

# Some basic category theory

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# 1 Model Categories

## 1.1 Definition of model categories

**To quote [Lur09]:** *Quillen's theory of model categories provides a useful tool for studying specific examples of  $\infty$ -categories, including the theory of  $\infty$ -categories itself.*

**Definition 1.1.** Let  $C$  be a category, with three classes of maps:

(W) Weak equivalences  $\bullet \xrightarrow{\sim} \bullet$ ;

(C) Cofibrations  $\bullet \hookrightarrow \bullet$ ;

(F) Fibrations  $\bullet \twoheadrightarrow \bullet$ .

So that each class of maps is closed under composition of maps and  $\text{id}_X, \forall X \in C$  locates in all three classes.

We call maps in  $(W) \cap (C)$  **acyclic cofibrations**, whose arrows are  $\xrightarrow{\sim}$ , and maps in  $(W) \cap (F)$  **acyclic fibrations**, with arrows  $\xrightarrow{\sim}$ .

We say  $C$  is a **model category** if the following 5 axioms hold:

**MC1**  $C$  is complete and cocomplete.

**MC2** For  $f, g \in \text{Mor}(C)$  and  $gf$  defined, then any two of  $f, g, gf$  are weak equivalences, then the third one is.

**MC3** If  $f$  is a retract of  $g$  in  $\text{Mor}(C)$ , then whether  $g$  is in (W) or (C) or (F), so is  $f$ .

**MC4** Consider the following commutative diagram (where  $i$  is a cofibration and  $p$  is a fibration):

$$\begin{array}{ccc} A & \xrightarrow{f} & X \\ i \downarrow & & \downarrow p \\ B & \xrightarrow{g} & Y \end{array}$$

Then whenever (a)  $i$  is an acyclic cofibration or (b)  $p$  is an acyclic fibration, there exists a lifting  $h : B \rightarrow X$  so that  $f = hi$  and  $g = ph$ .

**MC5** For any map  $f : X \rightarrow Y$ , there exists two factorization of  $f$ , (a)  $f = pi$ , where  $p$  is a fibration and  $i$  is an acyclic cofibration; (b)  $f = pi$ , where  $p$  is an acyclic fibration and  $i$  is a cofibration.

Since an initial object in the colimit over empty diagram and a terminal object is the limit over empty diagram, by **MC1**, we know that they exist in model category  $C$ , denoted by  $\emptyset$  and  $*$  respectively.

**Definition 1.2.** An object  $X \in C$  is called a **cofibrant** if  $\emptyset \rightarrow X$  is a cofibration, it is called a **fibrant** if  $X \rightarrow *$  is a fibration. Denote by:

$C_c$ : full subcategory of  $C$  with objects are cofibrants.

$C_f$ : full subcategory of  $C$  with objects are fibrants.

$C_{cf}$ : full subcategory of  $C$  with objects are both cofibrants and fibrants.

**Remark 1.3.** Use **MC5** on  $X \rightarrow *$  and  $\emptyset \rightarrow X$ , we get  $RX$  fibrant and  $QX$  cofibrant with  $i_X : X \xrightarrow{\sim} RX$ ,  $p_X : QX \xrightarrow{\sim} X$ .

**Proposition 1.4.** (i) *The class of cofibrations in  $\mathcal{C}$  is stable under cobase change, the same for acyclic cofibrations.*

(ii) *The class of fibrations in  $\mathcal{C}$  is stable under base change, the same for acyclic fibrations.*

*Proof.* Consider the pushout diagram:

$$\begin{array}{ccc} A & \xrightarrow{f} & C \\ i \downarrow & & \downarrow j \\ B & \longrightarrow & D \end{array}$$

where  $i$  is a cofibration map and  $f$  is the cobase change map. We would like to prove that  $j$  is a cofibration. This uses the following criterion for (co)fibrations in model category.

We say  $i : A \rightarrow B$  have left lifting property (LLP) to  $p : X \rightarrow Y$  if for any commutative diagram as 1, there is a lifting  $B \rightarrow X$ . In such case,  $p$  is said to have right lifting property (RLP) to  $i$ .

$$\begin{array}{ccc} A & \xrightarrow{f} & X \\ i \downarrow & & \downarrow p \\ B & \xrightarrow{g} & Y \end{array} \quad (1)$$

**Lemma 1.5.** (i) *The cofibrations in  $\mathcal{C}$  are those who have LLP with respect to acyclic fibrations, and the acyclic cofibrations in  $\mathcal{C}$  are those who have LLP with respect to fibrations.*

(ii) *The fibrations in  $\mathcal{C}$  are those who have RLP with respect to acyclic cofibrations, and the acyclic fibrations in  $\mathcal{C}$  are those who have RLP with respect to cofibrations.*

*Proof of Lemma 1.5.* One side is clear, now assume  $i : A \rightarrow B$  has LLP for any acyclic fibration. We can factorize  $i$  as  $A \xrightarrow{i'} B' \xrightarrow{p'} B$  so that  $p'$  is acyclic. Use LLP for  $B' \xrightarrow{\sim} B$ , there is a lifting  $j : B \rightarrow B'$  so that  $i' = ji$ ,  $p'j = \text{id}_B$ . We have the following diagram that makes  $i$  a retract of  $i'$ :

$$\begin{array}{ccccc} A & \xrightarrow{\text{id}_A} & A & \xrightarrow{\text{id}_A} & A \\ i \downarrow & & i' \downarrow & & \downarrow i \\ B & \xrightarrow{j} & B' & \xrightarrow{p'} & B \end{array}$$

Use the axiom **MC3** we conclude that  $i$  is a cofibration as  $i'$  is. The rest cases can be similarly proved.  $\square$

Now by Lemma 1.5, we only need to prove that  $j$  has *LLP* to acyclic fibrations. We consider:

$$\begin{array}{ccc}
 A & \xrightarrow{f} & C & \xrightarrow{a} & X \\
 \downarrow i & & \downarrow j & & \downarrow \sim p \\
 B & \xrightarrow{g} & D & \xrightarrow{b} & L
 \end{array}
 \qquad
 \begin{array}{ccc}
 A & \xrightarrow{f} & C & \xrightarrow{a} & X \\
 \downarrow i & & \downarrow j & & \downarrow p \\
 B & \xrightarrow{g} & D & \xrightarrow{b} & Y
 \end{array}$$

where the left diagram is commutative and  $p : X \rightarrow Y$  is an acyclic fibration. Since  $i$  is cofibration and  $p$  is acyclic fibration, by **MC4** we have a lifting  $l_1 : B \rightarrow X$  so that  $l_1 i = a f$ . By universal property of pushout, we have a map  $l_2 : D \rightarrow X$  so that  $l_2 g = l_1$ . Now  $l_2$  is in fact a lifting in the diagram

$$\begin{array}{ccc}
 C & \xrightarrow{a} & X \\
 \downarrow j & \nearrow l_2 & \downarrow p \\
 D & \xrightarrow{b} & Y
 \end{array}$$

This shows  $j$  is *LLP* to  $p$  and hence  $j$  is a cofibration. When  $i$  is an acyclic cofibration, we can loose the condition on  $p$  that requiring it to be just a fibration. In this case, we can see  $j$  will be acyclic. The proof of (ii) is dual.  $\square$

## 1.2 Homotopy category of a model category

We fix  $\mathcal{C}$  a model category.

**Definition 1.6.** Let  $A \in \mathcal{C}$  be an object, a **cylinder object** of  $A$  is an object  $A \wedge I$  so that  $\text{id}_A + \text{id}_A : A \amalg A \rightarrow A \wedge I$  factor through  $A \wedge I$  as  $A \amalg A \xrightarrow{i} A \wedge I \xrightarrow{\sim} A$  where the factorized map  $A \wedge I \rightarrow A$  is a weak equivalence. Denote by  $i_0, i_1$  the composition  $A \rightarrow A \amalg A \xrightarrow{i} A \wedge I$  corresponding to  $A \rightarrow A \amalg A$  by first/second factor respectively.

A cylinder object  $A \wedge I$  is called:

- (a) *good* if  $A \amalg A \rightarrow A \wedge I$  is a cofibration.
- (b) *very good* if  $A \wedge I$  is good and  $A \wedge I \rightarrow A$  is an acyclic fibration.

We have the dual notion of cylinder object:

**Definition 1.7.** Let  $X \in \mathcal{C}$  be an object, a **path object** of  $X$  is an object  $X^I$  so that  $(\text{id}_X, \text{id}_X) : X \rightarrow X \times X$  factor through  $X^I$  and the factorized map  $X \rightarrow X^I$  is a weak equivalence. A path object  $X^I$  is called:

- (a) *good* if  $X^I \rightarrow X \times X$  is a fibration.
- (b) *very good* if  $A \wedge I$  is good and  $X \rightarrow X^I$  is an acyclic cofibration.

We have the notion of homotopy (of maps).

**Definition 1.8.** Let  $f, g : A \rightarrow B$  be two maps, we say that  $f$  is **left homotopic to**  $g$  if  $f + g : A \coprod A \rightarrow B$  factors some cylinder object  $A \wedge I$  by  $H : A \wedge I \rightarrow B$  that satisfies  $Hi_0 = f$ ,  $Hi_1 = g$ . Denote by  $f \stackrel{l}{\sim} g$ , and  $H$  is called the *left homotopy* from  $f$  to  $g$  (via  $A \wedge I$ ).  $H$  is called good or very good if  $A \wedge I$  is.

The notion of  $f$  right homotopic to  $g$  is dually defined. Namely if  $f, g : A \rightarrow B$  two maps,  $f$  is **right homotopic to**  $g$  if  $(f, g) : A \rightarrow B \times B$  factors through some path object  $B^I$  by *right homotopy*  $H : A \rightarrow B^I$ . Denote by  $f \stackrel{r}{\sim} g$ . The goodness of  $H$  is again dependent on  $B^I$ .

We note that if  $f \stackrel{l}{\sim} g$ , a good left homotopy always exists between  $f$  and  $g$  as we can apply **MC5** to  $i : A \coprod A \rightarrow A \wedge I$ . Similar for the case when  $f \stackrel{r}{\sim} g$ .

**Lemma 1.9.** Let  $f, g : A \rightarrow B$  be two maps, then:

- (i) If  $A$  is cofibrant, then  $f \stackrel{l}{\sim} g$  implies  $f \stackrel{r}{\sim} g$ .
- (ii) If  $B$  is fibrant, then  $f \stackrel{r}{\sim} g$  implies  $f \stackrel{l}{\sim} g$ .

**Lemma 1.10.** If  $A$  is cofibrant and  $A \wedge I$  a good cylinder object of  $A$ , then  $i_0, i_1 : A \rightarrow A \wedge I$  are acyclic cofibrations.

*Proof.* This is simply because if  $A$  is cofibrant, then by the pushout diagram of  $\emptyset \rightarrow A$ , we know  $A \rightarrow A \coprod A$  is a cofibration, and  $\text{id}_A$  factors as  $A \xrightarrow{i_0} A \wedge I \xrightarrow{\sim} A$ , so  $i_0$  is weak equivalence, the same for  $i_1$ .  $\square$

**Proposition 1.11.** If  $A$  is cofibrant, then  $\stackrel{l}{\sim}$  defines an equivalence relation on  $\text{Hom}_C(A, B)$ .

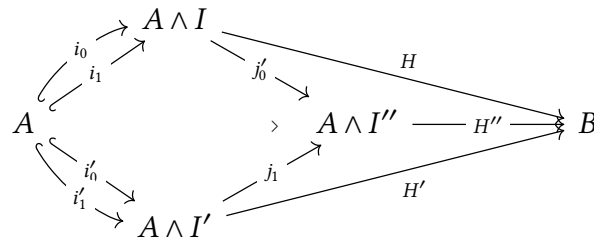
Dually, If  $B$  is fibrant, then  $\stackrel{r}{\sim}$  defines an equivalence relation on  $\text{Hom}_C(A, B)$ .

*Proof.* We only prove for the first case that  $A$  is cofibrant. It is easy to see that  $f \stackrel{l}{\sim} f$  as we can take  $A$  itself as a cylinder object of  $A$  and  $f$  is the homotopy from  $f$  to  $f$ . Also if  $f \stackrel{l}{\sim} g$ , then switch the maps  $i_0$  and  $i_1$  we get  $g \stackrel{l}{\sim} f$  easily. Now assume that we have  $f \stackrel{l}{\sim} g$  and  $g \stackrel{l}{\sim} h$ . Suppose  $f \stackrel{l}{\sim} g$  via good cylinder object  $A \wedge I$  and  $g \stackrel{l}{\sim} h$  via good cylinder object  $A \wedge I'$  so that

$$\begin{aligned} g &= Hi_1 : A \xrightarrow{i_1} A \wedge I \xrightarrow{H} B, \\ &= Hi'_0 : A \xrightarrow{i'_0} A \wedge I' \xrightarrow{H'} B \end{aligned}$$

where  $i_0, i_1, i'_0, i'_1$  are acyclic cofibrations by Lemma 1.10 (we use the cofibrant condition here).

Let  $A \wedge I''$  be the pushout of  $A \wedge I' \leftarrow A \hookrightarrow A \wedge I$ . We have



where the middle square is the pushout diagram, by Proposition 1.4 we know that  $j'_0$  and  $j_1$  are acyclic cofibrations, hence  $A \rightarrow A \wedge I''$  is a cylinder object of  $A$ . Moreover, by universal property we have  $H''j'_0 = H, H''j_1 = H'$ , this gives the homotopy from  $f$  to  $h$  we want.  $\square$

Notation: If  $A$  is cofibrant, we use  $\pi^l(A, B)$  to denote the equivalent classes under left homotopy in  $\text{Hom}_C(A, B)$ . If  $B$  is fibrant, we use  $\pi^r(A, B)$  to denote the equivalent classes under right homotopy in  $\text{Hom}_C(A, B)$ .

When  $A$  is cofibrant and  $B$  is fibrant, by Lemma 1.9 we use  $\sim$  to denote two maps are homotopic, and  $\pi(A, B)$  the set of equivalent classes under homotopies.

**Theorem 1.12.** *Let  $A, X \in C$  be both cofibrant and fibrant, then for  $f \in \text{Hom}_C(A, X)$ ,  $f$  is a weak equivalence if and only if there exists  $g : X \rightarrow A$  such that  $fg \sim \text{id}_X, gf \sim \text{id}_A$ . If so, we say  $g$  a homotopy inverse of  $f$ .*

*Proof.* Assume first that  $f$  is a weak equivalence, using **MC5** to factorize  $f$  as:  $A \xrightarrow{q} C \xrightarrow{p} X$ . We can deduce that both  $q, p$  are weak equivalences. Consider the diagram

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ q \downarrow & & \downarrow \\ C & \longrightarrow & * \end{array}$$

we have a lifting  $r : C \rightarrow A$  with  $rq = \text{id}_A$ , as  $q$  is acyclic cofibration and  $A$  is fibrant. We claim that  $qr \sim \text{id}_C$ .

**Lemma 1.13.** *If  $A$  is cofibrant and  $p : X \rightarrow Y$  is an acyclic fibration, then the pushforward:  $p_* : \pi^l(A, X) \rightarrow \pi^l(A, Y), [f] \mapsto [pf]$  induces a bijection.*

*If  $X$  is fibrant and  $q : A \rightarrow B$  is an acyclic cofibration, then the pullback:  $q_* : \pi^l(B, X) \rightarrow \pi^l(A, X), [f] \mapsto [fq]$  induces a bijection.*

Now  $C$  is fibrant since  $C \rightarrow X$  and  $X$  is fibrant (also  $C$  is cofibrant). By Lemma above, the pullback  $q^*$  induces  $\pi^r(C, C) \leftrightarrow \pi^r(A, C)$  so that  $q^*([qr]) = [qrq] = [q] = q^*([\text{id}_C])$ , hence  $[qr] \sim \text{id}_C$  as we want. The same machinery applies to prove that there is  $s : X \rightarrow C$  such that  $ps \sim \text{id}_C, sp \sim \text{id}_X$ . And the composition  $rs : X \rightarrow A$  is a homotopy inverse of  $f$ .

Conversely, assume  $f$  has a homotopy inverse  $g$ . And we assume in the decomposition  $A \xrightarrow{q} C \xrightarrow{p} X$ ,  $q$  is an acyclic cofibration. It remains to show that  $p$  is a weak equivalence. Let  $H : X \wedge I \rightarrow X$  be a good homotopy between  $fg$  and  $\text{id}_X$ , consider the diagram

$$\begin{array}{ccccc} & & X & \xrightarrow{qg} & C \\ & & \downarrow i_0 & & \downarrow p \\ X & \xrightarrow{i_1} & X \wedge I & \xrightarrow{H} & X \end{array}$$

By Lemma 1.10,  $i_0, i_1$  are acyclic cofibrations, so there is a lifting  $H' : X \wedge I \rightarrow C$ . Set  $s := H'i_1$ , then  $ps = pH'i_1 = \text{id}_X$ . Throughout the proof above, we know  $q : A \xrightarrow{\sim} C$  has a homotopy inverse  $r$ . Since  $pqr = fr$ , and  $qr \sim \text{id}_C$ , we have  $p \sim fr$ . And  $s \sim qg$  via the homotopy  $H'$ . We get

$$sp \sim qgp \sim qgfr \sim qr \sim \text{id}_C$$

So  $sp$  is a weak equivalence. Also  $p$  is a retract of  $sp$ , by axiom **MC3**, we get that  $p$  is a weak equivalence.  $\square$

**Definition 1.14.** We define the following categories:

$\pi C_c$ : A category has same objects as  $C_c$ , maps are right homotopy classes of maps in  $C_c$ .

$\pi C_f$ : A category has same objects as  $C_f$ , maps are left homotopy classes of maps in  $C_f$ .

$\pi C_{cf}$ : A category has same objects as  $C_{cf}$ , maps are homotopy classes of maps in  $C_{cf}$ .

And functors:  $R : C \rightarrow \pi C_f, X \mapsto RX$ ;  $Q : C \rightarrow \pi C_c, X \mapsto QX$  as in Remark 1.3.

**Lemma 1.15.** *The categories and functors in Definition 1.14 are well defined. Concretely we have:*

(i) *If  $A$  is cofibrant, then the composition of maps in  $C$  induces  $\pi^r(A, B) \times \pi^r(B, C) \rightarrow \pi^r(A, C)$ . If  $X$  is fibrant, then the composition of maps in  $C$  induces  $\pi^l(Z, Y) \times \pi^l(Y, X) \rightarrow \pi^l(Z, X)$ .*

(ii)  *$R, Q$  are functors. Moreover, the restriction of  $R$  to  $C_c$  induces  $R' : \pi C_c \rightarrow \pi C_{cf}$ .*

**Definition 1.16.** We define the **Homotopy category** of a model category  $C$  to be the category  $\text{Ho}(C)$  so that it has the same objects as in  $C$  and the morphisms are given by

$$\text{Hom}_{\text{Ho}(C)}(X, Y) := \text{Hom}_{\pi C_{cf}}(R'QX, R'QY) = \pi(RQX, RQY)$$

And let  $\gamma : C \rightarrow \text{Ho}(C)$  be the localization functor that keeps the objects in  $C$  and maps a morphism  $f : X \rightarrow Y \in \text{Mor}(C)$  to  $R'Q(f) \in \text{Mor}(\text{Ho}(C))$ .

We mentioned in the definition of  $\gamma$  that it could be regarded as a localization functor, in fact, the construction of homotopy category  $\text{Ho}(C)$  of  $C$  is canonical, see the following Proposition.

**Proposition 1.17.** *Let  $W$  be the class of weak equivalences, then there is an equivalence of categories  $C[W^{-1}] \cong \text{Ho}(C)$ . In particular, a morphism  $f$  in  $C$  is in  $W$  if and only if  $\gamma(f)$  is an isomorphism.*

### 1.3 Examples

The following method in [DS95] is crucial to construct model category structures on our favorite categories.

We assume that  $C$  is a cocomplete category. Let  $F = \{f_i : A_i \rightarrow B_i\}_{i \in I}$  be a family of maps in  $C$  and let

$p : X \rightarrow Y$  be a map in  $\mathcal{C}$ . Denote by  $S(i)$ , index by  $i \in \mathcal{I}$ , the set of pairs  $(g, h)$ ,  $g : A_i \rightarrow X, h : B_i \rightarrow Y$  so that the following diagram commutes:

$$\begin{array}{ccc} A_i & \xrightarrow{g} & X \\ f_i \downarrow & & \downarrow p \\ B_i & \xrightarrow{h} & Y \end{array}$$

We define  $G^1(\mathbb{F}, p) \in \mathcal{C}$  to be the pushout:

$$\begin{array}{ccc} \coprod_{i \in \mathcal{I}} \coprod_{(g,h) \in S(i)} A_i & \xrightarrow{\sum_{i \in \mathcal{I}} i \sum_{(g,h) \in S(i)} g} & X \\ \coprod_{i \in \mathcal{I}} f_i \downarrow & & \downarrow i_1 \\ \coprod_{i \in \mathcal{I}} \coprod_{(g,h) \in S(i)} B_i & \xrightarrow{\quad \quad \quad} & G^1(\mathbb{F}, p) \end{array}$$

$\swarrow p := p_0$   
 $\searrow p_1$   
 $\xrightarrow{\sum_{i \in \mathcal{I}} i \sum_{(g,h) \in S(i)} h} Y$

where we denote  $i_1$  the map  $X \rightarrow G^1(\mathbb{F}, p)$  and  $p_1 : G^1(\mathbb{F}, p) \rightarrow Y$  is determined by universal property for the map  $p \circ (\sum_{i \in \mathcal{I}} i \sum_{(g,h) \in S(i)} g) = \sum_{i \in \mathcal{I}} i \sum_{(g,h) \in S(i)} h$ . We now replace  $X$  by  $G^1(\mathbb{F}, p)$  and  $p := p_0$  by  $p_1$ , repeat the above process, we get  $G^1(\mathbb{F}, p_1)$ , denote by  $G^2(\mathbb{F}, p)$ . We let  $G^k(\mathbb{F}, p) := G^1(\mathbb{F}, p_{k-1})$  for the sequel, then:

$$\begin{array}{ccccccc} X & \xrightarrow{i_1} & G^1(\mathbb{F}, p) & \xrightarrow{i_2} & G^2(\mathbb{F}, p) & \longrightarrow & \dots \longrightarrow G^k(\mathbb{F}, p) \longrightarrow \dots \\ p_0 \downarrow & & p_1 \downarrow & & p_2 \downarrow & & p_k \downarrow \\ Y & \xlongequal{\quad} & Y & \xlongequal{\quad} & Y & \xlongequal{\quad} & \dots \xlongequal{\quad} Y \xlongequal{\quad} \dots \end{array}$$

We take  $G^\infty(\mathbb{F}, p) := \text{colim}_k G^k(\mathbb{F}, p)$  and  $i_\infty : X \rightarrow G^\infty(\mathbb{F}, p), p_\infty : G^\infty(\mathbb{F}, p) \rightarrow Y$  so that  $p_\infty i_\infty = p$ .

**Definition 1.18.** We say an object  $A \in \mathcal{C}$  is **sequentially small** if for any functor  $\mathbf{B} : \mathbb{Z}_+ \rightarrow \mathcal{C}$ , the canonical map  $\text{colim}_n \text{Hom}(A, \mathbf{B}(n)) \rightarrow \text{Hom}(A, \text{colim}_n \mathbf{B}(n))$  is an isomorphism.

**Proposition 1.19.** Suppose that for all  $i \in \mathcal{I}$ ,  $A_i \in \mathcal{C}$  is sequentially small, then  $p_\infty : G^\infty(\mathbb{F}, p) \rightarrow Y$  has right lifting property with respect to any  $f_i \in \mathbb{F}$ .



*Proof.* We need a lifting  $B_i \rightarrow G^\infty(\mathbb{F}, p)$  in the left of following diagrams:

$$\begin{array}{ccc}
A_i & \xrightarrow{g} & G^\infty(\mathbb{F}, p) \\
f_i \downarrow & & \downarrow p_\infty \\
B_i & \xrightarrow{h} & Y
\end{array}
\quad
\begin{array}{ccccccc}
A_i & \xrightarrow{g'} & G^k(\mathbb{F}, p) & \xrightarrow{i_{k+1}} & G^{k+1}(\mathbb{F}, p) & \longrightarrow & G^\infty(\mathbb{F}, p) \\
f_i \downarrow & & \downarrow p_k & & \downarrow p_{k+1} & & \downarrow p_\infty \\
B_i & \xrightarrow{h} & Y & \xlongequal{\quad} & Y & \xlongequal{\quad} & Y
\end{array}$$

Since  $A_i$  is sequentially small, there exist  $k \in \mathbb{Z}_+$  and  $g' : A_i \rightarrow G^k(\mathbb{F}, p)$  such that  $g$  is the composition of  $G^k(\mathbb{F}, p) \rightarrow G^\infty(\mathbb{F}, p)$  with  $g'$ . Now  $(g', h) \in S^{(k)}(i)$  is a pair for the map  $p_k : G^k(\mathbb{F}, p) \rightarrow Y$ , so there exists a map  $B_i \rightarrow G^{k+1}(\mathbb{F}, p)$ , as depicted in the right of above diagrams. The squares in this diagram are all commutative, thus composing with the map of  $G^{k+1}(\mathbb{F}, p) \rightarrow G^\infty(\mathbb{F}, p)$ , we obtain the lifting we want.  $\square$

**Example 1.20.** We are now ready to construct the model category structure for  $\mathbf{Ch}^{\geq 0}(R)$  the category of chain complex of  $R$ -modules in nonnegative degrees, where  $R$  any associative ring. We note that for  $M_\bullet \in \mathbf{Ch}^{\geq 0}(R)$ , it is sequentially small if and only if it has finitely many degrees  $k$  so that  $M_k$  are nonzero and those nonzero  $R$ -module are finitely presented.

To exhibit  $\mathbf{Ch}^{\geq 0}(R)$  as a model category, we set the class  $(W), (C), (F)$  in  $\mathbf{Ch}^{\geq 0}(R)$  to be:

(W): the weak equivalences are those maps  $f : M_\bullet \rightarrow N_\bullet$  such that  $f$  induces isomorphisms on each degree  $f_k : H_k(M_\bullet) \cong H_k(N_\bullet), \forall k \geq 0$ .

(C): the cofibrations are those maps  $f : M_\bullet \rightarrow N_\bullet$  such that  $f_k : M_k \hookrightarrow N_k$  monomorphism with cokernel being projective  $R$ -modules on each degree  $k \geq 0$ .

(F): the fibrations are those maps  $f : M_\bullet \rightarrow N_\bullet$  such that  $f_k : M_k \twoheadrightarrow N_k$  epimorphisms on each degree  $k > 0$ .

We only prove that **MC5** is satisfied. For  $n \geq 1$ , let  $D_n(-) : R\text{Mod} \rightarrow \mathbf{Ch}(R)$  be the functor:

$$A \in R\text{Mod} \mapsto [\cdots \rightarrow 0 \rightarrow A \rightarrow A \rightarrow 0 \rightarrow \cdots], \text{ concentrates in degrees } n-1 \text{ and } n.$$

Also for  $n \geq 0$ , define the functor  $K(-, n) : A \in R\text{Mod} \mapsto M_\bullet$  complex concentrates at degree  $n$  with  $M_n = A$ .

**Lemma 1.21.** (i)  $D_n(-)$  is left adjoint to the  $n$ -th truncation functor, and  $K(-, n)$  is left adjoint to the  $n$ -th homology functor. Namely we have:

$$\text{Hom}_{\mathbf{Ch}^{\geq 0}(R)}(D_n(A), M_\bullet) = \text{Hom}_{R\text{Mod}}(A, M_n); \quad \text{Hom}_{\mathbf{Ch}^{\geq 0}(R)}(K(A, n), M_\bullet) = \text{Hom}_{R\text{Mod}}(A, H_n(M_\bullet)).$$

(ii) Let  $D_n := D_n(R)$  and  $S^n := K(R, n)$ , then a map  $X_\bullet \rightarrow Y_\bullet$  is a fibration in the above sense if and only if it has RLP with respect to  $0 \rightarrow D_n$ , for all  $n \geq 1$ . In addition, it is an acyclic fibration if and only if it has RLP with respect to  $S^{n-1} \rightarrow D_n$ , for all  $n \geq 1$ .

Now for any map  $f : X_\bullet \rightarrow Y_\bullet$ , let the family be  $\mathbb{F} := \{j_n : S^{n-1} \rightarrow D_n\}_{n \geq 1}$ . By construction, we can factor  $f$  as  $X_\bullet \xrightarrow{i_\infty} G^\infty(\mathbb{F}, f) \xrightarrow{p_\infty} Y_\bullet$ . We know that  $S^i$  is sequentially small,  $\forall i \geq 0$ , then by Proposition 1.19 and Lemma 1.21, we know that  $p_\infty$  is an acyclic fibration.

Consider the following diagram in  $\mathbf{Ch}^{\geq 0}(R)$ :

$$\begin{array}{ccc} \coprod_{n \geq 1} S^{n-1} & \longrightarrow & G^k(\mathbb{F}, f) \\ j_n \downarrow & & \downarrow \\ \coprod_{n \geq 1} D_n & \longrightarrow & G^{k+1}(\mathbb{F}, f) \end{array}$$

We can see that on each degree  $n$ ,  $G^{k+1}(\mathbb{F}, f)_n = G^k(\mathbb{F}, f)_n \oplus (\bigoplus_{\text{several}} R)$ . Passing to infinity, we know  $G^\infty(\mathbb{F}, f)$  is the direct sum of  $X_n$  with many copies of  $R$ , the shows  $X_\bullet \xrightarrow{i_\infty} G^\infty(\mathbb{F}, f)$  is a cofibration and thus prove **MC5(i)**.

Similarly, take another family  $\mathbb{F}' := \{j'_n : 0 \rightarrow D_n\}_{n \geq 1}$ , we can factor  $f$  as  $X_\bullet \xrightarrow{i'_\infty} G^\infty(\mathbb{F}', f) \xrightarrow{p'_\infty} Y_\bullet$ . Now  $p'_\infty$  is a fibration and  $i'_\infty$  is an acyclic cofibration. Hence **MC5(ii)** is also proved.

**Example 1.22.** The category **Top** of topological spaces also carries a model category structure, we set

(W): a map  $f : X \rightarrow Y$  of topological spaces is in W if it is a weak homotopy equivalence.

(F): a map  $f : X \rightarrow Y$  of topological spaces is a fibration if it is a *Serre fibration*, i.e. it has RLP with respect to  $A \times \{0\} \hookrightarrow A \times [0, 1]$  for any CW complex  $A$ .

(C) a map  $f : X \rightarrow Y$  of topological spaces is a cofibration if it has LLP with respect to all acyclic fibrations.

Then the axioms **MC** are satisfied due to several facts.

**Lemma 1.23.** (i) Assume that  $X_0 \hookrightarrow X_1 \hookrightarrow X_2 \hookrightarrow \dots$  is a sequence of closed subspaces, so that for any  $i \geq 0$ ,  $(X_{i+1}, X_i)$  is a relative CW pair, then for any finite CW complex  $A$ , we have:

$$\operatorname{colim}_n \operatorname{Hom}_{\mathbf{Top}}(A, X_n) \xrightarrow{\cong} \operatorname{Hom}_{\mathbf{Top}}(A, \operatorname{colim}_n X_n)$$

(ii) In the above situation, we say the map  $X_0 \rightarrow \operatorname{colim}_n X_n$  is a generalized relative CW inclusion, then any cofibration in **Top** is a retract of a generalized relative CW inclusion.

(iii) Similar to Lemma 1.21(ii), a map  $f : X \rightarrow Y$  is a Serre fibration if it has RLP with respect to  $D_n \times \{0\} \hookrightarrow D_n \times [0, 1]$ ,  $\forall n \geq 1$ , here  $D_n$  are genuine disk of dimension  $n$ . It is moreover a weak equivalence if it has RLP with respect to  $S^{n-1} \rightarrow D_n$ ,  $\forall n \geq 1$ .

Take  $\mathbb{F} := \{j_n : D_n \times \{0\} \rightarrow D_n \times [0, 1]\}_{n \geq 1}$  a family of maps. As before, any map  $f : X \rightarrow Y$  factors as

$X \xrightarrow{i_\infty} G^\infty(\mathbb{F}, f) \xrightarrow{p_\infty} Y$ . For any  $p : M \rightarrow N$  Serre fibration, we have

$$\begin{array}{ccccc}
 \coprod_n \coprod_{S(n)} D_n & \longrightarrow & X & \longrightarrow & M \\
 \downarrow & & \downarrow i_1 & \nearrow & \downarrow p \\
 \coprod_n \coprod_{S(n)} D_n \times [0, 1] & \longrightarrow & G^1(\mathbb{F}, f) & \longrightarrow & N
 \end{array}$$

where the lifting  $\coprod_n \coprod_{S(n)} D_n \times [0, 1] \rightarrow M$  exists since  $p : M \rightarrow N$  is a Serre fibration. And by universal property of pushout,  $i_1$  has LLP with respect to  $p : M \rightarrow N$ . And by construction,  $G^1(\mathbb{F}, f)$  is homotopy equivalent to  $X$ , hence  $i_1$  a weak equivalence. Passing to the colimit, we know that  $i_\infty$  is in  $(W) \cap (C)$ . And  $p_\infty$  is a Serre fibration due to Proposition 1.19 and Lemma 1.23. We thus construct the factorization for MC5 (ii). MC5 (i) is similarly proved.

As a corollary of **Top** being a model category, we can compute the homotopy class  $\pi(A, X)$  from a CW complex  $A$  to an arbitrary topological space  $X$ , is the set  $\text{Hom}_{\text{Ho}(\text{Top})}(A, X)$ , simply because  $A \times I$  is a good cylinder object of  $A$ .

## 1.4 Quillen's adjunction

Let  $C$  be a model category and  $F : C \rightarrow D$  a functor. We first introduce the notions of *left/right derived functors* of  $F$ .

**Definition 1.24.** As above,  $F : C \rightarrow D$  functor with  $C$  model category. The **left derived functor** of  $F$  is a pair  $(LF, t)$  universal by left among pairs  $(G, s)$ , where  $G : \text{Ho}(C) \rightarrow D$ ,  $s : G \circ \gamma \rightarrow F$  a natural transform. The universality of  $(LF, t)$  (if exists) says there is a unique natural transform  $s' : G \rightarrow LF$  such that the composition  $G \circ \gamma \xrightarrow{s' \circ \gamma} (LF) \circ \gamma \xrightarrow{t} F$  is  $s \circ \gamma$ .

Similarly, the **right derived functor** of  $F$  is a functor  $RF : \text{Ho}(C) \rightarrow D$  universal by right.

**Proposition 1.25.** Let  $C$  be a model category, suppose  $F : C \rightarrow D$  sends all weak equivalences between cofibrant objects to isomorphisms, then the left derived functor  $LF$  exists.

*Proof.* We first prove that the restriction of  $F$  to  $C_c$  identifies right homotopic maps. Assume that  $f, g : A \rightarrow B$  maps in  $C_c$  such that  $f \stackrel{r}{\sim} g$ . Then there exists  $B^I$  a very good path object for  $B$  and a right homotopy map  $H : A \rightarrow B^I$ . Let  $p_i : B^I \rightarrow B$  be the composition of  $B^I \rightarrow B \times B \xrightarrow{p_i} B, i = 0, 1$ , we know  $f = p_0 H, g = p_1 H$  and let  $\omega : B \rightarrow B^I$  be the structure map of path objects, we also have  $p_i \circ \omega = \text{id}_B$ . The map  $\omega$  is acyclic, by assumption  $B$  is cofibrant, so  $B^I$  is also cofibrant, hence  $F(\omega)$  is an isomorphism. This shows  $F(p_0) = F(p_1)$  and thus  $F(f) = F(g)$ .

So the restriction of  $F$  to  $C_c$  induces a functor  $F' : \pi C_c \rightarrow D$ , where  $\pi C_c$  has same objects as in  $C_c$  and morphisms are right homotopy classes. As before, denote the restriction functor  $C \rightarrow \pi C_c$  by  $Q$ , it satisfies the proeperty that for any morphism  $f$  in  $C$ , if  $f$  is a weak equivalence, then  $Q(f)$  is

a right homotopy class represented by a weak equivalence in  $\mathcal{C}$ . So  $F'Q$  sends weak equivalences in  $\mathcal{C}$  to isomorphisms in  $\mathcal{D}$ . By universal property of localizing, there exists a unique functor  $LF : \text{Ho}(\mathcal{C}) \rightarrow \mathcal{D}$  such that  $LF \circ \gamma = F'Q$ . And we let the natural transform  $t$  assigns each object  $X \in \mathcal{C}$  to  $t_X := F(p_X) : F(QX) \rightarrow FX$ .

For any pair  $(G, s)$ , the natural transform  $s' : G \rightarrow LF$  is given by assigning  $X \in \mathcal{C}$  to

$$s'_X := s_{QX} \circ G(\gamma(p_X))^{-1} : GX \rightarrow G(QX) \rightarrow F(QX) = LF(X)$$

It is not hard to verify that  $s'$  is well-defined and that  $t \circ (s'\gamma)$  recovers  $s$ . □

**Definition 1.26.** Suppose both  $\mathcal{C}, \mathcal{D}$  are model categories. Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  a functor, then a **total left derived functor** of  $F$  is a functor  $\mathbb{L}F : \text{Ho}(\mathcal{C}) \rightarrow \text{Ho}(\mathcal{D})$ , defined as the left derived functor of  $\gamma_D \circ F : \mathcal{C} \rightarrow \text{Ho}(\mathcal{D})$ .

Similarly, a **total right derived functor** of  $F$  is a functor  $\mathbb{R}F : \text{Ho}(\mathcal{C}) \rightarrow \text{Ho}(\mathcal{D})$ , defined as the right derived functor of  $\gamma_D \circ F : \mathcal{C} \rightarrow \text{Ho}(\mathcal{D})$ .

**Theorem 1.27** (Quillen). *Let  $\mathcal{C}, \mathcal{D}$  be two model categories and assume that  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  adjoint pair of functors, then:*

(i) *Assume that  $F$  preserves cofibrations and  $G$  preserves fibrations, then  $\mathbb{L}F$  and  $\mathbb{R}G$  exists and form an adjoint pair:*

$$\mathbb{L}F : \text{Ho}(\mathcal{C}) \rightleftarrows \text{Ho}(\mathcal{D}) : \mathbb{R}G$$

(ii) *Based on the assumption of (i), if we in addition suppose that for any cofibrant object  $A \in \mathcal{C}$  and fibrant object  $X \in \mathcal{D}$ ,  $f \in \text{Hom}_{\mathcal{D}}(F(A), X)$  is a weak equivalence if and only if its adjoint  $f' \in \text{Hom}_{\mathcal{C}}(A, G(X))$  is, then:  $\mathbb{L}F$  and  $\mathbb{R}G$  are inverse equivalences between homotopy categories.*

*Proof of Theorem 1.27.* To use Proposition 1.25, we would like to first prove that the composition  $\gamma_D \circ F$  sends weak equivalence between cofibrant objects to isomorphisms in  $\text{Ho}(\mathcal{D})$ .

Note that the condition in (i) is equivalent to say that  $F$  preserves cofibrations and acyclic cofibrations or (by adjunction) that  $G$  preserves fibrations and acyclic fibrations. In fact, assume  $f \in \text{Hom}_{\mathcal{C}}(A, B)$  is an acyclic cofibration and  $g : X \rightarrow Y$  any fibration map. If  $F$  preserves acyclic cofibration, then  $X \rightarrow Y$  has RLP with respect to  $F(A) \xrightarrow{\cong} F(B)$  (as in the right diagram)

$$\begin{array}{ccc} A & \xrightarrow{u} & G(X) \\ f \downarrow & \nearrow & \downarrow G(g) \\ B & \xrightarrow{v} & G(Y) \end{array} \qquad \begin{array}{ccc} F(A) & \xrightarrow{u'} & X \\ F(f) \downarrow & \nearrow & \downarrow g \\ F(B) & \xrightarrow{v'} & Y \end{array}$$

By adjunction, the commutative diagram in the left admits a lifting, this shows the equivalence claimed.

Similar to the first step in the proof of Proposition 1.25, we can prove

**Lemma 1.28.** *Suppose  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a functor between model categories that sends acyclic cofibrations between cofibrant objects into weak equivalences, then  $F$  preserves all weak equivalences between cofibrant objects.*

This shows that  $\mathbb{L}F$  exists. The existence of  $\mathbb{R}G$  is showed by dual argument for fibrations and fibrant objects.

Now assume  $A \in \mathcal{C}$  is cofibrant and  $X \in \mathcal{D}$  is fibrant, then  $G(X)$  is fibrant since  $G$  preserves fibrations. If  $f, g : A \rightarrow G(X)$  are in the same homotopy class, there exists a good cylinder object  $A \wedge I$  and a homotopy  $H : A \wedge I \rightarrow G(X)$ . One can verify that  $F(A \wedge I) \rightarrow F(A)$  is again a good cylinder object of  $F(A)$ , and by adjunction this gives a homotopy between  $f'$  and  $g' : F(A) \rightarrow X$ . Combining its dual argument, this shows there is a bijection  $\pi(A, GX) \cong \pi(FA, X)$  when  $A$  is cofibrant and  $X$  is fibrant. To show that  $(\mathbb{L}F, \mathbb{R}G)$  is an adjunction pair, we want a bijection

$$\mathrm{Hom}_{\mathrm{Ho}(\mathcal{C})}(A, \mathbb{R}G(X)) \longrightarrow \mathrm{Hom}_{\mathrm{Ho}(\mathcal{D})}(\mathbb{L}F(A), X)$$

We only need to show this for  $A$  cofibrant and  $X$  fibrant. Recall the constructions

$$\emptyset \hookrightarrow QA \xrightarrow{p_A} A, \quad X \xleftarrow{i_X} RX \twoheadrightarrow *$$

we have

$$\begin{aligned} \mathrm{Hom}_{\mathrm{Ho}(\mathcal{C})}(A, \mathbb{R}G(X)) &\xrightarrow{(\gamma(p_A))^*} \mathrm{Hom}_{\mathrm{Ho}(\mathcal{C})}(QA, G(R(X))) = \pi(A, GX) \quad G(R(X)) = R(G(X)) \\ &\cong \pi(F(A), X) = \mathrm{Hom}_{\mathrm{Ho}(\mathcal{D})}(F(Q(A)), RX) \xrightarrow{(\gamma(i_X)^{-1})_*} \mathrm{Hom}_{\mathrm{Ho}(\mathcal{D})}(\mathbb{L}F(A), X) \quad F(Q(A)) = Q(F(A)). \end{aligned}$$

This proves (i) of the Theorem. Now assume the condition in (ii) holds, then  $\epsilon_A : A \rightarrow \mathbb{R}G(\mathbb{L}F(A))$  map in  $\mathrm{Ho}(\mathcal{C})$  which is adjoint to  $\mathrm{Id}_{\mathbb{L}F(A)}$ . We know  $\epsilon_A$  is an isomorphism by above formula, and  $\epsilon$  gives a natural transform from  $\mathrm{Id}$  to  $\mathbb{R}G\mathbb{L}F$ . And there is a version for  $\mathbb{L}F\mathbb{R}G$ , we showed the equivalence of (ii).  $\square$

**Example 1.29** (An  $\infty$ -categorical example). Let  $\mathbf{Set}_\Delta$  be the category of simplicial sets, i.e. category of functors  $\Delta^{\mathrm{op}} \rightarrow \mathbf{Set}$ , where  $\Delta$  has objects being ordered sets  $[n] = \{0 < 1 \dots < n\}$ , and morphisms are order-preserving maps. For  $n \geq 1$  and  $0 \leq i \leq n$ , we have a canonical order preserving bijection  $[n-1] \cong [n] \setminus \{i\}$  and an inclusion  $d_i^n : [n-1] \hookrightarrow [n]$ . Let  $S_\bullet \in \mathbf{Set}_\Delta$ , the map  $d_i^n$  induces a map  $S_n \rightarrow S_{n-1}$  called the **face map**. Similarly for  $n \geq 0$  and  $0 \leq i \leq n$ , we have a canonical surjection  $s_i^n : [n+1] \twoheadrightarrow [n]$  which is constant on  $\{i, i+1\}$ , the induced map  $s_i^n : S_n \rightarrow S_{n+1}$  is called the **degeneracy map**.

Let  $X$  be a topological space, we can associate it with a simplicial set  $\mathrm{Sing}_\bullet(X)$ :

For each  $[n] \in \Delta$ , we assign  $\text{Sing}_n(X) = \text{Hom}_{\mathbf{Top}}(|\Delta^n|, X) \in \mathbf{Set}$ , where  $\Delta^n$  is the  $n$ -simplex. And for each  $\alpha: [m] \rightarrow [n]$  non-decreasing map, we assign it with  $|\Delta^m| \xrightarrow{\alpha_*} |\Delta^n|$  given by

$$|\Delta^m| \rightarrow |\Delta^n| : (t_0, \dots, t_1) \mapsto \left( \sum_{\alpha(i)=0} t_i, \sum_{\alpha(i)=1} t_i, \dots, \sum_{\alpha(i)=n} t_i \right)$$

which induces a morphism  $\text{Sing}_n(X) \rightarrow \text{Sing}_m(X)$ . Moreover, for  $X_\bullet \in \mathbf{Set}_\Delta$ , there is a geometric realization functor:  $|\bullet| : \mathbf{sSet} \rightarrow \mathbf{Top}$ ,  $X_\bullet \mapsto |X_\bullet|$ , see for example [Lur, Tag 001X]. And there is an adjunction pair:

$$|\bullet| : \mathbf{Set}_\Delta \rightleftarrows \mathbf{Top} : \text{Sing}_\bullet$$

We can establish a model category structure on  $\mathbf{Set}_\Delta$  by letting  $f : X_\bullet \rightarrow Y_\bullet$  map of simplicial sets be (W): weak equivalence if  $|f|$  is a weak homotopy equivalence in  $\mathbf{Top}$ .

(C): cofibration if  $X[n] \rightarrow Y[n], n \geq 0$  is a monomorphism.

(F): fibration if  $f$  has RLP with respect to acyclic cofibrations.

Then Quillen shows the assumptions (i) and (ii) are satisfied, with respect to the model category structures on  $\mathbf{Set}_\Delta$  and  $\mathbf{Top}$  (as in previous section). Hence there is an equivalence between  $\text{Ho}(\mathbf{Top})$  and  $\text{Ho}(\mathbf{Set}_\Delta)$ . More importantly, the notion of  $\infty$ -**category** comes from a certain class in  $\mathbf{Set}_\Delta$ .

For any  $n \in \mathbb{N}$  and  $0 \leq i \leq n$ , we let  $\Delta^n$  and  $\Lambda_i^n \in \mathbf{Set}_\Delta$  to be

$$\begin{aligned} \Delta^n &: \Delta^{op} \rightarrow \mathbf{Set}[m] \mapsto \text{Hom}_\Delta([m], [n]) \quad \text{note that } |\Delta^n| \text{ is the geometric } n\text{-simplex;} \\ \Lambda_i^n &: [m] \mapsto \{ \alpha \in \text{Hom}_\Delta([m], [n]) \mid [n] \not\subseteq \alpha([m]) \cup \{i\} \} \quad i\text{-th horn in } \Delta^n \end{aligned}$$

**Definition 1.30.** We say  $S_\bullet \in \mathbf{Set}_\Delta$  is a **Kan complex** if it satisfies the following Kan condition:

**[Kan]** Any simplicial morphism  $\sigma_0 : \Lambda_i^n \rightarrow S_\bullet$  can be lift to a simplicial morphism  $\Delta^n \rightarrow S_\bullet$  for any  $n \in \mathbb{N}$  and  $0 \leq i \leq n$ .

We say  $S_\bullet \in \mathbf{Set}_\Delta$  is an  $(\infty, 1)$ -**category** or a **weak Kan complex** if it satisfies the following weak Kan condition:

**[weak Kan]** Any simplicial morphism  $\sigma_0 : \Lambda_i^n \rightarrow S_\bullet$  can be lift to a simplicial morphism  $\Delta^n \rightarrow S_\bullet$  for any  $n \in \mathbb{N}$  and  $0 < i < n$ .

**Proposition 1.31.** Let  $X \in \mathbf{Top}$  be a topological space, then  $\text{Sing}_\bullet(X)$  is an  $(\infty, 1)$ -category.

**Definition 1.32.** For an ordinary small category  $C$ , define its nerve  $N_\bullet(C) \in \mathbf{Set}_\Delta$  so that  $N_k(C)$  is the set of all functors  $[k] \rightarrow C$ .

**Proposition 1.33.** For any small category  $C$ , its nerve  $N_\bullet(C)$  is an  $(\infty, 1)$ -category.

If there is no ambiguity, we will use the terminology  $\infty$ -category instead of  $(\infty, 1)$ -category. Also we write  $\text{Cat}_\infty$  the category of  $\infty$ -categories, with morphisms to be maps between simplicial sets.

As the name "category" is endowed to a weak Kan complex, we need first figure out its objects and its morphisms. Let  $C$  be a weak Kan complex, which is in the form of  $S_\bullet$ , then we define the set of objects of  $C$  to be the set  $S_0$ . In the same spirit, the morphisms of  $C$  are defined to be  $S_1$ .

For any  $f \in S_1$ , recall we have face map  $d_0^1$  and  $d_1^1$ , we put  $X := d_1^1(f)$  as the source of  $f$  and  $Y := d_0^1(f)$  as the target of  $f$ . For any  $X \in S_0$ , there is degeneracy map  $s_0^0(X)$ , which we called the identity endomorphism of  $X$ , denoted by  $1_X$ .

Similar to the case of model categories, we can define the homotopy category of  $\infty$ -category, which has more topological meaning. Again, let  $C = S_\bullet$  be an  $\infty$ -category and  $X, Y \in S_0$ , let  $f, g : X \rightarrow Y \in S_1$  two maps in  $C$ , one can imagine that the homotopies between  $f$  and  $g$  lie in "higher" simplices. In fact,  $\sigma \in S_2$  is called a **homotopy** from  $f$  to  $g$  (denoted by  $f \stackrel{\sigma}{\sim} g$ ) if it satisfies

$$d_0^2(\sigma) = 1_Y, d_1^2(\sigma) = g, d_2^2(\sigma) = f.$$

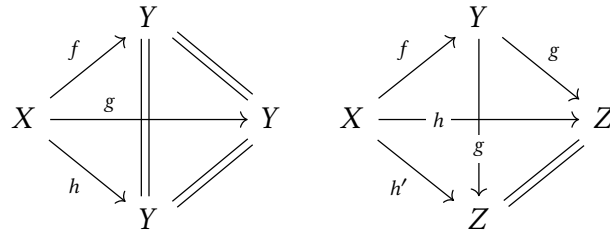
We say  $f$  and  $g$  with same source and target are **homotopic** if there exists homotopy from  $f$  to  $g$ . Also, for three objects  $X, Y, Z \in C = S_\bullet$  and  $f : X \rightarrow Y, g : Y \rightarrow Z$ , we say that a morphism  $h : X \rightarrow Z$  is a composition of  $f$  and  $g$  if there exists a  $\sigma' \in S_2$  such that

$$d_0^2(\sigma') = g, d_1^2(\sigma') = h, d_2^2(\sigma') = f.$$

**Proposition 1.34.** (i) Let  $X, Y, Z \in C$  be objects in an  $\infty$ -category, denote by  $E_{X,Y}$  the subset of 1-simplices of  $C$  contains morphisms  $X$  to  $Y$ . Then homotopic relation defines an equivalence relation on  $E_{X,Y}$ .

(ii) For two morphisms  $f : X \rightarrow Y, g : Y \rightarrow Z$ , there exists a composition  $h$  of  $f$  and  $g$  and all such compositions are homotopic in  $E_{X,Z}$ .

*Proof.* The idea of proof is that if  $f \sim g$  and  $f \sim h$ , want to prove that  $g \sim h$ . Under this assumption, we have the left following (3,1)-horn of simplex  $\Lambda_1^3 \rightarrow C$ :



By weak Kan condition, we find the bottom 2-simplex exists and gives homotopy  $g \sim h$ . Similarly for (ii), we can consider the right above simplex.  $\square$

Thanks to Proposition 1.34, we can define:

**Definition 1.35.** Let  $C$  be an  $\infty$ -category, define its **homotopy category**  $hC$  to be an ordinary category with:

- Objects  $\text{Ob}(hC) = \text{Ob}(C)$ .
- Morphisms  $\text{Hom}_{hC}(X, Y) = \text{Hom}_C(X, Y) / \sim_{\text{homotopy}}$ .

We have transitions between (small) categories and  $\infty$ -categories. Let  $C$  be an ordinary category, then we can consider  $hN_{\bullet}(C)$  the homotopy category of Nerve of  $C$ . It is not difficult to verify that  $hN_{\bullet}(C) \cong C$ . Conversely, starting with an  $\infty$ -category  $C$ , we can consider  $N_{\bullet}(hC)$ . First, let us construct a morphism of simplicial sets  $C \rightarrow N_{\bullet}(hC)$ . Let  $\sigma : \Delta^n \rightarrow C$  be an  $n$ -simplex of  $C$ , its vertices give a collection of objects  $X_0, X_1, \dots, X_n \in \text{Ob}(C)$ , its edges give collections of morphisms  $f_{ij} : X_i \rightarrow X_j, \forall 0 \leq i \leq j \leq n$  and homotopy classes  $[f_{ij}] \in \text{Hom}_{hC}(X_i, X_j)$ . Those data induce a functor  $[n] \rightarrow hC$  and thus an  $n$ -simplex in  $N_{\bullet}(hC)$ , say  $u(\sigma)$ . We obtain thus a morphism of simplicial sets

$$u : C \rightarrow N_{\bullet}(hC); \quad \sigma \mapsto u(\sigma)$$

**Proposition 1.36.** For any test category  $\mathcal{D}$ , we have the following composition of maps gives a bijection:

$$\text{Hom}_{\text{Cat}}(hC, \mathcal{D}) \longrightarrow \text{Hom}_{\text{Set}_{\Delta}}(N_{\bullet}(hC), N_{\bullet}(\mathcal{D})) \xrightarrow{u \circ -} \text{Hom}_{\text{Set}_{\Delta}}(C, N_{\bullet}(\mathcal{D}))$$



## 1.5 Simplicial Categories

In Example 1.29, we have encountered the notion of  $\mathbf{Set}_\Delta$  and we know that an  $(\infty, 1)$ -category is a simplicial set with extra properties (weak Kan complex). In this section, we would use the notion of localization to consider the "underlying"  $(\infty, 1)$ -category structure of Model categories.

**Definition 1.37.** A **simplicial category** is a category enriched over  $\mathbf{Set}_\Delta$ . We denote by  $\mathbf{Cat}_\Delta$  the category of small simplicial categories, where the morphisms are given by simplicial functors.

We can associate  $\mathbf{Cat}_\Delta$  class of weak equivalences as following:

Let  $C_\bullet, D_\bullet \in \mathbf{Cat}_\Delta$  and  $f : C_\bullet \rightarrow D_\bullet$  simplicial functor is said to be a weak equivalence (also known as **Dwyer-Kan equivalence**) if

- For any  $x, y \in C_\bullet$ , the induced map  $\mathrm{Hom}_{C_\bullet}(x, y) \rightarrow \mathrm{Hom}_{D_\bullet}(f(x), f(y))$  is a standard weak equivalence in  $\mathbf{Set}_\Delta$  (defined in Example 1.29 above).
- $f$  is essentially surjective, namely the induced functor  $\mathrm{Ho}(f)$  is essentially surjective.

The class of fibrations in  $\mathbf{Cat}_\Delta$  are those  $f : C_\bullet \rightarrow D_\bullet$  such that

- For any  $x, y \in C_0$ , the induced map  $\mathrm{Hom}_{C_\bullet}(x, y) \rightarrow \mathrm{Hom}_{D_\bullet}(f(x), f(y))$  is a fibration in  $\mathbf{Set}_\Delta$ .
- The induced functor  $\mathrm{Ho}(f)$  is an isofibration, namely any equivalence  $f(x) \rightarrow y$  in  $\mathrm{Ho}(D_\bullet)$  can be lift to an equivalence  $x \rightarrow \tilde{y}$  in  $\mathrm{Ho}(C_\bullet)$ .

**Remark 1.38.** Under the above choice of class of fibrations, we can see the isofibration property ensures that fibrant objects in  $\mathbf{Cat}_\Delta$  are those categories enriched over Kan complexes, which is also called **locally Kan** (see [Lur, Definition 00JY]).

**Theorem 1.39.** [Lur09, Proposition A.3.2.4] *There exists a model category structure, called **Bergner model structure** on  $\mathbf{Cat}_\Delta$  given by class of weak equivalences and class of fibrations as above.*

We denote by  $\mathbf{Cat}_{\mathrm{Kan}}$  the category of locally Kan simplicial categories, with fibrant replacement functor  $R : \mathbf{Cat}_\Delta \rightarrow \mathbf{Cat}_{\mathrm{Kan}}$  as in Definition 1.14. as in Definition 1.14.

Let  $C_\bullet$  be a simplicial category, we associate it with a simplicial set  $N_\bullet^{\mathrm{hc}}(C)$  called **homotopy coherent nerve** of  $C_\bullet$ , given by

$$[n] \in \Delta^{\mathrm{op}} \mapsto \mathrm{Map}_{\mathbf{Cat}_\Delta}(\mathrm{Path}[n]_\bullet, C_\bullet)$$

where  $\mathrm{Path}[n]_\bullet$  is the simplicial path category of partially ordered set  $[n]$  (for general construction of simplicial path category of partially ordered set, see [Lur, Notation 00KN]). We then obtain a functor  $N_\bullet^{\mathrm{hc}}(-) : \mathbf{Cat}_\Delta \rightarrow \mathbf{Set}_\Delta$ .

**Remark 1.40.** Let  $C = C_0$  be the ordinary category of  $C_\bullet$ , then we have monomorphism  $N_\bullet(C) \hookrightarrow N_\bullet^{hc}(C)$ . Take  $\underline{C}_\bullet$  to be the constant simplicial category of ordinary category  $C$  (i.e. enriched by constant simplicial set  $\text{Hom}_C(x, y)$ ), then we have  $N_\bullet(C) = N_\bullet^{hc}(\underline{C}_\bullet)$ .

**Theorem 1.41** (Cordier-Porter). *Let  $C_\bullet$  be a simplicial category that is locally Kan, then its homotopy coherent nerve  $N_\bullet^{hc}(C)$  is an  $\infty$ -category.*

**Definition 1.42.** We write **Kan** the simplicial category of Kan complexes, with natural simplicially enriched structure. Define the  $\infty$ -category of spaces to be

$$\mathcal{S} := N_\bullet^{hc}(\text{Kan})$$

**Proposition 1.43.** *The simplicial set  $\mathcal{S}$  is an  $\infty$ -category.*

**Proposition 1.44.** *For any  $S_\bullet \in \text{Set}_\Delta$ , there exists a pair uniquely determined upto isomorphism  $(C_\bullet, u)$  of simplicial category  $C_\bullet$  and  $u : S_\bullet \rightarrow N_\bullet^{hc}(C_\bullet)$  such that for any  $D_\bullet \in \text{Cat}_\Delta$ , there is a bijection*

$$\{ \text{Simplicial Functor} : C_\bullet \rightarrow D_\bullet \} \cong \text{Map}_{\text{Set}_\Delta}(S_\bullet, N_\bullet^{hc}(D_\bullet))$$

*The functor  $N_\bullet^{hc} : \text{Cat}_\Delta \rightarrow \text{Set}_\Delta$  admits a left adjoint:  $\text{Path}[-]_\bullet : S_\bullet \mapsto \text{Path}[S]_\bullet := C_\bullet$ , where  $C_\bullet$  is the simplicial category associated to  $S_\bullet$  as above.*

**Theorem 1.45.** *For the model category structure constructed on  $\text{Cat}_\Delta$  above, there exists another model structure on  $\text{Set}_\Delta$  called the **Joyal model structure** so that the adjoint pair*

$$\text{Path}[-]_\bullet : \text{Set}_\Delta \begin{array}{c} \xrightarrow{\quad} \\ \perp \\ \xleftarrow{\quad} \end{array} \text{Cat}_\Delta : N_\bullet^{hc}(-)$$

*is a Quillen equivalence.*

We give here the explicit construction of Joyal model structure of  $\text{Set}_\Delta$ :

- we say  $f_\bullet F : S_\bullet \rightarrow T_\bullet \in \text{Mor}(\text{Set}_{\Delta, \text{Joyal}})$  morphism between simplicial sets is a weak equivalence if  $\text{Path}[f]_\bullet$  is a Dwyer-Kan equivalence in  $\text{Cat}_\Delta$ .
- The cofibrations in  $\text{Set}_{\Delta, \text{Joyal}}$  is given by monomorphisms.
- The fibrants in  $\text{Set}_{\Delta, \text{Joyal}}$  are those weak Kan complexes (i.e.  $\infty$ -categories).

Combining Theorem 1.41, 1.45 and Quillen's Theorem 1.27 (ii), we can conclude the following slogan:

**Slogan:**  $R\text{Path}[-]_\bullet$  and  $RN_\bullet^{hc}(-)$  are inverse to each other (upto equivalence of categories) and establish an equivalence between  $\text{Cat}_\infty$  and  $\text{Cat}_{\text{Kan}}$ .

## 1.6 Localization of Model/Simplicial Categories

We have seen in previous sections that one can construct the homotopy category of a model category in a way of localization. We are going to see the underlying higher homotopy information, i.e. the  $\infty$ -category, of a model category. To quote:

*Localizing a model category with respect to a class of maps does not mean making the maps into isomorphisms; instead, it means making the images of those maps in the homotopy category into isomorphisms. Since the image of a map in the homotopy category is an isomorphism if and only if the map is a weak equivalence, localizing a model category with respect to a class of maps means making maps into weak equivalences.*

**Definition 1.46.** Let  $(C, W)$  be a weak equivalence category, for objects  $X, Y \in C$ , define for each  $n \in \mathbb{N}$  a category  $\text{Ham}_n C(X, Y)$  contains objects to be paths of the form

$$X \xleftarrow{\sim} K_1 \rightarrow K_2 \xleftarrow{\sim} K_3 \rightarrow \dots \rightarrow Y$$

with morphisms to be

$$\begin{array}{ccccccc} & & K_1 & \longrightarrow & K_2 & \xleftarrow{\sim} & \dots \\ & \swarrow \sim & \downarrow \sim & & \downarrow \sim & & \searrow \\ X & & & & & & Y \\ & \nwarrow \sim & \downarrow \sim & & \downarrow \sim & & \\ & & L_1 & \longrightarrow & L_2 & \xleftarrow{\sim} & \dots \end{array}$$

And we define  $L^H(C, W)(X, Y) \in \text{Set}_\Delta$  as

$$\coprod_n \mathbf{N}_\bullet(\text{Ham}_n C(X, Y)) / \sim$$

the coproduct of nerve of  $\text{Ham}_n(X, Y)$  and quotienting the equivalence relation generated by inserting or removing identity morphisms and composing composable morphisms. For  $X, Y, Z \in C$ , it is clear that one has composition map  $L^H(C, W)(X, Y) \times L^H(C, W)(Y, Z) \rightarrow L^H(C, W)(X, Z)$ .

We thus obtain a simplicially enriched category  $L^H(C, W) \in \text{Cat}_\Delta$ , called the **hammock localization** of  $C$  with respect to  $W$ , given by Dwyer-Kan.

**Remark 1.47.** Recall that for an ordinary (small) weak equivalence category  $(C, W)$ , one can define its localization  $C[W^{-1}]$  to have objects same as  $C$ , with "set" of morphisms:

$$\text{Hom}_{C[W^{-1}]}(X, Y) := \{X \rightarrow K_1 \leftarrow K_2 \rightarrow \dots \rightarrow Y \mid \text{all left arrows are in } W\}.$$

This construction can be generalized to  $\mathcal{W} \subseteq C$  subcategory. We can verify the following:

**Proposition 1.48.** *We have equivalence of categories  $\mathrm{Ho} (L^H(C, W)) \cong C[W^{-1}]$ .*

Here for a simplicial category  $D_\bullet$ , we take its homotopy category  $\mathrm{Ho}(D)$  to have same objects as in  $D_\bullet$  with  $\mathrm{Hom}_{\mathrm{Ho}(D)}(x, y) = \pi_0(\mathrm{Map}_D(x, y))$ .

**Proposition 1.49.** *Let  $C$  be a model category, recall that we have full subcategories  $C_c, C_f, C_{cf}$  spanned by cofibrants, fibrants and cofibrants-fibrants respectively. Then we have the natural maps*

$$L^H C_f \longrightarrow L^H C \longleftarrow L^H C_c$$

*with respect to weak equivalences that are equivalences of simplicial categories.*

The  $\infty$ -category underlying the model category  $C$  with class of weak equivalences  $W$  is defined to be

$$N_\bullet^{\mathrm{mod}}(C) := RN_\bullet^{\mathrm{hc}}(L^H(C, W)) \quad \textbf{nerve of model category } C$$

Moreover, in the category of marked simplicial sets, we have the equivalence

$$(N_\bullet(C), W) \cong (N_\bullet^{\mathrm{mod}}(C))^{\natural}$$

Let  $\mathcal{W}_\bullet \xrightarrow{f_\bullet} C_\bullet \in \mathrm{Cat}_\Delta^{[1]}$  be a map of simplicial categories, we can associate it with a simplicial category  $C[W^{-1}]_\bullet$  so that  $C[W^{-1}]_n := C_n[W_n^{-1}]$ . One can also generalize the hammock construction to this map of simplicial categories  $\mathcal{W}_\bullet \xrightarrow{f_\bullet} C_\bullet \in \mathrm{Cat}_\Delta^{[1]}$ , denote by  $L^H(C_\bullet, \mathcal{W}_\bullet)$ . On the other hand, since  $\mathrm{Cat}_\Delta$  itself is a model category, we can define the localization with respect to  $f_\bullet$  that is relevant to model structure. Consider the following diagram:

$$\begin{array}{ccc} \mathcal{W}_\bullet & \xrightarrow{f_\bullet} & C_\bullet \\ p_\bullet \uparrow & & \uparrow q_\bullet \\ \tilde{\mathcal{W}}_\bullet & \xrightarrow{\tilde{f}_\bullet} & \tilde{C}_\bullet \end{array} \quad p_\bullet, q_\bullet \text{ cofibrant replacement; } \tilde{f}_\bullet \text{ cofibration}$$

then we define the **Dwyer-Kan localization** of  $\mathcal{W}_\bullet \xrightarrow{f_\bullet} C_\bullet \in \mathrm{Cat}_\Delta^{[1]}$  to be  $\tilde{C}[\tilde{\mathcal{W}}^{-1}]_\bullet$ , which is weakly equivalent to  $L^H(C_\bullet, \mathcal{W}_\bullet)$ .

We then want to promote Proposition 1.49 to simplicial version. To do that, we need first introduce the notion of simplicial model category (not just a  $\mathrm{Set}_\Delta$ -enriched model category!).

**Definition 1.50.** Let  $\mathcal{V}$  be a closed monoidal category (i.e. for any  $v \in C$  the tensor functor  $- \otimes v : \mathcal{V} \rightarrow \mathcal{V}$  admits a right adjoint  $\underline{\mathrm{Hom}}_{\mathcal{V}}(v, -) : \mathcal{V} \rightarrow \mathcal{V}$ ).

We say a  $\mathcal{V}$ -enriched category  $C$  is **tensoried** if there exists  $- \otimes - : \mathcal{V} \times C \rightarrow C$  such that

$$\mathrm{Hom}_C(v \otimes c, c') = \underline{\mathrm{Hom}}_{\mathcal{V}}(v, \mathrm{Hom}_C(c, c')) \quad \forall c, c' \in C, \forall v \in \mathcal{V}$$

We say a  $\mathcal{V}$ -enriched category  $C$  is **powered** if there exists  $- \pitchfork - : \mathcal{V} \times C \rightarrow C$  such that

$$\mathrm{Hom}_C(c, \pitchfork(v, c')) = \underline{\mathrm{Hom}}_{\mathcal{V}}(v, \mathrm{Hom}_C(c, c')) \quad \forall c, c' \in C, \forall v \in \mathcal{V}$$

**Remark 1.51.** In above definition, note that  $\mathrm{Hom}_C$  is  $\mathcal{V}$ -valued Hom of  $C$ . The category  $\mathbf{Set}_{\Delta}$  is a closed monoidal category, with Cartesian monoidal structure:  $(S_{\bullet} \otimes T_{\bullet})_n = S_n \times T_n$  and the internal hom is given by  $\underline{\mathrm{Hom}}_{\mathbf{Set}_{\Delta}}(S_{\bullet}, T_{\bullet}) : [n] \mapsto \mathrm{Hom}_{\mathbf{Set}_{\Delta}}(S_{\bullet} \times \Delta[n], T_{\bullet})$ .

**Definition 1.52.** We say a simplicial category  $C_{\bullet} \in \mathbf{Cat}_{\Delta}$  is a simplicial model category if:

- (i) Its underlying category  $C_0$  has model structure.
- (ii) It is tensoried and powered over  $\mathbf{Set}_{\Delta}$ .
- (iii) For any cofibration  $X \rightarrow Y$  in  $\mathbf{Set}_{\Delta}$  and cofibration  $A \rightarrow B$  in  $C$ , the induced pushout product morphism  $A \otimes Y \coprod_{A \otimes X} B \otimes X \rightarrow B \otimes Y$  is a cofibration in  $C_0$ .

**Remark 1.53.** The model structure of  $\mathbf{Set}_{\Delta}$  in above definition is taken to be the one as Example 1.29. In particular, we find that  $\mathbf{Set}_{\Delta}$  itself with this model structure is a simplicial model category.

**Theorem 1.54.** Let  $C_{\bullet}$  be a simplicial model category, then we have the following equivalences of simplicial categories:

$$C_{\bullet}^{\mathrm{cf}} \rightarrow L^H(C_{\bullet}^{\mathrm{cf}}) \rightarrow L^H(C_{\bullet}) \leftarrow L^H(C, W)$$

Namely, the two localizations of  $C_{\bullet}$  through model structure and simplicial structure respectively, coincide. We write  $C_{\bullet}[W^{-1}] := N_{\bullet}^{\mathrm{hc}}(C_{\bullet}^{\mathrm{cf}})$ . In particular, one can find that the  $\infty$ -category of spaces, also called  $\infty$ -category of  $\infty$ -groupoids is

$$\mathcal{S} = \mathbf{Set}_{\Delta}[W^{-1}]$$

## 2 DG Categories

### 2.1 Definition of DG Categories

The word "dg" is an abbreviation for **differential graded**. Let  $\mathbf{k}$  be a commutative ring, then a **dg  $\mathbf{k}$ -module** is an  $\mathbb{Z}$ -graded  $\mathbf{k}$ -module  $V = \bigoplus_{n \in \mathbb{Z}} V^n$  plus a differential map  $\partial_V : V \rightarrow V$ , such that  $\partial_V$  is of degree  $-1$ , i.e.  $\partial_V(V_m) \subseteq V_{m-1}$  and  $\partial_V^2 = 0$ . Equivalently,  $(\{V^n\}_{n \in \mathbb{Z}}, \partial)$  is a chain complex of  $\mathbf{k}$ -modules. The morphisms between DG  $\mathbf{k}$ -modules are graded morphisms that preserves the differentials.

We denote by  $\text{Ch}(\mathbf{k})$  the category of chain complexes in  $\mathbf{k}$ -modules=DG  $\mathbf{k}$ -modules and  $\text{Ch}(\mathbb{Z})$  the category of chain complexes in abelian groups. Morphisms in  $\text{Ch}(\mathbf{k})$  are chain complex maps.

There is a monoidal structure on  $\text{Ch}(\mathbf{k})$ . For  $(V, \partial_V), (W, \partial_W)$ , their tensor DG  $\mathbf{k}$ -module is  $(V \otimes W, \partial_{V \otimes W})$  where  $(V \otimes W)_n = \bigoplus_{p+q=n} V_p \otimes W_q$  and  $\partial_{V \otimes W}(x \otimes y) = \partial_V(x) \otimes y + (-1)^{\deg x} x \otimes \partial_W(y)$ .

**Definition 2.1.** One particular example of DG  $\mathbf{k}$ -modules is **dg  $\mathbf{k}$ -algebra**. That is, a graded  $\mathbf{k}$ -algebra

$$(A_* = \{A_n\}_{n \in \mathbb{Z}}, \partial)$$

whose multiplication map  $A \otimes A \rightarrow A$  (of degree 0) compatible with a differential  $\partial : A_* \rightarrow A_{*-1}$ . In other words, the differential on  $A_*$  would in addition satisfy the Leibniz rule

$$\partial_{A_*}(f \cdot g) = \partial_{A_*}(f) \cdot g + (-1)^{\deg f} f \cdot \partial_{A_*}(g)$$

**Definition 2.2.** A **DG category** (= **differential graded category**) over  $\mathbf{k}$  is a category  $\mathcal{A}$  that enriched over the category of chain complex of  $\mathbf{k}$ -modules.

More explicitly, a DG category  $\mathcal{A}$  consists of the data of:

- A collection of objects  $\text{Ob}(\mathcal{A})$ ;
- For any  $X, Y \in \text{Ob}(\mathcal{A})$ , the morphism is a DG  $\mathbf{k}$ -module  $\mathcal{A}(X, Y) = \text{Hom}_{\mathcal{A}}(X, Y)_*$ ;
- For any  $X, Y, Z \in \text{Ob}(\mathcal{A})$ , the composition law

$$\circ_{Z,Y,X} : \mathcal{A}(Y, Z) \otimes \mathcal{A}(X, Y) \rightarrow \mathcal{A}(X, Z)$$

which is linear and satisfies the Leibniz rule:  $\partial(g \circ f) = (\partial g) \circ f + (-1)^{\deg g} g \circ (\partial f)$ .

- For  $\forall X \in \mathcal{A}$ , there exists a unit  $1_X \in \mathcal{A}(X, X)$  for the composition.

**Remark 2.3.** We can deduce from definition that the collection of DG categories with one object  $X$  is in bijection with DG algebras. Also, one can recover the underlying ordinary category  $\mathcal{A}^\circ$  of DG category  $\mathcal{A}$  by taking  $\text{Ob}(\mathcal{A}^\circ) = \text{Ob}(\mathcal{A})$  and  $\text{Hom}_{\mathcal{A}^\circ}(X, Y)$  are those 0-cycles in  $\text{Hom}_{\mathcal{A}}(X, Y)_*$ . One can deduce from  $\partial(1_X \circ 1_X) = \partial(1_X) + \partial(1_X)$  that  $1_X \in \text{Hom}(X, X)_0$  is a 0-cycle.

To establish a DG category  $\mathcal{A}$  with an  $(\infty, 1)$ -categorical structure, we need to construct something similar to  $\mathbf{N}_{\bullet}^{\text{hc}}$ .

**Example 2.4.** Let  $\mathcal{A}$  be a DG category, define  $\mathbf{N}_{\bullet}^{\text{dg}}(\mathcal{A}) \in \mathbf{Set}_{\Delta}$  with  $\mathbf{N}_n^{\text{dg}}(\mathcal{A})$  contains pairs  $(\{X_i\}_{0 \leq i \leq n}, \{f_I\}_{I \subseteq [n]})$  where  $X_i \in \text{Ob}(\mathcal{A})$  and for each  $I = \{i_0 > i_1 > \dots > i_k\}$ ,  $f_I \in \text{Hom}(X_{i_k}, X_{i_0})_{k-1}$  such that

$$\partial f_I = \sum_{a=1}^{k-1} (-1)^a (f_{\{i_0 > \dots > i_a\}} \circ f_{\{i_a > \dots > i_k\}} - f_{I \setminus \{i_a\}}) \in \text{Hom}(X_{i_k}, X_{i_0})_{k-2}$$

For non-decreasing function  $\alpha : [n] \rightarrow [m]$ , define  $\alpha^* : \mathbf{N}_m^{\text{dg}}(\mathcal{A}) \rightarrow \mathbf{N}_n^{\text{dg}}(\mathcal{A}) : (\{X_i\}_{0 \leq i \leq m}, \{f_I\}) \mapsto (\{X_{\alpha(j)}\}_{0 \leq j \leq n}, \{g_J\})$  by taking

$$g_J = \begin{cases} f_{\alpha(J)} & \text{if } \alpha|_J \text{ is injective} \\ \text{id}_{X_{i_1}} & \text{if } J = \{j_0 > j_1\} \text{ with } \alpha(j_0) = i = \alpha(j_1) \\ 0 & \text{otherwise.} \end{cases}$$

**Theorem 2.5.** For DG category  $\mathcal{A}$ , the simplicial set  $\mathbf{N}_{\bullet}^{\text{dg}}(\mathcal{A})$  is an  $\infty$ -category.

*Proof.* See [Lur, Theorem 00PW]. □

**Example 2.6.** The ordinary category  $\text{Ch}(\mathbf{k})$  of DG  $\mathbf{k}$ -modules also has a DG enhancement. Let  $(C_*, \delta_C)$  and  $(C'_*, \delta_{C'})$  be two chain complexes of  $\mathbf{k}$ -modules, we define

$$\mathbf{Ch}_{\text{dg}}(\mathbf{k})(C_*, C'_*) = \bigoplus_{n \in \mathbb{Z}} \mathbf{Ch}_{\text{dg}}(R)(C_*, C'_*)_n$$

so that  $\mathbf{Ch}_{\text{dg}}(R)(C_*, C'_*)_n$  contains  $f = \{f_k\}_{k \in \mathbb{Z}}$ ,  $f_k : C_k \rightarrow C'_{k+n}$  that satisfies  $\delta_{C'} \circ f_{k+1} = f_k \circ \delta_C$ . The differential on  $\mathbf{Ch}_{\text{dg}}(\mathbf{k})(C_*, C'_*)$  is given by

$$\delta : \mathbf{Ch}_{\text{dg}}(R)(C_*, C'_*)_n \longrightarrow \mathbf{Ch}_{\text{dg}}(\mathbf{k})(C_*, C'_*)_{n-1} : f \mapsto \delta_{C'} \circ f - (-1)^n f \circ \delta_C$$

The composition in  $\mathbf{Ch}_{\text{dg}}$  is the un-shifted composition of complex morphisms. Concretely let  $f \in \mathbf{Ch}_{\text{dg}}(R)(C_*, C'_*)_p$ ,  $g \in \mathbf{Ch}_{\text{dg}}(R)(C'_*, C''_*)_q$ , then  $g \circ f \in \mathbf{Ch}_{\text{dg}}(R)(C_*, C''_*)_{p+q}$  is of the form

$$g \circ f = \{(g \circ f)_k\}_{k \in \mathbb{Z}} = \{g^{k+p} \circ f^k : C_k \rightarrow C''_{k+p+q}\}_k$$

**Definition 2.7.** For  $\mathcal{A}, \mathcal{B}$  two DG categories, a DG functor from  $\mathcal{A}$  to  $\mathcal{B}$  is a functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  induces morphisms of DG  $\mathbf{k}$ -modules  $\mathcal{A}(X, Y) \rightarrow \mathcal{B}(FX, FY)$  that compatible with composition and unit.

The category of small DG categories  $\mathbf{dgc}_{\mathbf{k}}$  is defined to have objects small DG categories over  $\mathbf{k}$  and morphisms to be DG functors.

## 2.2 Structure of DG Categories

There is a monoidal structure on  $\mathbf{dgc}at_k$  inherited from the tensor product of DG  $k$ -modules. The tensor product  $\mathcal{A} \otimes \mathcal{B}$  has objects  $(X, Y)$ ,  $X \in \mathcal{A}, Y \in \mathcal{B}$  with morphisms  $\mathcal{A} \otimes \mathcal{B}((X, Y), (X', Y')) = \mathcal{A}(X, X') \otimes \mathcal{B}(Y, Y')$ . And clearly that  $\mathbf{dgc}at_k$  is further symmetric monoidal category.

Moreover there is an internal Hom in  $\mathbf{dgc}at_k$ . For  $\mathcal{B}, \mathcal{C} \in \mathbf{dgc}at_k$  we set  $\mathcal{H}om(\mathcal{B}, \mathcal{C})$  a DG category having objects  $F : \mathcal{B} \rightarrow \mathcal{C}$  DG functors from  $\mathcal{B}$  to  $\mathcal{C}$  and morphisms  $\mathcal{H}om(F, G)$  is a DG  $k$ -module with the grading  $\mathcal{H}om(F, G)_n = \{ \phi = \langle \phi_X \in \mathcal{C}(FX, GX) \rangle_{X \in \mathcal{B}} \}$  and natural induced differential.

**Proposition 2.8.** *The functor  $\mathcal{H}om : \mathbf{dgc}at_k \times \mathbf{dgc}at_k \rightarrow \mathbf{dgc}at_k$  is an internal Hom, namely*

$$\mathcal{H}om_{\mathbf{dgc}at}(\mathcal{A} \otimes \mathcal{B}, \mathcal{C}) = \mathcal{H}om_{\mathbf{dgc}at}(\mathcal{A}, \mathcal{H}om(\mathcal{B}, \mathcal{C}))$$

We see that  $\mathbf{dgc}at_k$  is a closed monoidal category. Moreover, there is a model structure on  $\mathbf{dgc}at_k$ .

Let  $\mathcal{A}, \mathcal{B}$  be two DG categories with  $F : \mathcal{A} \rightarrow \mathcal{B}$  a DG functor. We say  $F$  is

- a weak equivalence if  $\forall x, y \in \mathcal{A}$ , the induced map  $\mathcal{A}(x, y) \rightarrow \mathcal{B}(Fx, Fy)$  is an quasi-isomorphism of chain complexes and  $H_0(F)$  is an equivalence of categories.
- a fibration if  $\forall x, y \in \mathcal{A}$ , the induced map  $\mathcal{A}(x, y) \rightarrow \mathcal{B}(Fx, Fy)$  is a degreewise surjection of complexes and  $H_0(F)$  is an isofibration.

**Proposition 2.9.** *The classes above make  $\mathbf{dgc}at_k$  into a model category, called the Tabuada model structure. Moreover, the functor of DG nerve  $\mathbf{N}_{\bullet}^{\mathbf{dg}}$  is a right Quillen functor, with respect to Tabuada model structure on  $\mathbf{dgc}at_k$  and Joyal model structure on  $\mathbf{Set}_{\Delta}$ .*

Similar to the definition of homotopy category of an  $\infty$ -category (Definition 1.35) one can define the homotopy category of a DG category, use the homotopy of chain complexes

**Definition 2.10.** Let  $\mathcal{A}$  be a DG category, define its **homotopy category**  $h\mathcal{A}$  to have:

- Objects to be the same as objects of  $\mathcal{A}$ .
- Morphisms to be  $\mathcal{H}om_{h\mathcal{A}}(X, Y) := H_0(\mathcal{H}om_{\mathcal{A}}(X, Y)_*), \forall X, Y \in \mathcal{A}$ .

Here  $H_0$  denotes for the 0-th homology group of the chain complex.

**Remark 2.11.** For  $f, f' \in \mathcal{H}om(X, Y)_0$  in the chain complex  $\mathcal{H}om(X, Y)_*$ , we say they are homotopic if there exists  $h \in \mathcal{H}om(X, Y)_1$  such that  $\partial(h) = f - f'$ . In fact, as is depicted in Example 2.6, we can regard 0-cycle  $f \in \mathcal{H}om(X, Y)_0$  as degree 0 chain map and the homotopy  $h \in \mathcal{H}om(X, Y)_1$  is the usual definition of homotopy of chain complexes. In this case, taking  $H_0$  is exactly quotienting homotopical equivalence relations.

**Proposition 2.12.** *Let  $\mathcal{A}$  be a DG category, then there is an equivalence of categories  $h\mathbf{N}_{\bullet}^{\mathbf{dg}}(\mathcal{A}) \cong h\mathcal{A}$ , where the LHS is the homotopy category of  $\infty$ -category.*



### 2.3 Dold-Kan correspondence

Recall that for topological space  $X$ , we can associate it with a chain complex which has  $C_n(X; \mathbb{Z})$  freely generated by  $\text{Hom}_{\text{Top}}(\Delta^n, X)$  for each degree and the differential is induced by (alternating sum of) face maps. On the other hand, we can define similarly for any simplicial abelian groups (i.e. those in  $\text{Set}_\Delta$  valued in  $\text{Ab}$ ) a chain complex. Let  $A_\bullet$  be a simplicial abelian group, define

$$C_*(A_\bullet) = \dots \xrightarrow{\partial} A_1 \xrightarrow{\partial} A_0 \longrightarrow 0 \longrightarrow 0 \dots \quad \partial(\sigma) := \sum_{i=0}^n (-1)^i d_i^n(\sigma), \forall \sigma \in A_n, n \geq 1.$$

One can verify that  $\partial^2 = 0$ . In general for  $S_\bullet \in \text{Set}_\Delta$ , denote by  $\mathbb{Z}[S_\bullet] \in \text{Ab}_\Delta$  the simplicial abelian group generated by  $S_\bullet$ , the corresponding chain complex  $C_*(\mathbb{Z}[S_\bullet])$  is the chain complex of  $S_\bullet$ .

Now start from a topological space  $X$ , its homology group can be interpreted as following: we first take  $\text{Sing}_\bullet(X)$  to get a simplicial set then take  $H_n(\mathbb{Z}[\text{Sing}_\bullet(X)])$ , the result homology group is the same as  $H_n(X; \mathbb{Z})$ .

Conversely, starting from a chain complex  $M_*$ , one can construct topological space. Fix  $n \geq 0$ , let  $N_*(\Delta^n; \mathbb{Z})$  be the chain complex with each  $N_m(\Delta^n; \mathbb{Z})$  is generated by the collection of non-degenerate (i.e. not the image of any degeneracy map)  $m$ -simplex of  $\Delta^n$  with differential

$$\partial(\sigma) = \sum_{i=0}^m (-1)^i \begin{cases} d_i^m(\sigma) & \text{if } d_i^m(\sigma) \text{ non-degenerate} \\ 0 & \text{otherwise} \end{cases} \quad \forall \sigma \in N_m(\Delta^n; \mathbb{Z})$$

One can verify similarly as above that  $N_*(\Delta^n; \mathbb{Z}) \in \text{Ch}(\mathbb{Z})$ . Define:

$$K_\bullet(M_*) \in \text{Set}_\Delta \quad \text{with } [n] \mapsto K_n(M_*) := \text{Hom}_{\text{Ch}(\mathbb{Z})}(N_*(\Delta^n; \mathbb{Z}), M_*)$$

**Example 2.13.** (Eilenberg-MacLane Spaces) Recall for an abelian group  $G$  and  $n \geq 1$ , an Eilenberg-MacLane space  $K(G, n)$  is referred to a topological space  $X$  such that  $\pi_n(X) = G, \pi_i(X) = 0, i \neq n$ . For such  $G, n$ , we can associate with a complex  $G[n]$  that  $G$  concentrates in degree  $n$ , combining the construction above gives us a simplicial set  $K_\bullet(G[n])$ . Then taking the geometric realization functor  $|K_\bullet(G[n])|$  gives a construction of  $K(G, n)$ . The simplicial set  $K_\bullet(G[n])$  is in fact a Kan complex= $\infty$ -groupoid, which is often denoted by  $\mathbf{B}^n G$ . Let us investigate two cases, when  $n = 0, 1$ .

When  $n = 0$ , a chain map  $N_*(\Delta^m; \mathbb{Z}) \rightarrow G[0]$  is given by

$$\begin{array}{ccccccc} \dots & \longrightarrow & N_1(\Delta^m; \mathbb{Z}) & \xrightarrow{\partial} & N_0(\Delta^m; \mathbb{Z}) & \longrightarrow & 0 \\ & & \downarrow (g_{ij})_{0 \leq i < j \leq m=0} & & \downarrow (g_0, \dots, g_m) & & \\ \dots & \longrightarrow & 0 & \longrightarrow & G & \longrightarrow & 0 \end{array}$$

The commutativity of the square requires that  $g_i - g_j = 0, \forall i < j$ , which forces  $(g_0, \dots, g_m) = (g_0, \dots, g_0)$ .

We can see in this case  $K_\bullet(G[0])$  is the constant simplicial abelian group  $\underline{G}$ .

When  $n = 1$ , a chain map  $N_*(\Delta^m; \mathbb{Z}) \rightarrow G[1]$  is given by

$$\begin{array}{ccccccc} \dots & \longrightarrow & N_2(\Delta^m; \mathbb{Z}) & \xrightarrow{\partial} & N_1(\Delta^m; \mathbb{Z}) & \xrightarrow{\partial} & N_0(\Delta^m; \mathbb{Z}) \\ & & \downarrow (g_{ijk})_{0 \leq i < j < k \leq m=0} & & \downarrow (g_{ij})_{0 \leq i < j \leq m} & & \downarrow \\ \dots & \longrightarrow & 0 & \longrightarrow & G & \longrightarrow & 0 \end{array}$$

The commutativity of the square requires that  $g_{ij} - g_{ik} + g_{jk} = 0, \forall 0 \leq i < j < k \leq m$ , we can see in this case  $K_\bullet(G[1])$  is the classifying simplicial set  $\mathbf{BG}$ , which is in fact again simplicial abelian group.

The construction of  $N_*(\Delta^m; \mathbb{Z})$  can be generalized to any simplicial abelian group as following: For  $A_\bullet \in \mathbf{Ab}_\Delta$ , consider the subcomplex  $D_*(A_\bullet) \subseteq C_*(A_\bullet)$  that each  $D_n(A_\bullet)$  is generated by image of  $\{s_i^{n-1} : A_{n-1} \rightarrow A_n\}_{0 \leq i \leq n-1}$ . It is not difficult to show that  $\partial(D_n(A_\bullet)) \subseteq D_{n-1}(A_\bullet)$  and we take

$$N_*(A_\bullet) := C_*(A_\bullet) / D_*(A_\bullet) \quad \textbf{normalized Moore complex of } A_\bullet$$

**Theorem 2.14** (Dold-Kan correspondence). *The functor of normalized Moore complex  $N_* : \mathbf{Ab}_\Delta \rightarrow \mathbf{Ch}(\mathbb{Z})_{\geq 0}$  is an equivalence category, with inverse functor  $K_\bullet : M_* \mapsto K_\bullet(M_*)$ .*

The Dold-Kan correspondence 2.14 can be generalized in several forms. An important one for derived algebraic geometry would be that replacing  $\mathbf{Ab}_\Delta$  with  $\mathbf{Rings}_\Delta$  or  $\mathbf{CAlg}_\Delta$ , which brings in more structures on one side of Dold-Kan. We are going to see that the other side would be upgraded from connective chain complexes to connective (commutative) DG algebras.

**Definition 2.15.** Let  $A$  be a DG algebra, it is said to be a **commutative differential graded algebra** if the multiplication map  $\mu$  on  $A$  is super-commutative, i.e.  $\mu(a \otimes b) = (-1)^{|x||y|} \mu(b \otimes a)$ . We denote by  $\mathbf{dga}_k$  the category of DG  $k$ -algebras and by  $\mathbf{cdga}_k$  subcategory of commutative DG  $k$ -algebras. Also, we use the notation  $\mathbf{dga}_k^{\geq 0}$  and  $\mathbf{cdga}_k^{\geq 0}$  for connective ones.

**Remark 2.16.** Note that both sides of Dold-Kan correspondence are monoidal category, and the two functors are both lax monoidal functor individually. However, they fail to be a monoidal adjunction. Instead, one can have a monoidal Dold-Kan correspondence by considering the monoids in both sides. In particular, the monoids in  $\mathbf{Ab}_\Delta$  are  $\mathbf{Rings}_\Delta$  and the monoids in  $\mathbf{Ch}(\mathbb{Z})_{\geq 0}$  are  $\mathbf{dga}_\mathbb{Z}^{\geq 0}$ . Then we have the following monoidal Quillen equivalences (with modified Dold-Kan functors):

$$\mathbf{cdga}_k^{\geq 0} \begin{array}{c} \xrightarrow{\quad} \\ \perp \\ \xleftarrow{\quad} \end{array} (\mathbf{CAlg}_k)_\Delta^{\text{op}} \begin{array}{c} \xleftarrow{\quad} \\ \perp \\ \xrightarrow{\quad} \end{array} \mathbf{cdga}_k^{\geq 0} \quad \text{and} \quad (\mathbf{CAlg}_k)_\Delta^{\text{op}} \begin{array}{c} \xleftarrow{\quad} \\ \perp \\ \xrightarrow{\quad} \end{array} \mathbf{cdga}_k^{\geq 0}$$

**Remark 2.17.** As mentioned above that Dold-Kan correspondence is a Quillen adjunction, in fact one can verify that there is a model structure on  $\text{dga}_{\mathbf{k}}, \text{cdga}_{\mathbf{k}}, \text{dga}_{\mathbf{k}}^{\geq 0}, \text{cdga}_{\mathbf{k}}^{\geq 0}$  which is transferred from the one on category of DG  $\mathbf{k}$ -modules, when  $\text{char}(\mathbf{k}) = 0$ . Concretely, the weak equivalence on category of chain complexes  $\text{Ch}_{\mathbf{k}}^{\geq 0}$  is given by quasi-isomorphism the fibration is given by degreewise surjection. In general, we can consider the adjoint pair:

$$\begin{array}{ccc} & \text{Sym}_{\mathbf{k}} & \\ \text{Ch}_{\mathbf{k}}^{\geq 0} & \xrightarrow{\quad} & \text{cdga}_{\mathbf{k}}^{\geq 0} \\ & \text{Fgt} & \\ & \perp & \end{array}$$

where  $\text{Fgt}$  is the forgetful functor and  $\text{Sym}_{\mathbf{k}}$  is symmetric algebra functor that is left adjoint to  $\text{Fgt}$  (regardless of  $\text{char}(\mathbf{k})$ ). When  $\text{char}(\mathbf{k}) = 0$ , then the above adjoint pair is in fact a Quillen adjunction under the above model structure on  $\text{cdga}_{\mathbf{k}}^{\geq 0}$ . However, when  $\text{char}(\mathbf{k}) > 0$ , it fails to transfer the model structure on  $\text{Ch}_{\mathbf{k}}^{\geq 0}$  to  $\text{cdga}_{\mathbf{k}}^{\geq 0}$ . Let us consider the following example: the complex  $\left[0 \rightarrow \mathbf{k} \xrightarrow{\text{id}} \mathbf{k} \rightarrow 0\right]$  is nullhomotopic, i.e. weak equivalent to 0 in  $\text{Ch}_{\mathbf{k}}^{\geq 0}$ . Assume the first  $\mathbf{k}$  occurs in the complex is in degree  $n = 2m, m \geq 1$  and the second in degree  $n - 1$ , then  $\text{Sym}_{\mathbf{k}}\left(\left[0 \rightarrow \mathbf{k} \xrightarrow{\text{id}} \mathbf{k} \rightarrow 0\right]\right)$  has underlying algebra  $\mathbf{k}[x, y]$  with  $\deg(x) = n, \deg(y) = n - 1$ , moreover, it is cdga with differential  $\partial(x) = y, \partial(y) = 0$ . If we further assume  $\text{char}(\mathbf{k}) = 2$ , then  $\partial(x^2) = 2xy = 0$ , which means  $H^{2n}(\mathbf{k}[x, y]) \neq 0$ , since  $x^2$  is not the boundary of some element. Meanwhile  $\text{Sym}_{\mathbf{k}}(0) = \mathbf{k}$  has trivial homology at degree  $2n$ . For  $\text{char } p$ , the corrected replacement of DG algebras is  $\text{E}_{\infty}$ -algebras.

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