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# **simple-dmrg Documentation**

***Release 1.0***

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October 27, 2015



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Source code: <https://github.com/simple-dmrg/simple-dmrg/>

Documentation: <http://simple-dmrg.readthedocs.org/>

The goal of this tutorial (given at the 2013 [summer school on quantum spin liquids](#), in Trieste, Italy) is to present the [density-matrix renormalization group](#) (DMRG) in its traditional formulation (i.e. without using matrix product states). DMRG is a numerical method that allows for the efficient simulation of quantum model Hamiltonians. Since it is a low-entanglement approximation, it often works quite well for one-dimensional systems, giving results that are nearly exact.

Typical implementations of DMRG in C++ or Fortran can be tens of thousands of lines long. Here, we have attempted to strike a balance between clear, simple code, and including many features and optimizations that would exist in a production code. One thing that helps with this is the use of [Python](#). We have tried to write the code in a very explicit style, hoping that it will be (mostly) understandable to somebody new to Python. (See also the included [Python cheatsheet](#), which lists many of the Python features used by `simple-dmrg`, and which should be helpful when trying the included [exercises](#).)

The four modules build up DMRG from its simplest implementation to more complex implementations and optimizations. Each file adds lines of code and complexity compared with the previous version.

1. [Infinite system algorithm](#) (~180 lines, including comments)
2. [Finite system algorithm](#) (~240 lines)
3. [Conserved quantum numbers](#) (~310 lines)
4. [Eigenstate prediction](#) (~370 lines)

Throughout the tutorial, we focus on the spin-1/2 [Heisenberg XXZ model](#), but the code could easily be modified (or expanded) to work with other models.



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### 2.1 Using the code

The requirements are:

- Python 2.6 or higher (Python 3 works as well)
- `numpy` and `scipy`

Download the code using the [Download ZIP](#) button on github, or run the following command from a terminal:

```
$ wget -O simple-dmrg-master.zip https://github.com/simple-dmrg/simple-dmrg/archive/master.zip
```

Within a terminal, execute the following to unpack the code:

```
$ unzip simple-dmrg-master.zip  
$ cd simple-dmrg-master/
```

Once the relevant software is installed, each program is contained entirely in a single file. The first program, for instance, can be run by issuing:

```
$ python simple_dmrg_01_infinite_system.py
```

**Note:** If you see an error that looks like this:

```
SyntaxError: future feature print_function is not defined
```

then you are using a version of Python below 2.6. Although it would be best to upgrade, it may be possible to make the code work on Python versions below 2.6 without much trouble.

### 2.2 Exercises

#### 2.2.1 Day 1

1. Consider a reduced density matrix  $\rho$  corresponding to a maximally mixed state in a Hilbert space of dimension  $md$ . Compute the truncation error associated with keeping only the largest  $m$  eigenvectors of  $\rho$ . Fortunately, the reduced density matrix eigenvalues for ground states of local Hamiltonians decay much more quickly!
2. Explore computing the ground state energy of the Heisenberg model using the infinite system algorithm. The exact Bethe ansatz result in the thermodynamic limit is  $E/L = 0.25 - \ln 2 = -0.443147$ . Note the respectable accuracy obtained with an extremely small block basis of size  $m \sim 10$ . Why does the DMRG work so well in this case?

### 3. Entanglement entropy:

- (a) Calculate the bipartite (von Neumann) entanglement entropy at the center of the chain during the infinite system algorithm. How does it scale with  $L$ ?
- (b) Now, using the finite system algorithm, calculate the bipartite entanglement entropy for every bipartite splitting. How does it scale with subsystem size  $x$ ?

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**Hint:** To create a simple plot in python:

```
>>> from matplotlib import pyplot as plt
>>> x_values = [1, 2, 3, 4]
>>> y_values = [4, 2, 7, 3]
>>> plt.plot(x_values, y_values)
>>> plt.show()
```

---

- (c) From the above, estimate the central charge  $c$  of the “Bethe phase” (1D quasi-long-range Néel phase) of the 1D Heisenberg model, and in light of that, think again about your answer to the last part of exercise 2.

The formula for fitting the central charge on a system with open boundary conditions is:

$$S = \frac{c}{6} \ln \left[ \frac{L}{\pi} \sin \left( \frac{\pi x}{L} \right) \right] + A$$

where  $S$  is the von Neumann entropy.

---

**Hint:** To fit a line in python:

```
>>> x_values = [1, 2, 3, 4]
>>> y_values = [-4, -2, 0, 2]
>>> slope, y_intercept = np.polyfit(x_values, y_values, 1)
```

---

### 4. XXZ model:

- (a) Change the code (ever so slightly) to accommodate spin-exchange anisotropy:  $H = \sum_{\langle ij \rangle} \left[ \frac{J}{2} (S_i^+ S_j^- + \text{h.c.}) + J_z S_i^z S_j^z \right]$ .
- (b) For  $J_z/J > 1$  ( $J_z/J < -1$ ), the ground state is known to be an Ising antiferromagnet (ferromagnet), and thus fully gapped. Verify this by investigating scaling of the entanglement entropy as in exercise 3. What do we expect for the central charge in this case?

## 2.2.2 Day 2

- Using `simple_dmrg_03_conserved_quantum_numbers.py`, calculate the “spin gap”  $E_0(S_z = 1) - E_0(S_z = 0)$ . How does the gap scale with  $1/L$ ? Think about how you would go about computing the spectral gap in the  $S_z = 0$  sector:  $E_1(S_z = 0) - E_0(S_z = 0)$ , i.e., the gap between the ground state and first excited state *within* the  $S_z = 0$  sector.
- Calculate the total weight of each  $S_z$  sector in the enlarged system block after constructing each block of  $\rho$ . At this point, it’s important to fully understand *why*  $\rho$  is indeed block diagonal, with blocks labeled by the total quantum number  $S_z$  for the enlarged system block.
- Starting with `simple_dmrg_02_finite_system.py`, implement a spin-spin correlation function measurement of the free two sites at each step in the finite system algorithm, i.e., calculate  $\langle \vec{S}_i \cdot \vec{S}_{i+1} \rangle$  for all  $i$ . In exercise 3 of yesterday’s tutorial, you should have noticed a strong period-2 oscillatory component of the entanglement entropy. With your measurement of  $\langle \vec{S}_i \cdot \vec{S}_{i+1} \rangle$ , can you now explain this on physical grounds?

Answer: `finite_system_algorithm(L=20, m_warmup=10, m_sweep_list=[10, 20, 30, 40, 40])` with  $J = J_z = 1$  should give  $\langle \vec{S}_{10} \cdot \vec{S}_{11} \rangle = -0.363847565413$  on the last step.

4. Implement the “ring term”  $H_{\text{ring}} = K \sum_i S_i^z S_{i+1}^z S_{i+2}^z S_{i+3}^z$ . Note that this term is one of the pieces of the SU(2)-invariant four-site ring-exchange operator for sites  $(i, i+1, i+2, i+3)$ , a term which is known to drive the  $J_1$ - $J_2$  Heisenberg model on the two-leg triangular strip into a quasi-1D descendant of the spinon Fermi sea (“spin Bose metal”) spin liquid [see <http://arxiv.org/abs/0902.4210>].

Answer: `finite_system_algorithm(L=20, m_warmup=10, m_sweep_list=[10, 20, 30, 40, 40])` with  $K = J = 1$ , should give  $E/L = -0.40876250668$ .

## 2.3 Python cheatsheet

[designed specifically for understanding and modifying simple-dmrg]

For a programmer, the standard, online [Python tutorial](#) is quite nice. Below, we try to mention a few things so that you can get acquainted with the `simple-dmrg` code as quickly as possible.

Python includes a few powerful internal data structures (lists, tuples, and dictionaries), and we use `numpy` (numeric python) and `scipy` (additional “scientific” python routines) for linear algebra.

### 2.3.1 Basics

Unlike many languages where blocks are denoted by braces or special `end` statements, blocks in python are denoted by indentation level. Thus indentation and whitespace are significant in a python program.

It is possible to execute python directly from the commandline:

```
$ python
```

This will bring you into python’s real-eval-print loop (REPL). From here, you can experiment with various commands and expressions. The examples below are taken from the REPL, and include the prompts (“>>>” and “. . .”) one would see there.

### 2.3.2 Lists, tuples, and loops

The basic sequence data types in python are lists and tuples.

A list can be constructed literally:

```
>>> x_list = [2, 3, 5, 7]
```

and a number of operations can be performed on it:

```
>>> len(x_list)
4

>>> x_list.append(11)
>>> x_list
[2, 3, 5, 7, 11]

>>> x_list[0]
2

>>> x_list[0] = 0
>>> x_list
[0, 3, 5, 7, 11]
```

Note, in particular, that python uses indices counting from zero, like C (but unlike Fortran and Matlab).

A `tuple` in python acts very similarly to a list, but once it is constructed it cannot be modified. It is constructed using parentheses instead of brackets:

```
>>> x_tuple = (2, 3, 5, 7)
```

Lists and tuples can contain any data type, and the data type of the elements need not be consistent:

```
>>> x = ["hello", 4, 8, (23, 12)]
```

It is also possible to get a subset of a list (e.g. the first three elements) by using Python's [slice notation](#):

```
>>> x = [2, 3, 5, 7, 11]
>>> x[:3]
[2, 3, 5]
```

### Looping over lists and tuples

Looping over a list or tuple is quite straightforward:

```
>>> x_list = [5, 7, 9, 11]
>>> for x in x_list:
...     print(x)
...
5
7
9
11
```

If you wish to have the corresponding indices for each element of the list, the `enumerate()` function will provide this:

```
>>> x_list = [5, 7, 9, 11]
>>> for i, x in enumerate(x_list):
...     print(i, x)
...
0 5
1 7
2 9
3 11
```

If you have two (or more) parallel arrays with the same number of elements and you want to loop over each of them at once, use the `zip()` function:

```
>>> x_list = [2, 3, 5, 7]
>>> y_list = [12, 13, 14, 15]
>>> for x, y in zip(x_list, y_list):
...     print(x, y)
...
2 12
3 13
5 14
7 15
```

There is a syntactic shortcut for transforming a list into a new one, known as a [list comprehension](#):

```
>>> primes = [2, 3, 5, 7]
>>> doubled_primes = [2 * x for x in primes]
```

```
>>> doubled_primes
[4, 6, 10, 14]
```

### 2.3.3 Dictionaries

Dictionaries are python's powerful mapping data type. A number, string, or even a tuple can be a key, and any data type can be the corresponding value.

Literal construction syntax:

```
>>> d = {2: "two", 3: "three"}
```

Lookup syntax:

```
>>> d[2]
'two'
>>> d[3]
'three'
```

Modifying (or creating) elements:

```
>>> d[4] = "four"
>>> d
{2: 'two', 3: 'three', 4: 'four'}
```

The method `get()` is another way to lookup an element, but returns the special value `None` if the key does not exist (instead of raising an error):

```
>>> d.get(2)
'two'
>>> d.get(4)
```

### Looping over dictionaries

Looping over the keys of a dictionary:

```
>>> d = {2: "two", 3: "three"}
>>> for key in d:
...     print(key)
...
2
3
```

Looping over the values of a dictionary:

```
>>> d = {2: "two", 3: "three"}
>>> for value in d.values():
...     print(value)
...
two
three
```

Looping over the keys and values, together:

```
>>> d = {2: "two", 3: "three"}
>>> for key, value in d.items():
...     print(key, value)
...
2 two
3 three
```

```
2 two
3 three
```

## 2.3.4 Functions

Function definition in python uses the `def` keyword:

```
>>> def f(x):
...     y = x + 2
...     return 2 * y + x
... 
```

Function calling uses parentheses, along with any arguments to be passed:

```
>>> f(2)
10
>>> f(3)
13
```

When calling a function, it is also possible to specify the arguments by name (e.g. `x=4`):

```
>>> f(x=4)
16
```

An alternative syntax for writing a one-line function is to use python's `lambda` keyword:

```
>>> g = lambda x: 3 * x
>>> g(5)
15
```

## 2.3.5 numpy arrays

numpy provides a multi-dimensional array type. Unlike lists and tuples, numpy arrays have fixed size and hold values of a single data type. This allows the program to perform operations on large arrays very quickly.

Literal construction of a 2x2 matrix:

```
>>> np.array([[1, 2], [3, 4]], dtype='d')
array([[ 1.,  2.],
       [ 3.,  4.]])
```

Note that `dtype='d'` specifies that the type of the array should be double-precision (real) floating point.

It is also possible to construct an array of all zeros:

```
>>> np.zeros([3, 4], dtype='d')
array([[ 0.,  0.,  0.,  0.],
       [ 0.,  0.,  0.,  0.],
       [ 0.,  0.,  0.,  0.]])
```

And then elements can be added one-by-one:

```
>>> x = np.zeros([3, 4], dtype='d')
>>> x[1, 2] = 12
>>> x[1, 3] = 18
>>> x
array([[ 0.,  0.,  0.,  0.],
       [ 0.,  0., 12., 18.],
       [ 0.,  0.,  0.,  0.]])
```

It is possible to access a given row or column by index:

```
>>> x[1, :]
array([ 0.,  0., 12., 18.])
>>> x[:, 2]
array([ 0., 12.,  0.]
```

or to access multiple columns (or rows) at once:

```
>>> col_indices = [2, 1, 3]
>>> x[:, col_indices]
array([[ 0.,  0.,  0.],
       [12.,  0., 18.],
       [ 0.,  0.,  0.]])
```

For matrix-vector (or matrix-matrix) multiplication use the `np.dot()` function:

```
>>> np.dot(m, v)
```

**Warning:** One tricky thing about numpy arrays is that they do not act as matrices by default. In fact, if you multiply two numpy arrays, python will attempt to multiply them element-wise!

To take an inner product, you will need to take the transpose-conjugate of the left vector yourself:

```
>>> np.dot(v1.conjugate().transpose(), v2)
```

## Array storage order

Although a numpy array acts as a multi-dimensional object, it is actually stored in memory as a one-dimensional contiguous array. Roughly speaking, the elements can either be stored column-by-column (“column major”, or “Fortran-style”) or row-by-row (“row major”, or “C-style”). As long as we understand the underlying storage order of an array, we can reshape it to have different dimensions. In particular, the logic for taking a partial trace in `simple-dmrg` uses this reshaping to make the system and environment basis elements correspond to the rows and columns of the matrix, respectively. Then, only a simple matrix multiplication is required to find the reduced density matrix.

## 2.3.6 Mathematical constants

numpy also provides a variety of mathematical constants:

```
>>> np.pi
3.141592653589793
>>> np.e
2.718281828459045
```

## 2.3.7 Experimentation and getting help

As mentioned above, python’s REPL can be quite useful for experimentation and getting familiar with the language. Another thing we can do is to import the `simple-dmrg` code directly into the REPL so that we can experiment with it directly. The line:

```
>>> from simple_dmrg_01_infinite_system import *
```

will execute all lines *except* the ones within the block that says:

```
if __name__ == "__main__":
```

So if we want to use the finite system algorithm, we can (assuming our source tree is in the PYTHONPATH, which should typically include the current directory):

```
$ python
>>> from simple_dmrg_04_eigenstate_prediction import *
>>> finite_system_algorithm(L=10, m_warmup=8, m_sweep_list=[8, 8, 8])
```

It is also possible to get help in the REPL by using python’s built-in `help()` function on various objects, functions, and types:

```
>>> help(sum)      # help on python's sum function

>>> help([])       # python list methods
>>> help({})       # python dict methods

>>> help({}.setdefault)  # help on a specific dict method

>>> import numpy as np
>>> help(np.log)      # natural logarithm
>>> help(np.linalg.eigh) # eigensolver for hermitian matrices
```

## 2.4 Additional information on DMRG

Below is an incomplete list of resources for learning DMRG.

### 2.4.1 References

- “[An introduction to numerical methods in low-dimensional quantum systems](#)” by A. L. Malvezzi (2003) teaches DMRG concisely but in enough detail to understand the `simple-dmrg` code.
- U. Schollwöck has written two review articles on DMRG. [The first \(from 2005\)](#) focuses on DMRG in its traditional formulation, while [the second \(from 2011\)](#) describes it in terms of matrix product states.
- Steve White’s papers, including the [original DMRG paper \(1992\)](#), a [more in-depth paper \(1993\)](#) which includes (among other things) periodic boundary conditions, and a [later paper \(1996\)](#) which describes eigenstate prediction, are quite useful.

### 2.4.2 Links

- The [dmrg101 tutorial](#) by Iván González, was prepared for the [Taipai DMRG winter school](#).
- [sophisticated-dmrg](#), a more “sophisticated” program based on this tutorial.

## 2.5 Source code

Formatted versions of the source code are available in this section. See also the [github repository](#), which contains all the included code.



### 2.5.1 simple\_dmrg\_01\_infinite\_system.py

(Raw download)

### 2.5.2 simple\_dmrg\_02\_finite\_system.py

(Raw download)

### 2.5.3 simple\_dmrg\_03\_conserved\_quantum\_numbers.py

(Raw download)

### 2.5.4 simple\_dmrg\_04\_eigenstate\_prediction.py

(Raw download)