

Lumeris' COVID-19 Hospitalization Index

We understand that you, your staff, and your community are under stress during this time and we wish to do our part to help you care for your most vulnerable patients. We know that in some communities, PCPs and clinic staff are being **under-utilized or furloughed**; we see opportunities for those teams to support proactive management to keep people as healthy as possible and at home. This proactive engagement can help reduce constraints on valuable hospital beds and essential medical equipment such as ventilators.

Lumeris' COVID-19 response solution (summarized [here](#)) includes our COVID-19 predictive analytics program, and here we provide links to our code repository, and offer the actual COVID-19 predictive model for free within your own EHR. We created and published a simple predictive model that identifies patients at high risk of hospitalization due to existing conditions, who are also at risk for severe disease and death due to coronavirus infection. It can be deployed easily within the EHR and used by staff or be used as the basis for automated outreach campaigns or messaging via a patient portal (for example, MyChart).

This proactive outreach can free up hospital bed capacity: For example, given an organization whose proactive outreach to the predicted highest risk tier (top 1% highest risk) can reduce hospitalizations by 25%, **every 100 people proactively engaged will save 42 bed days/month**.

The model accuracy isn't perfect, but it's better than what we've found published elsewhere. Using the same assumptions as above, we expanded upon a model built from data published March 11 in [The Lancet](#) that, if deployed as-is, would save 24 bed days/month. Finally, applying the same outreach assumptions but simply guessing would most likely only save about 3 bed days/month.

Of course proactive outreach driven by our predictive model won't solve the entirety of the COVID-19 crisis, but we are hopeful that there is benefit to freeing up some beds.

Instructions for implementation within your EHR

This algorithm was designed to be easily input into your EHR and surfaced in a number of patient registries and worklists you use to prioritize outreach according to each person's composite risk stratification score. There is a 2-step process to implement this risk algorithm in your EHR, where you create a registry using the table below whereby each person gets points depending on his/her:

- 1) Age category, and
- 2) Conditions present in the past 12 months.

We found that people who have about 400 points or more correspond to the Top 1% highest risk group; people who have 200 or more points correspond to the Top 5% highest risk group. **We recommend starting outreach to everyone with 400 points or higher.** When ready, then proceed to the next tier contacting people with 400 points, and so on, down to people with 200 points.

Table below is the model for the "COVID-19 Hospitalization Index". The table contains the age categories and conditions, and the points to apply to each person, to create the composite risk stratification score. In case it helps, for each condition we also included the HCUP category. This table is also downloadable in Excel [here](#).

| Item | Components | Points |
|------|---|--------|
| 1 | Under 20 years old | -76 |
| 2 | Age 20 to 64 years old | 27 |
| 3 | Age 65 and older | 46 |
| 4 | 1 or more ED visits in prior year | 8 |
| 5 | 1 or more IP hospitalization in prior year | 45 |
| 6 | Abnormal findings without diagnosis in prior year (HCUP CCSR Category: SYM017) | 6 |
| 7 | Aplastic anemia in prior year (HCUP CCSR Category: BLD003) | 1 |
| 8 | Chronic obstructive pulmonary disease and bronchiectasis in prior year (HCUP CCSR Category: RSP008) | 157 |
| 9 | Coronary atherosclerosis and other heart disease in prior year (HCUP CCSR Category: CIR011) | 41 |
| 10 | Diabetes mellitus with complication in prior year (HCUP CCSR Category: END003) | 21 |
| 11 | Diabetes mellitus without complication in prior year (HCUP CCSR Category: END002) | 42 |
| 12 | Essential hypertension in prior year (HCUP CCSR Category: CIR007) | 51 |
| 13 | Fluid and electrolyte disorders in prior year (HCUP CCSR Category: END011) | 46 |
| 14 | Heart failure in prior year (HCUP CCSR Category: CIR019) | 39 |
| 15 | Implant, device or graft related encounter in prior year (HCUP CCSR Category: FAC009) | 32 |
| 16 | Osteoarthritis in prior year (HCUP CCSR Category: MUS006) | 17 |
| 17 | Respiratory failure; insufficiency; arrest in prior year (HCUP CCSR Category: RSP012) | 4 |
| 18 | Secondary malignancies in prior year (HCUP CCSR Category: NEO070) | 37 |

When complete it should look something like the table below with points for each patient. And as an example, it should look like the inset for illustrative patient Paul Molive who is 51 yo, and who in the last 12 months had 3 hospitalizations, and the medical conditions listed below (across a combination of inpatient and outpatient/ambulatory services):

| Patient Name | Date of Birth | COVID-19 Risk of Hospitalization |
|------------------|---------------|----------------------------------|
| Mario Speedwagon | 7/7/1948 | 507 |
| Petey Cruiser | 6/6/1979 | 42 |
| Paul Molive | 2/8/1969 | 407 |
| Anna Sthesia | 5/1/1973 | 293 |
| Anna Mull | 5/11/1967 | 368 |
| Gail Forcewind | 1/22/1997 | 117 |

407

Lumeris' COVID-19 Risk of Hospitalization
This risk score calculates a patient's risk for unplanned hospitalization due to COVID-19.

| Points | Metrics |
|--------|---|
| 27 | Age: 51 |
| 45 | 1 or More IP Hospitalization in Prior Year |
| 157 | COPD and Bronchiectasis in Prior Year |
| 42 | Diabetes Mellitus without Complications in Prior Year |
| 46 | Fluid and Electrolyte Disorders in Prior Year |
| 51 | Essential Hypertension in Prior Year |
| 39 | Heart Failure in Prior Year |

Paul Molive has a score of 407 points, and since this person has ≥ 400 points, this person is in Top 1% of hospitalization and might benefit from proactive outreach.

Suggestions for outreach

There are several approaches one can take for outreach such as by phone, text, or the patient portal. We have outlined an approach here and we encourage others to share their suggestions on our community wiki [here](#) or by emailing info@lumeris.com.

Example: Phone outreach

If the outreach is by phone, a member of your clinical or social work staff (see table below with options) can simply start the call conversationally, and after introducing her/himself ask,

- 1) How are you feeling today?
- 2) Is there anything we can do to help?
- 3) How many days of medication do you have left before you need a refill?
- 4) Are you worried about running out of food?

Through the course of the conversation, they'll want to:

- Determine the current status of existing chronic conditions and address any new problems, and the appropriate level of care associated with those symptoms.
- Learn if the patient has adequate medication quantities and supplies.
- Discuss any barriers that may prevent the patient from maintaining appropriate social/physical distancing, for example, getting groceries.
- Provide emotional or social support.
- Educate the patient on how and when to call the clinic for any problems.

If the outreach is by phone, we recommend scheduling time for a follow-up call. We recommend calls weekly for the next few weeks to see if there is a change in status.

Variations in the above approach can be used if outreach is via other modes such as text messages, letters, telehealth, or the EHR patient portal, or some combination.

Table below illustrates decentralized and centralized health system resources that can be utilized for outreach.

| | Decentralized | | | Centralized |
|------------------------|---------------|--------------------|-----------------------------|------------------|
| | System Level | CIN-Level | Independent Physician Level | Systemwide Level |
| Resources for Outreach | Care managers | Care managers | Varies by practice | Clinical staff |
| | Case managers | Case managers | | Social workers |
| | Call teams | Call teams | | |
| | | Medical assistants | | |

Additional information about the COVID-19 Hospitalization Index analytics and usage is available in this [Read Me file](#) and [FAQs](#). Please feel free to contact us at info@lumeris.com if you have any questions or suggestions or if you want to be added to the list for when we publish updates.

Note: The COVID-19 Hospitalization Index and predictive analytics program and the information described herein is not intended or implied to be a substitute for professional medical advice, diagnosis or treatment.