

- A relational DB should be designed with min redundancy. Redundancy means storage of same data in multiple locations. It leads to wastage of storage space.

So we take an example Book-Publisher relation

ISBN	Book_title	P_ID	Pname	Phone
002-768-5	DBMS	P001	Bright publications	9876543210
003-978-6	C++	P001	"	9876543210*

Here, let us assume that 100 books are published by one publisher then, all the books related to that publisher will be repeated unnecessarily for 100 times.

- Insertion anomaly:

- In the above relation, we can't insert a new publisher if he/she has not published any book.
- we can't insert new book, if we don't know the publisher.

- Deletion anomaly: It leads to a situation in which deletion of (2) data representing certain info resulting in losing data representing some other info that is associated with it.

- Modification anomaly:

- Repeated data changed at one place results in inconsistency unless the same data is also changed at other places

Relational Database Design

* Undesirable properties in Relational Database Design:

- more redundant data
- more null values in tuples.
- Combined Schema without repetition:

Consider 2 relations,

① section-class (sec-id, building, room-number)

② Section (course-id, sec-id, semester, year)

If we combine these two relations together,

Section (course-id, sec-id, semester, year, building, room-number)

Here, we didn't get any repetition of data.

- Decomposition:

- The only way to avoid repetition of info is decomposition.
- But not all decompositions are good.

For eg; If we take Employee (ID, name, street, city, salary)

If we decompose this into 2 relations:

Employee1 (ID, name)

Employee2 (name, street, city, salary)

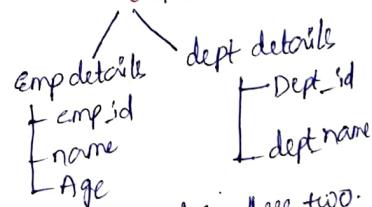
Here, If 2 employees have the same name.

ID	name	street	city	salary
1100	ABC	main	Hyd	75000
1500	ABC	North	Secunderabad	85000

ID
Name
1100 ABC
1500 ABC

Name	Street	City	Salary
ABC	main	Hyd	75000
ABC	North	Secunderabad	85000

Example:
emp_info (emp-id, name, age,
dept-id, dept-name)



We can't join these two.
Because we don't have
one common attribute

↓ natural join (we will get 4 records instead of 2)

we will not get the original table - lossy decomposition.

- lossless decomposition In previous eg; decompose into

- Let R be the relation schema & Let R_1, R_2 form a decomposition of R

$$\text{i.e., } R = R_1 \cup R_2$$

- We can say that decomposition is lossless decomposition. Bc there is no loss of info by replacing R with 2 relational schemas $R_1 \cup R_2$.

$$\Pi_{R_1}(\gamma) \Delta \Pi_{R_2}(\gamma) = \gamma$$

Decomposition is lossy if, $\gamma \subset \Pi_{R_1}(\gamma) \Delta \Pi_{R_2}(\gamma) = \gamma$.

Eg: Decomposition of $R = (A, B, C)$ to $R_1 = (A, B)$, $R_2 = (B, C)$

A	B	C
α	1	A
B	2	B

γ

A	B
α	1
β	2

$\Pi_{A,B}(\gamma)$

B	C
1	A
2	B

$\Pi_{B,C}(\gamma)$

$$\rightarrow \Pi_A(\gamma) \Delta \Pi_B(\gamma)$$

A	B	C
α	1	A
β	2	B

* Functional dependency:

" " is a relationship that exist b/w 2 attributes. It

typically exists b/w PK & non key attribute wrt in a table.

Determinant \xrightarrow{x} Dependent

Left side of FD is determinant

Right side is dependent.

Ex: Assume that we have Employee table with attributes:

Emp_id, Emp_name, Emp_address

$\text{emp_id} \rightarrow \text{Emp_name}$

Here, Emp_name is functionally dependent on Emp_id.

* types of FD:

i) Trivial Functional Dependency:

- $A \rightarrow B$ has trivial dependency if B is subset of A.

Ex: Consider Employee table with 2 columns Emp_id, Emp_name.
 $A \rightarrow A, B \rightarrow B$ also trivial.

$\{\text{emp_id, emp_name}\} \rightarrow \{\text{emp_id}\}$ is trivial FD as

Emp_id is subset of {Emp_id, Emp_name}

Also, $\text{emp_id} \rightarrow \text{emp_id}$

$\text{Emp_name} \rightarrow \text{Emp_name}$

} trivial FD.

(ii). Non-trivial Functional Dependency:

- $A \rightarrow B$ has non-trivial dual dependency if B is not a subset of A .
- when $A \cap B$ is null, then $A \rightarrow B$ is complete non-trivial.

Eg: $\text{Emp_id} \rightarrow \text{Emp_name}$

Emp_name is not subset of emp_id .

(iii) Multivalued FD:

- entities of the dependent set are not dependent on each other.

Eg: student table with sno, name, age columns.

$$\text{sno} \rightarrow \{\text{name}, \text{age}\}$$

Here, name & age are not dependent on each other

(iv). Transitive FD:

Dependent is indirectly dependent on determinant

If $a \rightarrow b$ & $b \rightarrow c$ then $a \rightarrow c$.

Eg: enroll-no, name, dept, building-no

Here, $\text{enroll_no} \rightarrow \text{dept}$

$\text{dept} \rightarrow \text{building_no}$ then, $\text{enroll_no} \rightarrow \text{building_no}$

* Closure of a set of FDs:

A closure is a set of FDs is a set of all possible FDs that can be derived from a given set of FDs. It is called as Complete set of FDs.
If F is used to denote set of FDs for relation R , then closure set is denoted by F^+ .

Let's consider the set F of dual dependencies given below.

$$F = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$$

From F, it is possible to derive following dependencies.

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$A \rightarrow A$ ∵ Self determination

$A \rightarrow B$ ∵ Given in F

$A \rightarrow C$ ∵ Transitivity

$A \rightarrow D$ ∵ "

If we apply Union to all, $A^+ \rightarrow ABCD$. i.e., $A \rightarrow ABCD$.

→ The closure of FD is the complete set of all possible attributes that can be functionally derived from given FD using inference rules.

Steps to calculate closure of FD:

1) Add the attributes which are present on left hand side in the original FD.

2) " " " Right hand side of FD.

3) With the help of attributes present on RHS, check the other attributes that can be derived from the other given FD.

Repeat this process until all the attributes which can be derived are added in the closure.

Eg: R(A,B,C,D,E) Find closure & set of FD for FD1: $A \rightarrow BC$

FD2: $C \rightarrow B$

FD3: $D \rightarrow E$

FD4: $E \rightarrow D$

$A^+ = \{A, B, C\}$, $C^+ = \{B, C\}$

$B^+ = \{B\}$, $D^+ = \{D, E\}$, $E^+ = \{E\}$

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* Closure of set of attributes:

- closure of an attribute can be defined as a set of attributes that can be functionally determined from it.
- closure of a set of attributes X concerning F is the set X^+ of all attributes that are functionally determined by X .

Eg: Relational schema $R(PQRSTUV)$ having following attribute

FD: $P \rightarrow Q$, $QR \rightarrow ST$, $PTV \rightarrow V$. Determine $(QR)^+$ & $(PR)^+$

$$\text{Sol: } (QR)^+ = QR ; \quad (PR)^+ = PR \\ = QRST \quad \quad \quad = PQRST$$

Eg: $R(PQRST)$, FD: $\{P \rightarrow QR, RS \rightarrow T, Q \rightarrow S, T \rightarrow P\} \Rightarrow (T)^+$

$$\text{Sol: } (T)^+ = TP = T_PQR = T_PQR_S$$

Q: $F: \{E \rightarrow A, E \rightarrow D, A \rightarrow C, A \rightarrow D, AE \rightarrow F, AG \rightarrow K\}$

Then find E^+ ?

Sol: $E^+ = \{E, A, D, C, F\}$

Q: $F: \{B \rightarrow C, BC \rightarrow AD, D \rightarrow E, CF \rightarrow B\}$. Find B^+ ?

Sol: $B^+ = \{B, C, A, D, E\}$

Q: $F: \{A \rightarrow B, B \rightarrow C, C \rightarrow D, A \rightarrow E\}$ find closure of F .

Sol: $A^+ = \{A, B, C, D, E\}$

$B^+ = \{B, C, D\}$

$C^+ = \{C, D\}$

$F^+ = \{A \rightarrow A, A \rightarrow B, A \rightarrow C, A \rightarrow D, A \rightarrow E, B \rightarrow B, B \rightarrow C, B \rightarrow D, C \rightarrow C, C \rightarrow D\}$

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* Finding candidate key & super key in a relation:

* Given relation R & set of FD's F that hold on R , find all CK's for R .

$R(A, B, C, D, E, F)$; $F = \{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, BC \rightarrow A, E \rightarrow F\}$

We need to follow few steps:

1. Let γ = set of attributes not present in RHS of any FD.
The set of attributes in γ must be a part of any CK of R .
2. Let β = set of attributes ~~not~~ appear on RHS but not on LHS of any FD. Set of attributes in β can't be part of CK of R .
3. Find closure γ^+ . If $\gamma^+ = R \Rightarrow \gamma$ is CK.
4. If $\gamma^+ \neq R$, then for each attribute x in $R - \beta$
 - i. Test whether $\gamma \cup \{x\}$ is a CK
(ii) If not, add another attribute from $R - \beta$ to γ & test whether it is a CK.
 - iii. Repeat this step until all CK's found.

Sol: 1: In RHS side, we have A, B, C, E, F . we don't have D .

$$\gamma = \{D\}$$

2. Attributes that appear on RHS but not on LHS

In RHS, A, B, C, E, F . In which F didn't appear in LHS.

$$\beta = \{F\}$$

3. Find $\gamma^+ = D^+ = \{D\} \neq R$.

4. $R - \beta = \{A, B, C, D, E, F\} - \{F\} = \{A, B, C, D, E\}$

Now $\gamma \cup x \Rightarrow \begin{cases} (AD)^+ = \{A, D, E, F\} \neq R \\ (CD)^+ = \{C, D\} \neq R \\ (BD)^+ = \{B, D\} \neq R \\ (ED)^+ = \{E, D, F\} \neq R \end{cases}$

Let us add one more attribute,

$$(ABD)^+ = \{A, B, D, C, E, F\} = R \rightarrow CK$$

$$(ACD)^+ = \{A, C, D, B, E, F\} = R \rightarrow CK$$

$$(AED)^+ = \{A, E, D, F\} \neq R$$

$$(BCD)^+ = \{B, C, D, A, E, F\} = R \rightarrow CK$$

$$(BED)^+ = \{B, E, D, F\} \neq R$$

$$(CDE)^+ = \{C, D, E, F\} \neq R$$

So, (ABD) , ACD , BCD are candidate key of R

$(ABCD)^+ = \{ABCDEF\} = R$ It is super key but not CK

Because, its subset consists of keys.

* prime attributes:

whatever the attributes are forming the candidate key those are prime attributes. i.e., part of CK.

* Non prime attributes:

The attributes which are not forming the CK. Those are non prime attributes.

prime attributes

Eg: $R(A, B, C, D, E, F)$; FD: $\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E\}$

Sol: (i). $\delta = \{A\}$

(ii). $\beta = \{E\}$

(iii). $\alpha^+ = \{A, B, C, D, E\} \neq R$

$(AF)^+ = \{A, B, C, D, E, F\} = R \rightarrow CK$

prime attributes = $\{A, F\}$

Non prime attributes = $\{B, C, D, E\}$

Irreducible Functional Dependency Set / Canonical Cover / Minimal Cover

If F is a dual dependency then F' is irreducible FD. It satisfies

- F' should not have extraneous/redundant attributes
- " " " Redundant FD.

To get irreducible set of functional dependency,

Step 1: Apply splitting rule at Right Hand Side (Dependent Side)

i.e., RHS side it should have single attribute.

Step 2: Remove extraneous attributes (\because trivial attributes directly neglect)

Step 3: Remove Redundant FD by finding closure sets.

$$\text{Ex: } F : \{AB \rightarrow C, C \rightarrow AB, B \rightarrow C, ABC \rightarrow AC, A \rightarrow C, AC \rightarrow B\}$$

$$\text{Sol: Step 1: } \{AB \rightarrow C, C \rightarrow A, C \rightarrow B, B \rightarrow C, ABC \rightarrow A, ABC \rightarrow C, A \rightarrow C, AC \rightarrow B\}$$

Step 2: Here $ABC \rightarrow A$, $ABC \rightarrow C$ } trivial attributes. So directly discard them

$$\text{Now, } \{AB \rightarrow C, C \rightarrow A, C \rightarrow B, B \rightarrow C, A \rightarrow C, AC \rightarrow B\}$$

In this, $AB \rightarrow C$

also $B \rightarrow C$ $\{\because C \text{ alone depends on } B\}$

so, we can neglect $\cancel{AB \rightarrow C}$

$AC \rightarrow B$, $C \rightarrow B$ $\{\because B \text{ alone depends on } C\}$

so, we can neglect $\cancel{AC \rightarrow B}$

So, now we will get, $\{C \rightarrow A, C \rightarrow B, B \rightarrow C, A \rightarrow C, \cancel{B \rightarrow C}, \cancel{C \rightarrow B}\}$

Step 3:	$C \rightarrow A \checkmark$ $C^+ = \{C, A, B\}$	$C \rightarrow B \times$ $C^+ \neq \{C, B, A\}$	$B \rightarrow C \checkmark$ $B^+ \neq \{B\}$
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$$F' = \{C \rightarrow A, B \rightarrow C, A \rightarrow C\}$$

A^+ may not be unique same

Eq: $F = \{x \rightarrow w, w_2 \rightarrow xy, y \rightarrow wxz\}$ find Canonical Cover.

Sol: Step 1: $\{x \rightarrow w, w_2 \rightarrow x, w_2 \rightarrow y, y \rightarrow w, y \rightarrow x, y \rightarrow z\}$

Step 2: we don't have any trivial or any redundant attributes.

so, we need to find closures accordingly remove redundant FD

$$x \rightarrow w \checkmark$$

$$x^+ = \{x\}$$

$$w_2 \rightarrow x \times$$

$$(w_2)^+ = \{w, z, x, y\}$$

so we remove also

$$(w_2)^+ = \{w, z, y, x\}$$

$$w_2 \rightarrow y \checkmark$$

$$(w_2)^+ = \{w, z\}$$

$$y \rightarrow w \times$$

$$y^+ = \{w, x, y, z\}$$

so we remove $y \rightarrow w$

$$y^+ = \{y, z, w, z\}$$

$$y \rightarrow x \checkmark$$

$$y^+ = \{y, z\}$$

$$y \rightarrow z \checkmark$$

$$y^+ = \{y, x, w\}$$

so, final canonical cover is, $\{x \rightarrow w, w_2 \rightarrow y, \underbrace{y \rightarrow x, y \rightarrow z}_{y \rightarrow xz}\}$

Eq: $F = \{B \rightarrow A, AD \rightarrow BC, C \rightarrow ABD\}$ find Canonical cover.

Sol: Step 1: $B \rightarrow A, AD \rightarrow B, AD \rightarrow C, C \rightarrow A, C \rightarrow B, C \rightarrow D$

$$B^+ = \{B, A\}$$

$$(AD)^+ = \{A, D, B, C\}$$

$$C^+ = \{C, A, B, D\}$$

$$\cancel{B \rightarrow A} \Rightarrow B^+ = \{B\}$$

$$\cancel{X \rightarrow AD \rightarrow B} \Rightarrow (AD)^+ = \{A, D, C, B\}$$

$$\cancel{AD \rightarrow C} \Rightarrow (AD)^+ = \{A, D, B\}$$

$$\cancel{X \rightarrow C \rightarrow A} \Rightarrow C^+ = \{C, B, D, A\}$$

$$\cancel{C \rightarrow B} \Rightarrow C^+ = \{C, D\}$$

$$\cancel{C \rightarrow D} \Rightarrow C^+ = \{C, B, A\}$$

so, canonical cover is $\{B \rightarrow A, AD \rightarrow C, C \rightarrow BD\}$

Eq: $\{w \rightarrow x, y \rightarrow x, z \rightarrow wxy, wy \rightarrow z\}$. find Canonical cover?

Sol: $f = \{w \rightarrow x, y \rightarrow x, z \rightarrow wxy, wy \rightarrow z\}$

* Normalization:

(2) (3)

It is the process of minimizing redundancy from a relation or set of relations. Redundancy in relation may cause insertion, deletion, update anomalies. Normal forms are used to eliminate or reduce redundancy in DB tables.

i) First Normal Form:

- If a relation contains composite or multivalued attribute, it violates 1st NF. If a relation doesn't contain composite or multivalued attribute that is in 1 NF.
- A relation is in 1 NF if every attribute in that relation is single valued attribute.

e.g: Student

rno	name	phone-no	state	Country
1	Rama	9876543210, 8765432109	AP	India
2	Sita	9999999999	TS	India

Here, we have multivalued

Convert it into 1NF.

attribute i.e., phone-no. Now, we need to

rno	name	phone-no	state	Country
1	Rama	9876543210	AP	India
1	Rama	8765432109	AP	India
2	Sita	9999999999	TS	India

ii) Second Normal Form:

- A relation must be in 1 NF.
- A relation must not contain any partial dependencies i.e., no non prime attribute (attributes which are not part of any candidate key) is dependent on any proper subset of any candidate key of the table.

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* Second NF:

1NF doesn't remove/eliminate redundancy, but it eliminates repeating groups

- A relation is in 2NF,

(i) ~~if~~ the relation is in 1NF.

(ii). It should not contain any partial dependency.

i.e., No partial dependency (No non prime attribute is dependent on any proper subset of any candidate key of the table).

(or) Proper subset of CK \rightarrow non prime attribute

Every non primary key attribute is fully functionally dependent on primary key.

- In 2NF, we will remove partial dependencies in 1NF.

Ex: Teacher			Teacher		
Teacher_id	subject	age	Teacher_id	subject	age
123	English, maths	30	123	English	30
246	Computers	25	123	maths	30
			246	Computers	25

This table is not in 1st NF.

This is in 1NF.

In this table Non key attribute i.e, age is depending on teacher_id.

which is proper subset of candidate key. To, convert this into 2NF,

we need to compose into 2 tables.

① Teacher-details

teacher_id	age
123	30
246	25

② teacher-subject

teacher_id	subject
123	English
123	maths
246	Computers

Ex: Employee (Emp_id, Emp_name, Project_id, Project_name)

(i). Emp-details (Emp_id, Project_id, Emp_name)

(ii). project-details (Project_id, Project_name)

* 2NF Example:

student (std-no, course-no, course-fee);

std-no	course-no	course-fee
1	C1	1000
2	C2	1500
1	C4	2000
4	C3	1000
4	C1	1000
2	C5	2000

Here, we have C₁, C₃ & same fee of 1000/- . C₄, C₅ has 2000/- so, course fee can't decide course-no or std-no
course-no + course fee also can't decide std-no.

Candidate Key (std-no, course-no)

Table 1 (std-no, course-no) →

Table 2 (course-no, course-fee)

so we have 1000 students also,
course table will have only 5 records.

std-no	course-no
1	C1
2	C2
1	C4
4	C3
4	C1
2	C5

course-no	course-fee
C1	1000
C2	1500
C3	1000
C4	2000
C5	2000

* $R(A, B, C, D)$; FD: $\{AB \rightarrow CD, C \rightarrow A, D \rightarrow B\}$. Convert into 2NF?

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Sol: (i) $\gamma = \{\emptyset\}$, (ii) $\beta = \{\emptyset\}$

$$A^+ = \{A\} \neq R; \quad C^+ = \{C, A\} \neq R$$

$$B^+ = \{B\} \neq R; \quad D^+ = \{D, B\} \neq R$$

$$\checkmark (AB)^+ = \{A, B, C, D\} = R \text{ --- CK}$$

$$(AC)^+ = \{A, C\} \neq R$$

$$\checkmark (AD)^+ = \{A, D, B, C\} = R \text{ --- CK}$$

$$\checkmark (BC)^+ = \{B, C, A, D\} = R \text{ --- CK}$$

$$(BD)^+ = \{B, D\} \neq R$$

$$\checkmark (CD)^+ = \{C, D, A, B\} = R \text{ --- CK}$$

AB, AD, BC, CD are candidate keys

AB, AD, BC, CD are candidate keys. we don't have any

prime attributes are $\{A, B, C, D\}$.

Here, prime attributes are $\{A, B, C, D\}$. So it is in 2NF.

non prime attributes. So it is in 2NF.

* $R(A, B, C, D)$; FD: $\{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$ Convert into 2NF?

Sol: $\gamma = \{A\}$, $\beta = \{D\}$

$$\gamma^+ = A^+ = \{A, B, C, D\} = R \checkmark$$

A is CK. So, prime attributes are $\{A, B, C, D\}$. It is 2NF.

- All CK is having single attribute, then, Relation will be in 2NF
 (i.e.,

* $R(A, B, C, D)$; FD: $\{A \rightarrow B, B \rightarrow C\}$. Convert into 2NF?

Sol: $\gamma = \{A, D\}$, $\beta = \{C\}$

Prime Attribute \rightarrow NPA

$$A^+ = \{A, B, C\}, \quad D^+ = \{D\}$$

$A, D \rightarrow B, C$

$$\checkmark (AD)^+ = \{A, B, C, D\} = R \text{ --- CK}$$

$A \rightarrow B$ \leftarrow Partial dependency

Now find closure of A i.e. $A^+ = \{A, B, C\}$

Split R into $R_1(A, B, C)$, $R_2(A, D)$

$F_1^+ :$?

$$A^+ = \{A, B, C\} = \{B, C\}$$

$$B^+ = \{B, C\} = \{C\}$$

$$C^+ = \{C\}$$

$$\text{Not required } -(AB)^+ = \{A, B, C\} = \{C\}$$

$$(BC)^+ = \{B, C\}$$

$$\text{Not required } -(AC)^+ = \{A, C, B\} = \{B\}$$

$$F_1^+ = \{A \rightarrow BC, B \rightarrow C\}$$

A, B, AC not required. Because $\boxed{A \rightarrow BC}$ FD we have.

$$A^+ = \{A, B, C\} \rightarrow CK$$

$$B^+ = \{B, C\}$$

$$C^+ = \{C\}$$

A is prime attribute & single so no partial dependency.

It is in 2NF now.

* $R(A, B, C, D)$; FD: $\{A \rightarrow B, C \rightarrow D\}$

$$\text{Sol: } \alpha = \{A, C\}, \beta = \{B, D\}$$

$$A^+ = \{A, B\}$$

$$C^+ = \{C, D\}$$

$$(AC)^+ = \{A, C, B, D\} - R \leftarrow CK$$

$$PA \rightarrow NPA$$

$$(A, C) \rightarrow (B, D)$$

$A \rightarrow B, C \rightarrow D$ are partial dependencies. we need to decompose.

$$R_1(A, B)$$

$$F_1^+ = \{A \rightarrow B\}$$

$$A^+ = \{A, B\}$$

$$B^+ = \{B\}$$

$$(AB)^+ = \{A, B\}$$

$$R_2(C, D), F_2^+ = \{C \rightarrow D\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

$$(CD)^+ = \{C, D\}$$

$$R_3(A, C)$$

$$F_3^+ = \{ \}$$

$$(A)^+ = \{A, B\} = \{B\} \neq R_3$$

$$(C)^+ = \{C, D\} = \{D\} \neq R_3$$

$$(AC)^+ = \{A, C, B, D\} = \{B, D\} \neq R_3$$

$$F_1^+ \cup F_2^+ \cup F_3^+ = F$$

* 3NF:

- A relation will be in 3NF if it is in 2NF & not contain any transitive partial dependency
- 3NF is used to reduce the data duplication. & also to achieve data integrity.
- If there is no transitive dependency for non prime attributes, then the relation must be in 3NF.
- If there is no transitive dependency for non prime attributes, then the relation must be in 3NF if it holds atleast one of the following conditions
- A relation is in 3NF if it holds atleast one of the following conditions for every non-trivial functional dependency $x \rightarrow y$.
 - x is a super key
 - y is a prime attribute i.e., each element of y is part of some candidate key.

Eg: Employee-details table

(emp_id, emp_name, emp_zip, emp_state, emp_city)

Here, emp_id is the prime (or) key attribute

Super Key : {emp_id, emp_name}, {emp_id, emp_name, emp_zip}, {emp_id} ...

Non Key (or) Non prime attributes are empname, emp_zip, emp_state, emp_city

Here, emp_state and emp_city dependent on emp_zip i.e., $\text{emp_zip} \rightarrow \text{emp_state, city}$

emp_zip dependent on emp_id i.e., $\text{emp_id} \rightarrow \text{emp_zip}$

$\Rightarrow \{\text{emp_state, emp_city}\}$ transitively dependent on {emp_id}.

We need to convert this table into 2 tables.

i). employee (emp_id, emp_name, emp_zip)

ii). emp_zip (emp_zip, emp_state, emp_city)

Eg: student (rollno, game, fee)

\rightarrow student-details (rollno, game)

\rightarrow game-details (game, fee_structured)

* 3rd NF:

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- (i) It should be in 2NF
- (ii) No transitive dependency over non prime attributes

$$NPA \rightarrow NPA$$

- A table is in 3NF; if and only if for each of its non-trivial functional dependency at least one of the following conditions holds.

- i) LHS is Super Key
- ii) RHS is prime attribute.

Eg: $R(A, B, C, D)$; FD: $\{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$

sol: $\mathcal{R} = \{A\}, \mathcal{B} = \{D\}$

$$\mathcal{R}^+ = A^+ = \{A, B, C, D\} = R \text{ — CK}$$

$$PA \rightarrow NPA$$

$$\{A\} \rightarrow \{B, C, D\}$$

A is not proper subset of CK A . So, $A \rightarrow B$ is not Partial dependency
 $PA \rightarrow NPA$

$B \rightarrow C, C \rightarrow D$ These are having
 $NPA \quad NPA \quad NPA \quad NPA$ following

$$B \rightarrow C$$

$$\rightarrow B^+ = \{B, C, D\} \neq R \text{ — not SK}$$

RHS is C is not prime attribute

$$C \rightarrow D$$

$$\rightarrow C^+ = \{C, D\} \neq R \text{ — not SK}$$

RHS is D is not prime attribute.

So, $B \rightarrow C, C \rightarrow D$ are not in 3NF. (They are having transitive dependency)

Now, $B^+ = \{B, C, D\} \rightarrow R_1(B, C, D)$

$$R_1 = \{B, C, D\}$$

$$(BC)^+ = \{B, C, D\}$$

$$(CD)^+ = \{B, C, D\}$$

$$B^+ = \{B, C, D\} = R$$

$$(CD)^+ = \{C, D\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

$$C^+ = \{C, D\}, R_2 = \{CD\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

$$(CD)^+ = \{C, D\}$$

$$R_3 = \{A, B\} \text{ SK among all } B, C, D$$

remaining attributes

$$A^+ = \{A, B, C, D\} = \{B, C, D\}$$

$$B^+ = \{B, C, D\} = \{C, D\}$$

$$(AB)^+ = \{A, B, C, D\} = \{C, D\}$$

$$F^+ : \{B \rightarrow CD, C \rightarrow D, \cancel{B \leftarrow \rightarrow D}, \cancel{BD \rightarrow C} \quad A \rightarrow B\} :$$

$$A^+ = \{A, B, C, D\} \rightarrow CK$$

$$B^+ = \{B, C, D\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

A is prime attribute & single ck. NPA are $\{B, C, D\}$

$$\begin{array}{c} A \rightarrow B \\ B \rightarrow CD \\ C \rightarrow D \\ \downarrow \\ TD \end{array}$$

$$CK \rightarrow B^+ = \{B, C, D\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

$PA \rightarrow NPA$
 $\{B\} \rightarrow \{CD\}$
 $C \rightarrow D$ is in transitive dependency so ~~so we need to decompose~~ we need to decompose

$$R_1(B, C, D) \text{ on } F: \rightarrow \{B \rightarrow CD, C \rightarrow D\}$$

$$C^+ = \{C, D\}$$

~~$D \rightarrow D$~~

$$R_{11}(C, D), R_{12}(B, C)$$

Now check for dependency preserving or not

$$C^+ = \{C, D\}$$

$$B^+ = \{B, C, D\}$$

$$D^+ = \{D\}$$

$$C^+ = \{C, D\}$$

$$(CD)^+ = \{C, D\}$$

$$F^+ = \{C \rightarrow D\}, B \rightarrow C \cancel{\{D\}}$$

Now again check these \Rightarrow are not having trivial transitive dependency

$$C^+ = \{C, D\} \quad B^+ = \{B, C, D\} = R \rightarrow CK$$

$$D^+ = \{D\}$$

$$PA \rightarrow NPA$$

$$\{B\} \rightarrow \{CD\}$$

We have 2 $C \rightarrow D$ FD's so we can neglect one $C \rightarrow D$ in R_{11}

$$\boxed{R_{12}(B, C), R_2(C, D), R_3(A, B)} \quad FD: \{A \rightarrow B, C \rightarrow D, B \rightarrow C\}$$

* Dependency Preservation:

- In " ", at least one decomposed table must satisfy every dependency.
- If a relation R is decomposed into R_1 & R_2 , then the dependencies of R either must be a part of R_1 or R_2 or must be derivable from the combination of FD's of R_1 & R_2 .

Eg: Relation $R(A, B, C, D)$ with FD set $A \rightarrow BC$.

If R is decomposed into $R_1(ABC)$, $R_2(AD)$. which is holding dependency preserving because FD: $A \rightarrow BC$ is part of $R_1(ABC)$

Eg: $R(A, B, CD) \rightarrow FD: \{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$. R is decomposed into $R_1(A, B, C)$ & $R_2(C, D)$. Check whether decomposition is dependency preserving or not.

Sol: Let us find closure of F_1 & F_2

To find F_1^+ , find all combinations of ABC i.e., A, B, C, AB, BC, AC .

$$A^+ = \{A\}, \quad B^+ = \{B\}, \quad C^+ = \{C, D, A\}$$

$= \{C, A\} \rightarrow D$ is not present in R_1

$C \rightarrow AC \because \rightarrow C \rightarrow A \quad \{\because \text{remove 'C' as it is trivial}\}$

$$\{AB\}^+ = \{A, B, C, D\} = \{A, B, C\}$$

$$AB \rightarrow ABC \Rightarrow AB \rightarrow C$$

$$\{BC\}^+ = \{B, C, D, A\} = \{A, B, C\}$$

$$BC \rightarrow ABC \Rightarrow BC \rightarrow A$$

$$\{AC\}^+ = \{A, C, D\}$$

$$F: A \rightarrow C, B \rightarrow DE, D \rightarrow C$$

$$AC \rightarrow ACD \Rightarrow AC \rightarrow D$$

CK? $\{A, B\}$ = key attributes

$$F_1^+ = \{C \rightarrow A, AB \rightarrow C, BC \rightarrow A\}$$



= Find F_2^+ i.e., C, D, CD.

$$C^+ = \{C, D, A\}$$

$$D^+ = \{D, A\}$$

$$C \rightarrow CDA \Rightarrow \begin{aligned} &C \rightarrow CD \\ &C \rightarrow D \end{aligned}$$

$$D \rightarrow D$$

$$F_2^+ = \{C \rightarrow D\}$$

= In the given relation FD is $\{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$

$AB \rightarrow C$ is present in F_1^+

$C \rightarrow D$ " " " F_2^+

$D \rightarrow A$ is not preserved

$F_1 \cup F_2$ is subset of F. So, given decomposition is not dependency preserving.

Rules over dependency preservation:

A decomposition $D = \{R_1, R_2, R_3, \dots, R_n\}$ of R is dependency preserving

with respect to a set F of FD if,

$$(F_1 \cup F_2 \cup \dots \cup F_m)^+ = F^+$$

Consider a relation R, R is decomposed into R_1 with $\{F_1\}$ &

R_2 with $\{F_2\}$.

(i) $F_1 \cup F_2 = F \Rightarrow$ Decomposition is dependency preserving.

(ii) $F_1 \cup F_2$ is subset of F \Rightarrow not dependency preserving

(iii) $F_1 \cup F_2$ is superset of F \Rightarrow not possible case.

* BCNF (Boyce Codd Normal Form):

(13)

i). It should be in 3NF

ii). For each non-trivial FD $X \rightarrow Y$, X must be a Super key.

Eg: R(A,B,C) ; FD = {A \rightarrow B, B \rightarrow C, C \rightarrow A} Is it in BCNF or not?

Sol:

$$A^+ = \{A, B, C\} = R - CK$$

$$B^+ = \{B, C, A\} = R - CK$$

$$C^+ = \{C, A, B\} = R - CK$$

$$CK = \{A, B, C\} = \text{prime attributes}$$

There are no partial dependencies & no transitive dependencies.

$A \rightarrow B$, A is Super key

$B \rightarrow C$, B is SK

$C \rightarrow A$, C is SK

So, given relation is in BCNF.

Eg: R(A,B,C,D,E); FD = {A \rightarrow BCDE, BC \rightarrow ACE, D \rightarrow E}

Sol:

$$\alpha = \{A\}, \beta = \{E\}$$

$$A^+ = \{A, B, C, D, E\} = R - CK$$

$$B^+ = \{B\}$$

$$C^+ = \{C\}$$

$$D^+ = \{D, E\}$$

$$E^+ = \{E\}$$

PA \rightarrow NPA

(A) \rightarrow (B, C, D, E) — no partial dependencies

$$(BC)^+ = \{B, C, A, E, D\} = R - SK$$

PA \rightarrow NPA

BC \rightarrow ADE

So, BC \rightarrow ACE — no transitive dependency

D \rightarrow E — Here, we have transitive dependency.

So, given relation's highest normal form is 2NF

* Normalize a relation from 1NF to BCNF:

Ex: $R(A, B, C, D, E, F, G, H)$ FD: = { $A \rightarrow BD$, $B \rightarrow C$, $E \rightarrow FG$, $AE \rightarrow H$ }

Sol: $\mathcal{F} = \{A, E\}$, $\mathcal{B} = \{C, D, F, G, H\}$

$$A^+ = A = \{A, B, D, C\}$$

$$E^+ = \{E, F, G\}$$

$$(AE)^+ = \{A, E, B, D, F, G, C, H\} = R - CK$$

$$PA \rightarrow NPA$$

$$(A, E) \rightarrow \{B, C, D, F, G, H\}$$

2nd NF: no P.D

$$A \rightarrow BD \quad \text{PD}$$

$$B \rightarrow C \quad \text{P.D}$$

$$E \rightarrow FG \quad \text{PD}$$

$AE \rightarrow H$ ~~not PD~~ > not proper sub' of CK i.e., AE.

We found 2 PD's. We need to decompose,

$$A^+ = \{A, B, D, C\}$$

$$R_1 = \{A, B, C, D\}$$

$$F_1^+ = \{A \rightarrow BCD, B \rightarrow C\}$$

$$A^+ = \{A, B, C, D\}$$

$$B^+ = \{B, C\}$$

$$C^+ = \{C\}$$

$$D^+ = \{D\}$$

$$E^+ = \{E, F, G\}$$

$$R_2 = \{E, F, G\}$$

$$F_2^+ = \{E \rightarrow FG\}$$

$$E^+ = \{E, F, G\}$$

$$F^+ = \{F\}$$

$$G^+ = \{G\}$$

$$CK = E \rightarrow \text{No PD}$$

$$PA \rightarrow NPA$$

$$E \rightarrow FG$$

No PD

3rd NF ✓

E is superkey

so, BCNF ✓

$$R_3 = \{H, A, E\}$$

$$F_3^+ = \{AE \rightarrow H\}$$

$$H^+ = \{H\}$$

$$A^+ = \{A, B, C, D\}$$

$$E^+ = \{E, F, G\}$$

$$(AH)^+ = \{A, H, B, D, C\}$$

$$(AE)^+ = \{A, E, B, D, C, F, G\}$$

$$(HE)^+ = \{H, E, F, G\}$$

$$CK = AE$$

$$PA \rightarrow NPA$$

$$AE \rightarrow H \quad 2\text{NF} \checkmark$$

$$AE \text{ is } 3\text{NF} \checkmark$$

$$AE \text{ is SK. so BCNF} \checkmark$$

3rd NF: $B \rightarrow C$ is TD
 $A \rightarrow BCD$ is not TD

R_1 , (A, B, C, D) should be decomposed

(14)

$F: \{ A \rightarrow BD, B \rightarrow C \}$

$$A^+ = \{ A, B, C, D \}$$

$$B^+ = \{ B, C \}$$

$R_{11}(B, C)$

$\boxed{R_{12}(A, D, B)}$

$$B^+ = \{ B, C \}$$

$$C^+ = \{ C \}$$

$R_{11}(B, C)$

$R_{12}(A, D, B)$

$$B^+ = \{ B, C \}$$

$$A^+ = \{ A, B, D, C \}$$

$$C^+ = \{ C \}$$

$$B^+ = \{ B \}$$

$$(BC)^+ = \{ B, C \}$$

$$D^+ = \{ D \}$$

$F: \{ B \rightarrow C \}$

$\boxed{F: \{ A \rightarrow BD \}}$

B is CK & PA & SK

A is CK & PA & SK

3NF ✓

3NF ✓

BCNF ✓

BCNF ✓

$R_2 = \{ E, F, G \}$, $R_3 = \{ A, E, H \}$, $R_{11} = \{ B, C \}$, $R_{12} = \{ A, D, B \}$

* Comparison of BCNF and 3NF:

3NF

- (i) There should be no transitive dependencies.
- (ii) Less stronger than BCNF.
- (iii) FD's are already in 1NF & 2NF.
- (iv) Redundancy is high.
- (v) There is preservation of all FD's.
- (vi) lossless decomposition can be achieved easily.

BCNF

- (i). For any relation $A \rightarrow B$, A should be superkey of relation.
- (ii). more stronger than 3NF.
- (iii). FD's are already in 1NF, 2NF & 3NF.
- (iv). Redundancy is comparatively low in BCNF.
- (v) may or may not be preservation of all FD's.
- (vi) lossless decomposition can be achieved hardly.

<u>* DBMS- Indexing:</u>	<table border="1"> <tr> <td>Search key</td><td>Data reference value</td></tr> </table>	Search key	Data reference value
Search key	Data reference value		

Data stored in the form of records. Every record has a key field which helps it to be recognized uniquely.

- Indexing is a data structure technique to efficiently retrieve records from the database files based on some attributes on which the indexing has been done.

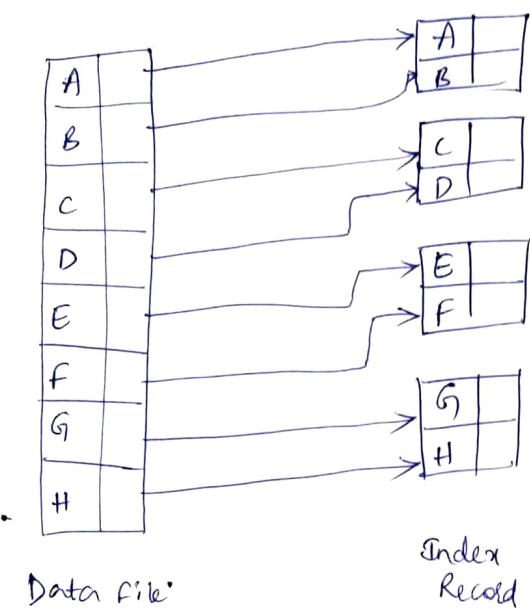
i). primary Index:

It is defined on an ordered data file. The data file ordered on a key field. The key field is generally the PK of the relation.

- If the index is created on the basis of PK of the table, then it is known as primary indexing.
- As PK's are stored in sorted order, the performance of the searching operation is quite efficient.
- Primary index can be classified as Dense & Sparse Index.

(i). Dense Index:

- " " contains an index record for every search key value in the data file.
- It makes searching faster.
- The no of records in the index table is same as the no of records in the main table.
- It needs more space to store index record itself. Index records have the search key & a pointer to the actual record on the disk.
- For every search key value in the datafile, there is an index record.

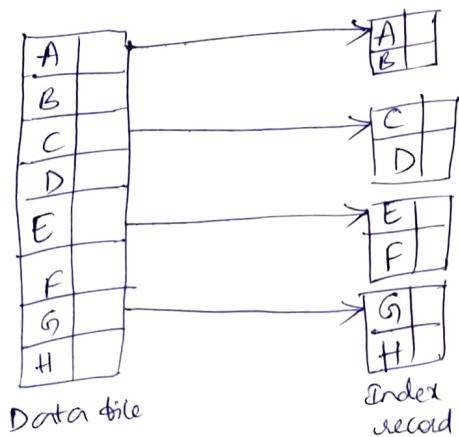


for every search value in
Data file, there is an index
record

(15)

(ii) Sparse Index:

- The index record appears only over a few items in the data file. Each item points to a block.
- Instead of pointing to each record in the main table, the index points to the records in the main table in a gap.

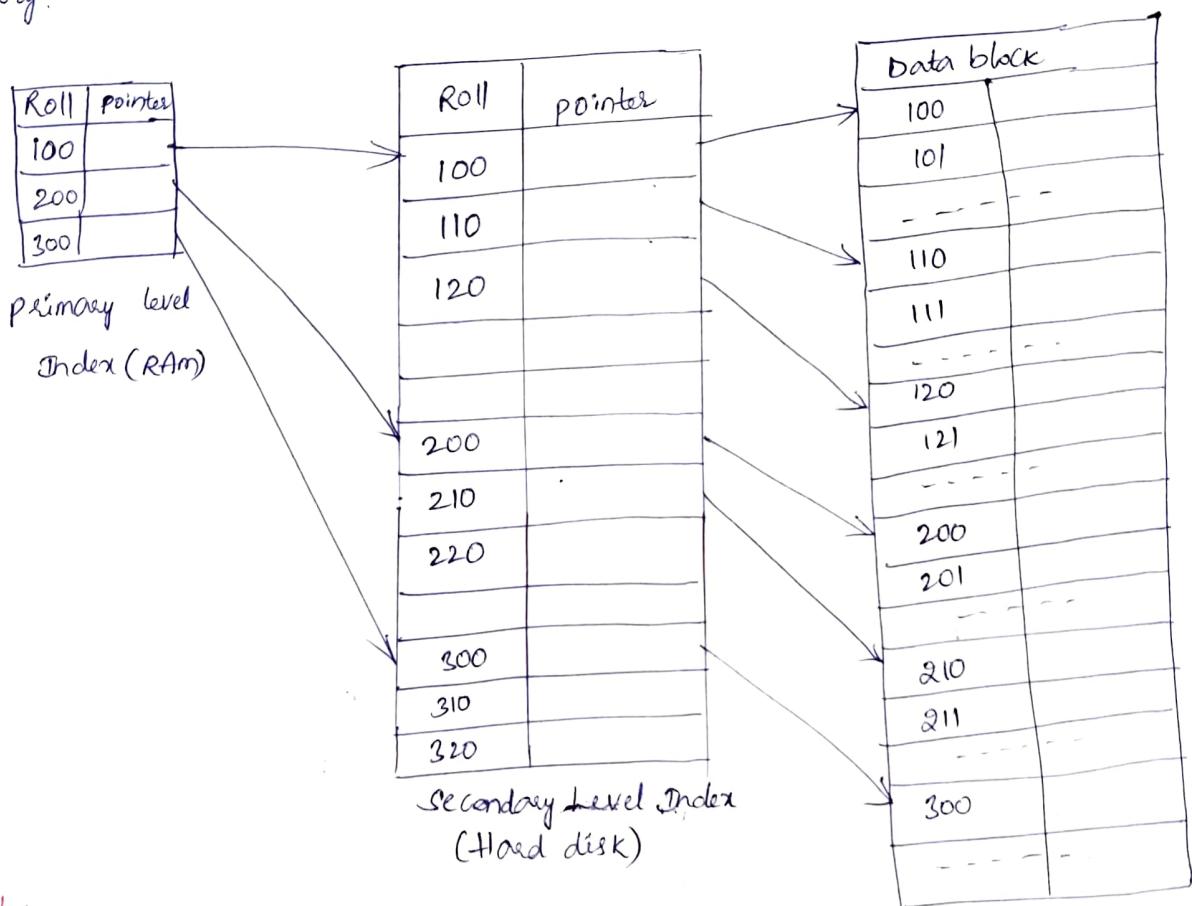


for every few search value in
Data file, there is an index
record.

* Secondary Index:

- " " is created over each record in a data file which is OK.
- " " is a type of dense index & also called as non clustering index.
- In sparse indexing, as table size grows, the size of mapping also grows. These mappings are usually kept in primary memory so that faster access. Secondary address memory searches actual data based on the address got from mapping. If mapping size grows, fetching address becomes slower.

In secondary indexing, to reduce the size of mapping. The huge range of the columns is selected initially so that the mapping size of the first level becomes small. Then each range is further divided into smaller ranges. The mapping of first level is stored in primary memory, fetching address is faster. The mapping of second level & actual data stored in secondary memory.



Example:

- If we want to find the roll 111, then it will search the highest entry which is smaller than or equal to 111 in the first level index. It will get 100 at this level. In the second level again $\max(111) \leq 111$. It goes to 110. Now, it will go to data block & starts searching each record till it gets 111.
- In the same way insertion, deletion & updation will be done.

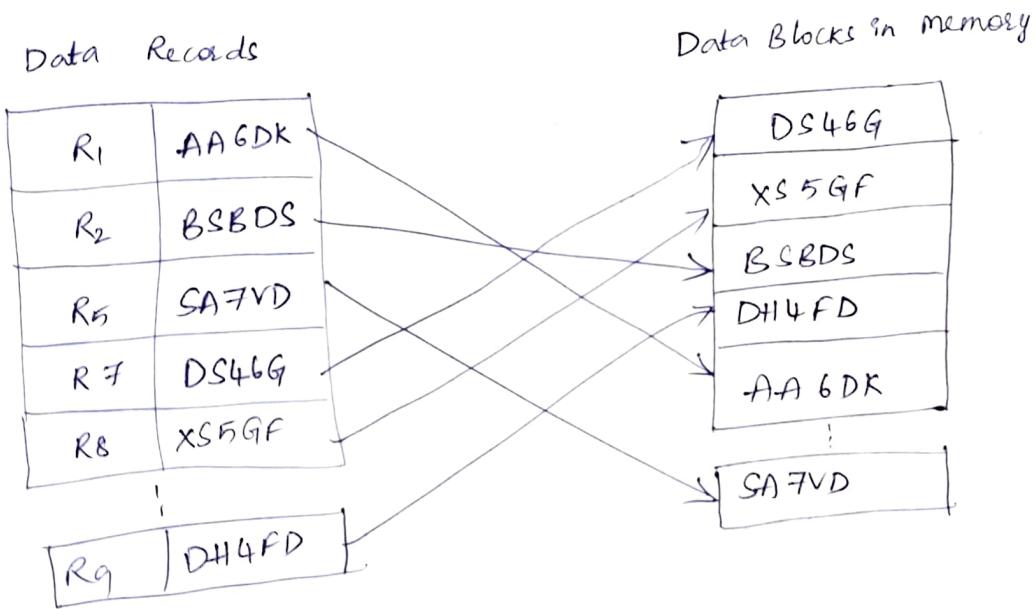
* Tree Structured Indexing:

- " " " techniques support both range & equality searches.
- ISAM is static, B+ tree is dynamic. (16)
- As for any index, 3 alternatives for data entries K^* :

- Data record with key value K
- K , id of data record with search key value K
- K , list of ids of data " " "

(i) ISAM (Indexed Sequential Access method):

" is an advanced sequential file organization. In this method, records are stored in the file using PK. An index value is generated for each PK & mapped with the record.



Advantages:

- each record has the address of its data block, searching a record in a huge DB is quick & easy.
- It supports range retrieval & partial retrieval of records since the index is based on PK, we can retrieve the data for given range of values. Partial value can also be easily searched. i.e., data record address starting with 'SA' can be easily searched.

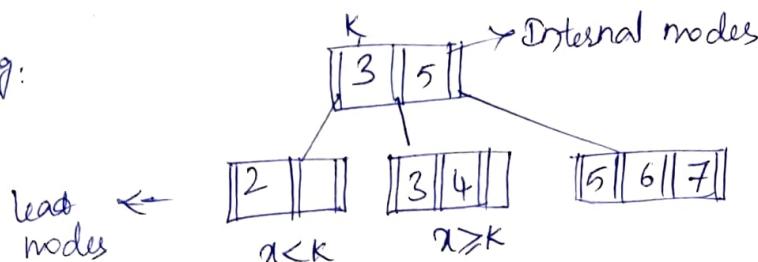
Disadvantages:

- It requires extra space in the disk to store the index values.
- When the new records are inserted, then these files have to be reconstructed to maintain the sequence.
- When the record is deleted, then the space used by it needs to be released. Otherwise, performance of DB will slow down.

(ii). B⁺-Tree Index File:

- B⁺-tree index is a multi level index.
 - " " is a rooted tree satisfying below properties:
 - (i) All paths from root to leaf are equally long.
 - (ii) If node isn't root or a leaf, it has $b/w[n/2]$ and ' n ' children.
 - (iii) A leaf node has between $(n-1)/2$ & $(n+1)$ values.
 - Structure of any node of the tree is:
- | | | | | | | |
|-------|-------|-------|-----|-----------|-----------|-------|
| P_1 | K_1 | P_2 | --- | P_{n-1} | K_{n-1} | P_n |
|-------|-------|-------|-----|-----------|-----------|-------|
- In B⁺ tree, leaf nodes denotes actual data pointers
 - All leaf nodes remains at same height.
 - The leaf nodes are linked using linked list.
 - B⁺ tree occupy a little more space than B-tree
 - Internal nodes stores just keys.

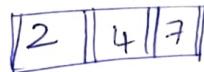
Eg:



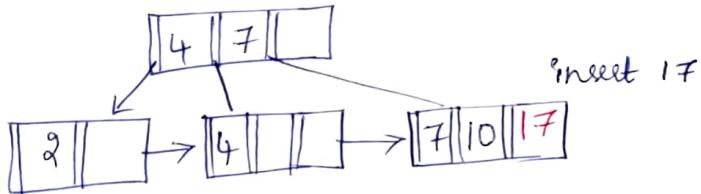
eg:

2, 4, 7, 10, 17, 21, 28 : n=3

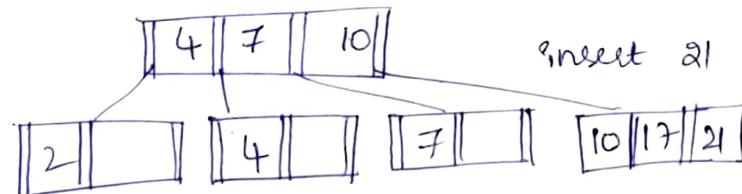
(17)



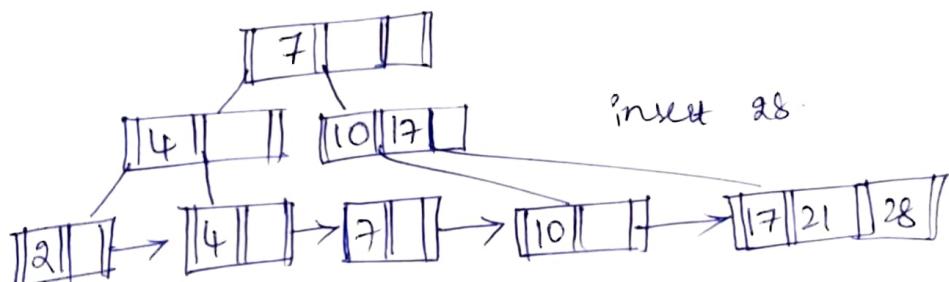
↓ insert 10



insert 17



insert 21



insert 28