

MINI-PROJECT

IMPERIAL COLLEGE LONDON

DEPARTMENT OF LIFE SCIENCES

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**Comparison of Plant Seedlings  
Images Classification Models**

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# 1 Abstract

2 Image classification is a basic task in the field of computer vision, with the devel-  
3 opment of deep learning, the accuracy of computer vision recognition is constanly  
4 improving, showing the bright future in the practical applications, especially in  
5 the file of precision agriculture. Many studies are working to develop a crop  
6 detection technology that combines unmanned aerial vehicle (UAV) and spec-  
7 troscopy. And a good image recognition model is the basis of this technology,  
8 but also the problem to be solved by this mini project. Here, I build several base-  
9 line models (KNN, SVM, Random Forest, XGBoost and Resnet18), to evaluate  
10 the performance of these algorithms and also improve my understanding of them.

11  
12 **Keywords:** Image Clssification, Plant Seedlings, Machine learning, Model Com-  
13 parison, ROC Curve

# 14 2 Introduction

15 Precision agriculture is the direction of agriculture development, aim to improve  
16 the performance of crops and the environment quality by applying advanced  
17 application techniques and principles to manage spatial and temporal changes  
18 associated with all aspects of agriculture production.[?] In the actual planting  
19 process, it is necessary to carry out individualized management for different crops  
20 as well as the problem of weeds for different varieties. In the effort of achieving  
21 that, remote sensing combines with effective recognition algorithms have been  
22 commonly considered as an effective technique.

23  
24 Previous studies have made extensive research on the development of algorithms

25 and models for field crop identification. Firstly, leave shape is one of the im-  
 26 portant basis for plant classification. Therefore, the identification of weeds and  
 27 specific crops can be accomplished by analyzing the blade shape to derive cer-  
 28 tain edge features and shape features. For instance, by collecting visible light  
 29 images of farmland, after binarization, extracted and analyzed characteristic pa-  
 30 rameters such as blade area, long axis, short axis, and centroid position, it can  
 31 reach 73% recognition rate for tomatoes and 68.8% for weeds[?]. Similarly, the  
 32 leaf parameter k-NearestNeighbor (KNN) classifier for wheat and weeds can be  
 33 achieved to 82% and 79%, and the Bayesian classifier can reach to 81% and  
 34 75%[?]. Secondly, due to the different tissue structure of the leaves, it provides  
 35 the possibility to distinguish different crops by using spectral features. By col-  
 36 lecting and analyzing the 435-1000nm spectral data of weeds and crops, KNN  
 37 classifier can achieve 97% accuracy for weeds and Multi-layer neural network can  
 38 go upto 80.1% for crops[?].

39

40 In the last decade, with the accumulation of data and the enhancement of  
 41 computing power, deep learning has ushered in a big outbreak. In 2012, in  
 42 order to prove the potential of deep learning, the Hinton research group par-  
 43 ticipated in the ImageNet image recognition competition for the first time, and  
 44 won the championship by constructing the Convolutional neural network(CNN)  
 45 AlexNet, and crushed the classification performance of the second place (Sup-  
 46 port Vector Machines, SVM). It is also because of this competition that CNN  
 47 has attracted the attention of many other researchers. In the subsequent games,  
 48 other well-known neural networks appeared, such as VGGnet, Inception network  
 49 and ResNets.

50

Here, in order to better understand the performance of traditional machine learning models and neural networks in image classification, and to better apply the effective model in the precision agriculture crop detection, I build and compare the performance of KNN, SVM, ensemble method like Random Forest and XGBoost, and CNN ResNets18, train from scratch and transfer learning, based on the image dataset download from the kaggle playground, the plant seedlings classification dataset.

### 3 Materials & Methods

#### 3.1 Dataset

The dataset used to evaluate the performance of the image classification model comes from Kaggle competition playground “Plant Seedlings Classification”. The dataset comprises 12 plant species, each image has a filename that is its unique id. Here is the composition of the dataset:

Black-grass	263 images
Charlock	390 images
Cleavers	287 images
Common Chickweed	611 images
Common wheat	221 images
Fat Hen	475 images
Loose Silky-bent	654 images
Maize	221 images
Scentless Mayweed	516 images
Shepherds Purse	231 images
Small-flowered Cranesbill	496 images
Sugar beet	385 images
Total	4750 images

Table 1: Dataset structure

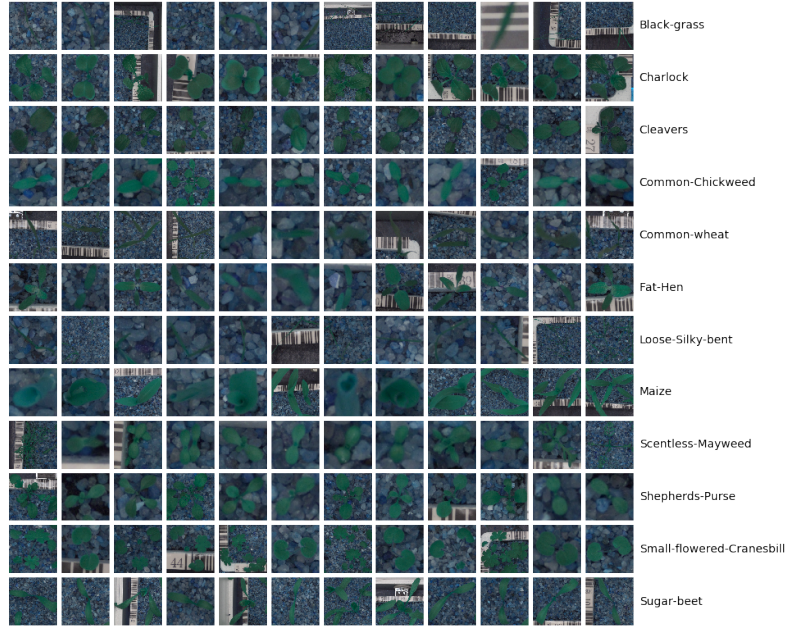


Figure 1: Plant Seedlings Dataset Samples

### 3.2 Data manipulation

Prior to the use of the image data for model fitting, manipulation was required to extract the features that was relevant to my analysis. Most of the data feature processing is based on python module openCV[?] and sklearn[?].

- 1) Due to the different image sizes, we resized to a fixed size of 128 X 128.
- 2) Convert images to different color spaces, RGB(Red Green Blue), HSV(Hue Saturation Value), a color model based on the physiological characteristics of a person's observation of color (the human visual system is more sensitive to brightness than the color value), and HLS(Hue Saturation Lightness).

- 74 3) Extract the histogram of the image to reduce the feature dimension
- 75 4) Based on the image features, we found that the pixel distribution is bi-
- 76 modal, that is, there is a big variance between the plant and the back-
- 77 ground. Through the threshold segmentation method, the image histogram
- 78 is extracted after the mask.
- 79 5) During the fitting process, we separate 20% of the dataset as test set for
- 80 evaluation.

### 81 3.3 Model evaluation metric

82 Normally, for binary classification problem, the predition results will be as fol-

83 lows:

Label \ Predition	Predition	
	+1	-1
+1	True Positive(TP)	False Negetive(FN)
-1	True Positive(FP)	True Negetive(TN)

Table 2: Analysis of the results of the binary classification problem

84 The indicator for classification model evaluation usually can be accuracy,

85 precision, recall, f1-score, ROC curve, AUC, etc. Their calculation formula is as

86 follows:

- 87 1) Accuracy =  $(TP+TN)/\text{All Samples}$ , accuracy is the most common and
- 88 basic evaluation metric. However, in the case of unbalanced positive and
- 89 negative cases, especially when we are more interested in the minority class,
- 90 it will have the “accuracy paradox”.
- 91 2) Precision =  $TP/(TP+FP)$
- 92 3) Recall =  $TP/(TP+FN)$

93 4)  $F1\text{-score} = 2 * \text{Precision} * \text{Recall} / (\text{Precision} + \text{Recall})$ , F1-score is a metric  
94 that takes into account precision and recall. In the case of multi-class  
95 classification, macro-average is better than micro-average, because macro-  
96 average treats each class equally, so its value is mainly affected by rare  
97 class.

98 5) True Positive Rate(TPR) =  $TP / (TP + FN) = TP / \text{actual positives}$ , False  
99 Postive Rate(FPR)= $FP / (FP + TN) = FP / \text{actual negatives}$ , the ROC curve  
100 is composed of points (TPR, FPR) that set different thresholds, and AUC  
101 is the area of the ROC. The bigger the AUC, the better.

102 In this project, we comprehensively use these two types of classification model  
103 metrics to examine the image classification performance of different models.

### 104 3.4 Model fitting

105 KNN: Calculate the distance of each feature corresponding to the new data and  
106 the data in the sample set, extract the classification labels of the k most similar  
107 data, and select the classification with the most occurrences among the most  
108 similar data as the classification of the new data. One of the advantages of KNN  
109 is that the model is easy to understand and usually does not require too much  
110 adjustment to get good performance. Trying this algorithm is a good benchmark  
111 before considering the use of more advanced techniques. It is usually very fast  
112 to build a KNN model, but if the training set is large (the number of features  
113 is large or the number of samples is large), the prediction speed may be slow.  
114 When using the KNN, it is important to preprocess the data. Although the  
115 KNN algorithm is easy to understand, it is often not used in practice because it  
116 is slow to predict and cannot process data sets with many features.

117

118 SVM: The mathematical principle behind the support vector machine is a bit  
119 complicated, and the main idea is to find the hyperplane with the largest classi-  
120 fication interval. The SVM is a very powerful model that performs well on low-  
121 dimensional data and high-dimensional data, while the disadvantage of SVM is  
122 that it takes great care to preprocess data and tuning. This is why many appli-  
123 cations today use tree-based models, such as random forests or gradient boosts,  
124 which require little pre-processing.

125

126 Ensemble methods like random forests and xgboost are based on decision tree  
127 model, they use the methods of bagging and boosting respectively. Their advan-  
128 tage is that they can get a good training result with almost no data pre-processing  
129 and reduce over-fitting through multiple weak learning models.

## 130 4 Results

### 131 4.1 Data manipulation

132 As we can see from the histogram below, it has obvious bottom-breaking crests,  
133 which we can explain from the images, the background of the images is mostly  
134 stone and completely different from green plants, which makes it possible to mask  
135 out the plants according to different image digital number threshold (Figure3).

136

137 Finally, we select the digital number data of the three color spaces, the data  
138 after calculating the histogram, and the data after masking and histogram di-  
139 mensionality reduction. According to the statistics of 4750 images, we can see



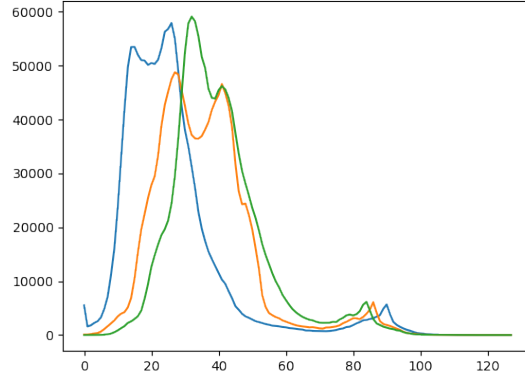


Figure 2: RGB Channels Histogram Distribution

140 that raw pixel images will occupies 228.00MB memory, while after calculating  
 141 the histogram, it takes only 14.25MB, which is 16 times smaller than raw pixels  
 142 data.

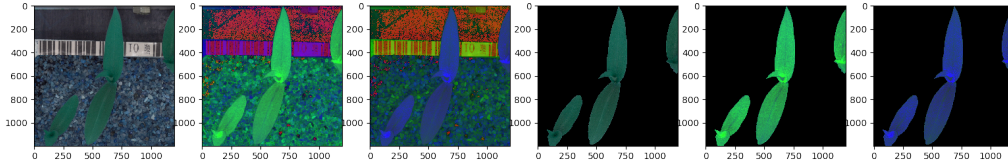


Figure 3: Image after color space conversion and masking  
 Images from left to right are BGR, HSV HLS color spaces.

143

## 144 4.2 KNN model comparison

145 KNN is the one of the simplest and most efficient algorithm for classification  
 146 model. Generally, KNN has two important hyperparameter, the number of near-  
 147 est neighbors and the metrics for distances between data points, here I search for

148 the hyperparameter K based on HSV color space after masking and histogram  
 149 calculation for 1 to 20 (Figure4).

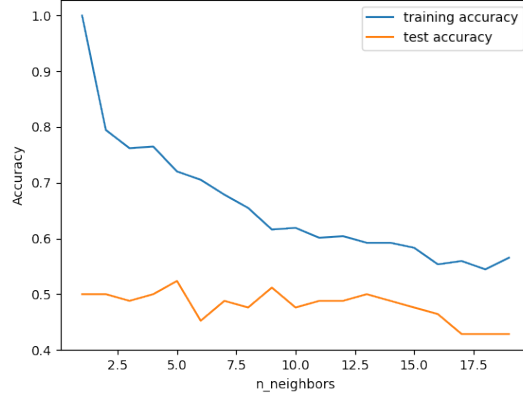


Figure 4: RGB Channels HistogramDistribution

150

151 Result shows that when considering only one single neighbor, the prediction on  
 152 the training set are perfect, and as the number of neighbors increase, the model  
 153 becomes simpler and the accuracy decreases. The test set accuracy for single  
 154 neighbor is lower than when using more neighbors, which means that the model  
 155 of a single neighbor is too complex, lead to overfitting, so the best number should  
 156 be when the two urves are relatively close, and her I chose K=9 to further explore  
 157 different data processing methods.

Model	RGB	HSV	HSL	histoRGB	histoHSV	histoHSL	maskRGB	maskHSV	maskHSL
ACC/Time									
Training set(%)	41.68	49.61	51.53	70.58	76.79	77.11	74.55	78.39	78.32
Test set(%)	26.42	38.53	38.53	58.84	67.16	70.84	68.21	72.42	71.37
Timeconsuming(s)	19'47	19'57	19'55	10	6	6	2	2	2

Table 3: KNN model comparison

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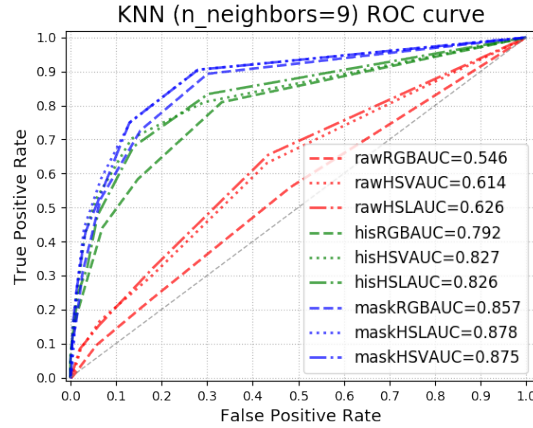


Figure 5: KNN models ROC curve

So by combining the three color spaces with the three processing methods, we have fitted the KNN algorithm to the 9 different image processing data. Using ROC curve and the area under the curve (AUC) as evaluation metric, we can find that:

- 1) KNN model fitting performance on histogram data is generally better than raw image pixel data, and spend expected less time.
- 2) Model performance better on HSV, HLS color space than RGB, but slightly different between them.
- 3) Whether masking or not does not have much effect on the results.

#### 4.3 KNN, SVM, Random Forest and XGBoot model comparison

Based on the fitting results of KNN in different image processing methods, I choose the HSV color space mask data as object, compared the fitting results of these several models. Although Gridsearch was used to find the best hyperparameter for the Random Forest, it seems to play a minor role in this task, so for

173 the SVM and the ensemble models, I mainly use sklearn's default parameters  
 174 in order to build a baseline model as soon as possible. And the result shows as  
 175 below:

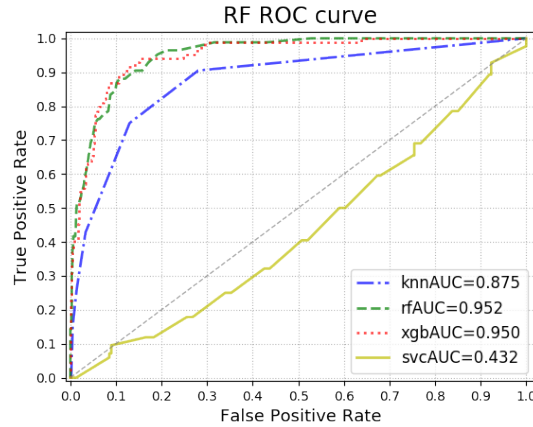


Figure 6: KNN, SVM, Random Forest and XGBoot ROC Curve

176

Metrics \ Model	Model			
	KNN	SVM	Random Forest	XGBoost
Accuracy	72.42	13.16	85.58	87.58
AUC	0.953	0.606	0.985	0.988
Micro F1 score	0.72	0.13	0.86	0.88
Macro F1 score	0.70	0.02	0.85	0.86
Time consuming(s)	2	1'5	2	2'36

Table 4: Four models comparison

177 As you can see from the results, although the SVM can achieve the same  
 178 accuracy as the ensemble methods (99%), its generalization ability is much worse,  
 179 only 13.16% accuracy. As for the other three models, we can say that XGBoost  
 180 performs the best, followed by the Random Forest, finally the KNN.

#### 181 4.4 ResNets18 and transfer learning

182 Based on Pytorch’s deep learning framework, the parameters are fine-tune from  
183 scratch, or freeze all layers, and add a fully connected layer at the end for param-  
184 eter optimization. And it turns out that the learning from scratch has the 95.5%  
185 accuracy for validation set and 88.9% for training set, while when ConvNet as  
186 fixed feature extractor, it only has 57.5% accuracy for training set and 71.1% for  
187 validation set.

### 188 5 Discussion

189 Overall, the above results demonstrate that when considering all five proposed  
190 models, though they were fitted in a limited time, there is much room for im-  
191 provement, we can still learn some trade-off during model selection.

192

193 Since we are dealing with unstructured data, it is hard to judge and extract  
194 the important features. In the process of applying traditional machine learning  
195 algorithms, it is very necessary to rely on the prior knowledge of the data for  
196 feature engineering. In this example, we can see this very obviously. After his-  
197 togram calculation, not only can we reduce the data by 16 times but we can also  
198 improve the accuracy of 20-30% on the KNN model. However, the performance  
199 of the model seems to be lower than I expected after masking. A reasonable  
200 explanation is that after the dimensionality reduction by the histogram calcu-  
201 lation the noise of the background is not significantly different among different  
202 species, which is not enough to be a feature to improve the performance of the  
203 model. And this raises another interesting issue, the machine learning algorithm  
204 usually does not care about the spatial relationship of pixels in the process of

205 fitting image data, while convolutional neural networks can obtain some spatial  
206 information from the images through the way of weight sharing.

207

208 We can also see that most of the fitting results have been overfitting due to some  
209 consensus reasons, like too much noise, insufficient training data, overly complex  
210 model etc. The image in the dataset is not uniform, the size is inconsistent and  
211 have large variance, sometimes there are more than one plant in a picture. So, it  
212 can be better if there is a better way to normalized the data. Besides, ensemble  
213 method like Random Forest and XGBoost can theoretically reduce the complex-  
214 ity of the model to avoid overfitting, but it goes up to 99% accuracy for the  
215 training set, probably need more effort on hyperparameter turning.

## 216 **References**