

Recursion

Mike Burrell

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Concept

Algebraic tracing

Call stack tracing

Writing recursive  
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Base cases and inductive  
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# Recursion Functions

Mike Burrell

March 28, 2024

# Readings

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- <https://www.youtube.com/watch?v=aCPkszeKR44>
- <https://www.youtube.com/watch?v=k0bb7UYy0pY>

# Goals for this set of slides

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- Trace through a recursive function
- Structure a function recursively
- Understand the call stack better

# Recursion

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- Recursion is a function that calls itself
- Recursion is also a way of breaking down a problem into smaller problems

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- Recursion is a function that calls itself
- Recursion is also a way of breaking down a problem into smaller problems
- The smaller problems are still problems of the same form

# Recursion in math

## Recursion

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- Recursive definitions show up in mathematics a lot
- Defining something recursively sometimes gives a simpler way to explain/define it
- It can also make a good first step to writing a function recursively

# Recursion in math

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- Recursive definitions show up in mathematics a lot
- Defining something recursively sometimes gives a simpler way to explain/define it
- It can also make a good first step to writing a function recursively
- Example (factorial):

$$n! = \begin{cases} 1 & , \text{if } n \leq 1 \\ n \times (n-1)! & , \text{otherwise} \end{cases}$$

# Factorial example in code

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$$n! = \begin{cases} 1 & , \text{if } n \leq 1 \\ n \times (n-1)! & , \text{otherwise} \end{cases}$$

---

```
1 static long long factorial(int n) {  
2     if (n <= 1)     return 1;  
3     else             return n * factorial(n - 1);  
4 }
```

---



# Algebraic tracing

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- If a recursive function has no side-effects, it can be traced through algebraically

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

3!

# Algebraic tracing

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

$$\begin{aligned} & 3! \\ = & 3 \times (3-1)! \end{aligned}$$

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# Algebraic tracing

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

$$\begin{aligned} & 3! \\ &= 3 \times (3-1)! \\ &= 3 \times 2! \end{aligned}$$

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# Algebraic tracing

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

$$\begin{aligned} & 3! \\ = & 3 \times (3-1)! \\ = & 3 \times 2! \\ = & 3 \times 2 \times (2-1)! \end{aligned}$$

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# Algebraic tracing

Recursion

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

$$\begin{aligned} & 3! \\ = & 3 \times (3-1)! \\ = & 3 \times 2! \\ = & 3 \times 2 \times (2-1)! \\ = & 3 \times 2 \times 1! \end{aligned}$$

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# Algebraic tracing

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- If a recursive function has no side-effects, it can be traced through algebraically

$$n! = \begin{cases} 1 & , \text{ if } n \leq 1 \\ n \times (n-1)! & , \text{ otherwise} \end{cases}$$

$$\begin{aligned} & 3! \\ = & 3 \times (3-1)! \\ = & 3 \times 2! \\ = & 3 \times 2 \times (2-1)! \\ = & 3 \times 2 \times 1! \\ = & 3 \times 2 \times 1 \end{aligned}$$

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# Call stack tracing

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- Of course your computer isn't actually evaluating anything algebraically
- If a recursive function has side-effects, it can't be traced easily using algebra anyway
- How the computer is actually evaluating a recursive function is through regular call stack mechanics
  - No slides for this. Let's see it on the whiteboard



# Base cases and inductive cases

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- Every recursive function needs to finish recursing at some point
  - Infinite recursion is commonly not possible because it will cause a stack overflow
  - Even large (but finite) recursions can cause a stack overflow
- Generally the recursive functions you write will always have *if* statements at the top to distinguish between *base cases* and *inductive cases*

# Inductive case

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- An *inductive case* is also called a *recursive case*
- It is when we keep recursing (keep calling the same function)
- The most important rule is that, when recursing, the value we're recursing over *must become smaller*
  - For integers, this usually means tending towards zero
  - For arrays, this usually means having smaller elements
  - Other data structures will have other notions of becoming smaller

# Inductive case example

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Imagine we are writing a recursive function to define exponentiation over  $\mathbb{Z}^+$ .

$$x^y = \underbrace{x \cdot x \cdot \dots \cdot x}_y$$

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# Inductive case example

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Imagine we are writing a recursive function to define exponentiation over  $\mathbb{Z}^+$ .

$$x^y = \underbrace{x \cdot x \cdot \dots \cdot x}_y$$

We can rewrite this recursively (inductively) as:

$$x^y = x \cdot x^{y-1}$$

# Inductive case example

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Imagine we are writing a recursive function to define exponentiation over  $\mathbb{Z}^+$ .

$$x^y = \underbrace{x \cdot x \cdot \dots \cdot x}_y$$

We can rewrite this recursively (inductively) as:

$$x^y = x \cdot x^{y-1}$$

Note that:

- Our definition is now recursive ( $x^y$  is defined in terms of itself)
- One of our values is becoming smaller ( $y$  is getting closer to zero)

# Inductive case

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- Thinking of the inductive case first may help you figure out the general structure of the recursion
  - The hardest part of recursion is typically identifying which value is becoming smaller and how it is becoming smaller
- Some functions may have multiple inductive cases
- But the inductive case is not enough...

# Base case

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- A base case is when we stop recursing
- It's identified by an `if` statement that will identify that the value we're recursing over is now very small

# Base case example

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Imagine we are writing a recursive function to define exponentiation over  $\mathbb{Z}^+$ .

$$x^y = \underbrace{x \cdot x \cdot \dots \cdot x}_y$$

$$x^y = x \cdot x^{y-1}$$

Our  $y$  value is the value we're recursing over (the value which is decreasing). When does  $y$  get too small for this recursive property to hold?



# Base case example

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Imagine we are writing a recursive function to define exponentiation over  $\mathbb{Z}^+$ .

$$x^y = \underbrace{x \cdot x \cdot \dots \cdot x}_y$$

$$x^y = x \cdot x^{y-1}$$

Our  $y$  value is the value we're recursing over (the value which is decreasing). When does  $y$  get too small for this recursive property to hold?

$$x^0 = 1$$

We need to make our *base case* where  $y = 0$ .

# Putting it together

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So:

$$\begin{aligned}x^0 &= 1 \\ x^y &= x \cdot x^{y-1}\end{aligned}$$

Translated into code:

---

```
1 long exponent(long x, long y)
2 {
3     if (y == 0)    return 1;
4     else          return x * exponent(x, y - 1);
5 }
```

---

## Exercises

- 1 Fibonacci number
- 2 Finding a square root using a binary search
- 3 Determining if a string is a palindrome
  - We will use the `c_str()` method to convert a string object into a NUL-terminated `char*`

# Conclusion

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We learned:

- How to trace through recursive functions in two ways
- How recursive functions are structured