Al Capstone HW2 report

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In this homework, I chose to work on 3 different environments from gymnasium:

- 1. Assault from Atari
- 2. MUJOCO-Walker2D
- 3. MUJOCO-Humanoid

GitHub link: https://github.com/Luluboy168/gymnasium RL

Video link: https://www.youtube.com/playlist?list=PLKX1mYo4U0xmhWVFMrlRrHDUmlXVuIcKy

1. Assault-v5 (https://ale.farama.org/environments/assault/)

This is a simple shooting game. In this game, you have to control the vehicle and try to kill enemy using weapons. You can shoot at front, left and right. However, you need to wait for the weapons to

cool down or you will die from the overheat.

Approach: Deep Q Network(DQN)

1. Environment Setup

The agent is trained on the ALE/Assault-v5 Atari environment. A vectorized setup with 32 parallel environments is used. Observations from each environment are preprocessed to gray scale and resize from (210, 160) to (84, 84). Four consecutive frames are stacked together to form the input state, allowing the agent to capture temporal dynamics.

2. Neural Network Architectures using tensorflow & keras

Two different Q-network architectures are explored: (Results and comparisons in experiment part)

- (1) Standard DQN (Vanilla): A convolutional neural network with three convolutional layers followed by two fully connected layers. The final output layer predicts Q-values for all possible actions.
- (2) Dueling DQN: This architecture separates the estimation of the state-value function and the advantage function. The network splits into two streams after the shared convolutional base: one for computing the scalar value of the state, and one for computing the advantage of each action. The two streams are combined to produce the final Q-values, improving learning efficiency in states where actions have similar effects.

Both networks use the Huber loss and are optimized using the Adam optimizer.

3. Experience Replay Buffer

A replay buffer is implemented using a Python deque to store tuples of (state, action, reward, next_state, done). Transitions are sampled uniformly to break temporal correlations during training. This allows the agent to learn from diverse past experiences and stabilize training.

4. Target Network

To further stabilize training, a target network is maintained as a copy of the primary Q-network. The target network is updated with the weights of the primary network every fixed number of steps (target_update_freq). This decouples the target Q-value computation from the current Q-network's rapidly changing parameters.

5. Action Selection: ε-Greedy Policy

The agent selects actions using an ε -greedy strategy. With probability ε , a random action is chosen to encourage exploration; otherwise, the action with the highest predicted Q-value is selected. The ε value decays gradually over time from 1.0 to a minimum of 0.1, balancing exploration and exploitation. Then I implement a re-exploration strategy, to help the agent learn more about the environment and prevent overfitting. The ε will increase to 1 – (episode / num_episodes)

every 200 episodes

6. Training Process

For each episode, the agent interacts with all vectorized environments simultaneously. Transitions are stored in the replay buffer. Once a minimum replay size is reached, the agent samples minibatches and performs gradient updates on the primary Q-network every 4 (update_every) steps.

The target Q-value is calculated using the Bellman equation:

$$target = r + \gamma \cdot \max_{a'} Q_{target}(s', a')$$

The current Q-value is then trained to minimize the Huber loss between the predicted and target values.

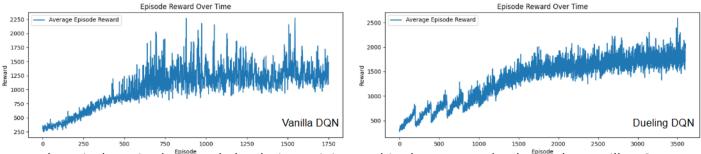
7. Logging and Model Saving

Training metrics such as episode rewards and loss values are recorded. Every 50 episodes, the model is saved to disk, and performance plots (reward vs. episode, loss vs. episode) are generated and saved as images.

Experiment & Results (Gameplay video)

1. Vanilla(standard) DQN v.s. Dueling DQN

In vanilla DQN, Single Q-network that directly estimates Q(s, a) for all actions. In dueling DQN Two separate streams: one estimates the state value V(s), and the other estimates the advantage A(s, a). The final Q-values are computed as: $Q(s, a) = V(s) + (A(s, a) - mean(A(s, \cdot)))$



Above is the episode reward plot during training. In this plot, we can clearly see that vanilla DQN soon became highly unstable, with fluctuating rewards and an early plateau. In contrast, the Dueling DQN demonstrates a more stable and consistent learning curve, with smoother reward progression and higher final performance. (Note that in the right one, I'm also using re-exploration. I increase epsilon every 200 episodes).

2. Wider convolution layer

Because the observation from the environment is image frame, I think wider the convolution layer might increase the agent's performance. So, I modify the model into wider CNN and I also add a Dropout layer in value stream. And the result is indeed better. The one (right) with wider convolution layer can get around 2000 avg scores, while the other one (left) can only get around 1600 avg scores.

```
def build_q_network(input_shape, num_actions):
                                                                 def build_q_network(input_shape, num_actions):
                                                                     inputs = Input(shape=input_shape)
   inputs = Input(shape=input_shape)
                                                                       = Conv2D(64, 8, strides=4, activation='relu')(inputs)
   x = Conv2D(32, 8, strides=4, activation='relu')(inputs)
                                                                       = Conv2D(128, 4, strides=2, activation='relu')(x)
       Conv2D(64, 4, strides=2, activation='relu')(x)
                                                                         Conv2D(128, 3, strides=1, activation='relu')(x)
       Conv2D(64, 3, strides=1, activation='relu')(x)
                                                                         Flatten()(x)
   x = Flatten()(x)
                                                                     # Value stream
                                                                     value = Dense(1024, activation='relu')(x)
   value = Dense(512, activation='relu')(x)
                                                                     value = Dropout(0.1)(value)
   value = Dense(1)(value)
                                                                     value = Dense(1)(value)
   advantage = Dense(512, activation='relu')(x)
                                                                     advantage = Dense(1024, activation='relu')(x)
   advantage = Dense(num_actions)(advantage)
                                                                     advantage = Dropout(0.1)(advantage)
                                                                     advantage = Dense(num_actions)(advantage)
                                                                        Average Episode Reward
                                                                2
1500
1500
 1000
                                                                 1000
                                                                                                                       2500
```

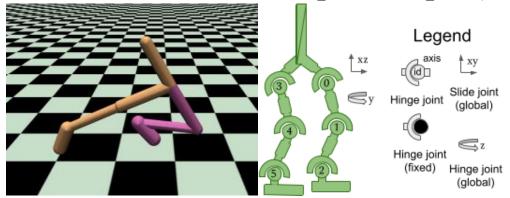
2. Walker2D-v5 (https://gymnasium.farama.org/environments/mujoco/walker2d/)

We're training a virtual robot (Walker2D) to walk stably and efficiently, like a bipedal creature balancing and moving forward. The robot lives inside a simulated environment called Walker2d-v5, and we use reinforcement learning to train an agent the can make the robot move forward.

Approach: Proximal policy optimization(PPO)

1. Environment setup

This agent is trained on Walker2D-v5 in Mujoco environment. A vectorized setup with 32 parallel environments is used. This environment has obs_dim=17 and act_dim=6 (controls over joints).



2. Model

In this approach, I use pytorch to build a Actor-Critic network. The model is placed in "model.py". The ActorCritic model has:

- A shared base: fully connected + ReLu
- Actor head: Outputs the mean of a Gaussian distribution over actions.
- log_std: A learnable parameter representing the log of standard deviation (shared across observations).
- Critic head: Outputs the estimated state value.
- Output: mean of action distribution + standard deviation + critic value estimate
- 3. Main training loop
- (1) Rollout Collection

The agent interacts with environments for 2048 steps. And actions are sampled from a normal distribution defined by the model. Then store observations, actions, reward, dones, logprobs, and value predictions.

(2) Generalized Advantage Estimation(GAE) Calculation

GAE is used to compute the advantage function and returns, which balances bias and variance using gamma (discount factor) and lambda

(3) Data Flattening & Normalization

Move collected rollout data to GPU. Normalize and clip advantages to prevent instability.

(4) PPO update

During training, the PPO algorithm updates the policy over several epochs. For each epoch, the batch is randomly shuffled and divided into smaller mini-batches. Each mini-batch is used to compute the new policy's performance: how different its current behavior is from the one used during data collection, and how good its value predictions are. PPO ensures that policy updates are conservative using a clipping technique—this helps the model improve steadily without making drastic changes that could ruin previously learned behavior. The total loss combines three things: the policy loss (how well the policy is improving), the value loss (how accurate the critic is), and an entropy bonus (which encourages the policy to stay exploratory). After computing the gradients of this total loss, the optimizer updates the network parameters.

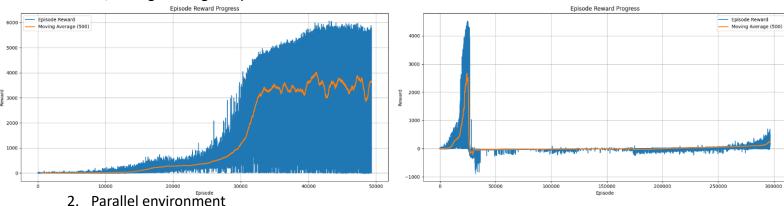
4. Logging and Model Saving

Training metrics such as episode rewards and loss values are recorded. Every 50 episodes, the model is saved to disk, and performance plots (reward vs. episode, loss vs. episode) are generated and saved as images.

Experiment & Results (Gameplay video)

1. Different parameters

In this environment, I try to experiment the effect of different parameters. And I encounter a cool thing during training, I got a gradian explosion after few hundred updates. This might because the environment is much more complex, so the agent might get unstable rewards every episode. To solve this potential problem, I reduce learning rate($3e-4\rightarrow 1e-4$) and clip epsilon($0.2\rightarrow 0.1$). It turns out that the model trains very good. And the agent learns pretty fast. As you can see from the plots below, the right one got exploded.



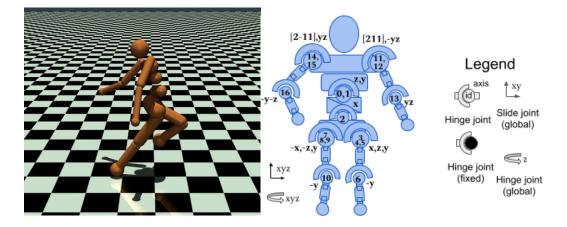
In this experiment, I try using single environment and vectorized environments. Turns out that vectorized environment is faster than using just a single one. Using 32 environments only needs 10

times more time than using single one, but we can get 32 times more episodes per update. And the full training only takes about one and a half hour (with i7-12700 & RTX3080ti) which is pretty amazing short amount of time. Below is the running time of each update, the algorithm takes about 250 updates to converge.

```
Update
                          2.039
                                  Episodes:
                                             3014
                                                    Recent Avg Reward:
Update
                          3.424
                                  Episodes: 5779 |
                                                                         0.690
              Avg Loss:
                                                    Recent Avg Reward:
Update
          3
                          5.863
                                  Episodes: 8105 | Recent Avg Reward: 12.030
                                                                                 Time: 19.58
              Ava Loss:
Jpdate
              Avg Loss:
                          9.753
                                  Episodes: 10054 |
                                                     Recent Avg Reward: 15.649 |
                                                                                   Time: 20.79
Jpdate
                         15.993
                                  Episodes: 11608 |
                                                     Recent Avg Reward: 12.385
                                                                                   Time: 23.37
                                        32 env↑
                                                   ↓single env
                                                119
                                   Episodes:
                                                      Recent Avg Reward:
Update
                           1.572
                                                                            1.198
Update
                                               235
                                                                           -0.752
               Ava Loss:
                           2.135
                                   Episodes:
                                                      Recent Avg Reward:
                                               338
Update
          3
                           3.167
                                   Episodes:
                                                      Recent Avg Reward:
                                                                            4.217
                                               415
Update
          4
                           5.415
                                   Episodes:
                                                      Recent Avg Reward:
                                                                            5.629
                                               483
                                                      Recent Ava
Update
                           7.438
                                   Episodes:
```

3. More complex environment

I have heard that PPO is a very strong reinforcement learning algorithm. So, I wonder that if I use the exact same algorithm with a much more complex environment. Can PPO handle it? In this experiment, I use the same code with environment https://www.humanoid-v5. This environment is much more complex with 384 observation space and 17 action space. In this implementation, I only changed envs = gym.make_vec("Walker2d-v5", num_envs=num_envs, vectorization_mode="sync") to envs = gym.make_vec("Humanoid-v5", num_envs=num_envs, vectorization_mode="sync"). Other parts of algorithm remain the same. The result is not bad actually, the humanoid robot can actually balance itself and move forward, getting a pretty good score from the environment.



Gameplay video: https://www.youtube.com/watch?v=zPHR8DiUPH0

Discussion

1. Learned from this project:

In this project, I gained a solid understanding of various reinforcement learning algorithms, including DQN, Dueling DQN, and PPO. More importantly, I learned how to build a reinforcement learning agent from scratch. This hands-on experience helped me grasp not only the theoretical concepts but also the practical challenges involved in implementation. In fact, it took me over a week just to get the model to start learning properly—reinforcement learning models are indeed much harder to train. This experience reinforced the saying, "Machine learning is more about practice than theory." Through these experiments, I also learned the fundamentals of hyperparameter tuning and developed a deeper intuition for why and how these models work. In addition, I realized how powerful RL is. It can easily deal with new environments and perform really well.

2. Remaining questions:

In Atari environment, I get around 2200 scores at the end of this project. But if we search this game online, we can find that agents trained by others can get a much higher score. This means that though my agent is powerful enough, there is still lots of spaces for improvement. Maybe I can try more different algorithms such as A3C or spend more time tuning my current model. The result of the Atari game can still get better.

In Mujoco sim environment, my managed to get the highest score. However, if we watch that video clip, we can see that its movements are strange. I think there might be ways to solve this problem and make it look more nature. In the future, if I have time, I think I can use custom reward instead of reward given by OpenAI gym environment to see if the agent can be even better.

References

- https://gymnasium.farama.org/
- https://github.com/Harsha1997/DeepLearning-in-Atari-Games
- https://ithelp.ithome.com.tw/articles/10225812
- https://www.youtube.com/playlist?list=PLJV_el3uVTsODxQFgzMzPLa16h6B8kWM
- https://github.com/PawelMlyniec/Walker-2D
- https://hackmd.io/@RL666/rJUDS6K05
- https://medium.com/intro-to-artificial-intelligence/the-actor-critic-reinforcement-learningalgorithm-c8095a655c14
- https://andy6804tw.github.io/2022/04/03/python-video-save/

Appendix

Here's the (training) code screenshots. To view the full code, please check out my GitHub Repo.

1. Assault-v5

```
(cytostates, actions, rewards, next_states, dones):
= if cast(counts, if.float2):
= if cast(counts, if.float2):
count(downs, if.float2):
count(downs, if.float2):
counts, if.float2
counts
count
```

2. Walker2D & Humanoid

```
# Convert buffers to numpy arrays
obs_buffer = mp.array(obs_buffer)
socions_buffer = mp.array(octions_buffer)
logorobs_buffer = mp.array(logorobs_buffer)
np.aray(socions_buffer)
- mp.array(socions_buffer)
- mp.array(socions_buffer)
- mp.array(socions_buffer)
- mp.array(socions_buffer)
- mp.array(socions_buffer)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   ## Compute advantages and returns for each sub-envi
advantages_buffer = np.zeros_like(resands_buffer)
returns_buffer = np.zeros_like(resands_buffer)
for em_ids_in_renge(nm_envs):
adv_net = compute_gue(
resards=resands_buffer[:, em_ids],
value=values_buffer[:, em_ids],
done=sedome_buffor[:, em_ids],
nest_value=inest_values[ew_ids],
gas_value=inest_values[ew_ids],
lamsgae_lambds
# Flatten the trajectory batch, obs = torch, FloatTensor(obs_buffer_rechape(-1, obs_dia))_to(device) batch, obs = torch, FloatTensor(ost_ions_buffer_rechape(-1, act_dia))_to(device) batch (pagends = torch, FloatTensor(lognods_buffer_rechape(-1))_to(device) batch _returns = terch_FloatTensor(returns_buffer_rechape(-1))_to(device) batch_advantages=torch_FloatTensor(odvantages_buffer_rechape(-1))_to(device) = terch_FloatTensor(odvantages_buffer_rechape(-1))_to(device)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     # normalize advantages = (batch_advantages - batch_advantages.mean()) / (batch_advantages.std() + 1e-8) batch_advantages = torch.clamp(batch_advantages, -10, 10)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     for epoch in range(ppo_epochs):
    np.rondom.shuffle(indices)
    for start in range(0, batch_size, mini_batch_size):
    end = start + mini_batch_size
    mb_idx = indices[start:end]
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              minibutch_obs = batch_obs[mb_idx]
minibatch_actions = batch_actions[mb_idx]
minibatch_logprobs = batch_logprobs[mb_idx]
minibatch_neturns = batch_returns[mb_idx]
minibatch_odwantages= batch_advantages[mb_idx]
                                            else:

next_non_terminal = 1.0 - domes[t+1]
next_non_terminal = 1.0 - domes[t+1]
delta = resards[t] + gamma * next_vol.* next_non_terminal - values[t]
lattgelam = delta + gamma * lam * next_non_terminal * lattgaelam
advantages[t] - lattgaelam
urns = downtages[t] - lattgaelam
urns = downtages + values
ur
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                mean, std, values = model(minibatch_obs)
dist = torch.distributions.Normal(mean, std)
new_logprobs = dist.log_prob(minibatch_actions).sum(axis=-1)
entropy = dist.entropy().sum(axis=-1).mean()
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                ratio = torch.exp(new_logprobs - minibatch_logprobs)
surr1 = ratio * minibatch_advantages
surr2 = torch_camp(ratio, 1.0 = clip_epsilon, 1.0 + clip_epsilon) * minibatch_advantages
policy_loss = -torch_sin(curr1, surr2)_mean()
value_loss = (cinibatch_returns - values) ** **2)_mean()
loss = policy_loss * value_loss_coef * value_loss - entropy_coef * entropy
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   ## Print recent_avg_neard # (mp.mean(all.episode_rewards[-10:])

| if len(all_episode_rewards) >= 10 clos
| np.mean(all.episode_rewards) >= 10 clos
| np.mean(all.episode_rewards) if all_episode_rewards else ## (b)

| time_elapsed = time_time() - start_time
| print(f*Update (update=1:40) | Ang Loss: (avg_loss:6.3f) | tpisodes: (episode_count:4d) | Recent Ang Reward: (recent_avg_reward:6.3f) | Time: (time_elapsed:.2f)*)
                                              # Step the midroments.
actions, pp = action.cpu(),mmpy()
next.obs, rewards, terminations, truncations, infos = envs.step(actions.np)
next.obs, rewards, terminations, truncations, infos = envs.step(actions.np)
dones.buffer.append(terminations | truncations)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            pit.plot(rolling, label="Poving Average (*)
pit.xalbel("Episode")
pit.xalbel("Episode")
pit.xitle("Episode Reward Progress")
pit.zegend()
pit.grid(True)
pit.zeight_layout()
pit.swefig("figures/episode_rewards.png")
pit.close()
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         plt.figure(figsize=(10, 5))
updates axis = no arange(1, len(loss.history) + 1)
plt.plot(updates_axis, loss.history, marker='o')
plt.xiabel("Update")
plt.yiabel("Vavarage loss")
plt.yiabel("Vavarage loss")
plt.grid("loss Progress")
plt.grid("loss Progress")
plt.grid("loss Progress")
plt.grid("loss Progress")
plt.grid("loss Progress")
plt.grid("loss Progress")
plt.sprid("loss Progress")
plt.sprid("loss Progress")
plt.close()
plt.close()
```