# Generalized Zero- and Few-Shot Learning via Aligned Variational Autoencoders

Reporter: 陈思玉

2023.11.18

Schonfeld E, Ebrahimi S, Sinha S, et al. Generalized zero-and few-shot learning via aligned variational autoencoders[C]//Proceedings of the IEEE/CVF conference on computer vision and pattern recognition. 2019: 8247-8255.

# **Author**





#### Edgar Schönfeld

其他姓名▶

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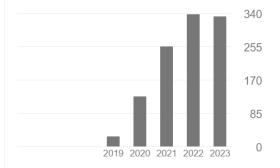
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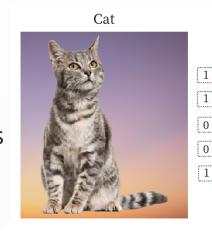
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# **Zero-Shot Learning**



#### ZSL 目标

ZSL 旨在训练个模型,该模型能够通过<mark>语义信息</mark>的辅助,利用从 seen classes 中学到的知识来对 unseen classes 进行分类。



Tail Fur

Beak

Feathers 1

Whiskers 0

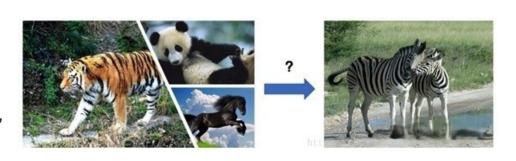


#### ZSL 所用数据

- ullet seen classes:  $X^s$  (图像特征), $Y^s$  (类别标签), $A^s$  (语义信息)
- unseen classes:  $A^u$  (语义信息)

#### 举例说明

- 1. 训练集有马、老虎、熊猫的图片
- 2. 语义信息有形状、条纹、颜色等属性
- 3. 给出斑马的定义:马的形状、老虎的条纹、熊猫的颜色
- 4. 输入斑马的图像,分类器能输出斑马的类别

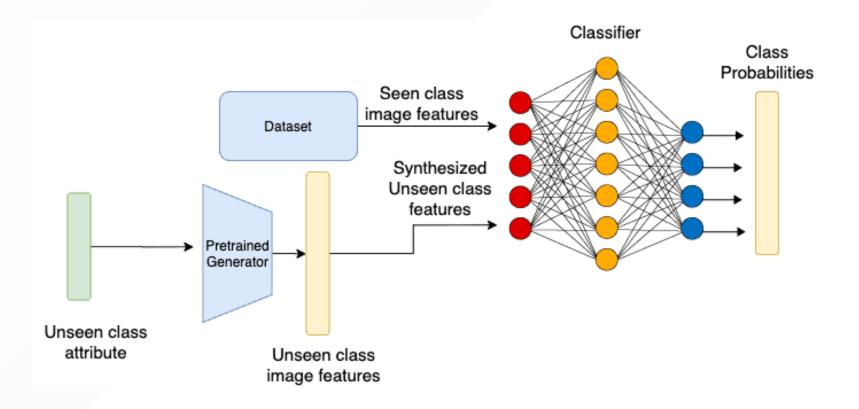


#### **Generative-based Methods**



#### 主要思想

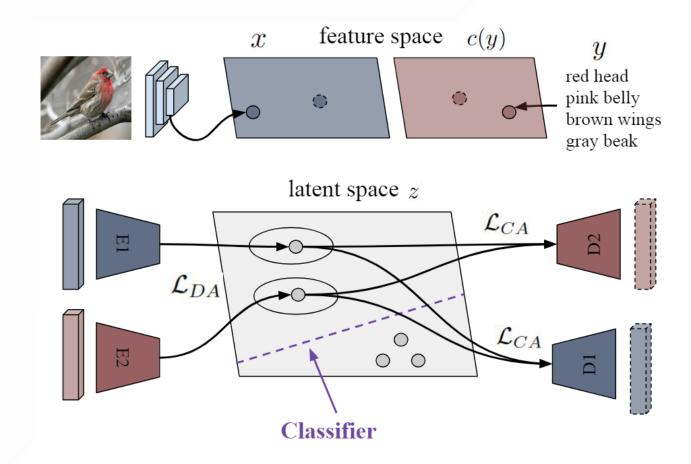
- 1. 训练一个生成模型,该模型能够使用语义信息进行条件生成
- 2. 向训练好的模型输入 unseen classes 的语义信息,从而生成 unseen 的样本
- 3. 将训练集中的 seen 样本和生成的 unseen 的样本组合成数据集
- 4. 将数据集输入分类器进行学习,从而使得分类器能对 seen 和 unseen classes 进行分类



# 论文思想/贡献



- 通过生成模型构建了视觉和语义统一的隐层空间
- 从统一的隐层空间上采样所有类别的特征,并用于训练分类器
- 做了大量实验,得出了一些重要的结论
- 为基于超球面 OOD 的论文提供了思路





#### **VAE Loss**

$$\mathcal{L}_{VAE} = \sum_{i}^{M} \mathbb{E}_{q_{\phi}(z|x)}[\log p_{ heta}(x^{(i)}|z)] - eta D_{KL}(q_{\phi}(z|x^{(i)}) \| p_{ heta}(z))$$

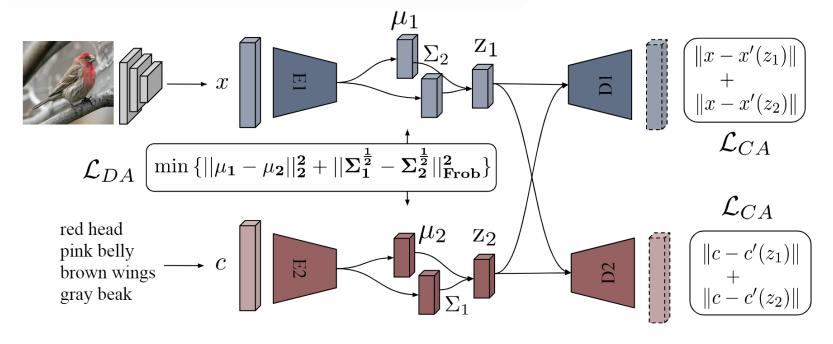


Figure 2: Our Cross- and Distribution Aligned VAE (CADA-VAE). Latent distribution alignment is achieved by minimizing the Wasserstein distance between the latent distributions ( $\mathcal{L}_{DA}$ ). Similarly, the cross-alignment loss ( $\mathcal{L}_{CA}$ ) encourages the latent distributions to align through cross-modal reconstruction.



#### **Cross-Alignment Loss**

$$\mathcal{L}_{CA} = \sum_{i}^{M} \sum_{j 
eq i}^{M} |x^{(j)} - D_{j}(E_{i}(x^{(i)}))|$$

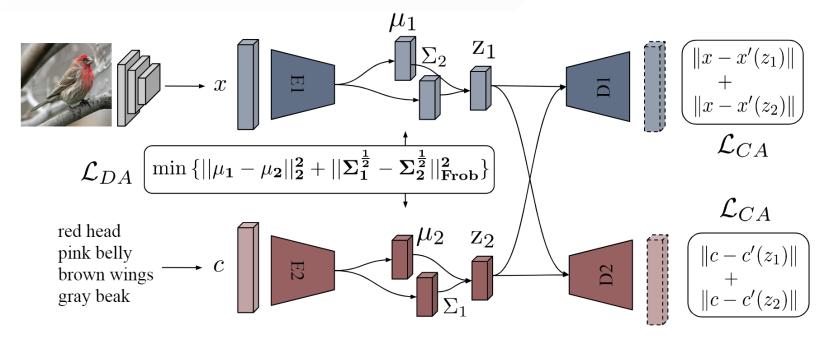


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#### **Distribution-Alignment Loss**

$$\mathcal{L}_{DA} = \sum_{i}^{M} \sum_{j 
eq i}^{M} W_{ij} \qquad W_{ij} = (\|\mu_i - \mu_j\|_2^2 + \|\Sigma_i^{rac{1}{2}} - \Sigma_j^{rac{1}{2}}\|_{ ext{Frobenius}}^2)^{rac{1}{2}}$$

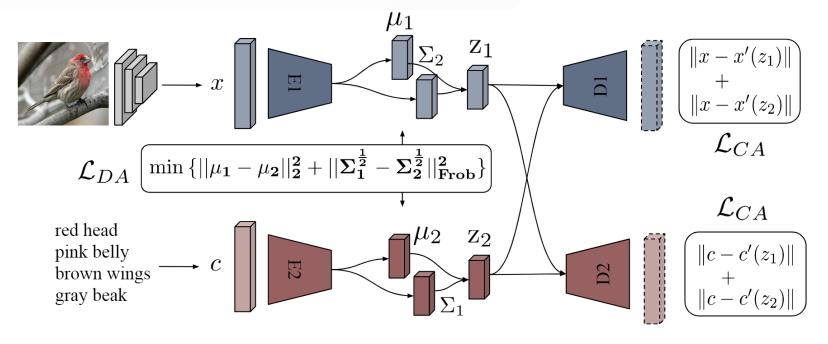


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#### **Total Loss**

$$\mathcal{L}_{CADA-VAE} = \mathcal{L}_{VAE} + \gamma \mathcal{L}_{CA} + \delta \mathcal{L}_{DA}$$

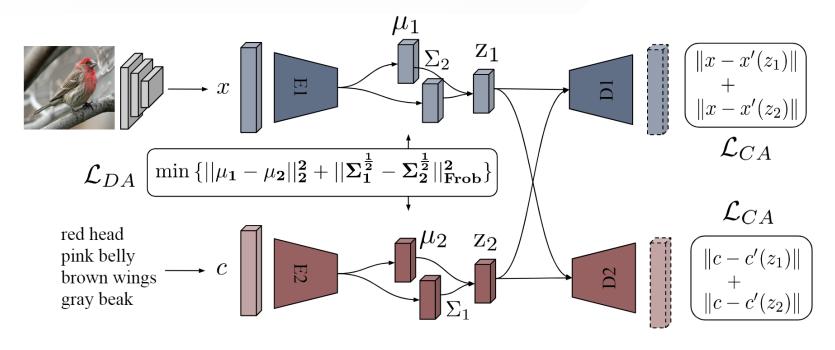


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#### 分类

- 训练
  - $\circ$  Seen 样本: $z_{x_s}=E_v(x_s)$
  - $\circ$  Unseen 样本:  $z_{a_u}=E_a(a_u)$
  - $\circ$  分类器:  $p(z) \rightarrow y$
- 测试

$$egin{array}{ll} \circ \ z = E_v(x) & p(z) 
ightarrow y \end{array}$$

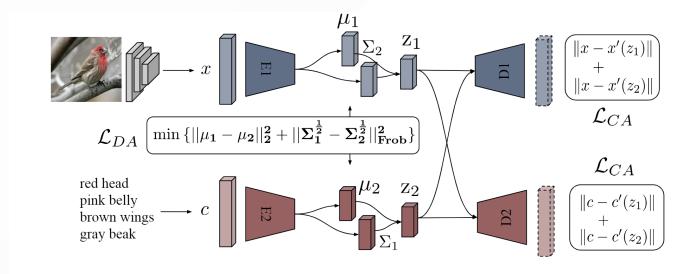


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# **Comparison with SOTA**

			CUB			SUN			AWA 1			AWA2	
Model	Feature Size	$\mathbf{S}$	$\mathbf{U}$	H	$\mathbf{S}$	$\mathbf{U}$	H	$\mathbf{S}$	U	H	$\mathbf{S}$	$\mathbf{U}$	H
CMT [27]		49.8	7.2	12.6	21.8	8.1	11.8	87.6	0.9	1.8	90.0	0.5	1.0
SJE [2]		59.2	23.5	33.6	30.5	14.7	19.8	74.6	11.3	19.6	73.9	8.0	14.4
ALE [1]		62.8	23.7	34.4	33.1	21.8	26.3	76.1	16.8	27.5	81.8	14.0	23.9
LATEM [34]	2048	57.3	15.2	24.0	28.8	14.7	19.5	71.7	7.3	13.3	77.3	11.5	20.0
EZSL [24]		63.8	12.6	21.0	27.9	11.0	15.8	75.6	6.6	12.1	77.8	5.9	11.0
SYNC [4]		70.9	11.5	19.8	43.3	7.9	13.4	87.3	8.9	16.2	90.5	10.0	18.0
DeViSE [6]		53.0	23.8	32.8	27.4	16.9	20.9	68.7	13.4	22.4	74.7	17.1	27.8
f-CLSWGAN [36]		57.7	43.7	49.7	36.6	42.6	39.4	61.4	57.9	59.6	68.9	52.1	59.4
CVAE [18]	1024	_	_	34.5	_	_	26.7	_	_	47.2	_	_	51.2
SE [14]		53.3	41.5	46.7	30.5	40.9	34.9	67.8	56.3	61.5	68.1	58.3	62.8
ReViSE [29]	75/100	28.3	37.6	32.3	20.1	24.3	22.0	37.1	46.1	41.1	39.7	46.4	42.8
ours (CADA-VAE)	64	53.5	51.6	52.4	35.7	47.2	40.6	72.8	57.3	64.1	75.0	55.8	63.9



### **Ablation Study**

Model	$\mathbf{S}$	U	Н
DA-VAE	48.1	43.8	45.8
CA-VAE	52.6	48.1	50.2
CADA-VAE	53.5	51.6	52.4

Table 1: Ablation study. We compare GZSL accuracy on CUB for different multi-modal alignment objective functions, i.e. DA-VAE (distribution aligned VAE), CA-VAE (cross-aligned VAE) and CADA-VAE (cross and distribution aligned VAE).

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### **Class Embedding**

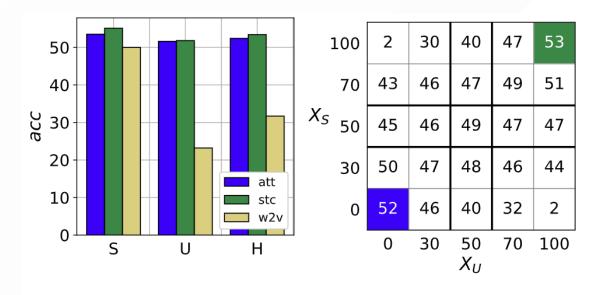


Figure 3: Effect of different class embeddings. (Left) Seen, unseen and harmonic mean accuracy for CUB using different class embeddings as side information. (Right) Using both attributes and sentences as side information, i.e.  $X_S$ : the percentage of seen classes with sentences,  $X_U$ : the percentage of unseen classes with sentences. Attributes are the class embeddings for the (100 - X)% of the classes.



#### **Dimentionality of the Latent Features**

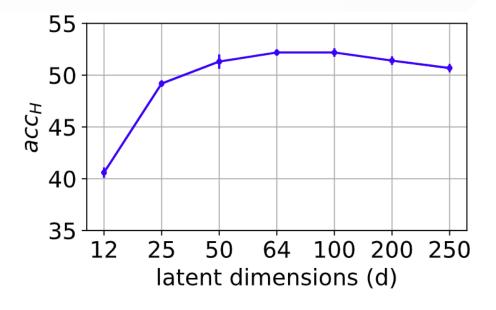


Figure 4: The influence of the dimentionality of the latent features that are generated by CADA-VAE and used to train the GZSL classifier. We measure the harmonic mean accuracy on the CUB dataset

•  $d_{\mathrm{best}} = 64$ 



#### Number of the Latent Features Per Class

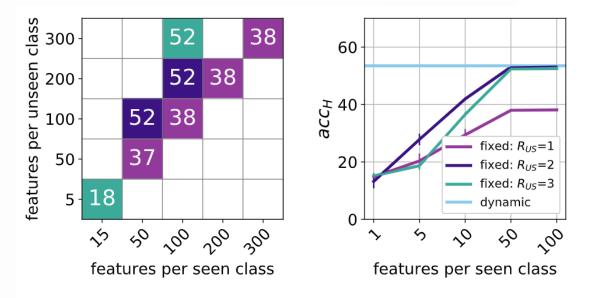


Figure 5: Analyzing the effect of the number of latent features per class on the harmonic mean accuracy in GZSL. An unseen-seen ratio  $R_{US}$  of 2 means that twice as many samples are generated for unseen classes than for seen classes . The dynamic dataset (light blue) does not rely on a fixed number of sampled latent features.

ullet 需要为 unseen 多生成一些样本,在 CUB 中最佳为  $N_S:N_U=1:2$ 



#### **Few Shot Settings**

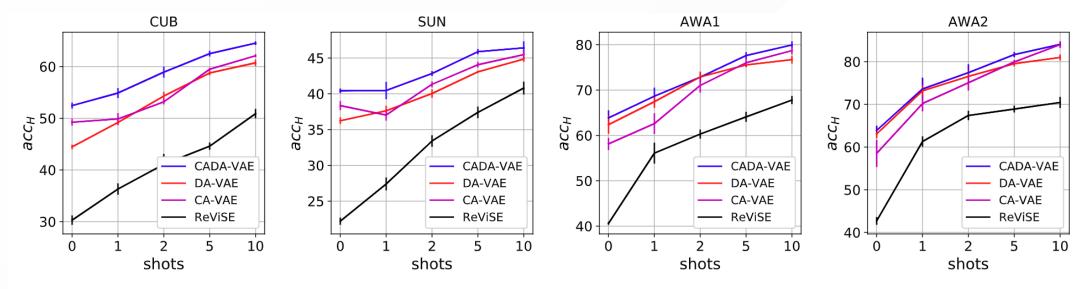


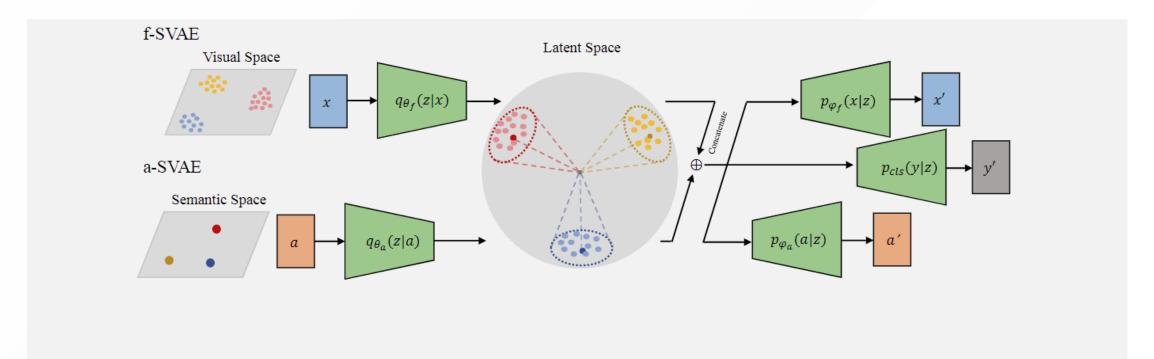
Figure 6: Comparing CA-VAE, DA-VAE, CADA-VAE with ReViSE [30] with increasing numbers of training samples from unseen classes, i.e. in the generalized few-shot setting.

● CUB、SUN 上的提升大于 AWA1、AWA2, 说明粗粒度数据集的图像特征已经具有足够的判别力

### Out-of-distribution Detection Baseon CADA-VAE







**Fig. 2.** Our model consists of two SVAEs, one for visual features and another for semantic attributes. By combining the objective functions of the two SVAEs with a cross-reconstruction loss and a classification loss, we train our model to align the latent distributions of visual features and semantic attributes class-wisely. In this way, each class can be represented by a vMF distribution whose boundary is easy to find.