

# Homotopy Theory and Characteristic Classes

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## Abstract

This is the notes of a course given by Prof. Ma Langte in 25spring at Shanghai Jiaotong University. The textbook is *Algebraic Topology* by Tammo tom Dieck.

## Contents

<b>I</b>	<b>Homotopy Theory</b>	<b>2</b>
<b>1</b>	<b>Cofibrations and Fibrations</b>	<b>3</b>
1.1	Cofibrations . . . . .	3
1.1.1	Push-Out of Cofibration . . . . .	4
1.1.2	Replacing a Map by a Cofibration . . . . .	6
1.1.3	The Cofibre Sequence (Puppe's Sequence) . . . . .	7
1.2	Fibrations . . . . .	10
1.2.1	Pull-back of Fibration . . . . .	12
1.2.2	Replacing Maps by Fibration . . . . .	12
1.2.3	Fibre Exact Sequence (Puppe's Sequence) . . . . .	14
1.3	Duality of Cofibration and Fibration . . . . .	16
1.3.1	Duality of Reduced Suspension and Loop Space . . . . .	16
1.3.2	Duality of HLP and HEP . . . . .	17
1.3.3	Duality of Two Puppe's Sequences . . . . .	17
<b>2</b>	<b>Homotopy Groups</b>	<b>18</b>
2.1	Definitions and Properties . . . . .	18
<b>II</b>	<b>Generalized Homology</b>	<b>20</b>
<b>III</b>	<b>Characteristic Classes</b>	<b>20</b>

# Part I

## Homotopy Theory

Let  $\mathbf{TOP}$  be the category of topological spaces. Then we can take a quotient of  $\mathbf{TOP}$  and get the homotopy category  $h\text{-}\mathbf{TOP}$ . The quotient may bring more algebraic structures. For example,  $\text{Mor}(S^1, X)$ , the homotopy classes of maps from  $S^1$  to  $X$ , is the fundamental group of  $X$ . Our goal is to study functors from homotopy category to some algebraic categories.

Let  $\mathbf{TOP}^o$  be the pointed topological category, where the sum is wedge sum  $(X, x_0) \wedge (Y, y_0) = X \sqcup Y / x_0 \sim y_0$  and the product is the smash product  $(X, x_0) \vee (Y, y_0) = X \times Y / \{x_0\} \times Y \cup X \times \{y_0\}$ . Similarly, we can take a quotient to get  $h\text{-}\mathbf{TOP}^o$ .

Let  $\mathbf{TOP}(2)$  be the category of pairs and  $h\text{-}\mathbf{TOP}(2)$  be its quotient.

Fix  $K \in \text{Ob}(\mathbf{TOP})$ . Let's consider  $\mathbf{TOP}^K$ , the category of spaces under  $K$ . Its objects are maps  $f: K \rightarrow X$  and morphisms are maps  $\alpha: X \rightarrow Y$  such that  $\alpha \circ f = g$ .

$$\begin{array}{ccc} & K & \\ f \swarrow & & \searrow g \\ X & \xrightarrow{\alpha} & Y \end{array}$$

If  $K = \{*\}$  is a single point set, then  $\mathbf{TOP}^{\{*\}} = \mathbf{TOP}^o$  is the pointed topological category. Take  $X = K$ . A morphism from  $f: K \rightarrow X$  to  $\text{id}: K \rightarrow K$  is  $r: X \rightarrow K$  such that  $r \circ f = \text{id}$ .

$$\begin{array}{ccc} & K & \\ f \swarrow & & \searrow \text{id} \\ X & \xrightarrow{r} & K \end{array}$$

When  $K \subset X$ ,  $f = i: K \hookrightarrow X$ , we say that  $r$  is a retraction.

We have  $r: X \rightarrow K$  is a deformation retraction, if and only if  $i \circ r \simeq \text{id}_X \text{ rel } K$ , if and only if  $r: X \rightarrow K$  is a homotopy equivalence in  $\mathbf{TOP}^K$ .

Fix  $B \in \text{Ob}(\mathbf{TOP})$ . Let's consider  $\mathbf{TOP}_B$ , the category of spaces over  $B$ , where the objects are  $p: X \rightarrow B$  and morphisms are  $f: X \rightarrow Y$  such that  $p = q \circ f$ .

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow p & \swarrow q \\ & B & \end{array}$$

Take  $X = B$ . A morphism from  $\text{id}: B \rightarrow B$  to  $q: Y \rightarrow B$  is  $s: B \rightarrow Y$  such that  $q \circ s = \text{id}_B$ .

$$\begin{array}{ccc} B & \xrightarrow{s} & Y \\ & \searrow \text{id} & \swarrow q \\ & B & \end{array}$$

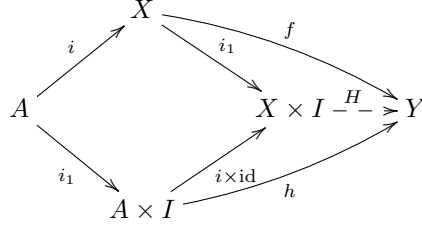
Then  $s$  is called a section of  $q$ .

Similarly, we can define  $h\text{-}\mathbf{TOP}^K$  and  $h\text{-}\mathbf{TOP}_B$ .

# 1 Cofibrations and Fibrations

## 1.1 Cofibrations

**Definition 1.1.** A map  $i: A \rightarrow X$  has the homotopy extension property (HEP) for a space  $Y$  if for all homotopy  $h: A \times I \rightarrow Y$  and  $f: X \rightarrow Y$  with  $f \circ i(a) = h(a, 1)$ , there exists  $H: X \times I \rightarrow Y$  satisfies



We say  $i: A \rightarrow X$  is a cofibration if it has HEP for each  $Y \in \text{Ob}(\mathbf{TOP})$ .

Recall the mapping cylinder: if  $i: A \rightarrow X$  is a map, then  $Z(i) := (A \times I) \sqcup X / (a, 1) \sim i(a)$ .

**Proposition 1.2.** Given a map  $i: A \rightarrow X$ . The followings are equivalent:

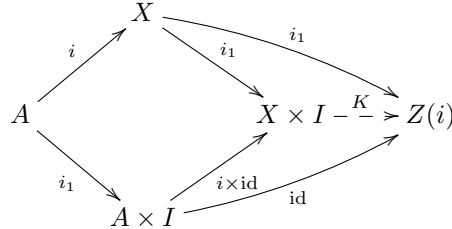
1.  $i: A \rightarrow X$  is a cofibration.
2.  $i$  has HEP for  $Z(i)$ .
3. The map

$$\begin{aligned} s: Z(i) &\rightarrow X \times I \\ (a, t) &\mapsto (i(a), t), \\ x &\mapsto (x, 1) \end{aligned}$$

has a retraction.

*Proof.* (1) $\implies$ (2) is only by definition.

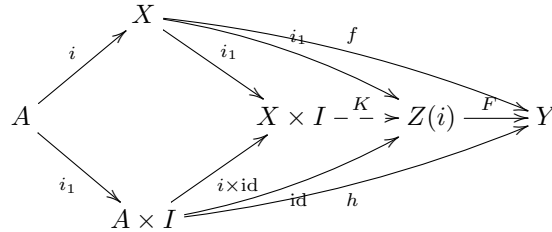
(2) $\implies$ (1): By definition, there exists  $K: X \times I \rightarrow Z(i)$  such that the following diagram is commutative.



For any  $Y$  and homotopy  $h: A \times I \rightarrow Y$  and  $f: X \rightarrow Y$  with  $f \circ i(a) = h(a, 1)$ , we define

$$\begin{aligned} F: Z(i) &\rightarrow Y \\ (a, t) &\mapsto h(a, t) \\ x &\mapsto f(x). \end{aligned}$$

Then  $F \circ K$  is as desired.

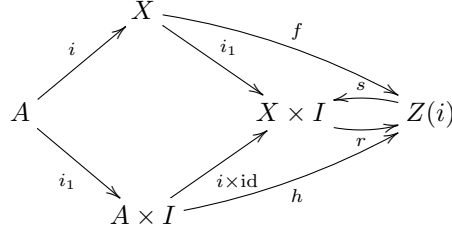


(2) $\implies$ (3): We can easily check that the extension  $K: X \times I \rightarrow Z(i)$  in the proof of (2) $\implies$ (1) is a retraction of  $s$ .

(3) $\implies$ (2): Let  $r$  be a retraction of  $s$ . For any homotopy  $h: A \times I \rightarrow Z(i)$  and  $f: X \rightarrow Z(i)$  with  $f \circ i(a) = h(a, 1)$ , we define

$$\begin{aligned}\sigma: Z(i) &\rightarrow Z(i) \\ (a, t) &\mapsto h(a, t) \\ x &\mapsto f(x).\end{aligned}$$

Then we can verify that  $H = \sigma \circ r: X \times I \rightarrow Z(i)$  extends  $h$ .



□

**Corollary 1.3.** When  $A \subset X$  is a close subset,  $i: A \hookrightarrow X$  is the inclusion map. Then  $i: A \rightarrow X$  is a cofibration  $\iff Z(i) = A \times I \cup X \times \{1\}$  is a retraction of  $X \times I$ .

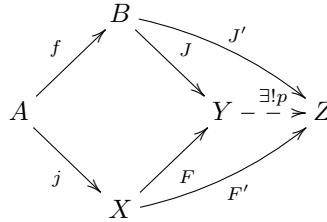
Therefore, we can construct many cofibrations. For example, let  $(X, A)$  be a manifold with boundary, then  $i: A \hookrightarrow X$  is a cofibration.

### 1.1.1 Push-Out of Cofibration

Given a commutative diagram,

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ j \downarrow & & \downarrow J \\ X & \xrightarrow{F} & Y \end{array}$$

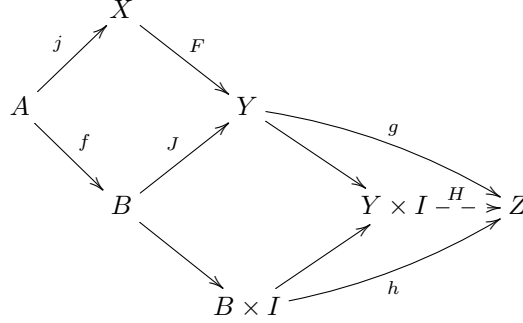
the push-out of  $j$  along  $f$  is the initial object of this diagram, i.e.  $j: B \rightarrow Y$ ,  $F: X \rightarrow Y$ , s.t.  $\forall Z$  with  $J': B \rightarrow Z$ ,  $F': X \rightarrow Z$  satisfying  $J' \circ f = F' \circ j$ ,  $\exists!$  map  $p: Y \rightarrow Z$  such that the diagram is commutative.



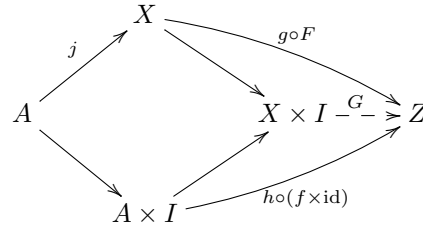
In our setting, we can construct  $Y = X \sqcup B/f(a) \sim j(a)$  directly.

**Proposition 1.4.** If  $j: A \rightarrow X$  is a cofibration, then the push-out of  $j$  along  $f: A \rightarrow B$   $J: B \rightarrow Y$  is also a cofibration.

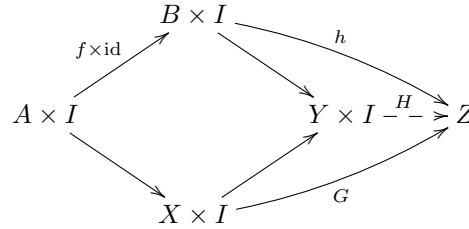
*Proof.* For any  $Z, g: Y \rightarrow Z, h: B \times I \rightarrow Z$  such that  $g \circ J = h \circ (i_1 \times \text{id})$ , we need to find  $H: Y \times I \rightarrow Z$  such that the following diagram is commutative.



Because  $j: A \rightarrow X$  is a cofibration, we have  $G: X \times I \rightarrow Z$  such that the following diagram is commutative.



Using the fact that  $J \times \text{id}: B \times I \rightarrow Y \times I$  is also the push-out of  $j \times \text{id}: A \times I \rightarrow X \times I$  along  $f \times \text{id}: A \times I \rightarrow B \times I$ , we have unique  $H: Y \times I \rightarrow Z$  such that the following diagram is commutative.

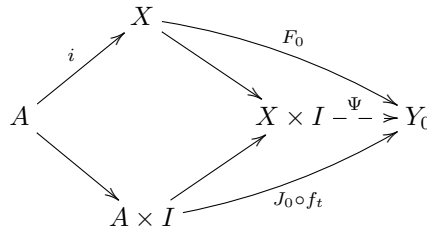


The  $H: Y \times I \rightarrow Z$  is the extension of  $h: B \times I \rightarrow Z$ , as desired.  $\square$

In terms of categorical language, let  $\Pi(A, B)$  be a category, whose objects are continue maps from  $A$  to  $B$  and morphisms are homotopy of maps from  $A$  to  $B$ . Consider  $\mathbf{COF}^B \subset \mathbf{TOP}^B$  the subcategory of cofibrations under  $B$  (i.e.  $J: B \rightarrow Y$ ). Then we have homotopy category  $h - \mathbf{COF}^B$ . Given a cofibration  $i: A \rightarrow X$ , we get a contravariant functor

$$\beta: \Pi(A, B) \rightarrow h - \mathbf{COF}^B.$$

In fact, we only need to check that if  $f_0 \simeq f_1: A \rightarrow B$ , then we get a morphism from  $J_0: B \rightarrow Y_0$  to  $J_1: B \rightarrow Y_1$ . Firstly, consider the homotopy  $J_0 \circ f_t: A \times I \rightarrow Y_0$ , we get its extension  $\Psi: X \times I \rightarrow Y_0$ .



Then by the universal property of the push-out  $J_1: B \rightarrow Y_1$  of  $i$  along  $f_1$  for  $J_0: B \rightarrow Y_0$  and  $\Psi_1: X \rightarrow Y_0$ , we get a map  $K: Y_1 \rightarrow Y_0$ , as desired.

$$\begin{array}{ccccc}
 & & B & & \\
 & f_1 \nearrow & & \searrow J_1 & \\
 A & & & & Y_1 \xrightarrow{K} Y_0 \\
 & i \searrow & & \nearrow F_1 & \\
 & & X & & 
 \end{array}
 \quad
 \begin{array}{c}
 \text{curved arrow } J_0 \text{ from } B \text{ to } Y_0 \\
 \text{curved arrow } \Psi_1 \text{ from } X \text{ to } Y_0
 \end{array}$$

### 1.1.2 Replacing a Map by a Cofibration

Given a map  $f: X \rightarrow Y$ , consider the mapping cylinder  $Z(f)$ . We can notice that  $Z(f)$  is the push-out.

$$\begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 i_1 \downarrow & & \downarrow s \\
 X \times I & \xrightarrow{a} & Z(f)
 \end{array}$$

We also have a map

$$\begin{aligned}
 q: Z(f) &\rightarrow Y \\
 (x, t) &\mapsto f(x).
 \end{aligned}$$

Note that by Proposition 1.2,  $i_1: X \hookrightarrow X \times I$  is a cofibration  $\iff X \times \{1\} \times I \cup X \times I \times \{1\}$  is a retraction of  $X \times I \times I$ , we have  $s: Y \rightarrow Z(f)$  is a cofibration.

**Proposition 1.5.** Let

$$\begin{aligned}
 j: X &\rightarrow Z(f) \\
 x &\mapsto (x, 0),
 \end{aligned}$$

we have

1.  $j: X \rightarrow Z(f)$  is a cofibration.
2.  $s \circ q \simeq \text{id}_{Z(f)} \text{ rel } Y$ .
3. If  $f$  is a cofibration, then  $q: Z(f) \rightarrow Y$  is a homotopy equivalence in  $\mathbf{TOP}^X$ .

*Proof.* (1). We construct a retraction  $R: Z(f) \times I \rightarrow X \times I \cup Z(f) \times \{1\}$  as follow. Let  $R': I \times I \rightarrow I \times \{1\} \cup \{0\} \times I$  be a retraction. Then we define

$$\begin{aligned}
 R: Z(f) \times I &\rightarrow X \times I \cup Z(f) \times \{1\} \\
 ((x, s), t) &\mapsto (x, R'(s, t)) \\
 (y, t) &\mapsto (y, 1)
 \end{aligned}$$

is as desired. By Proposition 1.2,  $j: X \rightarrow Z(f)$  is a cofibration.

(2). The homotopy

$$\begin{aligned}
 h_t: Z(f) &\rightarrow Z(f) \\
 (x, \sigma) &\mapsto (x, (1-t)\sigma + t)
 \end{aligned}$$

is as desired.

(3). By Proposition 1.2, there is a retraction  $r: Y \times I \rightarrow Z(f)$ . Define

$$\begin{aligned} g: Y &\rightarrow Z(f) \\ y &\mapsto r(y, 1). \end{aligned}$$

One can verify that  $g$  is the homotopy inverse of  $q$ . □

**Summery 1.** Any map  $f: X \rightarrow Y$  factors into

$$X \xrightarrow{j} Z \xrightarrow{q} Y$$

where  $j: X \rightarrow Z$  is a cofibration and  $q: Z \rightarrow Y$  is a homotopy equivalence. Moreover, such a factorization is unique up to homotopy equivalence. In particular, we can choose  $Z = Z(f)$ . We define  $C_f = Z(f)/\text{im } j$  as the homotopy cofibre of  $f$ , i.e.  $C_f = X \times I \sqcup Y/(x, 0) \sim *, (x, 1) \sim f(x)$ , is called the mapping cone of  $f$ .

$$X \xrightarrow{f} Y \xrightarrow{s} C_f$$

### 1.1.3 The Cofibre Sequence (Puppe's Sequence)

To get finer structure, we work in  $\mathbf{TOP}^o$ . Given a map  $f: (X, x_0) \rightarrow (Y, y_0)$ , we get an induced map

$$\begin{aligned} f^*: [Y, B]^o &\rightarrow [X, B]^o \\ [\alpha] &\mapsto [f \circ \alpha], \end{aligned}$$

where  $[X, B]^o$  is the homotopy class of basepoint preserving maps. In particular, we have the constant map

$$\begin{aligned} [*]: X &\rightarrow B \\ x &\mapsto b_0. \end{aligned}$$

**Definition 1.6.** We say a sequence

$$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{g} (Z, z_0)$$

in  $\mathbf{TOP}^o$  is h-coexact if  $\forall (B, b_0) \in \text{Ob}(\mathbf{TOP}^o)$ ,

$$[Z, B]^o \xrightarrow{g^*} [Y, B]^o \xrightarrow{f^*} [X, B]^o$$

is exact, i.e.  $(f^*)^{-1}([*]) = \text{im } g^*$ .

In  $\mathbf{TOP}^o$ , we consider the reduced mapping cone  $CX := X \times I / X \times \{0\} \cup \{x_0\} \times I$ . The basepoint of  $CX$  is  $X \times \{0\} \cup \{x_0\} \times I$ . And we consider the reduced mapping cone: For  $f: (X, x_0) \rightarrow (Y, y_0)$ ,  $C(f) := CX \vee Y/(x, 1) \sim f(x)$ . It is equivalent to the following push-out diagram.

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ i_1 \downarrow & & \downarrow f_1 \\ CX & \longrightarrow & C(f) \end{array}$$

In fact,  $f_1$  maps  $y$  to  $(y, 1)$ .

We will also use symbol  $X$  instead of  $(X, x_0)$  in  $\mathbf{TOP}^o$  for short.

**Proposition 1.7.** The sequence

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f)$$

is h-coexact.

*Proof.* Consider the following sequence

$$[C(f), B]^o \xrightarrow{f_1^*} [Y, B]^o \xrightarrow{f^*} [X, B]^o$$

for any  $(B, b_0)$ .

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & \xrightarrow{f_1} & C(f) \\ & \searrow & \downarrow \alpha & \swarrow & \\ & & B & & \end{array}$$

Assume that  $[\alpha] \in [Y, B]^o$  s.t.  $[\alpha \circ f] = [*] \in [X, B]^o$ , i.e.  $\alpha \circ f$  is null-homotopic. This is equivalent that there exists a map  $h: CX \rightarrow B$ . The mapping cone  $C(f)$  is the push-out of

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ i_1 \downarrow & & \downarrow f_1 \\ CX & \longrightarrow & C(f) \end{array}$$

Using the universal property of push-out, we have the following commutative diagram,

$$\begin{array}{ccccc} & & Y & & \\ & \nearrow f & & \searrow f_1 & \\ X & & & & C(f) \xrightarrow{\exists \beta} B \\ & \searrow i_1 & \nearrow & \searrow h & \\ & & CX & & \end{array}$$

i.e.  $\alpha = \beta \circ f_1$ . Therefore  $[\alpha] = f_1^*[\beta]$  and this proposition follows. □

Iterate the procedure, we get a long h-coexact sequence:

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{f_2} C(f_1) \xrightarrow{f_3} C(f_2) \longrightarrow \dots$$

Consider the injection  $j_1: CY \rightarrow C(f_1)$ , we have that

$$C(f_1)/j_1(CY) = X \times I/X \times \partial I \cup \{x_0\} \times I = \Sigma X$$

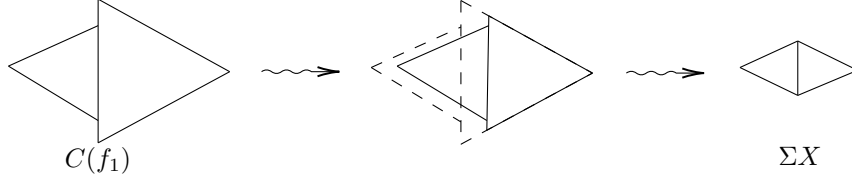
is the reduced suspension of  $X$ . Then we get a quotient map

$$q(f): C(f_1) \rightarrow \Sigma X.$$

$$\begin{array}{ccccccc} \begin{array}{c} | \\ X \end{array} & \xrightarrow{f} & \begin{array}{c} | \\ Y \end{array} & \rightsquigarrow & \begin{array}{c} \triangle \\ C(f) \end{array} & \rightsquigarrow & \begin{array}{c} \triangle \\ C(f_1) \end{array} & \xrightarrow{q(f)} & \begin{array}{c} \triangle \\ \Sigma X \end{array} \end{array}$$



**Claim 1.**  $q(f)$  is a homotopy equivalence.



Denote by  $s(f): \Sigma X \rightarrow C(f_1)$  the homotopy inverse of  $q(f)$ . Then our original sequence becomes

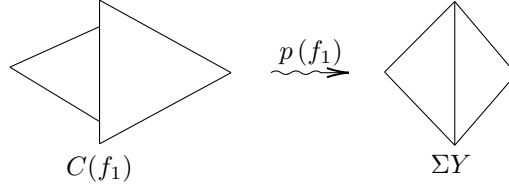
$$\begin{array}{ccccccc}
 X & \xrightarrow{f} & Y & \xrightarrow{f_1} & C(f) & \xrightarrow{f_2} & C(f_1) \xrightarrow{f_3} C(f_2) \\
 & & & & \searrow q(f) \circ f_2 & & \downarrow q(f) \\
 & & & & & & \Sigma X
 \end{array}$$

Consider the following diagram.

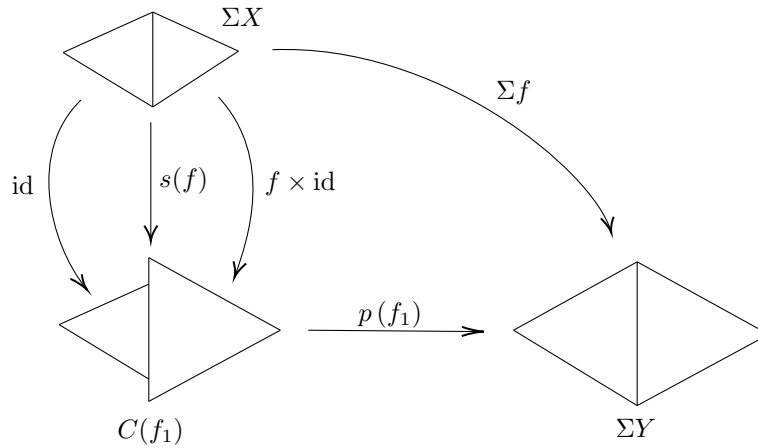
$$\begin{array}{ccc}
 C(f_1) & \xrightarrow{f_3} & C(f_2) \\
 q(f) \downarrow & \uparrow s(f) & \downarrow q(f_1) \\
 \Sigma X & \xrightarrow{q(f_1) \circ f_3 \circ s(f)} & \Sigma Y
 \end{array}$$

**Claim 2.** Consider  $\tau: \Sigma X \rightarrow \Sigma X$  which maps  $(x, t)$  to  $(x, 1 - t)$ , we have  $q(f_1) \circ f_3 \circ s(f) \simeq \Sigma f \circ \tau$

To prove it, denote  $p(f_1) = q(f_1) \circ f_3$ . In fact,  $p(f_1)$  retracts the left triangle, i.e.  $CX$  to a point.



In the following diagram,  $s(f)$  is the union of  $\text{id}$  and  $f \times \text{id}$ , i.e.  $\text{id}$  maps the left triangle of  $\Sigma X$  to the left triangle of  $C(f_1)$ ,  $f \times \text{id}$  maps the right triangle of  $\Sigma X$  to the right triangle of  $C(f_1)$ . Then  $\Sigma f = p(f_1) \circ s(f)$  naturally. Notice that  $\tau$  flips  $\Sigma X$  left and right. Therefore, by symmetry, we have  $p(f_1) \circ s(f) \simeq \Sigma f \circ \tau$ , as desired.



Now we get

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{p(f)} \Sigma X \xrightarrow{\Sigma f} \Sigma Y \xrightarrow{(\Sigma f)_1} C(\Sigma f)$$

**Claim 3.** There is a homeomorphism  $\tau_1: C(\Sigma f) \rightarrow \Sigma C(f)$  such that the following diagram is commutative.

$$\begin{array}{ccc} \Sigma Y & \xrightarrow{(\Sigma f)_1} & C(\Sigma f) \\ & \searrow \Sigma f_1 & \downarrow \tau_1 \\ & & \Sigma C(f) \end{array}$$

In fact, regard both  $C(\Sigma f)$  and  $\Sigma C(f)$  as the quotient spaces of  $X \times I \times I$  unioned with  $Y$ ,  $\tau_1$  is induced from interchanging the two  $I$ -factors.

As conclusion, we have

**Theorem 1.8** (Puppe's Sequence). The sequence

$$X \xrightarrow{f} Y \xrightarrow{f_1} C(f) \xrightarrow{p(f)} \Sigma X \xrightarrow{\Sigma f} \Sigma Y \xrightarrow{\Sigma f_1} \Sigma C(f) \xrightarrow{p(\Sigma f)} \Sigma^2 X \longrightarrow \Sigma^2 Y \longrightarrow \dots$$

is h-coexact.

## 1.2 Fibrations

**Definition 1.9.** A map  $p: E \rightarrow B$  has the homotopy lifting property (HLP) for the space  $X$  if  $\forall$  homotopy  $h: X \times I \rightarrow B$  and  $a: X \rightarrow E$  s.t.  $p \circ a(x) = h(x, 0)$ , there exists a homotopy  $H: X \times I \rightarrow E$  s.t.  $p \circ H = h$ .  $H$  is called a lifting of  $h$ .

$$\begin{array}{ccc} X & \xrightarrow{a} & E \\ i_0 \downarrow & \nearrow H & \downarrow p \\ X \times I & \xrightarrow{h} & B \end{array}$$

A map  $p: E \rightarrow B$  is called a fibration if it has HLP for all spaces  $X$ .

**Definition 1.10.** Given maps  $f: A \rightarrow B$  and  $p: E \rightarrow B$ . The pull-back of  $p$  along  $f$  is the terminal object of the following diagram,

$$\begin{array}{ccc} f^*E & \longrightarrow & E \\ \downarrow & & \downarrow p \\ A & \xrightarrow{f} & B \end{array}$$

i.e. for any  $C$ ,  $g: C \rightarrow E$ ,  $h: C \rightarrow A$ , there exists unique  $r$  such that the following diagram is commutative.

$$\begin{array}{ccccc} & & E & & \\ & \nearrow g & & \searrow p & \\ C & \xrightarrow{r} f^*E & & & B \\ & \searrow & & \nearrow f & \\ & & A & & \end{array}$$

Explicitly,

$$f^*E = \{(a, e) \in A \times E : f(a) = p(e)\}$$

and  $\pi: f^*E \rightarrow A$  is the projection.

Denote  $B^I = \text{Map}(I, B)$ . Consider the pull-back

$$W(p) := \{(x, w) \in E \times B^I : p(x) = w(0)\}$$

which is given by the pull-back

$$\begin{array}{ccc} W(p) & \xrightarrow{k} & B^I \\ b \downarrow & & \downarrow e^0 \\ E & \xrightarrow{p} & B \end{array}$$

where  $e^0$  maps  $w$  to  $w(0)$ .

**Proposition 1.11.** Given a map  $p: E \rightarrow B$ , the followings are equivalence:

1.  $p: E \rightarrow B$  is a fibration.
2.  $p$  has HLP for  $W(p)$ .
- 3.

$$\begin{aligned} r: E^I &\rightarrow W(p) \\ \alpha &\mapsto (\alpha(0), p \circ \alpha) \end{aligned}$$

admits a section.

*Proof.* (1) $\implies$ (2) is by definition.

(2) $\implies$ (3): Because  $W(p)$  is a pull-back, by its universal property, we have the following diagram and we want to find  $s$  such that  $r \circ s = \text{id}$ .

$$\begin{array}{ccccc} & & & B^I & \\ & & p^I \nearrow & & \searrow e^0 \\ E^I & \xrightleftharpoons[r]{s} & W(p) & \xrightarrow{k} & B \\ & \searrow e^0 & \downarrow b & & \nearrow p \\ & & E & & \end{array}$$

Notice that  $\text{Map}(W(p), E^I) = \text{Map}(W(p) \times I, E)$ , because  $p$  has HLP for  $W(p)$ , we have the following commutative diagram.

$$\begin{array}{ccc} W(p) & \xrightarrow{b} & E \\ \downarrow & \nearrow s & \downarrow p \\ W(p) \times I & \xrightarrow{k} & B \end{array}$$

We have  $b \circ r \circ s = e^0 \circ s = b$  and  $k \circ r \circ s = p^I s = k$ . Using the universal property (uniqueness) of pull-back  $W(p)$  for  $W(p)$ , we must have  $r \circ s = \text{id}$ , i.e.  $s$  is a section of  $r$ .

(3) $\implies$ (1): Let  $s$  be the section of  $r$ . For any  $X, a, h$  as in the definition of fibration, we want to find  $H$  such that the following diagram is commutative.

$$\begin{array}{ccc} X & \xrightarrow{a} & E \\ i_0 \downarrow & \nearrow H & \downarrow p \\ X \times I & \xrightarrow{h} & B \end{array}$$

Using the universal property of pull-back  $W(p)$ , we have unique  $f$  such that the following diagram is commutative, where  $h: X \rightarrow B^I$  is the same as  $h: X \times I \rightarrow B$ .

$$\begin{array}{ccccc}
 & & B^I & & \\
 & \nearrow h & & \searrow e^0 & \\
 X & \xrightarrow{\exists! f} & W(p) & \xrightarrow{k} & B \\
 & \searrow a & \nwarrow b & \nearrow p & \\
 & & E & & 
 \end{array}$$

Then because  $\text{Map}(W(p), E^I) = \text{Map}(W(p) \times I, E)$ , one can check that  $H = s \circ f$  is as desired. In fact,

$$p \circ H(x, t) = (p \circ H(x))(t) = (k \circ r \circ s \circ f(x))(t) = (k \circ \text{id} \circ f(x))(t) = h(x, t)$$

and  $H \circ i_0 = a$  is similar.  $\square$

### 1.2.1 Pull-back of Fibration

**Proposition 1.12.** If  $p: E \rightarrow B$  is a fibration, then  $f^*E \rightarrow A$  is also a fibration.

*Proof.* In the following diagram,  $F$  is induced by HLP for fibration  $p: E \rightarrow B$  and then  $H$  is induced by universal property of pull-back  $f^*E$ .

$$\begin{array}{ccccc}
 X & \xrightarrow{a} & f^*E & \xrightarrow{\pi} & E \\
 i_0 \downarrow & \nearrow H & \nearrow F & \searrow \pi & \downarrow p \\
 X \times I & \xrightarrow{h} & A & \xrightarrow{f} & B
 \end{array}$$

$\square$

### 1.2.2 Replacing Maps by Fibration

**Proposition 1.13.** The evaluation  $e^1: Y^I \rightarrow Y$ ,  $w \mapsto w(1)$  is a fibration.

*Proof.* We can define  $H$  directly:

$$\begin{aligned}
 H: X \times I &\rightarrow Y^I \\
 (x, s) &\mapsto \begin{cases} [t \mapsto a|_X((1+s)t)], & \text{when } 0 \leq (1+s)t \leq 1 \\ [t \mapsto h(x, (1+s)t - 1)], & \text{when } (1+s)t \geq 1. \end{cases}
 \end{aligned}$$

$$\begin{array}{ccc}
 X & \xrightarrow{a} & Y^I \\
 i_0 \downarrow & \nearrow H & \downarrow e^1 \\
 X \times I & \xrightarrow{h} & Y
 \end{array}$$

$\square$

Given  $f: X \rightarrow Y$ , consider the following pull-back.

$$\begin{array}{ccc}
 W(f) = f^*Y^I & \xrightarrow{\quad} & Y^I \\
 i_0 \downarrow & & \downarrow e^1 \\
 X & \xrightarrow{f} & Y
 \end{array}$$

In fact,

$$W(f) = \{(x, w) \in X \times Y^I : f(x) = w(1)\}.$$

Denote  $p: W(f) \rightarrow Y$ ,  $(x, w) \mapsto w(1)$  and  $s: X \rightarrow W(f)$ ,  $x \mapsto (x, k_{f(x)})$  where  $k_{f(x)}$  is a constant path at  $f(x)$ , and  $q: W(f) \rightarrow X$ ,  $(x, w) \mapsto x$ . We can check that the following diagram is commutative.

$$\begin{array}{ccc} W(f) = f^*Y^I & \xrightarrow{\quad} & Y^I \\ i_0 \downarrow & \uparrow s & \downarrow e^1 \\ X & \xrightarrow{\quad f \quad} & Y \end{array}$$

**Theorem 1.14.** In the following commutative diagram,

$$\begin{array}{ccc} X & \xrightarrow{\quad s \quad} & W(f) \\ & \searrow f & \swarrow p \\ & & Y \end{array}$$

$s$  is a homotopy equivalence and  $p$  is a fibration.

*Proof.* Consider the following fibration

$$\begin{array}{ccc} (f \times \text{id})^*Y^I & \xrightarrow{\quad} & Y^I \\ (q, p) \downarrow & & \downarrow (e^1, e^0) \\ X \times Y & \xrightarrow{\quad f \times \text{id} \quad} & Y \times Y \end{array}$$

**Claim 4.**  $(f \times \text{id})^*Y^I = W(f)$ .

To see that, notice that

$$(f \times \text{id})^*Y^I = \{(x, y, w) \in X \times Y \times Y^I : f(x) = w(1), y = w(0)\},$$

we can construct a map from  $W(f)$  to  $(f \times \text{id})^*Y^I$  that maps  $(x, w)$  to  $(x, w(0), w)$ . It's one to one.

Then  $p: W(f) \rightarrow Y$  is a fibration if and only if  $(f \times \text{id})^*Y^I \xrightarrow{(q, p)} X \times Y \xrightarrow{p_2} Y$  is a fibration. It's a composition of two fibration and then a fibration, as desired.

**Claim 5.**  $q$  is a homotopy inverse of  $s$ .

□

By this theorem, given any  $f: X \rightarrow Y$ , we can replace it by a fibration  $p: W(f) \rightarrow Y$  homotopically. Then we can define the homotopy fibre at  $y_0$  of  $f: X \rightarrow Y$  to be

$$F(f) := p^{-1}(y_0) = \{(x, w) \in X \times Y^I : f(x) = w(1), y_0 = w(0)\}.$$

**Remark 1.15.** Apply HLP again, we can prove the factorization  $f = s \circ p: X \rightarrow Y$  such that  $s: X \rightarrow W$  is a homotopy equivalence and  $p: W \rightarrow Y$  is a fibration. And this factorization is unique up to homotopy equivalence.

**Theorem 1.16.** Let  $p: E \rightarrow B$  be a fibration and  $B$  is path-connected. Then all fibres  $p^{-1}(b)$  are homotopy equivalent.

*Proof.* Given a path  $\alpha: I \rightarrow B$ ,  $\alpha(0) = b_0$  and  $\alpha(1) = b_1$ . Consider HLP property:

$$\begin{array}{ccc} p^{-1}(b_0) & \xrightarrow{\quad} & E \\ \downarrow & \nearrow H & \downarrow p \\ p^{-1}(b_0) \times I & \xrightarrow{h} & B \end{array}$$

where  $h(x, t) = \alpha(t)$ . Consider  $H_1: p^{-1}(b_0) \rightarrow p^{-1}(b_1)$  the restriction of  $H$  at  $t = 1$ . Similarly, consider the reversed path  $\bar{\alpha}$  of  $\alpha$ , we get  $\bar{H}_1: p^{-1}(b_1) \rightarrow p^{-1}(b_0)$ .

**Claim 6.**  $\bar{H}_1 \circ H_1 \simeq \text{id}$ .

It's by applying homotopy lifting to the homotopy from  $\bar{\alpha}\alpha$  to  $k_{b_0}$ . Therefore, all fibres  $p^{-1}(b)$  are homotopy equivalent.  $\square$

### 1.2.3 Fibre Exact Sequence (Puppe's Sequence)

**Definition 1.17.** We say a sequence of pointed maps

$$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{g} (Z, z_0)$$

is h-coexact if  $\forall (B, b_0)$ , the induced sequence

$$[B, X]^o \xrightarrow{f_*} [B, Y]^o \xrightarrow{g_*} [B, Z]^o$$

is exact, i.e.  $g_*^{-1}([c_{z_0}]) = \text{im } f_*$ .

Recall the homotopy fibre of  $f: X \rightarrow Y$  is

$$F(f) := p^{-1}(y_0) = \{(x, w) \in X \times Y^I : f(x) = w(1), y_0 = w(0)\}.$$

Denote  $f^1: F(f) \rightarrow X$ ,  $(x, w) \mapsto x$ .

**Proposition 1.18.** For any  $f: (X, x_0) \rightarrow (Y, y_0)$ , the sequence

$$F(f) \xrightarrow{f^1} X \xrightarrow{f} Y$$

is h-coexact.

*Proof.* Assume  $\alpha: B \rightarrow X$  satisfies  $f \circ \alpha: B \rightarrow Y$  is null-homotopic and  $f_*[\alpha] = [c_{y_0}]$ . Apply HLP property:

$$\begin{array}{ccc} B & \xrightarrow{\quad} & FY = \{w \in Y^I : w(0) = y_0\} \\ \downarrow & \nearrow H & \downarrow e^1 \\ B \times I & \xrightarrow{h} & Y \end{array}$$

where  $h$  is a null-homotopy from  $f \circ \alpha$  to  $c_{y_0}$ . Notice that  $H_0: B \times \{1\} \rightarrow FY$  satisfies

$$\begin{array}{ccccc} & & FY & & \\ & \nearrow H_0 & & \searrow & \\ B & \xrightarrow{\beta} & F(f) & \xrightarrow{f^1} & X \\ & \searrow \alpha & & \nearrow & \\ & & X & & Y \end{array}$$

where  $\beta$  is induced by the universal property of the pull-back  $F(f)$ , such that  $f^1 \circ \beta = \alpha$ . Therefore,  $f_*^1([\beta]) = [\alpha]$ .  $\square$

Iterate the procedure, we get a long h-exact sequence

$$\cdots \longrightarrow F(f^2) \xrightarrow{f^3} F(f^1) \xrightarrow{f^2} F(f) \xrightarrow{f^1} X \longrightarrow Y.$$

**Question 1.19.** How to understand  $F(f^n) \xrightarrow{f^{n+1}} F(f^{n-1})$  ?

We consider the loop space

$$\Omega Y := \{w \in Y^I : w(0) = w(1) = y_0\}.$$

Notice that

$$(f^1)^{-1}(x_0) = \{(x, w) \in X \times Y^I : w(0) = y_0, w(1) = f(x_0) = y_0\},$$

we have  $\Omega Y = (f^1)^{-1}(x_0)$ . We write  $i(f) : \Omega Y \rightarrow F(f)$  for the inclusion.

**Theorem 1.20** (The puppe's fibre sequence). The sequence

$$\Omega^k F(f) \xrightarrow{\Omega^k f^1} \Omega^k X \xrightarrow{\Omega^k f} \Omega^k Y \xrightarrow{i(\Omega^{k-1} f)} \cdots \longrightarrow \Omega X \longrightarrow \Omega Y \longrightarrow F(f) \xrightarrow{f^1} X \longrightarrow Y$$

is h-exact.

*Proof.* Step 1:

$$\begin{aligned} F(f^1) &= \{(x, w, v) \in X \times Y^I \times X^I : w(0) = y_0, v(0) = x_0, w(1) = f(x), v(1) = x\} \\ &= \{(w, v) \in Y^I \times X^I : w(0) = y_0, v(0) = x_0, w(1) = f(v(1))\}. \end{aligned}$$

Define  $j(f) : \Omega Y \rightarrow F(f^1)$ ,  $w \mapsto (w, k_{x_0})$ .

**Claim 7.**  $j(f)$  is a homotopy equivalence.

In fact, define  $r(f) : F(f^1) \rightarrow \Omega Y$ ,  $(w, v) \mapsto w * \overline{(f \circ v)}$ , then  $r(f) \circ j(f) = \text{id}$ . The homotopy from  $\text{id}_{F(f^1)}$  to  $j(f) \circ r(f)$  is  $h_t(w, v) = (h_t^1, h_t^2)$ , where  $h_t^1(s) = \begin{cases} w(s(1+t)), & s(1+t) \leq 1, \\ f(v(2-(1+t)s)), & s(1+t) \geq 1 \end{cases}$  and  $h_t^2(s) = v(s(1-t))$ .

Step 2: From  $F(f^1) \xrightarrow{f^2} F(f) \xrightarrow{f^1} X$ , we get

$$\begin{array}{ccc} F(f^2) & \xrightarrow{f^3} & F(f^1) \\ j(f^1) \uparrow & \nearrow i(f^1) & \uparrow j(f) \\ \Omega X & \xrightarrow{\Omega f} & \Omega Y \end{array}$$

Because  $j(f^1)$  is a homotopy equivalence, we have  $i(f^1) \simeq j(f) \circ \Omega f$ .

Step 3: Now we have  $\Omega X \xrightarrow{\Omega f} \Omega Y \xrightarrow{i(f)} F(f)$ . Then we get  $F \Omega f \longrightarrow \Omega X \xrightarrow{\Omega f} \Omega Y$ .

**Claim 8.**  $F(\Omega f)$  is homotopy equivalent to  $\Omega F(f)$ .

To see that, notice that  $F(\Omega f)$  and  $\Omega F(f)$  are all quotient of  $\text{Map}(I \times I, Y)$ .

Finally, we get the h-exact sequence

$$\Omega F(f) \longrightarrow \Omega X \longrightarrow \Omega Y \longrightarrow F(f) \longrightarrow X \longrightarrow Y.$$

□

### 1.3 Duality of Cofibration and Fibration

#### 1.3.1 Duality of Reduced Suspension and Loop Space

Write  $Y^X = \text{Map}(X, Y)$  equipped with compact-open topology. We define the adjunction

$$\begin{aligned} \alpha: Z^{X \times Y} &\rightarrow (Z^Y)^X \\ f &\mapsto [x \mapsto f(x, \cdot)]. \end{aligned}$$

**Theorem 1.21.** Suppose that  $X$  and  $Y$  are locally compact. Then  $\alpha$  is a homeomorphism.

In the pointed version, we replace  $X \times Y$  by  $X \wedge Y = X \times Y / \{x_0\} \times Y \cup X \times \{y_0\}$  and  $\text{Map}^o(X, Y)$  is the space of basepoint preserving maps. Then  $\alpha^o: \text{Map}^o(X \wedge Y, Z) \rightarrow \text{Map}^o(X, \text{Map}^o(Y, Z))$  is a homeomorphism. Therefore,  $\alpha^o$  induces a bijection  $\alpha_*^o: [X \wedge Y, Z]^o \rightarrow [X, \text{Map}^o(Y, Z)]^o$ .

Choose  $Y = S^1 = I/\partial I$ , then  $X \wedge Y = X \times I / X \times \partial I \cup \{x_0\} \times I = \Sigma X$  is the reduced suspension of  $X$  and  $\text{Map}^o(Y, Z) = \Omega Z$  is the loop space of  $Z$ . Therefore, we get a bijection  $\alpha_*^o: [\Sigma X, Z]^o \rightarrow [X, \Omega Z]^o$ .

On  $[\Sigma X, Z]^o$ , we have a group structure:

$$[f] +_M [g]: (x, t) \mapsto \begin{cases} f(x, 2t), & t \leq \frac{1}{2}, \\ g(x, 2t - 1), & t \geq \frac{1}{2}. \end{cases}$$

Let  $\tau$  be the inversion of  $\Sigma X$ . For any  $[f]$ ,  $-[f] = [f \circ \tau]$ .

On  $[X, \Omega Z]^o$ , we have

$$\begin{aligned} m: \Omega Z \times \Omega Z &\rightarrow \Omega Z \\ (u, v) &\mapsto u * v. \end{aligned}$$

Define

$$[f] +_m [g] := [m \circ (f \times g) \circ d],$$

where

$$\begin{aligned} d: X &\rightarrow X \times X \\ x &\mapsto (x, x) \end{aligned}$$

is the diagonal embedding.

One can verify that

$$\alpha_*^o([f] +_M [g]) = \alpha_*^o([f]) +_m \alpha_*^o([g]).$$

Then the adjunction map  $\alpha_*^o: [\Sigma X, Z]^o \rightarrow [X, \Omega Z]^o$  is an isomorphism. In categorical language, this means  $\text{Mor}(\Sigma X, Z) = \text{Mor}(X, \Omega Z)$  in  $\mathbf{TOP}^o$ . As conclusion,  $\Sigma: \mathbf{TOP}^o \rightarrow \mathbf{TOP}^o$  and  $\Omega: \mathbf{TOP}^o \rightarrow \mathbf{TOP}^o$  are dual functors.



### 1.3.2 Duality of HLP and HEP

Given a homotopy lifting diagram,

$$\begin{array}{ccc} X \times \{0\} & \longrightarrow & E \\ \downarrow & \nearrow & \downarrow p \\ X \times I & \longrightarrow & B \end{array}$$

notice that  $\text{Map}(X \times I, Z) = \text{Map}(X, Z^I)$ , it is equivalent to

$$\begin{array}{ccc} E & \xleftarrow{e^0} & E^I \\ \uparrow & \nearrow & \downarrow \\ X & \longrightarrow & B^I \end{array}$$

Dualize it, also by,  $\text{Map}(X \times I, Z) = \text{Map}(X, Z^I)$ , we have

$$\begin{array}{ccc} E' & \xrightarrow{i_0} & E' \times I \\ \downarrow & \nearrow & \uparrow \\ X' & \longleftarrow & B' \times I \end{array}$$

It is equivalent to

$$\begin{array}{ccccc} & & E' & & \\ & \nearrow & & \searrow & \\ B' & & & & X' \\ & \searrow & & \nearrow & \\ & & B' \times I & & \end{array}$$

$E' \times I \dashrightarrow X'$

which is the homotopy extension diagram.

### 1.3.3 Duality of Two Puppe's Sequences

Notice that  $[\text{id}] \in [\Sigma X, \Sigma X]^o$ , it induces  $\alpha_*^o[\text{id}] = \eta: X \rightarrow \Omega \Sigma X$ . For each map  $f: X \rightarrow Y$ , it induces

$$\eta: F(f) \rightarrow \Omega C(f)$$

$$(x, w) \mapsto \begin{cases} (x, 2t), & t \leq \frac{1}{2}, \\ w(2 - 2t), & t \geq \frac{1}{2}, \end{cases}$$

where  $C(f) = X \times I \sqcup Y / \{x_0\} \times I$ ,  $f(x) \sim (x, 1)$  is the reduced cone of  $f$ . Then we get a diagram commutative up to homotopy.

$$\begin{array}{ccccc} \Omega Y & \longrightarrow & F(f) & \longrightarrow & X \\ \text{id} \downarrow & & \downarrow & & \downarrow \\ \Omega Y & \longrightarrow & \Omega C(f) & \longrightarrow & \Omega \Sigma X \end{array}$$

## 2 Homotopy Groups

### 2.1 Definitions and Properties

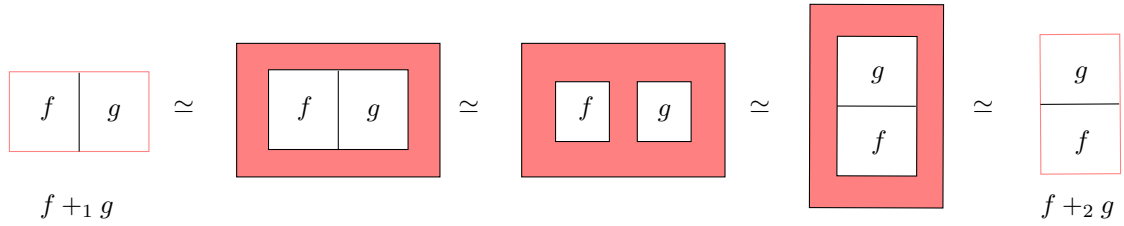
Given  $(X, x_0)$ , define  $n$ -th homotopy group

$$\pi_n(X, x_0) := [(I^n, \partial I^n), (X, x_0)],$$

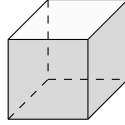
where the identity element is the constant map and  $[f] + [g]$  can be represented by

$$f +_i g: (t_1, \dots, t_n) \mapsto \begin{cases} f(t_1, \dots, 2t_i, \dots, t_n), & t_i \leq \frac{1}{2} \\ g(t_1, \dots, 2t_i - 1, \dots, t_n), & t_i \geq \frac{1}{2} \end{cases}$$

for any  $i$ . The following picture shows that  $f +_i g$  and  $f +_j g$  are homotopy equivalent for any  $i \neq j$ , where the red parts are mapped into the base point so the homotopies work. Sometimes, we write  $\pi_n(X)$  for short.



Given a pair  $(X, A, x_0)$ ,  $J^n = \partial I^n \times I \cup I^n \times \{0\} = I^n - I^n \times \{1\} \subset I^{n+1}$ ,



define the  $n + 1$ -th relative homotopy group to be

$$\pi_{n+1}(X, A, x_0) := [(I^{n+1}, \partial I^{n+1}, J^n), (X, A, x_0)].$$

Similarly, we sometimes use  $\pi_{n+1}(X, A)$  for short.

**Proposition 2.1.** When  $n \geq 2$ ,  $\pi_n(X, x_0)$  and  $\pi_{n+1}(X, A, x_0)$  are both abelian.

*Proof.* Exchanging  $f$  and  $g$  in the picture after the definition of  $\pi_n(X, x_0)$ , we can know that  $\pi_n(X, x_0)$  is abelian for  $n \geq 2$ . For the relative case, we can not process homotopy in the top red region. But for  $n \geq 3$ , the squares of  $f$  and  $g$  should be cubes, then we can place the cubes in front and behind to get new homotopy. Therefore,  $\pi_n(X, A, x_0)$  is abelian for  $n \geq 3$ .  $\square$

**Theorem 2.2** (Exact Homotopy Sequence). Given a pair  $(X, A)$ , we have a long exact sequence

$$\longrightarrow \pi_n(A, x_0) \xrightarrow{i_*} \pi_n(X, x_0) \xrightarrow{j_*} \pi_n(X, A, x_0) \xrightarrow{\partial} \pi_{n-1}(A, x_0) \longrightarrow \cdots \longrightarrow \pi_0(A, x_0) \xrightarrow{i_*} \pi_0(X, x_0),$$

where  $j: (X, x_0, x_0) \rightarrow (X, A, x_0)$  is the inclusion and  $\partial$  is induced from the restriction of  $I^n$  on  $I^{n-1} \times \{1\}$ .

*Proof.* Notice that each map  $f: (I^n, \partial I^n) \rightarrow (X, x_0)$  induces a map

$$\begin{aligned} \overline{f_k}: I^{n-k} &\rightarrow \Omega^k(X, x_0) \\ (u_1, \dots, u_{n-k}) &\mapsto [(t_1, \dots, t_k) \mapsto f(t_1, \dots, t_k, u_1, \dots, u_{n-k})]. \end{aligned}$$

Then we get an isomorphism  $\pi_n(X, x_0) \rightarrow \pi_{n-k}(\Omega^k X, c_{x_0})$ . This is because  $\pi_n(X, x_0) = [S^n, X]^o$  and  $\Sigma S^{n-1} = S^n$ , then  $[S^n, X]^o = [\Sigma S^{n-1}, X]^o \cong [S^{n-1}, \Omega X]^o \cong [S^{n-k}, \Omega^k X]^o$  by duality (Section 1.3.1).

Given a pair  $(X, A)$ , the homotopy fibre of  $\iota: A \hookrightarrow X$  is

$$F(\iota) = \{(a, w) \in A \times X^I : w(0) = x_0, w(1) = a\} = \{w \in X^I : w(0) = x_0, w(1) \in A\} := F(X, A).$$

Each map  $f: (I^{n+1}, \partial I^{n+1}, J^n) \rightarrow (X, A, x_0)$  induces a map

$$\begin{aligned} \hat{f}: I^n &\rightarrow F(X, A) \\ (t_1, \dots, t_n) &\mapsto [t \mapsto f(t_1, \dots, t_n, t)], \end{aligned}$$

induces an isomorphism  $\pi_{n+1}(X, A, x_0) \rightarrow \pi_n(F(X, A), x_0)$ .

The fibre sequence of  $\iota: A \hookrightarrow X$  is

$$\Omega^n F(\iota) \longrightarrow \Omega^n A \longrightarrow \Omega^n X \longrightarrow \dots \longrightarrow F(\iota) \longrightarrow A \xrightarrow{\iota} X.$$

Applying  $[S^1, \cdot]^o$ , we have

$$\begin{aligned} [S^1, \Omega^n F(\iota)]^o &= \pi_1(\Omega^n F(\iota)) = \pi_{n+1}(F(\iota)) = \pi_{n+2}(X, A), \\ [S^1, \Omega^n A]^o &= \pi_1(\Omega^n A) = \pi_{n+1}(A), \\ [S^1, \Omega^n X]^o &= \pi_1(\Omega^n X) = \pi_{n+1}(X). \end{aligned}$$

Then we get exact sequence

$$\pi_{n+2}(X, A) \longrightarrow \pi_{n+1}(A) \longrightarrow \pi_{n+1}(X) \longrightarrow \dots \longrightarrow \pi_1(X) \longrightarrow \pi_1(X, A) \longrightarrow \pi_0(A) \longrightarrow \pi_0(X),$$

where the exactness of the last a few places is straightforward to verify.  $\square$

Part II

## Generalized Homology

Part III

## Characteristic Classes