# Lab 2: Maximum Satisfiability (MAXSAT)<sup>1</sup>

Released: February 21st, 2020 Deadline: March 13, 2020

Weight: 25 %

NB! This coursework is only compulsory for UG students taking the 20 cr module. It is a School policy not to set any deadline in week 10 or week 11 of Semester 2 for UG students to allow them time to complete their final year project reports. We will release a different Lab 2 with a later deadline for MSc students.

You need to implement one program that solves Exercise 1-3 using any programming language. In Exercise 5, you will run a set of experiments and describe the result using plots and a short discussion.

(In the following, replace abc123 with your username.) You need to submit one zip file with the name niso2-abc123.zip. The zip file should contain one directory named niso2-abc123 containing the following files:

- the source code for your program
- a Dockerfile (see instructions in the appendix)
- a PDF file for Exercises 4 and 5.

Maximum satisfiability (MAXSAT) is a fundamental combinatorial optimisation problem where we need to assign truth values to a set of variables. Before we can define the objective value of an assignment, we need to introduce some terminology.

An assignment of truth values to n variables can be represented as a bitstring  $x \in \{0,1\}^n$  of length n, where a 1-bit in position i, i.e.  $x_i = 1$ , indicates that we assign the value TRUE to the variable  $x_i$ . Conversely, a 0-bit in position i, i.e.,  $x_i = 0$ , indicates that we assign the value FALSE to the variable  $x_i$ . A positive literal is a variable  $x_i$ , for any  $i \in [n]$ , and a negative literal is the negation  $\neg x_i$ , for any  $i \in [n]$ . A clause is a set of one or more literals. A clause is satisfied by an assignment  $x \in \{0,1\}^n$  if it contains at least one positive literal  $x_i$  such that  $x_i = 1$ , or at least a negative literal  $\neg x_i$  such that  $x_i = 0$ . An instance of the MAXSAT problem consists of a set of m clauses, over n variables. The objective of the MAXSAT problem is to find an assignment which maximises the number of satisfied clauses. This is an NP-hard problem<sup>3</sup>.

The following example is an instance of the MAXSAT problem with n=3 variables and m=2

<sup>&</sup>lt;sup>1</sup>Revised: Friday 21<sup>st</sup> February, 2020 at 15:32.

<sup>&</sup>lt;sup>2</sup>Here, we use the notation  $[n] := \{1, ..., n\}$ .

<sup>&</sup>lt;sup>3</sup>If you can design an efficient algorithm for this problem, you can claim a US \$ 1 million prize from the Clay Mathematics Institute. http://www.claymath.org/millennium-problems

clauses.

$$(\neg x_1 \lor x_2 \lor x_3) \land (x_1 \lor x_2 \lor x_3)$$

The assignment x=000 satisfies the first clause  $(\neg x_1 \lor x_2 \lor x_3)$  because of the negative literal  $\neg x_1$ , but not the second clause  $(x_1 \lor x_2 \lor x_3)$  which contains only positive literals. In contrast, the assignment x=011 satisfies both clauses.

**Exercise 1.** (10 % of the marks) Implement a satisfiability checker which given a clause and an assignment determines whether the clause is satisfied by the assignment.

A clause is represented by a sequence of values, where you can ignore the first value. Each of the next values is either a positive integer, to indicate a positive literal, or a negative integer, indicating a negative literal. The indices of the literal start from 1, and not 0. The representation of a clause is completed by a integer 0. As an example, the string 0.5 2 1 -3 -4 0 represents the clause  $(x_2 \lor x_1 \lor \neg x_3 \lor \neg x_4)$ .

## Input arguments:

- -assignment an assignment as a bitstring
- -clause a clause description, as specified above

## Output:

• 1 if the clause is satisfied, and 0 otherwise

## Example:

```
[pkl@phi ocamlec]$ app_niso_lab2 -question 1 -clause "0.5 2 1 -3 -4 0" -assignment 0000 1 [pkl@phi ocamlec]$ app_niso_lab2 -question 1 -clause "0.5 2 1 -3 -4 0" -assignment 0011 0
```

Exercise 2. (10 % of the marks) Implement a routine for importing MAXSAT instances on the WDIMACS file format. A WDIMACS file is a text file where each line either

- begins with the letter c, indiciating that the rest of the line is a comment
- begins with p cnf n m x where n is the number of variables, m is the number of clauses, and x is an optional parameter which may or may not be present, or
- describes a clause, as explained in Exercise 1.

To test that the import routine works correctly, you should count the number of clauses which are satisfied by a given assignment.

# Input arguments:

- ullet -wdimacs name of file on WDIMACS format
- -assignment an assignment as a bitstring

### Output:

• the number of clauses in the file which are satisfied by the assignment

## Example:

```
[pkl@phi ocamlec]$ cat example.wcnf
c Example file
p wcnf 4 2
0 1 2 3 4 0
0 -1 -2 3 -4 0
[pkl@phi ocamlec]$ app_niso_lab2 -question 2 -wdimacs example.wcnf -assignment 0000
1
[pkl@phi ocamlec]$ app_niso_lab2 -question 2 -wdimacs example.wcnf -assignment 0001
```

# Exercise 3. (40 % of the marks)

Design an evolutionary algorithm for the MAXSAT problem. You can use any of the techniques described in the module so far, or other approaches. Your algorithm should return a solution as an assignment within a specified time budget (in seconds). The population should be randomly initialised before each repetition.

Your solution will be evaluated on instances from the MAXSAT Evaluation 2017 competition. You can download instances from http://mse17.cs.helsinki.fi (complete unweighted benchmarks).

## $Input\ arguments:$

- ullet -wdimacs  $name\ of\ file\ on\ WDIMACS\ format$
- -time\_budget number of seconds per repetition
- -repetitions the number of repetitions of the algorithm

## Output:

The output should be one line per repetition, where the following values are shown separated by the tab character

```
t nsat xbest
```

## where

- t is the runtime (number of generations times population size)
- nsat is the number of satisfied clauses in the returned solution
- xbest the solution found by the algorithm

### Example:

# Exercise 4. (10 % of the marks)

Describe your algorithm from Exercise 3 in the form of pseudo-code (see Lab 1 for an example). The pseudo-code should be sufficiently detailed to allow an exact re-implementation.

## Exercise 5. (30 % of the marks)

Your algorithm is likely to have several parameters, such as the population size, that must be set before running the algorithm. Choose three parameters which you think are essential for the behaviour of your algorithm. Run a set of experiments to determine the impact of these parameters on the quality (number of satisfied clauses) of the solutions obtained. For each parameter setting, run the algorithm on some selected problem instances from the MAXSAT competition (MSE17 complete unweighted benchmarks) which you can download from the following URL:

# $http://mse17.\ cs.\ helsinki.\ fi/benchmarks.\ html$

Note that some of the instances in the MAXSAT competition are very large. Unless you have a significant computational resources available, you might find it useful to start with the smallest instances. You will also need to set a reasonable time out value that allows you to run a sufficient number of experiments.

For each parameter setting and problem instance, make 100 repetitions. Plot the results as boxplots, and discuss your findings. Clearly indicate which of the instances you tested your code on.

### A. Docker Howto

Follow these steps exactly to build, test, save, and submit your Docker image. Please replace abc123 in the text below with your username.

- 1. Install Docker CE on your machine from the following website: https://www.docker.com/community-edition
- 2. Copy the PDF file from Exercises 4 and 5 all required source files, and/or bytecode to an empty directory named niso2-abc123 (where you replace abc123 with your username).

```
mkdir niso2-abc123
cd niso2-abc123/
cp ../exercise.pdf .
cp ../abc123.py .
```

3. Create a text file Dockerfile file in the same directory, following the instructions below.

```
# Do not change the following line. It specifies the base image which
# will be downloaded when you build your image. We will release a new
# base image for each lab.
FROM pklehre/niso-lab2
# Add all the files you need for your submission into the Docker image,
# e.g. source code, Java bytecode, etc. In this example, we assume your
# program is the Python code in the file abc123.py. For simplicity, we
# copy the file to the /bin directory in the Docker image. You can add
# multiple files if needed.
ADD abc123.py /bin
# Install all the software required to run your code. The Docker image
# is derived from the Debian Linux distribution. You therefore need to
# use the apt-get package manager to install software. You can install
# e.g. java, python, ghc or whatever you need. You can also
# compile your code if needed.
# Note that Java and Python are already installed in the base image.
# RUN apt-get update
# RUN apt-get -y install python-numpy
# The final line specifies your username and how to start your program.
# Replace abc123 with your real username and python /bin/abc123.py
# with what is required to start your program.
CMD ["-username", "abc123", "-submission", "python /bin/abc123.py"]
```

4. Build the Docker image as shown below. The base image pklehre/niso-lab2 will be downloaded from Docker Hub

```
docker build . -t niso2-abc123
```

5. Run the docker image to test that your program starts. A battery of test cases will be executed to check your solution.

```
docker run niso2-abc123
```

6. Once you are happy with your solution, compress the directory containing the Dockerfile as a zip-file. The directory should contain the source code, the Dockerfile, and the PDF file for Exercise 4 and 5. The name of the zip-file should be niso2-abc123.zip (again, replace the abc123 with your username).

Following the example above, the directory structure contained in the zip file should be as follows:

```
niso2-abc123/exercise.pdf
niso2-abc123/abc123.py
niso2-abc123/Dockerfile
```

Submissions which do not adhere to this directory structure will be rejected!

7. Submit the zip file niso2-abc123.zip on Canvas.