# Mel-frequency cepstral coefficients (MFCCs) and gammatone filter banks

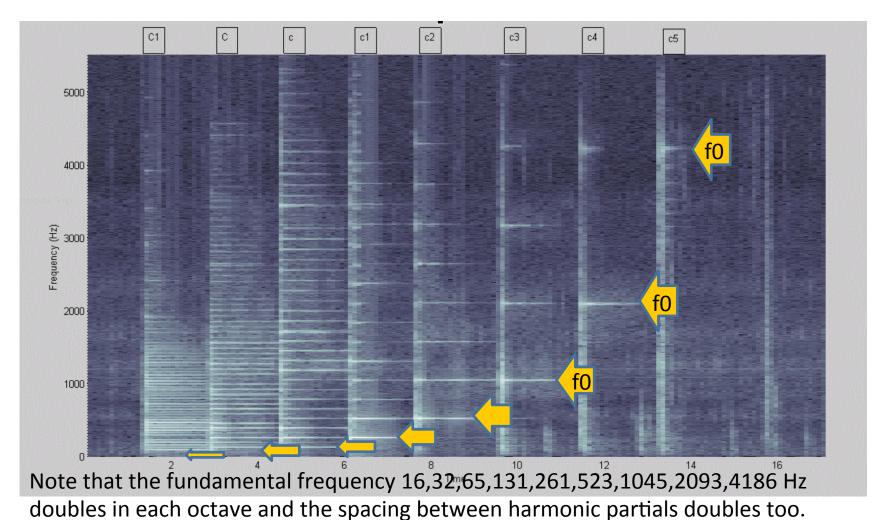
Slides for this lecture are based on those created by Katariina Mahkonen for TUT course "Puheenkäsittelyn menetelmät" in Spring 2013.

#### Introduction

- MFCC coefficients model the spectral energy distribution in a perceptually meaningful way
- MFCCs are the most widely-used acoustic feature for speech recognition, speaker recognition, and audio classification

- MFCCs take into account certain properties of the human auditory system
  - Critical-band frequency resolution (approximately)
  - Log-power (dB magnitudes)

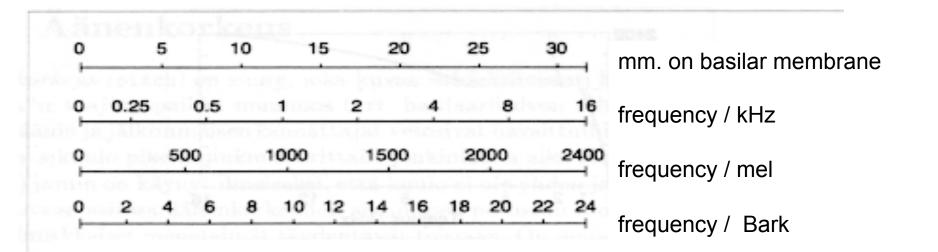
#### Spectrogram of piano notes C1 – C8



- Such octave change is perceived as "doubling the height of the note"

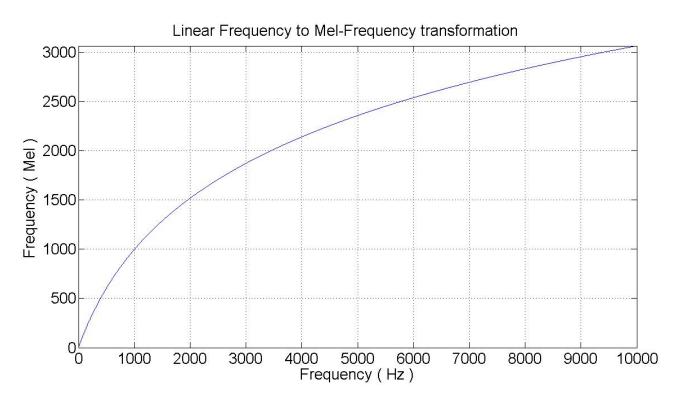
#### Mel scale

- Mel-frequency scale represents subjective (perceived) pitch. It is one of the perceptually motivated frequency scales (see figure below).
  - Mel-scale is constructed using pairwise comparisons of sinusoidal tones: a reference frequency is fixed and then a test subject (human listener) is asked to adjust the frequency of the other tone to be two times higher or half times lower.
  - Models the non-linear perception of frequencies in the human auditory system
- For comparison, the Bark critical-band scale has been constructed based on the masking properties of nearby frequency components.
  - Constructed by filling the audible bandwidth with adjacent critical bands 1...26
- Note that all the scales are related and:  $f_{\text{Mel}} \approx 100 f_{\text{Bark}}$  (very roughly)



#### Mel scale

$$f_{Mel} = 2595 \log_{10} (1 + \frac{f_{Hz}}{700})$$



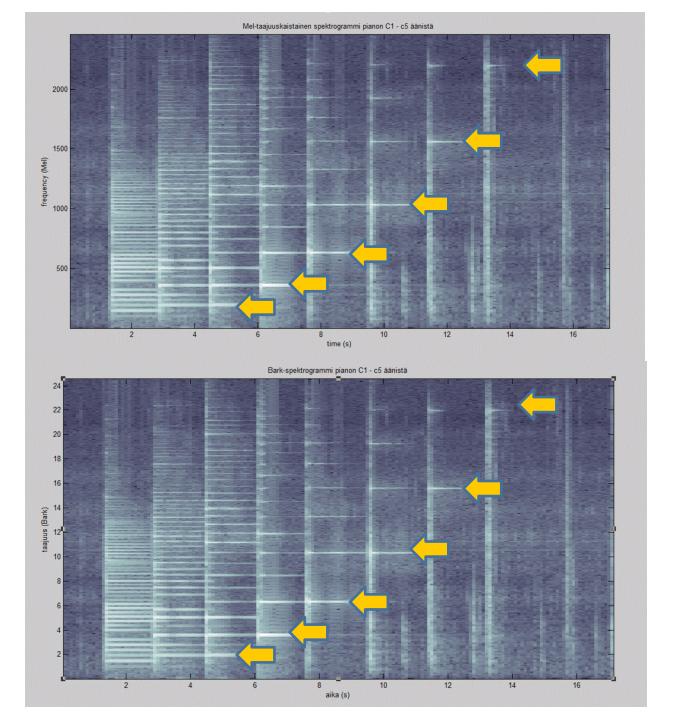
The anchor point for Mel scale is chosen so that 1000 Hz = 1000 Mel

#### Piano tones C1 – C5

Mel-frequency spectrogram

and

Bark-scale spectrogram



# Properties of human hearing – perception of loudness differences

 Weber rule says that the perceived change in a physical quantity is proportional to the relative change:

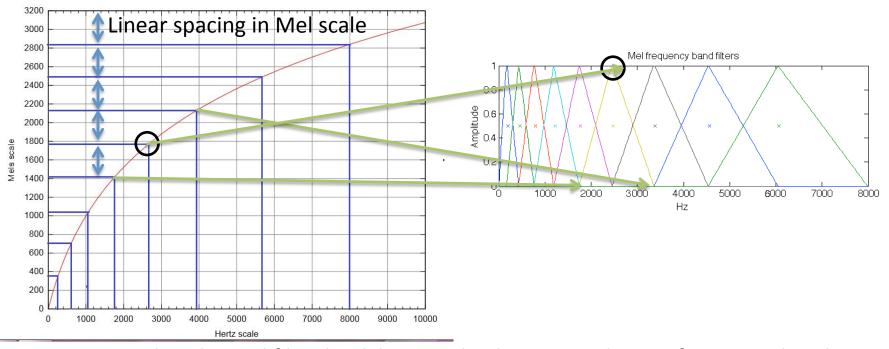
$$dy \propto \frac{dx}{x}$$
$$y(x) \propto \log(x) + C$$

• Therefore it makes sense to measure sound levels in decibels:  $L_I = 10\log 10(I)$ 

Now let's get back to the calculation of MFCC coefficients... The most widely-used acoustic feature used to represent a speech frame (in speech recognition for example)

#### Calculation of MFCC coefficients

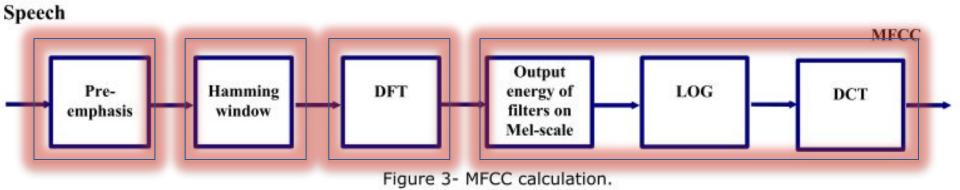
 Define triangular "bandpass filters" uniformly distributed on the Mel scale (usually about 40 filters in range 0...8kHz).



- Note that the Mel filter bank has overlap between adjacent frequency bands. The center (Mel scale) frequency of band n is  $f_{Mel,c}(n)$
- Mel filter of band n starts at 0 amplitude at f<sub>Mel.c</sub> (n-1)
- has maximum amplitude at f<sub>Mel,c</sub>(n) and decays to zero at f<sub>Mel,c</sub> (n+1)

#### Calculation of MFCC coefficients

- Pre-emphasize the signal, i.e., filter with  $H(z)=1-az^{-1}$ , 0.95 < a < 0.99
- The signal is processed in short windows of x(n).
- Window the short signal x(n) with a window function w(n)
- take DFT of  $x(n) \rightarrow X(f)$
- Obtain MFCC
- proceed to next window

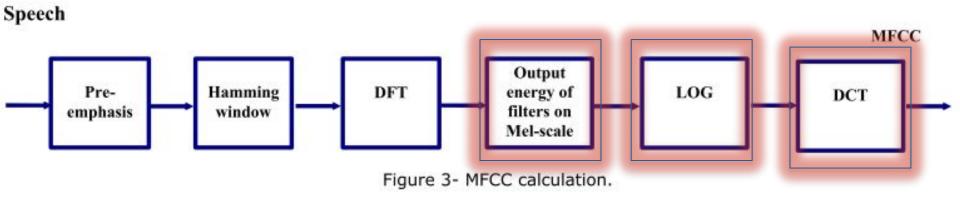


#### Calculation of MFCC coefficients

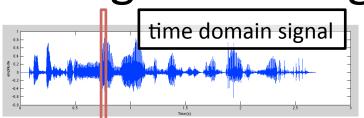
- Define triangular "bandpass filters"  $W_k$ , k=1,...,K uniformly distributed on the Mel scale (usually K=40 filters in range 0...8kHz).
- DFT bin energies  $|X(f)|^2$  of each filter are weighted with  $k^{th}$  band's filter shape  $W_k(f)$  and accumulated  $E(k) = \sum_f W_k(f) |X(f)|^2$
- Take logarithm of each E(k), k=1,2,...K
- Calculate discrete cosine transform (DCT II) of log energies

$$c_n = \sum_{k=1}^K \log(E(k)) \cos \left[ n \left( k - \frac{1}{2} \right) \frac{\pi}{M} \right], \quad \text{for } n = 1, ..., K$$

 $\rightarrow c_n$  are called MFCCs



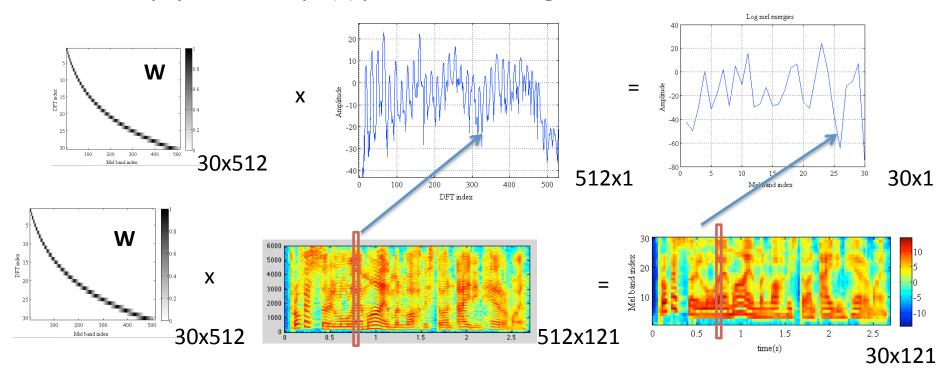




spectrogram of signal

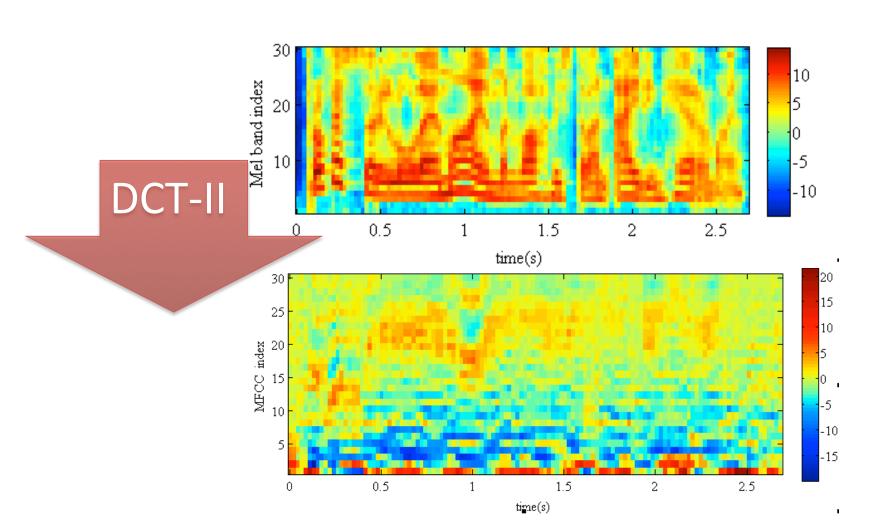
time (samples, 16kHz)

- Time domain: take one window of data x(n)
- Use (pre-emphasis and) windowing
- Mel scale coefficients in matrix  $\mathbf{W}_{30,512}$
- Multiply  $\mathbf{W}$  with  $|X(f)|^2$  and take logarithm



### MFCCs from Log-Mel energies example

Apply DCT to log Mel energy spectrum of each frame



## Why are MFCC coefficients successful in audio classification?

- Perceptually-motivated (near log-f) frequency resolution
- Perceptually-motivated decibel-magnitude scale
- Discrete cosine transform decorrelates the features (improves statistical properties by removing correlations between the features)
- Convenient control of the model order: picking only the lowest N coefficients gives lower-resolution approximation of the spectral energy distribution (vocal tract etc.)

#### Gammatone filter bank

- Gammatone filter bank emulates human hearing by simulating the impulse response of the auditory nerve fiber.
- Shape resembles a tone modulated with a gammafunction.

$$g(t) = at^{n-1}e^{-2\pi b(f_c)t}\cos(2\pi f_c t + \phi)$$

- a is peak value,  $t^{n-1}$  time onset, exp() —term defines bandwidth and decay,  $f_c$  is characteristic frequency, and  $\phi$  is initial phase.
- Typically 42 bands, from 30Hz to 18kHz
- Drawback: Does not emulate level-dependent characteristics of auditory filters.

## Example: Gammatone filter bank

#### http://ltfat.sourceforge.net/

- a) response shape (time domain
- b) magnitude responses of 40 filters on ERB scale

c) log output of 30 filters

d) conventional spectrogram

