

# Discrete Mathematics for Computer Science

## Lecture 15: Relation

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# Overview of Last Lecture

## Linear Recurrence Relations

- Linear homogeneous recurrence relations

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k}$$

- Linear **nonhomogeneous** recurrence relations

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k} + F(n)$$

- ▶  $a_n = a_n^{(p)} + a_n^{(h)}$
- ▶  $a_n^{(h)}$ : the associated linear homogeneous recurrence relation
- ▶  $a_n^{(p)}$  for certain functions  $F(n)$ : polynomials and powers of constants.

## Generalized Permutations and Combinations

- Permutations with repetition
- Permutations with indistinguishable objects
- Combinations with repetition

# Linear Nonhomogeneous Recurrence Relations

Particular solution  $a_n^{(p)}$  with certain  $F(n)$ :

Suppose that  $\{a_n\}$  satisfies the linear nonhomogeneous recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k} + F(n),$$

where  $c_1, c_2, \dots, c_k$  are real numbers, and

$$F(n) = (b_t n^t + b_{t-1} n^{t-1} + \cdots + b_1 n + b_0) s^n,$$

where  $b_0, b_1, \dots, b_t$  and  $s$  are real numbers. When  $s$  is not a root of the characteristic equation of the associated linear homogeneous recurrence relation, there is a particular solution of the form

$$(p_t n^t + p_{t-1} n^{t-1} + \cdots + p_1 n + p_0) s^n.$$

When  $s$  is a root of this characteristic equation and its multiplicity is  $m$ , there is a particular solution of the form

$$n^m (p_t n^t + p_{t-1} n^{t-1} + \cdots + p_1 n + p_0) s^n.$$

# Example 1

$$a_n = 6a_{n-1} - 9a_{n-2} + F(n) \text{ with } F(n) = n^2 2^n \text{ and } F(n) = (n^2 + 1)3^n.$$

To compute  $a_n^{(h)}$ :

The characteristic equation is

$$r^2 - 6r + 9 = 0.$$

This characteristic equation has a single root  $r = 3$  of multiplicity  $m = 2$ .

$$a_n^{(h)} = (\alpha_1 + \alpha_2 n)3^n.$$

# Example 1

$a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  with  $F(n) = n^2 2^n$  and  $F(n) = (n^2 + 1)3^n$ .

To compute  $a_n^{(h)}$ :  $a_n^{(h)} = (\alpha_1 + \alpha_2 n)3^n$ .

To compute  $a_n^{(p)}$  of  $F(n) = n^2 2^n$ :

Since  $s = 2$  is not a root of the characteristic equation, we have

$$a_n^{(p)} = (p_2 n^2 + p_1 n + p_0)2^n.$$

Substituting  $a_n^{(p)}$  into  $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  to derive  $p_2$ ,  $p_1$ , and  $p_0$ :

$$\begin{aligned}(p_2 n^2 + p_1 n + p_0)2^n &= 6(p_2(n-1)^2 + p_1(n-1) + p_0)2^{n-1} \\ &\quad - 9(p_2(n-2)^2 + p_1(n-2) + p_0)2^{n-2} + n^2 2^n.\end{aligned}$$

$$a_n = a_n^{(h)} + a_n^{(p)} = (\alpha_1 + \alpha_2 n)3^n + (p_2 n^2 + p_1 n + p_0)2^n$$



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# Example 1

$a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  with  $F(n) = n^2 2^n$  and  $F(n) = (n^2 + 1)3^n$ .

To compute  $a_n^{(h)}$ :  $a_n^{(h)} = (\alpha_1 + \alpha_2 n)3^n$ .

To compute  $a_n^{(p)}$  of  $F(n) = (n^2 + 1)3^n$ :

Since  $s = 3$  is a root of the characteristic equation with multiplicity  $m = 2$ , we have

$$a_n^{(p)} = 3^n (p_2 n^2 + p_1 n + p_0).$$

Substituting  $a_n^{(p)}$  into  $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  to derive  $p_2$ ,  $p_1$ , and  $p_0$ :

$$\dots$$
$$a_n = a_n^{(h)} + a_n^{(p)} = (\alpha_1 + \alpha_2 n)3^n + n^2(p_2 n^2 + p_1 n + p_0)3^n.$$

## Example 2: The Term $n^m$

Find all solutions of the recurrence relation

$$a_n = 5a_{n-1} - 6a_{n-2} + 2^n$$

**Solution:**

- $a_n^{(h)} = \alpha_1 \cdot 3^n + \alpha_2 \cdot 2^n$
- $a_n^{(p)}$  should be in the form of  $np_0 2^n$ .
- Try  $a_n^{(p)} = p_0 \cdot 2^n$ :

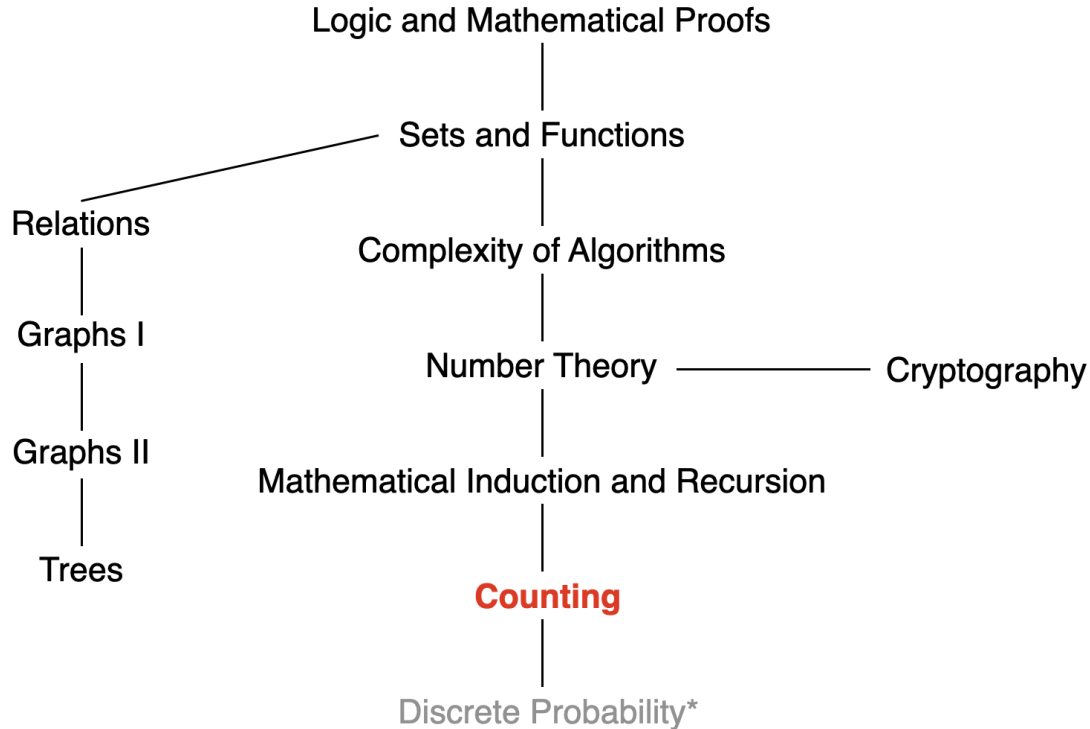
$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2} + 2^n.$$


Since  $s = 2$  is a root of the characteristic equation,

$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2}$$

always holds. Thus, we obtain  $0 = 4$ .

# This Lecture



Counting basis, Permutations and Combinations, Binomial Coefficients,  
The Birthday Paradox, Solving Linear Recurrence Relations,  **SUSTech** Southern University of Science and Technology  
Generalized Permutations and Combinations, **Generating Function**, ...



# Generating Function

- Definition of generating function
- Useful facts
- Generating function and combinations with repetition
- Generating function to solve recurrence relations

# Generating Function

The **generating function** for the sequence  $a_0, a_1, \dots, a_k, \dots$  of **real numbers** is the infinite series

$$G(x) = a_0 + a_1x + \dots + a_kx^k + \dots = \sum_{k=0}^{\infty} a_kx^k.$$

## Example:

- The sequence  $\{a_k\}$  with  $a_k = 3$

$$\sum_{k=0}^{\infty} 3x^k$$

- The sequence  $\{a_k\}$  with  $a_k = 2^k$

$$\sum_{k=0}^{\infty} 2^k x^k$$

# Generating Function: Finite Series

A finite sequence  $a_0, a_1, \dots, a_n$  can be easily extended by setting  $a_{n+1} = a_{n+2} = \dots = 0$ .

The generating function  $G(x)$  of this infinite sequence  $\{a_n\}$  is a polynomial of degree  $n$ , i.e.,

$$G(x) = a_0 + a_1x + \dots + a_nx^n.$$

**Example:** What is the generating function for the sequence  $a_0, a_1, \dots, a_m$ , with  $a_k = C(m, k)$ ?

$$G(x) = C(m, 0) + C(m, 1)x + C(m, 2)x^2 + \dots + C(m, m)x^m.$$

Based on binomial theorem,  $G(x) = (1 + x)^m$ .

$$(x + y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j = \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \dots + \binom{n}{n-1} x y^{n-1} + \binom{n}{n} y^n.$$

# Generating Function

- Definition of generation function
- Useful facts
- Generating function and combinations with repetition
- Generating function to solve recurrence relations

# Useful Facts

- For  $|x| < 1$ , function  $G(x) = 1/(1 - x)$  is the generating function of the sequence  $1, 1, 1, 1, \dots$ ,

$$1/(1 - x) = 1 + x + x^2 + \dots$$

- For  $|ax| < 1$ , function  $G(x) = 1/(1 - ax)$  is the generating function of the sequence  $1, a, a^2, a^3, \dots$ ,

$$1/(1 - ax) = 1 + ax + a^2x^2 + \dots$$

- For  $|x| < 1$ ,  $G(x) = 1/(1 - x)^2$  is the generating function of the sequence  $1, 2, 3, 4, 5, \dots$ .

$$1/(1 - x)^2 = 1 + 2x + 3x^2 + \dots$$

# Operations of Generating Functions

**Theorem:** Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$ , and  $g(x) = \sum_{k=0}^{\infty} b_k x^k$ . Then,

$$f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$$

$$f(x)g(x) = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k$$

**Example 1:** To obtain the corresponding sequence of  $G(x) = 1/(1-x)^2$ : Consider  $f(x) = 1/(1-x)$  and  $g(x) = 1/(1-x)$ . Since the sequence of  $f(x)$  and  $g(x)$  corresponds to 1, 1, 1, ..., we have

$$G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1)x^k.$$

# Operations of Generating Functions

**Theorem:** Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$ , and  $g(x) = \sum_{k=0}^{\infty} b_k x^k$ . Then,

$$f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$$

$$f(x)g(x) = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k$$

**Example 2:** To obtain the corresponding sequence of  $G(x) = 1/(1 - ax)^2$  for  $|ax| < 1$ :

Consider  $f(x) = 1/(1 - ax)$  and  $g(x) = 1/(1 - ax)$ . Since the sequence of  $f(x)$  and  $g(x)$  corresponds to  $1, a, a^2, \dots$ , we have

$$G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1) a^k x^k$$



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# Useful Generating Functions

$$(1+x)^n = \sum_{k=0}^n C(n, k)x^k$$

$$(1+ax)^n = \sum_{k=0}^n C(n, k)a^k x^k$$

$$(1+x^r)^n = \sum_{k=0}^n C(n, k)x^{rk}$$

$$\frac{1-x^{n+1}}{1-x} = \sum_{k=0}^n x^k = 1 + x + x^2 + \dots + x^n$$

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \dots$$

$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} a^k x^k = 1 + ax + a^2 x^2 + \dots$$

$$\frac{1}{1-x^r} = \sum_{k=0}^{\infty} x^{rk} = 1 + x^r + x^{2r} + \dots$$

$$\frac{1}{(1-x)^2} = \sum_{k=0}^{\infty} (k+1)x^k = 1 + 2x + 3x^2 + \dots$$



# Useful Generating Functions

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} C(n+k-1, k)x^k$$

$$\frac{1}{(1+x)^n} = \sum_{k=0}^{\infty} C(n+k-1, k)(-1)^k x^k$$

$$\frac{1}{(1-ax)^n} = \sum_{k=0}^{\infty} C(n+k-1, k)a^k x^k$$

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!} = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

$$\ln(1+x) = \sum_{k=0}^{\infty} \frac{(-1)^{k+1} x^k}{k} = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$$

# Extended Binomial Coefficient

Let  $u$  be a **real number** and  $k$  a nonnegative integer. Then the extended binomial coefficient  $\binom{u}{k}$  is defined by

$$\binom{u}{k} = \begin{cases} u(u-1) \cdots (u-k+1)/k! & \text{if } k > 0, \\ 1 & \text{if } k = 0. \end{cases}$$

Here,  $u$  can be any real number, e.g., negative integers, non-integers, ...

# Extended Binomial Coefficient

$$\binom{u}{k} = \begin{cases} u(u-1)\cdots(u-k+1)/k! & \text{if } k > 0, \\ 1 & \text{if } k = 0. \end{cases}$$

**Example:** Find the extended binomial coefficients  $\binom{-2}{3}$  and  $\binom{1/2}{3}$ .

Taking  $u = -2$  and  $k = 3$

$$\binom{-2}{3} = \frac{(-2)(-3)(-4)}{3!} = -4.$$

Taking  $u = 1/2$  and  $k = 3$

$$\begin{aligned} \binom{1/2}{3} &= \frac{(1/2)(1/2-1)(1/2-2)}{3!} \\ &= (1/2)(-1/2)(-3/2)/6 \\ &= 1/16. \end{aligned}$$

# Extended Binomial Coefficient

When  $u$  is a **negative integer**:

$$\begin{aligned}\binom{-n}{r} &= \frac{(-n)(-n-1)\cdots(-n-r+1)}{r!} \\ &= \frac{(-1)^r n(n+1)\cdots(n+r-1)}{r!} \\ &= \frac{(-1)^r (n+r-1)(n+r-2)\cdots n}{r!} \\ &= \frac{(-1)^r (n+r-1)!}{r!(n-1)!} \\ &= (-1)^r \binom{n+r-1}{r} \\ &= (-1)^r C(n+r-1, r).\end{aligned}$$

# Extended Binomial Theorem

**Theorem:** Let  $x$  be a real number with  $|x| < 1$  and let  $u$  be a **real number**. Then,

$$(1 + x)^u = \sum_{k=0}^{\infty} \binom{u}{k} x^k.$$

**Example:**

$$(1 + x)^{-n} = \sum_{k=0}^{\infty} \binom{-n}{k} x^k$$

# Generating Function

- Definition of generation function
- Useful facts
- Generating function and combinations with repetition
- Generating function to solve recurrence relations

# Generating Function and Combinations with Repetitions

Recall the following example:

How many solutions does the equation

$$x_1 + x_2 + x_3 = 11$$

have, where  $x_1 \geq 1$ ,  $x_2 \geq 2$ , and  $x_3 \geq 3$  are nonnegative integers?

This type of counting problem can be solved with generating function.

# Generating Function and Combinations with Repetitions

Formally, generating functions can also be used to solve counting problems of the following type:

$$e_1 + e_2 + \cdots + e_n = C,$$

where  $C$  is a constant and each  $e_i$  is a **nonnegative integer** that may be subject to a **specified constraint**.



# Example 1

Find the number of solutions of

$$e_1 + e_2 + e_3 = 17,$$

where  $e_1$ ,  $e_2$ , and  $e_3$  are nonnegative integers with  $2 \leq e_1 \leq 5$ ,  $3 \leq e_2 \leq 6$ , and  $4 \leq e_3 \leq 7$ .

**Solution:** The number of solutions with the indicated constraints is the coefficient of  $x^{17}$  in the expansion of

$$(x^2 + x^3 + x^4 + x^5)(x^3 + x^4 + x^5 + x^6)(x^4 + x^5 + x^6 + x^7).$$

By enumerating all possibilities, we have that the coefficient of  $x^{17}$  in this product is 3.

## Example 2

In how many different ways can **eight identical cookies** be distributed among **three distinct children** if each child receives **at least two cookies** and **no more than four cookies**?

**Solution:** This corresponds to the coefficient of  $x^8$  of expansion

$$(x^2 + x^3 + x^4)^3$$

This coefficient equals 6.

## Example 3

Use **generating functions** to determine the number of ways to insert tokens worth \$1, \$2, and \$5 into a vending machine to pay for an item that costs  $r$  dollars in the cases

- Case 1: when the order **does not matter**  
E.g., three \$1 tokens; one \$1 token and a \$2 token
- Case 2: when the order **does matter**  
E.g., three \$1 tokens; a \$1 token and then a \$2 token; a \$2 token and then a \$1 token

## Example 3

### Case 1: when the order **does not matter**

The answer is the coefficient of  $x^r$  in the generating function


$$(1 + x + x^2 + x^3 + \cdots)(1 + x^2 + x^4 + x^6 + \cdots)(1 + x^5 + x^{10} + x^{15} + \cdots).$$

### Case 2: when the order **does matter**

The number of ways to insert exactly  $n$  tokens to produce a total of  $r$  dollars is the coefficient of  $x^r$  in

$$(x + x^2 + x^5)^n$$

Because any number of tokens may be inserted,

$$1 + (x + x^2 + x^5) + (x + x^2 + x^5)^2 + \cdots = \frac{1}{1 - (x + x^2 + x^5)}$$


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## Example 4

Use generating functions to find the number of  $r$ -combinations of a set with  $n$  elements.

**Solution:** The answer is the coefficient of  $x^r$  in generating function

$$(1 + x)^n$$

But by the binomial theorem, we have

$$f(x) = \sum_{r=0}^n \binom{n}{r} x^r.$$

Thus,  $\binom{n}{r}$  is the answer.

## Example 5

Use generating functions to find the number of  $r$ -combinations from a set with  $n$  elements when **repetition** of elements is allowed.

**Solution:** The answer is the coefficient of  $x^r$  in generating function

$$G(x) = (1 + x + x^2 + \cdots)^n.$$

As long as  $|x| < 1$ , we have  $1 + x + x^2 + \cdots = 1/(1 - x)$ , so

$$G(x) = 1/(1 - x)^n = (1 - x)^{-n}.$$

Applying the extended binomial theorem

$$(1 - x)^{-n} = (1 + (-x))^{-n} = \sum_{r=0}^{\infty} \binom{-n}{r} (-x)^r.$$

Hence, the coefficient of  $x^r$  equals  $\binom{-n}{r} (-1)^r = C(n+r-1, r)$ .



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## Example 6

Use generating functions to find the number of ways to select  $r$  objects of  $n$  different kinds if we must select **at least one** object of each kind.

**Solution:** The answer is the coefficient of  $x^r$  in generating function

$$G(x) = (x + x^2 + x^3 + \cdots)^n = x^n(1 + x + x^2 + \cdots)^n = x^n/(1 - x)^n.$$

$$\begin{aligned} G(x) &= x^n/(1 - x)^n \\ &= x^n \cdot (1 - x)^{-n} \\ &= x^n \sum_{r=0}^{\infty} \binom{-n}{r} (-x)^r \\ &= x^n \sum_{r=0}^{\infty} (-1)^r C(n + r - 1, r) (-1)^r x^r \\ &= \sum_{r=n}^{\infty} C(r - 1, r - n) x^r. \end{aligned}$$
$$\begin{aligned} &= \sum_{r=0}^{\infty} C(n + r - 1, r) x^{n+r} \\ &= \sum_{t=n}^{\infty} C(t - 1, t - n) x^t \end{aligned}$$

Hence, there are  $C(r - 1, r - n)$  ways to select  $r$  objects of  $n$  different kinds if we must select at least one object of each kind.



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# Generating Function and Combinations with Repetitions

- Based on the combination problem, transfer the problem as finding the coefficient of  $x^r$  of a generating function, e.g.,

$$G(x) = (1 + x + x^2 + x^3 + \cdots)^n$$

- Find the coefficient of  $x^r$ 
  - ▶ Enumerate all possibilities or
  - ▶ Use useful generating functions



# Generating Function

- Definition of generation function
- Useful facts
- Generating function and combinations with repetition
- Generating function to solve recurrence relations

## Example 1

Solve the recurrence relation  $a_k = 3a_{k-1}$  for  $k = 1, 2, 3, \dots$  and initial condition  $a_0 = 2$ .

Let  $G(x)$  be the generating function for the sequence  $\{a_k\}$ , that is,  $G(x) = \sum_{k=0}^{\infty} a_k x^k$ . We aim to first derive the formulation of  $G(x)$ .

$$\begin{aligned} G(x) - 3xG(x) &= \sum_{k=0}^{\infty} a_k x^k - 3 \sum_{k=1}^{\infty} a_{k-1} x^k \\ &= a_0 + \sum_{k=1}^{\infty} (a_k - 3a_{k-1}) x^k \\ &= 2, \end{aligned}$$

Thus,  $G(x) - 3xG(x) = (1 - 3x)G(x) = 2$ :

$$G(x) = \frac{2}{(1 - 3x)}.$$

## Example 1

Solve the recurrence relation  $a_k = 3a_{k-1}$  for  $k = 1, 2, 3, \dots$  and initial condition  $a_0 = 2$ .

Solution: We aim to first derive the formulation of  $G(x)$ .

$$G(x) = \frac{2}{(1 - 3x)}.$$

Then, derive  $a_k$  using the identity  $1/(1 - ax) = \sum_{k=0}^{\infty} a_k x^k$ . That is,

$$G(x) = 2 \sum_{k=0}^{\infty} 3^k x^k = \sum_{k=0}^{\infty} 2 \cdot 3^k x^k$$

Consequently,  $a_k = 2 \cdot 3^k$ .

## Example 2

Consider the sequence  $\{a_n\}$  satisfies the recurrence relation

$$a_n = 8a_{n-1} + 10^{n-1},$$

and the initial condition  $a_1 = 9$ . Use generating functions to find an explicit formula for  $a_n$ .

**Solution:** We extend this sequence by setting  $a_0 = 1$ . We have  $a_1 = 8a_0 + 10^0 = 8 + 1 = 9$ . Let  $G(x) = \sum_{n=0}^{\infty} a_n x^n$ .

$$\begin{aligned} G(x) - 1 &= \sum_{n=1}^{\infty} a_n x^n = \sum_{n=1}^{\infty} (8a_{n-1} x^n + 10^{n-1} x^n) \\ &= 8 \sum_{n=1}^{\infty} a_{n-1} x^n + \sum_{n=1}^{\infty} 10^{n-1} x^n \\ &= 8x \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1} x^{n-1} \\ &= 8x \sum_{n=0}^{\infty} a_n x^n + x \sum_{n=0}^{\infty} 10^n x^n \\ &= 8xG(x) + x/(1 - 10x), \end{aligned}$$

## Example 2

Consider the sequence  $\{a_n\}$  satisfies the recurrence relation

$$a_n = 8a_{n-1} + 10^{n-1},$$

and the initial condition  $a_1 = 9$ .

**Solution:** Thus,

$$G(x) = \frac{1 - 9x}{(1 - 8x)(1 - 10x)} = G(x) = \frac{1}{2} \left( \frac{1}{1 - 8x} + \frac{1}{1 - 10x} \right).$$

$$\begin{aligned} G(x) &= \frac{1}{2} \left( \sum_{n=0}^{\infty} 8^n x^n + \sum_{n=0}^{\infty} 10^n x^n \right) \\ &= \sum_{n=0}^{\infty} \frac{1}{2} (8^n + 10^n) x^n. \end{aligned}$$

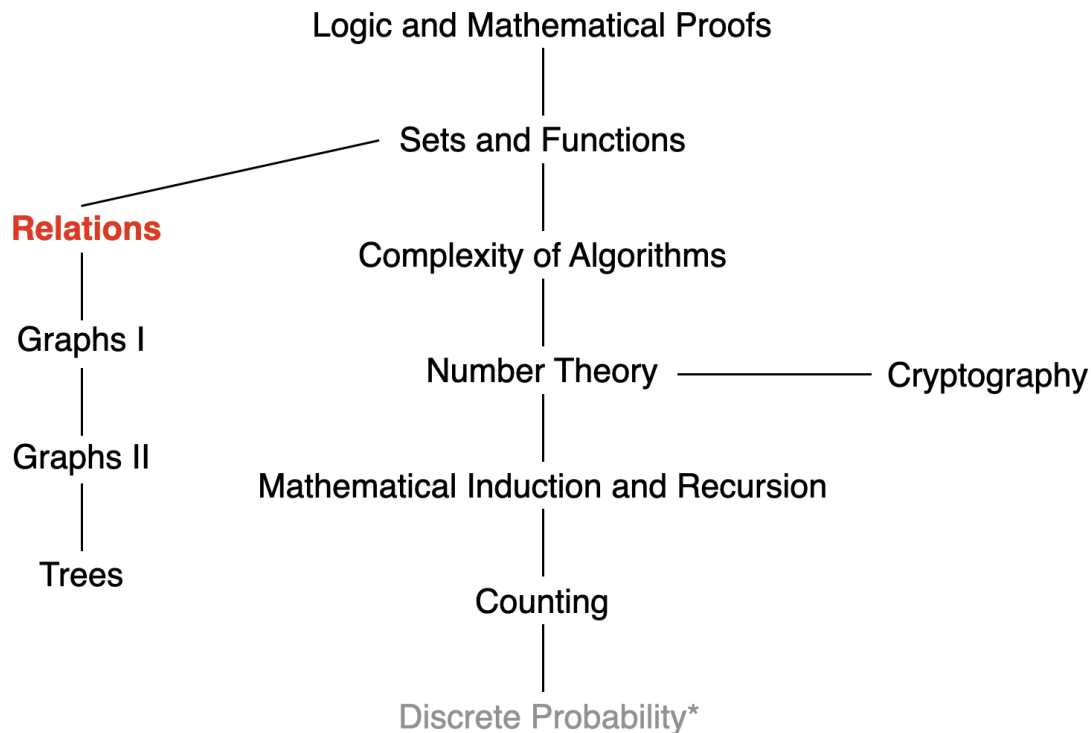
Thus,  $a_n = \frac{1}{2}(8^n + 10^n)$ .

# Generating function to solve recurrence relations

Let  $G(x) = \sum_{k=0}^{\infty} a_k x^k$ .

- Based on the recurrence relations, derive the formulation of  $G(x)$ .
- Using identities (or the useful facts of generating functions), derive sequence  $\{a_k\}$ .

# This Lecture



# Cartesian Product

Let  $A = \{a_1, a_2, \dots, a_m\}$  and  $B = \{b_1, b_2, \dots, b_n\}$ , the Cartesian product  $A \times B$  is the set of pairs

$$\{(a_1, b_1), (a_2, b_2), \dots, (a_1, b_n), \dots, (a_m, b_n)\}.$$

Cartesian product defines a set of all **ordered** arrangements of elements in the two sets.

A subset  $R$  of the Cartesian product  $A \times B$  is called a **relation** from the set  $A$  to the set  $B$ .



# Binary Relation

**Definition:** Let  $A$  and  $B$  be two sets. A **binary relation** from  $A$  to  $B$  is a subset of a Cartesian product  $A \times B$ .

Let  $R \subseteq A \times B$  denote  $R$  is a set of **ordered pairs** of the form  $(a, b)$  where  $a \in A$  and  $b \in B$ .

We use the notation  $aRb$  to denote  $(a, b) \in R$ , and  $a \not R b$  to denote  $(a, b) \notin R$ .

**Example:** Let  $A = \{a, b, c\}$  and  $B = \{1, 2, 3\}$

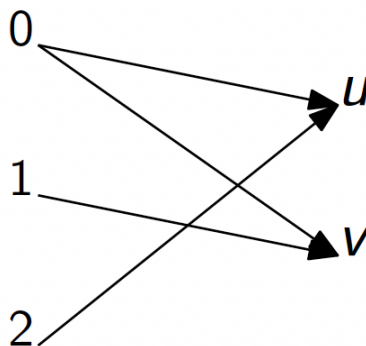
- Is  $R = \{(a, 1), (b, 2), (c, 2)\}$  a relation from  $A$  to  $B$ ?
- Is  $Q = \{(1, a), (2, b)\}$  a relation from  $A$  to  $B$ ?
- Is  $P = \{(a, a), (b, c), (b, a)\}$  a relation from  $A$  to  $A$ ?

# Representing Binary Relations

We can **graphically** represent a binary relation  $R$  as:

if  $aRb$ , then we draw an arrow from  $a$  to  $b$ :  $a \rightarrow b$

**Example:** Let  $A = \{0, 1, 2\}$  and  $B = \{u, v\}$ , and  $R = \{(0, u), (0, v), (1, v), (2, u)\}$ . ( $R \subseteq A \times B$ )



# Representing Binary Relations

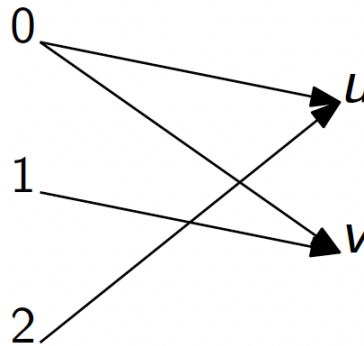
We can also represent a binary relation  $R$  by a **table** showing the ordered pairs of  $R$ .

**Example:** Let  $A = \{0, 1, 2\}$  and  $B = \{u, v\}$ , and  $R = \{(0, u), (0, v), (1, v), (2, u)\}$ . ( $R \subseteq A \times B$ )

$R$	$u$	$v$
0	×	×
1	×	
2		×

# Representing Binary Relations

Relations represent **one to many relationships** between elements in  $A$  and  $B$ .



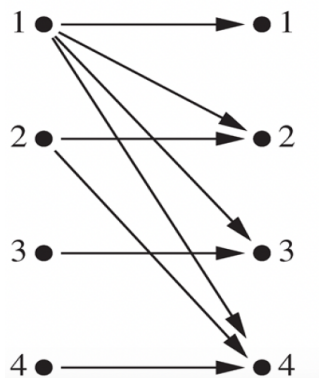
What is the difference between a relation and a function from  $A$  to  $B$ ?

# Relation on the Set

**Definition:** A **relation on the set  $A$**  is a relation from  $A$  to **itself**.

**Example:** Let  $A = \{1, 2, 3, 4\}$  and  $R_{div} = \{(a, b) : a \text{ divides } b\}$ . What does  $R_{div}$  consist of?

$$R_{div} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}.$$



$R$	1	2	3	4
1	×	×	×	×
2		×		×
3			×	
4				×

# Number of Binary Relations

**Theorem:** The number of binary relations on a set  $A$ , where  $|A| = n$ , is  $2^{n^2}$ .

**Proof:** If  $|A| = n$ , then the cardinality of the Cartesian product  $|A \times A| = n^2$ .

$R$  is a binary relation on  $A$  if  $R \subseteq A \times A$  ( $R$  is subset).

The number of subsets of a set with  $k$  elements is  $2^k$ .

# Properties of Relations: Reflexive Relation

**Reflexive Relation:** A relation  $R$  on a set  $A$  is called **reflexive** if  $(a, a) \in R$  for **every** element  $a \in A$ .

**Example:** Assume that  $R_{div} = \{(a, b) : a \text{ divides } b\}$  on  $A = \{1, 2, 3, 4\}$ :

$$R_{div} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}.$$

Is  $R_{div}$  reflexive?

**Yes.**  $(1, 1), (2, 2), (3, 3), (4, 4) \in R_{div}$ .

# Reflexive Relation

**Example:** Assume that  $R_{div} = \{(a, b) : a \text{ divides } b\}$  on  $A = \{1, 2, 3, 4\}$ :

$$R_{div} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}.$$

Is  $R_{div}$  reflexive?

Yes.  $(1, 1), (2, 2), (3, 3), (4, 4) \in R_{div}$ .

Relation Matrix (binary matrix):

$$MR_{div} = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \end{matrix}$$

A relation  $R$  is reflexive if and only if  $MR$  has 1 in **every** position on its **main diagonal**.



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# Examples

Consider the set of integers:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\},$$

$$R_6 = \{(a, b) \mid a + b \leq 3\}.$$

Which of these relations reflexive?

$R_1$ ,  $R_3$ , and  $R_4$ .

# Properties of Relations: Irreflexive Relation

**Irreflexive Relation:** A relation  $R$  on a set  $A$  is called **irreflexive** if  $(a, a) \notin R$  for **every** element  $a \in A$ .

**Example:** Assume that  $R_{\neq} = \{(a, b) : a \neq b\}$  on  $A = \{1, 2, 3, 4\}$ .

Is  $R_{\neq}$  irreflexive?

$$R_{\neq} = \{(1, 2), (1, 3), (1, 4), (2, 1), (2, 3), (2, 4), \\ (3, 1), (3, 2), (3, 4), (4, 1), (4, 2), (4, 3)\}.$$

Yes.  $(1, 1), (2, 2), (3, 3), (4, 4) \notin R_{\neq}$ .

# Irreflexive Relation

**Example:** Assume that  $R_{\neq} = \{(a, b) : a \neq b\}$  on  $A = \{1, 2, 3, 4\}$ .

Is  $R_{\neq}$  irreflexive?

$$R_{\neq} = \{(1, 2), (1, 3), (1, 4), (2, 1), (2, 3), (2, 4), \\ (3, 1), (3, 2), (3, 4), (4, 1), (4, 2), (4, 3)\}.$$

$$MR = \begin{matrix} & \begin{matrix} 0 & 1 & 1 & 1 \end{matrix} \\ \begin{matrix} 1 \\ 1 \\ 1 \\ 1 \end{matrix} & \begin{matrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{matrix} \end{matrix}$$

A relation  $R$  is **irreflexive** if and only if  $MR$  has 0 in **every** position on its **main diagonal**.

# Examples

Consider the set of integers:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\},$$

$$R_6 = \{(a, b) \mid a + b \leq 3\}.$$

Which of these relations irreflexive?

$R_2$  and  $R_5$ .

# Properties of Relations: Symmetric Relation

**Symmetric Relation:** A relation  $R$  on a set  $A$  is called **symmetric** if  $(b, a) \in R$  **whenever**  $(a, b) \in R$  for all  $a, b \in A$ .

Example: Assume that  $R_{div} = \{(a, b) : a \text{ divides } b\}$  on  $A = \{1, 2, 3, 4\}$ .

$$R_{div} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}.$$

Is  $R_{div}$  symmetric?

No.  $(1, 2) \in R_{div}$  but  $(2, 1) \notin R$ .

# Symmetric Relation

**Example:** Assume that  $R_{\neq} = \{(a, b) : a \neq b\}$  on  $A = \{1, 2, 3, 4\}$ .

$$R_{\neq} = \{(1, 2), (1, 3), (1, 4), (2, 1), (2, 3), (2, 4), \\ (3, 1), (3, 2), (3, 4), (4, 1), (4, 2), (4, 3)\}.$$

Is  $R_{\neq}$  symmetric?

Yes. If  $(a, b) \in R_{\neq}$  then  $(b, a) \in R_{\neq}$ .

$$MR = \begin{matrix} & \begin{matrix} 0 & 1 & 1 & 1 \end{matrix} \\ \begin{matrix} 1 \\ 1 \\ 1 \\ 1 \end{matrix} & \begin{bmatrix} 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix} \end{matrix}$$

A relation  $R$  is **symmetric** if and only if  $MR$  is **symmetric**.



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# Examples

Consider the set of integers:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\},$$

$$R_6 = \{(a, b) \mid a + b \leq 3\}.$$

Which of these relations symmetric?

$R_3$ ,  $R_4$ , and  $R_6$ .

# Properties of Relations: Antisymmetric Relation

**Antisymmetric Relation:** A relation  $R$  on a set  $A$  is called **antisymmetric** if  $(b, a) \in R$  and  $(a, b) \in R$  **implies**  $a = b$  for all  $a, b \in A$ .

**Example:** Assume that  $R = \{(1, 2), (2, 2), (3, 3)\}$  on  $A = \{1, 2, 3, 4\}$ .

Is  $R$  antisymmetric? Yes.

$$MR = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \end{matrix}$$

A relation  $R$  is **antisymmetric** if and only if  $m_{ij} = 1$  **implies**  $m_{ji} = 0$  for  $i \neq j$ .



# Examples

Consider the set of integers:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\},$$

$$R_6 = \{(a, b) \mid a + b \leq 3\}.$$

Which of these relations antisymmetric?

$R_1$ ,  $R_2$ ,  $R_4$  and  $R_5$ .

# Properties of Relations: Transitive Relation

**Transitive Relation:** A relation  $R$  on a set  $A$  is called **transitive** if  $(a, b) \in R$  and  $(b, c) \in R$  **implies**  $(a, c) \in R$  for all  $a, b, c \in A$ .

**Example:** Assume that  $R_{div} = \{(a, b) : a \text{ divides } b\}$  on  $A = \{1, 2, 3, 4\}$ :

$$R_{div} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}.$$

Is  $R_{div}$  transitive?

**Yes.** If  $a|b$  and  $b|c$ , then  $a|c$ .

# Transitive Relation

**Example:** Assume that  $R_{\neq} = \{(a, b) : a \neq b\}$  on  $A = \{1, 2, 3, 4\}$ .

$$R_{\neq} = \{(1, 2), (1, 3), (1, 4), (2, 1), (2, 3), (2, 4), \\ (3, 1), (3, 2), (3, 4), (4, 1), (4, 2), (4, 3)\}.$$

Is  $R_{\neq}$  transitive?

**No.**  $(1, 2), (2, 1) \in R_{\neq}$  but  $(1, 1) \notin R_{\neq}$ .

# Transitive Relation

**Example:** Assume that  $R = \{(1, 2), (2, 2), (3, 3)\}$  on  $A = \{1, 2, 3, 4\}$ .

Is  $R$  transitive?

Yes.

# Examples

Consider the set of integers:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\},$$

$$R_6 = \{(a, b) \mid a + b \leq 3\}.$$

Which of these relations transitive?

$R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ .

# Combining Relations

Since relations are sets, we can **combine relations** via set operations.

Set operations: union, intersection, difference, etc.

**Example:** Let  $A = \{1, 2, 3\}$ ,  $B = \{u, v\}$ , and

$$R_1 = \{(1, u), (2, u), (2, v), (3, u)\},$$

$$R_2 = \{(1, v), (3, u), (3, v)\}$$

What is  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 - R_2$ ,  $R_2 - R_1$ ?

# Combining Relations

**Example:**  $R_1 = \{(x, y) | x < y\}$  and  $R_2 = \{(x, y) | x > y\}$ . What are  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 - R_2$ ,  $R_2 - R_1$ , and  $R_1 \oplus R_2$ ?

- $R_1 \cup R_2 = \{(x, y) | x \neq y\}$
- $R_1 \cap R_2 = \emptyset$
- $R_1 - R_2 = R_1$
- $R_2 - R_1 = R_2$
- $R_1 \oplus R_2 = \{(x, y) | x \neq y\}$

# Composite of Relations

**Definition:** Let  $R$  be a relation from a set  $A$  to a set  $B$  and  $S$  be a relation from  $B$  to  $C$ . The composite of  $R$  and  $S$  is the relation consisting of the ordered pairs  $(a, c)$  where  $a \in A$  and  $c \in C$  and for which there is a  $b \in B$  such that  $(a, b) \in R$  and  $(b, c) \in S$ .

We denote the composite of  $R$  and  $S$  by  $S \circ R$ .

**Example:** Let  $A = \{1, 2, 3\}$ ,  $B = \{0, 1, 2\}$ , and  $C = \{a, b\}$ :

- $R = \{(1, 0), (1, 2), (3, 1), (3, 2)\}$
- $S = \{(0, b), (1, a), (2, b)\}$
- $S \circ R = \{(1, b), (3, a), (3, b)\}$



# Next Lecture

