$

Consider the following DFA:



This DFA works by either accepting as soon as it has seen one  $c$  so strings of the form  $xc\varepsilon$  are accepted or accepting if any more  $a$ 's or  $b$ 's are seen. However, if another  $c$  is seen, then it fails to accept. Thus,  $F$  is regular.

- (g)  $G = \{a^n b^{n+481} \mid n \geq 0\}$

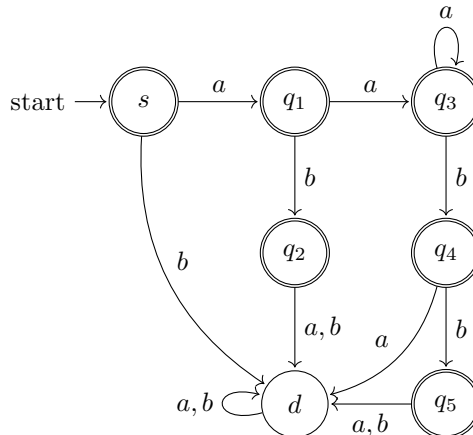
Given  $k \geq 0$ , then let  $x = a^k, y = b^{k+481}$ , and  $z = \varepsilon$ . We have  $xyz \in G$  and  $|y| > k$ . For all  $uvw = y$ , let  $i \geq 2$ . Then  $|uv^i w| > k + 481$ , say  $l + 481$ . Then  $xuv^i wz \notin G$  because it is not of the form  $a^n b^{n+481}$  since  $l \neq k$ . Thus  $G$  is not regular.

- (h)  $H = \{a^n b^m \mid n - m \leq 481\}$

Given  $k \geq 0$ , let  $x = \varepsilon, y = a^k, z = b^k$ . Then  $xyz \in H$  since  $k - k = 0 \leq 481$ . Now for any  $u, v, w$  such that  $y = uvw$  and  $v \neq \varepsilon$ , then choose  $i \geq 482$ . The smallest  $v$  can be is if it just the symbol  $a$ . In this case,  $|uv^i w| = k + 482$ . Thus,  $n - m = k + 481 - k = 482 \notin 481$ . For any other choice of  $v$ , we will have  $|uv^i w| > k + 482$  so it will still fail. Thus  $xuv^i wz \notin H$  and so  $H$  is not regular.

- (i)  $I = \{a^n b^m \mid n \geq m \text{ and } m \leq 481\}$

This language is regular. Consider the language  $I' = \{a^n b^m \mid n \geq m \text{ and } m \leq 2\}$ . This is essentially the same language as  $I$  since it just differs by a constant. We will only have to change the DFA necessary to accept  $I$  by a finite number of states. The following DFA accepts  $I'$ :



We can see that this DFA does accept  $I'$  by considering a few different cases. First, it accepts  $\varepsilon$ . Next, if there is only one  $a$ , then there can only be one  $b$  as denoted by the path from state  $q_1$  to  $q_2$ . If there are 2 or more  $a$ 's in the string, then we can accept up to the maximum number of  $b$ 's which is two. This can be seen by the path  $q_1$  to  $q_3$  to  $q_4$  to (potentially)  $q_5$ . We can also accept any number of  $a$ 's followed by no  $b$ 's. If we see too many  $b$ 's or we see an  $a$  after a  $b$  we go to the state  $d$ . If we see a  $b$  before any  $a$ 's, then we also go to  $d$ .

A machine of this form can be extended to actually accept  $I$ . Thus,  $I$  is regular.

- (j)  $J = \{a^n b^m \mid n \geq m \text{ and } m \geq 481\}$

Given  $k \geq 0$ , let  $z = a^l, y = b^l$  and  $z = \varepsilon$  such that  $l \geq k$  and  $l \geq 481$ . Then,  $xyz \in J$  since  $n = l = m \geq 481$ . Then for all  $u, v, w$  such that  $y = uvw$  and  $v \neq \varepsilon$ , choose  $i \geq 2$ . Then  $|uv^i w| > l$ . This means that  $xuv^i w z \notin J$  since it is no longer true that  $n \geq m$ .

- (k)  $K = L((a^*b)^*a^*)$

The pattern  $(a^*b)^*a^*$  is a valid regular expression which means that it is a regular language.

- (l)  $L = \{a^n b^n c^n \mid n \geq 0\}$

Given  $k \geq 0$ , let  $x = a^k, y = b^k$  and  $z = c^k$ . Then for all  $u, v, w$  such that  $y = uvw$  and  $v \neq \varepsilon$ , choose  $i \geq 2$ . Then  $|uv^i w| > k$  so  $xuv^i w z \notin L$  since not all of the exponents are the same anymore. Thus,  $L$  is not regular.

- (m)  $M = \{\text{syntactically correct Python programs}\}$

This language is not regular. An example of a syntactically correct Python program is

```
arr = ['a', 'a', ..., 'a'] //where there are p a's, p prime
```

This is essentially the language  $A = \{a^p \mid p \text{ prime}\}$ . In fact, it is the language

$$A = \{\text{arr} = [(a',)^p] \mid p \text{ prime}\}.$$

There are simple DFAs that can accept  $\text{arr} = [ ]$  since it is just a constant string. However, accepting  $\{(a',)^p \mid p \text{ prime}\}$  is impossible since this language is isomorphic to  $\{a^p \mid p \text{ prime}\}$ .

HW 4.3 For the following automata

		a	b
→	1	1	4
	2	3	1
	3F	4	2
	4F	3	5
	5	4	6
	6	6	3
	7	2	4
	8	3	1

- (a) say which states are accessible and which are not;

The inaccessible states are 7 and 8. The DFA with only accessible states is as follows:

		a	b
→	1	1	4
	2	3	1
	3F	4	2
	4F	3	5
	5	4	6
	6	6	3

- (b) list the equivalence classes of the collapsing relation  $\approx$  defined in Lecture 13:

$$p \approx q \stackrel{\text{def}}{\iff} \forall x \in \Sigma^*, (\hat{\delta}(p, x) \in F \iff \hat{\delta}(q, x) \in F);$$

We begin by marking pairs of states,  $\{p, q\}$  if  $p \in F$  and  $q \notin F$  or vice versa.

Table 3

1				
x	2			
x	x	3		
x	x		4	
		x	x	5
		x	x	6

After going through the states again and marking states if  $\{\delta(p, a), \delta(q, a)\}$  is already marked, we get.

Table 4

1				
x	2			
x	x	3		
x	x		4	
x		x	x	5
	x	x	x	6

Recurring further will not change anything. Thus, the equivalent states are

$$1 \approx 6$$

$$2 \approx 5$$

$$3 \approx 4.$$

Denote  $1 \approx 6$  as  $p$ ,  $2 \approx 5$  as  $q$  and  $3 \approx 4$  as  $r$ .

- (c) give the automaton obtained by collapsing equivalent states and removing inaccessible states. The automaton with obtained by collapsing equivalent states and removing inaccessible states is:

		a	b
→	$p$	$p$	$r$
	$q$	$r$	$p$
	$rF$	$r$	$q$