# Chapter 4: Point-set Topology

# **Topological Spaces**

This section will roughly follow Munkres text on Geeneral Topology, in particular we hope to cover Chapters 2, 3, 4 and 9. The rest of the Chapters should be covered proper by the subsequent section.

# Definition 1.1: Topology

Let X be a non-empty set. A topology  $\mathcal{T}$  on X, sometimes denoted by  $\mathcal{T}_X$  is a family of subsets of X,

- $\{\varnothing, X\} \subseteq \mathcal{T}$ ,
- If  $U_1$  and  $U_2$  are elements of  $\mathcal{T}$ , so is their intersection.
- If  $\{U_{\alpha}\}$  is an arbitrary family of sets in  $\mathcal{T}$ , their union is also contained in  $\mathcal{T}$  as an element.

We call the elements of  $\mathcal{T}$  open sets. The complements of elements in  $\mathcal{T}$  are closed sets.

# Basis of a Topology

## Definition 1.2: Basis of a topology

A basis  $\mathbb{B}$  is a family of subsets of  $\mathbf{X}$ , that satisfies:

- Every  $x \in \mathbf{X}$  belongs (as an element) in some  $V \in \mathbb{B}$ .
- If  $B_1$  and  $B_2$  are basis elements, such that their intersection is non-empty. Then every  $x \in B_1 \cap B_2$  induces a  $B_3 \in \mathbb{B}$  with

$$x \in B_3 \subseteq B_1 \cap B_2$$

This roughly means a basis is 'finitely' fine at every point in x.

If  $\mathbb{B}$  is a basis, it 'generates' a topology  $\mathcal{T}$  through

$$\mathcal{T} = \left\{ U \subseteq \mathbf{X}, \, \forall x \in U, \, x \in B \subseteq U \text{ for some } B \in \mathbb{B} \right\}$$
 (1)

Notice this is equivalent to  $\mathcal{T}$  is the collection of all unions of basis elements in  $\mathbb{B}$ .

## Proposition 1.1

Let  $\mathbb{B}$  be a basis as defined in Definition 1.2, then  $\mathcal{T}$  as defined in Equation (1) is a valid topology on X. And every member of  $\mathcal{T}$  is and is precisely the union of elements in  $\mathbb{B}$ .

*Proof.* Every point in X belongs in some basis element, so  $X \in \mathcal{T}$ , so does  $\emptyset$ . Next, if  $U_1$  and  $U_2$  are in  $\mathcal{T}$ , then

$$\begin{cases} x \in U_1 \hookrightarrow x \in B_1 \subseteq U_1 \\ x \in U_2 \hookrightarrow x \in B_2 \subseteq U_2 \end{cases} \implies x \in B_3 \subseteq B_1 \cap B_2 \subseteq U_1 \cap U_2$$

for some  $B_3 \in \mathbb{B}$ , so  $\mathcal{T}$  is closed under finite intersections (perhaps after a standard induction argument).

If  $\{U_{\alpha}\}\subseteq \mathcal{T}$ , and x belongs in the union of all  $U_{\alpha}$ , then  $x\in B_{\alpha}\subseteq U_{\alpha}$ , which is a subset of the entire union. So the union over  $U_{\alpha}$  is again contained in  $\mathcal{T}$ , and  $\mathcal{T}$  is a topology on  $\mathbf{X}$ .

It is worth noting that  $\mathbb{B} \subseteq \mathcal{T}$ . Finally, if  $U \in \mathcal{T}$ ,

$$U = \bigcup_{x \in U} B_x$$

where  $B_x$  is the basis element taken to satisfy  $x \in B_x \subseteq U$ . Every point in U is included in some  $B_x$ , and hence is included in the union. For the reverse inclusion, notice the union of subsets of U is again a subset of U.

Now, if  $E \subseteq X$  is the union of basis elements in  $\mathbb{B}$ , if E is non-empty, then every point  $x \in E$  belongs in some  $B_x$ . Recycling the previous argument, and we see that E is open in  $\mathcal{T}$ . If E is empty, we define the 'union' of no sets as the empty set. So  $\mathcal{T}$  is precisely the collection of all unions of basis elements  $\mathbb{B}$ .

We are now in a position to compare the relative 'fineness' of topologies.

# Definition 1.3: Fineness of topologies

If  $\mathcal{T}'$  and  $\mathcal{T}$  are both topologies on some non-empty set X. We say  $\mathcal{T}'$  is finer than  $\mathcal{T}$ , or  $\mathcal{T}$  is coarser than  $\mathcal{T}'$  if

$$\mathcal{T}'\supseteq\mathcal{T}$$

# Proposition 1.2

If  $\mathbb{B}$  and  $\mathbb{B}'$  are bases for  $\mathcal{T}'$  and  $\mathcal{T}$ , the following are equivalent:

- $\mathcal{T}'$  is finer than  $\mathcal{T}$ ,
- If B is an arbitrary basis element in  $\mathbb{B}$ , then every point  $x \in B$  induces a basis element in  $\mathbb{B}'$  with

$$x \in \mathbb{B}' \subseteq \mathbb{B}$$

*Proof.* Suppose  $\mathcal{T}'$  is finer than  $\mathcal{T}$ . Notice  $\mathbb{B} \subseteq \mathcal{T}'$  as well. By Equation (1), each  $x \in B$  induces a  $B' \in \mathbb{B}'$ 

$$x \in B' \subseteq B$$

Conversely, fix any open set  $U \in \mathcal{T}$ , and for each  $x \in U$ ,

$$x \in B' \subseteq B \subseteq U$$

Applying Definition 1.2 tells us U is open in  $\mathcal{T}'$ .

The last of the big three 'generating' definitions for topologies will be the sub-basis. It simply means the first condition (but not necessarily) the second, is satisfied in Definition 1.2

# Definition 1.4: Sub-basis of a topology

A sub-basis  $S \in \mathbb{P}(\mathbf{X})$  is a family of subsets of  $\mathbf{X}$  that satisfies one property. Any point x in  $\mathbf{X}$  belongs to at least one member of S.

A sub-basis can be upgraded to a basis by collecting all of its finite intersections.

## Proposition 1.3

Let S be a sub-basis of X, then the collection of all finite intersections of S forms a basis  $\mathbb{B}$  of X.

*Proof.* Every point in X lies in some element of S, hence in some element of  $\mathbb{B}$ . The second basis property is immediate, since  $\mathbb{B}$  is closed under finite intersections.

# **Product Topology**

We will start with products of a finite collection of topological spaces.

# Definition 1.5: Finite Product of Topological Spaces

Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. The product topology (denoted by  $\mathcal{T}_{X\times Y}$ ) on  $X\times Y$  is defined as the topology generated by the basis

$$\mathbb{B}_{\mathbf{X}\times\mathbf{Y}} = \left\{ U \times V, \ (U, V) \in \mathcal{T}_{\mathbf{X}} \times \mathcal{T}_{\mathbf{Y}} \right\}$$
 (2)

Since bases are easier to describe than topologies, we have the following statement concerning the basis of the product topology.

## Proposition 1.4

If  $\mathbb{B}_{\mathbf{X}}$  and  $\mathbb{B}_{\mathbf{Y}}$  are bases for  $\mathcal{T}_{\mathbf{X}}$  and  $\mathcal{T}_{\mathbf{Y}}$ , then the product topology (as described in Definition 1.5) is also generated by

$$\mathcal{M} = \left\{ U \times V, \ (U, V) \in \mathbb{B}_{\mathbf{X}} \times \mathbb{B}_{\mathbf{Y}} \right\}$$
 (3)

*Proof.* We will introduce (and use) the technique of 'double inclusion' by proving that the topologies generated are both finer than the other. Let us denote the topology generated by  $\mathcal{M}$  in Equation (3) by  $\mathcal{T}_{\mathcal{M}}$ .

Since  $\mathbb{B}_{\mathbf{X}} \times \mathbb{B}_{\mathbf{Y}} \subseteq \mathcal{T}_{\mathbf{X}} \times \mathcal{T}_{\mathbf{Y}}$ , if  $U \times V \in \mathcal{M}$  as in Equation (3), then we can pick the same 'open rectangle' again. We trivially have

$$x \in \underbrace{U \times V}_{\text{member of } \mathcal{T}_{\mathbf{X}} \times \mathcal{T}_{\mathbf{Y}}} \subseteq U \times V$$

and by Proposition 1.2,  $\mathcal{T}_{\mathbf{X}\times\mathbf{Y}}$  is finer than  $\mathcal{T}_{\mathcal{M}}$ .

Fix any set  $U \times V \in \mathbb{B}_{\mathbf{X} \times \mathbf{Y}}$ , and if  $(p, q) \in U \times V$ , each coordinate induces basis elements from  $\mathbb{B}_{\mathbf{X}}$  and By, more precisely:

$$\begin{cases} p \in U \implies p \in \text{Basis element of } \mathbb{B}_{\mathbf{X}} \subseteq U \\ q \in V \implies q \in \text{Basis element of } \mathbb{B}_{\mathbf{Y}} \subseteq V \end{cases} \implies (p,q) \in \underbrace{}_{\text{in } \mathbb{B}_{\mathbf{X}}} \times \underbrace{}_{\text{in } \mathbb{B}_{\mathbf{Y}}} \subseteq U \times V$$

by Proposition 1.2,  $\mathcal{T}_{\mathcal{M}}$  is finer than  $\mathcal{T}_{\mathbf{X}\times\mathbf{Y}}$  and  $\mathcal{T}_{\mathbf{X}\times\mathbf{Y}} = \mathcal{T}_{\mathcal{M}}$ .

# Quotient Topology

# **Product Topology**

The Cartesian Product of an arbitrary family of topological spaces, if equipped with the product topology, preserves a lot of the structure. If  $\{X_{\alpha}\}_{{\alpha}\in A}$  is a family of topological spaces which are \_\_\_\_, then  $\prod X_{\alpha}$  is \_\_\_\_\_. Replace \_\_\_\_\_ with:

- 1. Hausdorff, (Folland)
- 2. Regular,
- 3. Connected, (Munkres chp23, exercise 10)
- 4. First countable, if A is countable,
- 5. Second countable, if A is countable,
- 6. Compact (Tynchonoff's Theorem, Folland)

## Connectedness

#### Definition 1.6: Connectedness

A topological space X is connected if U and V are disjoint open subsets whose union is X, then at least one of U or V is empty.

See Folland Exercise 4.10 for more properties.

#### Definition 1.7: Path-connectedness

A topological space **X** is path-connected if for any two pair of points  $x, y \in \mathbf{X}$ . There exists a continuous function  $f: [a,b] \to \mathbf{X}$ , with f(a) = x and f(b) = y.

# Definition 1.8: Connected component

The connected components of **X** is the family of equivalence classes on **X**, where  $x \sim y$  if there is a connected subspace of **X** that contains both of them.

#### Proposition 1.5

Continuous functions map connected spaces to connected spaces (in the subspace topology).

*Proof.* Let **X** and **Y** be topological spaces and  $f: \mathbf{X} \to \mathbf{Y}$  be continuous. If  $f(\mathbf{X})$  is disconnected, then we can find U and V, open and disjoint in  $\mathcal{T}_{f(\mathbf{X})}$  such that

$$U \cup V = f(\mathbf{X}) \implies f^{-1}(U) \cup f^{-1}(V) = \mathbf{X}$$

where  $f^{-1}(f(\mathbf{X})) = \mathbf{X}$ . Both  $f^{-1}(U)$  and  $f^{-1}(V)$  are open, non-empty, and are pairwise disjoint. So  $\mathbf{X}$  is separated.

## Proposition 1.6

Let  $(\mathbf{X}_{\alpha}, \mathcal{T}_{\alpha})$  be a family of connected topological spaces indexed by  $\alpha \in A$ . Then  $\prod_{\alpha \in A} \mathbf{X}_{\alpha}$  is disconnected in the product topology.

*Proof.* We will attempt the contrapositive. Suppose  $\prod_{\alpha \in A} \mathbf{X}_{\alpha}$  is disconnected, then

# Topology in Analysis

# Interiors and closures

## Definition 1.9: Interior of a set

 $A^o$  is defined to be the largest open subset of A,

$$A^o = \bigcup_{\substack{U \text{ open,} \\ U \subseteq A}} U$$

# Corollary 1.1

The union of subsets of A is again a subset of A, therefore Corollary 1.1 implies  $A^o \subseteq A$  for any  $A \subseteq X$ .

#### Definition 1.10: Closure of a set

and  $\overline{A}$  is the smallest closed superset of A,

$$\overline{A} = \bigcap_{\substack{K \text{ closed,} \\ A \subseteq K}} K$$

# Proposition 1.7

The complement of the closure is the interior of the complement, or equivalently:  $(\overline{A})^c = A^{co}$ 

*Proof.* Taking complements, and the substitution  $U = K^c$  reads

$$(\overline{A})^c = \left[\bigcap_{\substack{K \text{ closed,} \\ A \subseteq K}} K\right]^c$$

$$= \bigcup_{\substack{K \text{ closed,} \\ K^c \subseteq A^c}} K^c$$

$$= \bigcap_{\substack{U \text{ open,} \\ U \subseteq A^c}} U$$

$$= A^{co}$$

# Remark 1.1

Personally, I remember this as pushing the complement inside and flipping the bar to a c!

# Neighbourhoods

The concept of a neighbourhood allows us to characterize the interior of a set 'locally'.

# Definition 1.11: Neighbourhood (not necessarily open)

A neighbourhood of  $x \in \mathbf{X}$  is a set  $U \subseteq \mathbf{X}$  where  $x \in U^o$ . The set of neighbourhoods for a point  $x \in \mathbf{X}$  will sometimes be denoted by  $\mathcal{N}(()x)$ .

## Proposition 1.8: Characterization of the interior

If 
$$W = \left\{ x \in \mathbf{X}$$
, there exists a neighbourhood  $U$  of  $x, U \subseteq A \right\}$ , then  $W = A^o$ .

*Proof.* If  $x \in A^o$ , then A is a neighbourhood of x, and  $A \subseteq A$ , so  $x \in W$ . Conversely, if x is a member of W, it has a neighbourhood  $U \subseteq A$  (not necessarily open). By monotonicity of the interior,

$$x \in U^o \subset A^o$$

and  $x \in A^o$ .

It is easy to see that A is open  $\iff A^o = A \iff A$  is a neighbourhood of itself.

• The first equivalence follows from:

$$E \subseteq X \implies E^o \subseteq E$$

and if A is an open set, it is an open subset of itself, by Corollary 1.1  $A \subseteq A^o$ . If  $A^o = A$ , then it suffices to show that  $A^o$  is open. Which it is, since it is the arbitrary union of open sets.

• To prove the second equivalence: suppose  $A^o = A$ , then each  $x \in A$  has a neighbourhood contained (as a subset) in A, namely A itself. (This statement is hard to parse, the reader is encouraged to really work through this and be honest).

$$x \in A^o \subseteq A \implies A \subseteq A^o$$

so A is a neighbourhood of itself. Conversely, if  $A \subseteq A^o$ , then  $A = A^o$ , since the reverse inclusion follows immediately from Corollary 1.1.

# Adherent points

Similar to the neighbourhood, the concept of an adherent point of a set allows us to speak of the closure in more concrete terms. The following definition is key in understanding the relationship between the closure, interior, and the boundary.

# Definition 1.12: Adherent point of a set

Let  $A \subseteq X$ ,  $x \in X$  is an adherent point of A if every neighbourhood U of x intersects A. In symbols,

$$U \cap A \neq \emptyset$$
,  $\forall U \in \mathcal{N}(x)$ 

# Proposition 1.9: Characterization of the closure

Let  $A \subseteq X$ , and let W be the set of adherent points of A, then  $\overline{A} = W$ 

*Proof.* Suppose  $x \notin W$ , then there exists a neighbourhood U of x where

$$U \cap A = \emptyset \iff U \subseteq A^c$$

this is exactly the definition of the interior of  $A^c$ , so  $x \in A^{co}$  and recall (from Proposition 1.7) that  $(\overline{A})^c = A^{co}$ , so  $x \notin \overline{A}$ . For the reverse inclusion, read the proof backwards, by flipping  $\forall \to \exists$  within the set, and we see that

$$W^c = A^{co} = (\overline{A})^c$$

## Dense and nowhere dense subsets

#### Definition 1.13: Dense subset

A subset of a topological space  $E \subseteq X$  is dense if  $\overline{E} = X$ .

#### Definition 1.14: Nowhere dense subset

A subset of a topological space  $E \subseteq X$  is nowhere dense if  $\overline{E}^o = \emptyset$ . This means E is dense in none of the (non-trivial) open subspaces of X.

#### Proposition 1.10

E is dense in X iff for every non-empty, open set  $U \subseteq X$ ,  $U \cap E \neq \emptyset$ .

Proof of Proposition 1.10. Suppose E is dense, then  $\overline{E} = \mathbf{X}$ . Every point of  $\mathbf{X}$  is an adherent point of E. Let  $U \subseteq \mathbf{X}$  be a non-empty open set. If  $x \in U$  then U is a neighbourhood of x, thus U intersects E. Conversely, suppose every non-empty open set U intersects E. Fix any point  $x \in \mathbf{X}$ , and any neighbourhood U of x. U has a non-empty interior (because it must contain x). But  $U^o$  is a non-empty open set, therefore  $\emptyset \neq U^o \cap E \subseteq U \cap E$ 

# Proposition 1.11

Let  $f: \mathbf{X} \to \mathbf{X}$  be a homeomorphism. E is nowhere dense iff f(E) is nowhere dense.

*Proof.* Since  $f^{-1}$  is a homeomorphism, suppose  $\overline{f^{-1}(E)}^o \neq \emptyset$ , there exists a non-empty, open subset  $U \subseteq \mathbf{X}$  with

$$\overline{f^{-1}(E)} \cap U = U$$

The direct image yields

$$f\bigg((\overline{f^{-1}(E)})\cap U\bigg)=f(U)$$

since f is a bijection (injectivity is necessary here), it commutes with intersections.

$$f(\overline{f^{-1}(E)}) \cap f(U) = f\left((\overline{f^{-1}(E)}) \cap U\right) = f(U) \tag{4}$$

and f is continuous, so  $f(\overline{A}) \subseteq \overline{f(A)}$  for any  $A \subseteq X$ . For the reverse inclusion, f is a closed map, so  $f(\overline{A})$  is a closed superset of f(A) so

$$f(\overline{A}) = \overline{f(A)}$$

Take  $A = f^{-1}(E)$ , and  $f(\overline{f^{-1}(E)}) = \overline{f(f^{-1}(E))} = \overline{E}$ . From eq. (4), we see that

$$\overline{E}\cap f(U)=f(U)$$

f(U) is a non-empty open subset of **X**, since f is an open map, so E is not no-where dense. The reverse implication can be proven by replacing f with  $f^{-1}$ .

# Urysohn's Lemma Notes

Notes on the construction of the countable 'onion' sequence within a normal space X.

If X is a normal space, and A and B are disjoint closed subsets, then we can easily find an open U with

$$A \subseteq U \subseteq \overline{U} \subseteq B^c \tag{5}$$

We say that U hides in  $B^c$  if the closure of U is contained in  $B^c$ . Define  $\Delta_n = \left\{k2^{-n}, \ 1 < k < 2^n\right\}$ , so that  $\Delta_n \subseteq (0,1)$  for all  $n \ge 1$ . Notice

$$\Delta_1 \supseteq \cdots \supseteq \Delta_n \supseteq \Delta_{n+1}$$

and the even indices for  $\Delta_{n+1}$  are contained in  $\Delta_n$ . Suppose  $\Delta_n$  is well defined, it suffices to choose the odd indices for  $\Delta_{n+1}$ . If  $r = j2^{-(n+1)}$ , where j is odd, then r sits in between precisely two elements in  $\Delta_n \cup \{0,1\}$ . If r sits between an endpoint, then define  $\overline{U_0} = A$ , and  $B^c = U_1$ . And denote the closest left and neighbours by s, t respectively. If s < r < t, it is clear that  $\overline{U_s}$  and  $U_t^c$  are disjoint closed sets.

Use the 'normal space' construction to obtain an superset of  $\overline{U}_s$  that hides in  $U_t$ , denote this open set by  $U_r$ , and similar to Equation (5)

$$\overline{U_s} \subseteq U_r \subseteq \overline{U_r} \subseteq U_t$$

Now that the construction of this sequence is complete, we wish to prove Urysohn's Lemma. Let A and B be disjoint closed sets. And define

$$f(x) = \inf \left\{ r \in \Delta \cup \{1\}, \ x \in U_r \right\}$$

where  $U_1 = \mathbf{X}$ . So that  $0 \le f(x) \le 1$  is immediate. If  $x \in A$ , then x is in all  $U_r$ , and by density of  $\Delta \subseteq (0,1)$ , we have f(x) = 0. Conversely, if  $x \in B$  then  $x \notin U_r$  for all  $r \in \Delta$ , if E denotes the indices in  $\Delta$  where  $x \in U_s$  when  $s \in E$ ,

$$(-\infty, r) \subseteq E^c \iff E \subseteq [r, +\infty) \iff \inf(E) \ge r$$
 (6)

Send  $r \to 1$  and f(x) = 1. Thus  $f|_A = 0$  and  $f|_B = 1$ .

To show continuity, it suffices to show that the inverse images of the open half  $\{(x > \alpha), (x < \alpha)\}_{\alpha \in \mathbb{R}}$  lines are indeed open in **X**. Let  $\alpha$  be fixed. And if  $x \in \{f < \alpha\}$ , we can 'wiggle' the infimum towards the right (towards  $\alpha$ ), and using density of  $\Delta$  within (0,1), there exists a  $r \in E$  that satisfies  $f(x) < r < \alpha$ . This is equivalent to

$$x \in \bigcup_{r < \alpha} U_r$$

If there exists an  $r < \alpha$  st x belongs to  $U_r$  as an element, then  $f(x) \le r < \alpha$ .

If  $f(x) > \alpha$ , then  $(-\infty, \alpha) \subseteq E^c$ , by Equation (6). Suppose  $\alpha < 1$ , otherwise  $\{f > \alpha\} = \emptyset$ . Wiggle f(x) to the left and obtain an  $r \in \Delta$ ,  $\alpha < r < f(x)$  with  $x \notin U_r$ . By density again, take any s < r by a small amount (st  $s > \alpha$ ,  $s \in \Delta$ ), and

$$\overline{U}_s \subseteq U_r \iff U_r^c \subseteq \overline{U}_s$$

so that  $x \in \overline{U}_s^c$  for some  $s > \alpha$ . This is equivalent to

$$x \in \bigcup_{s > \alpha} \overline{U}_s^c$$

Conversely, if  $x \notin \overline{U}_s^c$  for some  $s > \alpha$ , since  $\{U_r\}$  (thus  $\{\overline{U}_r\}$ ) is increasing, and  $x \notin U_r$  for every  $r \leq s$ . Hence,

$$(-\infty,\,s]\subseteq E^c\iff E\subseteq (s,\,+\infty)\iff f(x)\ge s>\alpha$$

# Compactness

Compactness is one of the most important concepts in topology and analysis.

# Definition 1.15: Compact topological space

A topological space X is compact if every open covering  $\{U_{\alpha}\}$  contains a finite subcover. That is, if  $\{U_{\alpha}\}$  is an arbitrary collection of open sets, then

$$\mathbf{X} = \bigcup U_{\alpha \in A} \implies \bigcup_{j \le n} U_{\alpha_j}$$

# Definition 1.16: Compact set

 $E \subseteq X$  is compact if it is compact in the subspace topology.

## Definition 1.17: Precompact set

 $E \subseteq X$  is precompact if its closure is compact (as a subset).

## Definition 1.18: Paracompact space

A topological space X is paracompact if every open covering of X has a locally finite open refinement that covers X.

#### Definition 1.19: Locally finite collection of sets

Let  $\mathcal{A}$  be a collection of subsets of  $\mathbf{X}$ . It is called locally finite, if at every point  $p \in \mathbf{X}$ , we can find a neighbourhood U of p (not necessarily open), that intersects only finitely many members of  $\mathcal{A}$ . In symbols,

$$U \cap E = \varnothing$$
 for all but finitely many  $E \in \mathcal{A}$ 

We do not require  $\mathcal{A}$  to be a cover of  $\mathbf{X}$ , nor do we require  $\mathcal{A}$  to be a collection of open sets.

# Definition 1.20: Countably locally finite

A collection  $\mathbb{B}$  is countably locally finite if it is the countable union of locally finite families.

$$\mathbb{B} = \bigcup_{\mathbb{N}}^{\text{countable}} \mathbb{B}_n$$
, where each  $\mathbb{B}_n$  is a locally finite collection

#### Definition 1.21: Refinement

If  $\mathcal{A}$  is a collection of sets,  $\mathbb{B}$  is a refinement of  $\mathcal{A}$  if every element  $B \in \mathbb{B}$ , induces an element  $A \in \mathcal{A}$ , such that  $B \subseteq A$ .

#### Remark 1.2: Intuition for refinements

If  $\mathbb{B}$  is a refinement of  $\mathcal{A}$ , we can use the 'absolute continuity' muscle. For each element in  $\mathbb{B}$  is dominated by some element (through subset inclusion) in  $\mathcal{A}$ . Recall, if  $\nu$  and  $\mu$  are non-negative measures, then  $\nu \ll \mu$  if for every measurable set  $E \in \mathcal{M}$ ,  $\mu(E) = 0 \implies \nu(E) = 0$ .

A refinement of a family of sets is another family of sets, whose elements are dominated by some other element in the un-refined family. Refining families makes them 'smaller', cover less area.

#### Proposition 1.12

Compact Hausdorff spaces are normal, compact subsets of Hausdorff spaces are closed, and closed subsets of compact sets are again compact.

# **Locally Compact Hausdorff Spaces**

Compactness is an intrinsic topological property (in the subspace topology). We see from Proposition 4.25 that compact Hausdorff spaces are normal, which gives a sufficient condition for us to approximate and extend any continuous function; and allows us to extend certain 'local' properties to 'global' properties.

If given a Hausdorff space, not necessarily compact, the natural question is to ask 1) whether a topological space has 'enough' compact subsets to work with, and 2) whether we can embed a given topological space in a larger one to force it to be compact.

## Definition 1.22: LCH space

Let **X** be a Hausdorff space. We call **X** a LCH space if every point  $p \in \mathbf{X}$  admits a compact neighbourhood. That is, a compact set K whose interior contains p.

We note in passing that the above definition differs slightly from the usual 'local' definitions.

## Definition 1.23: Locally connected

Let **X** be a topological space, it is locally connected if for every  $x \in \mathbf{X}$ , and open neighbourhood U containing x, there exists a connected, open neighbourhood V of x such that  $x \in V \subseteq U$ .

# Definition 1.24: Locally path-connected

Let **X** be a topological space, it is locally connected if for every  $x \in \mathbf{X}$ , and open neighbourhood U containing x, there exists a path-connected, open neighbourhood V of x such that  $x \in V \subseteq U$ .

#### Definition 1.25: Local homeomorphism

**X** locally homeomorphic to  $\mathbb{R}^n$  if every point  $x \in \mathbf{X}$  belongs to a coordinate chart  $(U, \phi)$ , where U is an open neighbourhood of x and  $\phi$  is a homeomorphism from  $U \to \phi(U) \subseteq \mathbb{R}^n$ .

#### Definition 1.26: Local diffeomorphism

Let M be a smooth manifold and  $F \in C^{\infty}(M, N)$ . F is a local diffeomorphism if every  $p \in M$  in its domain induces a neighbourhood  $U \subseteq M$  with  $F|U:U \to F(U)$  is a diffeomorphism (in the sense of two open sub-manifolds).

## Proposition 1.13

Suppose that A is a subset of X, let  $\operatorname{acc} A$  be the set of accumulation points of A, then

$$\overline{A} = A \cup \mathrm{acc}(A) \tag{7}$$

and A is closed if and only if  $acc(A) \subseteq A$ .

*Proof.* Suppose that  $x \notin \overline{A}$ , then  $x \in (\overline{A})^c = A^{co}$ , then  $A^c \in \mathcal{N}_B(x)$ . But this means that  $x \notin \operatorname{acc}(A)$ , since there exists a neighbourhood of x (in the form of  $A^c$ ), such that

$$A \cap A^c \setminus \{x\} = A \cap A^c = \varnothing$$

Also,  $A \subseteq \overline{A} \implies (\overline{A})^c \subseteq A^c$  which means that

$$x \notin \overline{A} \implies x \notin A$$

Since  $x \notin \overline{A} \implies x \notin A$  and  $x \notin acc(A)$ ,

$$(\overline{A})^c \subseteq A^c \cap \operatorname{acc}(A)^c = (A \cup \operatorname{acc}(A))^c$$

Now, if  $x \notin \text{acc}(A) \cup A$ , then  $x \notin \text{acc}(A)$ , therefore there exists some  $U \in \mathcal{N}_B(x)$  such that

$$A\cap U\setminus \{x\}=A\cap U=\varnothing$$

Where for the second last equality we used the fact that  $x \notin A \implies A \setminus \{x\} = A$ , and taking complements gives us

$$U \subset A^c$$

And since  $U \in \mathcal{N}_B(x)$ , then  $x \in U^o \subseteq A^{co}$  (since  $U^o$  is an open subset of  $A^c$ ). then

$$x \in A^{co} = (\overline{A})^c \implies x \notin (\overline{A})^c$$

Therefore  $(A \cup acc(A))^c \subseteq (\overline{A})^c$ .

## Proposition 1.14

If  $\mathcal{T}_X$  is a topology on X and  $\mathcal{E} \subseteq \mathcal{T}_X$  then  $\mathcal{E}$  is a base for  $\mathcal{T}_X$  if and only if for every

$$\forall U \in \mathcal{T}_X, \ U \neq \varnothing, \implies U = \bigcup_{V \in B} V$$

Where B is a subset of  $\mathcal{E}$ .

*Proof.* Suppose that  $\mathcal{E}$  is a base, then fix any non-empty  $U \in \mathcal{T}_X$ , then for every  $x \in U$ , there exists a neighbourhood base for this x and a member  $V \in \mathcal{E}$  such that  $x \in V_x \subseteq U$ . Take the union over all  $V_x$  and

$$U \subseteq \bigcup_{x \in U} V_x$$

But each  $V_x \subseteq U$ , so  $U = \bigcup_{x \in U} V_x$ , where  $\{V_x\} \subseteq \mathcal{E}$ .

Conversely, if every non-empty U is a union of members in  $\mathcal{E}$  then fix any  $x \in X$ , we claim that we have a neighbourhood base in

$$\{V \in \mathcal{E}, x \in V\}$$

The reason is as follows

- x belongs to every  $E \in \{V \in \mathcal{E}, x \in V\}$  and
- For every open U, if  $x \in U$  then there exists a union of members of  $\mathcal{E}$  such that  $U = \bigcup E_{\alpha}$ , then  $x \in U \iff \exists E_{\alpha} \in \{V \in \mathcal{E}, x \in V\}$  and
- Using this particular  $E_{\alpha} \in \mathcal{E}$  that we just found,  $x \in E_{\alpha} \subseteq U$ , and we are done.

## Proposition 1.15

For every  $\mathcal{E} \subseteq \mathbb{P}(X)$ ,  $\mathcal{E}$  is base for a topology on X if and only if

- (a) each  $x \in X$  is contained in some  $V \in \mathcal{E}$ , and
- (b) if  $U, V \in \mathcal{E}$ , and  $x \in U \cap V$ , then there must exist some  $W \in \mathcal{E}$  with  $x \in W \subseteq U \cap V$ .

*Proof.* Suppose that  $\mathcal{E}$  is a base, then we get a), and b) follows since for every  $U, V \in \mathcal{E} \subseteq \mathcal{T}_X$ , and by closure over finite intersections,  $U \cap V \in \mathcal{T}_X$  implies that there exists some  $W \in \mathcal{E}$  with

$$x \in W \subseteq U \cap V$$

Now, suppose both a) and b) hold, then we claim that this  $\mathcal{E} \subseteq \mathbb{P}(X)$  induces a topology on X

$$\mathcal{T} = \{ U \subseteq X, \, \forall x \in U, \, \exists V \in \mathcal{E}, \text{ with } x \in V \subseteq U \}$$

Intuitively speaking, this means that  $\mathcal{T}$  is just fine (and not too fine) to satisfy the conditions for  $E \subseteq \mathcal{T}$  to be a base of  $\mathcal{T}$ .

We first show that  $\mathcal{T}$  is a topology.

- $\emptyset \in \mathcal{T}$  and  $X \in \mathcal{T}$ , the first is trivial and the second is from a)
- Closure under unions: fix  $\{U_{\alpha}\}_{{\alpha}\in A}\subseteq \mathcal{T}$ , and  $U=\bigcup U_{\alpha}$ , and for every  $x\in U$  there exists some  $V_{\alpha}\in \mathcal{E}$  such that  $x\in V_{\alpha}\subseteq U_{\alpha}\subseteq U$ , therefore  $U\in \mathcal{T}$ .
- Closure under finite intersections, fix any  $U_1$ ,  $U_2$  as elements in  $\mathcal{T}$ , then suppose that they are not disjoint (if they are disjoint then their intersection is the empty set, which is also contained in  $\mathcal{T}$ ). If  $U_1 \cap U_2 \neq \emptyset$ , then for every  $x \in U_1 \cap U_2$  induces two sets  $V_1, V_2 \in \mathcal{E}$  with  $x \in V_1 \subseteq U_2$  and  $x \in V_2 \subseteq U_2$ , taking their intersection and applying b) gives us some  $V \subseteq V_1 \cap V_2$  with  $V \in \mathcal{E}$  therefore  $x \in V \subseteq U_1 \cap U_2$ , and  $\mathcal{T}$  is closed under finite intersections.

Now to show that  $\mathcal{E}$  is a base for  $\mathcal{T}$ ,  $\mathcal{E} \subseteq \mathcal{T}$  is obvious since very  $V \in \mathcal{E}$  satisfies the properties laid out by  $\mathcal{T}$  by simply choosing V again for any  $x \in V$ . Now fix any member  $U \in \mathcal{T}$ , then for every  $x \in U$ , there exists some  $V \in \mathcal{E}$  with

$$x \in V \subseteq U$$

(This is an immediate consequence of how we defined  $\mathcal{T}$ ). And we can conclude that  $\mathcal{E}$  is a base for this induced topology  $\mathcal{T}$ .

## Proposition 1.16

If  $\mathcal{E} \subseteq \mathbb{P}(X)$ , the topology  $\mathcal{T}(\mathcal{E})$  generated by  $\mathcal{E}$  consists of  $\emptyset, X$  and all unions of finite intersections of  $\mathcal{E}$ , in symbols

$$\mathcal{T}(\mathcal{E}) = \{\varnothing, X\} \cup \left\{ \bigcup W_{\alpha}, \ W_{\alpha} = \bigcap E_{j \leq n}, \ E_{j} \in \mathcal{E} \right\}$$

*Proof.* Denote the set

$$W = \{X\} \cup \left\{ \bigcap V_{j \le n}, \ V_j \in \mathcal{E} \right\}$$

We claim this set W satisfies Theorem 4.3. Since 4.3a) is satisfied with  $X \in W$ . 4.3b) follows since the right member in W is closed under intersections.

And if we are taking an element from each member,  $E_1 \in \{\emptyset, X\}$  and  $E_2$  is an element in the right member, then it is trivial to verify that their intersection is always contained within W. Therefore W induces a topology by Theorem 4.2, and we call this topology  $\mathcal{T}$  — and for the sake of completeness

$$\mathcal{T} = \{ U \subseteq X, \ \forall x \in U, \ \exists V \in \mathcal{E}, \ x \in V \subseteq U \}$$

We so claim that if we define  $\overline{W}$  as the union of all members  $w \in W$ , together with the empty set, is equal to the set  $\mathcal{T}$ .

$$\overline{W} = \left\{ \bigcup_{w \in W} w \right\} \cup \{\varnothing\}$$

• We want to show  $\mathcal{T} \subseteq \overline{W}$ , since W is a base for the topology  $\mathcal{T}$ , every (non-empty)  $U \in \mathcal{T}$  is the union of members in W (Theorem 4.2), and there exists some  $B \subseteq W$  with

$$U = \bigcup E_{\alpha \in B} \in \overline{W}$$

Now if U is the empty set then it is trivially contained within  $\overline{W}$ .

• Next, we show that  $\overline{W} \subseteq \mathcal{T}$ , fix any element  $E \in \overline{W}$ , if  $E = \emptyset$  then there is nothing to prove since  $\mathcal{T}$  is a topology. Now for every  $x \in E$ ,

$$x \in E = \bigcup_{w \in W} w \implies x \in w$$

Therefore  $E \in \mathcal{T}$  by definition. This proves that  $\mathcal{T} = \overline{W}$ .

Now that  $\overline{W}$  is a topology, that contains  $\mathcal E$  as a subset, and by definition of  $\mathcal T(\mathcal E)$ 

$$\mathcal{T}(\mathcal{E}) = \bigcap \{A, \text{ is a topology, and } \mathcal{E} \subseteq A\}$$

Tells us

$$\mathcal{T}(\mathcal{E}) \subseteq \overline{W}$$
, since  $\overline{W} \in \{A, \text{ is a topology, and } \mathcal{E} \subseteq A\}$ 

Conversely, fix any member  $E \in \overline{W}$ , if  $E = \emptyset$  then  $E \in \mathcal{T}(\mathcal{E})$ , if not, then there exists some subset  $B \subseteq W$  such that

$$E = \bigcup_{w \in B} w = \bigcup_{w \in B} \bigcap_{j \le n} V_{j \le n}^w V_j \in \mathcal{E} \cup \{X\}$$

Since  $\mathcal{T}(\mathcal{E})$  is closed under finite intersections and unions, and it contains  $\mathcal{E}$  as a subset,  $\overline{W} = \mathcal{T}(\mathcal{E})$  and we are done.

# Proposition 1.17

Every second countable space is separable. (Countable dense subset).

*Proof.* What we wish to prove is that if a space X has a countable base, then it has a countable dense subset. Denote this base of X by  $\mathcal{E}$  as usual, then we claim that

$$W = \{x_n, U \in \mathcal{E}\}$$

Is a dense subset in X. Note that  $(\overline{W})^c = W^{co} \in \mathcal{T}_X$ . If  $W^{co} = \emptyset$  then we simply take complements and we get  $\overline{W} = X$ . So suppose that  $W^{co}$  is non-empty, then for each  $x \in W^{co}$  (by definition of a base), it should induce some  $V_x \in \mathcal{E}$  with

$$x \in V_x \subseteq W^{co}$$

But clearly, for every element in  $\mathcal{E}$ , the second estimate can never be satisfied, since for every  $U \in \mathcal{E}$ ,  $x_U \notin W^{co}$  for this particular set  $W^{co}$ . Therefore  $W^{co}$  must be empty, and this completes the proof.

## Proposition 1.18

If X is first countable, then for every  $A \subseteq X$ ,  $x \in \overline{A} \iff$  there exists some sequence  $\{x_j\}_{j\geq 1} \subseteq A$  such that  $x_j \to x$ .

*Proof.* Suppose that X is first countable, and  $A \subseteq X$ , and fix any element  $x \in \overline{A}$ . Since X is first countable, there is a sequence of descending neighbourhoods of  $\{U_j\}_{j\geq 1}$  of x such that

$$U_1 \supseteq U_2 \supseteq \cdots \supseteq U_j \supseteq U_{j+1}$$

If  $x \in A$ , take  $x_n = x$  for all  $n \ge 1$ . If  $x \in \text{acc}(A)$ , then take  $x_n \in U_n \cap A \setminus \{x\} = U_n \cap A$ , which is not empty. Then it remains to show that this sequence converges to x. Fix any neighbourhood  $U \in \mathcal{N}_B(x)$  then there exists some N, for every  $n \ge N$ 

$$x \in U^o \implies \exists N \in \mathbb{N}^+, x \in U_N \subseteq U^o$$

Then every  $x_n \in A \cap U_N \subseteq A \cap U^o \subseteq U^o$ . And this establishes  $\Longrightarrow$ .

Now suppose that  $x \notin \overline{A}$ , so that  $x \notin A$  and  $x \notin acc(A)$ , then fix any sequence  $\{x_j\} \subseteq A$ . We wish to show that  $x_j \not\to x$ .

Since  $x \notin \text{acc}(A)$ , there exists some  $V \in \mathcal{N}_B(X)$  with

$$A\cap V\setminus \{x\}=\varnothing\implies V\subseteq A^c$$

Since  $\{x_j\}_{j\geq 1}\subseteq A\implies x_j\notin A^c$  for every  $j\geq 1$ , then choose V as the neighbourhood around x, and  $x_j\not\to x$  for any arbitrary sequence  $x_j$  in A.

#### Remark 1.3

To truly understand what is going on one should recall that all metric space spaces are first countable.

## Proposition 1.19

X is a  $T_1$  space  $\iff$   $\{x\}$  is closed for every  $x \in X$ .

*Proof.* If X is  $T_1$  and  $x \in X$ , then for every  $y \neq x$  there exists some open  $U_y$  that contains y but not x. Following Folland's argument closely, every  $y \neq x$  is is in  $\cup U_{y\neq x}$ . Hence  $\{x\}^c \subseteq \cup U_{y\neq x}$ . To show the converse, for every  $z \in \cup U_{y\neq x}$  that is open, there exists a  $y \neq x$  such that  $z \in U_y$ . But every  $U_y$  does not contain x as an element, so  $z \neq x$  implies that  $z \notin \{x\}$ . And  $z \in \{x\}^c$ . Hence  $\cup U_{y\neq x} = \{x\}^c$ .

Now conversely if every  $x \in X$  satisfies the fact that  $\{x\}^c$  is open, then  $\{x\}^c$  is an open set that contains every  $y \neq x$ . Now fix some  $y \neq x$ , since  $\{y\}$  is also closed, we have  $X \cap \{x\}^c$  is an open set that contains x but not x. And therefore X is x.

## Proposition 1.20

The map  $f: X \to Y$  is continuous if and only if at f is continuous at every  $x \in X$ .

*Proof.* Suppose that f is continuous, then fix any  $f(x) \in Y$  and any of its neighbourhood  $V \in \mathcal{N}_B(f(x))$ ,

$$f(x) \in V^o \implies f^{-1}(V^o) \in \mathcal{N}_B(x)$$

But by continuity,  $f^{-1}(V^o)$  is an open set that contains x, with

$$f\left(f^{-1}(V^o)\right)\subseteq V^o$$

Therefore f is continuous at x. Now suppose that f is continuous at every  $x \in X$ , then for every open subset  $V \subseteq Y$ , and for every point  $f(x) \in V = V^o$  means that  $V \in \mathcal{N}_B(f(x))$  for all such points f(x). By continuity, for every x in  $f^{-1}(V)$ , implies that  $f^{-1}(V)$  is a neighbourhood of all of its elements, therefore  $f^{-1}(V) \subseteq (f^{-1}(V))^o$ , and  $f^{-1}(V)$  is open.

# Proposition 1.21

If  $\mathcal{E}_Y$  generates the topology on Y, and f is a mapping from  $X \to Y$ , then  $f: X \to Y$  is continuous if and only if  $f^{-1}(V) \in \mathcal{T}_X$  for every  $V \in \mathcal{E}_Y$ .

*Proof.* The inverse image commutes with intersections, complements, and unions. To prove  $\Leftarrow$ , use Theorem 4.4, since every  $U \in \mathcal{T}_Y$  can be represented the union of finite intersections of elements  $\mathcal{E}_Y$ , and use the fact that  $\mathcal{T}_X$  is closed under arbitrary unions and finite intersections.

To show  $\implies$ , since  $\mathcal{E}_Y \subseteq \mathcal{T}_Y$ , if  $f^{-1}$  is open for every  $U \in \mathcal{T}_Y$ , then it is open for every  $U \in \mathcal{E}_Y$  as well.

# Proposition 1.22

If  $X_{\alpha}$  is Hausdorff for each  $\alpha \in A$ , then  $X = \prod_{\alpha \in A} X_{\alpha}$  is Hausdorff.

*Proof.* If two elements in X,  $x \neq y$  then there exists some  $\alpha \in A$  such that  $\pi_{\alpha}(x) \neq \pi_{\alpha}(y) \in X_{\alpha}$ , but this  $X_{\alpha}$  is Hausdorff, then there exists two open, disjoint sets  $V_x, V_y \subseteq X_{\alpha}$  such that

- $x \in \pi_{\alpha}^{-1}(V_x)$ , and  $y \in \pi_{\alpha}^{-1}(V_y)$
- $\bullet \quad \pi_{\alpha}^{-1}(V_x)\cap \pi_{\alpha}^{-1}(V_y)=\pi_{\alpha}^{-1}(V_x\cap V_y)=\varnothing$
- $\pi_{\alpha}^{-1}(V_x), \pi_{\alpha}^{-1}(V_y) \in \mathcal{T}_X$

Where for the last bullet point we used the fact that the product topology makes all the projection maps continuous. This proves that X is Hausdorff.

#### Proposition 1.23

If  $X_{\alpha}$  and Y are topological spaces, and  $X = \prod_{\alpha \in A} X_{\alpha}$ , and  $f : Y \to X$  is a mapping. Then f is continuous if and only if  $\pi_{\alpha} \circ f$  is continuous for each  $\alpha \in A$ .

*Proof.* If  $\pi_{\alpha} \circ f$  is continuous at each  $\alpha$ , this means that

$$\forall \alpha \in A, \ \forall E_{\alpha} \in \mathcal{T}_{\alpha}, \ f^{-1}(\pi_{\alpha}^{-1}(E_{\alpha})) \in \mathcal{T}_{Y}$$

But it is exactly sets of the form  $\pi_{\alpha}^{-1}(E_{\alpha})$  which generate the weak topology for  $\mathcal{T}_{X}$ . Therefore f is continuous.

Now, suppose that f is continuous, by definition of the weak topology (as it is generated by the set of inverse projections), for every  $\alpha \in A$ ,  $\pi_{\alpha}^{-1}(E_{\alpha}) \in \mathcal{T}_{X}$  and by continuity of f, its inverse image is open in Y as well.

#### Remark 1.4

The take-away intuition here is that if the range space is generated by some  $\mathcal{E}$ , then a function is continuous if and only if all inverse images of sets in  $\mathcal{E}$  are open in the domain space. Furthermore, if the range space is endowed with the product topology (which is generated by sets of the form  $\pi_{\alpha}^{-1}(E_{\alpha})$ , where  $E_{\alpha} \in \mathcal{T}_{\alpha}$ ), then it suffices to check all inverse images of those. And this is equivalent to checking that  $\pi_{\alpha}(\cdot) \circ f$  is continuous at each  $\alpha$ .

## Proposition 1.24

If X is a topological space, and A is any non-empty set,  $\{f_n\} \subseteq X^A$  is a sequence, then  $f_n \to f$  with respect to the product topology if and only if  $f_n \to f$  pointwise.

*Proof.* Suppose that  $f_n \to f$  pointwise. Since the product topology  $\mathcal{T}_X$  is generated from sets of the form

$$\pi_{\alpha}^{-1}(E_{\alpha}), E_{\alpha} \in \mathcal{T}_{\alpha}$$

And by Theorem 4.4,  $\mathcal{T}_X$  consists of  $\varnothing$ , X and unions of finite intersections of  $\pi_{\alpha}^{-1}(E_{\alpha})$ . We claim that for every  $f \in X^A$ , the following is a valid neighbourhood base for f

$$\left\{\bigcap_{j\leq n}\pi_{\alpha_j}^{-1}(E_{\alpha_j}),\ E_{\alpha_j}\in\mathcal{T}_{\alpha_j}\cap\mathcal{N}_B(\pi_{\alpha_j}(f))\right\}$$

A couple things to note

- Each  $E_{\alpha_j}$  is open in  $X_{\alpha_j}$ , so that its inverse image is also open (in X). Since any neighbourhood base has to be a subset of  $\mathcal{T}_X$ .
- Only finitely many intersections are involved, so each element in the above set is open in X.
- Each  $E_{\alpha_j}$  is a neighbourhood of  $\pi_{\alpha_j}(f)$ , meaning  $f \in E_{\alpha_j}^o = E_{\alpha_j}$ .
- Last and perhaps most importantly for intuition, fix any non-empty open set  $U \in \mathcal{T}_X$  then by Theorem 4.4 (or my reading of it), U can be written as the union of sets like

$$\bigcap_{j \le m} \pi_{\alpha_j}^{-1}(E_{\alpha_j}), \quad E_{\alpha_j} \in \mathcal{T}_{\alpha_j}$$

Then applying Theorem 4.2, the family of finite intersections of  $\pi_{\alpha}^{-1}(E_{\alpha})$  is a base for  $\mathcal{T}_X$ . Then,

$$N_{base}(f) = \left\{ V = igcap_{j \leq m} \pi_{lpha_j}^{-1}(E_{lpha_j}), \quad E_{lpha_j} \in \mathcal{T}_{lpha_j}, \quad f \in V 
ight\}$$

Has to be a neighbourhood base for any  $f \in X$ .

Now to show that  $f_n \to f$  in the product topology, fix any neighbourhood  $U \in \mathcal{N}_B(f)$ , then  $f \in U^o$ , and by definition of a neighbourhood base, there exists some  $E \in N_{base}(f)$  such that  $f \in E \subseteq U^o$ , but this E is just the finite intersection of  $\pi_{\alpha_i}^{-1}(E_{\alpha_j})$ , then at every  $\alpha_j$ 

- Let  $N_j$  be an integer such that for every  $n \geq N_j$ ,  $\pi_{\alpha_j}(f_n) \in E_{\alpha_j}$
- Set  $N = \sum_{j \le m} N_j \ge N_j$  for every  $j \le m$ .

Then for every  $n \geq N$ ,  $f_n \in E \subseteq U^o \subseteq U$  for any arbitrary neighbourhood U of f. So  $f_n \to f$  in the product topology.

Conversely, suppose that  $f_n \to f$  in the product topology, then fix any  $\alpha \in A$ , and for every neighbourhood  $E_{\alpha}$  of  $\pi_{\alpha}(f)$ ,  $\pi_{\alpha}^{-1}(E_{\alpha})$  is a neighbourhood of f. Hence for every  $\alpha \in A$ , and for every neighbourhood  $E_{\alpha}$  of  $\pi_{\alpha}(f)$ ,  $pi_{\alpha}(f_n)$  is eventually in  $E_{\alpha}$ . This completes the proof.

## Proposition 1.25

If X is a topological space then BC(X) is a closed subspace of B(X) in the uniform metric, and BC(X) is complete.

*Proof.* We will prove four things, the last two are just book-keeping. Parts (b, d) imply Part (c), as the closure of any set under a complete metric space is again complete.

(a) B(X) endowed with the uniform norm of an  $f \in B(X)$ 

$$||f||_{u} = \sup\{|f(x)|, x \in X\}$$

Is indeed a normed vector space.

- (b) B(X) with its norm (and induced metric), is a complete metric space. So that our  $\{f_n\} \to f$  at worst, converges to  $f \in B(X)$ .
- (c) If  $\{f_n\}_{n\geq 1}\subseteq \mathrm{BC}(X)$  is a uniformly Cauchy sequence, and  $f_n\to f$ , then  $f\in \mathrm{BC}(X)$ .
- (d) If f is an adherent point of BC(X), then  $f \in BC(X)$ .

To show that B(X) is a normed vector space, for any  $k \in \mathbb{C}$ ,  $f_1, f_2 \in B(X)$ , then at every  $x \in X$ 

$$|f_1(x) + kf_2(x)| \le |f_1(x)| + |k| \cdot |f_2(x)| \le ||f_1||_u + |k|||f_2||_u$$

And to show absolute homogeneity, note that  $\sup |kA| = |k| \cdot \sup A$  for any non-empty bounded above set of reals A. This proves (a).

To show (b), fix any Cauchy sequence in B(X) (with respect to the uniform metric), then for every  $\varepsilon > 0$ , there exists an N so large that for every  $n, m \ge N$  we have

$$|f_n(x) - f_m(x)| \le ||f_n - f_m||_u < \varepsilon$$

This shows that  $\{f_n(x)\}_{n\geq 1}\subseteq \mathbb{C}$  is Cauchy, and it makes sense to call its limit  $f(x)=\lim f_n(x)$ . To show that for this f,

- $f_n \to f$  uniformly, and
- $f \in B(X)$

Fix an  $\varepsilon > 0$ , and there exists an N so large that for every  $m, n \geq N$  implies that

$$||f_n(x) - f_m(x)||_u < \varepsilon$$

Since  $\lim_{n\to\infty} f_n(x) = f(x)$ , this means that

$$\lim_{n\to\infty} |f_n(x) - f_m(x)| = |f(x) - f_m(x)| \le \varepsilon$$

The above holds for any x, hence

$$||f_m - f||_u \le \varepsilon \implies ||f||_u \le ||f_m - f||_u + ||f_m||_u < +\infty$$

This proves both bullet points.

Proof of (c): Now we will prove Theorem 4.13, for any sequence  $\{f_n\} \subseteq BC(X)$ , if it does converge to some f uniformly, then we claim that  $f \in BC(X)$ . Note that  $f \in B(X)$ , so it suffices to show continuity at every  $x_0 \in X$ .

Fix any ball with radius  $\varepsilon > 0$  at  $f(x_0) \in \mathbb{C}$ , and since

•  $\varepsilon/3 > 0$  induces some N such that for every  $n \ge N$ , at every point  $x \in X$ 

$$|f_n(x_0) - f(x_0)| \le ||f_n - f||_u < \varepsilon/3$$

• Another  $\varepsilon/3$  gives us an open ball around  $f_n(x_0)$  in  $\mathbb{C}$  (using the same point  $x_0 \in X$ ). Continuity of  $f_n$  gives us

$$f_n^{-1}(\ B(\varepsilon/3,\ f_n(x_0))\ )=U\in\mathcal{T}_X$$

• If x is a point in U,

$$|f_n(x) - f(x)| \le ||f_n - f||_i < \varepsilon/3$$

this gives us the last  $\varepsilon/3$ .

Combining these three,

$$|f(x) - f(x_0)| \le \underbrace{|f(x) - f_n(x)| + |f(x_0) - f_n(x_0)|}_{\text{uniform convergence}} + \underbrace{|f_n(x_0) - f_n(x)|}_{\text{continuity of } f_n} < \varepsilon$$

So there exists some open set  $U \in \mathcal{T}_X$  (and hence neighbourhood of every x), for every open ball of radius  $\varepsilon > 0$ , around every  $f(x) \in \mathbb{C}$ , such that

$$f(U) \subseteq B \in \mathcal{T}_{\mathbb{C}}$$

Since the open balls are a neighbourhood base at every point in  $\mathbb{C}$ , and f is continuous at every point  $x \in X$ , we must conclude that  $f \in BC(X)$ .

Part (d): Let  $f \in \overline{BC(X)}$ . Notice BC(X) is a metric space, hence first countable. There exists a sequence  $\{f_n\} \subseteq BC(X)$  that converges to f. Convergent sequences in any metric space is Cauchy, apply Part (c) finishes the proof.

### Proposition 1.26

Suppose that A and B are disjoint closed subsets of the normal space X, and let  $\Delta = \{k2^{-n} : n \geq 1 \text{ and } 0 < k < 2^n\}$  be the set of dyadic rationals in (0,1). There is a family  $\{U_r : r \in \Delta\}$  of open sets such that

- 1.  $A \subseteq U_r \subseteq B^c$  for every  $r \in \Delta$ ,
- 2.  $\overline{U_r} \subseteq U_s$  for r < s, and
- 3. For every r < s,  $\overline{U}_r \subseteq U_s$

*Proof.* The goal of this proof is to show that for every  $r \in \Delta$ , there exists a open  $U_r$  that satisfies the above. As usual for these types of proofs we will proceed by induction. We can divide the problem by 'layers' (as I will hereinafter explain).

Let us suppose that for some  $N \ge 1$  that all previous  $U_r$  in previous layers have been constructed properly, meaning if  $r = k/2^n$ , then for every  $1 \le n \le N - 1$ , we have

$$r = \frac{k}{2^n}, \ 1 \le n \le N - 1, \ 1 \le k \le 2^{n-1}$$

And by 'constructed properly', we mean that for each  $U_r$ ,

- $A \subseteq U_r \subseteq B^c$  and
- $U_r \in \mathcal{T}_X$

Then for this fixed layer  $N \geq 1$ , we only have to construct the  $U_{k/2^N}$  for every odd k, this is because if k is an even number, then k=2j and  $r=2j/2^N=j/2^{N-1}$  and for this particular  $U_r$  is already constructed. So for every odd k=2j+1, the sets of the form  $U_{(k-1)/2^N}$  and  $U_{(k+1)/2^N}$  are already defined, and satisfy

$$A \subseteq \overline{U}_{(k-1)/2^N} \subseteq U_{(k+1)/2^N} \subseteq B^c$$

For every  $k-1 \neq 0$  and  $k+1 \neq 1$ . (We will consider these cases later). We claim that for every pair of open sets,  $E_1, E_2 \in \mathcal{T}_X$ , then there exists some open set  $G \in \mathcal{T}_X$  such that if  $(E_1, E_2) \in H \subseteq (\mathcal{T}_X \times \mathcal{T}_X)$  where H is defined as the set

$$H = \left\{ (E_1, E_2) \subseteq (\mathcal{T}_X \times \mathcal{T}_X) : \overline{E_1} \cap E_2^c = \varnothing \right\}$$

Then there exists some  $G = \mathcal{J}(E_1, E_2) \in \mathcal{T}_X$  such that

$$E_1 \subseteq \overline{E_1} \subseteq G \subseteq \overline{G} \subseteq E_2$$

Now consider any any  $(E_1, E_2) \in H$ , then this pair induces a pair of disjoint sets  $\overline{E_1}$  and  $E_2^c$  since

$$\overline{E_1} \subseteq E_2 \implies \overline{E_1} \cap E_2^c = \varnothing$$

And by normality, there exists disjoint open sets  $G_1$ ,  $G_2$  such that

- $\overline{E_1} \subset G_1 \in \mathcal{T}_X$
- $E_2^c \subseteq G_2 \in \mathcal{T}_X$
- $G_1 \cap G_2 = \varnothing \implies G_1 \subseteq G_2^c \subseteq E_2$
- Since  $G_2^c$  is a closed set that contains  $G_1$  as a subset,  $\overline{G_1} \subseteq G_2^c \subseteq E_2$

It is at this point that we will make no further mention of  $G_2$  (so we may discard the notion of  $G_2$  in our minds). Let us now replace G with  $G_1$  then it is an easy task to verify that  $G = G_1 = \mathcal{J}(E_1, E_2)$  has the required properties.

Now define for every odd k, since  $(U_{(k-1)/2^N}, U_{(k+1)/2^N}) \in H$  (we note in passing that  $\mathcal{J}$  is not a function as the set G may not be unique).

$$U_{k/2^N} = \mathcal{J}\left(U_{(k-1)/2^N}, U_{(k+1)/2^N}
ight)$$

Then, if  $U_{(k-1)/2^N}$  and  $U_{(k+1)/2^N}$  is 'well constructed' we have

$$A \subseteq \overline{U}_{(k-1)/2^N} \subseteq U_{(k+1)/2^N} \subseteq B^c$$

Therefore  $U_{k/2^N} = \mathcal{J}(U_{(k-1)/2^N}, U_{(k+1)/2^N})$  sits 'right inbetween' the two sets so that

- $A \subseteq \overline{U}_{(k-1)/2^N} \subseteq U_{k/2^N}$  and
- $\overline{U}_{k/2^N} \subseteq U_{(k+1)/2^N} \subseteq B^c$

Combining the above two estimates will give us a 'well constructed'  $U_{k/2^N}$  for every  $k-1 \neq 0$  and  $k+1 \neq 1$ . Now let us deal with the remaining pathological cases.

If k-1 so happens to be 0, then no  $r \in \Delta$  satisfies  $r = 0/2^N$ , and we substitute

$$\overline{U}_0 = A$$
, or alternatively,  $U_0 = A^o$ 

Then  $U_0 \in \mathcal{T}_X$ ,  $\overline{U}_0 = A \subseteq B^c$ . It is at this point that we must mention that  $0, 1 \notin \Delta$ , so  $U_0$  and  $U_1$  do not have to obey the rules we have laid out for  $U_{r \in \Delta}$ .

Now if k+1 is equal to  $2^N$  (this makes  $r=(k+1)/2^N=1$ ) we define

$$U_1 = B^c \in \mathcal{T}_X$$

With this, for every  $0 \le m \le 2^N - 1, U_{m/2^N}$  must staisfy

$$\overline{U}_{m/2^N} \subseteq B^c = U_1$$

And the pair  $(U_{(k-1)/2^N}, U_{(k+1)/2^N}) \in H$  (even for when N = 1, since  $A = \overline{U}_0 \subseteq U_1 = B^c$ ) and a corresponding  $U_{k/2^N} = \mathcal{J}(\cdot, \cdot)$  such that

- $A \subseteq \overline{U}_{(k-1)/2^N} \subseteq U_{k/2^N}$
- $\overline{U}_{(k+1)/2^N} \subseteq B^c$

Now as a final step, we complete the base case for when N = 1. We would only have to construct for k = 1, since

$$U_{1/2}=\mathcal{J}(U_0,U_1)=\mathcal{J}(A,B^c)$$

Apply the induction step, and the proof is complete, at long last.

### Proposition 1.27

Urysohn's Lemma. Let X be a normal space, if A and B are disjoint closed subsets of X, then there exists a  $f \in C(X, [0, 1])$  such that f = 0 on A and f = 1 on B.

*Proof.* Let  $r \in \Delta$  be as in Lemma 4.14, and set  $U_r$  accordingly except for  $U_1 = X$ . Define

$$f(x) = \inf\{k : x \in U_k\}$$

Let us also write  $W = \{k : x \in U_k\}$ , Then for every  $x \in A$  we have f(x) = 0, since by the construction of the 'onion' function in Lemma 4.14, for each  $r \in \Delta \cap (0,1)$ ,

$$x \in A \subseteq U_r \implies f(x) \le r$$

Since r > 0 is arbitrary, and  $0 \in W$ , we can use a classic  $\varepsilon$  argument. If f(x) > 0 then there exists some 0 < r < f(x) by density of the dyadic rationals on the line, if f(x) < 0 then this implies that there exists some f(x) < r < 0 such that  $x \in U_r$ , but no  $r \in \Delta$  can be negative, hence f(x) = 0.

Now, for every  $x \in B$ , since A and B are disjoint, and  $A \subseteq U_r \subseteq B^c$ , then for every  $x \in B$  means that x is not a member of any  $U_r$ , but we set  $U_1 = X$ . Since none of the  $r \in (0,1)$  is a member of the set we are taking the infimum, and  $x \in U_1 = X$ . The  $\varepsilon$  argument follows: suppose for every  $\varepsilon > 0$ ,  $(1 - \varepsilon) \notin W$ , and  $1 \in W$ , then f(x) = 1.

Since  $x \in U_1 = X$ , for every  $x \in X$ ,  $f(x) \le 1$ , and f(x) cannot be negative as r > 0 for every  $r \in \Delta$ . So  $0 \le f(x) \le 1$ . Now we have to show that this f(x) is continuous. The remainder of the proof is divided into two parts. We would like to show that the inverse images of the half lines are open in X. So  $f^{-1}((-\infty, \alpha)) \in \mathcal{T}$  and  $f^{-1}((\alpha, +\infty)) \in \mathcal{T}$ .

Suppose that  $f(x) < \alpha$ , so  $\inf W < \alpha$ , and using the density of  $\Delta$ , there exists an r,  $f(x) < r < \alpha$  such that  $x \in U_r$  such that  $x \in \bigcup_{r < \alpha} U_r$ . So  $f^{-1}((-\infty, \alpha)) \subseteq \bigcup_{r < \alpha} U_r$ .

Fix an element  $x \in \bigcup_{r < \alpha} U_r$ , this induces an r such that  $\inf W \leq r < \alpha$  therefore  $f(x) < \alpha$ , and  $\bigcup_{r < \alpha} U_r \subseteq f^{-1}((-\infty, \alpha))$ .

For the second case, suppose that  $f(x) > \alpha$ , then  $\inf W > \alpha$ , and there exists an r (by density) such that  $\inf W > r > \alpha$  such that for every  $k \in W$ ,  $k \neq r$ . Therefore  $x \notin U_r$ , but by density again, and using the property of the onion function: for every s < r we get  $\overline{U_s} \subseteq U_r$ , taking complements (which reverses the estimate) — we have  $x \notin \overline{U_s}$ , but  $\left(\overline{U_s}\right)^c$  is open in X. It immediately follows that

$$x \in f^{-1}((\alpha, +\infty)) \implies x \in (U_r)^c \subseteq \left(\overline{U_s}\right)^c \subseteq \bigcup_{s > \alpha} \left(\overline{U_s}\right)^c$$

So  $f^{-1}((\alpha, +\infty))$  is a subset of  $\bigcup_{s>\alpha} \left(\overline{U_s}\right)^c$ . To show the reverse, fix an element x in the union, then this induces some  $x \in \left(\overline{U_s}\right)^c \subseteq (U_s)^c$ . Then for this  $s>\alpha$ ,  $(-\infty, s)$  contains no elements of W. This is because for every p < s implies that  $(U_s)^c \subseteq (U_p)^c$ , so  $p \notin W$ . Our chosen s is a lower

bound for W, and  $\alpha < s \le \inf W = f(x)$ .

Since all of the inverse images from the generating set of  $(\mathbb{R}, \mathcal{T}_{\mathbb{R}})$  are open in X, using Theorem 4.9 finishes the proof.

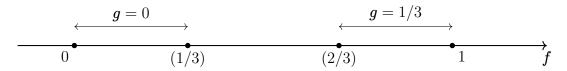


Figure 1: Lemma 1.1 for Theorem 4.16: Separate the range of  $f \in C(A, [0, 1])$  into three parts. Subtract an additional g that reduces the error even further.

### Proposition 1.28

The Tietze's Extension Theorem. Let X be a normal space, and for any closed subset  $A \subseteq X$ , and  $f \in C(A, [a, b])$ , there exists an  $F \in C(X, [a, b])$  which extends f.

*Proof.* We begin with an important lemma that will serve as a 'black box' for the induction.

#### Lemma 1.1

For every  $f \in C(A, [0,1])$ , there exists a  $g \in C(X, [0,1/3])$  such that

$$0 \le f - g \le 2/3$$
 pointwise on  $A$  (8)

*Proof.* Since f is continuous,  $B = f^{-1}([0, 1/3])$ , and  $C = f^{-1}([2/3, 1])$  are closed, disjoint subsets. Applying Urysohn's Lemma (Theorem 4.15) we get a continuous function  $g \in C(X, [0, 1])$  such that  $g|_B = 0$  and  $g|_C = 1$ . Rescale g by a factor of 1/3, and  $g \in C(X, [0, 1/3])$ .

To show that Equation (8) holds, suppose  $x \in B$ , then  $f(x) \in [0, 1/3]$  and  $g(x) = 0 \implies 0 \le f - g \le 1/3 \le 2/3$ . Now suppose that  $x \in C$ , then  $f(x) \in [2/3, 1]$  and g(x) = 1/3 (recall that we relabelled g). So we have  $0 \le 1/3 \le f - g \le 2/3$ . Lastly, for the case where  $x \notin (B \cup C)$ , then  $f(x) \in (1/3, 2/3)$ , and  $g(x) \in [0, 1/3]$  implies that

$$1/3 < f(x) < 2/3 \qquad \Longrightarrow 1/3 \le f(x) \le 2/3$$
$$0 \le g(x) \le 1/3 \qquad \Longrightarrow -1/3 \le -g(x) \le 0$$

Therefore  $0 \le f(x) - g(x) \le 2/3$ . See Figure 1.

We can assume that  $f \in C(A, [0,1])$ , since we can relabel f = (f-a)/(b-a). The main part of this proof consists of constructing a sequence of  $\{g_n\} \subseteq C(X,\mathbb{R})$  where  $0 \le g_n \le (2/3)^n (1/2)$ , and  $0 \le f - \sum_{j \le n} g_j \le (2/3)^n$  on A. Let us begin with the base case with n = 1. We can apply Lemma 1.1 to get  $g_1 \in C(X, [0, 1/3])$ 

$$0 \le f - g_1 \le (2/3)^1$$

Now let us suppose that  $\{g_j\}_{j\leq n}$  has been chosen, we will find our  $g_{n+1}$  by noting that

$$0 \le f(x) - \sum_{j \le n} g_j(x) \le (2/3)^n$$

Here is where my proof deviates from that of Folland's, we multiply both sides by  $(2/3)^{-n}$  and we obtain a new function in C(A, [0, 1]).

$$0 \le \left( f(x) - \sum_{j \le n} g_j(x) \right) \left( \frac{3}{2} \right)^n \le 1$$

Applying Lemma 1.1, we get a function  $h \in C(X, [0, 1/3])$  that reduces the error between f and the partial sums of  $g_{j \le n-1}$ . For every  $x \in A$ 

$$0 \le \left( f(x) - \sum_{j \le n} g_j(x) \right) \left( \frac{3}{2} \right)^n - h \le 2/3$$

Multiplying across gives

$$0 \le \left( f(x) - \sum_{j \le n} g_j(x) \right) - h \left( \frac{2}{3} \right)^n \le \left( \frac{2}{3} \right)^{n+1}$$

Set  $g_{n+1} = h\left(\frac{2}{3}\right)^n$  and  $g_{n+1} \in C(X, [0, 2^n/3^{n+1}])$ . Furthermore, the sum of all  $g_j$  pointwise converges uniformly, as

$$\sum_{j>1} \|g_j\|_u \le \sum_{j>1} \left(\frac{2}{3}\right)^j \cdot \frac{1}{2} < +\infty$$

Denote the pointwise sum  $F = \sum g_j$ , then this  $F \in BC(X)$  (by Theorem 4.13 and 5.1). And

$$\left\| f - \sum_{j \le n} g_j \right\|_u \le \left(\frac{2}{3}\right)^n \longrightarrow 0$$

So F = f on A, now if we want to obtain our F on [a, b] we simply relabel F = F(b - a) + a. This finishes the proof.

### Proposition 1.29

If X is a normal space, and A is a closed subspace of X, and  $f \in C(A)$ , then there exists an  $F \in C(X)$  such that F extends f.

*Proof.* First we suppose that f is real valued, so  $f \in C(X, \mathbb{R})$ . And define a  $g \in C(A, (-1, +1)) \subseteq C(A, [-1, +1])$ , using

$$g = \frac{f}{1 + |f|}$$

Since g satisfies the assumption of Theorem 4.16 (note that we do not require g to be injective), there exists a  $G \in C(X, [-1, +1])$  such that  $G|_A = g$ . Since the set  $\{-1, +1\}$  is closed in  $\mathbb{R}$ ,  $G^{-1}(\{-1, +1\})$  is closed as well. Since  $G^{-1}((-1, +1)) \subseteq A$ , this makes A and  $B = (\{-1, +1\})$  disjoint closed sets in X.

By Urysohn's Lemma, there exists a continuous function  $h \in C(X, [0, 1])$  such that  $h|_B = 0$  and  $h|_A = 1$ , so that the product |hG| < 1 for all  $x \in X$ . We can think of this h as a continuous indicator function that filters out the parts we do not want, namely  $G^{-1}\{-1, +1\}$ . Now define F in the following manner, since division is permissible

$$F = \frac{hG}{1 - |hG|}$$

We will show that  $F|_A = g/(1-|g|) = f$  indeed. Since  $|g| = \frac{|f|}{1+|f|}$ , and g(1+|f|) = f implies that g/(1-|g|) = f, because  $g \in C(A,(-1,+1))$  This completes the proof for any  $f \in \mathbb{R}$  if  $f \in C(A)$ , then

- 1.  $\operatorname{Re}(f) = f_1 \in C(A, \mathbb{R})$
- 2.  $\operatorname{Im}(f) = f_2 \in C(A, \mathbb{R})$

And by our previous argumentation, there exists two functions in  $C(X, \mathbb{R})$  that extends  $f_1$  and  $f_2$ , and  $F_1 + iF_2 = f$  on A and  $F_1 + iF_2 \in C(X)$ , and the proof is complete.

### Proposition 1.30

If X is a topological space, and  $E \subseteq X$  and  $x \in X$ , then  $x \in \text{acc } E \iff$  there exists a net in  $E \setminus \{x\}$  that converges to x, and  $x \in \overline{E} \iff$  there exists a net in E that converges to x.

*Proof.* Suppose that  $x \in \text{acc } E$ , then for every neighbourhood  $U \in \mathcal{N}(x)$ ,  $E \cap U \setminus \{x\} \neq \emptyset$ , then choose  $\mathcal{N}(x)$  as the set of neighbourhoods directed by reverse inclusion (and this makes  $(\mathcal{N}(x), \lesssim)$  a directed set), and we will define the net as follows.

Map each  $U \in \mathcal{N}(x)$  to some  $x_U \in E \cap U \setminus \{x\}$ , then this net converges to x. Suppose that we fix a neighbourhood,  $V \in \mathcal{N}(x)$ , then for every  $U \gtrsim V$  we have  $x_u \in U \subseteq V$ . So  $\langle x_U \rangle$  is eventually in V.

Conversely, if  $\langle x_{\alpha} \rangle \subseteq E \setminus \{x\}$ , and  $x_{\alpha} \to x$ , then every  $U \in \mathcal{N}(x)$  there exists a  $x_{\alpha} \in E \cap U \setminus \{x\}$  that makes

$$E \cap U \neq \varnothing \quad \forall U \in \mathcal{N}(x)$$

Hence  $x \in \operatorname{acc} E$ .

Now for the second part of the Theorem, suppose that  $x \in \overline{E}$ , if  $x \notin E$  then  $E = E \setminus \{x\}$  and  $x \in \operatorname{acc} E$ , so there exists a net in  $E \setminus \{x\} \subseteq E$  such that  $x_{\alpha} \to x$ . If  $x \in E$  then simply choose  $\langle x_{\alpha} \rangle = x$  for every  $\alpha \in A$ .

Now, suppose that there is a net that converges to x, and this net  $\langle x_{\alpha} \rangle \subseteq E$ , if  $x \in E$  then there is nothing to prove, since  $E \subseteq \overline{E}$ , so suppose that  $x \notin E$ , then there exists a net in  $E \setminus \{x\} = E$  such that

$$x_{\alpha} \to x \implies x \in \operatorname{acc} E \subseteq \overline{E}$$

# Proposition 1.31

Let X and Y be topological spaces, then every  $f: X \to Y$  is continuous at a point  $x \in X \iff$  every net  $\langle x_{\alpha} \rangle$  that converges to x implies that  $\langle f(x_{\alpha}) \rangle$  converges to f(x).

*Proof.* If f is continuous at a point  $x \in X$ , then  $V \in \mathcal{N}(f(x)) \implies f^{-1}(V) \in \mathcal{N}(x)$ , then for every net  $\langle x_{\alpha} \rangle$  that converges to this x, there there exists an  $\alpha_0$  such that for every  $\alpha \gtrsim \alpha_0$  implies that  $x_{\alpha} \in f^{-1}(V)$ . Hence

$$f(x_{\alpha}) \in f\left(f^{-1}(V)\right) \subseteq V$$

And this is equivalent to saying that for every  $V \in \mathcal{N}(f(x))$ ,  $\langle f(x_{\alpha}) \rangle$  is eventually in V, and this proves convergence.

Now suppose that f is not continuous at some x, then there exists a  $V \in \mathcal{N}(f(x))$  such that  $f^{-1}(V) \notin \mathcal{N}(x)$ , so

$$x\notin \left(f^{-1}(V)\right)^o\implies x\in \left(f^{-1}(V)\right)^{oc}=\overline{f^{-1}(V^c)}$$

Where for the last equality we pulled the complement inside the inverse image. Then by Theorem 4.18, our  $x \in \overline{f^{-1}(V^c)}$  induces a net  $\langle x_{\alpha} \rangle \subseteq f^{-1}(V^c)$  that converges to x. But every element in the net is contained within  $f^{-1}(V^c)$ , and for every  $\alpha \in A$ 

$$f(x_{\alpha}) \in f\left(f^{-1}(V^c)\right) \subseteq V^c$$

gives  $f(x_{\alpha}) \notin V$ , but V is a neighbourhood of f(x), hence there exists some  $x_{\alpha} \to x$  and  $f(x_{\alpha}) \not\to f(x)$ .

# Proposition 1.32

If  $\langle x_{\alpha} \rangle$  is a net in X, and  $x \in X$  is a cluster point of  $\langle x_{\alpha} \rangle \iff$  there exists a subnet of  $\langle x_{\alpha} \rangle$  that converges to x.

*Proof.* Suppose that  $\langle y_{\beta} \rangle_{\beta \in B}$  is a subnet of  $\langle x_{\alpha} \rangle$  that converges to x, then for every neighbourhood  $U \in \mathcal{N}(x)$ , there exists a  $\beta_1$  such that for every  $\beta \gtrsim \beta_1$  we get  $y_{\beta} = x_{\alpha_{\beta}} \in U$ .

Furthermore, let us fix a  $\alpha_0 \in A$  to attempt to show that  $\langle x_{\alpha} \rangle$  is frequently in U, then by the subnet property of  $\langle y_{\beta} \rangle$ , there exists some  $\beta_2 \in B$  such that for every  $\beta \gtrsim \beta_2$ ,  $\alpha_{\beta} \gtrsim \alpha_0$ . (Intuitively this property means that the directed set of B 'grows' as much as the directed set of A, so we can always find elements that are greater than any fixed  $\alpha_0$ .)

Since  $\langle y_{\beta} \rangle$  is a net, we there exists some  $\beta \in B$  such that  $\beta \gtrsim \beta_1$  and  $\beta \gtrsim \beta_2$ , we then apply the  $\beta \mapsto \alpha_{\beta}$  map and we obtain some  $\alpha = \alpha_{\beta}$  that satisfies:

- $\alpha = \alpha_{\beta} \gtrsim \alpha_{0}$
- $x_{\alpha} = x_{\alpha_{\beta}} \in U$

Where for the second property we used the fact that  $\beta \gtrsim \beta_1$  so that  $y_{\beta}$  falls into U.

Conversely, suppose that x is a cluster point of  $\langle x_{\alpha} \rangle$ , then by definition

$$\forall U \in \mathcal{N}(x), \ \forall \alpha_0 \in A, \ \exists \alpha \gtrsim \alpha_0, \ x_\alpha \in U$$

Denote the directed neighbourhoods of x by  $\mathcal{N}(x)$ , and construct our directed set B for our subnet as follows, define

$$B = \mathcal{N}(x) \times A$$

Where for every  $(U, \gamma) \in B$  we can map it to some  $\alpha_{(U,\gamma)} \in A$ , if we choose some  $\alpha_{(U,\gamma)} \gtrsim \gamma$  and  $\alpha_{(U,\gamma)} \in U$ .

To show that B is a directed set, we say that  $(U,\gamma) \gtrsim (U',\gamma')$  if and only if  $U \subseteq U'$  and  $\gamma \gtrsim \gamma'$ . And to show that  $\langle y_{\beta} \rangle = \langle x_{\alpha_{(U,\gamma)}} \rangle$  is indeed a subnet of  $\langle x_{\alpha} \rangle$ , fix any  $\alpha_0 \in A$ , then simply take any neighbourhood U of x (we always have  $X \in \mathcal{N}(x)$ ) — and therefore  $(U,\alpha_0) \in B$ .

Now for every  $(U', \alpha_0') \gtrsim (U, \alpha_0)$  implies that  $\alpha_0' \gtrsim \alpha_0$ , therefore we have

$$lpha_{(U',lpha_0')}\gtrsimlpha_0'\gtrsimlpha_0$$

And this satisfies the subnet property. Now to show that  $\langle y_{\beta} \rangle$  indeed converges to x, fix any  $V \in \mathcal{N}(x)$ , then with any  $\alpha_0 \in A$ , and for every  $(V', \alpha'_0) \gtrsim (V, \alpha_0) \in B$ , we have

$$x_{\alpha_{(V',\alpha_0')}} \in V' \subseteq V$$

So  $\left\langle x_{lpha_{(U,\gamma)}} \right
angle$  converges to x.

### Proposition 1.33

A topological space X is compact  $\iff$  every family of closed sets,  $\{F_{\alpha}\}_{{\alpha}\in A}$  that has the finite intersection property, implies that

$$\bigcap_{\alpha \in A} F_{\alpha} \neq \emptyset$$

*Proof.* We first examine the assertion, Theorem 4.21 proposes for any family of closed sets  $\{F_{\alpha}\}_{{\alpha}\in A}$ , and for every finite subset  $B\subseteq A$  then,

$$\bigcap_{\alpha \in B} F_{\alpha} \neq \emptyset \implies \bigcap_{\alpha \in A} F_{\alpha} \neq \emptyset$$

Taking the contrapositive (which is logically equivalent), we get

$$\bigcap_{\alpha \in A} F_{\alpha} = \varnothing \implies \text{there exists a finite } B \subseteq A, \bigcap_{\alpha \in B} F_{\alpha} = \varnothing$$

Applying DeMorgan's theorem, and since every  $\{F_{\alpha}\}_{{\alpha}\in A}$  induces a family of open sets (and vice versa), where  $U_{\alpha}=F_{\alpha}^c$ , so for any family of open sets  $\{U_{\alpha}\}_{{\alpha}\in A}$  we have

$$\bigcup_{\alpha \in A} U_{\alpha} = X \implies$$
 there exists a finite  $B \subseteq A, \bigcup_{\alpha \in B} U_{\alpha} = X$ 

Which is equivalent to saying that X is compact.

# Proposition 1.34

A closed subset of a compact space X is compact.

*Proof.* Suppose  $F \subseteq X$  and F is open, then fix an open cover for F, so

$$F \subseteq \bigcup_{\alpha \in A} U_{\alpha}$$

Since  $F^c$  is an open set, we can obtain a valid open cover for X, then we pick out a finite subcover, for some finite  $B \subseteq A$ 

$$X=F\cup F^c\subseteq F^c\cup \left(igcup_{lpha\in B}U_lpha
ight)$$

Taking the intersection with F on both sides yields

$$F = X \cap F \subseteq (F^c \cap F) \cup \left(F \cap \left(\bigcup_{\alpha \in B} U_\alpha\right)\right)$$

$$F = \left(F \cap \left(\bigcup_{\alpha \in B} U_\alpha\right)\right) \iff$$

$$F \subseteq \bigcup_{\alpha \in B} U_\alpha$$

Therefore every open cover of F has a finite subcover, and F is compact.

### Proposition 1.35

If F is a compact subset of a Hausdorff space X, and  $x \notin F$ , there are disjoint open sets U, V such that  $x \in U$  and  $F \subseteq V$ .

*Proof.* Since  $x \in F^c$ , for every  $y \in F$ ,  $x \neq y$  induces two sets  $U_v, V_v$  (because X is  $T_2$ ).

- $U_y \cap V_y = \varnothing$
- $x \in U_y$
- $y \in V_y$

But  $\{V_y\}_{y\in F}$  is an open cover for the compact set F, then there exists a finite subcollection  $H\subseteq F$  such that

$$F \subseteq \bigcup_{y \in H} V_y$$

Since H is finite,  $U = \bigcap_{y \in H} U_y$  is an open set that contains x, also define  $V = \bigcup_{y \in H} V_y$ . If for every  $y \in H$ ,  $U_y \cap V_y = \emptyset$ , then  $U \cap V_y = U \cap V = \emptyset$ . This completes the proof.

#### Remark 1.5

Every metric space (X, d) is first countable, and  $T_2$  (it is actually  $T_4$ , but that will require some effort to prove, see Exercise 3). The first claim is easily verified if we fix any element  $x \in X$  and we notice that  $W_x = \{V_r(x), r \in \mathbb{Q}^+\}$  is a countable neighbourhood base for every x. To show that (X, d) is  $T_2$ , for every pair of elements  $x \neq y$ , we can take r = d(x, y)/2 and there exists disjoint open sets  $V_r(x)$  and  $V_r(y)$  such that  $x \in V_r(x)$  and  $y \in V_r(y)$ .

# Proposition 1.36

Every compact subset of a Hausdorff  $(T_2)$  space is closed.

*Proof.* If F is compact, then for every  $x \in F^c$ , by Theorem 4.23, there exists two disjoint open sets such that  $x \in U$  and  $F \subseteq V$ , but

$$U \cap V = \varnothing \implies U \cap F = \varnothing \implies U \subseteq F^c$$

But since  $x \in F^c$  is arbitrary, and U is an open subset of  $F^c$ ,

$$x \in U \subseteq F^{co} \implies F^c \subseteq F^{co}$$

Which shows that  $F^c$  is open and F is closed.

### Proposition 1.37

Every compact Hausdorff  $(T_2)$  space is normal  $(T_4)$ .

*Proof.* Fix A, B which are disjoint closed subsets of X, by Theorem 4.22, we know that these two sets are compact. Hence for every  $y \in B$  there exists two disjoint open sets  $U, V_y$  (by Theorem 4.23)

 $A \subseteq U_y$  and  $y \in V_y$ . But the family  $\{V_y\}_{y \in B}$  is a valid open cover for the compact set B, hence there exists a finite subcollection  $H \subseteq B$  such that

$$B\subseteq \bigcup_{y\in H} V_y, \quad U_y\cap V_y=\varnothing$$

The second equality holds for every  $y \in H$  so that  $U_y \cap (\cup V_{y \in H}) = \emptyset$ . Define  $U = \cap U_{y \in H}$  and  $V = \cup V_{y \in H}$ , where both of these are disjoint open sets that that contain A and B as subsets, since for each  $y \in H$ ,  $A \subseteq U_y$  hence the intersection of all  $U_y$  also contains A as a subset. Therefore X is normal.

### Proposition 1.38

If X is compact, and  $f: X \to Y$  is continuous, then f(X) is compact.

A small lemma.

#### Lemma 1.2

For every  $\{E_j\} \subseteq X, f(\cup E_j) = \cup f(E_j).$ 

The proof is trivial.

*Proof.* If  $\{V_{\alpha \in A}\}$  is an open cover for f(X), then

$$X\subseteq f^{-1}(f(X))=f^{-1}\left(igcup_{lpha\in A}V_lpha
ight)=igcup_{lpha\in A}f^{-1}(V_lpha)\subseteq X$$

Since f is continuous, we have an open cover in the form of  $\{f^{-1}(V_{\alpha})\}$  for X, then there exists a finite subset  $B \subset A$  such that

$$X \subseteq \bigcup_{\alpha \in B} f^{-1}(V_{\alpha})$$

Then we wish to show that for this  $B \subseteq A$ ,  $\{V_{\alpha \in B}\}$  is a finite open cover for f(X). Fix any element  $y \in f(X)$ , then this induces a  $x \in X$  such that y = f(x), but because  $\{f^{-1}(V_{\alpha \in B})\}$  is an open cover for X, there exists some  $\alpha \in B$  such that  $x \in f^{-1}(V_{\alpha})$ , hence by definition of the inverse image

$$f(x) \in V_{\alpha} \implies f(X) \subseteq \bigcup_{\alpha \in B} V_{\alpha}$$

Therefore f(X) is compact and this completes the proof.

# Proposition 1.39

If X is compact, then C(X) = BC(X).

*Proof.* Notice that  $BC(X) \subseteq C(X)$ , so we only have to show the reverse estimate. Fix any  $f \in C(X)$ , since X is compact, by Theorem 4.26 we know that f(X) is also compact. Since  $\mathbb{C} = \mathbb{R}^2$  is a complete metric space, f(X) is bounded and  $f \in BC(X)$ .

# Proposition 1.40

If X is compact, and if Y is Hausdorff, then any continuous bijection  $f:X\to Y$  is a homeomorphism.

*Proof.* If  $E \in X$  is closed, then since X is compact, E is compact as well. By continuity of f, f(X) is a compact set in Y, but compact subsets of Y are closed, so f is continuous.

We used the fact that the inverse of  $f^{-1}$  is f, since it suffices to check that every inverse image of a closed set is also closed,  $f^{-1}$  is continuous. And by definition of a homeomorphism (f has to be bijective and both f and  $f^{-1}$  hav eto be continuous), f is a homeomorphism.

### Proposition 1.41

If X is any topological space, the following are equivalent.

- (a) X is compact.
- (b) Every net has a cluster point.
- (c) Every net in X has a convergent subnet.

*Proof.* By Theorem 4.20, every net in X has a cluster point  $\iff$  there exists a subnet that converges to this cluster point, so these two points are equivalent.

Suppose a) holds, then X is compact, and fix an arbitrary net  $\langle x_{\alpha} \rangle$  in X. and define the 'tail' of the net

$$E_lpha \stackrel{\Delta}{=} \{x_eta,\ eta \gtrsim lpha\}$$

We wish to show that the arbitrary intersection of  $\bigcap_{\alpha \in A} \overline{E}_{\alpha} \neq \emptyset$ . Where  $\overline{E}_{\alpha}$  is closed, so it suffices to check that every finite  $B \subseteq A$ , the intersection over  $\overline{E}_{\alpha}$  is non-empty.

Suppose we are given a finite  $B \subseteq A$ , then fix any two elements  $\alpha$  and  $\beta \in B$ , by the definition of a net there exists a  $\gamma \in A$  such that  $\gamma \gtrsim \alpha$  and  $\gamma \gtrsim \beta$ , and

$$\varnothing \neq \subseteq E_{\alpha} \cap E_{\beta} \implies \overline{E}_{\alpha} \cap \overline{E}_{\beta} \neq \varnothing$$

Therefore for any finite collection of  $\{\overline{E}_{\alpha \in B}\}$ , then

$$\bigcap_{\alpha \in A} \overline{E}_{\alpha} \neq \emptyset$$

Now fix an element  $x \in \bigcap_{\alpha \in A} \overline{E}_{\alpha}$ . Then for every  $\alpha \in A$ ,  $x \in \overline{E}_{\alpha}$ , and for every neighbourhood  $U \in \mathcal{N}(x)$ ,  $U \cap E_{\alpha} \neq \emptyset$ . This is because if  $x \in E_{\alpha}$ , then  $U \cap E_{\alpha}$  contains at least  $\{x\}$ , if  $x \in \operatorname{acc} E_{\alpha}$ , then by definition of an accumulation point,  $U \cap E_{\alpha} \setminus \{x\} \neq \emptyset$ , so the intersection is non empty.

Now let us turn our attention to how we defined the 'tail' of the net,  $E_{\alpha}$ , if for every  $\alpha \in A$ ,  $x \in E_{\alpha}$  if and only if there exists some  $\gamma \gtrsim \alpha$ ,  $x_{\gamma} \in U \cap E_{\alpha}$ , this is equivalent to saying that x is a cluster point of  $\langle x_{\alpha} \rangle$ . So a  $\Longrightarrow b$ .

Now let us suppose that X is not compact, then there exists an open cover  $\{U_{\alpha \in A}\}$  of X that has no finite subcover. Let  $\mathbb{B}$  be the collection of all finite subsets of A, directed by set inclusion (we will show that this set is indeed a directed set at another time, for now it is a needless distraction).

Now for every  $B \in \mathbb{B}$ , find some  $x_B \in (\bigcup_{\alpha \in B} U_{\alpha})^c$ . So we have a net in X. Now we will show that no  $x \in X$  can be a cluster point of this net. Suppose not, then take a neighbourhood  $U_{\beta}$  with

 $\beta \in A$  such that  $U_{\beta}$  belongs to the open cover we first discussed. Then for any  $B \in \mathbb{B}$  such that  $B \gtrsim \{\beta\}$  (meaning that  $\{\beta\} \subseteq B$ , where B is a finite set), then

$$x_B \in \left(\bigcup_{\alpha \in B} U_{\alpha}\right)^c \implies x_B \notin \left(\bigcup_{\alpha \in \{\beta\}} U_{\beta}\right) \implies x_B \in U_{\beta}^c$$

Hence no point in X can be a cluster point for this net, and the proof is complete.

# Proposition 1.42

If X is a LCH space, and for every  $U \in \mathcal{N}_B(x) \cap \mathcal{T}_X$ , there exists a compact  $N \subseteq U$  where  $N \in \mathcal{N}_B(x)$ .

*Proof.* For every  $U \in \mathcal{N}_B(x) \cap \mathcal{T}_x$ , we can find an E open subset of U that has a compact closure, since every  $x \in X$  induces some compact  $F \in \mathcal{N}_B(x)$ , therefore

$$E \stackrel{\Delta}{=} U \cap F^o \implies \overline{E} \subseteq F$$

Since closed subsets of compact sets are compact (by Theorem 4.22),  $\overline{E}$  is compact. More is true, since E is open,

$$x \in U \cap F^o \implies x \in E^o \implies E \in \mathcal{N}_B(x)$$

Now it suffices to show that there exists some compact  $N \subseteq E \subseteq U$  such that  $N \in \mathcal{N}_B(x)$ . Since  $\overline{E}$  is compact, the closed subset  $\partial E = \overline{E} \cap \overline{E^c}$  of  $\overline{E}$  is also compact.

Since  $\partial E \cap E^o = \emptyset$ ,  $x \in E^o = E$  means that  $x \notin \partial E$ . Applying Theorem 4.23 to the compact set  $\partial E$  and  $x \notin \partial E$  gives us two disjoint open sets V' and W'. We list their properties

- 1.  $V', W' \in \mathcal{T}_X$
- $2. x \in V'$
- 3.  $\partial E \subseteq W'$
- 4.  $V' \cap W' = \emptyset$

The two disjoint pairs induce another pair of open sets relative to  $\overline{E}$ , recall the definition of the topology relative to  $\overline{E}$ ,

$$\mathcal{T}_{\overline{E}} = \left\{ A \cap \overline{E} : A \in \mathcal{T}_X \right\}$$

We now agree to define

- $V = V' \cap \overline{E}$
- $W = W' \cap \overline{E}$

Then evidently  $V,W\in\mathcal{T}_{\overline{E}}$  and

- 1.  $x \in V' \cap \overline{E} \implies x \in V$
- 2.  $\partial E \subseteq \overline{E} \implies \partial E \subseteq W$
- 3.  $V' \cap W' = \emptyset \implies V \cap W = \emptyset$

Furthermore,

$$\partial E \subseteq W \implies W^c \subseteq (\partial E)^c = E^o \cup E^{co}$$

Taking the intersection over  $\overline{E}$  gives us

$$\overline{E} \setminus W \subseteq \overline{E} \cap (E^o \cup E^{co})$$

Note that  $E^{co}=(\overline{E})^c$ , since  $(E^c)^{oc}=\overline{(E^{cc})}=\overline{E}$  therefore  $\overline{E}\cap E^{oc}=\varnothing$ , hence

$$\overline{E} \setminus W \subseteq \overline{E} \cap E^o = E^o$$

Using the fact from 3,  $V \subseteq W^c$  and  $V \subseteq \overline{E}$  and  $V \subseteq W^c$  implies that  $V \subseteq \overline{E} \setminus W$ . Compiling everything, we have

$$V\subseteq \overline{E}\setminus W\subseteq E$$

Note that the set  $\overline{E} \setminus W$  is closed in  $\mathcal{T}_X$  (and hence closed in  $\overline{E}$ ) by closure over intersections,  $\overline{V}$  is therefore a closed subset of  $\overline{E} \setminus W$ , and  $\overline{V}$  is compact. Also

$$\overline{V}\subseteq \overline{E}\setminus W\subseteq E$$

To check that  $\overline{V} \in \mathcal{N}_B(x)$ , note that

$$x \in V^o \subseteq (\overline{V})^o \implies \overline{V} \in \mathcal{N}_B(x)$$

The subset relation  $V^o \subseteq \overline{V}^o$  comes from the fact that  $V^o$  is an open subset of  $\overline{V}$ , and hence is contained in  $(\overline{V})^o$  as a subset. Now let us define  $N = \overline{V}$ , and N satisfies the assertions in the Theorem, since

- $N \in \mathcal{N}_B(x)$
- N is compact
- $N \subseteq E \subseteq U$

And this completes the proof.

#### Remark 1.6

Intuitively speaking, this means that if X is any LCH space, then for every open neighbourhood  $U \in \mathcal{N}_B(x)$ , there exists a compact  $E \in \mathcal{N}_B(x)$  such that  $x \in E \subseteq U^o$ . This property is indeed a very strong one as it allows us to have effectively 'infinite' descending compact neighbourhoods of x.

### Proposition 1.43

X is a LCH space, and  $K \subseteq U \subseteq X$  where K is compact, and U is open, then there exists some precompact, open V with

$$K\subseteq V\subseteq \overline{V}\subseteq U$$

*Proof.* For every  $x \in K$ , we can apply Proposition 4.30, since  $x \in K \subseteq U$ , this induces some compact  $F_x \subseteq U$  where  $F_x \in \mathcal{N}_B(x)$ . Then we can obtain an open cover of U in the form of  $\{F_x^o\}_{x\in K}$ . By compactness of K, there exists a finite  $B\subseteq K$  such that

$$K \subseteq \bigcup_{x \in B} F_x^o$$

Let  $V = \bigcup_{x \in B} F_x^o$ , then clearly V is open, and  $K \subseteq V$ . Since each  $F_x$  is closed (compact sets are closed in any Hausdorff Space), we have

$$V \subseteq \bigcup_{x \in B} F_x \implies \overline{V} \subseteq \bigcup_{x \in B} F_x$$

Since  $\bigcup_{x\in B} F_x$  is a finite union of compact sets, we claim that it is also compact. Consider two compact sets  $E_1$  and  $E_2$ , then if  $\{U_\alpha\}_{\alpha\in A}$  is any open cover of  $E_1\cup E_2$ , it must be an open cover for  $E_1$  and  $E_2$  as well, because

$$E_1, E_2 \subseteq E_1 \cup E_2 \bigcup_{\alpha \in A} U_{\alpha}$$

Since  $E_1$  and  $E_2$  are both compact sets, they each induce two finite subsets of  $B_1$ ,  $B_2$  of A whose union  $B = B_1 \cup B_2$  is also compact. Therefore

$$E_1 \cup E_2 \subseteq \bigcup_{\alpha \in B} U_\alpha$$

Then a simple proof by induction will show that if  $\{E_{j\leq n}\}$  is a family of compact sets, then  $E=\bigcup E_{j\leq n}$  is also compact.

Returning to the main part of the proof,  $\bigcup_{x \in B} F_x$  is a compact set, therefore  $\overline{V}$  is also compact. Moreover

$$\forall x \in K, \; F_x \subseteq U \implies \overline{V} \subseteq \bigcup_{x \in B} F_x \subseteq U$$

Combining, we have

- $K \subseteq V \subseteq \overline{V}$ ,
- V is open and  $\overline{V}$  is compact, and
- $\overline{V} \subseteq U$

This completes the proof.

### Proposition 1.44

Urysohn's Lemma, Locally Compact Version. For any LCH space X, and if  $K \subseteq U \subseteq X$  where K is compact and U is open, then there exists some  $f \in C(X, [0, 1])$  with

- f = 1 on K
- f = 0 outside some compact  $\overline{V} \subseteq U$

*Proof.* Let V be as in Theorem 4.31, for our fixed  $K \subseteq U \subseteq X$ , there exists a pre-compact, open V that satisfies

$$K\subseteq V\subseteq \overline{V}\subseteq X$$

It follows that this  $(\overline{V}, \mathcal{T}_{\overline{V}})$  is a normal space by Theorem 4.25 (compact Hausdorff spaces are normal), and by Urysohn's Lemma (Theorem 4.15) on normal spaces, since we can easily find two disjoint closed subsets of  $\overline{V}$  in the form of

- $K \subseteq V^o = V \subseteq \overline{V}$  (compact sets in Hausdorff spaces are closed)
- $\partial V = \overline{V} \cap \overline{V^c}$  (closed sets in compact spaces are compact)
- $K \subseteq V^o$  implies that  $K \cap \partial V = K \cap (\overline{V} \setminus V^o) = \emptyset$

Then there exists a continuous  $f|_{\overline{V}} \in C(\overline{V}, [0, 1])$  that evaluates to

- $f|_{\overline{V}} = 1$  on closed K
- $f|_{\overline{V}} = 0$  on closed  $\partial V$

Now let us extend  $f|_{\overline{V}}$  to f by defining

$$f|_{(\overline{V})^c} = 0$$

We will show that this extension of f is indeed continuous. Indeed, for every closed set  $E \subseteq [0,1]$  that does not contain 0, we have:

$$0 \not\in E \implies \{0\} \cap E = \varnothing \implies f^{-1}(\{0\}) \cap f^{-1}(E) = \varnothing$$

But  $(\overline{V})^c \subseteq f^{-1}(\{0\})$  therefore

$$(\overline{V})^c \cap f^{-1}(\{0\}) \cap f^{-1}(E) = (\overline{V})^c \cap f^{-1}(E) = \varnothing \implies f^{-1}(E) \subseteq \overline{V}$$

We can write

$$f^{-1}(E) = f|_{\overline{V}}^{-1}(E)$$

But we know that  $f|_{\overline{V}}$  is continuous, so  $f|_{\overline{V}}^{-1}(E)$  must be closed (with respect to  $\overline{V}$ ), and therefore is closed wrt X, since  $\overline{V}$  is closed wrt X.

For the case where  $0 \in E$ , note that

$$f^{-1}(E) = \left(f^{-1}(E) \cap \overline{V}\right) \cup \left(f^{-1}(E) \cap (\overline{V})^c\right) = \left(f|_{\overline{V}}\right)^{-1}(E) \cup \left(f|_{\overline{V}^c}\right)^{-1}(E)$$

The above equalities are messy in print. They are but a simple consequence of disjoint decomposition of the pre-images, since

$$\overline{V}\cap f^{-1}(E)=\{x\in \overline{V}: f(x)\in E\}=f|_{\overline{V}}^{-1}(E)$$

Back to our main discussion, recall that for every  $x \in \partial V$ 

$$f(x) = 0 \in f^{-1}(\{0\}) \subseteq f^{-1}|_{\overline{V}}(E)$$

Therefore  $\partial V \subseteq f^{-1}|_{\overline{V}}(E)$ , and  $(\overline{V})^c = f^{-1}|_{(\overline{V})^c}(E)$  gives us (since  $V^c$  is closed),

$$\begin{split} f^{-1}(E) &= f^{-1}|_{\overline{V}}(E) \cup \partial V \cup (\overline{V})^c \\ &= f^{-1}|_{\overline{V}}(E) \cup \overline{(V^c)} \cup (\overline{V})^c \\ &= f^{-1}|_{\overline{V}}(E) \cup (V^c \cup V^{co}) \\ &= f^{-1}|_{\overline{V}}(E) \cup V^c \end{split}$$

Since  $f^{-1}|_{\overline{V}}(E)$  and  $V^c$  are closed subsets of X, then  $f^{-1}(E)$  is also closed, and  $f \in C(X, [0, 1])$ .

### Proposition 1.45

Every LCH space is completely regular (or  $T_{3.5}$ ).

*Proof.* Recall that a space X is completely regular if it is  $T_1$  and every closed subset A and every  $x \notin A$  there exists some

$$f \in C(X, [0, 1]), \quad f(x) = 1, f|_A = 0$$

Fix a closed set  $A \subseteq X$ , then for every  $x \in A^c$ , there exists a compact  $E_x \in \mathcal{N}_B(x)$  with  $E_x \subseteq A^c$  (by Theorem 4.30).

Note that  $E_x \subseteq A^c$  where  $E_x$  is compact and  $A^c$  is closed, then an application of Theorem 4.31 tell us that there exists an  $f \in C(X, [0, 1])$  such that for every  $x \in E_x$ , f(x) = 1 and for points  $y \notin A^c$  (which means that  $y \in A$ ), f(y) = 0. Therefore X is completely regular.

Proposition 1.46

Proof.

### Proposition 1.47

If X is a LCH space, we claim that

$$\overline{\mathrm{C}_c(X)} = \mathrm{C}_0(X)$$

*Proof.* We begin by proving several things that are mentioned before this Theorem, namely

$$C_c(X) \subseteq C_0(X) \subseteq BC(X)$$

Fix an  $f \in C_c(X)$ , and for every  $\varepsilon > 0$ ,

$$x \in |f|^{-1}([\varepsilon, +\infty)) \implies |f(x)| \ge \varepsilon > 0$$

Therefore  $|f|^{-1}([\varepsilon, +\infty))$  is a closed subset of supp (f), since  $(-\infty, \varepsilon)$  is open in  $\mathbb{R}$ , then  $[\varepsilon, +\infty)$  is a closed set. And by continuity of  $|\cdot| \circ f$  (a composition of two continuous functions),  $|f|^{-1}([\varepsilon, +\infty))$  is closed. Using the fact that closed subsets of compact supp (f) are also compact, we get  $f \in C_0(X)$ .

Next, we show that  $C_0(X) \subseteq BC(X)$ . Fix any element f of  $C_0(X)$  with an arbitrary  $\varepsilon > 0$ , then  $E_{\varepsilon} = \{x \in X : |f(x)| \ge \varepsilon\}$  is compact. The continuity of f guarantees that the direct image of a compact set is another compact set (Theorem 4.26)

$$|f|(E_{\varepsilon})$$
 is a compact subset of  $\mathbb{R}$ 

And therefore for every  $x \in E_{\varepsilon} \implies |f(x)| \in f(E_{\varepsilon})$ , then by Heine-Borel, there exists some  $M \geq 0$  such that  $|f(x)| \leq M$ . If  $x \notin E_{\varepsilon}$ , then by definition of  $E_{\varepsilon}$ , implies that  $|f(x)| < \varepsilon$ . Then  $|f(x)| \leq M + \varepsilon$  for every  $x \in X$ . Hence  $f \in BC(X)$ .

Here I wish to offer an alternate proof for  $C_0(X) \subseteq BC(X)$ , we begin by constructing an open cover for supp (f) such that

$$\{U_n\}_{n>0} = \{x \in X | f(x)| < n\}$$

Then there exists a finite subcollection of  $\{U_n\}_{n\in B}$  where B is a finite set, then define  $M=1+\sum_{n\in B}n$  and for every  $x\in \mathrm{supp}(f)$  we have |f(x)|< n and since n>0 this holds for every  $x\in X$  too. Therefore  $f\in \mathrm{BC}(X)$ .

For the main proof of Theorem 4.35, since BC(X) is endowed with the uniform metric, it is also first countable, and therefore by Theorem 4.6, it suffices to show that every sequence  $\{f_n\}_{n\geq 1}\subseteq C_c(X)$  converges in  $C_0(X)$ . And every element  $f\in C_0(X)$  has a convergence sequence in  $C_c(X)$ .

Fix a convergent sequence  $\{f_n\}_{n\geq 1}\subseteq C_c(X)$  that converges uniformly to some  $f\in BC(X)$  (since BC(X) is a closed subset of C(X) with respect to the uniform norm), then for every  $\varepsilon>0$ , there exists some  $n\geq 1$  with

$$||f_n - f||_u < \varepsilon$$

We aim to show that  $(\operatorname{supp}(f_n))^c \subseteq |f|^{-1}((-\infty,\varepsilon))$ , so fix any  $x \notin \operatorname{supp}(f_n)$ , then

$$|f(x) - f_n(x)| = |f(x)| \le ||f - f_n||_u < \varepsilon$$

This establishes the estimate, and taking complements

$$|f|^{-1}([\varepsilon, +\infty)) \subseteq \operatorname{supp}(f_n)$$

Therefore for any arbitrary  $\varepsilon > 0$ ,  $\{x \in X, |f(x)| \ge \varepsilon\}$  is compact, and  $\overline{\mathrm{C}_c(X)} \subseteq \mathrm{C}_0(X)$ . Conversely, fix any  $f \in \mathrm{C}_0(X)$ , and for every  $n \ge 1$ , define

$$K_n = \{x \in X, |f(x)| \ge 1/n\}$$

Using Urysohn's Lemma for our LCH space X, there exists some  $g_n$  that has a compact support, and  $g_n(x) = 1$  for every  $x \in K_n$ . We then write  $f_n = g_n \cdot f \in C_c(X)$ . We wish to show that  $f_n \to f$  uniformly. Notice that for any fixed  $n \geq 1$ , if  $x \in K_n$  then

$$f_n(x) = f(x) \implies |f_n - f|(x) = 0$$

If  $x \notin K_n$ , |f(x)| < 1/n (recall what  $K_n$  does), and  $f_n = g_n \cdot f \in [0,1]$  by definition of  $g_n$  from Theorem 4.32, hence

$$|f_n(x) - f(x)| = |f(x)| \cdot |1 - g_n| \le |f(x)| < 1/n$$

Taking the supremum over  $x \in X$ , we have

$$||f_n - f||_u < 1/n \to 0$$

As we send n to  $+\infty$ , and  $f_n \to f$  uniformly. This completes the proof.

Proposition 1.48

Proof.

### Proposition 1.49

If X is an LCH space and  $E \subseteq X$ . E is closed if and only if  $E \cap K$  is closed for every compact  $K \subseteq X$ .

*Proof.* Suppose that E is closed, then  $E \cap K$  is closed, since compact subsets of Hausdorff spaces are closed, and  $E \cap K \subseteq K$  tells us that  $E \cap K$  is indeed compact.

Now suppose that E is not closed, by Theorem 4.1,  $E \neq \overline{E}$ , so pick some  $x \in (\overline{E} \setminus E) = \text{acc}(E) \cap E^c$ , since X is locally compact, let  $K_x$  be a compact neighbourhood of x, then for every neighbourhood  $U \in \mathcal{N}_B(x)$ , we have

$$x \in U^o, x \in K_x^o, \implies x \in (U^o \cap K_x^o) \subseteq (U \cap K_x)^o$$

Since  $(U^o \cap K_x^o)$  is an open subset of  $(U \cap K_x)$ , then  $(U \cap K_x) \in \mathcal{N}_B(x)$ , and recall that  $x \in \text{acc}(E)$ , therefore

$$(U \cap K_x) \cap E \setminus \{x\} = U \cap (K_x \cap E) \neq \emptyset$$

But  $x \notin E \implies x \notin E \cap K_x$ . So x is an accumulation point of  $E \cap K_x$  that is not in  $E \cap K_x$ . Therefore there exists some  $E \cap K_x$  (with  $K_x$  compact) that is not closed.

### Proposition 1.50

If **X** is an LCH space,  $C(\mathbf{X})$  is a closed subspace of  $\mathbb{C}^{\mathbf{X}}$  in the topology of uniform convergence on compact sets. (We will sometimes refer to this as the topology of compact convergence.)

*Proof.* Let  $E \subseteq X$  be closed and endowed with the subspace topology.

$$\mathcal{T}_E = \left\{ U \cap E, \ U \in \mathcal{T}_\mathbf{X} 
ight\}$$

Then  $A \cap E$  is closed relative to E iff it it is closed relative to E. The proof for this can be found in the Notes.

Let f be an adherent point of  $C(\mathbf{X})$  endowed with the topology of compact convergence. If W is closed in  $\mathbb{C}$ , let K range through compact sets of  $\mathbf{X}$ . f|K is in the closure of  $C(K,\mathbb{C})$ , therefore continuous by Proposition 4.13, as  $C_c(K) \subseteq BC(K)$ . So f|K is continuous, and  $(f|K)^{-1}(W)$  is closed Rel. K. Notice

$$(f|K)^{-1}(W) = f^{-1}(W) \cap K \tag{9}$$

since we can write  $(f|K)(x) = (f \circ \iota_K)(x)$ . Where  $\iota_K : K \to X$  is the inclusion map, which is an embedding. Equation (9) follows immediately. Therefore  $f^{-1}(W) \cap K = (f|K)^{-1}(W)$  is closed Rel. K, so it is closed Rel. K. This holds for every compact K, so  $f^{-1}(W)$  is closed for any closed  $W \subseteq \mathbb{C}$ , and f is continuous.

Proposition 1.51

Proof.

Proposition 1.52

Proof.

# Theorem 4.41

Proposition 1.53

### **Exercises**

#### Exercise 4.1

#### Proposition 1.54

If card  $X \ge 2$ , there is a topology on X that is  $T_0$  but not  $T_1$ .

*Proof.* Let  $\mathcal{T}_{\mathbf{X}} = \{\emptyset\} \cup \{\{x\} \cup B, B \subseteq \mathbf{X}\}$ , where  $x \in \mathbf{X}$  is any point in  $\mathbf{X}$ . Suppose  $U_1$ , and  $U_2$  are open sets in  $\mathcal{T}_{\mathbf{X}}$ , if either is empty then their intersection must be contained in  $\mathcal{T}_{\mathbf{X}}$ . Otherwise  $U_1 = \{x\} \cup B_1$ , and  $U_2 = \{x\} \cup B_2$ , where  $B_1$  and  $B_2$  are subsets of  $\mathbf{X}$ .

$$U_1 \cap U_2 = \{x\}(B_1 \cap B_2) \in \mathcal{T}_\mathbf{X}$$

Notice also  $\{\emptyset, \mathbf{X}\} \subseteq \mathcal{T}_{\mathbf{X}}$ . Fix an arbitrary family of open sets  $\{U_{\alpha}\}_{{\alpha}\in A}$ , in similar fashion we have  $\bigcup U_{\alpha} = \{x\} \cup \left(\bigcup B_{{\alpha}\in A}\right)$  so their union is contained in  $\mathcal{T}_{\mathbf{X}}$  as well.

This topology is  $T_0$ . Fix  $y \neq z$  in X, if either y or z is x, then choosing  $\{x\}$  does the job. So assume  $x \neq y \neq z \neq x$ , and  $\{y\} \cup \{x\}$  is an open set that does not contain z. This topology cannot be  $T_1$ , as x sticks onto every open set, so there are no open sets which separate x from the other points in X.

#### Proposition 1.55

If **X** is an infinite set, the cofinite topology on **X** is  $T_1$  but not  $T_2$ , and is first countable iff **X** is countable.

*Proof.* We will first verify that the cofinite topology  $\mathcal{T}_{\mathbf{X}}$  is a topology.

$$\mathcal{T}_{\mathbf{X}} = \left\{ U, \quad U^c \text{ is finite.} \right\} \cup \left\{ \varnothing \right\}$$

So that  $\{\emptyset, \mathbf{X}\} \subseteq \mathcal{T}_{\mathbf{X}}$ . Let  $U_1$  and  $U_2$  be a pair of open sets, assuming if neither of them are empty, then  $U_2^c$  and  $U_1^c$  are finite sets, so that  $U_1^c \cup U_2^c$  is finite as well. Use DeMorgan to see that  $U_1 \cap U_2 \in \mathcal{T}_{\mathbf{X}}$ .

If  $\{U_{\alpha}\}_{{\alpha}\in A}$  is an arbitrary collection of open sets, then

$$\bigcap_{\alpha \in A} U_{\alpha}^c \subseteq U_{\beta}^c$$

where  $\beta \in A$  is arbitrary, so  $U_{\beta}^{c}$  is finite. And the union  $\bigcup U_{\alpha}$  is contained in  $\mathcal{T}_{\mathbf{X}}$ .

To show that  $\mathcal{T}_{\mathbf{X}}$  is  $T_1$ , every singleton set is closed. To show that  $\mathcal{T}_{\mathbf{X}}$  is not  $T_2$ , fix  $x \neq y$ . If  $B_x$  and  $B_y$  are open sets that contain x and y respectively. If  $B_x$  and  $B_y$  disjoint, then

$$B_x \subseteq B_y^c$$

Which means  $B_x$  is an open, finite subset. But the only open and finite subset of **X** is the empty set. This contradicts  $x \in B_x$ .

If X is countable, we will find a neighbourhood base  $\mathcal{N}_B(x)$  for any  $x \in X$  as follows:

- We can index **X** using  $\mathbb{N}^+ \cup \{0\}$ , so without loss of generality, let  $x_0 = x$ , and
- Define  $U_1 = \{x_1\}^c$ , and  $U_n = \bigcap_{i=1}^n \{x_i\}^c$  are open sets that contain x. Equivalently,

$$U_n = \left\{ x_j, \ j \geq n+1 
ight\} \cup \left\{ x_0 
ight\}$$

• If V is an open set that contains  $x_0$ , then  $V^c$  is finite, let  $M \in \mathbb{N}^+$  be the largest index of  $x_j \notin V$  (the negation of this is that if  $j \geq M+1$ , then  $x_j \in V$ ) then  $U_{M+1} \subseteq V$  as needed, and X is first countable.

Conversely, if **X** is first countable, we can find a descending sequence of neighbourhoods which form a neighbourhood base,  $\{U_j\}_{j\geq 1}\subseteq \mathcal{T}_{\mathbf{X}}$ . And each  $U_j^c$  is finite, so  $\bigcup U_j^c$  is countable. Assume for contradiction that **X** is uncountable, then

$$igcup U_j^c = \left(igcap U_j
ight)^c$$

is countable, hence the intersection  $\bigcap U_j$  must be uncountable (hence infinite). Pick  $y \neq x$ , where y belongs in the intersection of all neighbourhoods  $U_j$ . This contradicts the fact that  $\{U_j\}$  is a neighbourhood base, as x is an element in the open set  $\{y\}^c$  therefore there must be a  $U_k$ 

$$x \in U_k \subseteq \{y\}^c$$

But  $y \in U_k$  for each  $U_k$  and the proof is complete.

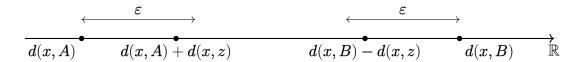


Figure 2: Exercise 4.3: Finding a  $\varepsilon$  small enough that fits within d(x,A) < d(x,B)

#### Proposition 1.56

Every metric space is normal. (If A, B are disjoint closed sets in the metric space, consider the set of points x where d(x, A) < d(x, B) or d(x, A) > d(x, B)).

*Proof.* First, we show that if A is closed, then  $d(x,A) = 0 \iff x \in A$ . If  $x \in A$ , then  $d(x,A) \le d(x,x) = 0$ . if  $x \notin A$ , then there exists a ball of radius  $\varepsilon > 0$  where  $B(\varepsilon,x) \cap A = \emptyset$ . Hence,  $\varepsilon$  is a lower bound for the set  $\{d(x,y), y \in A\}$ , taking the infimum over this set we see that  $d(x,A) \ge \varepsilon > 0$ .

Fix some  $x \in \Phi_A$  where  $\Phi_A = \left\{ y \in \mathbf{X}, d(y, A) < d(y, B) \right\}$ . We wish to find an open ball about x that is contained in  $\Phi_A$ . The Triangle Inequality works for this definition of distance as well, as

$$f(a) \le g(a), \forall a \in A \implies \inf_{a \in A} f(a) \le \inf_{a \in A} g(a)$$
 (10)

If  $a \in A$ , then  $d(z, A) \leq d(x, z) + d(x, A)$ , using Equation (10) yields

$$\begin{cases} d(z,A) \leq d(x,A) + d(x,z) \\ d(x,B) - d(x,z) \leq d(z,B) \end{cases}$$

where  $z \in B(\varepsilon, x)$  so  $d(x, z) \lesssim \varepsilon$ . The second estimate above is found by 'flipping an upper bound to become a lower bound'. We can choose d(x, z) sufficiently small that

$$d(x,A) + d(x,z) < d(x,B) - d(x,z) \\$$

in order to 'pipe' the two inequalities, so

$$2d(x,z) < d(x,B) - d(x,A) \tag{11}$$

Take  $\varepsilon = [d(x, B) - d(x, A)]3^{-1}$ , then  $z \in B(\varepsilon, x)$  implies  $d(x, z) < \varepsilon$ , and Equation (11) holds. See Figure 2 for details.

Proposition 1.57

#### Proposition 1.58

Every separable metric space is second countable.

*Proof.* We wish to show that if X is a metric space, then

second countable  $\iff$  separable

Suppose X is separable, where A is a countable dense subset in X, and  $x \in X$ . Let U be an open set that contains x, so  $B(\varepsilon, x) \subseteq U$  for some  $\varepsilon > 0$ .  $B(\varepsilon/2, x)$  is a non-empty open set, therefore contains some  $y \in A$  (this follows from the definition of density). If we choose  $r \in \mathbb{Q}$  wisely,

$$d(x,y) < r < \varepsilon/2$$

So that  $x \in B(r, y)$ , and if  $z \in B(r, y)$ , then

$$d(x, z) \le d(x, y) + d(z, y) < r + r < \varepsilon$$

So  $x \in B(r, y) \subseteq U$ . But  $\{B(r, y), r \in \mathbb{Q}^+, y \in A\}$  is countable. Therefore **X** is second countable.

Conversely, Proposition 4.5 gives us the  $\Leftarrow$  direction. But we will repeat anyway, if **X** is second-countable with  $\mathcal{E}$  as a countable base, then take

$$W = \left\{ x_{lpha} \in U, \quad U \in \mathcal{E} 
ight\}$$

by picking a point from each set, we claim W is dense in X, so  $\overline{W} = X$ . If not, then  $\overline{W}^c \neq \emptyset$ , and

$$\overline{W}^c = (W^c)^o \neq \varnothing$$

Pick a point  $x \in W^{co}$ , which is an open set containing x. But the way we chose W does not allow for any open set  $U \in \mathcal{E}$  with  $x \in \mathcal{E} \subseteq W^{co}$ , since

By picking one point from each of the base sets, grouping these points and call it W, and flipping to the complement. Each  $U \in \mathcal{E}$  admits a point that escapes  $W^{co}$ . Therefore we can ensure no  $U \in \mathcal{E}$  can be a subset of  $W^{co}$ .

Proposition 1.59

#### Proposition 1.60

If **X** is a topological space, a point  $x \in \mathbf{X}$  is called a cluster point of the sequence  $\{x_j\}$  if for every neighbourhood  $U \in \mathcal{N}(x)$ ,  $x_j \in U$  for infinitely many j. If **X** is first countable, x is a cluster point of  $\{x_j\}$  iff some subsequence of  $\{x_j\}$  converges to x.

*Proof.* Suppose  $\{x_n\}$  has a cluster point in  $z \in X$ . Fix a descending sequence of neighbourhoods  $U_k \subseteq \mathcal{N}(z)$ , where

$$U_1 \supseteq U_2 \supseteq \cdots \supseteq U_k$$

Define  $n_k = \text{least}\left\{j \in \mathbb{N}^+, j > n_{k-1}, x_j \in U_k\right\}$  with  $n_0 = 0$ , so that for every  $m \geq k, x_{n_m} \in U_k$  eventually. And  $\{x_{n_j}\}_{j\geq 1}$  is a subsequence which converges to z. This proves  $(\Longrightarrow)$ .

Conversely (this part does not require that **X** be first countable), if  $\{x_{n_k}\}_{k\geq 1}$  is a subsequence that converges to  $z\in \mathbf{X}$ . Every neighbourhood of z must intersect all but infinitely many  $x_{n_k}$ , therefore z is a cluster point of  $\{x_n\}$ .

### Proposition 1.61

If **X** is an infinite set with the cofinite topology and  $\{x_j\}$  is a sequence of distinct points in **X**, then  $x_j \to x$  for every  $x \in \mathbf{X}$ .

*Proof.* The intuition here is that the cofinite topology does not distinguish between points, so it acts as a type of jelly that hides the points.

Let  $x \in \mathbf{X}$  be arbitrary, if  $U \in \mathcal{N}(x)$  then  $U^o \in \mathcal{N}(x)$ , so that  $\{y_j\}_{j \leq k}$  are the k points that are required to extend  $U^o$  to  $\mathbf{X}$ . (All but finitely many points are in any open set of  $\mathbf{X}$ ).

There exists a large  $N \in \mathbb{N}^+$  so that for every  $n \geq N$ ,

$$x_i \notin \{y_i\}_{i \le k} \implies x_i \in U^o$$

eventually. And  $x_j \to x$ .

Proposition 1.62

#### Proposition 1.63

A topological space X is called disconnected if there exists non-empty, disjoint open sets U, V and  $U \cup V = X$ ; otherwise X is connected. When we speak of connected or disconnected subsets of X, we refer to the relative topology on them

- (a) X is connected iff  $\emptyset$  and X are the only two clopen sets.
- (b) If  $\{E_{\alpha}\}_{{\alpha}\in A}$  is a collection of connected subsets of **X**, and  $bigcapE_{{\alpha}\in A}$  is non-empty, then  $\bigcup E_{{\alpha}\in A}$  is connected.
- (c) If  $A \subseteq X$  is connected, then  $\overline{A}$  is connected,
- (d) Every point in  $x \in \mathbf{X}$  contained in a unique maximal connected subset of  $\mathbf{X}$ , and this subset is closed. It is called the connected component of x.

*Proof.* The proof is rather long, so we will split it in several parts. A topological space is disconnected iff it can be written as a disjoint union of two non-empty open sets. Often it is easier to show that a space is disconnected rather than connected.

Part A: Suppose X is disconnected, this induces a pair of non-empty open sets, A, and B whose union is X, and

$$A \cap B = \varnothing \iff A \subseteq B^c$$

their union is  $\mathbf{X}$ , hence

$$A \cup B = \mathbf{X} \iff B^c \subseteq A$$

combining the last two estimates, we see that  $B = A^c$ , so both A and  $A^c = B$  are closed. This proves ( $\iff$ ).

Now suppose  $\{A, A^c\} \neq \{\emptyset, \mathbf{X}\}$  are both clopen. Clearly A is disjoint from its complement, and their union is  $\mathbf{X}$ .

Part B: We will attempt the contrapositive. Suppose  $E = \bigcup E_{\alpha \in A}$  is disconnected. This induces D and  $D^c$  which are clopen in the relative topology of E, (by Part A). More precisely,

$$\bigcup E_{\alpha} = \underbrace{\bigcup (E_{\alpha} \cap D)}_{\neq \varnothing} + \underbrace{\bigcup (E_{\alpha} \setminus D)}_{\neq \varnothing} \tag{12}$$

The intersection  $\bigcap E_{\alpha \in A}$  is non-trivial, hence

$$\bigcap E_{\alpha} = \underbrace{\bigcap (E_{\alpha} \cap D)}_{\neq \varnothing} + \bigcap (E_{\alpha} \setminus D) \neq \varnothing \tag{13}$$

so at least one of the members on the right are non-empty. Assume without loss of generality that  $\bigcap (E_{\alpha} \cap D)$  is not empty. This tells us  $E_{\alpha} \cap D = \neq \emptyset$  for each  $\alpha \in A$ . But by Equation (12), if we

concentrate on the right member,

$$\bigcup (E_{\alpha} \setminus D) \neq \emptyset \implies \exists \beta \in A, \ E_{\alpha} \setminus D \neq \emptyset$$

And for this particular  $\beta \in A$ , we see that both D and  $D^c$  are non-trivially open in  $E_{\beta}$ , and the proof is complete. A poetic way to summarize the proof would be:

If the whole is disconnected, and there exists common ground over which the family of sets covers, and because the common ground (intersection) is non-trivial, either D or  $D^c$  is non-trivially open in all  $E_{\alpha}$ . The intersection gives us " $\forall$ ", while the union gives us " $\exists$ " for a non-trivially open D or  $D^c$ .

There is an alternate way of proving Part B, without using the clopen definition of connectedness. Let C and D be non-empty, disjoint, open sets in  $\bigcup E_{\alpha}$  whose union is  $\bigcup E_{\alpha}$ .

$$\bigcap E_{\alpha} = \bigcap [E_{\alpha} \cap C] + \bigcap [E_{\alpha} \cap D] \neq \emptyset$$

Pick  $p \in \bigcap E_{\alpha}$ , without loss of generality, assume  $p \in \bigcap [E_{\alpha} \cap C]$ , then for every  $\alpha$  we have

$$p \in E_{\alpha} \cap C \implies E_{\alpha} \cap C \neq \emptyset$$

Since  $E_{\alpha}$  is connected,  $E_{\alpha} \cap D = \emptyset$  for each  $\alpha$ . Taking the union over all  $E_{\alpha} \cap D$ , we see that

$$\bigcup [E_{\alpha} \cap D] = \emptyset$$

which contradicts the assumption  $D \neq \emptyset$ .

Part C: Suppose  $\overline{A}$  is disconnected, this induces a non-trivial clopen set D relative to  $\overline{A}$ .

- Since  $\overline{A} \cap D \neq \emptyset$ , choose any  $y \in \overline{A} \cap D \subseteq \overline{A}$ , since D is a neighbourhood of y, and y is an adherent point of A. It is immediate that  $A \cap D$  is non-empty.
- Similarly for  $A \setminus D \neq \emptyset$ ,

therefore  $\{D, D^c\}$  is non-trivially clopen in A, and A is disconnected.

Part D: The idea here is to use Part B. Let x be fixed, and  $\{E_{\alpha}\}_{{\alpha}\in A}$  be the family of all connected sets containing x, since their intersection is non-trivial, their union, E is connected. The closure of their union is then the maximal connected component containing x. Indeed, if G is a connected set containing x, then  $G \subseteq \bigcup E_{\alpha} = E$ , so  $G \subseteq \overline{E}$ .

### Proposition 1.64

If  $E_1, \ldots E_n$  are subsets of a topological space, the closure of  $\bigcup_{1}^{n} E_j$  is  $\bigcup_{1}^{n} \overline{E_j}$ 

*Proof.* The finite union of closed sets is again closed, so

$$\forall j \leq n, \ E_j \subseteq \overline{E_j} \implies \overline{\bigcup_{1}^n E_j} \subseteq \bigcup_{1}^n \overline{E_j}$$

For the reverse estimate,  $E_j \subseteq \bigcup_1^n E_j \subseteq \overline{\bigcup_1^n E_j}$  is a closed set that contains each  $E_j$ , therefore

$$\forall j \leq n, \ \overline{E_j} \subseteq \overline{\bigcup_{1}^{n} E_j} \implies \bigcup_{1}^{n} \overline{E_j} \subseteq \overline{\bigcup_{1}^{n} E_j}$$

### Corollary 1.2

The interior operator distributes over intersections. If A and B are subsets of X, then

$$\overline{(A^c \cup B^c)} = (\overline{A^c} \cup \overline{B^c})$$
 $\left(\overline{(A^c \cup B^c)}\right)^c = A^o \cap B^o$ 
 $\left(A^c \cup B^c\right)^{co} = A^o \cap B^o$ 
 $(A \cap B)^o = A^o \cap B^o$ 

#### Proposition 1.65

Let **X** be a set. A Kuratowski closure operator on **X** is a map  $A \mapsto A^*$  from  $\mathbb{P}(\mathbf{X})$  to itself satisfying

- (i)  $\emptyset^* = \emptyset$  (does nothing to the empty set),
- (ii)  $A \subseteq A^*$  (monotonicity),
- (iii)  $(A^*)^* = A^*$  (idempotence)
- (iv)  $(A \cup B)^* = A^* \cup B^*$  (distributes over finite unions)

Prove

- (a) If **X** is a topological space, the map  $A \mapsto \overline{A}$  is a Kuratowski closure operator. (Use Exercise 11.)
- (b) Conversely, given a Kuratowski closure operator, let  $\mathcal{F} = \{A \subseteq \mathbf{X}, A = A^*\}$  and  $\mathcal{T} = \{U \subseteq \mathbf{X}, U^c \in \mathcal{F}\}$ , then  $\mathcal{T}$  is a topology on  $\mathbf{X}$ , and for any set  $A \subseteq \mathbf{X}$ ,  $A^*$  will be its closure with respect to  $\mathcal{T}$ .

*Proof.* Part A: The empty set is closed, so  $\overline{\varnothing} = \varnothing$ , and  $\overline{A}$  is the smallest closed superset of A, so  $A \subseteq \overline{A}$  for every  $A \subseteq X$ .  $A \subseteq X$  is closed iff  $\overline{A} = A$ , so idempotence holds. Distributivity follows from Exercise 11 directly.

Part B: We first show that  $\mathcal{T}$  is indeed a topology. Fix  $U_1$  and  $U_2$  in  $\mathcal{T}$ , so that  $U_1^c \cup U_2^c = (U_1 \cap U_2)^c$ . The map  $A \mapsto A^*$  distributes over finite unions, hence

$$(U_1^c \cup U_2^c)^* = (U_1^c)^* \cup (U_2^c)^* = U_1^c \cup U_2^c$$

Therefore  $U_1 \cap U_2 \in \mathcal{T}$ . Now suppose  $\{U_{\alpha}\}_{{\alpha} \in A} \subseteq \mathcal{T}$ , then

$$\left(\bigcup U_{lpha}
ight)^{c}=\bigcap U_{lpha}^{c}$$

by monotonicity (Property ii):  $\bigcap U_{\alpha}^{c} \subseteq \left(\bigcap U_{\alpha}^{c}\right)^{*}$ . To prove the reverse inclusion, notice if  $\alpha$  is held fixed,

$$\bigcap U_{\alpha}^{c} \subseteq U_{\alpha}^{c} \implies \left(\bigcap U_{\alpha}^{c}\right)^{*} \subseteq U_{\alpha}^{c*}$$

this follows from 'monotonicity' of the closure operator: if A is a subset of B, then we can write

$$B = A + (B \setminus A) \implies A^* \subseteq A^* + (B \setminus A)^* = B^*$$

Take the intersection over all  $\alpha \in A$  on the right member,

$$\left(\bigcap U_{lpha}^{c}\right)^{*}\subseteq\bigcap U_{lpha}^{c*}=\bigcap U_{lpha}^{c}$$

Hence  $\left(\bigcap U_{\alpha}^{c}\right)^{*} = \bigcap U_{\alpha}^{c}$ . The empty set and **X** are elements of  $\mathcal{F}$ . Since **X**  $\subseteq$  **X**\*  $\subseteq$  **X**, and  $\{\varnothing, \mathbf{X}\} \subseteq \mathcal{T}$ . So  $\mathcal{T}$  is a topology.

Finally,  $A^*$  is a closed superset of A and suppose K is another closed superset,

$$A \subseteq K \implies A^* \subseteq K^*$$

So  $A^*$  is the smallest closed superset of A and this proves the last claim.

#### Exercise 4.13

#### Proposition 1.66

If **X** is a topological space, U is open in **X** and A is dense in **X**, then  $\overline{U} = \overline{U \cap A}$ .

*Proof.* The takeaway here is that if A is dense in X, every point  $z \in U$  can be approximated by points in  $U \cap A$ . And and important technique of 'demoting' the neighbourhood to become the interior of the neighbourhood can yield some nice properties. Since the interior of a neighbourhood is again a neighbourhood. This allows intersection with open sets to inherit the 'neighbourhoodness' of the set.

Let  $z \in \overline{U}$ , and fix a neighbourhood  $V \in \mathcal{N}(z)$ , so that the interior of V is also a neighbourhood. By the alternate definition of  $\overline{U}$  in terms of adherent points (see Proposition 1.9) of  $\overline{U}$ ,  $V^o \cap U \neq \emptyset$ . This is a non-empty open set, therefore it must intersect A non-trivially.

$$x\in (V^o\cap U)\cap A=V^o\cap (U\cap A)$$

and  $z \in \overline{U \cap A}$ .

#### Remark 1.7

We simply used the fact

$$\overline{E} = \left\{ x \in \mathbf{X}, \ \forall V \in \mathcal{N}(x), \ V \cap E \neq \varnothing \right\}$$

and the following equivalent characterization of density

E is dense in  $X \iff$  For every non-empty open set  $U, U \cap E \neq \emptyset$ 

### Proposition 1.67

If X and Y are topological spaces,  $f: X \to Y$  is continuous iff  $f(\overline{A}) \subseteq \overline{f(A)}$  for every  $A \subseteq X$  iff  $\overline{f^{-1}(B)} \subseteq f^{-1}(\overline{B})$  for all  $B \subseteq Y$ .

*Proof. First Equivalence:* If f is continuous, fix any  $A \subseteq X$ , and  $z \in \overline{A}$ , by Proposition 1.9 (I will spare you the flipping by including):

$$\overline{A} = \{x \in \mathbf{X}, \ \forall U \in \mathcal{N}(X), \ U \cap A \neq \emptyset\}$$

Let  $U \in \mathcal{N}(f(z))$ , so that  $f^{-1}(U^o)$  is an open set containing z and  $f^{-1}(U^o) \in \mathcal{N}(z)$ , so

$$f^{-1}(U^o) \cap A \neq \varnothing \implies U^o \cap f(A) \subseteq U \cap f(A)$$

so  $f(\overline{A}) \subseteq \overline{f(A)}$ . Conversely, suppose  $f(\overline{A}) \subseteq \overline{f(A)}$  holds for every  $A \subseteq X$ . The following is a sequence of symbolic manipulations that I found but have zero intuitive understanding about. First take the inverse image

$$\overline{A} \subseteq f^{-1}igg(f(\overline{A})igg) \subseteq f^{-1}igg(\overline{f(A)}igg)$$

Next, let F be a closed set in Y, and make the substitution  $A = f^{-1}(F)$ , hence

$$\overline{f^{-1}(F)}\subseteq f^{-1}\bigg(\overline{f(f^{-1}(F))}\bigg)\subseteq f^{-1}(\overline{F})=f^{-1}(F)$$

for the second inclusion we used the monotonicity of the closure, and since  $\overline{f^{-1}(F)} = f^{-1}(F)$ , we are done.

Second Equivalence: Suppose  $f \in C(\mathbf{X}, \mathbf{Y})$ , then  $\overline{B} \subseteq \mathbf{Y}$  is a closed set, so  $f^{-1}(\overline{B})$  is closed in  $\mathbf{X}$ . By monotonicity of the inverse image,

$$f^{-1}(B) \subseteq f^{-1}(\overline{B}) \implies \overline{f^{-1}(B)} \subseteq f^{-1}(\overline{B})$$

Conversely, if  $\overline{f^{-1}(B)} \subseteq f^{-1}(B)$  for any  $B \subseteq Y$ , take any closed  $B \subseteq Y$ , and

$$\overline{f^{-1}(B)}\subseteq f^{-1}(B)\subseteq \overline{f^{-1}(B)}$$

so  $f^{-1}(B)$  is closed, and f is in  $C(\mathbf{X}, \mathbf{Y})$ .

#### Proposition 1.68

Proof.

- (a) Let  $x \in \{f \neq g\}$ , then there exists disjoint open subsets of  $\mathbf{Y}$ ,  $f(x) \in U$  and  $g(x) \in V$ ,  $U \cap V = \emptyset$ , but  $f^{-1}(U) \cap g^{-1}(V)$  is an open set in  $\mathbf{X}$  that contains x. Therefore  $\{f \neq g\}$  is open in  $\mathbf{X}$ .
- (b) Suppose  $\{f = g\} = E$  is dense in **X**. Let  $x \in E$ , induces two disjoint open sets exactly like in part a. This is an open set that contains x, and  $y \in f^{-1}(U) \cap g^{-1}(V) \cap E$ . Since  $y \in E$ , it follows that f(y) = g(y), and

$$\begin{cases} y \in f^{-1}(U) \implies & f(y) \in U \\ y \in g^{-1}(V) \implies & g(y) \in V \end{cases}$$

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