

# 2019-03-12 AVL Trees

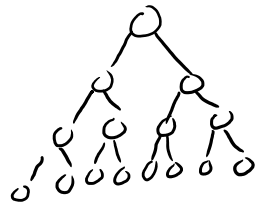
Tuesday, March 12, 2019 8:58 AM

## Visualization Resources

- BST Visualization: <http://www.cs.usfca.edu/~galles/visualization/BST.html>
- AVL Visualization: <http://www.cs.usfca.edu/~galles/visualization/AVLtree.html>
- Visualization homepage: <http://www.cs.usfca.edu/~galles/visualization/Algorithms.html>

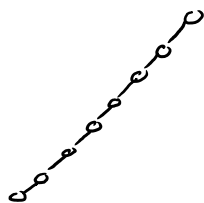
## AVL Tree Properties

- An AVL tree is a BST with one additional rule:
  - For each node, the difference between the height of the right subtree and the left subtree cannot be greater than one
- This property must always remain true. This means that the tree might have to be adjusted on an insert or removal
- Unlike a BST, an AVL tree is guaranteed to be fairly balanced
  - Why does this matter?
- Consider a well-balanced tree



# of nodes  
 $= (2^{\text{height}}) - 1$   
cost of find  $\log_2 \text{height}$   
" = height

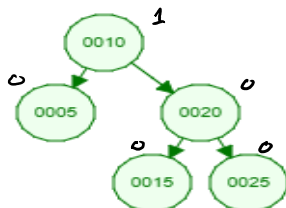
Consider a poorly-balanced tree



cost of find = N

- There are massive performance implications when a tree becomes greatly imbalanced.
- Thus, an AVL tree is a way to guarantee good performance regardless of insert sequence. Unlike BSTs, AVL tree guarantee  $O(\log N)$  performance

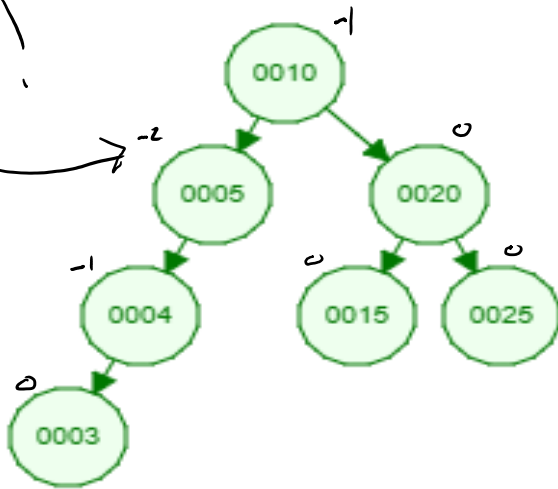
Is this an AVL tree?



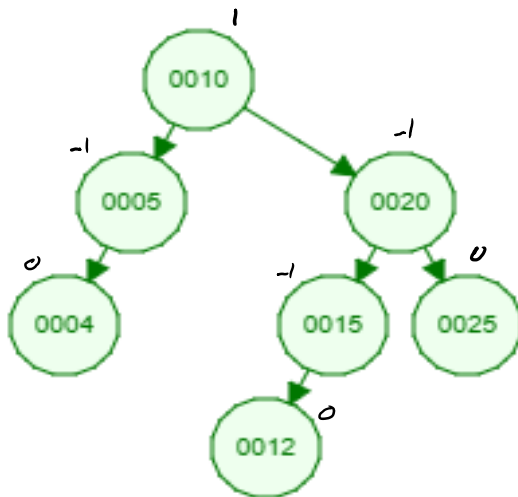
yes!

What about this one?

No!

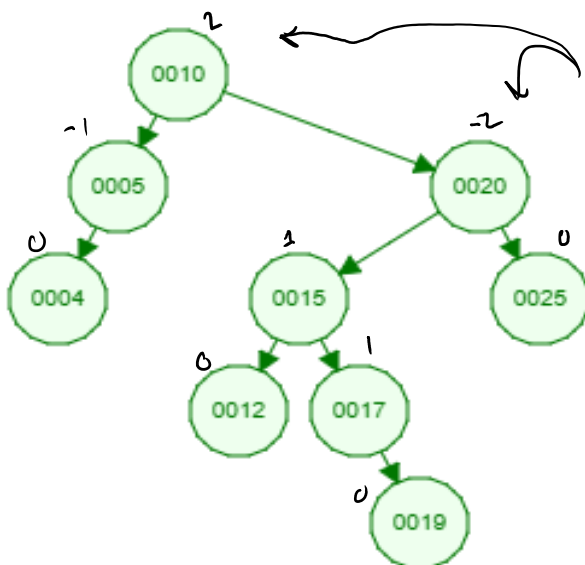


This one?



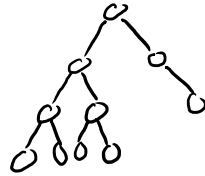
yes!

This one?

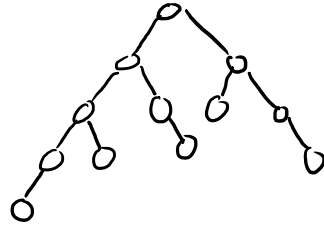


No!

How unbalanced can an AVL tree of height 3 be?



Draw an AVL tree of height 4 that has the fewest possible nodes in it



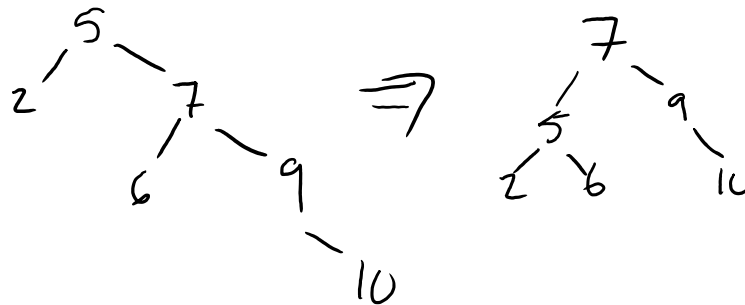
### Constructing an AVL tree

- Observation: a tree with 0 nodes is AVL compliant
  - Meaning all trees start out as AVL trees
- If we start with an AVL tree, it is relatively "easy" to maintain AVL correctness if we verify AVL correctness after every tree operation (i.e. insert and remove)

### Verifying AVL correctness

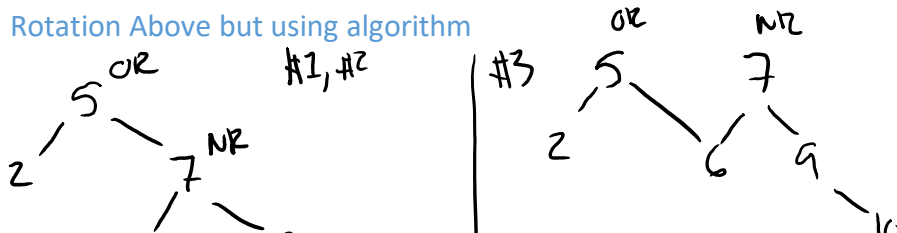
1. Working up from where the tree was modified back up to root, find where the tree is imbalanced
2. If we find an imbalanced node (  $\text{abs}(\text{balance factor}) > 1$  ), we need to adjust the tree at that point. This is called a rotation.

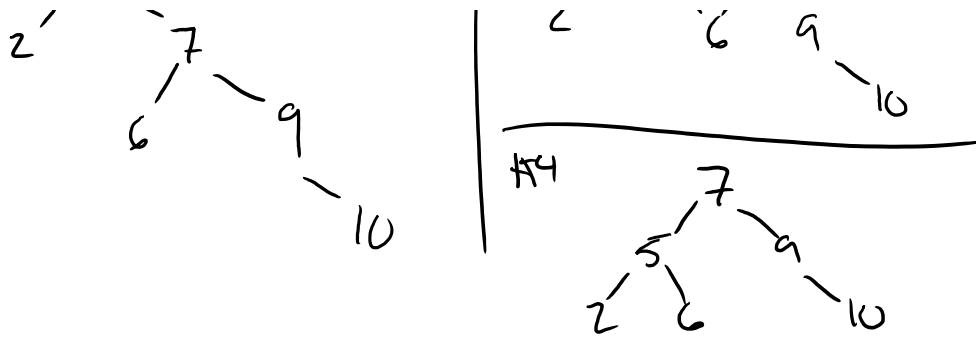
When the balance factor is +2, we do a left rotation



- At the node whose balance factor is equal to 2, do the following:
  1. Let OriginalRoot = the imbalanced node (5 in the case above)
  2. Let NewRoot = OriginalRoot->RightChild() (7 in case above)
  3. Set OriginalRoot's right child equal to NewRoot's left child
  4. Set NewRoot's left child equal to OriginalRoot

Rotation Above but using algorithm

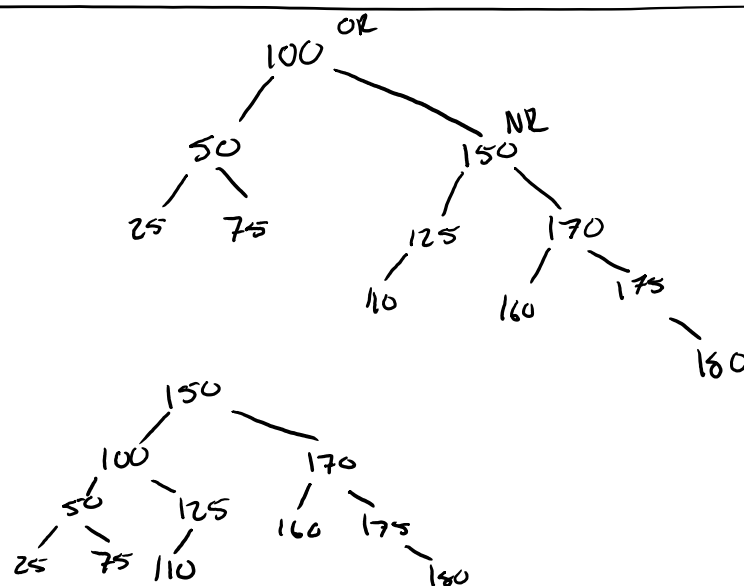
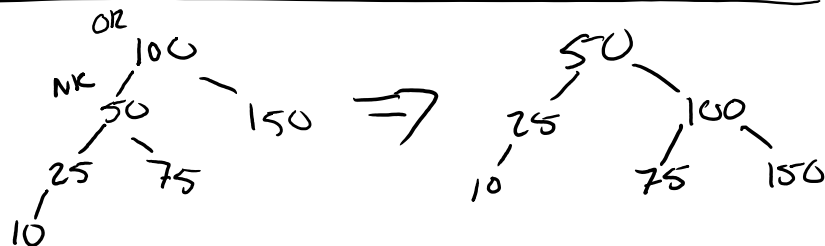
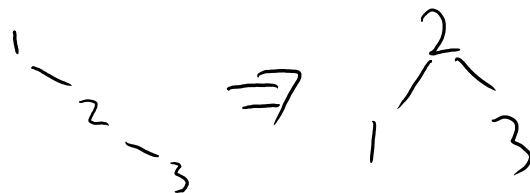




### Right (clockwise rotation) Algorithm

- At the location where balance factor equals -2
- Let OriginalRoot = the imbalanced node
  - Let NewRoot = OriginalRoot->Left()
  - Set OriginalRoot's left child equal to NewRoot's right child
  - Set NewRoot's right child equal to OriginalRoot

### Examples



Add values 1 through 10 to an empty AVL tree

Add values 1 through 10 to an empty AVL tree

