

## SYNTAX ANALYSIS

### 4.1 ROLE OF THE PARSER :

Parser for any grammar is program that takes as input string  $w$  (obtain set of strings tokens from the lexical analyzer) and produces as output either a parse tree for  $w$  , if  $w$  is a valid sentences of grammar or error message indicating that  $w$  is not a valid sentences of given grammar. The goal of the parser is to determine the syntactic validity of a source string is valid, a tree is built for use by the subsequent phases of the computer. The tree reflects the sequence of derivations or reduction used during the parser. Hence, it is called parse tree. If string is invalid, the parse has to issue diagnostic message identifying the nature and cause of the errors in string. Every elementary subtree in the parse tree corresponds to a production of the grammar.

There are two ways of identifying an elementry subtree:

1. By deriving a string from a non-terminal or
2. By reducing a string of symbol to a non-terminal.

The two types of parsers employed are:

- a. Top down parser: which build parse trees from top(root) to bottom(leaves)
- b. Bottom up parser: which build parse trees from leaves and work up the root.

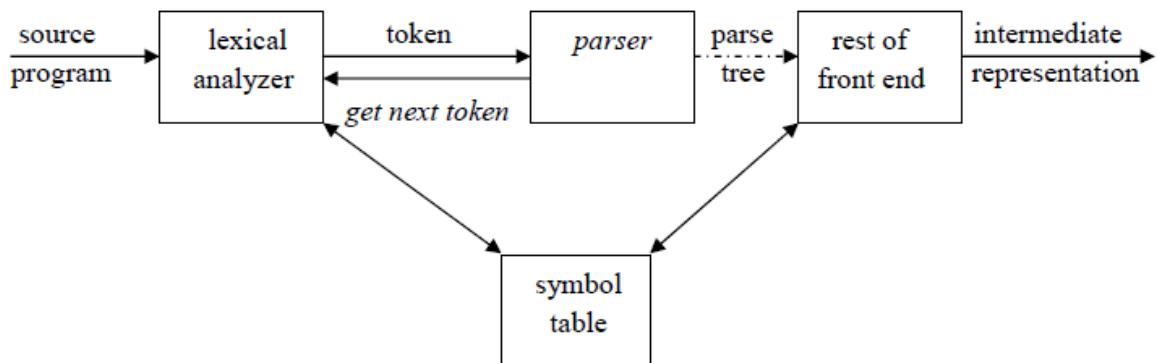


Fig . 4.1: position of parser in compiler model.

### 4.2 CONTEXT FREE GRAMMARS

Inherently recursive structures of a programming language are defined by a context-free Grammar. In a context-free grammar, we have four triples  $G( V,T,P,S)$ .

Here ,  $V$  is finite set of terminals (in our case, this will be the set of tokens)

$T$  is a finite set of non-terminals (syntactic-variables)

P is a finite set of production rules in the following form

$A \rightarrow \alpha$  where A is a non-terminal and  $\alpha$  is a string of terminals and non-terminals (including the empty string)

S is a start symbol (one of the non-terminal symbols)

$L(G)$  is the language of G (the language generated by G) which is a set of sentences.

A sentence of  $L(G)$  is a string of terminal symbols of G. If S is the start symbol of G then  $\omega$  is a sentence of  $L(G)$  iff  $S \Rightarrow^* \omega$  where  $\omega$  is a string of terminals of G. If G is a context-free grammar,  $L(G)$  is a context-free language. Two grammar  $G_1$  and  $G_2$  are equivalent, if they produce same grammar.

Consider the production of the form  $S \Rightarrow \alpha$ , If  $\alpha$  contains non-terminals, it is called as a sentential form of G. If  $\alpha$  does not contain non-terminals, it is called as a sentence of G.

#### 4.2.1 Derivations

In general a derivation step is

$\alpha A \beta \Rightarrow \alpha \gamma \beta$  is sentential form and if there is a production rule  $A \rightarrow \gamma$  in our grammar. where  $\alpha$  and  $\beta$  are arbitrary strings of terminal and non-terminal symbols  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  ( $\alpha_n$  derives from  $\alpha_1$  or  $\alpha_1$  derives  $\alpha_n$ ). There are two types of derivation

- 1 At each derivation step, we can choose any of the non-terminal in the sentential form of G for the replacement.
- 2 If we always choose the left-most non-terminal in each derivation step, this derivation is called as left-most derivation.

Example:

$$E \rightarrow E + E \mid E - E \mid E * E \mid E / E \mid - E$$

$$E \rightarrow ( E )$$

$$E \rightarrow id$$

Leftmost derivation :

$$E \rightarrow E + E$$

$$\rightarrow E * E + E \rightarrow id * E + E \rightarrow id * id + E \rightarrow id * id + id$$

The string is derived from the grammar  $w = id * id + id$ , which consists of all terminal symbols

Rightmost derivation

$$E \rightarrow E + E$$

$$\rightarrow E + E * E \rightarrow E + E * id \rightarrow E + id * id \rightarrow id + id * id$$

Given grammar G :  $E \rightarrow E + E \mid E * E \mid ( E ) \mid - E \mid id$

Sentence to be derived :  $-(id + id)$

### LEFTMOST DERIVATION

$E \rightarrow -E$   
 $E \rightarrow - ( E )$   
 $E \rightarrow - ( E+E )$   
 $E \rightarrow - ( id+E )$   
 $E \rightarrow - ( id+id )$

### RIGHTMOST DERIVATION

$E \rightarrow - E$   
 $E \rightarrow - ( E )$   
 $E \rightarrow - ( E+E )$   
 $E \rightarrow - ( E+id )$   
 $E \rightarrow - ( id+id )$

- String that appear in leftmost derivation are called **left sentinel forms**.
- String that appear in rightmost derivation are called **right sentinel forms**.

### Sentinels:

- Given a grammar G with start symbol S, if  $S \rightarrow \alpha$ , where  $\alpha$  may contain non-terminals or terminals, then  $\alpha$  is called the sentinel form of G.

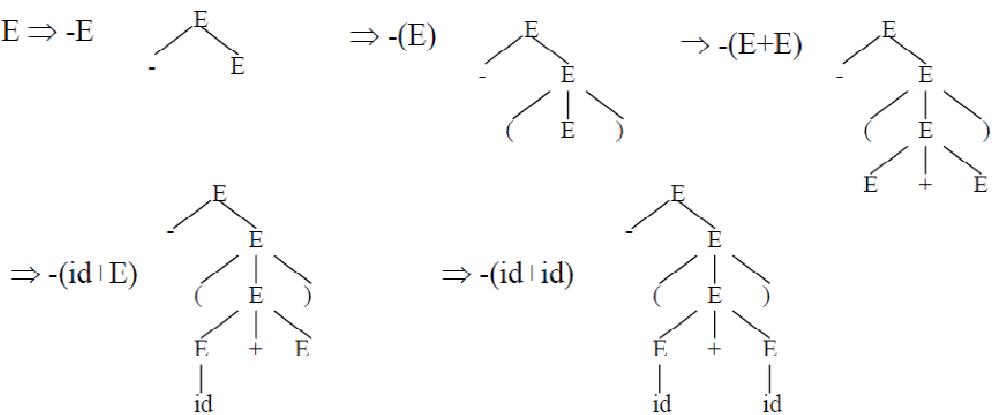
### Yield or frontier of tree:

- Each interior node of a parse tree is a non-terminal. The children of node can be a terminal or non-terminal of the sentinel forms that are read from left to right. The sentinel form in the parse tree is called **yield** or **frontier** of the tree.

### 4.2.2 PARSE TREE

- Inner nodes of a parse tree are non-terminal symbols.
- The leaves of a parse tree are terminal symbols.
- A parse tree can be seen as a graphical representation of a derivation.

Example:



### Ambiguity:

A grammar that produces more than one parse for some sentence is said to be **ambiguous grammar**.

Example : Given grammar G :  $E \rightarrow E+E \mid E^*E \mid ( E ) \mid - E \mid id$

The sentence  $id+id^*id$  has the following two distinct leftmost derivations:

$E \rightarrow E+E$	$E \rightarrow E^*E$
$E \rightarrow id+E$	$E \rightarrow E+E * E$
$E \rightarrow id+E * E$	$E \rightarrow id+E * E$
$E \rightarrow id+id * E$	$E \rightarrow id+id * E$
$E \rightarrow id+id * id$	$E \rightarrow id+id * id$

The two corresponding parse trees are :



Example:

To disambiguate the grammar  $E \rightarrow E+E \mid E^*E \mid E^\wedge E \mid id \mid (E)$ , we can use precedence of operators as follows:

$\wedge$  (right to left)

$/, *$  (left to right)

$-,+$  (left to right)

We get the following unambiguous grammar:

$$E \rightarrow E+T \mid T$$

$$T \rightarrow T^*F \mid F$$

$$F \rightarrow G^\wedge F \mid G$$

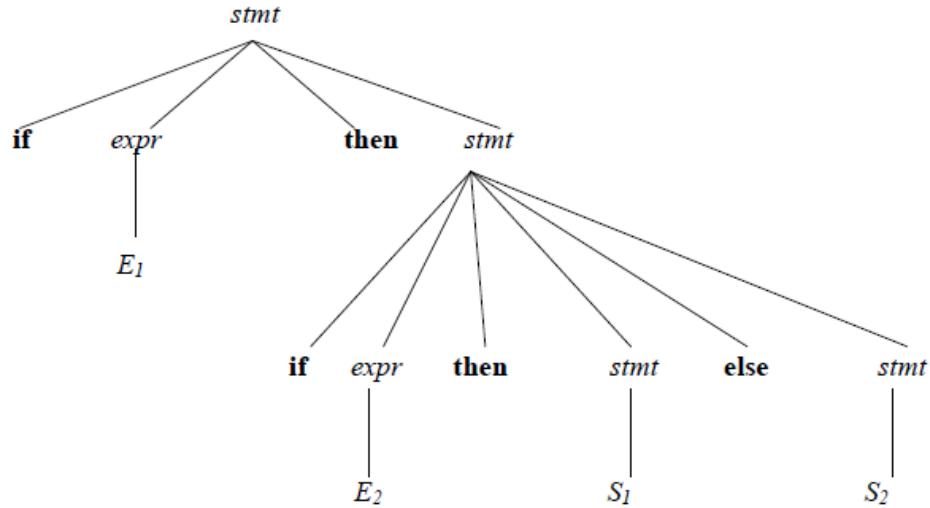
$$G \rightarrow id \mid (E)$$

Consider this example, G:  $stmt \rightarrow if\ expr\ then\ stmt \mid if\ expr\ then\ stmt\ else\ stmt \mid other$

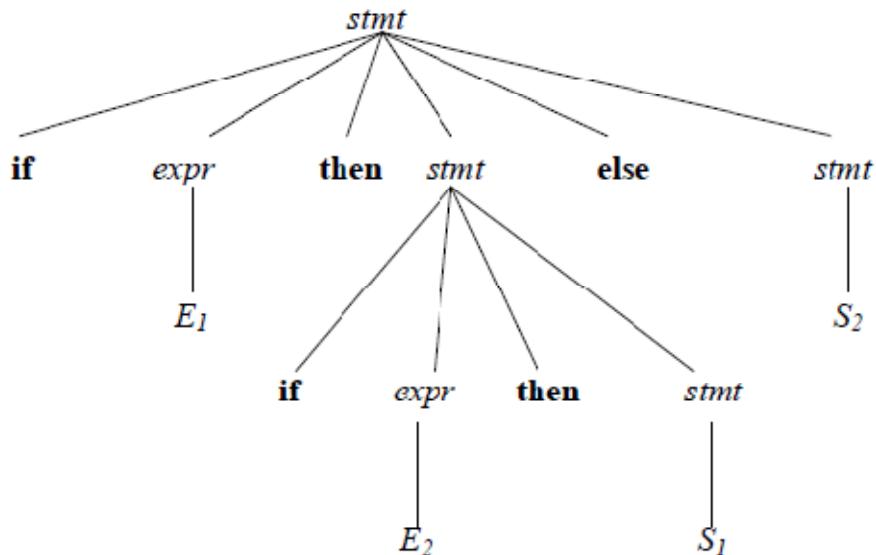
This grammar is ambiguous since the string **if E1 then if E2 then S1 else S2** has the following

Two parse trees for leftmost derivation :

1.



2.



To eliminate ambiguity, the following grammar may be used:

$stmt \rightarrow matched\_stmt \mid unmatched\_stmt$

$matched\_stmt \rightarrow if\ expr\ then\ matched\_stmt \mid else\ matched\_stmt \mid other$

$unmatched\_stmt \rightarrow if\ expr\ then\ stmt \mid if\ expr\ then\ matched\_stmt \mid else\ unmatched\_stmt$

### Eliminating Left Recursion:

A grammar is said to be *left recursive* if it has a non-terminal  $A$  such that there is a derivation  $A \Rightarrow A\alpha$  for some string  $\alpha$ . Top-down parsing methods cannot handle left-recursive grammars. Hence, left recursion can be eliminated as follows:

If there is a production  $A \rightarrow A\alpha \mid \beta$  it can be replaced with a sequence of two productions

$$\begin{aligned} A &\rightarrow \beta A' \\ A' &\rightarrow \alpha A' \mid \epsilon \end{aligned}$$

Without changing the set of strings derivable from A.

**Example :** Consider the following grammar for arithmetic expressions:

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

First eliminate the left recursion for E as

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

Then eliminate for T as

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

Thus the obtained grammar after eliminating left recursion is

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

### Algorithm to eliminate left recursion:

1. Arrange the non-terminals in some order  $A_1, A_2 \dots A_n$ .

2. **for**  $i := 1$  **to**  $n$  **do begin**

**for**  $j := 1$  **to**  $i-1$  **do begin**

replace each production of the form  $A_i \rightarrow A_j \gamma$

by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$

where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions;

**end**

eliminate the immediate left recursion among the  $A_i$ -productions

**end**

### **Left factoring:**

Left factoring is a grammar transformation that is useful for producing a grammar suitable for predictive parsing. When it is not clear which of two alternative productions to use to expand a non-terminal A, we can rewrite the A-productions to defer the decision until we have seen enough of the input to make the right choice.

**If there is any production  $A \rightarrow a\beta_1 \mid a\beta_2$ , it can be rewritten as**

$$A \rightarrow aA'$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

Consider the grammar , G : S → iEtS | iEtSeS | a

$$E \rightarrow b$$

Left factored, this grammar becomes

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

### **TOP-DOWN PARSING**

It can be viewed as an attempt to find a left-most derivation for an input string or an attempt to construct a parse tree for the input starting from the root to the leaves.

**Types of top-down parsing :**

1. Recursive descent parsing
2. Predictive parsing

### **1. RECURSIVE DESCENT PARSING**

- Recursive descent parsing is one of the top-down parsing techniques that uses a set of recursive procedures to scan its input.
- This parsing method may involve **backtracking**, that is, making repeated scans of the input.

**Example for backtracking :**

Consider the grammar G : S → cAd

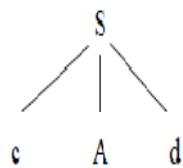
$$A \rightarrow ab \mid a$$

and the input string w=cad.

The parse tree can be constructed using the following top-down approach :

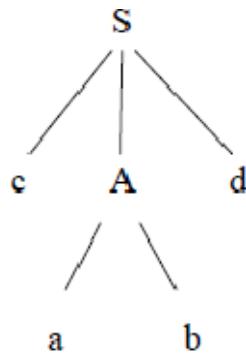
**Step1:**

Initially create a tree with single node labeled S. An input pointer points to 'c', the first symbol of w. Expand the tree with the production of S.



### Step2:

The leftmost leaf 'c' matches the first symbol of w, so advance the input pointer to the second symbol of w 'a' and consider the next leaf 'A'. Expand A using the first alternative.



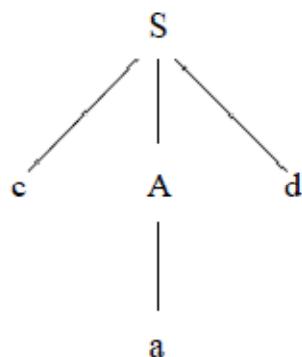
### Step3:

The second symbol 'a' of w also matches with second leaf of tree. So advance the input pointer to third symbol of w 'd'. But the third leaf of tree is b which does not match with the input symbol **d**.

Hence discard the chosen production and reset the pointer to second position. This is called **backtracking**.

### Step4:

Now try the second alternative for A.



Now we can halt and announce the successful completion of parsing.

### **Example for recursive decent parsing:**

A left-recursive grammar can cause a recursive-descent parser to go into an infinite loop.

Hence, **elimination of left-recursion** must be done before parsing.

Consider the grammar for arithmetic expressions

$$E \rightarrow E+T \mid T$$

$$T \rightarrow T^*F \mid F$$

$$F \rightarrow (E) \mid id$$

After eliminating the left-recursion the grammar becomes,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

Now we can write the procedure for grammar as follows:

#### **Recursive procedure:**

**Procedure** E()

**begin**

    T();

    EPRIME();

**End**

**Procedure** EPRIME()

**begin**

    If input\_symbol='+' then

        ADVANCE();

        T();

        EPRIME();

**end**

**Procedure** T()

**begin**

    F();

    TPRIME();

**End**

**Procedure** TPRIME( )

**begin**

If input\_symbol='\*' then

ADVANCE( );

F( );

TPRIME( );

**end**

**Procedure** F( )

**begin**

If input-symbol='id' then

ADVANCE( );

else if input-symbol='(' then

ADVANCE( );

E( );

else if input-symbol=')' then

ADVANCE( );

**end**

else ERROR( );

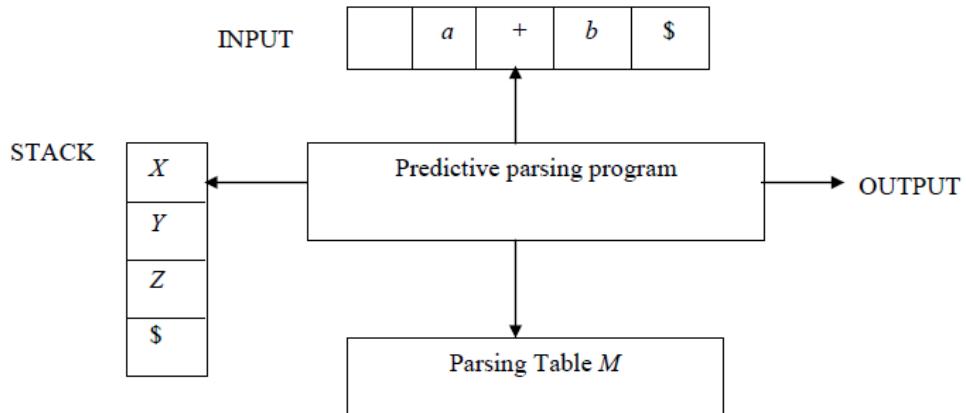
**Stack implementation:**

PROCEDURE	INPUT STRING
E()	<u>id</u> +id*id
T()	<u>id</u> +id*id
F()	<u>id</u> +id*id
ADVANCE()	id <u>+</u> id*id
TPRIME()	id <u>+</u> id*id
EPRIME()	id <u>+</u> id*id
ADVANCE()	id+ <u>id</u> *id
T()	id+ <u>id</u> *id
F()	id+ <u>id</u> *id
ADVANCE()	id+id <u>_</u> id
TPRIME()	id+id <u>*</u> id
ADVANCE()	id+id <u>*</u> id
F()	id+id <u>*</u> id
ADVANCE()	id+id* <u>id</u>
TPRIME()	id+id* <u>id</u>

## 2. PREDICTIVE PARSING

- ✓ Predictive parsing is a special case of recursive descent parsing where no backtracking is required.
- ✓ The key problem of predictive parsing is to determine the production to be applied for a non-terminal in case of alternatives.

### Non-recursive predictive parser



The table-driven predictive parser has an input buffer, stack, a parsing table and an output stream.

#### Input buffer:

It consists of strings to be parsed, followed by  $\$$  to indicate the end of the input string.

#### Stack:

It contains a sequence of grammar symbols preceded by  $\$$  to indicate the bottom of the stack. Initially, the stack contains the start symbol on top of  $\$$ .

#### Parsing table:

It is a two-dimensional array  $M[A, a]$ , where ' $A$ ' is a non-terminal and ' $a$ ' is a terminal.

#### Predictive parsing program:

The parser is controlled by a program that considers  $X$ , the symbol on top of stack, and  $a$ , the current input symbol. These two symbols determine the parser action. There are three possibilities:

1. If  $X = a = \$$ , the parser halts and announces successful completion of parsing.
2. If  $X = a \neq \$$ , the parser pops  $X$  off the stack and advances the input pointer to the next input symbol.
3. If  $X$  is a non-terminal, the program consults entry  $M[X, a]$  of the parsing table  $M$ . This entry will either be an  $X$ -production of the grammar or an error entry.

If  $M[X, a] = \{X \rightarrow UVW\}$ , the parser replaces  $X$  on top of the stack by  $UVW$

If  $M[X, a] = \text{error}$ , the parser calls an error recovery routine.

### Algorithm for nonrecursive predictive parsing:

**Input :** A string  $w$  and a parsing table  $M$  for grammar  $G$ .

**Output :** If  $w$  is in  $L(G)$ , a leftmost derivation of  $w$ ; otherwise, an error indication.

**Method :** Initially, the parser has  $\$S$  on the stack with  $S$ , the start symbol of  $G$  on top, and  $w\$$  in the input buffer. The program that utilizes the predictive parsing table  $M$  to produce a parse for the input is as follows:

set  $ip$  to point to the first symbol of  $w\$$ ;

**repeat**

    let  $X$  be the top stack symbol and  $a$  the symbol pointed to by  $ip$ ;

**if**  $X$  is a terminal or  $\$$  **then**

**if**  $X = a$  **then**

            pop  $X$  from the stack and advance  $ip$

**else**  $\text{error}()$

**else** /\*  $X$  is a non-terminal \*/

**if**  $M[X, a] = X \rightarrow Y_1 Y_2 \dots Y_k$  **then begin**

            pop  $X$  from the stack;

            push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;

            output the production  $X \rightarrow Y_1 Y_2 \dots Y_k$

**end**

**else**  $\text{error}()$

**until**  $X = \$$

### Predictive parsing table construction:

The construction of a predictive parser is aided by two functions associated with a grammar  $G$ :

1. FIRST

2. FOLLOW

### Rules for first( ):

1. If  $X$  is terminal, then  $\text{FIRST}(X)$  is  $\{X\}$ .
2. If  $X \rightarrow \epsilon$  is a production, then add  $\epsilon$  to  $\text{FIRST}(X)$ .
3. If  $X$  is non-terminal and  $X \rightarrow a\alpha$  is a production then add  $a$  to  $\text{FIRST}(X)$ .

4. If  $X$  is non-terminal and  $X \rightarrow Y_1 Y_2 \dots Y_k$  is a production, then place  $a$  in  $\text{FIRST}(X)$  if for some  $i$ ,  $a$  is in  $\text{FIRST}(Y_i)$ , and  $\epsilon$  is in all of  $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$ ; that is,  $Y_1, \dots, Y_{i-1} \Rightarrow \epsilon$ . If  $\epsilon$  is in  $\text{FIRST}(Y_j)$  for all  $j=1, 2, \dots, k$ , then add  $\epsilon$  to  $\text{FIRST}(X)$ .

#### **Rules for follow( ):**

1. If  $S$  is a start symbol, then  $\text{FOLLOW}(S)$  contains  $\$$ .
2. If there is a production  $A \rightarrow \alpha B \beta$ , then everything in  $\text{FIRST}(\beta)$  except  $\epsilon$  is placed in  $\text{follow}(B)$ .
3. If there is a production  $A \rightarrow \alpha B$ , or a production  $A \rightarrow \alpha B \beta$  where  $\text{FIRST}(\beta)$  contains  $\epsilon$ , then everything in  $\text{FOLLOW}(A)$  is in  $\text{FOLLOW}(B)$ .

#### **Algorithm for construction of predictive parsing table:**

**Input :** Grammar  $G$

**Output :** Parsing table  $M$

#### **Method :**

1. For each production  $A \rightarrow \alpha$  of the grammar, do steps 2 and 3.
2. For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, a]$ .
3. If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, b]$  for each terminal  $b$  in  $\text{FOLLOW}(A)$ . If  $\epsilon$  is in  $\text{FIRST}(\alpha)$  and  $\$$  is in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, \$]$ .
4. Make each undefined entry of  $M$  be **error**.

#### **Example:**

Consider the following grammar :

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T^* F \mid F$$

$$F \rightarrow (E) \mid \text{id}$$

After eliminating left-recursion the grammar is

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \text{id}$$

#### **First( ) :**

$$\text{FIRST}(E) = \{ (, \text{id}) \}$$

$$\text{FIRST}(E') = \{ +, \epsilon \}$$

$$\text{FIRST}(T) = \{ (, \text{id}) \}$$

$$\text{FIRST}(T') = \{ *, \epsilon \}$$

$$\text{FIRST}(F) = \{ (, \text{id}) \}$$

#### **Follow( ):**

$$\text{FOLLOW}(E) = \{ \$, ) \}$$

$$\text{FOLLOW}(E') = \{ \$, ) \}$$

$\text{FOLLOW}(T) = \{ +, \$, ) \}$

$\text{FOLLOW}(T') = \{ +, \$, ) \}$

$\text{FOLLOW}(F) = \{ +, *, \$, ) \}$

### Predictive parsing table :

NON-TERMINAL	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

### Stack implementation:

stack	Input	Output
\$E	id+id*id \$	
\$E'T	id+id*id \$	$E \rightarrow TE'$
\$E'T'F	id+id*id \$	$T \rightarrow FT'$
\$E'T'id	id+id*id \$	$F \rightarrow id$
\$E'T'	+id*id \$	
\$E'	+id*id \$	$T' \rightarrow \epsilon$
\$E'T+	+id*id \$	$E' \rightarrow +TE'$
\$E'T	id*id \$	
\$E'T'F	id*id \$	$T \rightarrow FT'$
\$E'T'id	id*id \$	$F \rightarrow id$
\$E'T'	*id \$	
\$E'T'F*	*id \$	$T' \rightarrow *FT'$
\$E'T'F	id \$	
\$E'T'id	id \$	$F \rightarrow id$
\$E'T'	\$	
\$E'	\$	$T' \rightarrow \epsilon$
\$	\$	$E' \rightarrow \epsilon$

### LL(1) grammar:

The parsing table entries are single entries. So each location has not more than one entry.  
This type of grammar is called LL(1) grammar.

Consider this following grammar:

$S \rightarrow iEtS \mid iEtSeS \mid a$

$E \rightarrow b$

After eliminating left factoring, we have

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

To construct a parsing table, we need FIRST() and FOLLOW() for all the non-terminals.

$$\text{FIRST}(S) = \{ i, a \}$$

$$\text{FIRST}(S') = \{ e, \epsilon \}$$

$$\text{FIRST}(E) = \{ b \}$$

$$\text{FOLLOW}(S) = \{ \$, e \}$$

$$\text{FOLLOW}(S') = \{ \$, e \}$$

$$\text{FOLLOW}(E) = \{ t \}$$

#### **Parsing table:**

NON-TERMINAL	a	b	e	i	t	\$
S	$S \rightarrow a$			$S \rightarrow iEtSS'$		
S'			$S' \rightarrow eS$ $S' \rightarrow \epsilon$			$S' \rightarrow \epsilon$
E		$E \rightarrow b$				

Since there are more than one production, the grammar is not LL(1) grammar.

#### **Actions performed in predictive parsing:**

1. Shift
2. Reduce
3. Accept
4. Error

#### **Implementation of predictive parser:**

1. Elimination of left recursion, left factoring and ambiguous grammar.
2. Construct FIRST() and FOLLOW() for all non-terminals.
3. Construct predictive parsing table.
4. Parse the given input string using stack and parsing table.

### **BOTTOM-UP PARSING**

Constructing a parse tree for an input string beginning at the leaves and going towards the root is called bottom-up parsing.

A general type of bottom-up parser is a **shift-reduce parser**.

### **SHIFT-REDUCE PARSING**

Shift-reduce parsing is a type of bottom-up parsing that attempts to construct a parse tree for an input string beginning at the leaves (the bottom) and working up towards the root (the top).

#### **Example:**

Consider the grammar:

$$S \rightarrow aABe$$

$$A \rightarrow Abc \mid b$$

$$B \rightarrow d$$

The sentence to be recognized is **abbcde**.

## REDUCTION (LEFTMOST)

ab~~c~~de (A → b)  
aAb~~c~~de (A → Abc)  
aAd~~e~~ (B → d)  
aABe (S → aABe)  
S

## RIGHTMOST DERIVATION

S → aABe  
→ aAde  
→ aAbcde  
→ abcd~~e~~

The reductions trace out the right-most derivation in reverse.

### Handles:

A handle of a string is a substring that matches the right side of a production, and whose reduction to the non-terminal on the left side of the production represents one step along the reverse of a rightmost derivation.

### **Example:**

Consider the grammar:

E → E+E  
E → E\*E  
E → (E)  
E → id

And the input string id<sub>1</sub>+id<sub>2</sub>\*id<sub>3</sub>

The rightmost derivation is :

E → E+E  
→ E+E\*E  
→ E+E\*id<sub>3</sub>  
→ E+id<sub>2</sub>\*id<sub>3</sub>  
→ id<sub>1</sub>+id<sub>2</sub>\*id<sub>3</sub>

In the above derivation the underlined substrings are called **handles**.

### Handle pruning:

A rightmost derivation in reverse can be obtained by “**handle pruning**”.

(i.e.) if  $w$  is a sentence or string of the grammar at hand, then  $w = \gamma_n$ , where  $\gamma_n$  is the  $n^{\text{th}}$  right-sentinel form of some rightmost derivation.

### Stack implementation of shift-reduce parsing :

Stack	Input	Action
\$	id <sub>1</sub> +id <sub>2</sub> *id <sub>3</sub> \$	shift
\$ id <sub>1</sub>	+id <sub>2</sub> *id <sub>3</sub> \$	reduce by E→id
\$ E	+id <sub>2</sub> *id <sub>3</sub> \$	shift
\$ E+	id <sub>2</sub> *id <sub>3</sub> \$	shift
\$ E+id <sub>2</sub>	*id <sub>3</sub> \$	reduce by E→id
\$ E+E	*id <sub>3</sub> \$	shift
\$ E+E*	id <sub>3</sub> \$	shift
\$ E+E*id <sub>3</sub>	\$	reduce by E→id
\$ E+E*E	\$	reduce by E→ E *E
\$ E+E	\$	reduce by E→ E+E
\$ E	\$	accept

### Actions in shift-reduce parser:

- shift – The next input symbol is shifted onto the top of the stack.
- reduce – The parser replaces the handle within a stack with a non-terminal.
- accept – The parser announces successful completion of parsing.
- error – The parser discovers that a syntax error has occurred and calls an error recovery routine.

### Conflicts in shift-reduce parsing:

There are two conflicts that occur in shift shift-reduce parsing:

1. **Shift-reduce conflict:** The parser cannot decide whether to shift or to reduce.
2. **Reduce-reduce conflict:** The parser cannot decide which of several reductions to make.

#### **1. Shift-reduce conflict:**

##### **Example:**

Consider the grammar:

E→E+E | E\*E | id and input id+id\*id

Stack	Input	Action	Stack	Input	Action
\$ E+E	*id \$	Reduce by E→E+E	\$E+E	*id \$	Shift
\$ E	*id \$	Shift	\$E+E*	id \$	Shift
\$ E*	id \$	Shift	\$E+E*id	\$	Reduce by E→id
\$ E*id	\$	Reduce by E→id	\$E+E*E	\$	Reduce by E→E*E
\$ E*E	\$	Reduce by E→E*E	\$E+E	\$	Reduce by E→E*E
\$ E			\$E		

## 2. Reduce-reduce conflict:

Consider the grammar:

$$M \rightarrow R+R \mid R+c \mid R$$

$$R \rightarrow c$$

and input c+c

Stack	Input	Action	Stack	Input	Action
\$	c+c \$	Shift	\$	c+c \$	Shift
\$ c	+c \$	Reduce by R→c	\$ c	+c \$	Reduce by R→c
\$ R	+c \$	Shift	\$ R	+c \$	Shift
\$ R+	c \$	Shift	\$ R+	c \$	Shift
\$ R+c	\$	Reduce by R→c	\$ R+c	\$	Reduce by M→R+c
\$ R+R	\$	Reduce by M→R+R	\$ M	\$	
\$ M	\$				

### **Viable prefixes:**

- $\alpha$  is a viable prefix of the grammar if there is  $w$  such that  $\alpha w$  is a right sentinel form.
- The set of prefixes of right sentinel forms that can appear on the stack of a shift-reduce parser are called viable prefixes.
- The set of viable prefixes is a regular language.

### **OPERATOR-PRECEDENCE PARSING**

An efficient way of constructing shift-reduce parser is called operator-precedence parsing.

Operator precedence parser can be constructed from a grammar called Operator-grammar. These grammars have the property that no production on right side is  $\epsilon$  or has two adjacent non-terminals.

#### **Example:**

Consider the grammar:

$$E \rightarrow EAE \mid (E) \mid -E \mid id$$

$$A \rightarrow + \mid - \mid * \mid / \mid \uparrow$$

Since the right side  $EAE$  has three consecutive non-terminals, the grammar can be written as follows:

$$E \rightarrow E+E \mid E-E \mid E^*E \mid E/E \mid E\uparrow E \mid -E \mid id$$

### **Operator precedence relations:**

There are three disjoint precedence relations namely

- $<$  - less than
- $=$  - equal to
- $>$  - greater than

The relations give the following meaning:

- $a < b$  -  $a$  yields precedence to  $b$
- $a = b$  -  $a$  has the same precedence as  $b$
- $a > b$  -  $a$  takes precedence over  $b$

### **Rules for binary operations:**

1. If operator  $\theta_1$  has higher precedence than operator  $\theta_2$ , then make

$$\theta_1 > \theta_2 \text{ and } \theta_2 < \theta_1$$

2. If operators  $\theta_1$  and  $\theta_2$  are of equal precedence, then make

$$\theta_1 > \theta_2 \text{ and } \theta_2 > \theta_1 \text{ if operators are left associative}$$
$$\theta_1 < \theta_2 \text{ and } \theta_2 < \theta_1 \text{ if right associative}$$

3. Make the following for all operators  $\theta$ :

$$\begin{aligned} \theta &< id, id > \theta \\ \theta &< (, ( < \theta \\ ) &> \theta, \theta > ) \\ \theta &> \$, \$ < \theta \end{aligned}$$

Also make

$(=), ( < \cdot ( , ) \cdot > ), ( < \cdot id , id \cdot > ), \$ < \cdot id , id \cdot > \$, \$ < \cdot ( , ) \cdot > \$$

### Example:

Operator-precedence relations for the grammar

$E \rightarrow E+E | E-E | E^*E | E/E | E\uparrow E | (E) | -E | id$  is given in the following table assuming

1.  $\uparrow$  is of highest precedence and right-associative
2. \* and / are of next higher precedence and left-associative, and
3. + and - are of lowest precedence and left-associative

Note that the **blanks** in the table denote error entries.

TABLE : Operator-precedence relations

	+	-	*	/	$\uparrow$	id	(	)	\$
+	>	>	<	<	<	<	<	>	>
-	>	>	<	<	<	<	<	>	>
*	>	>	>	>	<	<	<	>	>
/	>	>	>	>	<	<	<	>	>
$\uparrow$	>	>	>	>	<	<	<	>	>
id	>	>	>	>	.>			>	>
(	<	<	<	<	<	<	<	=	
)	>	>	>	>	>			>	>
\$	<	<	<	<	<	<	<		

### Operator precedence parsing algorithm:

**Input** : An input string  $w$  and a table of precedence relations.

**Output** : If  $w$  is well formed, a *skeletal* parse tree ,with a placeholder non-terminal E labeling all interior nodes; otherwise, an error indication.

**Method** : Initially the stack contains \$ and the input buffer the string  $w\$$ . To parse, we execute the following program :

- (1) Set  $ip$  to point to the first symbol of  $w\$$ ;
- (2) **repeat forever**
- (3)   **if** \$ is on top of the stack and  $ip$  points to \$ **then**
- (4)     **return**
- else begin**
- (5)       let  $a$  be the topmost terminal symbol on the stack  
          and let  $b$  be the symbol pointed to by  $ip$ ;
- (6)       **if**  $a < b$  or  $a = b$  **then begin**
- (7)         push  $b$  onto the stack;
- (8)         advance  $ip$  to the next input symbol;
- end;**

```

(9)    else if  $a \cdot > b$  then           /*reduce*/
(10)   repeat
(11)     pop the stack
(12)   until the top stack terminal is related by  $<$ 
          to the terminal most recently popped
(13)   else error( )
end

```

### **Stack implementation of operator precedence parsing:**

Operator precedence parsing uses a stack and precedence relation table for its implementation of above algorithm. It is a shift-reduce parsing containing all four actions shift, reduce, accept and error.

The initial configuration of an operator precedence parsing is

STACK	INPUT
\$	w \$

where w is the input string to be parsed.

### **Example:**

Consider the grammar  $E \rightarrow E+E \mid E-E \mid E^*E \mid E/E \mid E\uparrow E \mid (E) \mid id$ . Input string is **id+id\*id**. The implementation is as follows:

STACK	INPUT	COMMENT
\$	$\cdot id + id * id \$$	shift id
\$ id	$\cdot id + id * id \$$	pop the top of the stack id
\$	$\cdot + id * id \$$	shift +
\$ +	$\cdot id * id \$$	shift id
\$ + id	$\cdot + id \$$	pop id
\$ +	$\cdot + * id \$$	shift *
\$ + *	$\cdot + * id \$$	shift id
\$ + * id	$\cdot + * \$$	pop id
\$ + *	$\cdot + \$$	pop *
\$ +	$\cdot + \$$	pop +
\$	$+ \$$	accept

### **Advantages of operator precedence parsing:**

1. It is easy to implement.
2. Once an operator precedence relation is made between all pairs of terminals of a grammar , the grammar can be ignored. The grammar is not referred anymore during implementation.

### **Disadvantages of operator precedence parsing:**

1. It is hard to handle tokens like the minus sign (-) which has two different precedence.
2. Only a small class of grammar can be parsed using operator-precedence parser.

## LR PARSERS

An efficient bottom-up syntax analysis technique that can be used to parse a large class of CFG is called LR( $k$ ) parsing. The ‘L’ is for left-to-right scanning of the input, the ‘R’ for constructing a rightmost derivation in reverse, and the ‘ $k$ ’ for the number of input symbols. When ‘ $k$ ’ is omitted, it is assumed to be 1.

### Advantages of LR parsing:

- ✓ It recognizes virtually all programming language constructs for which CFG can be written.
- ✓ It is an efficient non-backtracking shift-reduce parsing method.
- ✓ A grammar that can be parsed using LR method is a proper superset of a grammar that can be parsed with predictive parser.
- ✓ It detects a syntactic error as soon as possible.

### Drawbacks of LR method:

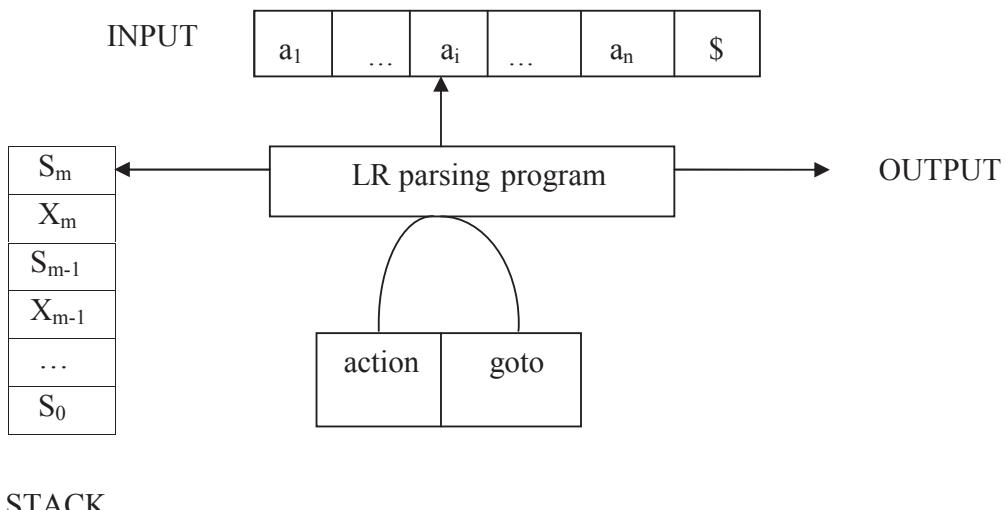
It is too much of work to construct a LR parser by hand for a programming language grammar. A specialized tool, called a LR parser generator, is needed. Example: YACC.

### Types of LR parsing method:

1. SLR- Simple LR
  - Easiest to implement, least powerful.
2. CLR- Canonical LR
  - Most powerful, most expensive.
3. LALR- Look-Ahead LR
  - Intermediate in size and cost between the other two methods.

### The LR parsing algorithm:

The schematic form of an LR parser is as follows:



It consists of : an input, an output, a stack, a driver program, and a parsing table that has two parts (*action* and *goto*).

- The driver program is the same for all LR parser.
- The parsing program reads characters from an input buffer one at a time.
- The program uses a stack to store a string of the form  $s_0X_1s_1X_2s_2\dots X_ms_m$ , where  $s_m$  is on top. Each  $X_i$  is a grammar symbol and each  $s_i$  is a state.
- The parsing table consists of two parts : *action* and *goto* functions.

**Action** : The parsing program determines  $s_m$ , the state currently on top of stack, and  $a_i$ , the current input symbol. It then consults  $action[s_m, a_i]$  in the action table which can have one of four values :

1. shift  $s$ , where  $s$  is a state,
2. reduce by a grammar production  $A \rightarrow \beta$ ,
3. accept, and
4. error.

**Goto** : The function goto takes a state and grammar symbol as arguments and produces a state.

### LR Parsing algorithm:

**Input:** An input string  $w$  and an LR parsing table with functions *action* and *goto* for grammar G.

**Output:** If  $w$  is in  $L(G)$ , a bottom-up-parse for  $w$ ; otherwise, an error indication.

**Method:** Initially, the parser has  $s_0$  on its stack, where  $s_0$  is the initial state, and  $w\$$  in the input buffer. The parser then executes the following program :

```

set ip to point to the first input symbol of w$;
repeat forever begin
    let s be the state on top of the stack and
        a the symbol pointed to by ip;
    if action[s, a] = shift s' then begin
        push a then s' on top of the stack;
        advance ip to the next input symbol
    end
    else if action[s, a] = reduce A→β then begin
        pop 2* | β | symbols off the stack;
        let s' be the state now on top of the stack;
        push A then goto[s', A] on top of the stack;
        output the production A→β
    end
    else if action[s, a] = accept then
        return
    else error( )
end

```

## **CONSTRUCTING SLR(1) PARSING TABLE:**

To perform SLR parsing, take grammar as input and do the following:

1. Find LR(0) items.
2. Completing the closure.
3. Compute  $goto(I, X)$ , where, I is set of items and X is grammar symbol.

### **LR(0) items:**

An  $LR(0)$  item of a grammar G is a production of G with a dot at some position of the right side. For example, production  $A \rightarrow XYZ$  yields the four items :

$$\begin{aligned} A &\rightarrow .XYZ \\ A &\rightarrow X.YZ \\ A &\rightarrow XY.Z \\ A &\rightarrow XYZ. \end{aligned}$$

### **Closure operation:**

If I is a set of items for a grammar G, then closure(I) is the set of items constructed from I by the two rules:

1. Initially, every item in I is added to closure(I).
2. If  $A \rightarrow \alpha . B\beta$  is in closure(I) and  $B \rightarrow \gamma$  is a production, then add the item  $B \rightarrow .\gamma$  to I, if it is not already there. We apply this rule until no more new items can be added to closure(I).

### **Goto operation:**

$Goto(I, X)$  is defined to be the closure of the set of all items  $[A \rightarrow \alpha X . \beta]$  such that  $[A \rightarrow \alpha . X\beta]$  is in I.

Steps to construct SLR parsing table for grammar G are:

1. Augment G and produce  $G'$
2. Construct the canonical collection of set of items C for  $G'$
3. Construct the parsing action function *action* and *goto* using the following algorithm that requires FOLLOW(A) for each non-terminal of grammar.

### **Algorithm for construction of SLR parsing table:**

**Input** : An augmented grammar  $G'$

**Output** : The SLR parsing table functions *action* and *goto* for  $G'$

#### **Method :**

1. Construct  $C = \{I_0, I_1, \dots, I_n\}$ , the collection of sets of LR(0) items for  $G'$ .
2. State  $i$  is constructed from  $I_i$ . The parsing functions for state  $i$  are determined as follows:
  - (a) If  $[A \rightarrow \alpha \cdot a\beta]$  is in  $I_i$  and  $goto(I_i, a) = I_j$ , then set  $action[i, a]$  to “shift j”. Here  $a$  must be terminal.
  - (b) If  $[A \rightarrow \alpha \cdot]$  is in  $I_i$ , then set  $action[i, a]$  to “reduce  $A \rightarrow \alpha$ ” for all  $a$  in FOLLOW(A).
  - (c) If  $[S' \rightarrow S \cdot]$  is in  $I_i$ , then set  $action[i, \$]$  to “accept”.

If any conflicting actions are generated by the above rules, we say grammar is not SLR(1).

3. The *goto* transitions for state *i* are constructed for all non-terminals *A* using the rule:  
If  $goto(I_i, A) = I_j$ , then  $goto[i, A] = j$ .
4. All entries not defined by rules (2) and (3) are made “error”
5. The initial state of the parser is the one constructed from the set of items containing  $[S' \rightarrow .S]$ .

### **Example for SLR parsing:**

Construct SLR parsing for the following grammar :

$G : E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid id$

The given grammar is :

$G : E \rightarrow E + T \quad \dots \quad (1)$   
 $E \rightarrow T \quad \dots \quad (2)$   
 $T \rightarrow T * F \quad \dots \quad (3)$   
 $T \rightarrow F \quad \dots \quad (4)$   
 $F \rightarrow (E) \quad \dots \quad (5)$   
 $F \rightarrow id \quad \dots \quad (6)$

**Step 1 :** Convert given grammar into augmented grammar.

**Augmented grammar :**

$E' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

**Step 2 :** Find LR (0) items.

$I_0 : E' \rightarrow .E$   
 $E \rightarrow .E + T$   
 $E \rightarrow .T$   
 $T \rightarrow .T * F$   
 $T \rightarrow .F$   
 $F \rightarrow .(E)$   
 $F \rightarrow .id$

GOTO (  $I_0$  , E )

$I_1 : E' \rightarrow E .$   
 $E \rightarrow E . + T$

GOTO (  $I_4$  , id )

$I_5 : F \rightarrow id .$

GOTO ( I<sub>0</sub> , T )  
I<sub>2</sub> : E → T .  
T → T . \* F

GOTO ( I<sub>0</sub> , F )  
I<sub>3</sub> : T → F .

GOTO ( I<sub>0</sub> , () )  
I<sub>4</sub> : F → ( . E )  
E → . E + T  
E → . T  
T → . T \* F  
T → . F  
F → . ( E )  
F → . id

GOTO ( I<sub>0</sub> , id )  
I<sub>5</sub> : F → id .

GOTO ( I<sub>1</sub> , + )  
I<sub>6</sub> : E → E + . T  
T → . T \* F  
T → . F  
F → . ( E )  
F → . id

GOTO ( I<sub>2</sub> , \* )  
I<sub>7</sub> : T → T \* . F  
F → . ( E )  
F → . id

GOTO ( I<sub>4</sub> , E )  
I<sub>8</sub> : F → ( E . )  
E → E . + T

GOTO ( I<sub>4</sub> , T )  
I<sub>2</sub> : E → T .  
T → T . \* F

GOTO ( I<sub>4</sub> , F )  
I<sub>3</sub> : T → F .

GOTO ( I<sub>6</sub> , T )  
I<sub>9</sub> : E → E + T .  
T → T . \* F

GOTO ( I<sub>6</sub> , F )  
I<sub>3</sub> : T → F .

GOTO ( I<sub>6</sub> , () )  
I<sub>4</sub> : F → ( . E )

GOTO ( I<sub>6</sub> , id )  
I<sub>5</sub> : F → id .

GOTO ( I<sub>7</sub> , F )  
I<sub>10</sub> : T → T \* F .

GOTO ( I<sub>7</sub> , () )  
I<sub>4</sub> : F → ( . E )  
E → . E + T  
E → . T  
T → . T \* F  
T → . F  
F → . ( E )  
F → . id

GOTO ( I<sub>7</sub> , id )  
I<sub>5</sub> : F → id .

GOTO ( I<sub>8</sub> , ) )  
I<sub>11</sub> : F → ( E ) .

GOTO ( I<sub>8</sub> , + )  
I<sub>6</sub> : E → E + . T  
T → . T \* F  
T → . F  
F → . ( E )  
F → . id

GOTO ( I<sub>9</sub> , \* )  
I<sub>7</sub> : T → T \* . F  
F → . ( E )  
F → . id

### GOTO (I<sub>4</sub>, )

$I_4 : F \rightarrow (\cdot E)$   
 $E \rightarrow \cdot E + T$   
 $E \rightarrow \cdot T$   
 $T \rightarrow \cdot T * F$   
 $T \rightarrow \cdot F$   
 $F \rightarrow \cdot (E)$   
 $F \rightarrow id$

$$FOLLOW(E) = \{ \$, , + \}$$

$$FOLLOW(T) = \{ \$, +, , * \}$$

$$FOLLOW(F) = \{ *, +, , \$ \}$$

### SLR parsing table:

	ACTION						GOTO		
	<b>id</b>	+	*	(	)	\$	<b>E</b>	<b>T</b>	<b>F</b>
<b>I<sub>0</sub></b>	s5			s4			1	2	3
<b>I<sub>1</sub></b>		s6				ACC			
<b>I<sub>2</sub></b>		r2	s7		r2	r2			
<b>I<sub>3</sub></b>		r4	r4		r4	r4			
<b>I<sub>4</sub></b>	s5			s4			8	2	3
<b>I<sub>5</sub></b>		r6	r6		r6	r6			
<b>I<sub>6</sub></b>	s5			s4				9	3
<b>I<sub>7</sub></b>	s5			s4					10
<b>I<sub>8</sub></b>		s6			s11				
<b>I<sub>9</sub></b>		r1	s7		r1	r1			
<b>I<sub>10</sub></b>		r3	r3		r3	r3			
<b>I<sub>11</sub></b>		r5	r5		r5	r5			

Blank entries are error entries.

### Stack implementation:

Check whether the input **id + id \* id** is valid or not.

STACK	INPUT	ACTION
0	id + id * id \$	GOTO ( I <sub>0</sub> , id ) = s5 ; <b>shift</b>
0 id 5	+ id * id \$	GOTO ( I <sub>5</sub> , + ) = r6 ; <b>reduce</b> by F → id
0 F 3	+ id * id \$	GOTO ( I <sub>0</sub> , F ) = 3 GOTO ( I <sub>3</sub> , + ) = r4 ; <b>reduce</b> by T → F
0 T 2	+ id * id \$	GOTO ( I <sub>0</sub> , T ) = 2 GOTO ( I <sub>2</sub> , + ) = r2 ; <b>reduce</b> by E → T
0 E 1	+ id * id \$	GOTO ( I <sub>0</sub> , E ) = 1 GOTO ( I <sub>1</sub> , + ) = s6 ; <b>shift</b>
0 E 1 + 6	id * id \$	GOTO ( I <sub>6</sub> , id ) = s5 ; <b>shift</b>
0 E 1 + 6 id 5	* id \$	GOTO ( I <sub>5</sub> , * ) = r6 ; <b>reduce</b> by F → id
0 E 1 + 6 F 3	* id \$	GOTO ( I <sub>6</sub> , F ) = 3 GOTO ( I <sub>3</sub> , * ) = r4 ; <b>reduce</b> by T → F
0 E 1 + 6 T 9	* id \$	GOTO ( I <sub>6</sub> , T ) = 9 GOTO ( I <sub>9</sub> , * ) = s7 ; <b>shift</b>
0 E 1 + 6 T 9 * 7	id \$	GOTO ( I <sub>7</sub> , id ) = s5 ; <b>shift</b>
0 E 1 + 6 T 9 * 7 id 5	\$	GOTO ( I <sub>5</sub> , \$ ) = r6 ; <b>reduce</b> by F → id
0 E 1 + 6 T 9 * 7 F 10	\$	GOTO ( I <sub>7</sub> , F ) = 10 GOTO ( I <sub>10</sub> , \$ ) = r3 ; <b>reduce</b> by T → T * F
0 E 1 + 6 T 9	\$	GOTO ( I <sub>6</sub> , T ) = 9 GOTO ( I <sub>9</sub> , \$ ) = r1 ; <b>reduce</b> by E → E + T
0 E 1	\$	GOTO ( I <sub>0</sub> , E ) = 1 GOTO ( I <sub>1</sub> , \$ ) = <b>accept</b>

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