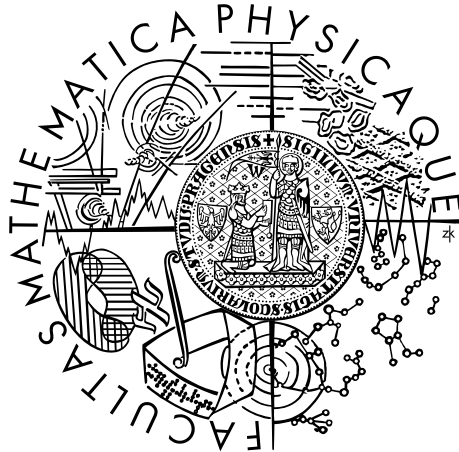


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Graph Based SLAM on NDT Maps

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Introduction

Humanity has envisioned many tasks which could be carried out by robots including transportation, health care, save and rescue and many more. Robots of current world can efficiently operate only in very limited conditions. To solve problems of the future we need fast and reliable algorithms for our robots. Big question in field of mobile robotics is how to efficiently localize robot and create map as precise as possible. This problem is often referred to as Simultaneous localization and mapping (SLAM) problem.

Good localization is crucial part of any good navigation software. Generated map plays important role in path planning and multi-robot coordination. SLAM algorithm should rely mostly on robots internal sensors like, e.g., sonars, cameras, wheel encoders. Using Global positioning system (GPS) is only possible in outdoor environment. Precision of this localization is very often not good enough to successfully navigate robot.

In last decade many high quality SLAM algorithms where presented. Solution to full problem of map building and robot positioning is usually done by combining state-of-the-art approaches in related fields of study. This work combines Normal distributions transform (NDT) map building process and scan registration with well developed research branch of graph-based SLAM optimizing engines. To achieve this combination this work presents extended implementation of NDT mapping process and new way of robust scan-matching on NDT map. Implementation is done in Robot operating system (ROS) to make it easy to use.

This text is structured as follows: Key algorithms and concepts used in whole problem solution are explained in Section 1. In Section 2, is described process of scan matching, graph building, optimization and NDT mapping. Section 3 presents implementation details of this algorithm. Section 4 discuss mapping results and comparison to well known implementations in ROS.

An example citation: ?

1. Used algorithms and key concepts

This section offers basing introduction to multiple state-of-the-art algorithms used in this work. Good understanding of these concepts is crucial for full comprehension of section 3 .

ref

1.1 SLAM problem definition

Successfully solving SLAM problem means to find location of robot in every time step and be able to create map at that time-stamp. In real world we deal with robot's sensors which have always some inherited noise. This means we are not able to fully say exact position of robot. This is main reason why to use probabilistic definition of problem. Robot moves through unknown space along trajectory expressed as variables $\mathbf{x}_{1:T} = \{\mathbf{x}_1, \dots, \mathbf{x}_T\}$. While moving robot is taking odometry measurements $\mathbf{u}_{1:T} = \{\mathbf{u}_1, \dots, \mathbf{u}_T\}$ and perception of environment $\mathbf{z}_{1:T} = \{\mathbf{z}_1, \dots, \mathbf{z}_T\}$. Solving SLAM than means finding out probability of the robot's trajectory $\mathbf{x}_{1:T}$ and a map \mathbf{m} of local environment given all the measurements and initial pose \mathbf{x}_0 :

$$p(\mathbf{x}_{1:T}, \mathbf{m} \mid \mathbf{z}_{1:T}, \mathbf{u}_{1:T}, \mathbf{x}_0) \quad (1.1)$$

Odometry is usually acquired by robots wheel encoders or by incremental scan-matching. Odometry is represented in 2D by triple (x, y, θ) or by three dimensional transformation matrix. Perceptions of environment might come from different sources. In this work we expect distance measurements from laser scanner or kinect sensor. Initial pose can be interpreted as origin of coordinate system for global map. Representation of the map is more described in section 1.2.

1.1.1 SLAM categories

1.1.2 Graph-based SLAM

A graph-based SLAM solves SLAM problem by constructing graph representation of the problem. This graph is commonly called a pose graph. Nodes of the graph represent potential poses of robot at certain time stamp T . Therefore, nodes are representing our trajectory $\{\mathbf{x}_1, \dots, \mathbf{x}_T\}$. Nodes also hold state of the current map. Edges in the graph represent possible spatial transformation between nodes. Edge is generated out of noisy sensor data. Therefore, we need to model uncertainty of this movement. It is represented by probability distribution and included in the edge. Generation of constraints is done by algorithm's front-end. It creates them either from odometry \mathbf{u}_T or by measurement data \mathbf{z}_T registration. Once the graph is completed, it is optimized by algorithm's back-end. Result of this process is the most likely position of all nodes in the graph.

1.1.3 Pose graph creation

Loop closure edge generation

1.1.4 Optimization

1.2 Map representation

Successful solving SLAM problem should output map of the unknown environment. This map needs to be stored for local path planning and obstacle avoidance. It is also needed for scan registration. Algorithms for avoiding obstacles very often need precise map. Map should keep low memory consumption, because robots very often have limited access to memory. Scan registration algorithms usually might benefit from maps with high precision.

Point-cloud is map representation which stores all measured points. This is very precise representation. All input data is still in its raw form. We are not losing any information. Scan-matching algorithms e.g. Iterative closest point (ICP) is working on top of this datastructure. It is very easy to convert from this model to different type of map if needed. Problematic is memory consumption. If robot runs for long period with higher frequency of data production, it is likely that robot will run out of memory.

Occupancy map is grid based type. It consists of grid with cells. In every cell we have just one value describing probability that this cell is occupied. Value becomes higher with more incoming data measurements. It has constant memory consumption with respect to time of robot's run-time. It is possible to use this representation for map to map registration process. This model is also possible to represent empty spaces (low probability). This feature is used by many path planning and obstacle avoidance algorithms. That is why, occupancy maps are main output format for SLAM algorithms in ROS. It is important to select good resolution of grid. Finer grid offers better detail but higher memory consumption.

Quad-tree is a tree data structure. Each node of the tree has exactly four children. Nodes are decomposing space into sub-areas. Every node has its threshold. When it is reached, cell subdivides into four smaller cells. This process dynamically change resolution of the grid. This way we get higher precision in places where it matters more. Maximal precision is usually bounded by minimal size of leaf nodes.

NDT representation uses grid based datastructure. Each cell has normal distribution parameters calculated based on inserted points. This model offers constant memory consumption over time. In comparison, NDT has better representation of inner points than octree (3D case of quad-tree). This was proven as convenient by Saarinen et al. [2013a]. They have shown that coarser NDT grid can have similar results in precision of space representation than finer octree map. NDT grids have their own class of registration which will be explained in next sections.

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1.2.1 NDT grid

The normal distributions transform(NDT) grid representation was first time used by Biber and Strasser [2003] in their scan registration process. Central idea was to convert laser scan into grid with cells containing normal distributions. Points in space from laser scanner are first separated into corresponding cells. From points in single cell we approximate normal distribution (μ_i, P_i) by calculating mean and covariance:

$$\mu_i = \frac{1}{n} \sum_{k=1}^n x_k \quad (1.2)$$

$$P_i = \frac{1}{n-1} \sum_{k=1}^n (x_k - \mu_i)(x_k - \mu_i)^t \quad (1.3)$$

NDT grid was than used for registration. Originally proposed grid could be updated with new laser scans only by keeping used points and recalculating all cells again. This has changed with proposed recursive covariance update step by Saarinen et al. [2013a]. Their update step offers way how to fuse in new measurements. First it calculate normal distributions for added points. In second step, it merges old covariances with new one.

Consider two sets of measurement $\{x_i\}_{i=1}^m$ and $\{y_i\}_{i=1}^n$ than formula for mean calculation is in equation (1.5). Recursive update for covariance (RCU) is in equation (1.8)

$$T_x = \sum_{i=1}^m x_i \quad T_y = \sum_{i=1}^n y_i \quad T_{x \oplus y} = T_x + T_y \quad (1.4)$$

$$\mu_{x \oplus y} = \frac{1}{m+n} T_{x \oplus y} \quad (1.5)$$

$$S_x = \sum_{i=1}^m (x_i - \frac{1}{m} T_x)(x_i - \frac{1}{m} T_x)^T \quad S_y = \sum_{i=1}^n (y_i - \frac{1}{n} T_y)(y_i - \frac{1}{n} T_y)^T \quad (1.6)$$

$$S_{x \oplus y} = S_x + S_y + \frac{m}{n(m+n)} (\frac{n}{m} T_x - T_{x \oplus y})(\frac{n}{m} T_x - T_{x \oplus y})^T \quad (1.7)$$

$$P_{x \oplus y} = \frac{1}{m+n-1} S_{x \oplus y} \quad (1.8)$$

Proof and further explanation for these equations can be found in work of Saarinen et al. [2013a] and later improved in Saarinen et al. [2013b].

In addition to fusing in new laser measurements we can also easily generated coarser grid by merging cells from higher resolution grid to grid with lower resolution. This mechanism is useful in path planning where we can plan on coarser grid which could be faster. Also, we can use multi-level scan matching approaches, which will be discussed in next section 1.3. Small disadvantage of this method is that we need to keep number of points used in every cell.

It is worth noting that in continual integration of scans calculated mean and covariance grow unbounded with increasing number of points added. This could lead to numerical instabilities. Second problem is that cell's distribution contains measurements from all scans. This is problem in dynamic environment where

some objects might disappear. These problems are solved by restricting maximal number of points in cell with parameter M

$$N_{x \oplus y} = \begin{cases} n + m, & n + m < M \\ M, & n + m \geq M \end{cases} \quad (1.9)$$

Parameter M modifies how fast we let RCU replace old measurements by new one. Small value of M makes adaptation faster and big M keeps weight of older data higher. This cause to have new data making smaller impact on result of process.

1.2.2 NDT-OM extension

NDT grids offers good compromise between space and precision, but it lacks information about occupied space and unoccupied space. This is crucial for planning algorithms. This functionality was added to NDT by Saarinen et al. [2013a] and later improved by same authors in later work Saarinen et al. [2013b]. Every cell in NDT-OM is represented with parameters $c_i = \{\mu_i, p_i, N_i, p_i\}$, where μ_i and P_i are parameters of estimated normal distribution, N_i is number of points in cell and p_i is probability of the cell being occupied.

Calculation of occupancy parameter is done by ray-tracing. Consider that we have current map m_x . We have calculated new NDT map m_y from incoming distance measurements. Both maps needs to be in the same coordinate system. Ray-tracing starts at current robot position in map m_x . End point of ray-tracing is value of mean from one of the cells in new map m_y . Program visits every cell along the line and updates covariance. It is important to visit every cell just once. When is ray-tracing over we merge in all cells from m_y into m_x with RCU update rule.

The main idea in occupancy update calculation is that not all cells are occupied fully. Normal distribution usually occupies only part of the cell. A ray tracing through this cell might not intersect bounds of normal distribution at all. In order to consistently update occupancy the update value should not be a constant. Better option is to choose a function describing difference between map m_y and m_x . This function with explanation might be found in Saarinen et al. [2013b].

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1.3 Scan registration

Scan registration is one of the key concepts in full SLAM solution. Algorithm can use scan matching between two scans to determine transformation. It tells how far robot moved between scans. Two scans might not offer enough information for successful registration. Imagine a robot which is standing in the corner of a room with sensor facing the wall. Scan from this robot has only information from very limited field of view and this might lead to matching errors. Therefore, it is usually necessary to combine individual scans to operate with more data.

One of the algorithms which uses this process is called incremental scan-matching. It takes arriving scan and tries to match it against the map built from previous measurements. By doing so it can very well be used instead of robots odometry in SLAM's graph creation. Algorithms which are possible to work in incremental scan-matching are mentioned in sections 1.3.1 and 1.3.2. Other often used approach is the ICP, which is described in 1.3.3. All these algorithms use optimization methods, e.g. Newton's method. Good initial guess is needed in order to guarantee converge to the right solution.

Another example of usage scan registration in SLAM is for testing generated loop closures. Loop closures are created by SLAM's front-end as mentioned in section 1.1.3. Measurements from nodes which play role in loop closure are scan matched. By doing this we are trying to proof if two nodes are really overlapping. The Biggest problem with this registration is that we have no valid prior information about positions of these nodes. These two scans might be perfectly aligned or they can be from completely different parts of the world. Registration needs to robustly estimate the transformation. In case of misleading closure algorithm should reject it. One scan-matcher capable of robust transformation calculation is mentioned in 1.3.4.

Even robust scan-matchers can fail to correctly identify loop closures. These registration mismatches can be divided into two categories.

The First category is local ambiguity. Good example of it is when robot moves in long corridor as seen in figure 1.3 on the left. Environment does not have many distinctive features and algorithm selected one of three possible correct options.

The second category is global ambiguity. This ambiguity usually happens when algorithm do not have enough information about whole environment. Limited size of scans and environment with similar indistinguishable elements can resolve in wrong association. One example can be seen in figure 1.3 on the right.



1.3.1 NDT registration

NDT registration process was first time explained by Biber and Strasser [2003]. They have explained how to make 2D registration between older scan (target scan) and newer scan (source scan). Target scan was converted to NDT grid by technique mentioned in section 1.2.1. Result of registration should be transformation defined in 2D:

$$T : \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix} \quad (1.10)$$

where $(t_x, t_y)^T$ represents translation and θ represents rotation. Transformation is used for transforming source scan. At the beginning of program parameters of transformation are initialized either by zero or from initial guess. For each point of transformed scan cost function is computed This function is defined as:

$$score(\mathbf{p}) = \sum_i \exp\left(-\frac{1}{2}((T(x_i, \mathbf{p}) - \mu_i)^T P_i^{-1} (T(x_i, \mathbf{p}) - \mu_i))\right) \quad (1.11)$$

where $\mathbf{p} = (t_x, t_y, \theta)$ are parameters of transformation, $N(\mu_i, P_i)$ are parameters of normal distribution where point x is transformed by transformation T . Goal of the NDT scan-matching is to find parameters \mathbf{p} which maximize this function. This maximization problem is changed to minimization problem by searching for minimal value of -score. Newton's algorithm finds minimizing parameters in p by iteratively solving equation

$$H \Delta p = -g \quad (1.12)$$

Representation of hessian, gradient and all derivations might be found in work of Magnusson [2009]. Magnusson also introduced new scaling parameters into score function in order to reject possible outliers. probability distribution function (PDF) inside of cells of target NDT grid may not be always from normal distribution. In practice any representation which approximates structure of the element is valid. Outliers are points far from the mean of distribution and cause unbounded growth of PDF.

At the beginning, algorithm created discrete NDT grid out of target scan. This introduces discretization problems. These problems are cause by points generating PDF which are larger than their cells. In the original work of Biber and Strasser [2003] this was solved by creating 4 target grids where each grid is translated by half of the cell size in single direction. This process made this algorithm inefficient. Introduction to multi-layer NDT grid structure, presented by Ulas and Temeltas [2011], solved this problem. Multi-layer approach consists of several grids with different resolution. Grids are ordered from coarser grid to finer grid. Algorithm starts with coarse grid and estimates parameters of transformation. Calculated transformation is used as initial guess at lower level. This principle practically eliminated need for four overlapping grids. It also offered better convergence time and increase to robustness. Algorithm is able to converge when matched scans are farther away. Good configuration is 4 layers with cell sizes 2, 1, 0.5 and 0.25 meters.

Another improvement to algorithm is usage of concept of linked cells. In practical registration very often part of the source scan lie far from any target cells. This causes only small portion of points contribute to score function. It can

cause algorithm failure or just increase time of convergence. Linked cells prevent this by providing cells in target scan, which are close to the point from source scan. Implementation of this technique is possible with use of kD-tree with means of all cells as input points. Every point of source scan finds k-nearest cells and execute score calculation on them.

Algorithm 1 NDT algorithms with multi layer and linked cell enhancements

Require: source scan, target scan, parameters (x, y, θ) of initial transformation, cell resolution for each layer

```

1: function NDTREGISTRATION( $scan_s, scan_t, p_{init}, resolutions$ )
2:    $\mathbf{p} \leftarrow p_{init}$ 
3:   for all  $res$  from  $resolutions$  do
4:      $ndt_t \leftarrow \text{createNDTGrid}(res, scan_t)$  ▷ described in section 1.2.1
5:     transform each point  $x_i \in scan_{trans}$  with  $T(x_i, \mathbf{p})$ 
6:      $\mathbf{p} \leftarrow \text{computeSingleGrid}(scan_{trans}, ndt_t, \mathbf{p})$ 
7:   end for
8:   return calculated parameters  $\mathbf{p}$  of transformation
9: end function

```

Algorithm 2 Computing transformation on with single target NDT grid and source point cloud

Require: source scan, target NDT grid, parameters (x, y, θ) of initial transformation

```

1: function COMPUTESINGLEGRID( $scan_s, ndt_t, p_{init}$ )
2:   while not converged do
3:      $\mathbf{p} \leftarrow p_{init}$ 
4:      $(score, g, H) \leftarrow (0, 0, 0)$ 
5:     for all points  $x_i \in scan_{trans}$  do
6:        $\bar{x}_i \leftarrow T(x_i, \mathbf{p})$ 
7:        $cells \leftarrow \text{find k-closest cells to } \bar{x}_i$ 
8:       for all cells  $c_i \in cells$  do
9:         {based on Magnusson [2009]}
10:         $(score, g, H) \leftarrow (score, g, H) + \text{calcNewtonParameters}(c_i, \bar{x}_i)$ 
11:      end for
12:    end for
13:    solve  $H\Delta p = -g$ 
14:     $\mathbf{p} \leftarrow \mathbf{p} + \Delta p$ 
15:  end while
16:  return  $\mathbf{p}$ 
17: end function

```

1.3.2 D2D-NDT registration

Distribution to distribution (D2D)-NDT is variant of NDT registration algorithm proposed by Stoyanov et al. [2012]. It is extension of original algorithm presented in section 1.3.1. Instead of using only one grid for target scan. This approach uses two grids. One for source scan and second for target grid. Algorithm than minimize the sum of L_2 distances between pairs of PDF's from both grids. Formally, transformation between two sets of cells X and Y is defined as:

$$f(\mathbf{p}) = \sum_{i=1, j=1}^{n_X, n_Y} -d_1 \exp \left(-\frac{d_2}{2} \mu_{ij}^T (R^T P_i R + P_j)^{-1} \mu_{ij} \right) \quad (1.13)$$

$$\mu_{ij} = R\mu_i + t - \mu_j \quad (1.14)$$

where $\mathbf{p} = (t_x, t_y, \theta)$; $X(\mu_i, P_i)$ and $Y(\mu_j, P_j)$ are PDF's of individual cells in pair; a pair (R, t) represents rotation matrix from parameter θ and translation vector $t = (t_x, t_y)$. Regulation parameters d_1 and d_2 are set to values $d_1 = 1$ and $d_2 = 0.05$. Equation 1.14 represents difference in means where mean u_i is transformed to new position.

Optimization of this function is done in similar way to 1.3.1 by utilizing Newtons method and solving $H\Delta\mathbf{p} = -g$. Derivations for calculation of hessian and gradient are presented in work of Stoyanov et al. [2012].

This algorithm is also possible to improve by iterating over multiple layers with different resolutions similar to NDT registration in previous section.

In comparison, with NDT registration this algorithm needs only NDT grids for registration. Point cloud can be thrown away after successful creation of grid. This allow saving memory and efficiently represent maps in SLAM. In addition, D2D is almost ten times faster than standard NDT registration on same dataset. This was proven in comparative study from Magnusson et al. [2015]. The main cause of this speed up is smaller number of calls for score calculation. In point to distribution (P2D)-NDT mentioned in last section we need to calculate score for each point in source point cloud. In case of D2D we just calculate score function for each cell of source grid. This is done by generating only pairs between cell from source grid and closest cell from target cell. Closest cell can be easily found by using kD-tree with values of target grid's means.

1.3.3 ICP

The iterative closest point (ICP) algorithm was first introduced by Chen and Medioni [1992] and it is still very popular method for registering point clouds. To briefly summaries algorithm: ICP iteratively refines position of two point clouds by optimizing the sum of square distances between corresponding pair of points from two clouds. This approach is usually called point-to-point registration. Class of algorithms based on ICP has developed many modifications. Surrvey of base type of ICP algorithms and their comparison on well designed datasets is in work of Pomerleau et al. [2013].

1.3.4 Correlative scan registration

Correlative scan registration is algorithm presented by Olson [2009]. This method was developed to robustly solve registration problem. It does not require any initial guess. Therefore, it is possible to use this method for purposes of loop closure registration.

The algorithm requires two point clouds. Target point cloud is used for generation of fast look up table filled with bit values. It is created by separating points from target cloud into individual cells. Every cell which has some points in it is marked as occupied. After this step we have a table with value 1 in cells with some points and value 0 in cells without points. In next step we add sensor noise to the table. As a function of our noise we use radially symmetric kernel.

$$K_{i,j} = \exp \left(\frac{-1}{2} \left(\frac{\sqrt{(ir)^2 + (jr)^2}}{\sigma} \right)^2 \right) \eta \quad (1.15)$$

$$K = \begin{pmatrix} 2 & 14 & 2 \\ 14 & 100 & 14 \\ 2 & 14 & 2 \end{pmatrix} \quad (1.16)$$

where $K_{i,j}$ is one element of kernel; $\sqrt{(ir)^2 + (jr)^2}$ is euclidean distance from center of the kernel to the element i, j with cell size parameter r . Standard deviation of sensor noise is abbreviated by σ and η is kernels max value.

The Kernel overlaps over every occupied cell in the table. If value of kernel is higher than value in table. Table is updated with the kernels value. Generated smoothing can be seen in figure ??.

This algorithm is avoiding initial guess by trying all possible rotations and translations of source cloud. Every point of transformed source cloud is mapped into certain cell of look up table. The total score of transformed cloud is sum of all mapped cells scores. Algorithm usually tries rotations and translations from selected range. Transformation with the best score is the most probable transformation.

This brute force process might take long time if we select small cell size to achieve good registration. To speed up this process we first need to avoid computationally expensive calculation of goniometric functions in transformation. This can be achieved by first generating all possible rotations of point cloud. For each rotation we try all translations from selected range with step size selected based on cell size of look up table.

Real speed improvements offers usage of two layer architecture of look up tables. The first table has coarse resolution. This table is used for initial estimation on the whole range of selected rotations and translations. The transformations with best score are used in the second round. From every good transformation is generated search space voxel. Origin of voxel is taken from transformation. Size of voxel is cell size from coarse table. Search voxels are evaluated on look up table with fine resolution. Search space is this time limited to search voxel and initial transformation is taken from origin of voxel. The best result is our solution. By this process computation time drops rapidly as show in work of Olson [2009].

1.4 NDT graph slam analyses

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3.3 Registration algorithms

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3.5 Algorithm API

3.6 Graph SLAM API

3.7 ROS API

4. Evaluation

4.1 Datasets

4.2 Results

@INPROCEEDINGSICP, author=S. Rusinkiewicz and M. Levoy, booktitle=3-D Digital Imaging and Modeling, 2001. Proceedings. Third International Conference on, title=Efficient variants of the ICP algorithm, year=2001, pages=145-152, doi=10.1109/IM.2001.924423, month=,

Conclusion

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List of Figures

List of Abbreviations

D2D Distribution to distribution. 13

GPS Global positioning system. 3

ICP Iterative closest point. 7, 10, 13

NDT Normal distributions transform. 3, 7, 11–13

P2D point to distribution. 13

PDF probability distribution function. 11, 13

ROS Robot operating system. 3

SLAM Simultaneous localization and mapping. 3, 4, 10, 13

Attachments