

GSCI1801A

# Information Science

## Lecture 3: Algorithms (Part 2) and Problem Solving

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# Agenda

1. Flowcharts & Flow Control
2. Data & Data Types
3. Algorithms
  - Time Complexity of Algorithms (Cont.)
  - Types of Algorithms
  - Examples of Algorithms
4. Problem Solving

# Where are we in the CS curriculum?

Year 1	Year 2	Year 3	Year 4
Programming	Architecture	Operating System	Security
GS classes	Algorithms	Data Communication	Ethics
General Data Science Lectures	Data Types	Networks	Advanced Networks

# Time Complexity Analysis

Importance: An algorithm can be implemented quickly or slowly!

Model example:  
Prime Number Determination (IsPrime)

“Is this number a prime number?”

# IsPrime

- A prime number is a positive integer ( $n > 1$ ) where only 1 and itself ( $n$ ) can divide it without remainders.

$$\forall i \in \mathbb{N} \cap (1, n); n \bmod i \neq 0$$

- Is 91 a prime number? No.
- Is 311 a prime number? Hmm.

Example of mod (modulo):

$$10 / 3 = 3 \text{ R } 1$$

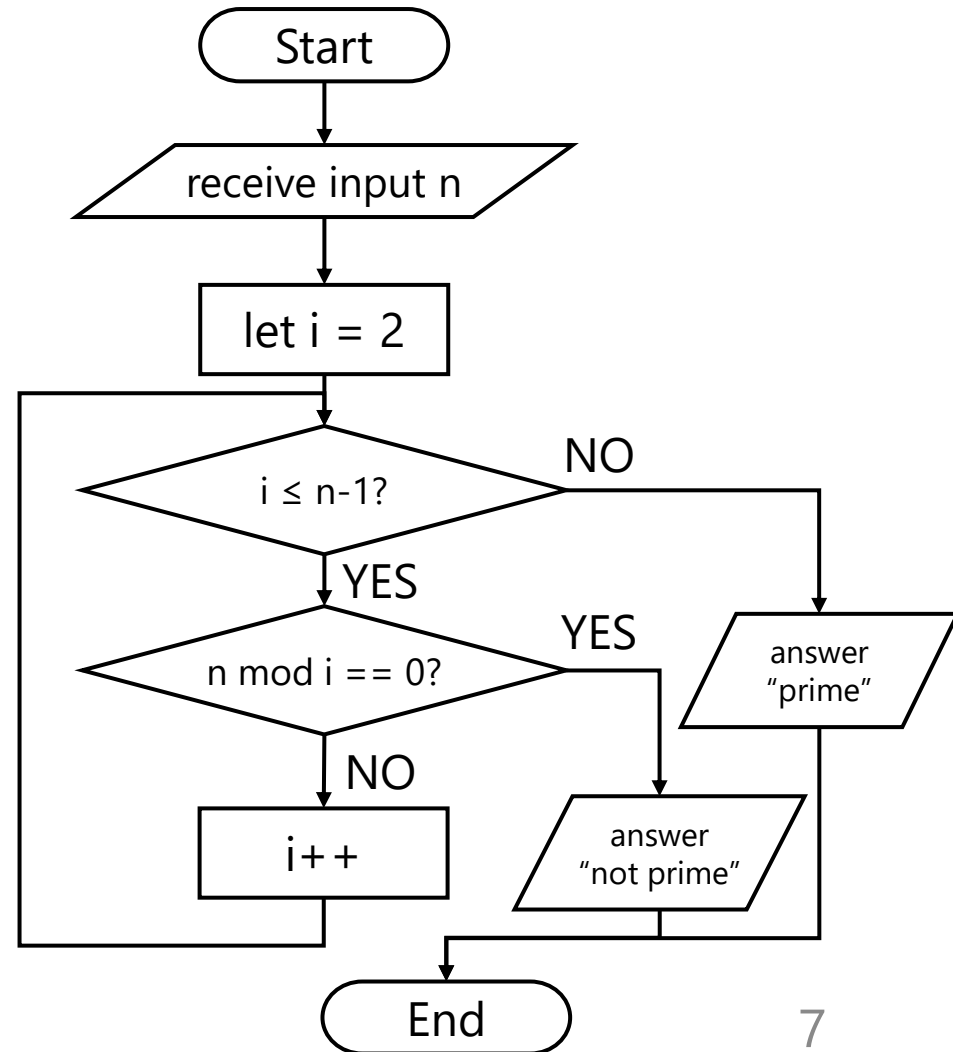
$$\therefore 10 \bmod 3 = 1$$

$$20 / 4 = 5 \text{ R } 0$$

$$\therefore 20 \bmod 4 = 0$$

# IsPrime method 1: Naïve Brute-Forcing Every Number

- Test every divisor from 2 to  $n$ .
  - $311 \bmod 2 = ?$
  - $311 \bmod 3 = ?$
  - $311 \bmod 4 = ?$
  - ...
  - $311 \bmod 310 = ?$
- You must perform at least  **$n-2$**  calculations.
- This means the time complexity of this method is  **$O(n)$** .



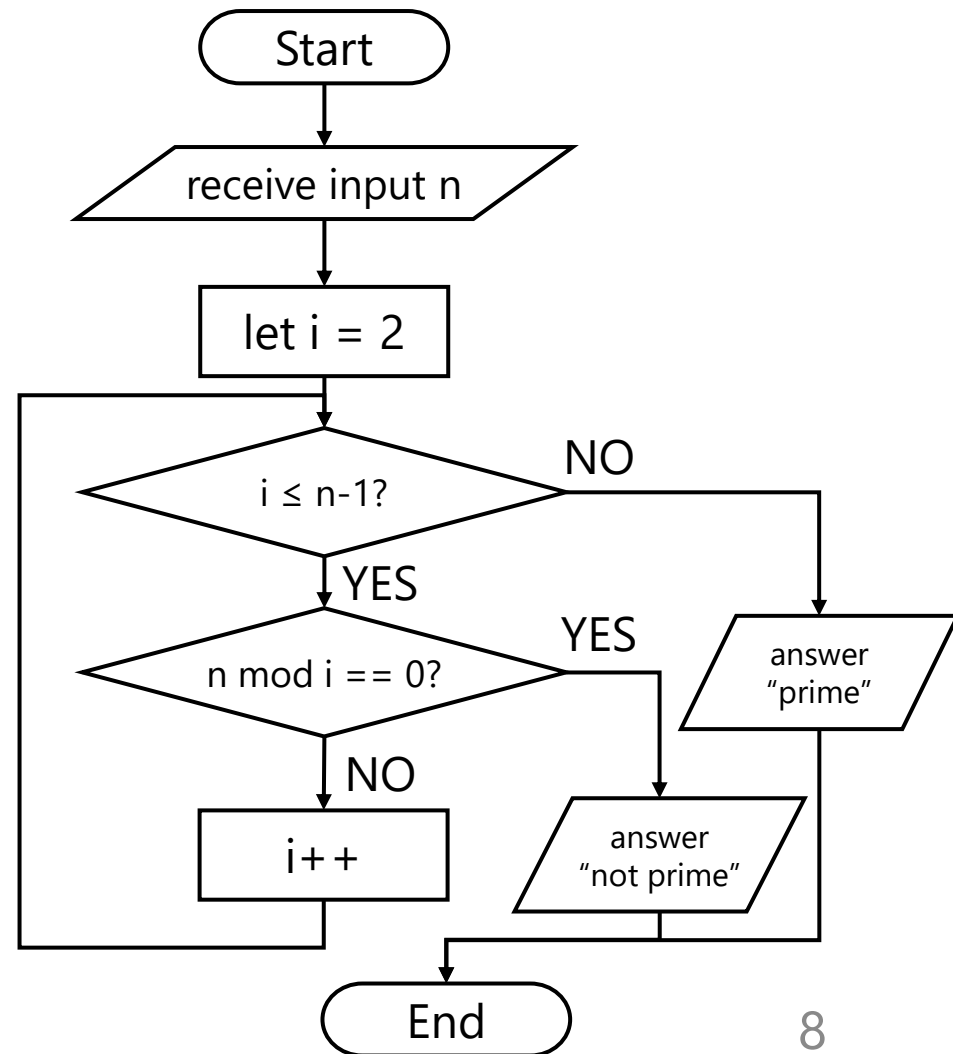
# IsPrime method 1: Naïve Brute-Forcing Every Number

*Flowcharts are getting a little unwieldy at this point, so I'll start writing algorithm in text instead.*

**Input:** integer  $n$  where  $n > 1$

1. for  $i \leftarrow 2 \dots (n-1)$ :
2.     if  $n \bmod i = 0$ :
3.         answer "not prime"
4.     end if
5.      $i++$
6. end for
7. answer "prime"

*This algorithm in text is equivalent to the flowchart on the right.*





# IsPrime method 1: Naïve Brute-Forcing Every Number

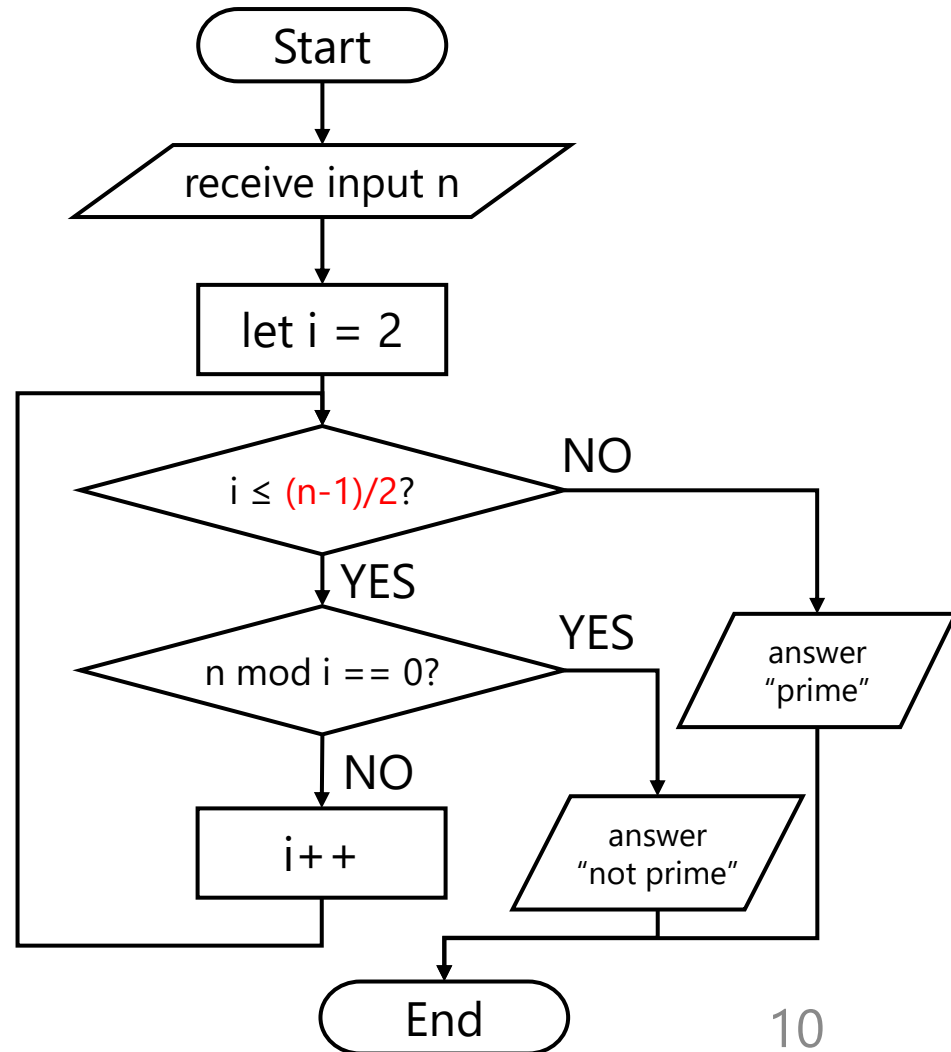
- This method is hideously slow because you're doing repeated meaningless calculations.
- For example, trying to calculate  $311 \bmod 200$  is worthless. You probably shouldn't need to try divisors larger than  $105 \left(\left\lfloor \frac{311}{2} \right\rfloor\right)$ , right?

# IsPrime method 1.2:

## Naïve Brute-Forcing ~~Every~~ <sup>half of the range</sup> Number

**Input:** integer  $n$  where  $n > 1$

1. for  $i \leftarrow 2 \dots ((n-1)/2)$ :
2.     if  $n \bmod i = 0$ :
3.         answer "not prime"
4.     end if
5.      $i++$
6. end for
7. answer "prime"



# IsPrime method 1.2:

## Naïve Brute-Forcing ~~Every~~ Number

half of the range

**Input:** integer  $n$  where  $n > 1$

```
1.  for i ← 2...((n-1)/2):
2.      if n mod i = 0:
3.          answer "not prime"
4.      end if
5.      i++
6.  end for
7.  answer "prime"
```

- You still must perform about  $(n-1)/2$  operations.
- Time complexity remains  **$O(n)$** .

# IsPrime method 2: Brute-Force from 2 to $\text{sqrt}(n)$

**Input:** integer  $n$  where  $n > 1$

```
1.  for i ← 2...sqrt(n):
2.      if n mod i = 0:
3.          answer “not prime”
4.      end if
5.      i++
6.  end for
7.  answer “prime”
```

- What about this idea: Since numbers like 36 factorize into  $6 \times 6$  for example, why don't we run from 2 to  $\text{sqrt}(n)$ ?
- Time complexity now becomes  $O(\text{sqrt}(n))$  or  $O(\sqrt{n})$ . This is quite a progress!

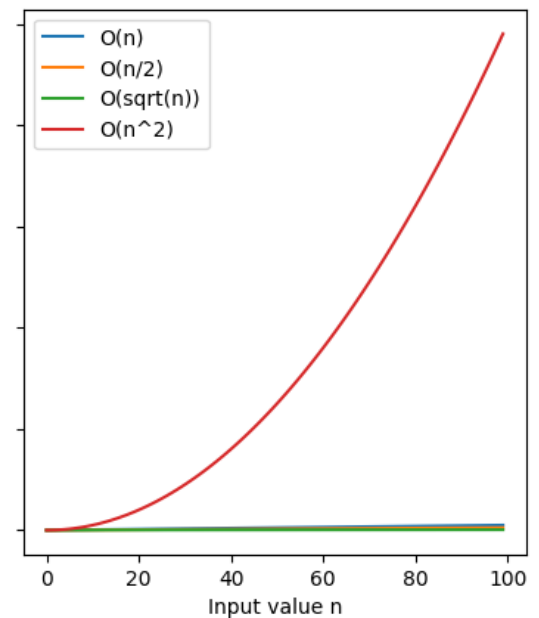
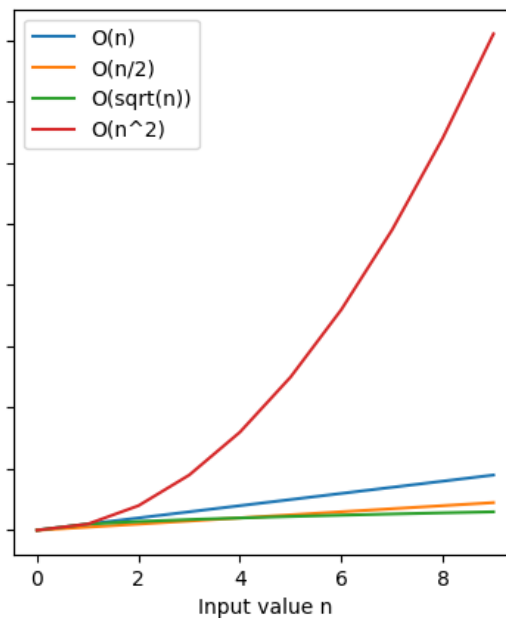
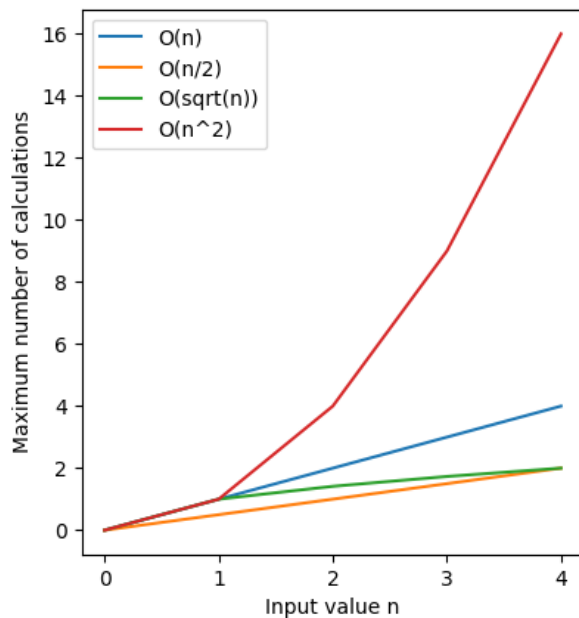
Why is  $O(\sqrt{n}) < O(n/2) = O(n) < O(n^2)$

Knowing a bit about  
number factors!

Linear Brute-Force

Remember TableCopy  
from last week?

Comparison of Time Complexity Levels



# Conclusion

- We have learned about the nature of some simple time complexity levels.
- We will continue to use time complexity levels in our lectures.
- Efficient algorithm design helps improve performance and conserve computation power.

# Types and Examples of Algorithms

# Notes

- This is not meant to be an exhaustive list of all possible algorithms.
- I'll list only algorithms that have nice and useful philosophy which can be adapted for near-future problem solving, in this class or in your life.
- You are not limited to using these types of algorithms to solve real-world problems.



# Recursion Algorithms

- Recursion in algorithms is the definition of problem in a way that refers to the smaller version of the problem.

# Why is recursion important?

- Many real-world problems can be solved by solving a smaller version of the problem, then doing a little extra work.
- Many real-world phenomena exhibit some degree of recursion.

# Recursion: Factorial

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n - 1)! & \text{otherwise} \end{cases}$$

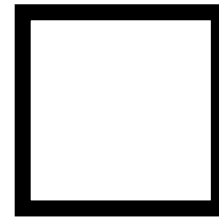
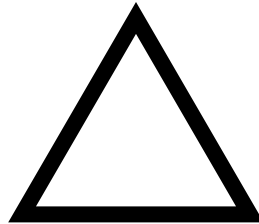
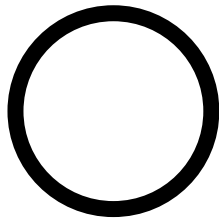
# Recursion: Factorial

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot (n - 1)! & \text{otherwise} \end{cases}$$

Example:

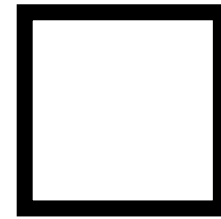
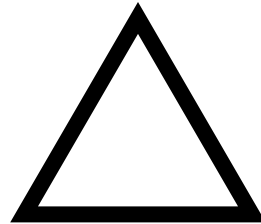
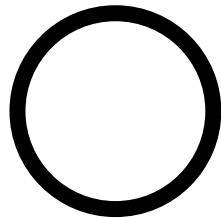
$$\begin{aligned} 3! &= 3 \cdot (3 - 1)! \\ &= 3 \cdot 2! \\ &= 3 \cdot 2 \cdot (2 - 1)! \\ &= 3 \cdot 2 \cdot 1! \\ &= 3 \cdot 2 \cdot 1 \cdot (1 - 1)! \\ &= 3 \cdot 2 \cdot 1 \cdot 0! \\ &= 3 \cdot 2 \cdot 1 \cdot 1 \\ &= 6 \end{aligned}$$

# Real-world examples of factorials



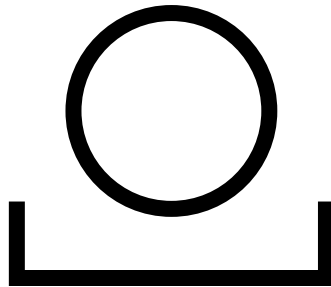
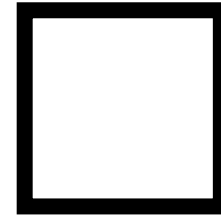
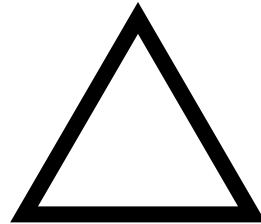
How many ways can you arrange these three shapes?

# Real-world examples of factorials



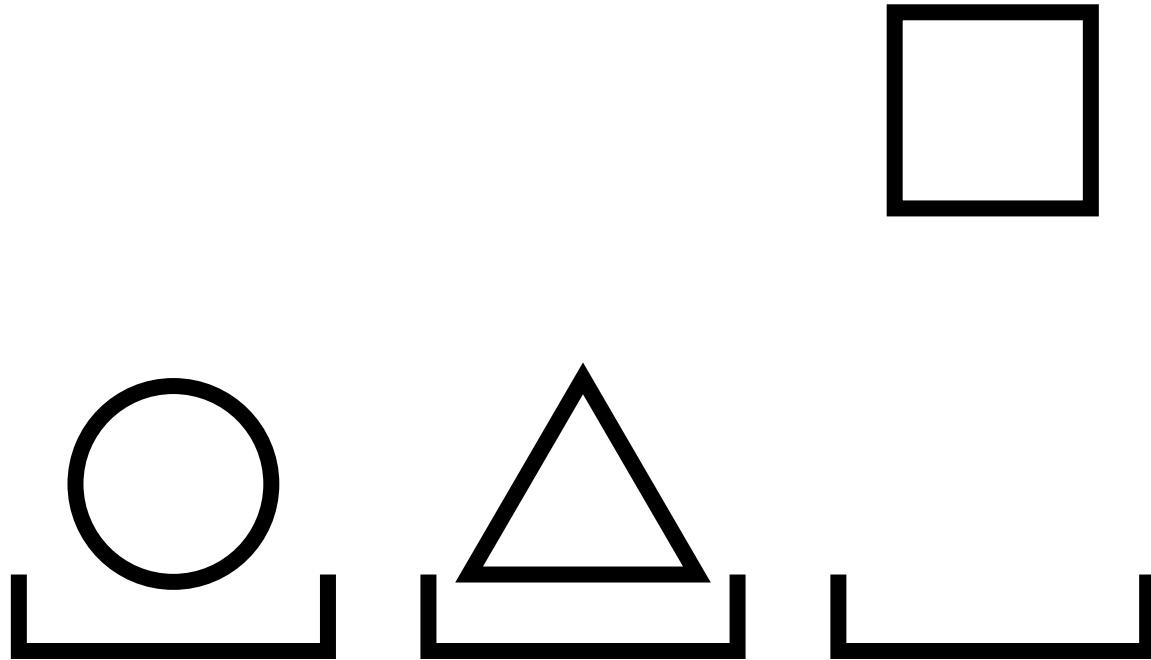
3 possible shapes

# Real-world examples of factorials



3 possible shapes 2 possible shapes

# Real-world examples of factorials



3 possible shapes   2 possible shapes   1 possible shape

$$3 \times 2 \times 1 = 6$$



Expand this to “n” boxes:



$$n \times (n-1) \times (n-2) \times \dots \times 1$$

$$= n!$$

This is called **permutation**.

Number of possible permutations when we have n boxes to contain n different things is n!  
(There are other, more specific cases, but you will learn that in discrete mathematics.)

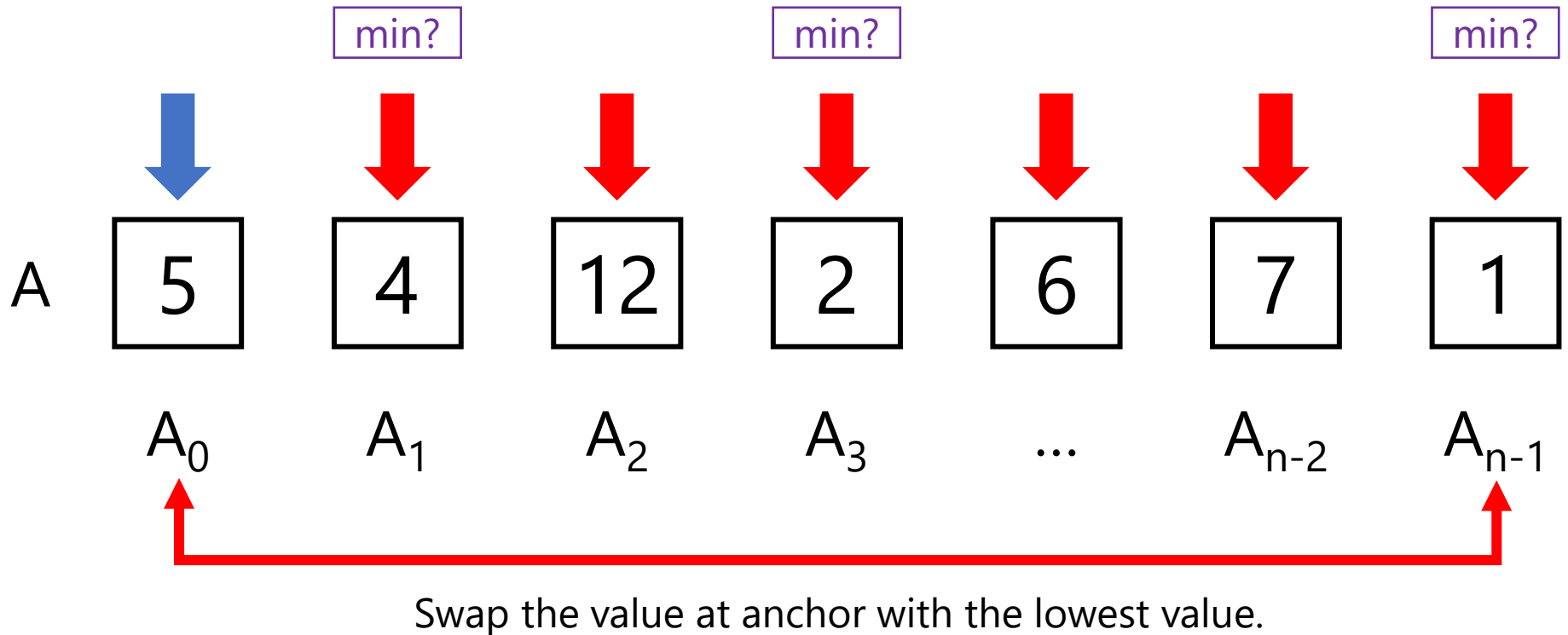
# Recursion: Sorting

- Recursion is also very useful for sorting.
- How do you normally sort numbers?
- **TASK:** Sort the following number from smallest to largest.

5	4	12	2	6	7	1
---	---	----	---	---	---	---

# Sorting: Selection Sort:

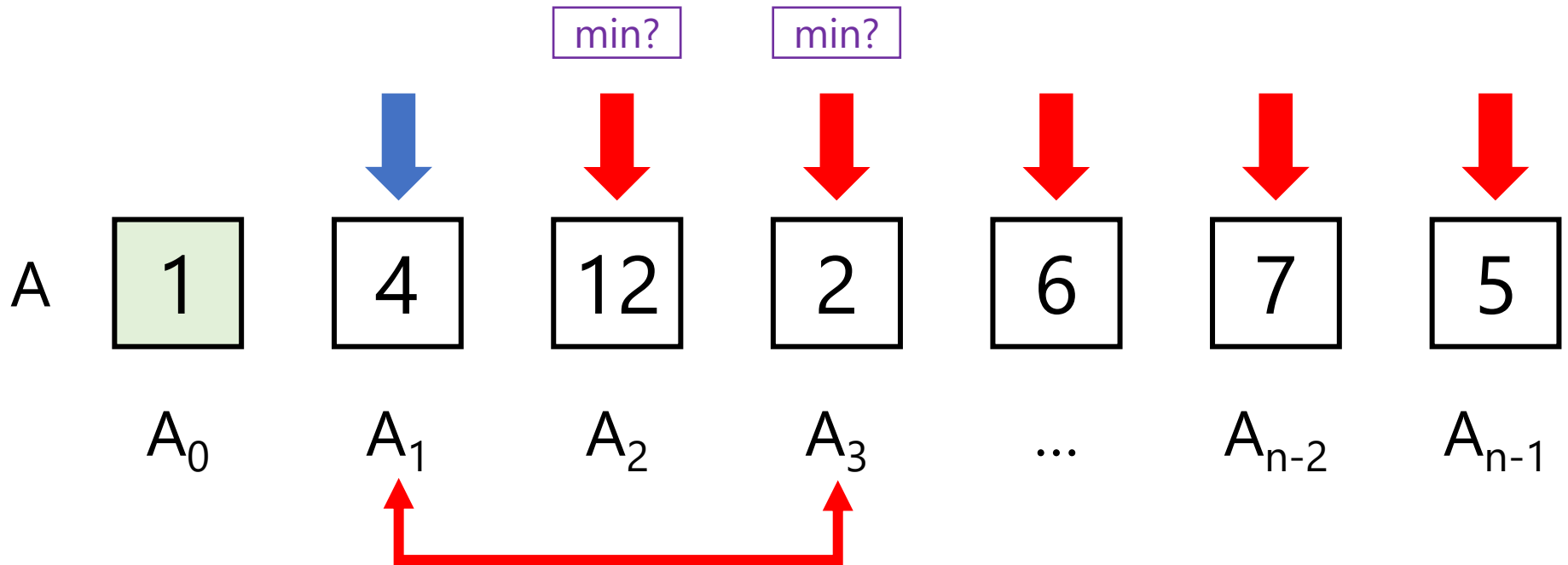
Let  $A$  be the data array.



Remember: Computers are not very smart!

# Sorting: Selection Sort :

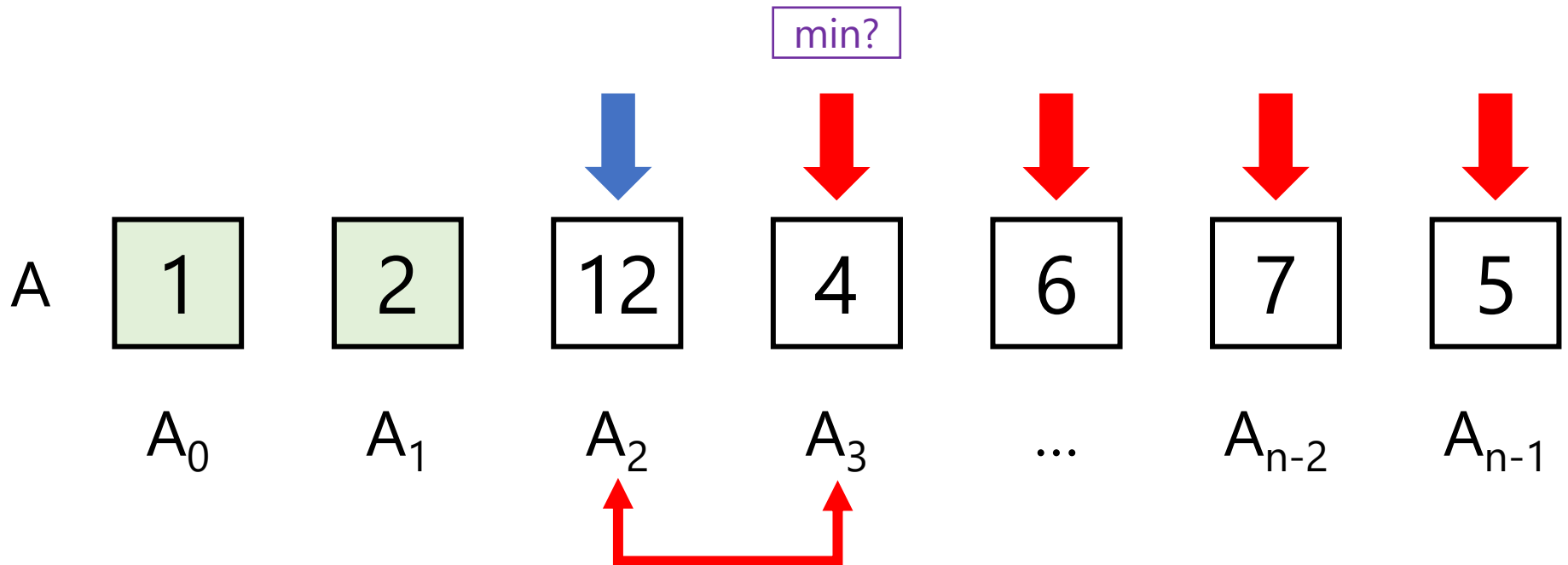
Let  $A$  be the data array.



Remember: Computers are not very smart!

# Sorting: Selection Sort :

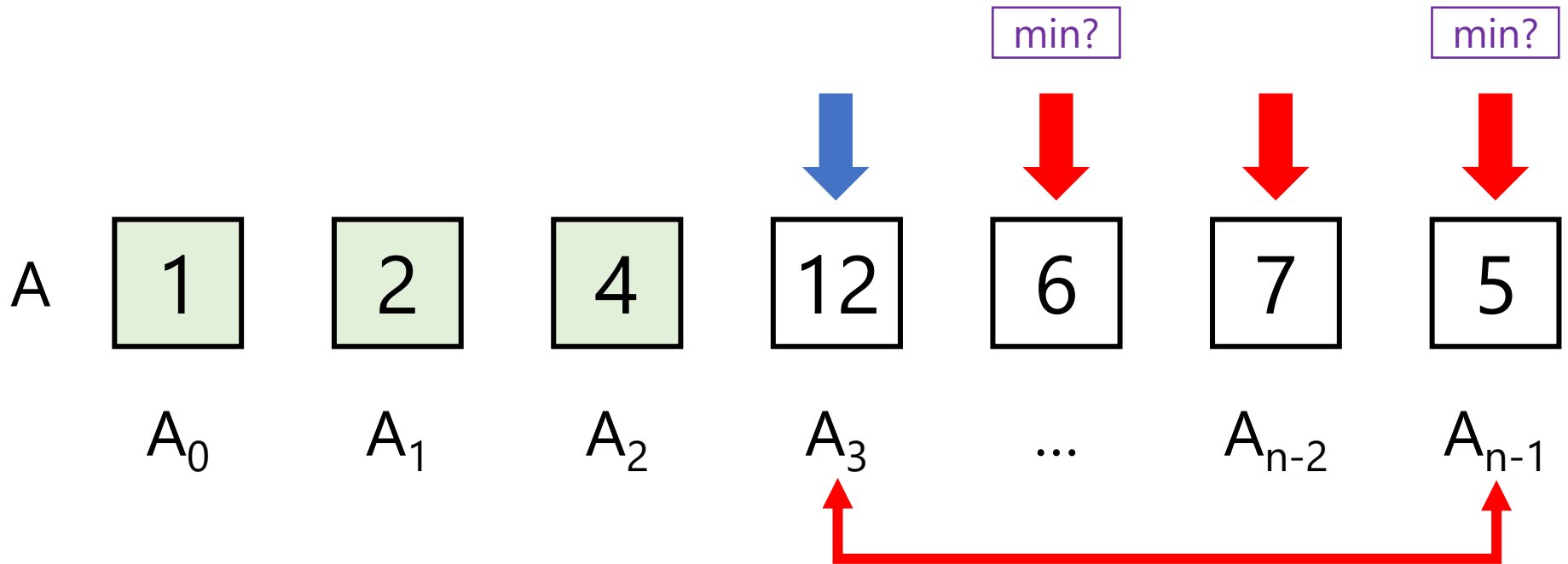
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# Sorting: Selection Sort :

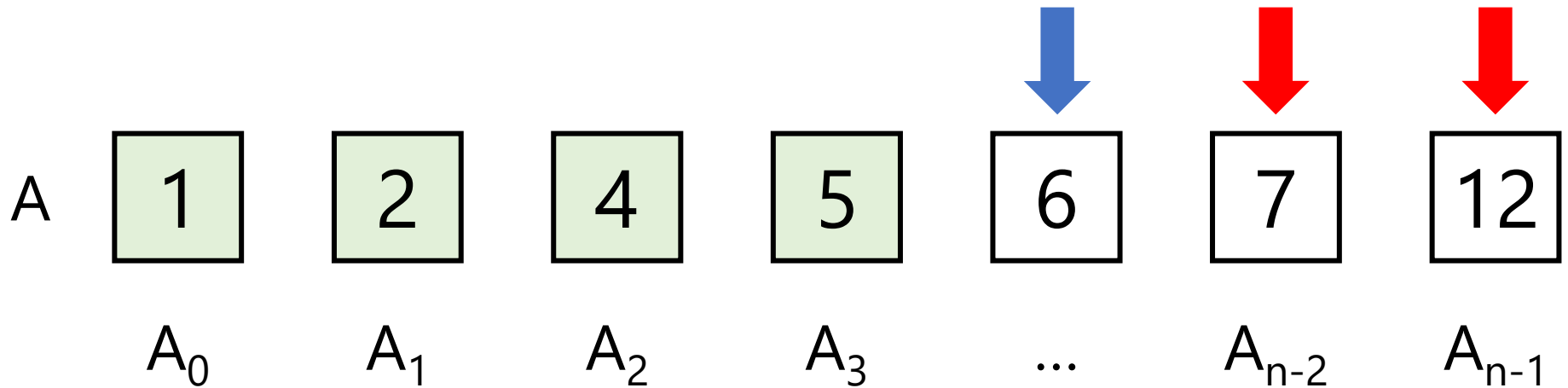
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# Sorting: Selection Sort :

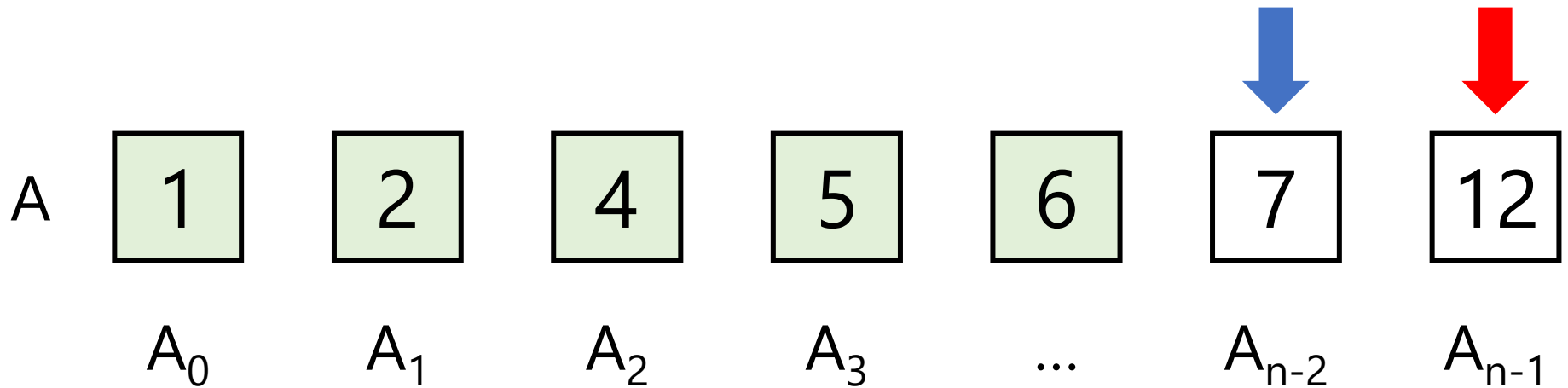
Let  $A$  be the data array.



Remember: Computers are not very smart!

# Sorting: Selection Sort :

Let  $A$  be the data array.

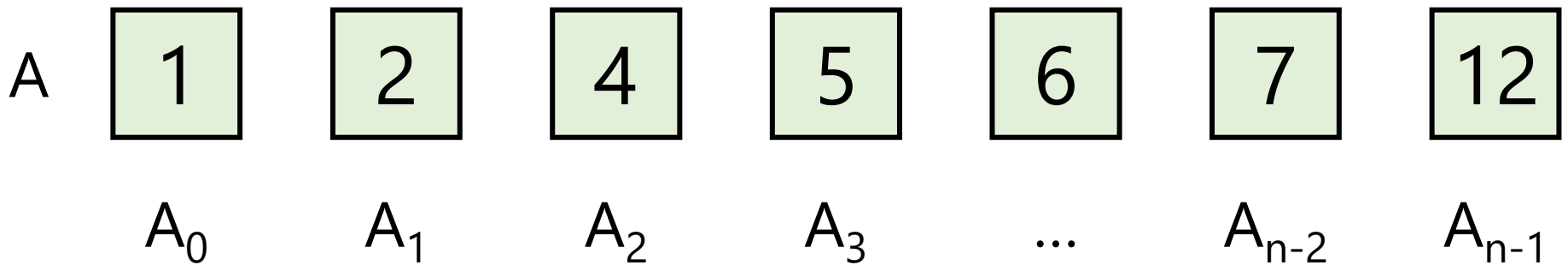


Remember: Computers are not very smart!



# Sorting: Selection Sort :

Let  $A$  be the data array.



Remember: Computers are not very smart!

# Sorting: Selection Sort :

- The algorithm is very simple, yes, but very cumbersome.
- For every position  $i$  (an  $O(n)$  task), we have to scan the whole range (except what we've already done.)  
Scanning the whole range is an  $O(n)$  job.
- An  $O(n)$  job stacked in another  $O(n)$  job results in  $O(n^2)$  total time complexity.

**Input** array  $A$  containing  $n$  numeric values

Outer loop (select anchor and swap)

```
1. for i ← 0 ... (n-2):
2.   let MIN ← ∞, MININDEX ← 0
3.   for j ← i+1 ... (n-1):
4.     if  $A_i < \text{MIN}$ :
5.       MIN ←  $A_i$ 
6.       MININDEX ← I
7.   swap  $A_i, A_j$ 
8. return A (as answer)
```

Inner loop  
(scan for min value)

Don't worry if you don't get it right now. When you get home, grab some playing cards and mimic what we've done.

# Sorting: Quicksort: What is

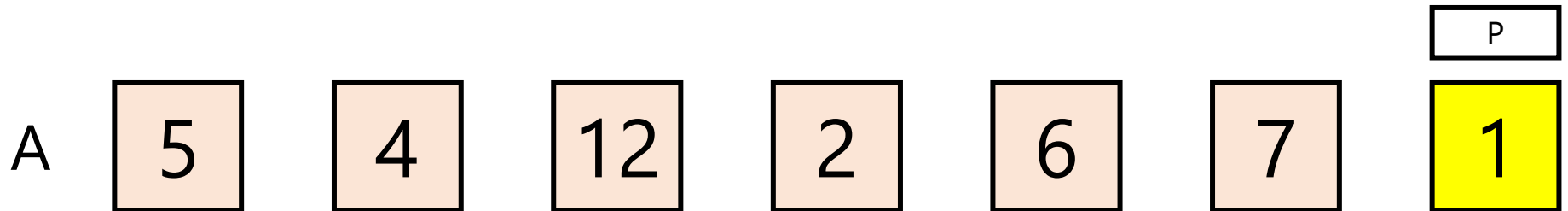
- Quicksort is a recursive algorithm. It is notably much faster, and algorithmically simpler than selection sort.

# Sorting: Quicksort: How to

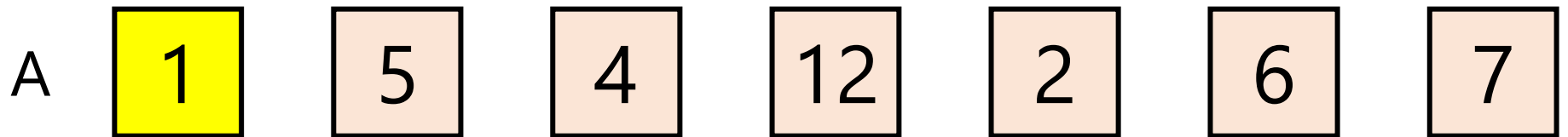
- To quicksort:
  - If the range to sort has 1 value, do nothing.
  - If the range to sort has 2 values, swap them if needed.
  - Otherwise:
    - Select the last element as the **pivot**. ※
    - Partition the array into left side (values less than pivot) and right side (values greater than pivot).
    - Move pivot in between the left and right sides.
    - **Quicksort** the left side of the **pivot**.
    - **Quicksort** the right side of the **pivot**.

# Sorting: Quicksort Example (1)

Select Pivot



Arrange the array.

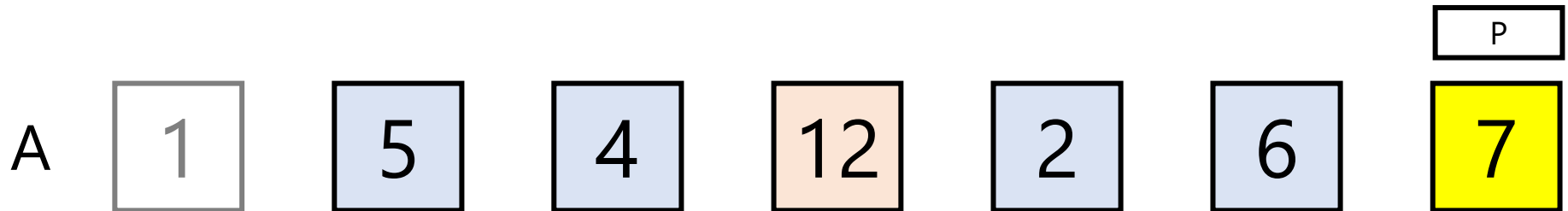


Sort the left side: there is no left side.

Sort the right side: there are 6 values, so we must go deeper.

# Sorting: Quicksort Example (2)

Select Pivot



Arrange the array.

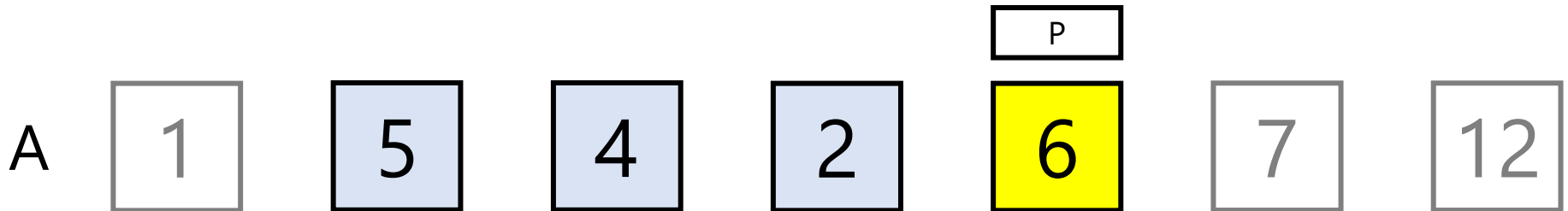


Sort the left side: there are 4 elements.

Sort the right side: right side has 1 element → do nothing

# Sorting: Quicksort Example (3)

Select Pivot



Arrange the array.

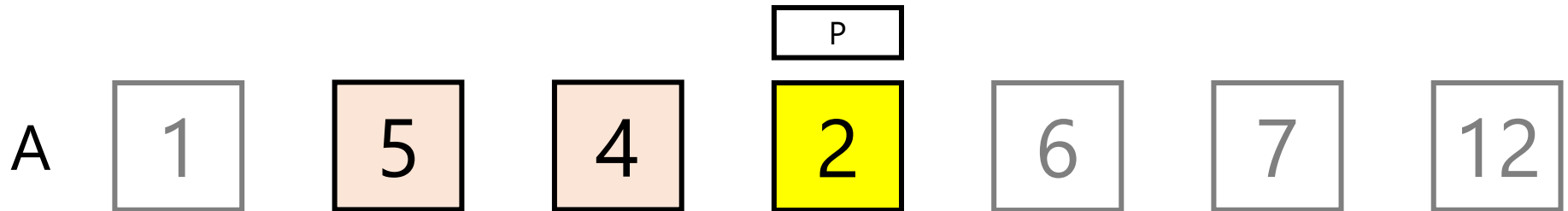


Sort the left side: there are 3 elements.

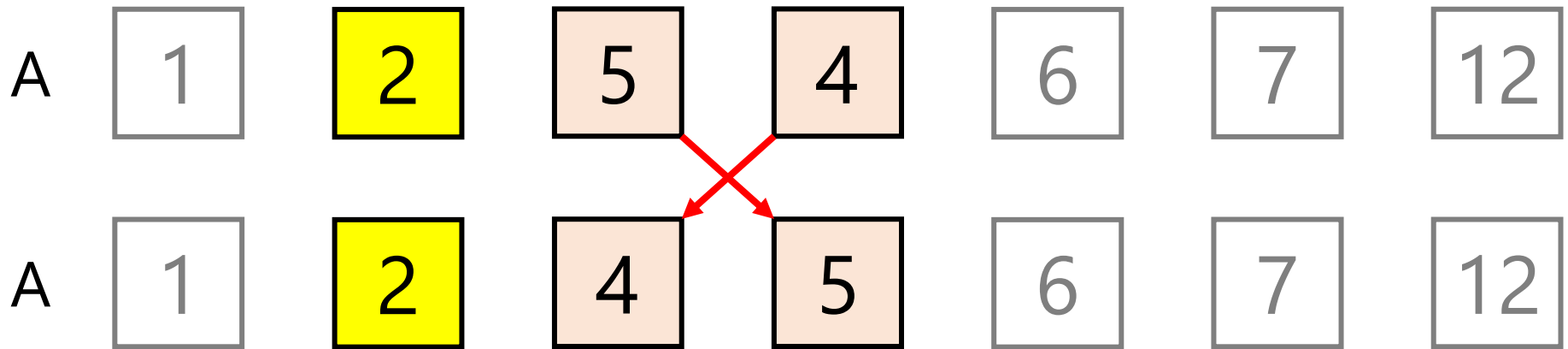
Sort the right side: right side has 0 elements → do nothing.

# Sorting: Quicksort Example (4)

Select Pivot



Arrange the array.



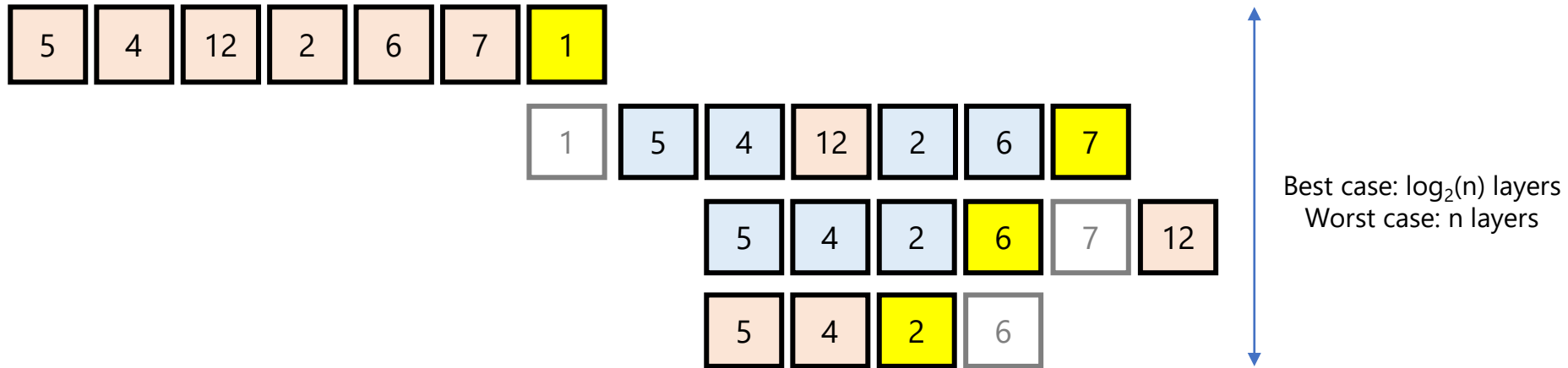
Sort the left side: there are 0 elements → do nothing.

Sort the right side: right side has 2 elements → swap if needed. 40



# Time Complexity of Quicksort

- Quicksort really depends on luck and pivot selection choice. However, no matter what happens, it at least ensures that almost all value “visits” are meaningful.

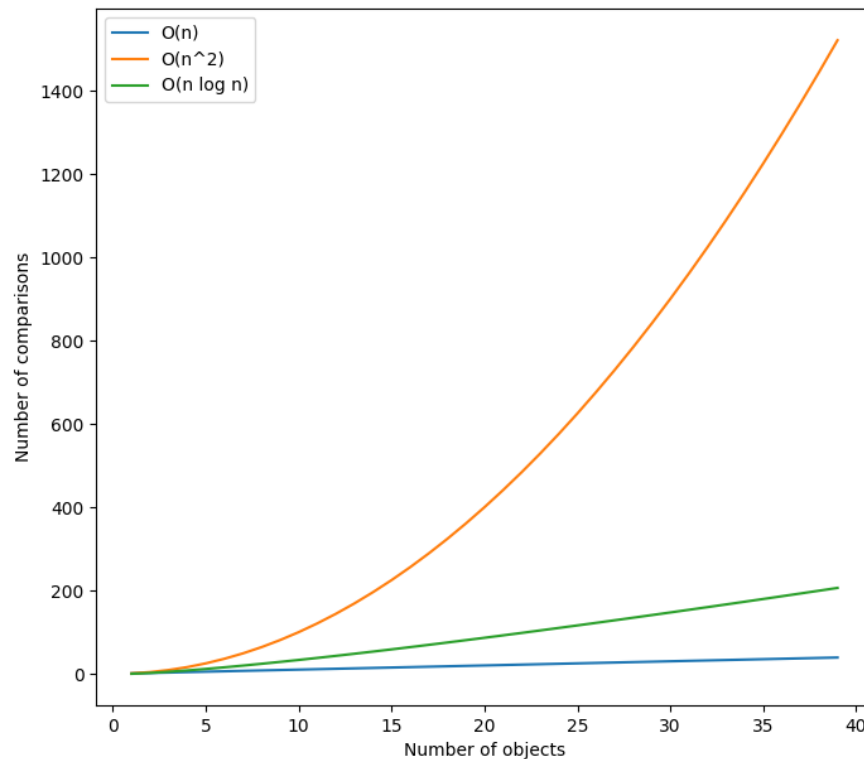


In each layer, all values are visited once  $\rightarrow O(n)$

Total time complexity for quicksort is  $O(n \log n)$  best case, or  $O(n^2)$  worst case.

# Time Complexity of Quicksort

Comparison of Time Complexity Levels



Average case for selection sort  
Worst case for quicksort

Average case for quicksort

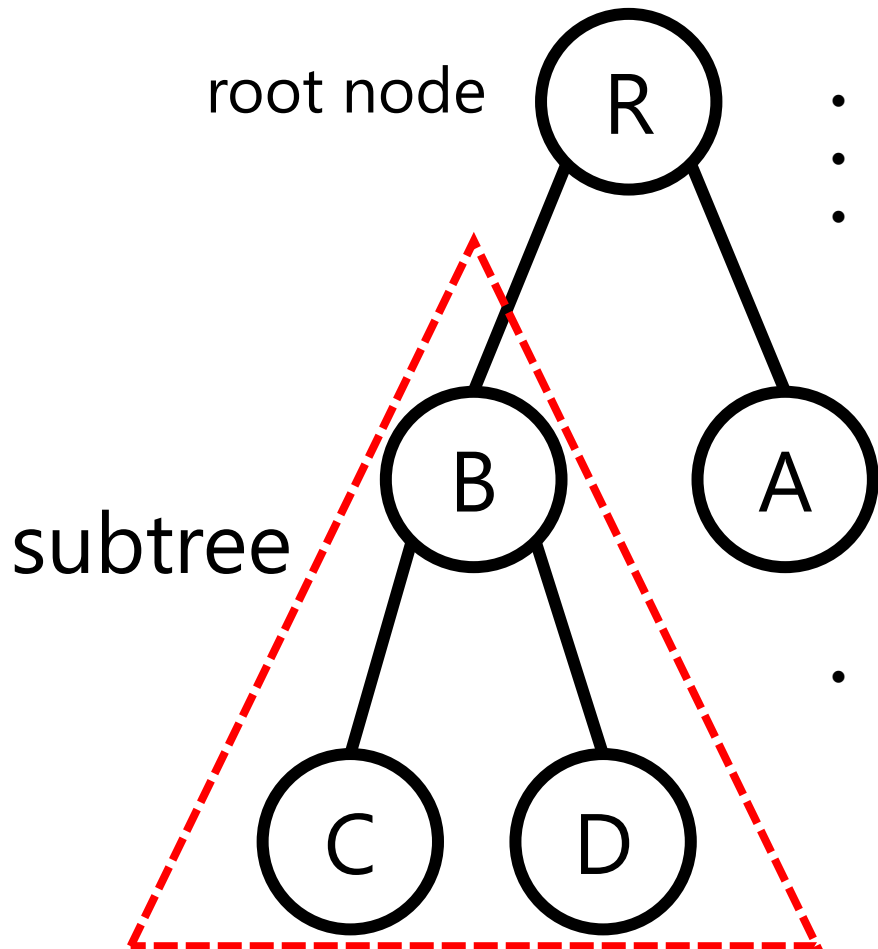
# Advantages of Quicksort

- Very easy to write and implement compared to other  $O(n \log n)$  algorithms. (This is why I chose to present Quicksort today.)
- Smaller memory footprint compared to Merge Sort (you might want to look into this if you want to do report on algorithms – hint hint!).
- Almost always faster than Selection Sort (naïve sort).

# Limitations of Quicksort

- Quicksort can slow down if pivot selection is suboptimal (bad choice of pivot, or just being unlucky). *This is still usually faster than Selection Sort.*
- Recursions causes your operating system to keep track of individual function calls using the stack memory.
- This is not a problem in most modern computers, but Quicksort may run into memory trouble in microprocessor programming.
- You will learn about this further if you take a course in operating systems or system software programming.

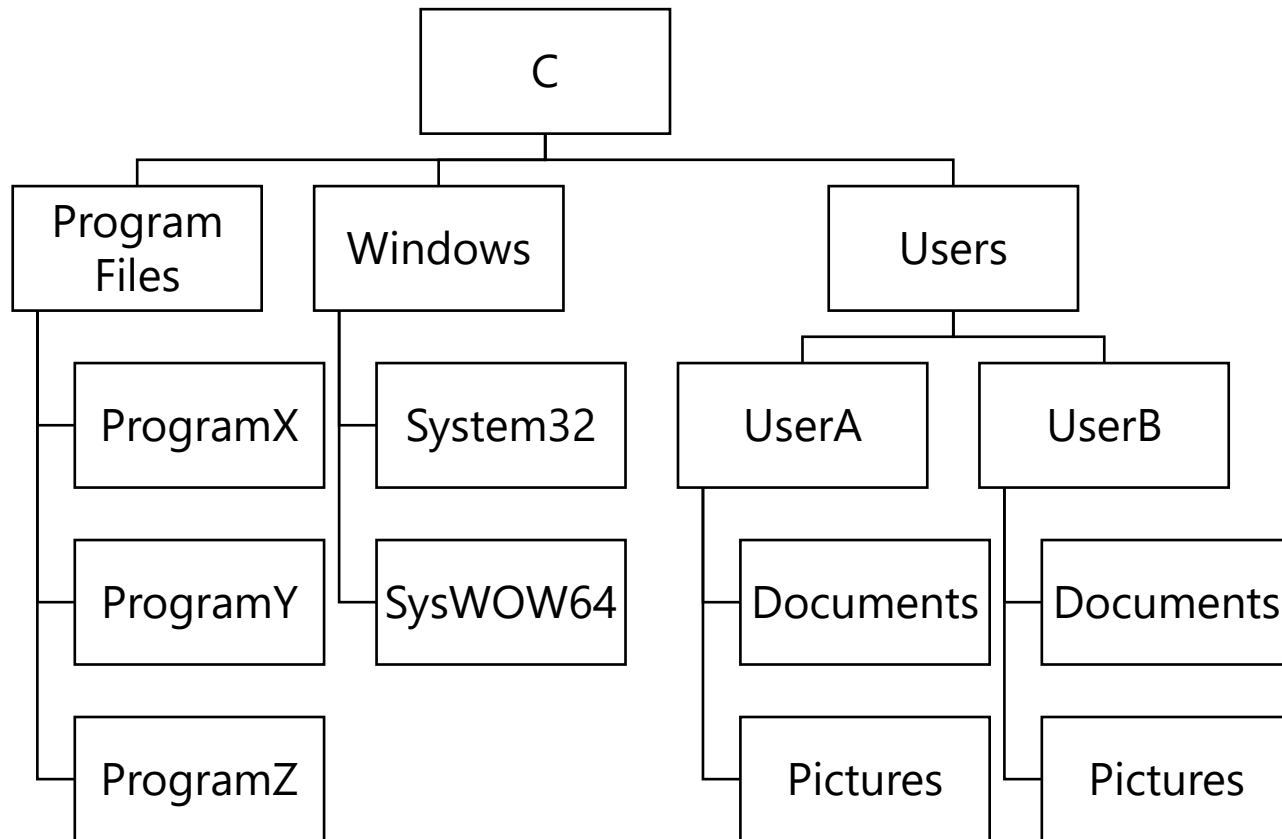
# Recursion: Tree Structure



- A **tree** consists of **nodes**.
- The **root node** has no parents.
- Other nodes have one parent. For example, node R is the parent of nodes A and B.
- You can see that a hierarchy can be created, with a smaller tree inside a larger tree. For example, the B-C-D structure is itself a tree.

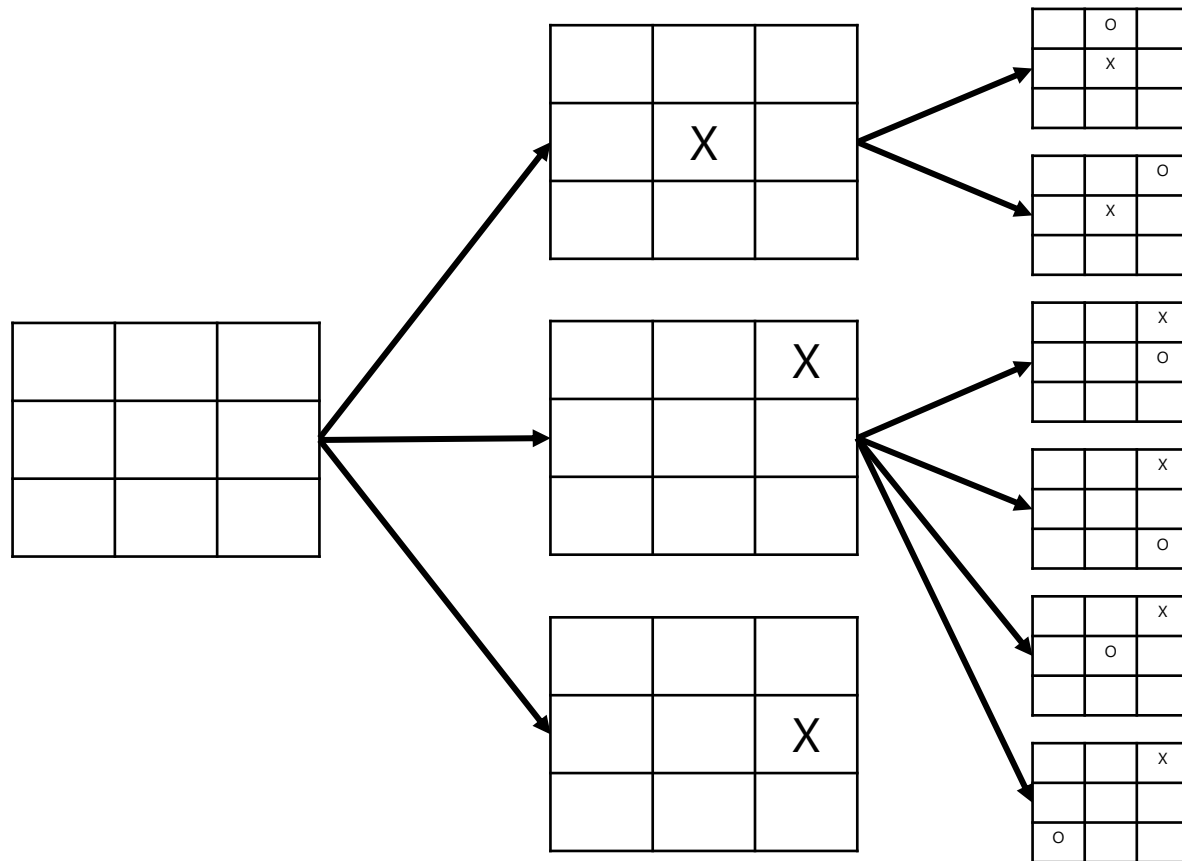
Tree structure is *very important* for:

**Anything with Hierarchies:** file systems, etc.



Tree structure is *very important* for:

## State hierarchy (board games, etc.)



# Greedy Algorithms

- Greedy algorithms focus on obtaining immediately better results without focusing on long-term (global) results.
- Many times, you don't need *the best* solution. You need *good enough* solution. Greedy algorithms are great for this.

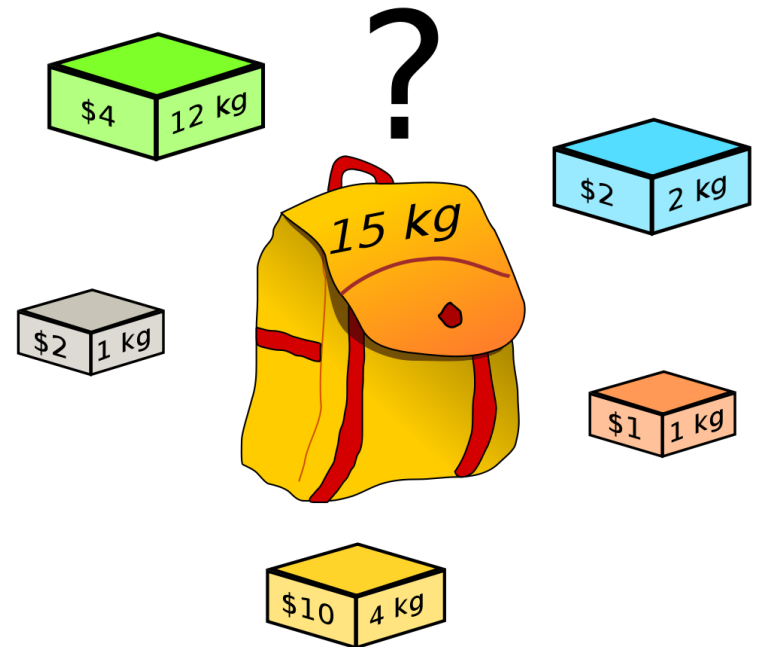


**The Worship of Mammon** (Evelyn De Morgan, c1909). This picture illustrates a person who, beyond simply money, worships the *idea of money* itself, leading to her own doom.

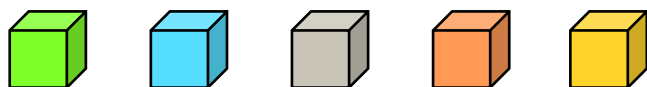


# Knapsack Problem

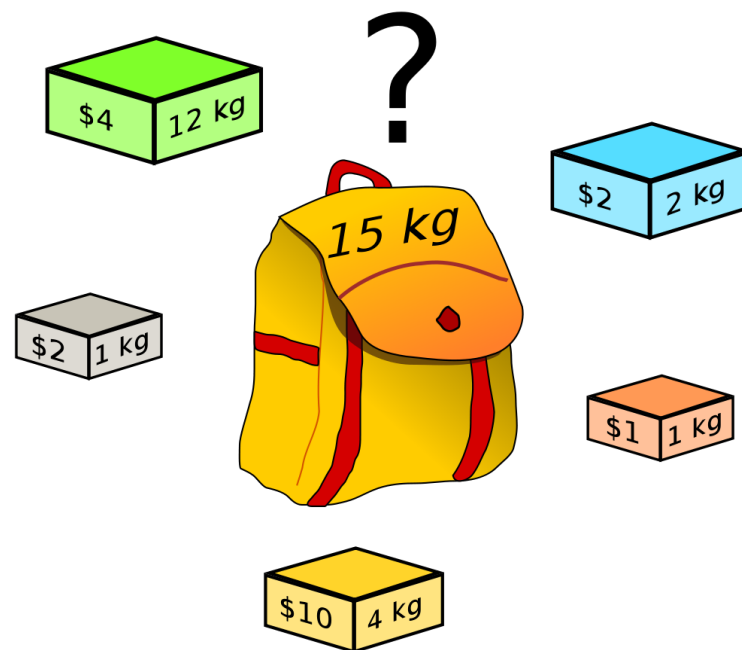
- You have a backpack. It fits 15 kg.
- There are five objects, each with different weight ( $w_i$ ) and value ( $v_i$ ).
- You must fill your backpack with the greatest value possible, but without overloading yourself.
- **How do you solve this problem?**



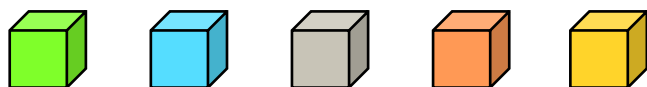
# Knapsack Problem: Brute-Force?



\$4 12kg	\$2 2kg	\$2 1kg	\$1 1kg	\$10 4kg	Weight $\sum w_i$	Value $\sum v_i$
					0	0
				✓	4	10
			✓		1	1
			✓	✓	5	11
		✓			1	2
		✓		✓	5	12
		✓	✓		2	3
		✓	✓	✓	6	13
	✓				2	2
etc.						



# Knapsack Problem: Brute-Force?



\$4 12kg	\$2 2kg	\$2 1kg	\$1 1kg	\$10 4kg	Weight $\sum w_i$	Value $\sum v_i$
					0	0
				✓	4	10
			✓		1	1
			✓	✓	5	11
		✓			1	2
		✓		✓	5	12
		✓	✓		2	3
		✓	✓	✓	6	13
	✓				2	2
etc.						

The problem of this method:

Time complexity.

Just one box is a yes/no decision.

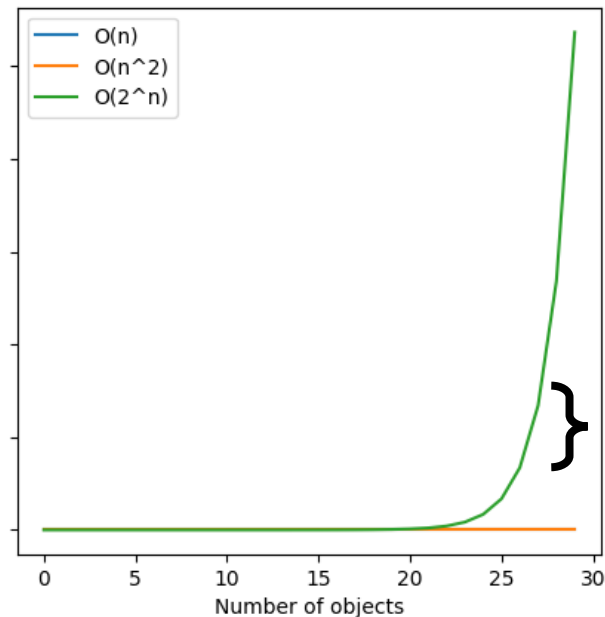
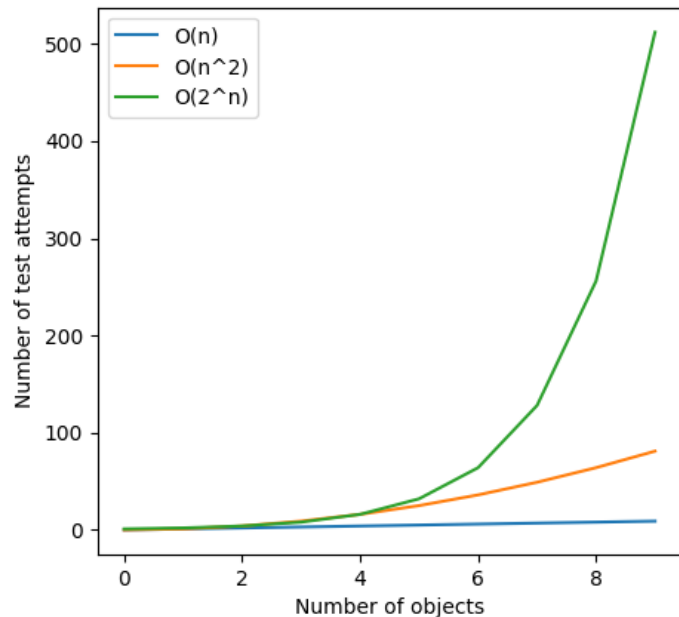
Two boxes becomes two yes/no decisions: 4 total choices.

As you can see, the number of cases grow quickly from  $2 \Rightarrow 4 \Rightarrow 8 \Rightarrow \dots \Rightarrow 2^n$ .

# How big is $O(2^n)$ ?

$2^{64}$  is the largest number that the CPU can process natively (without extra steps).  
There are about  $2^{(26\sim 28)}$  stars in our galaxy (assuming 100 billion stars).

Comparison of Time Complexity Levels



(Estimated)  
Number of stars in  
Milky Way Galaxy

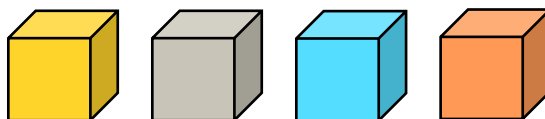
This is why algorithms other than brute-force are important.

# Value/Weight Ratio

- Dantzig says we should use value-weight ratio, which makes sense because we want to pack valuable but light objects first.

					
V	\$4	\$2	\$2	\$1	\$10
W	12kg	2kg	1kg	1kg	4kg
V/W	0.33	1.00	2.00	1.00	2.50
Pack Order	5	3	2	4	1

Final Solution:



8 kg, \$15

Can you do better?



↑  
George Dantzig (1914-2005) receiving the National Medal of Science Awards from President Gerald Ford (1913-2006). (The award was given for contribution to linear programming field.)

# Analysis of Value/Weight Ratio method

## Input

- $n$  objects, each object having properties  $v$  (value) and  $w$  (weight)
- $MW$ , the maximum weight for the backpack

1. let  $VW$  be an array of real numbers
2. let  $CW \leftarrow 0$
3. let  $BAG$  be an empty array of objects
4. for each object  $i$ :
5.      $VW_i \leftarrow v_i/w_i$
6. while  $CW \leq MW$ :
7.     go through  $VW$ :
8.         find and add the item with highest  $VW_i$  to  $BAG$
9. **answer** with  $BAG$

# Why Knapsack is important?

- Knapsack problem is an early, simple *constraint problem*.
- If you can solve this kind of problem, you can learn to solve many practical problems like mathematics, industrial engineering, business modeling, and hardware design.
- It also helps when you want to pack items into a box to send to your home. You want to send high-value merchandise but low weight to cut down on shipping costs.

# Problem Solving

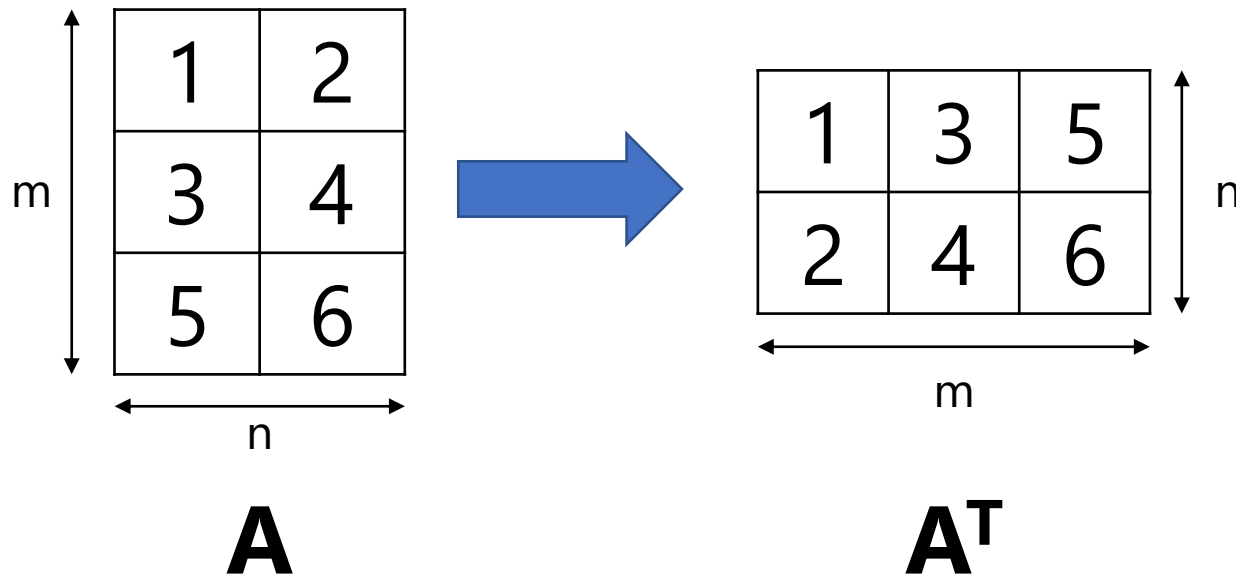


# General Problem-Solving Method

- Determine the input and output information.
- See possible patterns between the input and output.
- Implement an algorithm to solve the problem.
- Write a program (if necessary). You can also use other methods.

# Example: Transpose

- Given a matrix  $A$  of size  $(m,n)$ , produce its transpose  $A^T$  (should be size  $n,m$ ).
- $A^T_{ij} = A_{ji}$



# Example: Transpose

**Input**            matrix  $A$  of size  $m \times n$

**Output**          matrix  $A^T$  of size  $n \times m$

1. create blank matrix  $A^T$  of size  $n \times m$
2. for  $i \leftarrow 0 \dots n$ :
3.     for  $j \leftarrow 0 \dots m$ :
4.              $A^T_{ij} = A_{ji}$
5. return  $A^T$  (as answer)

# Example: Transpose (in Python)

## Algorithm (Previous Slide)

**Input**      matrix  $A$  of size  $m \times n$

**Output**     matrix  $A^T$  of size  $n \times m$

1.      create blank matrix  $AT$  of size  $n \times m$
2.      for  $i \leftarrow 0 \dots n$ :
3.          for  $j \leftarrow 0 \dots m$ :
4.                   $A^T_{ij} = A_{ji}$
5.      return  $A^T$  (as answer)

## Python Program

```
m = 3
n = 2
A = [[1,2],[3,4],[5,6]]

AT = [[0 for j in range(m)] for i in range(n)]

for i in range(n):
    for j in range(m):
        AT[i][j] = A[j][i]

print(AT)
```

# Example: GPA

- Given a list of  $n$  classes, credits, and grades, determine the GPA for the semester.
- $C$  represents number of credits.  $G$  represents grade levels (from 1 to 4 representing C to S).
- GPA is determined by {total of credits and grades product} divided by {total of credits}:

$$\frac{\sum_{i=0}^n C_i \times G_i}{\sum_{i=0}^n C_i}$$

# Example: GPA

Class	Credits	Grade
Mathematics	3	2
Physics	3	3
Programming	3	4
Lab Practice	1	4
Communication	2	3

**Input**    grades table

**Output**   GPA (a real number)

1.    let TotalCredits = 0
2.    let TotalGradePoint = 0
3.    for  $i \leftarrow 0 \dots n$ :
4.        TotalCredits +=  $C_i$
5.        TotalGradePoint +=  $C_i * G_i$
6.    return TotalGradePoint/TotalCredits

# Example: GPA

... do you actually need to code?

Class	Credits	Grade
Mathematics	3	2
Physics	3	3
Programming	3	4
Lab Practice	1	4
Communication	2	3

You can spend 3 minutes writing a program to compute your GPA, or just open Excel and type:

```
=SUMPRODUCT(B2:B6,C2:C6)/SUM(B2:B6)
```

# Today's Summary

- Time Complexity Analysis
- Families of Time Complexity levels
  - $O(\sqrt{n})$ ,  $O(n)$ ,  $O(n^2)$ ,  $O(n \log n)$
- Algorithms
  - Recursive Algorithms: Factorial and Quicksort
  - Greedy Algorithms: Solving Knapsack Problem
- For problem solving, consider *every* tool and method you have. Just get the job done.
- Next time, we will discuss AI.