



THE UNIVERSITY *of* EDINBURGH
informatics

Distributed Systems

Predicates and Mutual Exclusion

Björn Franke
2016/2017

University of Edinburgh

Non-stable Predicates

- Where snapshots are not useful
- Non-stable predicates, e.g.
 - Was this file opened at some time?
 - Was $x1 - x2 < \delta$ ever?
 - Was the antenna accessed for two transmissions at the same time?
 - Non-stable predicates may have happened, but then system state changes..

Non-stable Predicates

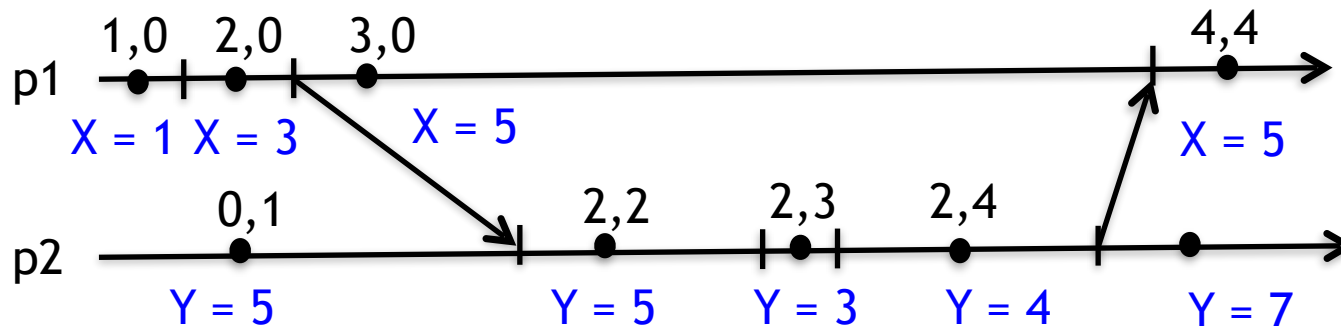
- **Possibly B:**
 - B could have happened
- **Definitely B:**
 - B definitely happened
- How can we check for definitely B and possibly B?

Collecting Global States

- Each process notes its state & vector timestamp
 - Sends it to a server for recording
 - Note: we do not need to save every time a state changes: only when it affects the predicates to be checked
 - Assuming we know what predicates will be checked
- The server looks at these and tries to figure out if predicate B was possibly or definitely true

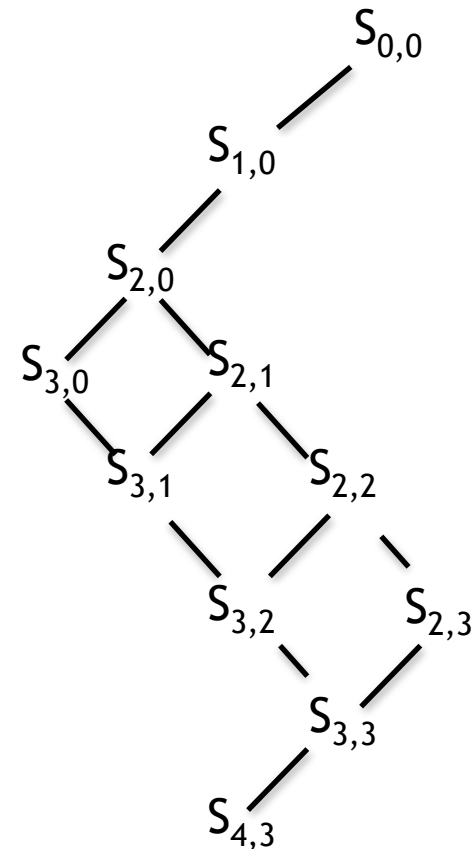
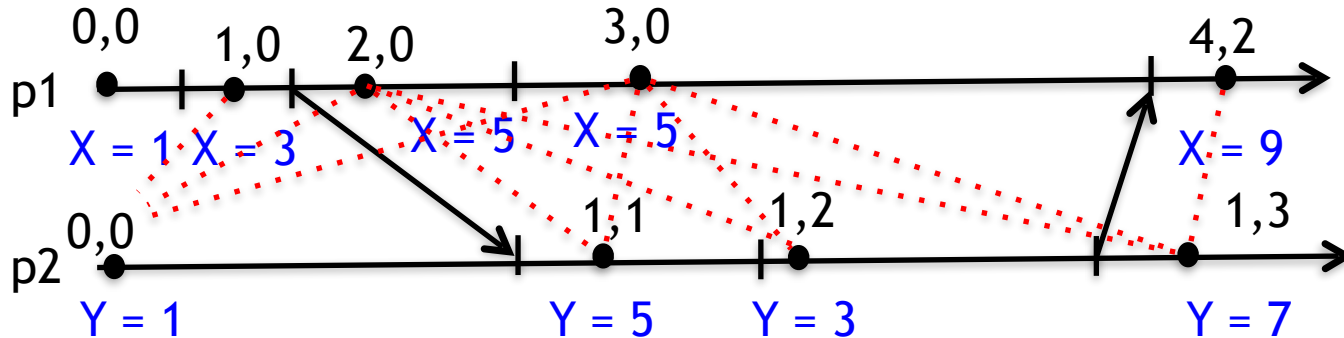
Possible States

- Server checks for possible states:
consistent cuts for B: $x=y$



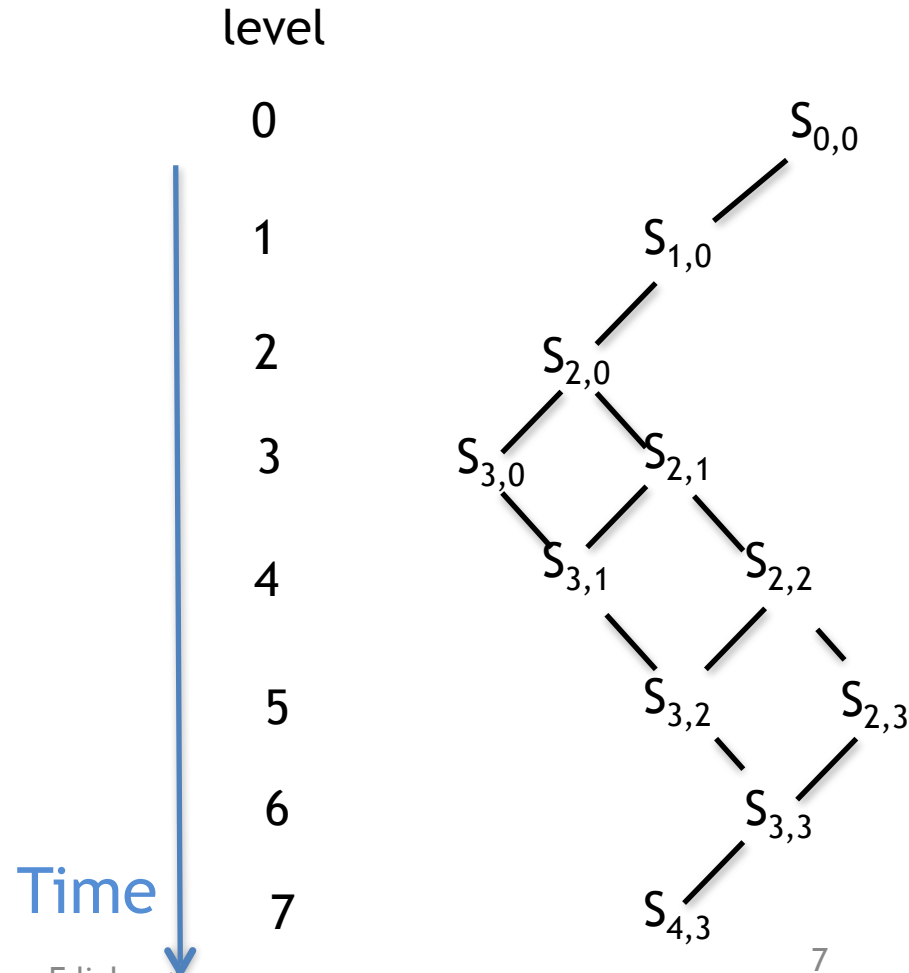
Possible States

- Server checks for possible states:
consistent cuts for B: $x=y$



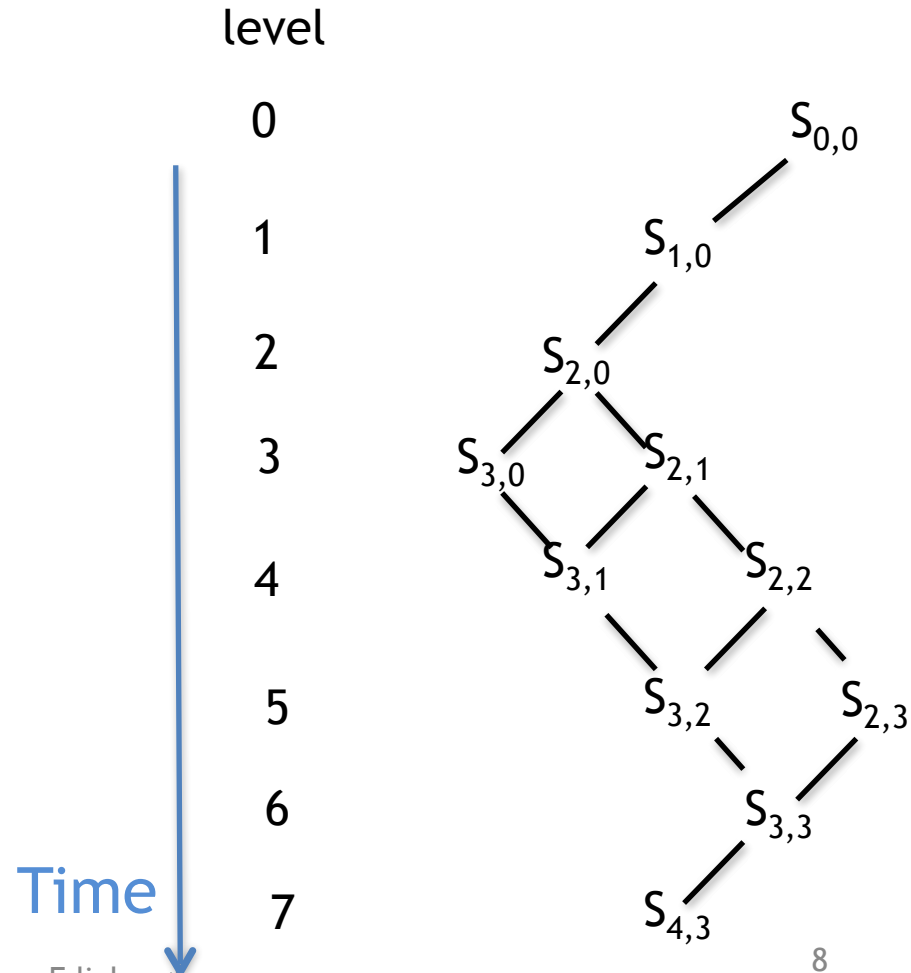
Lattice of global states (consistent cuts)

- Any downward path from Initial state to final state is a valid execution
 - A possible sequence of states that could have existed



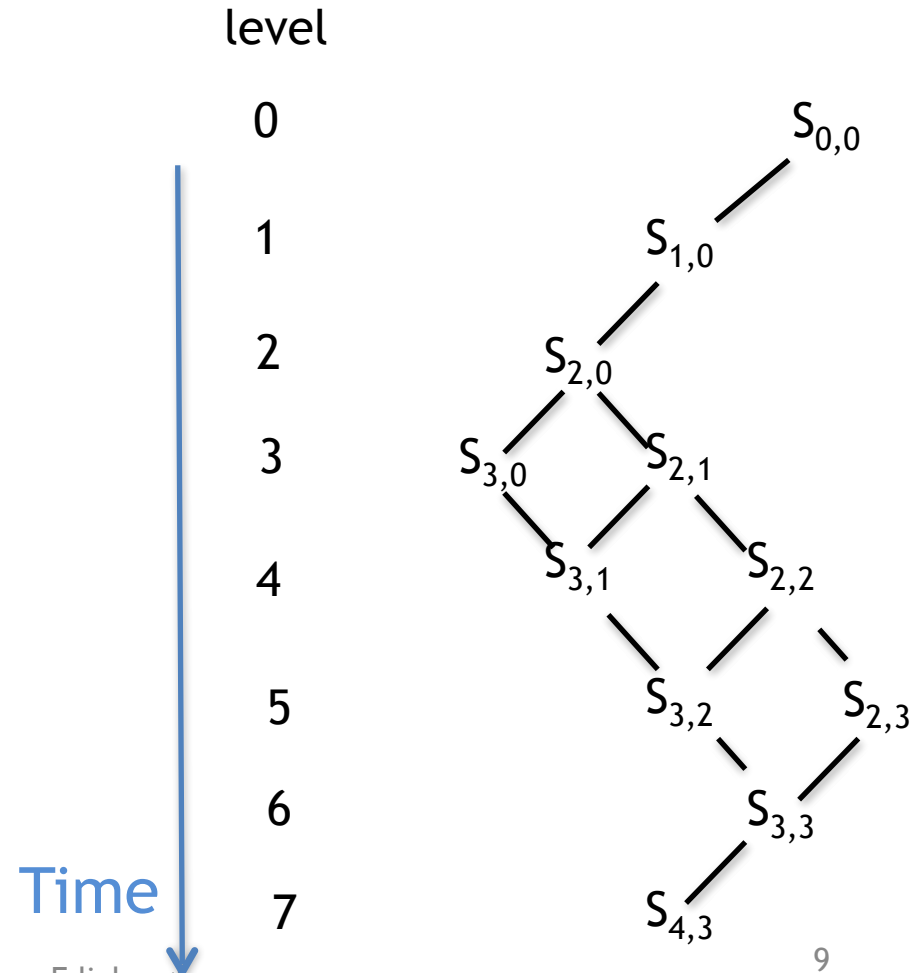
Lattice of global states (consistent cuts)

- **Possibly B:**
 - B occurs on at least one downward path
- **Definitely B**
 - B occurs on all downward paths



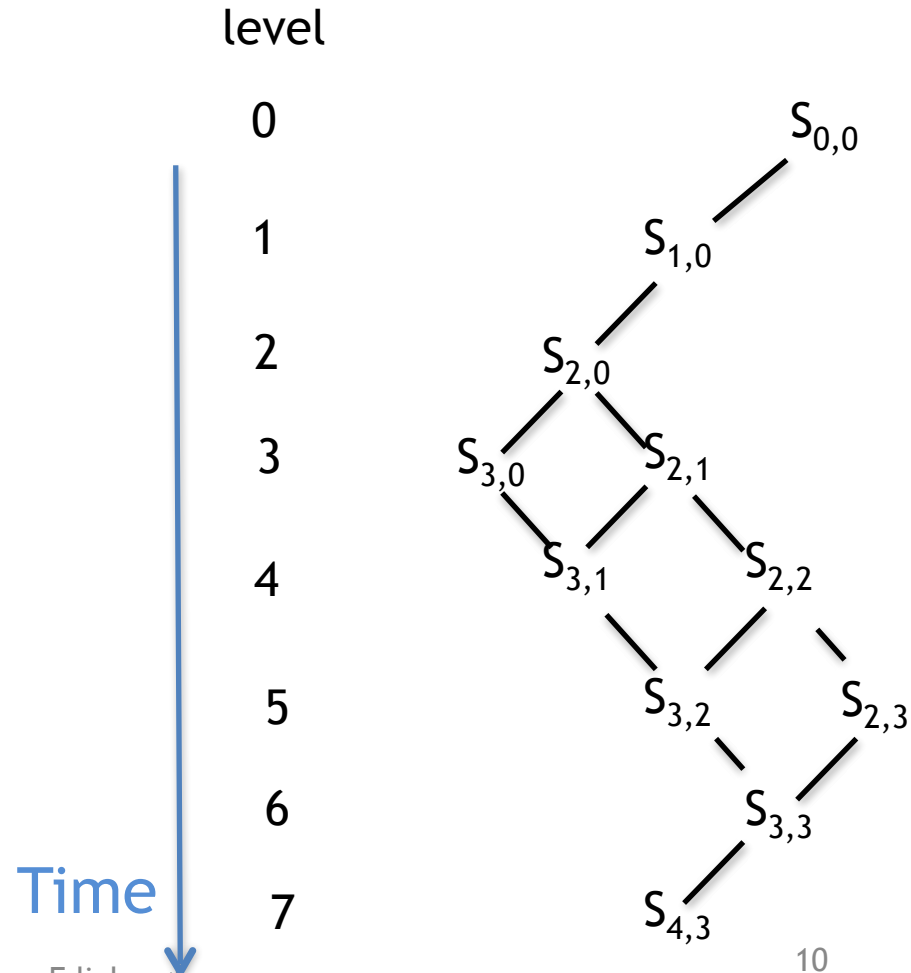
Lattice of global states (consistent cuts)

- How do you compute possibly and definitely B?



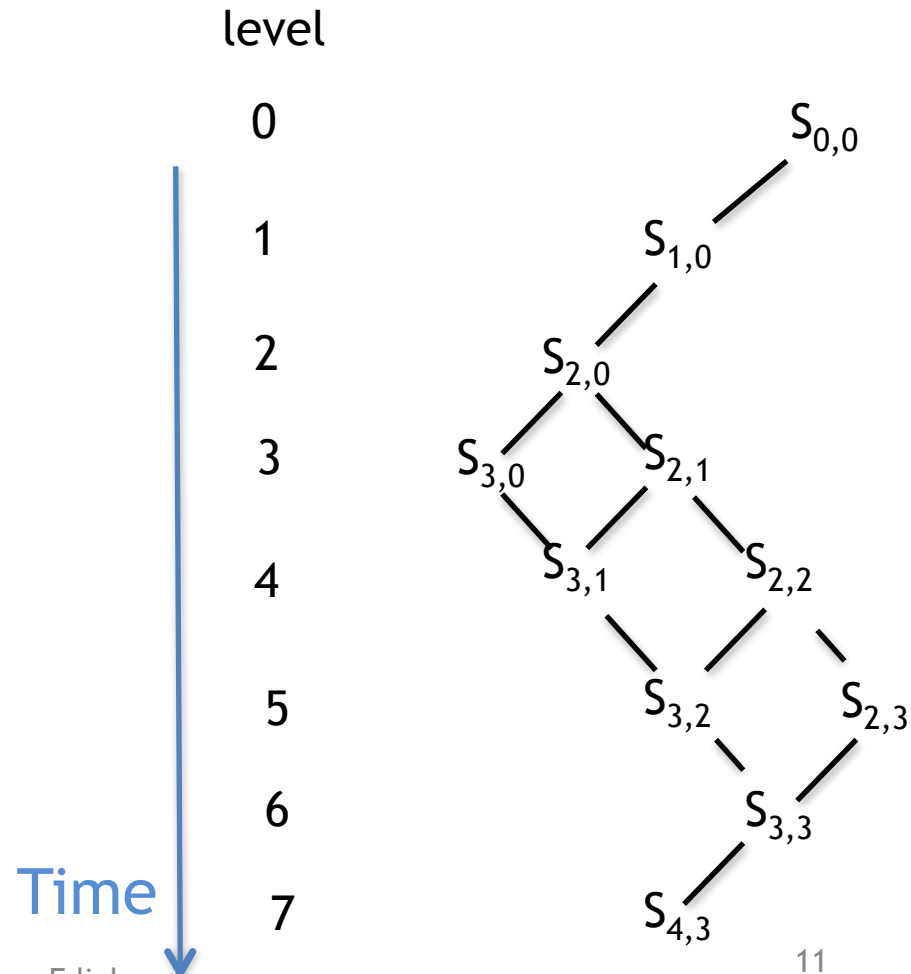
Lattice of global states (consistent cuts)

- Possibly B:
 - B occurs on at least one downward path
- Do a BFS from start state
 - If there is one state with B true, then possibly B is true



Lattice of global states (consistent cuts)

- Definitely B
 - B occurs on all downward paths
- Do a BFS from start state
 - Do not visit nodes with B: true
 - If BFS reaches final state and B is false in final state then Definitely B is false
 - Else Definitely B is true



What is the computational complexity?

What is the computational complexity?

- Possibly exponential in number of processes
- Problem is NP-complete
- Observation: more messages reduces complexity!

Mutual exclusion

Ref: CDK, VG

- Multiple processes should not use the same resource at once
 - Eg. Print to the same printer
 - Transmit/receive using the same antenna
 - Update the same database table
- Critical section (CS): the part of code that uses the restricted resource
- Mutual exclusion : restrict access to critical section to at most one process at one time

Properties in ME

- **Safety:** Two processes should not use critical section simultaneously

Properties in ME

- **Safety:** Two processes should not use critical section simultaneously
- **Liveness:** Every live request for CS is eventually granted
- **Fairness:** Requests must be granted in the order they are made (wrt logical time)

Distributed vs Centralized Mutex

- On a single computer, OS can manage access to a shared variable
- On a distributed system, we have to use messages

Assumption

- There is only one resource in question
- In reality there can be more, but for now, let us focus on just one
- All channels are FIFO

Central server algorithm

- There is a server or coordinator
 - Holds a “token” for the resource
- Other processes send token request to the server
- Server puts incoming requests in a queue
- Sends token to first process in queue
- Process returns token when done
- Server sends to next process

Central server algorithm

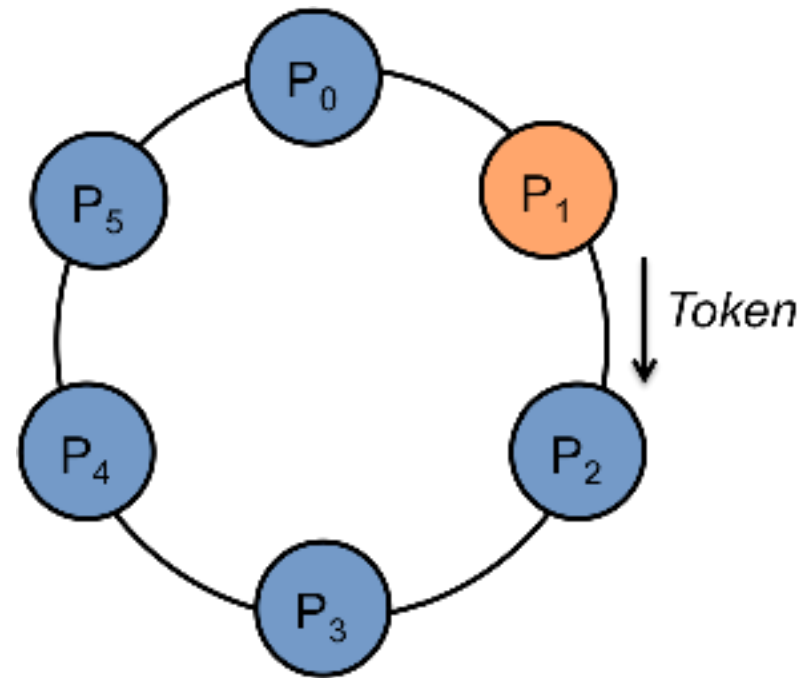
- What are the advantages and disadvantages?

Central server algorithm

- **Advantages**
 - Simple
 - Constant complexity per message
- **Disadvantages**
 - Central point of failure
 - Central bottleneck
 - Does not preserve order in asynchronous systems
 - Server must be selected/elected

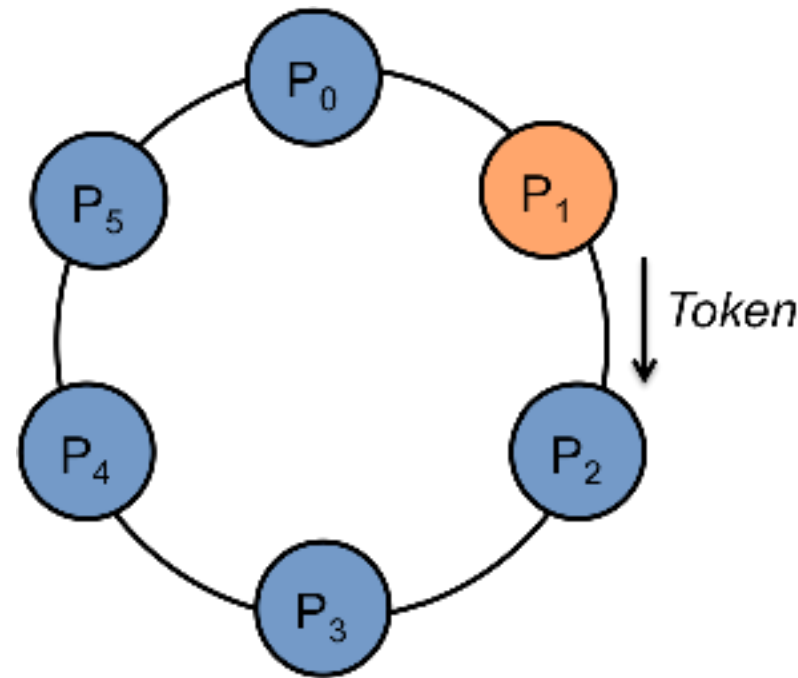
Token ring algorithm

- Processes are arranged in a ring
- The token is continuously passed in one direction
- A process on receiving token:
 - If it does not need CS, passes token to next one
 - If it needs CS, it holds token, executes CS and then passes token



Token ring algorithm

- Observe:
 - Processes do not need to be in an actual ring
 - Each process just needs to know the next process and have a method to send it a message



Token ring

- Problems:

Token ring

- Problems:
 - Not in-order
 - Long delay in getting token
 - Upto $n-1$
 - One failure breaks the ring
 - Passes token around even when there are no requests

Lamport's algorithm

- Every node i has a queue q_i of requests
 - Keeps requests sorted by logical timestamps
- Process i sends CS request:
 - Timestamped REQUEST (ts_i, i) to all processes
 - Enters (ts_i, i) to its own queue q_i
- Process j receives REQUEST (ts_i, i)
 - Send timestamped REPLY to i
 - Enter (ts_i, i) to q_j

Lamport's Algorithm

- Process i enters CS if
 - (ts_i, i) is at head of its own queue
 - It has received REPLY from all processes
- To release CS
 - Process i sends RELEASE message to all
- On receiving RELEASE, process j
 - Removes (ts_i, i) from q_j

Observations

- Requests granted in order consistent with happened before
- $3(n-1)$ messages per CS

Ricart and Agrawala's algorithm

- Main modification:
 - Node j does not send a REPLY if j has a request with timestamp lower than i 's request
 - j simply delays the REPLY until its RELEASE message

Ricart-Agrawala's algorithm

- Process i sends CS request:
 - Timestamped REQUEST (ts_i, i) to all processes
- Process j receives REQUEST (ts_i, i)
 - If j has no outstanding request of its own earlier than (ts_i, i) or is not executing CS
 - Send timestamped REPLY to i
 - Enter (ts_i, i) to q_j
 - Else keep (ts_i, i) pending

Ricart-Agrawala's algorithm

- Process i enters CS if
 - It has received REPLY from all processes
- To release CS
 - Sends REPLY message to pending processes

Ricart-Agrawala's algorithm

- Has no queues at processes
- The queue is maintained distributedly across all processes through timestamps and delayed replies
- Uses $2(n-1)$ messages

Maekawa's Quorum algorithm

- Idea: instead of getting permission from all processes, get permission from only a subset of processes
- For each process i , we have a voting set (quorum) V_i
 - For all i, j : $V_i \cap V_j \neq \emptyset$
 - For all i , $i \in V_i$
 - Voting sets are same size, each node is part of same number of sets

Maekawa's Quorum algorithm

- Idea:
 - Arrange nodes in a square grid
 - Quorum for node i :
 - All nodes in same row or same column as i
 - Any two quorums intersect
- Complexity?

- Complexity per CS: $O(\sqrt{n})$