# Homotopy Theory and Characteristic Classes

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#### Abstract

This is the notes of a course given by Prof. Ma Langte in 25spring at Shanghai Jiaotong University.

# Contents

Ι	Homotopy Theory	1
1	Cofibrations and Fibrations  1.1 Cofibrations	$4\\6\\7$
II	1.2 Fibrations	9 <b>12</b>
II	I Characteristic Classes	12

# Part I

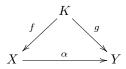
# Homotopy Theory

Let **TOP** be the category of topological spaces. Then we can take a quotient of **TOP** and get the homotopy category  $h-\mathbf{TOP}$ . The quotient may bring more algebraic structures. For example, Mor  $(S^1, X)$ , the homotopy classes of maps from  $S^1$  to X, is the fundamental group of X. Our goal is to study functors from hmotopy category to some algebraic categories.

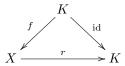
Let  $\mathbf{TOP}^o$  be the pointed topological category, where the sum is wedge sum  $(X, x_0) \land (Y, y_0) = X \sqcup Y/x_0 \sim y_0$  and the product is the smash product  $(X, x_0) \lor (Y, y_0) = X \times Y/\{x_0\} \times Y \cup X \times \{y_0\}$ . Similarly, we can take a quotient to get  $h - \mathbf{TOP}^o$ .

Let  $\mathbf{TOP}(2)$  be the category of pairs and  $h - \mathbf{TOP}(2)$  be its quotient.

Fix  $K \in \text{Ob}(\mathbf{TOP})$ . Let's consider  $\mathbf{TOP}^K$ , the category of spaces under K. Its objects are maps  $f \colon K \to X$  and morphisms are maps  $\alpha \colon X \to Y$  such that  $\alpha \circ f = g$ .



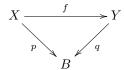
If  $K = \{*\}$  is a single point set, then  $\mathbf{TOP}^{\{*\}} = \mathbf{TOP}^o$  is the pointed topological category. Take X = K. A morphism from  $f: K \to X$  to id:  $K \to K$  is  $r: X \to K$  such that  $r \circ f = \mathrm{id}$ .



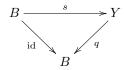
When  $K \subset X$ ,  $f = i : K \hookrightarrow X$ , we say that r is a retraction.

We have  $r: X \to K$  is a deformation retraction, if and only if  $i \circ r \simeq \mathrm{id}_X$  rel K, if and only if  $r: X \to K$  is a homotopy equivalence in  $\mathbf{TOP}^K$ .

Fix  $B \in \text{Ob}(\mathbf{TOP})$ . Let's consider  $\mathbf{TOP}_B$ , the category of spaces over B, where the objects are  $p: X \to B$  and morphisms are  $f: X \to Y$  such that  $p = q \circ f$ .



Take X = B. A morphism from id:  $B \to B$  to  $q: Y \to B$  is  $s: B \to Y$  such that  $q \circ s = \mathrm{id}_B$ .



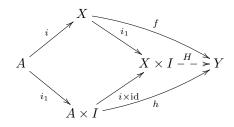
Then s is called a section of q.

Similarly, we can define  $h - \mathbf{TOP}^K$  and  $h - \mathbf{TOP}_B$ .

# 1 Cofibrations and Fibrations

#### 1.1 Cofibrations

**Definition 1.1.** A map  $i: A \to X$  has the homotopy extension property (HEP) for a space Y if for all homotopy  $h: A \times I \to Y$  and  $f: X \to Y$  with  $f \circ i(a) = h(a, 1)$ , there exists  $H: X \times I \to Y$  satisfies



We say  $i: A \to X$  is a cofibration if it has HEP for each  $Y \in Ob(\mathbf{TOP})$ .

Recall the mapping cylinder: if  $i: A \to X$  is a map, then  $Z(i) := (A \times I) \sqcup X/(a,1) \sim i(a)$ .

**Proposition 1.2.** Given a map  $i: A \to X$ . The followings are equivalent:

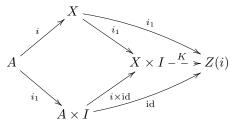
- 1.  $i: A \to X$  is a cofibration.
- 2. i has HEP for Z(i).
- 3. The map

$$s: Z(i) \to X \times I$$
$$(a,t) \mapsto (i(a),t),$$
$$x \mapsto (x,1)$$

has a retraction.

*Proof.*  $(1)\Longrightarrow(2)$  is only by definition.

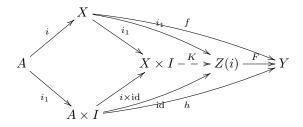
(2) $\Longrightarrow$ (1): By definition, there exists  $K \colon X \times I \to Z(i)$  such that the following diagram is commutative.



For any Y and homotopy  $h: A \times I \to Y$  and  $f: X \to Y$  with  $f \circ i(a) = h(a, 1)$ , we define

$$F: Z(i) \to Y$$
  
 $(a,t) \mapsto h(a,t)$   
 $x \mapsto f(x).$ 

Then  $F \circ K$  is as desired.

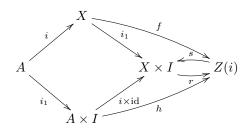


(2) $\Longrightarrow$ (3): We can easily check that the extension  $K: X \times I \to Z(i)$  in the proof of (2) $\Longrightarrow$ (1) is a retraction of s.

(3) $\Longrightarrow$ (2): Let r be a retraction of s. For any homotopy  $h: A \times I \to Z(i)$  and  $f: X \to Z(i)$  with  $f \circ i(a) = h(a, 1)$ , we define

$$\sigma \colon Z(i) \to Z(i)$$
 
$$(a,t) \mapsto h(a,t)$$
 
$$x \mapsto f(x).$$

Then we can verify that  $H = \sigma \circ r \colon X \times I \to Z(i)$  extends h.



**Corollary 1.3.** When  $A \subset X$  is a close subset,  $i: A \hookrightarrow X$  is the inclusion map. Then  $i: A \to X$  is a cofibration  $\iff Z(i) = A \times I \cup X \times \{1\}$  is a retraction of  $X \times I$ .

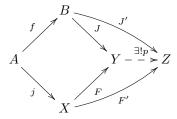
Therefore, we can construct many cofibrations. For example, let (X, A) be a manifold with boundary, then  $i \colon A \hookrightarrow X$  is a cofibration.

#### 1.1.1 Push-Out of Cofibration

Given a commutative diagram,

$$\begin{array}{c|c}
A & \xrightarrow{f} & B \\
\downarrow j & & \downarrow J \\
X & \xrightarrow{F} & Y
\end{array}$$

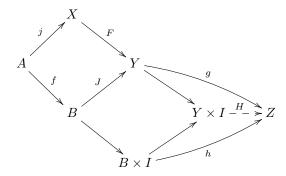
the push-out of j along f is the initial object of this diagram, i.e.  $j \colon B \to Y, \ F \colon X \to Y, \ \text{s.t.} \ \forall Z$  with  $J' \colon B \to Z, \ F' \colon X \to Z$  satisfying  $J' \circ f = F' \circ j, \ \exists ! \ \text{map} \ p \colon Y \to Z$  such that the diagram is commutative.



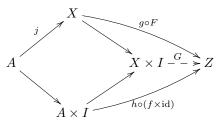
In our setting, we can construct  $Y = X \sqcup B/f(a) \sim j(a)$  directly.

**Proposition 1.4.** If  $j: A \to X$  is a cofibration, then the push-out of j along  $f: B \to Y$  is also a cofibration.

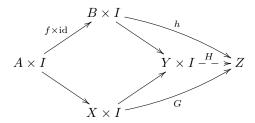
*Proof.* For any  $Z, g: Y \to Z, h: B \times I \to Z$  such that  $g \circ J = h \circ (i_1 \times id)$ , we need to find  $H: Y \times I \to Z$  such that the following diagram is commutative.



Because  $j\colon A\to X$  is a cofibration, we have  $G\colon X\times I\to Z$  such that the following diagram is commutative.



Using the fact that  $J \times \mathrm{id} \colon B \times I \to Y \times I$  is also the push-out of  $j \times \mathrm{id} \colon A \times I \to X \times I$  along  $f \times \mathrm{id} \colon A \times I \to B \times I$ , we have unique  $H \colon Y \times I \to Z$  such that the following diagram is commutative.

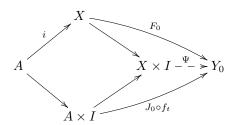


The  $H: Y \times I \to Z$  is the extension of  $h: B \times I \to Z$ , as desired.

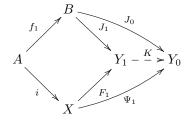
In terms of categorical language, let  $\Pi(A,B)$  be a category, whose objects are continue maps from A to B and morphisms are homotopy of maps from A to B. Consider  $\mathbf{COF}^B \subset \mathbf{TOP}^B$  the subcategory of cofibrations under B (i.e.  $J \colon B \to Y$ ). Then we have homotopy category  $h - \mathbf{COF}^B$ . Given a cofibration  $i \colon A \to X$ , we get a contravariant functor

$$\beta \colon \Pi(A,B) \to h - \mathbf{COF}^B$$
.

In fact, we only need to check that if  $f_0 \simeq f_1 \colon A \to B$ , then we get a morphism from  $J_0 \colon B \to Y_0$  to  $J_1 \colon B \to Y_1$ . Firstly, consider the homotopy  $J_0 \circ f_t \colon A \times I \to Y_0$ , we get its extension  $\Psi \colon X \times I \to Y_0$ .



Then by the universal property of the push-out  $J_1: B \to Y_1$  of i along  $f_1$  for  $J_0: B \to Y_0$  and  $\Psi_1: X \to Y_0$ , we get a map  $K: Y_1 \to Y_0$ , as desired.



# 1.1.2 Replacing a Map by a Cofibration

Given a map  $f: X \to Y$ , consider the mapping cylinder Z(f). We can notice that Z(f) is the push-out.

$$X \xrightarrow{f} Y$$

$$\downarrow s$$

$$X \times I \xrightarrow{a} Z(f)$$

We also have a map

$$q \colon Z(f) \to Y$$
  
 $(x,t) \mapsto f(x).$ 

Note that by Proposition 1.2,  $i_1: X \hookrightarrow X \times I$  is a cofibration  $\iff X \times \{1\} \times I \cup X \times I \times \{1\}$  is a retraction of  $X \times I \times I$ , we have  $s: Y \to Z(f)$  is a cofibration.

#### Proposition 1.5. Let

$$j: X \to Z(f)$$
  
 $x \mapsto (x, 0),$ 

we have

- 1.  $j: X \to Z(f)$  is a cofibration.
- 2.  $s \circ q \simeq \mathrm{id}_{Z(f)}$  rel Y.
- 3. If f is a cofibration, then  $q: Z(f) \to Y$  is a homotopy equicalence in  $\mathbf{TOP}^X$ .

*Proof.* (1). We construct a retraction  $R: Z(f) \times I \to X \times I \cup Z(f) \times \{1\}$  as follow. Let  $R': I \times I \to I \times \{1\} \cup \{0\} \times I$  be a retraction. Then we define

$$R \colon Z(f) \times I \to X \times I \cup Z(f) \times \{1\}$$
$$((x,s),t) \mapsto (x,R'(s,t))$$
$$(y,t) \mapsto (y,1)$$

is as desired. By Proposition 1.2,  $j: X \to Z(f)$  is a cofibration.

(2). The homotopy

$$h_t \colon Z(f) \to Z(f)$$
  
 $(x, \sigma) \mapsto (x, (1-t)\sigma + t)$ 

is as desired.

(3). By Proposition 1.2, there is a retraction  $r: Y \times I \to Z(f)$ . Define

$$g \colon Y \to Z(f)$$
  
 $y \mapsto r(y, 1).$ 

One can verifies that g is the homotopy inverse of q.

**Summery 1.** Any map  $f: X \to Y$  factors into

$$X \xrightarrow{j} Z \xrightarrow{q} Y$$

where  $j\colon X\to Z$  is a cofibration and  $q\colon Z\to Y$  is a homotopy equivalence. Moreover, such a factorization is unique up to homotopy equivalence. In particular, we can choose Z=Z(f). We define  $C_f=Z(f)/\operatorname{im} j$  as the homotopy cofibre of f, i.e.  $C_f=X\times I\sqcup Y/(x,0)\sim *,(x,1)\sim f(x)$ , is called the mapping cone of f.

$$X \xrightarrow{f} Y \xrightarrow{s} C_f$$

#### 1.1.3 The Cofibre Sequence (Puppe's Sequence)

To get finer structure, we work in  $\mathbf{TOP}^o$ . Given a map  $f: (X, x_0) \to (Y, y_0)$ , we get an induced map

$$f^* : [Y, B]^o \to [X, B]^o$$
  
 $[\alpha] \mapsto [f \circ \alpha],$ 

where  $[X, B]^o$  is the homotopy class of basepoint preserving maps. In particular, we have the constant map

$$[*]: X \to B$$
  
 $x \mapsto b_0.$ 

**Definition 1.6.** We say a sequence

$$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{g} (Z, z_0)$$

in  $\mathbf{TOP}^o$  is h-coexact if  $\forall (B, b_0) \in \mathrm{Ob}(\mathbf{TOP}^o)$ ,

$$[Z,B]^o \xrightarrow{g^*} [Y,B]^o \xrightarrow{f^*} [X,B]^o$$

is exact, i.e.  $(f^*)^{-1}([*]) = \text{im } g^*$ .

In **TOP**<sup>o</sup>, we consider the reduced mapping cone  $CX := X \times I/X \times \{0\} \cup \{x_0\} \times I$ . The basepoint of CX is  $X \times \{0\} \cup \{x_0\} \times I$ . And we consider the reduced mapping cone: For  $f : (X, x_0) \to (Y, y_0)$ ,  $C(f) := CX \vee Y/(x, 1) \sim f(x)$ . It is equivalent to the following push-out diagram.q

$$X \xrightarrow{f} Y$$

$$\downarrow_{i_1} \qquad \qquad \downarrow_{f_1}$$

$$CX \longrightarrow C(f)$$

In fact,  $f_1$  maps y to (y, 1).

We will also use symbol X instead of  $(X, x_0)$  in **TOP**<sup>o</sup> for short.

Proposition 1.7. The sequence

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f)$$

is h-coexact.

*Proof.* Consider the following sequence

$$[C(f), B]^o \xrightarrow{f_1^*} [Y, B]^o \xrightarrow{f^*} [X, B]^o$$

for any  $(B, b_0)$ .

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f)$$

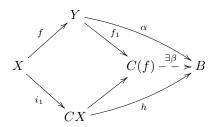
Assume that  $[\alpha] \in [Y,B]^o$  s.t.  $[\alpha \circ f] = [*] \in [X,B]^o$ , i.e.  $\alpha \circ f$  is null-homotopic. This is equivalent that there exists a map  $h \colon CX \to B$ . The mapping cone C(f) is the push-out of

$$X \xrightarrow{f} Y$$

$$\downarrow_{i_1} \qquad \qquad \downarrow_{f_1}$$

$$CX \longrightarrow C(f)$$

Using the universal property of push-out, we have the following commutative diagram,



i.e.  $\alpha = \beta \circ f_1$ . Therefore  $[\alpha] = f_1^*[\beta]$  and this proposition follows.

Iterate the procedure, we get a long h-coexact sequence:

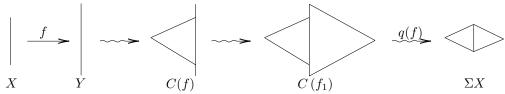
$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{f_2} C(f_1) \xrightarrow{f_3} C(f_2) \longrightarrow \cdots$$

Consider the injection  $j_1: CY \to C(f_1)$ , we have that

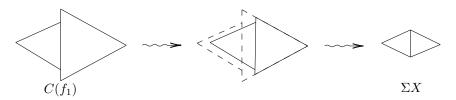
$$C(f_1)/j_1(CY) = X \times I/X \times \partial I \cup \{x_0\} \times I = \Sigma X$$

is the reduced suspension of X. Then we get a quotient map

$$q(f)\colon C\left(f_1\right)\to \Sigma X.$$



Claim 1. q(f) is a homotopy equivalence.



Denote by  $s(f): \Sigma X \to C(f_1)$  the homotopy inverse of q(f). Then our original sequence becomes

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{f_2} C(f_1) \xrightarrow{f_3} C(f_2)$$

$$\downarrow^{q(f)} \downarrow^{q(f)}$$

$$\Sigma X$$

Consider the following diagram.

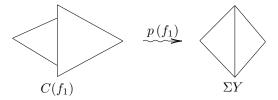
$$C(f_1) \xrightarrow{f_3} C(f_2)$$

$$q(f) \downarrow s(f) \qquad \qquad \downarrow q(f_1)$$

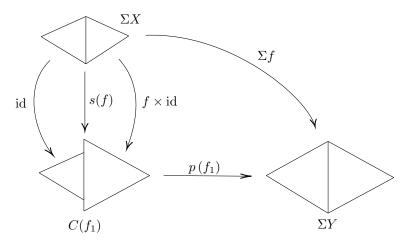
$$\sum X \xrightarrow{q(f_1) \circ f_3 \circ s(f)} Y$$

Claim 2. Consider  $\tau \colon \Sigma X \to \Sigma X$  which maps (x,t) to (x,1-t), we have  $q(f_1) \circ f_3 \circ s(f) \simeq \Sigma f \circ \tau$ 

To prove it, denote  $p(f_1) = q(f_1) \circ f_3$ . In fact,  $p(f_1)$  retracts the left triangle, i.e. CX to a point.



In the following diagram, s(f) is the union of id and  $f \times id$ , i.e. id maps the left triangle of  $\Sigma X$  to the left triangle of  $C(f_1)$ ,  $f \times id$  maps the right triangle of  $\Sigma X$  to the right triangle of  $C(f_1)$ . Then  $\Sigma f = p(f_1) \circ s(f)$  naturally. Notice that  $\tau$  flips  $\Sigma X$  left and right. Therefore, by symmetry, we have  $p(f_1) \circ s(f) \simeq \Sigma f \circ \tau$ , as desired.



Now we get

$$X \xrightarrow{\quad f \quad} Y \xrightarrow{\quad f_1 \quad} C(f) \xrightarrow{p(f) \quad} \Sigma X \xrightarrow{\quad \Sigma f \quad} \Sigma Y \xrightarrow{\quad (\Sigma f)_1} C(\Sigma f)$$

Claim 3. There is a homeomorphism  $\tau_1 \colon C(\Sigma f) \to \Sigma C(f)$  such that the following diagram is commutative.

$$\Sigma Y \xrightarrow{(\Sigma f)_1} C(\Sigma f)$$

$$\downarrow^{\tau_1}$$

$$\Sigma C(f)$$

In fact, regard both  $C(\Sigma f)$  and  $\Sigma C(f)$  as the quotient spaces of  $X \times I \times I$  unioned with Y,  $\tau_1$  is induced from interchanging the two I-factors.

As conclusion, we have

**Theorem 1.8** (Puppe's Sequence). The sequence

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{p(f)} \Sigma X \xrightarrow{\Sigma f} \Sigma Y \xrightarrow{\Sigma f_1} \Sigma C(f) \xrightarrow{p(\Sigma f)} \Sigma^2 X \longrightarrow \Sigma^2 Y \longrightarrow \cdots$$

is h-coexact.

#### 1.2 Fibrations

**Definition 1.9.** A map  $p: E \to B$  has the homotopy lifting property (HLP) for the space X if  $\forall$  homotopy  $h: X \times I \to B$  and  $a: X \to E$  s.t.  $p \circ a(x) = h(x, 0)$ , there exists a homotopy  $H: X \times I \to E$ 

s.t.  $p \circ H = h$ . H is called a lifting of h.

$$X \xrightarrow{a} E$$

$$\downarrow i_0 \qquad \downarrow f \qquad \downarrow p$$

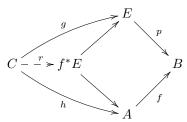
$$X \times I \xrightarrow{h} B$$

A map  $p: E \to B$  is called a fibration if it has HLP for all spaces X.

**Definition 1.10.** Given maps  $f: A \to B$  and  $p: E \to B$ . The pull-back of p along f is the terminal object of the following diagram,

$$\begin{array}{ccc}
f^*E & \longrightarrow E \\
\downarrow & & \downarrow p \\
A & \xrightarrow{f} B
\end{array}$$

i.e. for any  $C, g: C \to E, h: C \to A$ , there exists unique r such that the following diagram is commutative.



Explicity,

$$f^*E = \{(a, e) \in A \times E : f(a) = p(e)\}$$

and  $\pi \colon f^*E \to A$  is the projection.

Denote  $B^I = \text{Map}(I, B)$ . Consider the pull-back

$$W(p) \coloneqq \left\{ (x, w) \in E \times B^I : p(x) = w(0) \right\}$$

which is given by the pull-back

$$W(p) \xrightarrow{k} B^{I}$$

$$\downarrow b \qquad \qquad \downarrow e^{0}$$

$$E \xrightarrow{n} B$$

where  $e^0$  maps w to w(0).

**Proposition 1.11.** Given a map  $p: E \to B$ , the followings are equivalence:

- 1.  $p: E \to B$  is a fibration.
- 2. p has HLP for W(p).

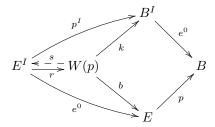
3.

$$r \colon E^I \to W(p)$$
  
 $\alpha \mapsto (\alpha(0), p \circ \alpha)$ 

admits a section.

*Proof.*  $(1)\Longrightarrow(2)$  is by definition.

(2) $\Longrightarrow$ (3): Because W(p) is a pull-back, by its universal property, we have the following diagram and we want to find s such that  $r \circ s = \mathrm{id}$ .



Notice that Map  $(W(p), E^I) = \text{Map}(W(p) \times I, E)$ , because p has HLP for W(p), we have the following commutative diagram.

$$W(p) \xrightarrow{b} E$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow p$$

$$W(p) \times I \xrightarrow{k} B$$

We have  $b \circ r \circ s = e^0 \circ s = b$  and  $k \circ r \circ s = p^I s = k$ . Using the universal property (uniqueness) of pull-back W(p) for W(p), we must have  $r \circ s = \mathrm{id}$ , i.e. s is a section of r.

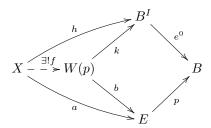
(3) $\Longrightarrow$ (1): Let s be the section of r. For any X, a, h as in the definition of fibration, we want to find H such that the following diagram is commutative.

$$X \xrightarrow{a} E$$

$$\downarrow i_0 \qquad \downarrow p$$

$$X \times I \xrightarrow{h} B$$

Using the universal property of pull-back W(p), we have unique f such that the following diagram is commutative, where  $h\colon X\to B^I$  is the same as  $h\colon X\times I\to B$ .



Then because Map  $(W(p), E^I) = \text{Map}(W(p) \times I, E)$ , one can check that  $H = s \circ f$  is as desired. In fact,

$$p \circ H(x,t) = (p \circ H(x))(t) = (k \circ r \circ s \circ f(x))(t) = (k \circ \operatorname{id} \circ f(x))(t) = h(x,t)$$

and  $H \circ i_0 = a$  is similar.

**Proposition 1.12.** If  $p: E \to B$  is a fibration, then  $f^*E \to A$  is also a fibration.

*Proof.* In the following diagram, F is induced by HLP for fibration  $p: E \to B$  and then H is induced by universal property of pull-back  $f^*E$ .

$$X \xrightarrow{a} f^*E \xrightarrow{} E$$

$$\downarrow i_0 \downarrow H \xrightarrow{\pi} F \downarrow \pi \qquad \downarrow p$$

$$X \times I \xrightarrow{h} A \xrightarrow{f} B$$

Part II Generalized Homology

Part III Characteristic Classes