# An Efficient Two-phase Method for Prime Compilation of Non-clausal Boolean Formulae

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- Motivation
- Two-phase Prime Compilation
- **3** Bounded Prime Extraction
- 4 Experimental Results
- Conclusion and Future Work



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### Definition of Problem

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Background

### Non-clausal Boolean Formulae:

- arbitrary form, e.g.,  $a \wedge (c \vee b \wedge \neg a)$
- encoding methods, e.g., Tseitin encoding [1]

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### Implicate and Prime Implicate:

- A clause  $\lambda$  is called an *implicate* of  $\varphi$  if  $\varphi \models \lambda$ .
- An implicate  $\lambda$  of  $\varphi$  is called *prime* if any subset  $\lambda' \subseteq \lambda$  is not an implicate of  $\varphi$ .

### Implicant and Prime Implicant:

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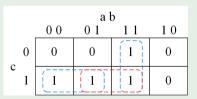
### **Prime Compilation:**

■ generate all prime implicates/implicants of a Boolean formula

### **Example 1 (Prime Compilation of Non-clausal Formulae)**

Let  $\varphi = (a \wedge b) \vee (\neg a \wedge c)$  be a non-clausal Boolean formula.

- $\blacksquare \neg a \wedge c$
- $\blacksquare a \wedge b$
- $b \wedge c$



Significance and Challenge

# Significance:

- complete analysis for the safety-critical systems [2,3]
- widely applications, *e.g.*, knowledge compilation<sup>[4]</sup>, logic minimization<sup>[5,6]</sup>, digital circuit analysis and optimization<sup>[7]</sup>, logic synthesis<sup>[8,9]</sup>, model checking<sup>[10]</sup>, and fault tree analysis<sup>[11]</sup>

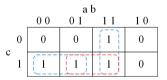
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### Challenge:

- overlap of prime implicates/implicants
- $\blacksquare$  hard for  $\Sigma_p^{2\,[12]}$



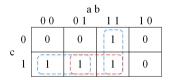
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Developing new approaches over different paradigms remains to be an interesting research direction.



# State-of-the-art Approache

Motivation ○○○○●○ Related Work

The state-of-the-art (SOTA) approach [13] for compiling non-clausal formulae:

- construct a minimal cover represented as a set of prime implicates and find all prime implicants simultaneously.
- extract prime implicant from a model using QuickXplain [10] (QX).



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- extract prime implicant from a model using QuickXplain [10] (QX).

### Advantage:

- **1** dual rail (DR) encoding [14] to handle overlap
  - lacktriangledown  $x_v$  represents the literal  $\neg v$ , e.g.,  $x_a \wedge \neg x_{\neg a}$  means a = true.
- **2** SAT solver to improve the performance

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# State-of-the-art Approache

# Shortcoming:

Motivation ○○○○○● Related Work

**11** DR encoding needs a double number of variables.



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# $State-of-the-art\ Approache$

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- DR encoding needs a double number of variables.
- 2 It it time-consuming to construct a minimal cover.
- 3 To ensure correction, they require minimal or maximal models in SAT solving.

### We argue that:

- DR encoding is not necessary for constructing a cover.
- It not necessary to compute the minimal cover.



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### Cover of Boolean Formulae

### Definition 1

Overview: Two-phase Framework

A Boolean formula  $\Phi$  is a *cover* of  $\varphi$  if  $\Phi$  is a conjunction of implicates of  $\varphi$  and is logically equivalent to  $\varphi$ . The *size* of a cover  $\Phi$ , denoted by  $|\Phi|$ , is the sum of the size of implicates in  $\Phi$ . A cover is *minimal* if all the implicates of the cover are prime.



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- In order to generate *all* prime implicants of  $\varphi$ , it is necessary to compute a cover of  $\varphi$  under the DR encoding [13].
- Naturally, constructing a cover independently need not use DR encoding, which avoids enlarging the search space.
- Moreover, we can exploit the powerful polarity heuristic method for SAT solving in the first phase.



# Two-phase Framework: CoAPI

Let  $\Sigma_{\varphi}$  be a CNF of a non-clausal Boolean formula  $\varphi$ ,  $\Sigma_{\neg \varphi}$  its negation, and  $\mathcal{V}_o$  a set of original variables.

- CoAPI takes  $\Sigma_{\varphi}$ ,  $\Sigma_{\neg \varphi}$ , and  $\mathcal{V}_o$  as input, which is the same as the approach [13].
- lacktriangle CoAPI returns all prime implicants  $\Pi$  of  $\varphi$ .

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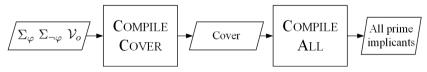


Figure 1: Framework of CoAPI.

We compute small implicants of  $\neg \phi$  to construct a succinct cover of  $\phi$ .

- well-known blocking clause framework
- two additional constraints as follows:
  - $\blacksquare$  extract implicates of  $\varphi$
  - 2 small size of the implicates

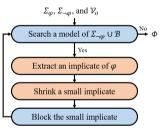


Figure 2: Algorithm of COMPILECOVER.

### CompileCover

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### **Example 2 (Running for** $\varphi = (a \wedge b) \vee (\neg a \wedge c)$ **)**

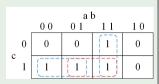
 $1^{st}$  iteration:

1 model

$$\neg g_1 \wedge \neg g_2 \wedge \neg g_3 \wedge \neg a \wedge b \wedge \neg c$$

- $2 \ \text{implicate} \ a \vee \neg b \vee c$
- 3 small implicate  $a \lor c$

Finally, a cover  $\Phi = (a \lor c) \land (\neg a \lor b)$ .



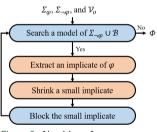


Figure 2: Algorithm of COMPILECOVER.

### CompileAll

We reformulate the prime implicants generation as the minimal model enumeration.

- similar to the work [15]
  - limitation: a large number of the constraints
- minimal models like the work [13]

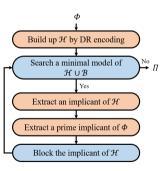


Figure 3: Algorithm of CompileAll.

Two-phase Prime Compilation

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### **Example 3 (Running for** $\Phi = (a \lor c) \land (\neg a \lor b)$ **)**

DR encoding:

$$\mathcal{H} = (x_a \vee x_c) \wedge (x_{\neg a} \vee x_b) \wedge (\neg x_a \vee \neg x_{\neg a}) \wedge (\neg x_b \vee \neg x_{\neg b}) \wedge (\neg x_c \vee \neg x_{\neg c})$$
1<sup>st</sup> iteration:

- $\blacksquare$  minimal model  $\neg x_a \land x_{\neg a} \land \neg x_b \land \neg x_{\neg b} \land x_c \land \neg x_{\neg c}$
- **2** implicant of  $\mathcal{H}$   $x_{\neg a} \wedge x_c$
- 3 prime implicant of  $\Phi \neg a \wedge c$

Finally, 
$$\Pi = {\neg a \land c, b \land c, a \land b}.$$

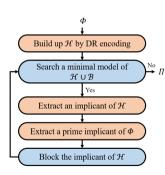


Figure 3: Algorithm of COMPILEALL.

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# Small Implicants Extraction

### **Definition 2**

Given an implicant  $\pi$  of  $\neg \varphi$ ,  $l \in \pi$  is necessary for  $\pi$  if it fulfills the requirement that  $\bigwedge_{l_i \in \pi, l_i \neq l} l_i \wedge \Sigma_{\varphi}$  is satisfiable.



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Remove all the unnecessary literals from a model.

- advantage: minimal size (a greatly succinct representation)
- shortcoming: time-consuming and not unclearly cost-effective in two phases
- linearly query the necessity (linear method) or QuickXplain [10] (QX)



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We design a bounded prime extraction method (BPE) to find a good trade-off between having an efficient algorithm and constructing a succinct cover.



### Bounded Prime Extraction

We use sup and inf to dynamically measure the small size for different instances.

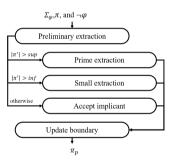


Figure 4: Algorithm of BPE.

### Bounded Prime Extraction

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### Preliminary extraction (PREE):

 extract a small implicant via SAT solver under failed assumptions<sup>[16]</sup>.

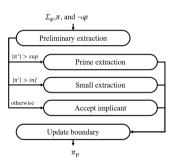


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### Prime extraction (PE):

- reduce the size of the implicant or prove that a larger prime implicant exists.
- QX potentially requires more queries than the linear method.
- use the linear method, than using QX.

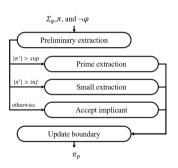


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- QX potentially requires more queries than the linear method.
- use the linear method, than using QX.

### Small extraction (SE):

■ INTERVALEXTRACT is like QX, while avoids discussing the case where none of the partial assignments are an implicant.

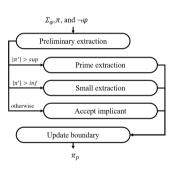


Figure 4: Algorithm of BPE.



### Good Trade-off

Performance Analysis

### Theorem 1

In BPE,  $\pi_p$  is smaller than or equal in size to the largest prime implicant of  $\neg \varphi$ .

### Theorem 2

In BPE, if  $|\pi'| > \sup$ , BPE requires  $\mathcal{O}(|\pi'|)$  SAT queries; if  $\inf < |\pi'| \leqslant \sup$ , requires  $\mathcal{O}(\lg \frac{|\pi'|}{|\pi_p|})$ ; otherwise requires  $\mathcal{O}(1)$ .

We show that  ${\rm BPE}$  can achieve a good trade-off between having an efficient algorithm and constructing a succinct cover.

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# Settings

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### **Benchmarks**

- classic benchmarks<sup>[13]</sup>: fairly large numbers of variables but without a large number of prime implicates/implicants
- industrial benchmark [11]: millions of prime implicants



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### Benchmarks

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### Competitors

- primer-a and primer-b<sup>[13]</sup>: based on different search strategies
- variants of CoAPI
  - only to extract prime implicants
    - CoAPI-pp: PREE + PE
    - CoAPI-qx: QX
  - only to extract small implicants
    - CoAPI-ps: PREE + SE
    - CoAPI-fa: failed assumptions [17,18]
    - CoAPI-idp: interleaved dual propagation [19]



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### Benchmarks

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### **Tasks**

- Generating prime implicates
- 2 Generating prime implicants



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# RQ1: What is the performance of CoAPI compared with the SOTA approaches for prime implication of non-clausal Boolean formulae?

Table 1: The number of instances computed (task (i) / task (ii)).

	QG6 (83)	Geffe gen. (600)	F+PHP (30)	F+GT (30)	<i>Total</i> (743)
primer-a primer-b	30 / 68 30 / 67	577 / <b>596</b> 578 / <b>596</b>	30 / 30 30 / 30	28 / 30 28 / 30	665 / 724 666 / 723
CoAPI-qx	30 / 73	<b>589</b> / 593	30 / 30	26 / 30	675 / 726
CoAPI	30 / <b>82</b>	<b>589</b> / 595	30 / 30	<b>30</b> / 30	679 / 737

- CoAPI-qx, primer-a, and primer-b are comparable.
- CoAPI successfully computes more instances than primer-a and primer-b.
- Particularly, CoAPI increases the number of instances in *QG6* for the task (ii) and in *Geffe gen.* for the task (i).

- CoAPI computes much faster than primer-a (resp. primer-b) in 664 (resp. 666) instances it is at least one order of magnitude faster than primer-a (resp. primer-b) in 214 (resp. 215) instances.
- It is in 85% (resp. 86%) instances that CoAPI-qx beats primer-a (resp. primer-b).
- CoAPI is greatly better than CoAPI-qx.

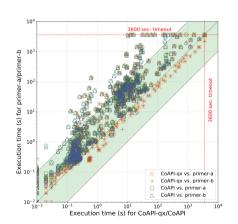


Figure 5: Generating prime implicates.

RQ1: What is the performance of CoAPI compared with the SOTA approaches for prime implication of non-clausal Boolean formulae?

■ CoAPI dominates primer-a and primer-b on QG6, F+PHP, and F+GT.

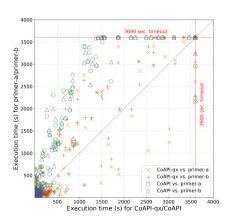


Figure 6: Generating prime implicates.



■ CoAPI dominates primer-a and primer-b on *QG6*, *F+PHP*, and *F+GT*.

### Answer for RQ1:

- CoAPI achieves SOTA performances in most instances in classic benchmarks.
- The better performance of CoAPI-qx than the SOTA approaches confirms that the two-phase framework is efficient.

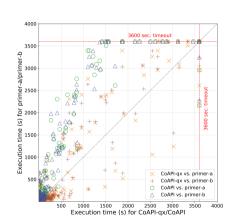


Figure 6: Generating prime implicates.

# RQ2: Can CoAPI outperform the SOTA approaches in the real-world industrial benchmark?

- CoAPI-qx and CoAPI can successfully solve 16 instances which include all the 15 (resp. 15) instances successfully computed by primer-a (resp. primer-b).
- For most cases, CoAPI uses significantly less CPU time than primer-a and primer-b.

Table 2: CPU time (s) on the industrial benchmarks (omit all "N/A")

Instances	primer-a	primer-b	CoAPI-qx	CoAPI
chinese	0.02	0.01	0.00	0.00
das9201	164.95	163.68	145.60	83.00
das9202	62.69	60.21	28.68	28.62
das9203	0.40	0.33	0.24	0.24
das9204	2.18	0.68	0.70	0.83
das9205	0.25	0.29	0.02	0.03
das9206	256.08	331.06	44.78	72.56
das9208	5.96	6.35	6.06	1.17
edf9201	1,164.51	1,100.92	830.85	742.67
edf9205	86.82	43.57	46.68	53.16
edfpa15p	N/A	N/A	1,061.29	1,012.33
edfpa15r	2,755.73	2,872.39	1,087.08	967.62
ftr10	14.11	13.82	15.44	1.24
isp9603	570.37	565.98	568.08	80.90
isp9606	72.19	77.73	33.61	13.69
isp9607	452.26	540.61	273.99	262.09
#win	0	2	4	12

"N/A" indicates the results out of the CPU time limit. If the time usage is less than 0.01s, we mark it as 0.00.



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### Answer for RQ2:

- CoAPI has an excellent ability in generating all prime implicants on the industrial benchmark compared with the SOTA approaches.
- The better performance of CoAPI-qx confirms the advantage of the two-phase framework again.

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## RQ3: Can BPE keep a good trade-off between having an efficient algorithm and constructing a succinct cover?

■ CoAPT is faster in most instances.

Comparison with Other Extraction Methods

- CoAPI constructs a more succinct cover than any other method that only extracts small implicants.
- Compared with the methods to only extract prime implicants. CoAPI requires fewer SAT gueries. which speeds up in COMPILECOVER.

Table 3: Results of extracting methods (task (i) / task (ii)).

	$ \Sigma ^1$	#SAT <sup>2</sup>	T. in CC <sup>3</sup>	T. in CA <sup>4</sup>
CoAPI-pp	1.00 / <u>0.45</u>	6.82 / 1.44	4.31 / 1.26	1.36 / <u>0.88</u>
CoAPI-qx	1.00 / 0.33	11.38 / 1.57	4.50 / 2.27	0.96 / 1.18
CoAPI-ps	1.00 / 3.31	1.60 / 2.25	1.54 / 1.45	1.30 / 4.58
CoAPI-fa	1.00 / 93.62	0.96 / 114.19	1.73 / 700.09	1.36 / 5.73
CoAPI-idp	4.60 / 19525.32	0.75 / 609.71	2.86 / 121.83	1.24 / 7049.41

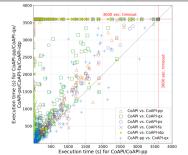


Figure 7: Generating prime implicates & prime implicants.



# RQ3: Can BPE keep a good trade-off between having an efficient algorithm and constructing a succinct cover?

- CoAPI is faster in most instances.
- CoAPI constructs a more succinct cover than any other method that only extracts small implicants.
- Compared with the methods to only extract prime implicants, CoAPI requires fewer SAT queries, which speeds up in COMPILECOVER.

### Answer for RQ3:

 BPE provides a better trade-off between having an efficient algorithm and constructing a succinct cover.

Table 3: Results of extracting methods (task (i) / task (ii)).

	$ \Sigma ^1$	#SAT <sup>2</sup>	T. in CC <sup>3</sup>	T. in CA <sup>4</sup>
CoAPI-pp	1.00 / <u>0.45</u>	6.82 / 1.44	4.31 / 1.26	1.36 / <u>0.88</u>
CoAPI-qx	1.00 / 0.33	11.38 / 1.57	4.50 / 2.27	0.96 / 1.18
CoAPI-ps	1.00 / 3.31	1.60 / 2.25	1.54 / 1.45	1.30 / 4.58
CoAPI-fa	1.00 / 93.62	0.96 / 114.19	1.73 / 700.09	1.36 / 5.73
CoAPI-idp	4.60 / 19525.32	0.75 / 609.71	2.86 / 121.83	1.24 / 7049.41

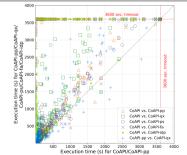


Figure 7: Generating prime implicates & prime implicants.



Two-phase Prime Compilation

Bounded Prime Extraction

Conclusion and Future Work

Conclusion and Future Work

### Content

- Motivation
- Two-phase Prime Compilation
- **3** Bounded Prime Extraction
- 4 Experimental Results
- Conclusion and Future Work



### Conclusion and Future Work

#### Conclusion:

- For prime compilation of non-clausal Boolean formulae, we have proposed a two-phase approach CoAPI, which allows us to construct a cover without using DR encoding and benefits from the polarity heuristic of SAT solving.
- 2 To improve the performance, we have designed a bounded prime extraction method (BPE) to dynamically extract small implicates or a prime implicates to construct a succinct cover.
- Our experimental results show that CoAPI has dramatically pushed the limits of the performance of the state-of-the-art approaches on the classic and industrial benchmarks.
- 4 The results confirm that BPE provides a good trade-off between having an efficient algorithm and constructing a succinct cover.

#### Future work:

■ We will develop optimization techniques to improve performance for the industrial benchmark.



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Two-phase Prime Compilation Bounded Prime Extraction Experimental Results Conclusion and Future Work References
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# Thank you for your listening!