



Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

# Memory-Efficient Training of Large-Scale Deep Learning Models Using K-Means Compression

Optimizing Parameter Storage for Enhanced Training Efficiency

Xinhao Ying

December 5, 2024



# Agenda

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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- 1 Introduction
- 2 Background & Motivation
- 3 K-Means Algorithm & Optimizations
- 4 Experiments & Memory Saving Calculation
- 5 Challenges & Conclusion

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Introduction

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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- **Optimization Problem**
- **K-Means for Model Compression**
- **Applying Compression to Models**
- **Experimental Validation**

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Expected Contributions

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Deep Learning  
Models Using  
K-Means  
Compression

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## Expected Contributions:

- Introduce a K-Means-based compression technique for deep learning models.
- Demonstrate the effectiveness of K-Means optimizations in compression performance.
- Provide empirical results showing memory savings with minimal accuracy loss.
- Offer insights into integrating compression methods with existing training frameworks.

Introduction

Background &  
Motivation

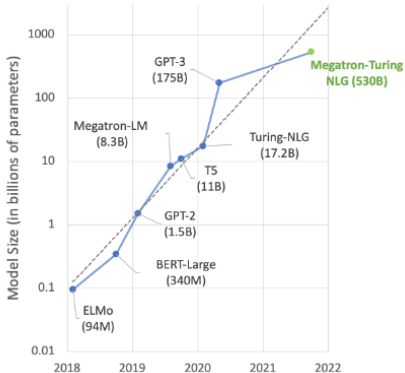
K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Background: Challenges in Training Large-Scale Models



## Memory Calculation for GPT-3 using float32 (175B Parameters)

$$\text{Memory} = 175 \times 10^9 \times 4 \text{ bytes} = 700 \text{ GB}$$

### Current GPU Memory Capacity:

High-end GPUs, like the NVIDIA A100, typically offer 40GB or 80GB of memory.

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Optimization Problem

Can we store parameters with less memory during training?

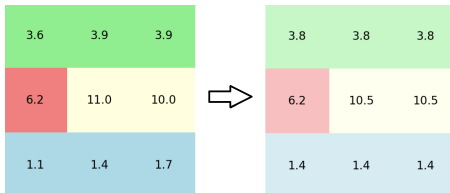
Let  $W_{ij}$  be the weight matrix of a layer. Given the number of centroids  $s$ , the objective is to minimize the sum of squared distances between each parameter  $W_{ij}$  and its closest centroid  $y_s$ :

$$\min_y \sum_{i,j} \min_s (W_{ij} - y_s)^2$$

After finding the centroids, we replace each parameter with its closest centroid:

$$W'_{ij} = y_s^* \quad \text{where} \quad s = \arg \min_{s'} (W_{ij} - y_{s'})^2$$

This results in compressed parameter storage.

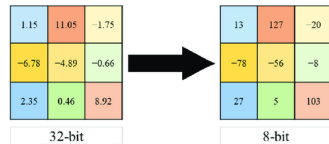




# Compression Methods in Inference

## Quantization:

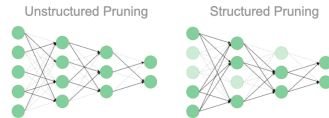
- Reduces model size and latency (up to 4x) by using lower precision formats.
- Potential trade-off: May lead to accuracy loss.



Quantization

## Pruning:

- Sets some weights to zero to save space and computation.
- Potential trade-off: Requires sparse execution, may lose accuracy.



Pruning



# Compression Methods in Inference

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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Introduction

Background &  
Motivation

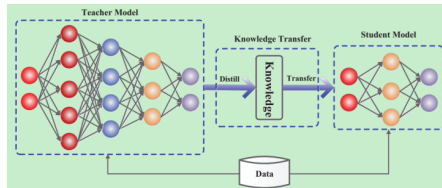
K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

## Knowledge Distillation:

- Trains a smaller model by transferring knowledge from a larger one.
- Potential trade-off: Training is computationally expensive.



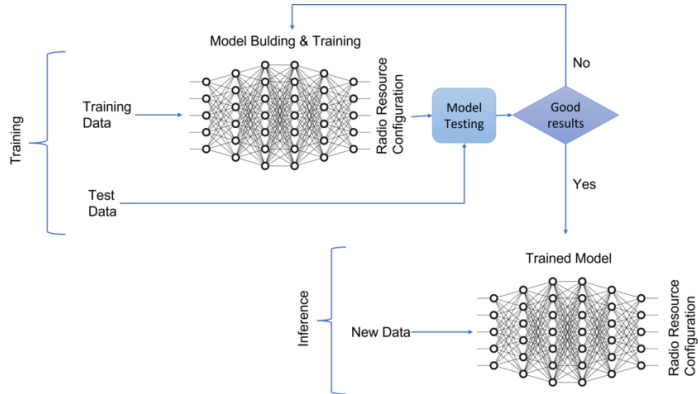
Knowledge Distillation

**Additional Notes:** These optimization methods significantly reduce model size and improve efficiency during inference, but they often incur additional memory and computational costs during training.





- These are methods for compressing models during inference.
- Some methods, such as low-rank matrix approximation, have successfully reduced memory usage during training by modifying the model structure.
- Can we store parameters with less memory during training?





# K-means Algorithm

This problem has been proven to be NP-hard.

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## Algorithm 1 K-means Clustering

---

**Require:** Data matrix  $X$ , number of clusters  $s$ , maximum iterations  $T$

- 1: Initialize  $k$  centroids  $\{y_1, y_2, \dots, y_s\}$  randomly or using K-means++
- 2: **for** each iteration  $t = 1, \dots, T$  **do**
- 3:     **for** each data point  $W_{ij} \in W$  **do**
- 4:         Assign  $W_{ij}$  to the nearest centroid:

$$s_{ij} = \arg \min_{s'} |W_{ij} - y_{s'}|_2^2$$

- 5:     **end for**
- 6:     **for** each centroid  $y_{s'}$  **do**
- 7:         Update the centroid  $y_{s'}$  based on the mean of assigned points:

$$y_{s'} \leftarrow \frac{1}{|C_{s'}|} \sum_{W_{ij} \in C_{s'}} W_{ij}$$

- 8:     **end for**
- 9: **end for**

**Ensure:** Final centroids  $\{c_1, c_2, \dots, c_k\}$

---

## Visualizing K-means Clustering



# K-means++ Initialization

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

Reduce the problem of local optima in K-means.

---

**Algorithm 2** K-means++ Initialization

---

**Require:** Weight matrix  $W$ , number of clusters  $s$

- 1: Select the first centroid  $y_1$  randomly from  $W$
- 2: **for** each remaining centroid  $y_2, \dots, y_s$  **do**
- 3:     For each element  $W_{ij}$ , compute  $D(W_{ij})$  as the distance to the nearest chosen centroid
- 4:     Select  $W_{ij}$  as the next centroid  $y_s$  with probability proportional to  $D(W_{ij})^2$
- 5: **end for**

**Ensure:** Initial centroids  $\{y_1, y_2, \dots, y_s\}$

---



# Mini-Batch K-means

By approximating updates using smaller batches, significantly improving computational efficiency.

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## Algorithm 3 Mini-batch K-means Clustering

---

**Require:** Weight matrix  $W$ , number of clusters  $s$ , batch size  $b$ , maximum iterations  $T$

- 1: Initialize  $s$  centroids  $\{y_1, y_2, \dots, y_s\}$  using K-means++ or randomly
- 2: **for** each iteration  $t = 1, \dots, T$  **do**
- 3:     Randomly sample a batch of  $b$  elements from  $W$
- 4:     **for** each sampled element  $W_{ij}$  **do**
- 5:         Assign  $W_{ij}$  to the nearest centroid:

$$s_{ij} = \arg \min_{s'} |W_{ij} - y_{s'}|_2^2$$

- 6:         Update the assigned centroid  $y_{s_{ij}}$  based on  $W_{ij}$

$$y_{s_{ij}} \leftarrow y_{s_{ij}} + \eta (W_{ij} - y_{s_{ij}})$$

- 7:     **end for**
- 8: **end for**

**Ensure:** Final centroids  $\{y_1, y_2, \dots, y_s\}$

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Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Compression Process

We assume that  $W$  is the weight matrix of a linear layer in a model. Let's now see when it is used during the training process.

---

**Algorithm 4** Model Process with Compression of  $W$ 

---

- 1: **Initialize:** Initialize the weight matrix  $W$
  - 2: Apply K-means on  $W$  to obtain cluster centers and store the centers using a specific storage structure to get  $W'$
  - 3: **for** each training step **do**
  - 4:     **Forward Pass:**
  - 5:         Compute the output  $y = \text{ReLU}(W'x + b)$
  - 6:     **Backward Pass:**
  - 7:         Compute the error term  $\delta_{\text{current}} = \frac{\partial L}{\partial y} \cdot \text{ReLU}'(W'x + b)$
  - 8:         Compute the error term for the input  $\delta_{\text{previous}} = (W')^T \delta_{\text{current}}$
  - 9:     **Model Update:**
  - 10:         Compute the gradient  $\frac{\partial L}{\partial W'}$
  - 11:         Update  $W \leftarrow W' - \eta \frac{\partial L}{\partial W'}$  or use other optimizer
  - 12:         Apply compression: Compress  $W$  using the cluster centers of  $W'$  as initialization to get new  $W'$
  - 13: **end for**
- 

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Compression Process

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

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**Algorithm 4** Model Process with Compression of  $W$ 

---

```
1: Initialize: Initialize the weight matrix  $W$ 
2: Apply K-means on  $W$  to obtain cluster centers and store the centers using a specific storage
   structure to get  $W'$ 
3: for each training step do
4:   Forward Pass:
5:     Compute the output  $y = \text{ReLU}(W'x + b)$ 
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10:    Compute the gradient  $\frac{\partial L}{\partial W'}$ 
11:    Update  $W \leftarrow W' - \eta \frac{\partial L}{\partial W'}$  or use other optimizer
12:    Apply compression: Compress  $W$  using the cluster centers of  $W'$  as initialization to get
       new  $W'$ 
13: end for
```

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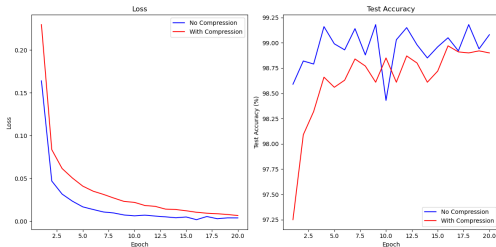
- Use the previous cluster centers as the initial values for the next K-means iteration.
- Do not retain the uncompressed  $W$  to achieve the theoretical memory savings.
- Accessing  $W'$  incurs additional costs due to its special storage format, requiring special handling for each access.



# Simple CNN on MNIST

- **MNIST Dataset:** 28x28 grayscale images of handwritten digits (0-9). It contains 60,000 training images and 10,000 test images.
- **Compression Applied to FC1:** In this experiment, K-means compression was applied to the fully connected layer (fc1) of the model.

```
class SimpleCNN(nn.Module):  
    def __init__(self, compression=False, s=32):  
        super(SimpleCNN, self).__init__()  
        self.conv1 = nn.Conv2d(1, 32, kernel_size=3, stride=1, padding=1)  
        self.conv2 = nn.Conv2d(32, 64, kernel_size=3, stride=1, padding=1)  
        self.fc1 = nn.Linear(64 * 7 * 7, 128)  
        self.fc2 = nn.Linear(128, 10)
```



Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Parameter Calculation and Memory Reduction

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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Metric	Non-Compressed	Compressed
Epoch Time	8.5–10.5 seconds	43–51 seconds
Final Accuracy	99.08%	98.90%
Training Loss at Epoch 20	0.0038	0.0065
Memory Reduction in Parameters	-	71.42%

## Parameter Count for Each Layer:

- conv1:  $1 \times 32 \times 3 \times 3 + 32 = 320$  parameters
- conv2:  $32 \times 64 \times 3 \times 3 + 64 = 18496$  parameters
- fc1:  $64 \times 7 \times 7 \times 128 + 128 = 401536$  parameters
- fc2:  $128 \times 10 + 10 = 1290$  parameters

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion





# Parameter Calculation and Memory Reduction

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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## Original Data Size:

$$421642 \text{ parameters} \times 32 \text{ bits} = 13492544 \text{ bits}$$

## Compressed Data Size:

- fc1 layer:  $401408 \times 8 \text{ bits} = 3211264 \text{ bits}$
- Cluster centers:  $32 \times 32 \text{ bits} = 1024 \text{ bits}$
- Uncompressed parameters:  $20362 \times 32 \text{ bits} = 651584 \text{ bits}$
- Total Compressed Size:  $3211264 + 1024 + 651584 = 3863872 \text{ bits}$

**Memory Reduction:**  $\frac{13492544 - 3863872}{13492544} \approx 71.4\%$

Compression reduces the data size to about 28.6% of the original size.

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

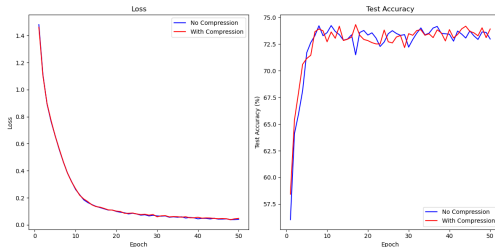
Challenges &  
Conclusion



# ResNet18 on CIFAR-10

- **Dataset:** CIFAR-10, consisting of 60,000 32x32 RGB images across 10 classes.
- **Model:** ResNet18 architecture.
- **Compression Applied:** The second convolutional layer in the fourth residual block (layer4[1].conv2.weight) was compressed using Mini-Batch K-means with 64 centroids.

```
class ResNet18WithCompression(nn.Module):  
    def __init__(self, compression=False, s=64):  
        super(ResNet18WithCompression, self).__init__()  
  
        self.model = models.resnet18(weights=None)
```





# Parameter Count and Compression

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

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Metric	Non-Compressed	Compressed
Epoch Time	20-22 seconds	140-170 seconds
Final Accuracy	72.97%	73.91%
Training Loss at Epoch 50	0.0410	0.0479
Memory Reduction in Compressed Layer	-	15.1%

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

**Parameter Count:** By checking the `model.named_parameters()`:

- `layer4[1].conv2.weight`: 2359296 parameters
- **Total Parameters in Model**: 11689512 parameters

**Original Data Size:**

$$11689512 \text{ parameters} \times 32 \text{ bits} = 374064384 \text{ bits}$$



# Parameter Calculation and Memory Reduction

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

## Compressed Data Size:

- conv2 layer:  $2359296 \times 8 \text{ bits} = 18874368 \text{ bits}$
- Cluster centers:  $64 \times 32 \text{ bits} = 2048 \text{ bits}$
- Uncompressed parameters:  $9330216 \times 32 \text{ bits} = 298566912 \text{ bits}$
- **Total Compressed Size:**  $18874368 + 2048 + 298566912 = 317443328 \text{ bits}$

## Memory Reduction:

$$\text{Memory Reduction} = \frac{374064384 - 317443328}{374064384} \approx 15.1\%$$

Compression reduces the data size to about 84.9% of the original size.



# Challenges and Conclusion

- **Model Optimality:**

- The compressed model is, at best, a suboptimal version of the original.
- Increasing the number of cluster centers can improve the approximation of original parameters.

- **Computational Overhead of K-means:**

- Despite optimizations, significant time is consumed during compression.( CPU and GPU)
- Further integration with the model's architecture may be necessary to improve efficiency.

- **Lack of Native Support for Memory Savings:**

- Existing machine learning frameworks do not natively support this compression method.
- Custom implementations are required for handling hashed matrices during the forward pass.

- **Conclusion:**

- Despite challenges, compression does not significantly degrade model accuracy and convergence.
- Beneficial in scenarios requiring smaller parameter matrices for transmission.

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion



# Q&A

Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

## Questions?



Large-Scale  
Deep Learning  
Models Using  
K-Means  
Compression

Xinhao Ying

Introduction

Background &  
Motivation

K-Means  
Algorithm &  
Optimizations

Experiments &  
Memory Saving  
Calculation

Challenges &  
Conclusion

$$y_{s_{ij}} \leftarrow \frac{N_{s_{ij}} \cdot y_{s_{ij}} + W_{ij}}{N_{s_{ij}} + 1}$$