# 组会第1次

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- 工欲善其事, 必先利其器
- 我首先改了一个 LATEX Beamer 模板用于今后的组会 PPT

- 工欲善其事, 必先利其器
- 我首先改了一个 LATFX Beamer 模板用于今后的组会 PPT
- GitHub 项目地址位于 https://github.com/MOrtzz/GroupMeetingSlide

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# Can Large Language Models Understand Real-World Complex Instructions?

LLMs 难以处理复杂的指令,这些指令可以是需要多个任务和约 束的复杂任务描述,也可以是包含长上下文、噪声、异构信息和 多回合格式的复杂输入。

由于这些特性, LLM 常常忽略任务描述中的语义约束, 生成错 误的格式,违反长度或样本计数约束,并且对输入文本不忠实。

现有的基准测试不足以评估 LLMs 对评估复杂指令的能力,为 此,论文提出了 CELLO (ComplEx instruction understanding ability of Large Language MOdels).

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Instruction generally consists of two parts:

- Task description (mandatory)
- Input text (optional)

Two categories of complex instructions:

- complex task descriptions
- complex input

Introduction

Regarding complex task descriptions, models need to undertake multiple tasks and there can be diverse restrictions describing the task:

- semantics constraints
- format constraints
- quantity constraints

Regarding complex input, the input text generally have:

long context

Challenge

- noise
- error accumulation caused by pipeline method
- heterogeneous information (异构信息) {e.g. a combination of structured and unstructured data}
- in the form of multi-turn



# LLMs may:

Introduction

- ignore semantic constraints from task description
- generate answers in incorrect format
- violate the length or sample count constraints, especially when multiple tasks are required to be performed
- models can be unfaithful to the input text, especially when it is long, noisy, heterogeneous or in the form of multi-turn

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Existing benchmarks are insufficient for effectively assessing the ability of LLMs to understand complex instructions:

- close-ended (封闭式)
- contain common and simple instructions, which fail to mirror the complexity of real-world instructions

They only encompass isolated features:

- count restriction
- semantic restriction
- long text understanding

Real-world instructions comprehensively cover these features. Overall, none of the existing benchmarks systematically study the complex instructions understanding ability of LLMs.



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Introduction

- Complex instructions in real-world scenarios are open-ended, thus the criteria commonly used for close-ended benchmarks are not suitable in such cases.
- Many studies adopt GPT4 evaluation for automated open-ended assessment, which introduces bias problems.
- The binary pass rate adopted by the benchmarks containing complex instructions is strict and coarsegrained, resulting in universally low scores for smaller LLM without discrimination.

- pioneer
- Propose a two-stage framework for constructing the evaluation dataset for LLM's complex instruction understanding.
- Design four evaluation criteria and corresponding automatic metrics for assessing LLMs' ability to understand complex instructions in a comprehensive and discriminative way.
- Tested the benchmark testing framework.

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# Related Works

- Evaluation for LLMs
- Complex Instruction Following
- Evaluation for Constrained Instructions

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Introduction

Diversify the collected complex instructions through In-breadth Evolution and complicate the collected simple instructions through In-breadth Evolution

#### Data Source and Selected Tasks

Include common NLP tasks found in existing benchmarks, while incorporating instructions with more complex task descriptions or input beyond those benchmarks.



Challenge

## CELLO include nine tasks, classified into six categories:

- Complex NLP Tasks
  - long text summarization
  - long text closed-domain question answering
  - long text keywords extraction
  - complex information extraction
- Meta-prompt
- Planning
- Structured Input
- Well-guided Writing
- Detailed Brainstorming



Introduction

#### **Data Evolution**

The collected complex instructions have two limitations:

- For those collected from real-world projects, the human-elaborated task descriptions are complex but alike.
- For those collected from usage logs, many simple instructions are not effectively utilized.

Introduce two perspectives to evolve data, thereby achieving a more robust and reliable evaluation:

- In-breadth Evolution (Aims to diversify the collected complex instructions)
  - task description relocation
  - task description paraphrasing
  - task emulation



- In-depth Evolution (Aims to complicate the simple instructions to increase the data scale)
  - constraints addition
  - multi-round interaction

### Criteria

Encompass common errors made by models:

- count limit
- answer format
- task-prescribed phrases
- input-dependent query

#### Evaluation Metrics

每个样本  $s_i$  由指令  $I_i$ 、模型答案  $a_i$  和给定的历史  $h_i$  组成, 其中  $h_i$  是多轮对话中的前几轮  $\{(I_0, a'_0), ..., (I_i-1', a_i-1')\}$ 。 对于每个样本 s,其每个标准的分数由多个子分数 C 组成, C 是一个包含  $\{c_1, c_2, ..., c_i\}$  的集合。

#### **Count Limit**

Four sub-scores:

- word count score
- sentence count score
- sample count score
- revise score

#### **Answer Format**

Two sub-scores:

- parseability (模型输出是否可解析, 取 0 或 1)
- keywords (计算模型输出中包含的关键词数量后/总数)

最终两者求均值。



# Input-dependent Query

Two sub-scores:

- keywords $(f_{keywords}(a_i, l_q))$ , the scoring keywords  $l_q$  are extracted from input text)
- COPYBLEU (值随着模型输出与输入文本相似度的增加 而减少,即如果模型输出与输入文本过于相似, COPYBLEU 的值会较低,从而对最终得分产生负面影响)

# Task-prescribed Phrases

The more mandatory phrases covered in the answers, the better the model follows complex instructions.

Keywords $(f_{keywords}(a_i, I_t))$  is applied where  $I_t$  is the scoring keywords extracted from the task description.



# Evaluation of the Benchmark

根据四个标准,每个样本由三个 annotators 标记。具体地说,只 有当至少两个 annotators 在标准计数限制和输出格式可解析性上 达成一致时, 我们才保留样本。对于涉及关键字覆盖率的标准, 我们只保留至少两个 annotators 一致同意的关键字。

# Statistics of the Benchmark

Introduction

- Dataset has two categories depending on whether the criteria are mainly in the task description or the input text.
- CELLO benchmark is the first to systematically test LLMs' ability to follow complex instructions, which are generally longer and more complex than other benchmarks
- The tasks we cover are open-ended, which are more realistic and practical.
- Evaluation is also more objective and fine-grained.



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