# Facial Trauma Report

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### Abstract

### Introduction

Facial Trauma, also called maxillofacial trauma, involves different facial trauma sites and injury mechanisms. In this report, our main focus is to explore a facial trauma database collected for any patient with facial trauma admitted in Maine Medical Center from 2016 to 2020. The goal of this project is to analyze the relationship between patient's injury sites and residency aiming to design specific facial trauma treatment plans for future patients with respect to their residency, from urban or rural areas; and provide efficient treatment corresponding to their facial trauma injury sites. For better access to facial trauma prediction, we are going to apply the Generalized Linear Mixed-Effect Regression Model which is best-fit for binary outcomes.

### **Data Cleaning and Processing**

For ICD codes: The major differences between the two coding systems include the number of characters involved. ICD-9 has up to five characters while ICD-10 has up to seven. ICD-10 adds laterality to the coding system, which ICD-9 lacks. ICD-10 offers much more specificity, including episodes of care, body area, etc. Also, the ICD-10 coding structure utilizes a dummy placeholder, which ICD-9 does not. Because the clients plan to analyze the injury area differences in facial trauma patients in urban and rural settings. Transformation of ICD codes is very important.

For cause of injury(Injury mechanism): From the data screening provided by the client, we found that there are 10 levels for injury mech in the dataset and some of them are same at some content, for example, there are several mechanisms for falls but with different falling height. We need to categorize them in order to tidy the dataset for further use. For categorization of ICD10 codes: Now we have the ICD10 codes, but what we want to study is the relationship between areas of facial trauma instead of the codes. So we need to categorize the codes to specific areas of injury: as the client instructs, we categorize the codes into three levels: Midface, Mandible and Superior face for further use.

When we want to do ICD Code transformation Among 318 patients, we found that 158 of them are recorded with ICD 9, while 160 take ICD 10. When the client tried to convert ICD 9 code into ICD 10 code. He encountered two major problems: A) Not Convertible: 75 out of 432 ICD 9 code can not be converted to ICD 10 code. B) Multiple Converted: 143 of the ICD 9 codes can be converted into multiple ICD 10 codes. In order to solve these two major problems. We plan to solve B first. After solving B, we can drop the codes mentioned in A and only leave the useful observations for further analysis.

To solve problem A. For the tidy dataset so far, we now have 211 patients with corresponding injury areas. For the rest of the observations, our client David conducted a more detailed investigation and he gave a table for the corresponding convertible codes for us to do the conversion. After finalizing the conversion, we are now having 292 observations for the further analysis. To solve problem B, we first let all the missing values be blank. And use for loops for each ICD9 code column, when the ICD9 column has the code that can be

converted to useful ICD10 codes, then we assign the converted ICD10 codes to the corresponding ICD10 code column. Categorization of codes (ICD10) Among 318 patients, 311 of them have explicit injury mechanism records, 7 of them are blank or not clarified clearly. For 311 patients who have records, we extracted the key words of descriptions, and divided them into 6 categories:

Converted injury mechanism categories	Original injury mechanism
MVC	Motorcycle, MVC
Falls	Fall under 1m, Fall 1m-6m, Fall over 6m
$\operatorname{Gun}$	Hand gun, Shotgun
Others	Other, Assault, Biting, Pedestrian, 0
Bicycle	Bicycle
$Other\_Blunt$	Blunt Mechanism

### Data Description:

Variable	Explanation	Description
Study.ID	ID for Patients	Total 292 observations left
Age	Age information for patients	From 17 to 90
$\operatorname{Gender}$	Gender information for patients	Male, Female
Race	Ethnicity information for	Asian, White, African American
	patients	and Other Races
Urban.Rural	Living area information for	Urban and Rural
	patients	
Superior	Dummy variable to check if	Binary
	patient was hurt on Superior site	
Mandible	Dummy variable to check if	Binary
	patient was hurt on Mandible site	
Midface	Dummy variable to check if	Binary
	patient was hurt on Midface site	
Otherff	Dummy variable to check if	Binary
	patient was hurt on other face	
	trauma site	
Injury.Mech.category	Variable to check what	6 mechs as showed above
	mechanisms caused facial trauma	

In order to show the information of the data set and the relationship between various variables more intuitively, let's move to our EDA part:

### EDA

After data cleaning and processing, we started our exploratory data analysis. As shown in Figure 1, 2, 3, and 4, there are more data samples collected from midface injury patients than the other three facial trauma sites. Among all four facial trauma sites, falls and motor vehicle accidents are the two major types of injury mechanisms for both residency areas. It is hard not to notice that people in rural areas have more facial trauma caused by MVCs than people with facial trauma in urban areas. In addition, we have a small sample size for the injury mechanism of "Gun" which makes sense because gunshot accidents are rare in our daily life and gunshot accidents can cause immediate death that do not even have a chance to be admitted into hospital for treatment and gunshots are majorly targets on midface and superior.

Figure 5 is a bar plot which compares the different population sizes of people with facial trauma and bars are filled with color coded facial injury mechanism categories. From the bar plot, we can see that the database

consists of more male patients than female patients. Falls and motor vehicle accidents are the two major types of injury mechanisms for both female and male patients.

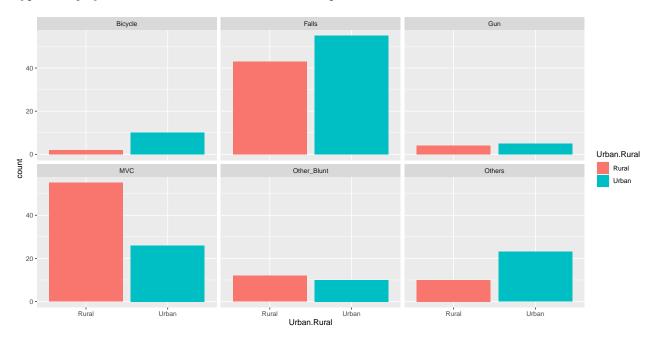


Figure 1: Midface area injured patient number vs. demographic information

### **Model Fitting**

Based on finding from our EDAs, we use the Generalized Linear Mixed-Effects Regression Model to estimate the mixed effects of getting facial trauma in one of four trauma sites using "Age" and "Gender" as the predictors, add "Urban.Rural" for the random slope effect, and use "Injury.Mech.catergory" as the random intercept. The function of the model is shown below:

stan\_glmer("Trauma site" ~ as.numeric(Age) + Gender + Injury.Mech.category + (1+Urban.Rural|Injury.Mech.category), data = cleaned\_set, family = binomial(link="logit"), refresh=0)

By arranging all four fitted models into one plot, we can see the same model generates different results in different trauma sites. The mixed-effects have greater impacts on superior and midface trauma sites. One reason that can cause this discrepancy is that midface and superior have bigger sample sizes than mandible and other. More information can be found in the four fixed effect table of the models. We also need validation to further assess the effectiveness of this model.

Generalized Linear Mixed-Effects Regression Models are validated by posterior predictive checks and binned residual plots. The posterior predictive checks plot displays the comparison distribution of the observed outcome of trauma site(y) and simulated outcome of trauma site(y\_rep) in which both distributions match well in the pattern, but simulated y\_rep show more predicted zero which means zero inflation occurred except for the midface. On the other hand, binned residual plots show that some points lie outside the confidence limits for the mandible site and there is a pattern in the plots for the superior facial trauma site. Therefore, the generalized linear mixed-effects model is not the best-fit in assessing the prediction of relationship between all four trauma sites and patients residencies. Other models should be taken into consideration.

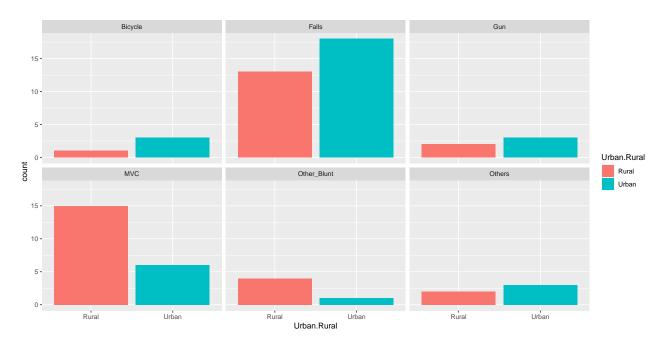


Figure 2: Superior area injured patient number vs. demographic information

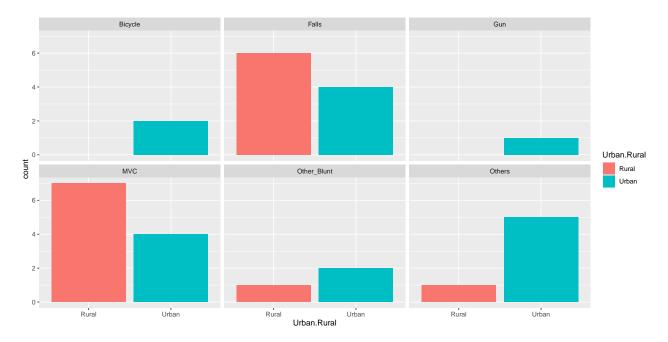


Figure 3: Mandible area injured patient number vs. demographic information

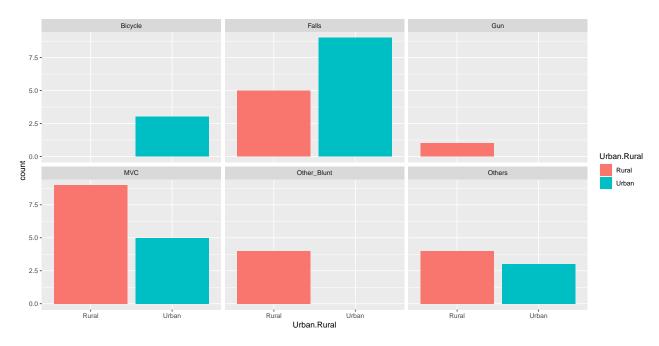


Figure 4: Other facial trauma sites injured patient number vs. demographic information

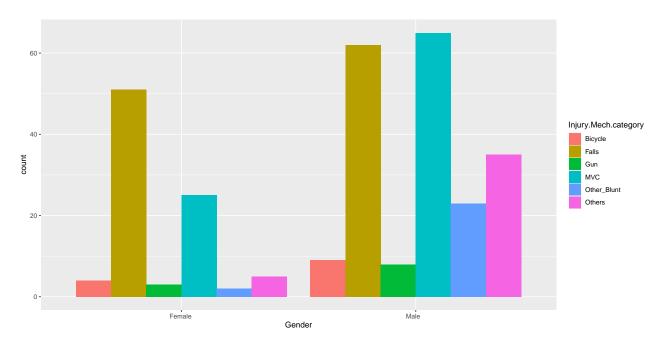


Figure 5: Distribution for injury mech for different gender

Table 3: Fixed effects of Mandible

	X
(Intercept)	-0.8084585
as.numeric(Age)	-0.0192111
GenderMale	-0.4908724
Injury.Mech.categoryFalls	-0.0862949
Injury.Mech.categoryGun	-0.7165361
Injury.Mech.categoryMVC	-0.1762413
$Injury. Mech. category Other\_Blunt$	-0.1842608
Injury.Mech.categoryOthers	0.0949694

Table 4: Fixed effects of superior

	X
(Intercept)	-0.8174844
as.numeric(Age)	-0.0114790
GenderMale	0.6309518
Injury.Mech.categoryFalls	0.1896120
Injury.Mech.categoryGun	0.6769358
Injury.Mech.categoryMVC	-0.4133596
Injury.Mech.categoryOther_Blunt	-0.7482727
Injury.Mech.categoryOthers	-1.2953342

Table 5: Fixed effects of midface

	Х
(Intercept)	1.6699646
as.numeric(Age)	0.0326081
GenderMale	-0.3307539
Injury.Mech.categoryFalls	-1.4940879
Injury.Mech.categoryGun	-1.0826419
Injury.Mech.categoryMVC	-0.3054898
Injury.Mech.categoryOther_Blunt	-0.3405825
${\bf Injury. Mech. category Others}$	-1.0280275

Table 6: Fixed Effects of other

	X
(Intercept)	-1.5394963
as.numeric(Age)	-0.0050654
GenderMale	0.4087698
Injury.Mech.categoryFalls	-0.4059942
Injury.Mech.categoryGun	-1.1105578
Injury.Mech.categoryMVC	-0.3440145
Injury.Mech.categoryOther_Blunt	-0.3224451
${\bf Injury. Mech. category Others}$	-0.0647683

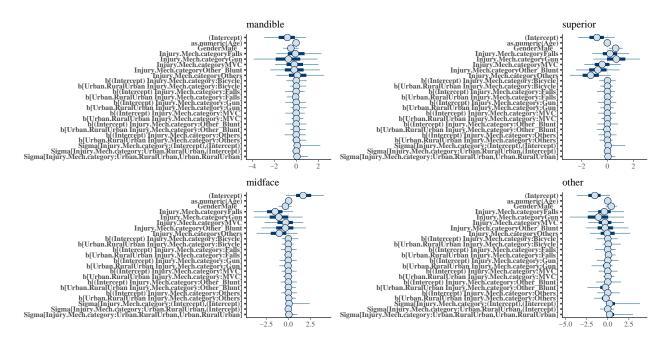


Figure 6: Plots of model fitting for four trauma sites

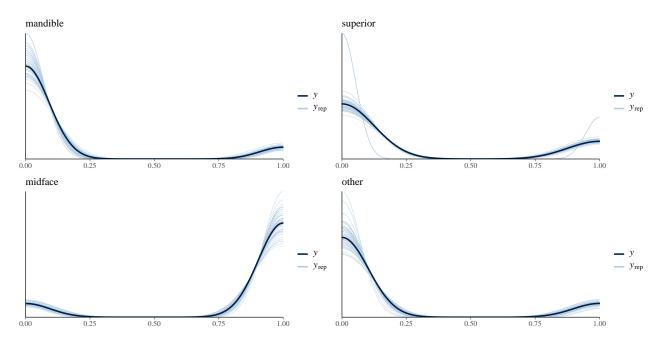


Figure 7: Posterior Predictive Checks Plots for Four Trauma Sites

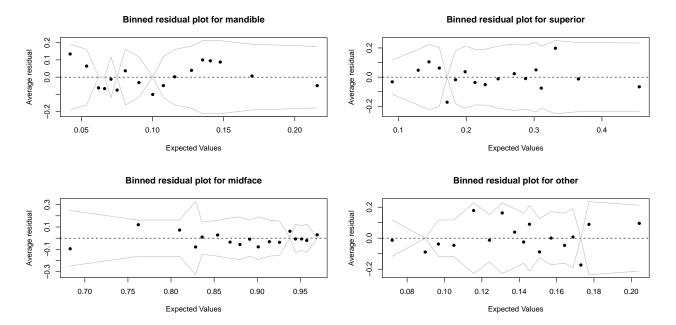


Figure 8: Binned Residual Plots for Model Fitting

### Result

#### Discussion

### Phenomena and future Steps:

Firstly, the data we used was collected from one hospital in one state. We understand that this data collection approach will allow hospitals to tailor treatments for facial injury patients from different residential areas to local characteristics in Maine. However, if our client wants to extend this method of improving diagnostic efficiency to the entire East Coast and even the entire United States, we suggest that we can select representative hospitals in each state to collect data and conduct more overall data analysis and modeling.

Secondly, after we cleaned the data set we got, we found that there were only 3-4 factors we can use for building models for checking the relationship between patients' living areal information and the facial trauma sites they got hurt. And we also noticed the information about the patient's place of residence. Our customer divides it into urban and rural areas. We understand that in this way, we can more efficiently derive the relationships that our customers want. But this will also limit our model construction and overly generalize the patient's residence information. Because the diversity of residence will also have a certain impact on the injured area of the patient's face. If our clients want to allow the hospital to formulate more accurate treatment methods for patients with facial trauma from different living areas, we suggest that we can add some residential information.

Last but not least, we also found some imbalances in the amount of data caused by objective factors. For example, the number of patients with injuries to the middle face and upper forehead is much more than in the other two weeks. And because of the regional characteristics of Maine, the number of patients injured by guns and bicycles is far less than the number of patients caused by other reasons. These are imbalances that are hard to avoid. The positive aspect is that the imbalance of these data volumes can reflect local characteristics, and the negative aspect is that it will cause some obstacles to model selection. Our suggestion is that, if possible, we hope that more state data can be collected in the future to make our research results more convincing. Because of the limited time, we did not try more statistical models, but based on the current data situation. Knowledge of machine learning should be helpful.

## References:

 $1.\ \, https://icdcodelookup.com/icd-10/codes$