

## Introduction

### 0.1 Moore's Law

Moore's Law says that the number of transistors on a CPU is doubling every 1-2 years. The problem nowadays is that the clockspeed has reached its limit at approximately 5GHz and the curve is flattening. Because the clockspeed of one core cannot be increased any further the CPU manufacturers started to increase the number of cores on one processor. These multicore processors increase the CPU performance despite the following *walls*:

- MEMORY WALL:

Describes the gap between the CPU clock speed and the memory access time.

- ILP WALL:

There are not enough instruction-level parallelism to keep the CPU busy.

- POWER WALL:

The higher the clock speed the higher the power consumption is.

Therefore the performance of any single-threaded machine is not improving anymore - the new standard is multicore.

### 0.2 Multicores

Multicore processors are nowadays used in every desktop PC, laptops and servers. But the existence of multicore does not lead to the expected speedup, because the usage requires a lot of care for parallelization and synchronization which affects the speedup negatively. To program a correct and efficient application we need to exploit parallelism. Furthermore we need synchronization to prevent race-conditions, deadlocks, and so on and ensure correctness but still must have an eye on the performance.

### 0.3 Parallelization

#### 0.3.1 Data Parallelism

The data can be split into partitions of which every thread processes one of them. After finishing the work they join the results. This approach is used especially in image processing.

The problem is that good data partitioning is difficult, i.e. that every thread is computing approximately the same amount of time. Also synchronization is required for joining the results and the load balancing during the process. Another issue could be if some results are dependant on other results which had to be computed before.

#### 0.3.2 Pipeline Parallelism

The approach here is to split the work into different phases and every thread works on jobs in one of the phases. The problem is that not every program has or cannot be divided into such phases and some phases can be much longer than others. Therefore a high amount of synchronization is required because one phase's output becomes the next phase's input which also makes the access pattern really complex.

### 0.3.3 Speculative/Optimistic Parallelism

In this approach we assume that most jobs do not conflict and therefore just execute them. Therefore partitioning or phases are not needed but can be implemented as well. But in the application there must be any kind of synchronization because every access can potentially interfere with another thread. To get the most out of the application there must be as little contention on shared data structures as possible.

## 0.4 Shared Memory Synchronization

The cores share a main memory, which contains some atomic hardware instructions like *increase*, *decrease*, *CompareAndChange*. Also the load and store instructions are usually atomic, but not necessarily ordered - not sequentially consistent. Therefore memory barriers must be used to enforce the "right" order.

# 1 Basics

## 1.1 Formalizing a Problem

There are two types of formal properties in asynchronous computation:

- SAFETY PROPERTY  
Nothing bad ever happens (for example mutual exclusion is a safety property).
- LIVENESS PROPERTY  
Something good happens eventually (for example no deadlocks is a liveness property).

## 1.2 Amdahl's Law

The speedup of any computation given  $n$  CPUs instead of 1 can be computed with the following formula:

$$\text{Speedup} = \frac{\text{OldExecutionTime}}{\text{NewExecutionTime}}$$

Furthermore we can normalize this formula and implement the number of processes and the concurrent and non-concurrent part of the code to compute the expected speedup:

$$\text{Speedup} = \frac{1}{1 - p + \frac{p}{n}}$$

,where  $p$  is the procentual share of the concurrent code and  $n$  is the number of processes used.

When analyzing the graph when we scale the percentage share of the sequential code and slowly decrease it the expected speedup does not increase linearly but increases really slowly. Therefore when programming for multicore usage the most important thing is to find ways to effectively parallelize the code by minimizing the sequential parts and reduce any wait time in which threads are idle. All in all the percentage which cannot or only hardly make concurrent will have a great impact on the overall speedup.

## 2 Mutex

### 2.1 Partial Orders

- IRREFLEXIVE  
It is never true that  $A \rightarrow A$
- ANTISYMMETRIC  
If  $A \rightarrow B$  then it is not true that  $B \rightarrow A$
- TRANSITIVE  
If  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow C$

### 2.2 Total Orders

- same as **Partial Orders**
- ADDITIONAL: For every distinct  $A, B$   
Either  $A \rightarrow B$  or  $B \rightarrow A$

### 2.3 Different Implementations

#### 2.3.1 Peterson's Algorithm

In the Peterson's Algorithm a thread that wants to acquire a lock first announces its interest via a flag or something similar and sets itself as a victim. As long as other threads are interested and it is the victim, it will wait. When releasing the lock it will set its flag to false to announce that it is not interested anymore.

This algorithm fulfills mutual exclusion such that only one thread can be inside the critical section. Furthermore it is deadlock free because a thread is only blocked when in the *while*-loop which happens when it is the victim. But because one or another thread must not be the victim the scenario of both waiting can be excluded.

#### 2.3.2 Filter Algorithm

In the Filter Algorithm there are  $n - 1$  *waiting rooms* called levels. Each level can be entered by at least one thread and at least one is blocked if man tried to enter. In the end only one thread makes it through the filter and enters the critical section.

First a thread announces its intention to enter a distinct level  $L$ . Itself gives priority to anyone else by setting its status to be the victim. As long someone else is at the same or any higher level and it is still the victim the thread waits. When the loop resolves it enters the level  $L$ . When unlocking the lock its level is reset to the default value 0.

#### 2.3.3 Bakery Algorithm

The Bakery Algorithm provides a First-Come-First-Serve behaviour by giving each thread a number, like in a queue at the supermarket, and waits until each thread with a lower number has been served.

This algorithm provides property as no-deadlock, mutual exclusion and so on, but it is not practical because every time  $n$  distinct variables must be read which leads to a significant loss of performance.

### **3 Linearizability**

#### **3.1 Sequential vs. Concurrent**

##### **3.1.1 Sequential**

Each sequential object has a state, which is usually given by a set of fields, and a set of methods, which can manipulate the state. The advantage of such an object is that the state is meaningful between method calls and can be exactly determined at a given point of time. Furthermore each method call can be observed and described in isolation, which also leads to a simplification of adding completely new methods without changing the description of old methods. But a sequential implementation takes a lot of time because each method call is processed one at a time.

##### **3.1.2 Concurrent**

The method calls are not seen as an event but as an interval. Because of this method calls can overlap so that an object might never be between two method calls. Therefore when programming a concurrent application all possible interaction with overlapping calls must be characterized and accounted for - everything can potentially interact with everything.

#### **3.2 Linearizability**

Each method should take an effect on the state of an object it is called on between the invocation and the response event and is also instantaneously. It can be said that an object is correct if this kind of "sequential" behaviour is correct. Any such concurrent object is linearizable. Also there do not need to be only one sequential correct order; there can be many different which are correct.

#### **3.3 Sequential Consistent**

An object is sequential consistent if all method calls can be linearized such that the object behaviour is correct.