



Dependency Theory — Part 1

Relational Databases Basics



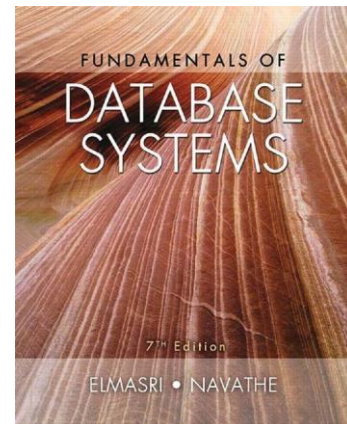
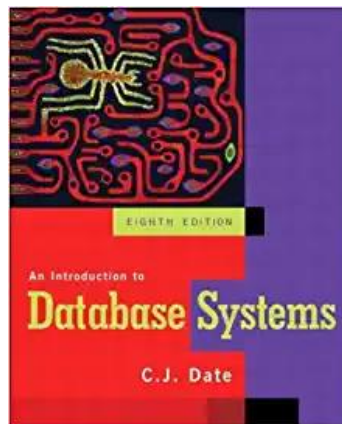
TRAINING
CENTER



Disclaimer

Dependency theory is much wider, deeper and complex, than any quick video may ever cover.

Refer to these (or any other) books for more information.



Before we begin...

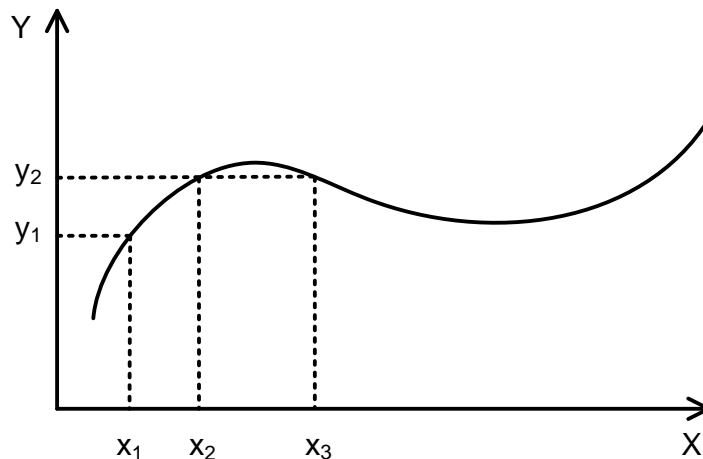
Most normal forms are based on some dependencies, so we absolutely have to study those dependencies prior to normal forms.

Important! Each and every dependency existence depends on subject matter ONLY. I.e. if subject matter rules change, any dependency may appear or disappear in any relation (without any changes being made to the relation itself).

Dependencies for the 0NF and 1NF

There are none 😊. Later we'll see that 0NF and 1NF do not rely on any dependency.

Functional dependency ($\{X\} \rightarrow \{Y\}$) – if two or more tuples have the same values in X fields, they have to have the same values in Y fields.



Dependencies for the 2NF: functional dependency

Functional dependency exists

$\{\text{Passport}\} \rightarrow \{\text{Full name}\}$

$\{\text{Employee ID}\} \rightarrow \{\text{Work experience}\}$

$\{\text{Student, Subject}\} \rightarrow \{\text{Mark}\}$

Functional dependency does not exist

$\{\text{Passport}\} \rightarrow \{\text{Full name}\}$

$\{\text{Employee ID}\} \rightarrow \{\text{Work experience}\}$

$\{\text{Student, Subject}\} \rightarrow \{\text{Mark}\}$

Once again! Each and every dependency existence depends on subject matter ONLY.

Full functional dependency ($\{X\} \rightarrow \{Y\}$) – if removal of any attribute A from X means that the dependency does not hold any more.

Partial functional dependency ($\{X\} \rightarrow \{Y\}$) – if some attribute A can be removed from X and the dependency still holds.

Dependencies for the 2NF: full and partial functional dependency

result

| <u>r_student_id</u> | <u>r_subject_id</u> | r_mark |
|---------------------|---------------------|--------|
| 1731 | 43 | 10 |
| 1731 | 42 | 10 |
| 1414 | 43 | 10 |
| 3443 | 42 | 10 |

Here we have full functional dependency:
 $\{r_student_id, r_subject_id\} \rightarrow \{r_mark\}$

$\{r_student_id, r_subject_id\} \rightarrow \{r_mark\}$

$\{r_student_id, ?\} \rightarrow \{?\}$

$\{?, r_subject_id\} \rightarrow \{?\}$

Dependencies for the 2NF: full and partial functional dependency

result

| <u>r_student_id</u> | <u>r_subject_id</u> | r_mark | r_payment_id |
|---------------------|---------------------|--------|--------------|
| 1731 | 43 | 10 | 1 |
| 1731 | 42 | 10 | 1 |
| 1414 | 43 | 10 | 2 |
| 3443 | 42 | 10 | 1 |

Here we have full still functional dependency:
 $\{r_student_id, r_subject_id\} \rightarrow \{r_mark\}$, and also
partial functional dependency:
 $\{r_student_id, \textcolor{red}{r_subject_id}\} \rightarrow \{r_payment_id\}$.

$\{r_student_id, r_subject_id\} \rightarrow \{r_mark\}$

$\{r_student_id, \textcolor{red}{?}\} \rightarrow \{r_payment_id\}$

Dependencies for the 2NF: just a quick overview

FD – for one X value there is exactly one Y value

Full FD – all X attributes are needed to determine Y value

Partial FD – some X attributes are NOT needed to determine Y value

$$X\{A_1, A_2, \dots, A_n\} \rightarrow \{Y\}$$

$$X\{A_1, A_2, \dots, A_n\} \rightarrow \{Y\}$$

$$X\{A_1, \cancel{A_2}, \dots, A_n\} \rightarrow \{Y\}$$

Transitive dependency ($\{X\} \rightarrow \{Y\} \rightarrow \{Z\}$) – a chain of functional dependencies $\{X\} \rightarrow \{Y\}$ and $\{Y\} \rightarrow \{Z\}$ (where $\{Y\}$ is neither a candidate key, nor a subset of any key).

Redundant transitive dependency ($\{X\} \rightarrow \{Y\} \rightarrow \{Z\}$) – a chain of functional dependencies $\{X\} \rightarrow \{Y\}$ and $\{Y\} \rightarrow \{Z\}$, where a dependency $\{X\} \rightarrow \{Z\}$ exists.

Dependencies for the 3NF and BCNF: (redundant) transitive dependency

current_result

| cr_student_id | cr_average_mark | cr_current_status |
|----------------------|------------------------|--------------------------|
| 1731 | 8.34 | Good |
| 2352 | 9.99 | The Best! |
| 5632 | 1.23 | Oh, my God... ☹️ |
| 4534 | 6.45 | Normal |

$\{cr_student_id\} \rightarrow \{cr_average_mark\} \rightarrow \{cr_current_status\}$

Here we have transitive dependency: $\{cr_student_id\} \rightarrow \{cr_average_mark\} \rightarrow \{cr_current_status\}$

Dependencies for the 3NF and BCNF: (redundant) transitive dependency

access_control

| ac_student_id | ac_pass_id | ac_student_name |
|---------------|------------|-----------------|
| 1731 | 34523 | Ivanov I.I. |
| 2352 | 46362 | Petrov P.P. |
| 5632 | 45346 | Sidorov S.S. |
| 4534 | 56745 | Sidorov S.S. |

$\{ac_student_id\} \rightarrow \{ac_pass_id\} \rightarrow \{ac_student_name\}$

$\{ac_student_id\} \rightarrow \{ac_student_name\}$

Here we have redundant transitive dependency: $\{ac_student_id\} \rightarrow \{ac_pass_id\} \rightarrow \{ac_student_name\}$

Trivial functional dependency ($\{X\} \rightarrow \{Y\}$) – a functional dependency $\{X\} \rightarrow \{Y\}$, where $X \supseteq Y$ (i.e. Y is a part of X). Such a dependency always holds.

Nontrivial functional dependency ($\{X\} \rightarrow \{Y\}$) – a functional dependency $\{X\} \rightarrow \{Y\}$, where $X \not\supseteq Y$ (i.e. Y is NOT a part of X). Such a dependency may be violated.

Dependencies for the 3NF and BCNF: (non)trivial functional dependency

result

| <u>r_student_id</u> | <u>r_subject_id</u> | r_mark |
|---------------------|---------------------|--------|
| 1731 | 43 | 10 |
| 1731 | 42 | 10 |
| 1414 | 43 | 10 |
| 3443 | 42 | 10 |

$\{r_student_id, r_subject_id\} \rightarrow \{r_student_id\}$

Trivial

$\{r_student_id, r_subject_id\} \rightarrow \{r_subject_id\}$

Trivial

$\{r_student_id, r_subject_id\} \rightarrow \{r_mark\}$

Nontrivial

<epam>

Dependency Theory – Part 1

Relational Databases Basics



TRAINING
CENTER

— <epam> —