

# **Chapter 8**

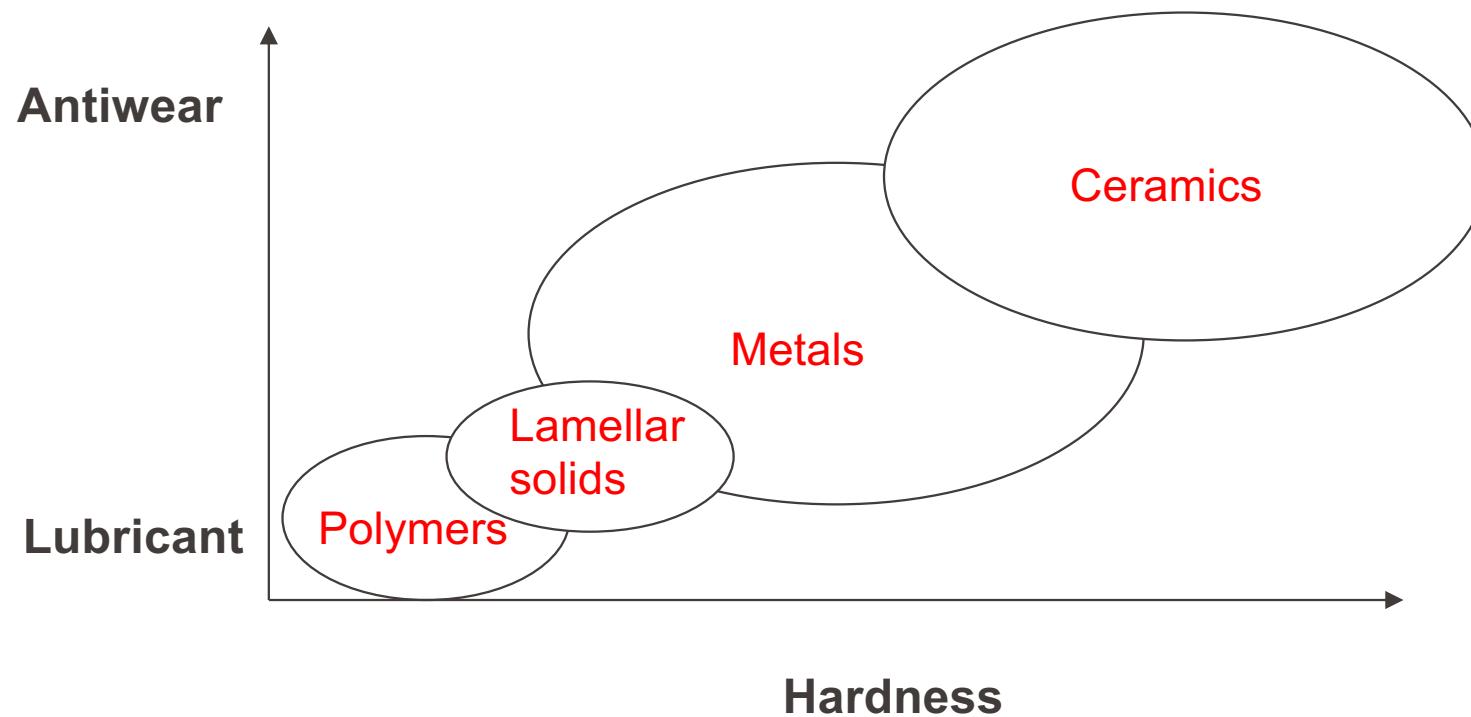
## **Coatings and Surface Treatments**

**MSE 485**  
**Tribology**

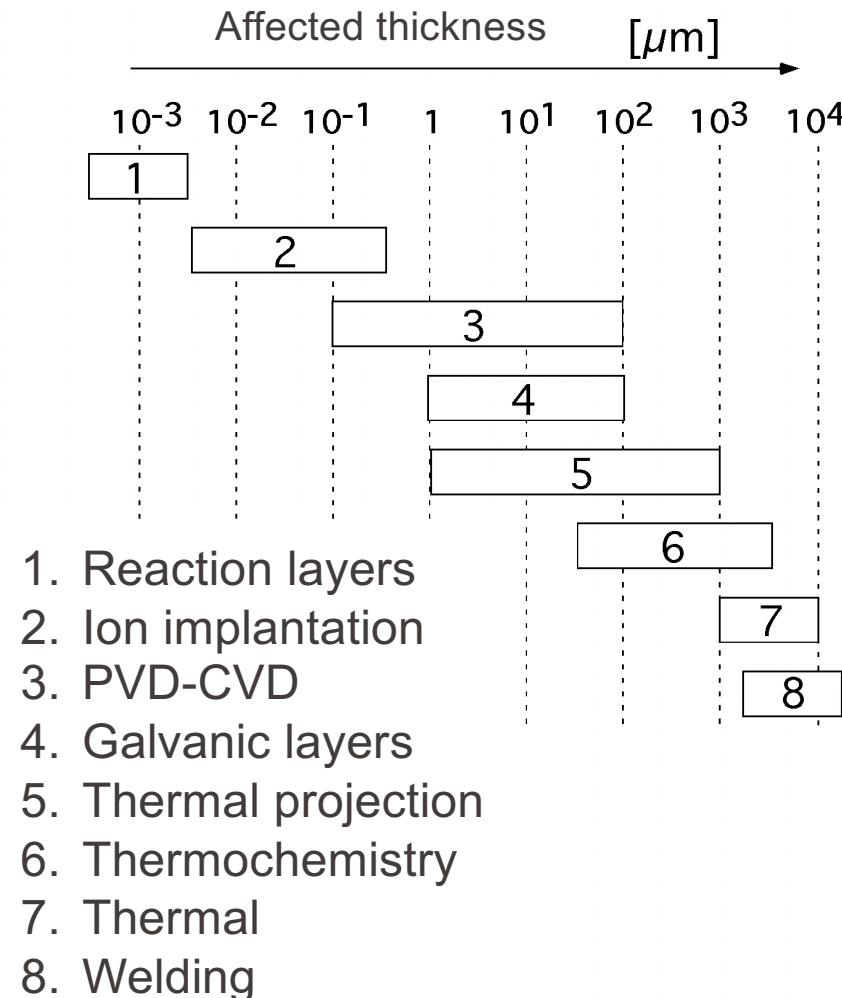
- 1. Classifications and crucial parameters**
- 2. Soft and Hard coatings**
- 3. MoS<sub>2</sub> self-lubricating coating**

*Zambelli & Vincent Ch. 19 à 21, Hutchings Ch. 8*

# Classifications

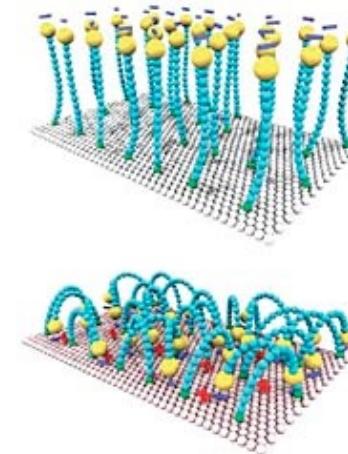
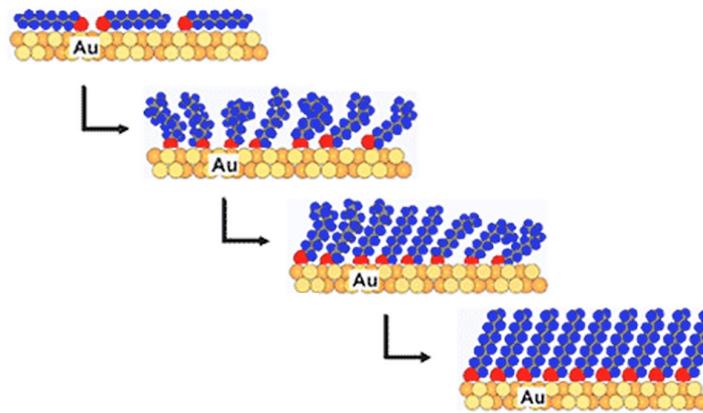


# Surface treatments and affected thickness



# Reaction and adsorption layers

Organic molecules



Organometallic film:

Zink-Dialkyl-Dithiophosphate molecules as oil additives

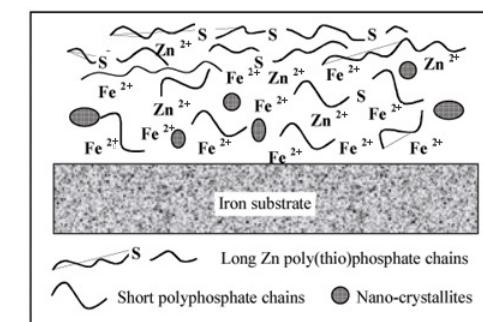
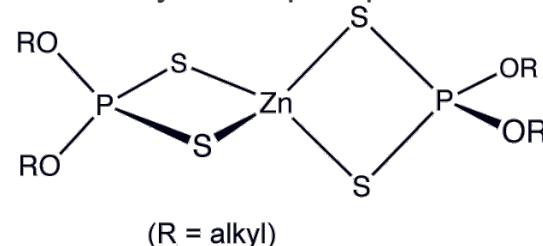
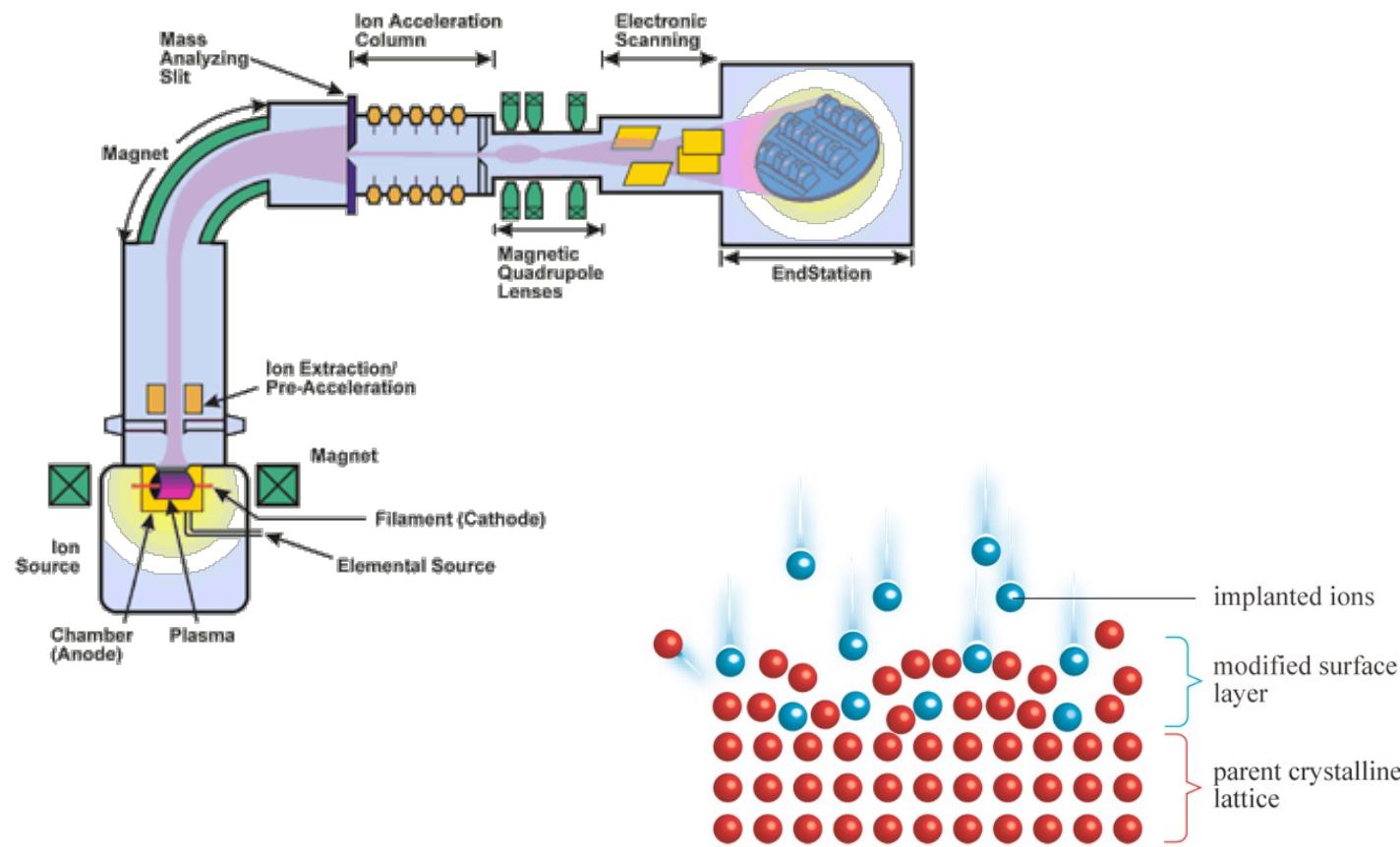
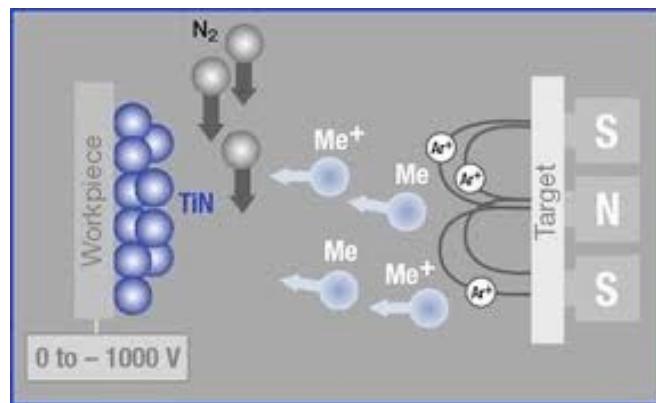


FIG. 6: Schematic structure of the ZDDP tribo-film according to the multi techniques approaches [16]

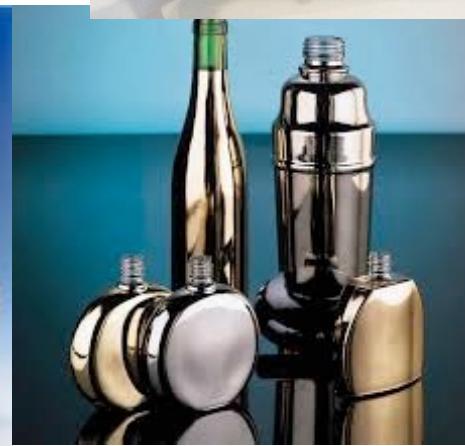
# Ion implantation



# CVD (Chemical Vapor Deposition) and PVD (Physical Vapor Deposition)

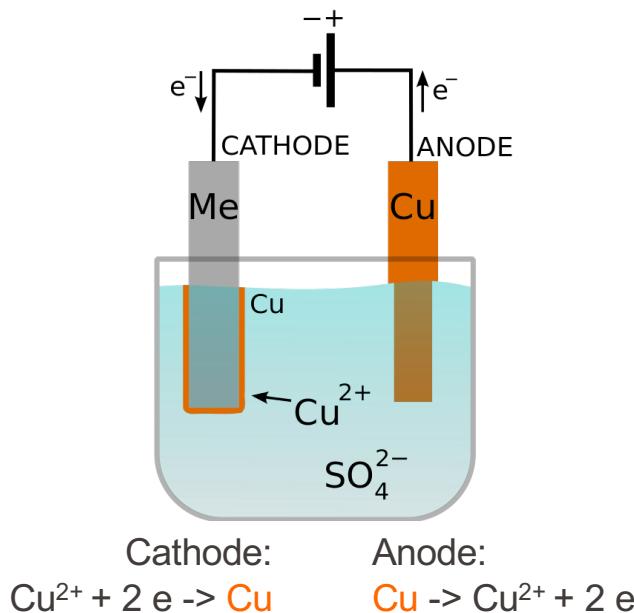


©www.vtd.de

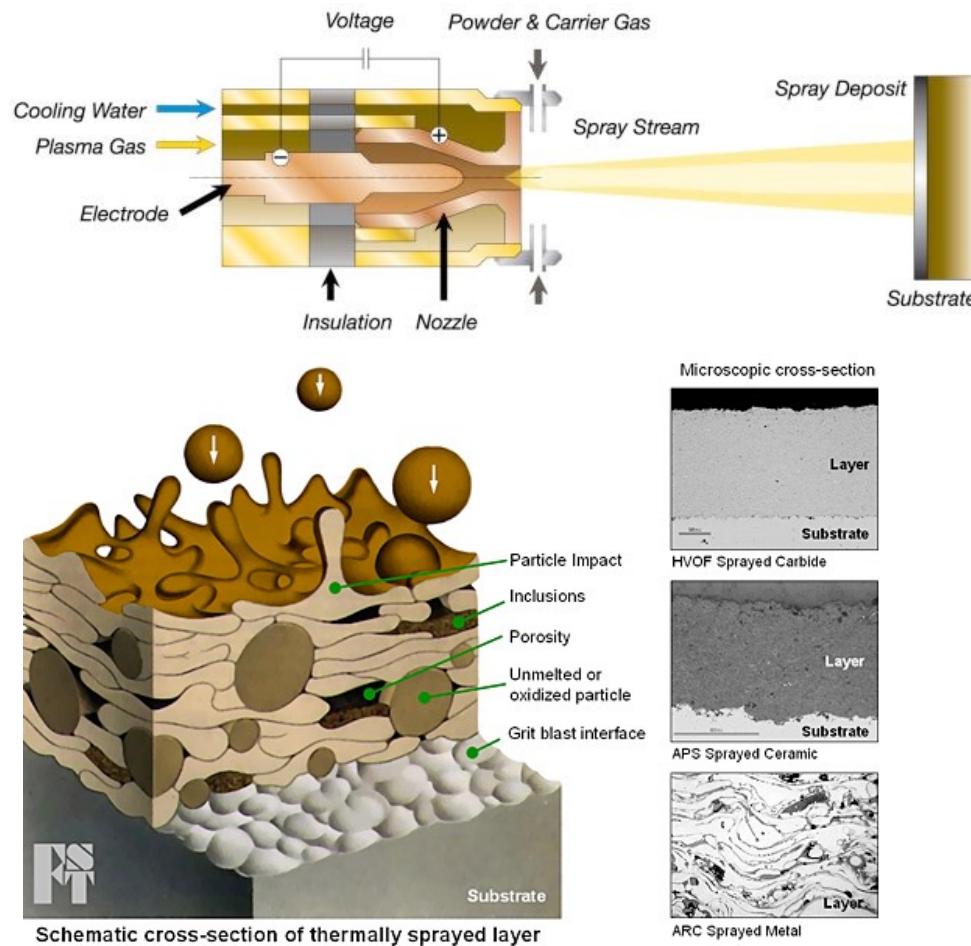


# Galvanic (electrochemical) coatings

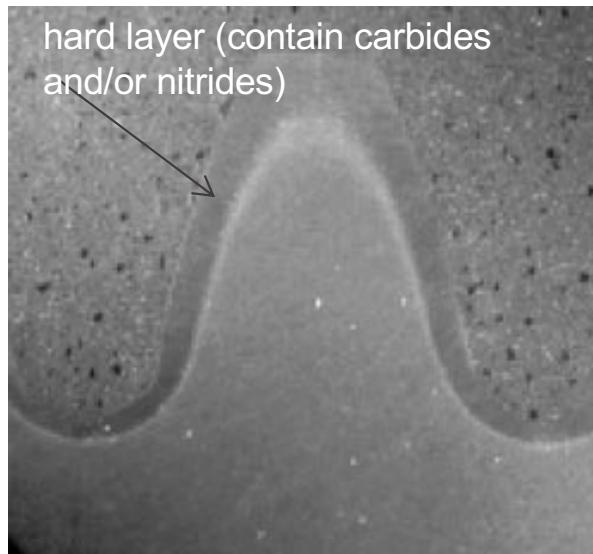
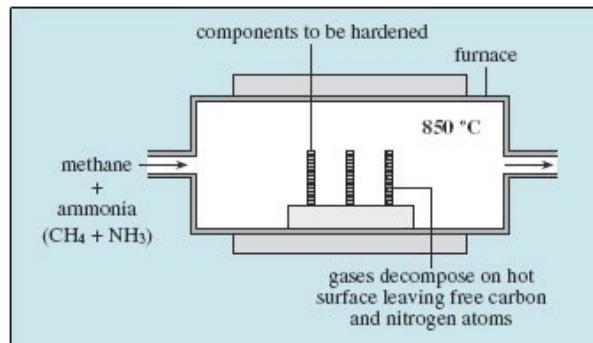
Electrodepositing  
copper (principle)



# Thermal spray deposition

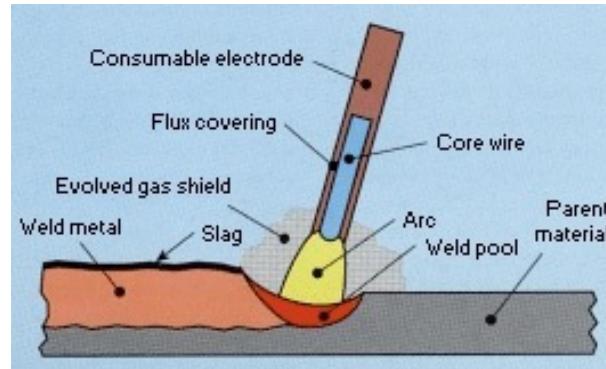


# Thermochemical processes (carburizing, nitriding, quenching)

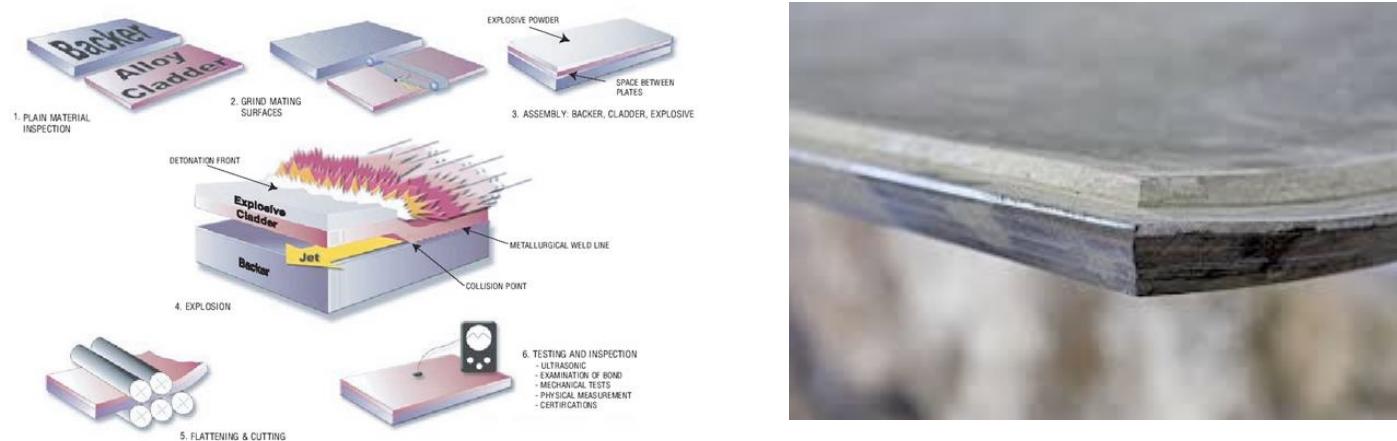


# Weld and explosive cladding

## Weld cladding



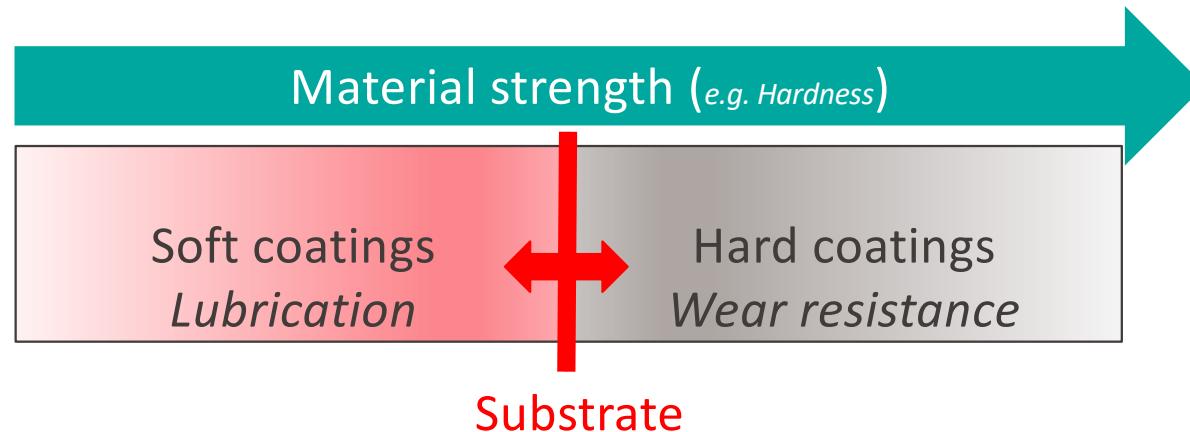
## Explosive cladding



1. Classifications and crucial parameters
2. **Soft and Hard coatings**
3. MoS<sub>2</sub> self-lubricating coating

*Zambelli & Vincent Ch. 19 à 21, Hutchings Ch. 8*

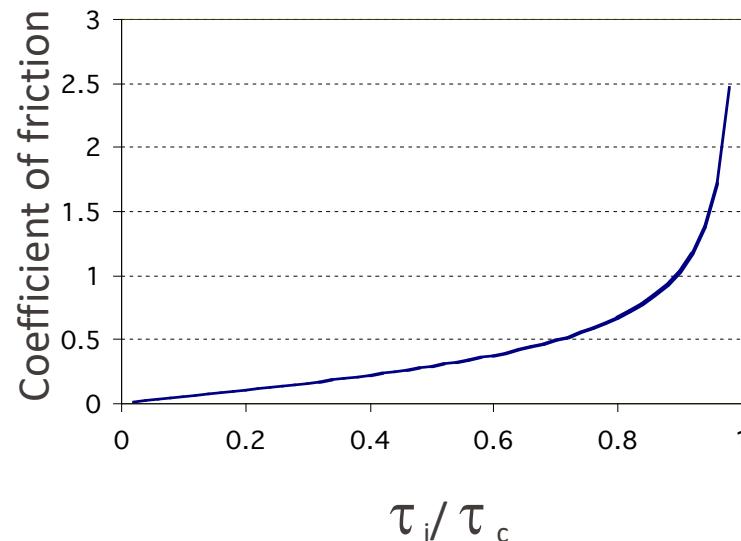
# Soft and Hard Coatings



- Soft coating: coating exhibiting less strength than the substrate; acts as lubricant to reduce stress field on the substrate.
- Hard coating: coating exhibiting larger strength than the substrate; acts as stress shield for the substrate.

# Friction reduction in soft coatings

- **Principle:** the coating generate a soft (easy to shear) interfacial layer that reduces friction. The friction reducing effect depends on both the mechanical properties of the coating and of the substrate.



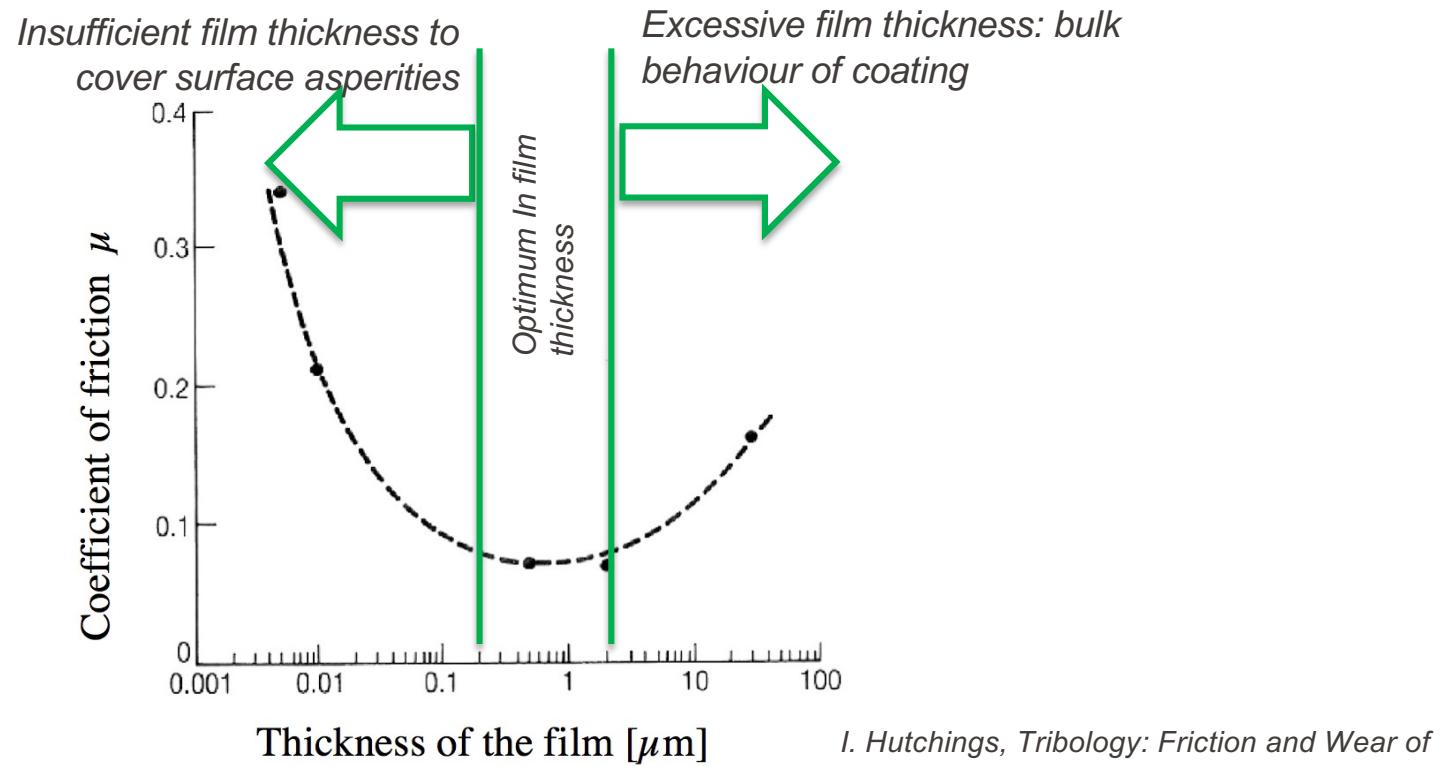
$\tau_i$ : shear stress of the interface layer

$\tau_c$ : critical shear stress of the substrate

- **Consequence:** the choice of the soft coating must be tuned to the substrate: a soft gold coating can efficiently lubricate hard steel contacts but not softer polymer contacts.

# Coating thickness and friction

- Coefficient of friction of steel rubbing against Indium coated tool steel



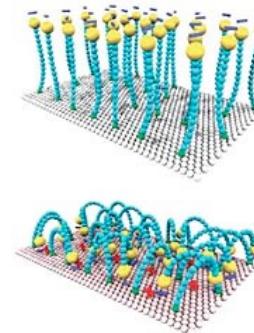
I. Hutchings, *Tribology: Friction and Wear of Engineering Materials*, Arnold 1992

# Typical soft tribological coatings

- Soft metals: Pb, Sn, Au, Ag, Cu, In

- Polymers : PE, PTFE, PA, PET , ...

- Self assembled monolayers

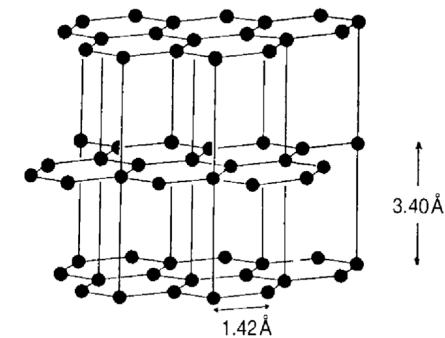


- Diamond like carbon (DLC)

- Lamellar mineral solids : MoS<sub>2</sub>, Graphite

Structure of graphite

Inter-lamellae bonds are weak and easy to shear. They offer little resistance (friction) to sliding.

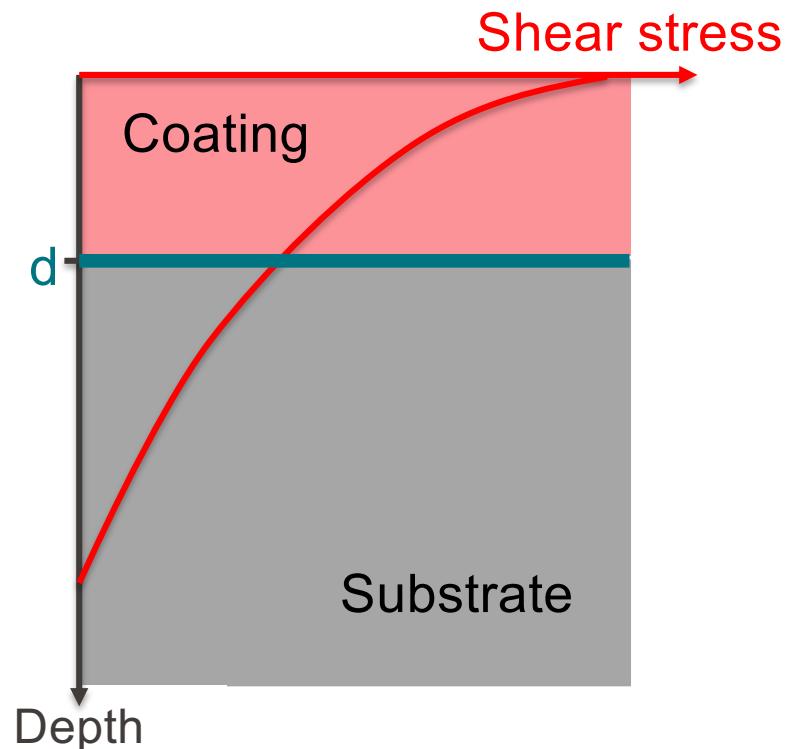


# Hard coatings

- **Principle:** the coating forms a thin hard barrier for stress shielding the substrate and reducing wear.
- **Requirements:**
  - Corrosion resistance (i.e. ceramic coatings such as nitrides, carbides, oxides and hard metallic coatings such as Cr)
  - Stress shielding properties (strength, adherence, elasticity, roughness)

# Mechanical aspects

- The mechanical and tribological efficiency of a coatings does not only depend on the properties of the layer but also of the substrate and of the interface.



Conditions for stability:

- Strength of the coating  $> \tau_{\max}$
- Strength of the interface  $> \tau(d)$
- Strength of the substrate  $> \tau(d)$

$d$  = thickness of the coating

# The role of substrate on coating performance

Hard coating on hard substrate  
(biscuit)



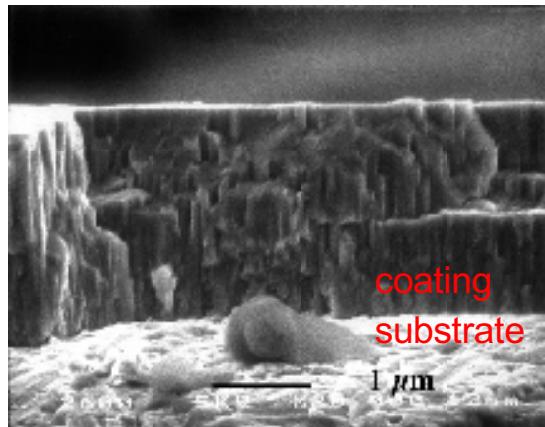
Hard coating on soft substrate  
(cream)



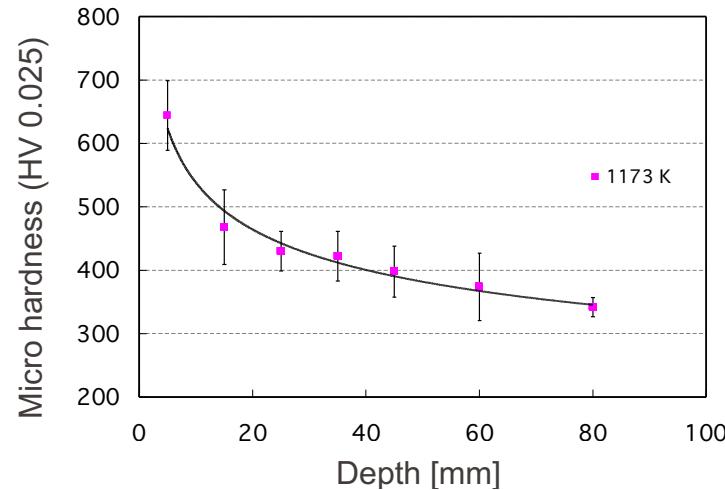
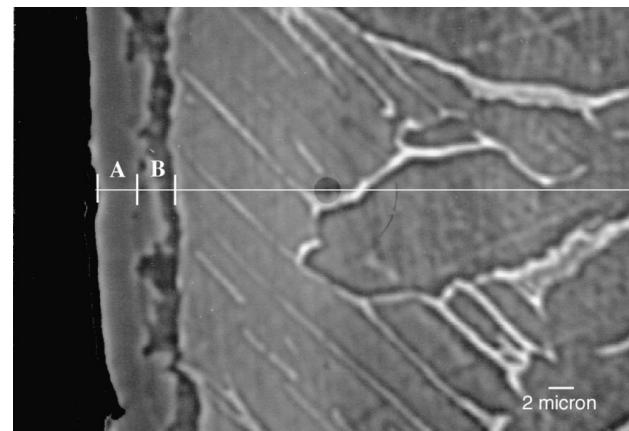
Hard coatings need a  
sufficiently strong substrate!

# Interfaces examples

**Abrupt interface** : TiN PVD coating on a steel substrate.



**Graded interface** : 900°C plasma nitridation of a  $\text{Ti}_6\text{Al}_4\text{V}$  alloy.

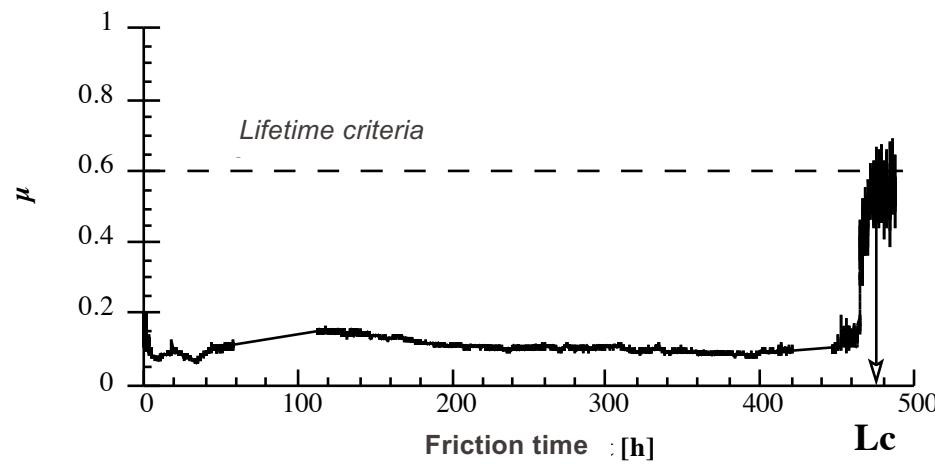


1. Classifications and crucial parameters
2. Soft and Hard coatings
3. **MoS<sub>2</sub> self-lubricating coating**

*Zambelli & Vincent Ch. 19 à 21, Hutchings Ch. 8*

# Self-lubricating MoS<sub>2</sub> PVD-deposited coatings

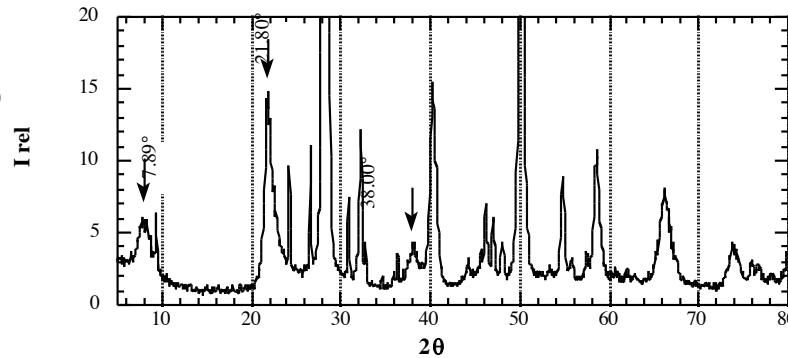
- Thin MoS<sub>2</sub> (lamellar solid lubricant) films (thickness typically inferior to 1 $\mu$ ) reduce friction and/or wear without adding oil. They are used to lubricate some ball bearings used in aerospace applications.
- The functionality of the coating is limited by its deterioration during use. The lifetime L<sub>c</sub> of a self-lubricating coating is often defined as the friction time during which the coefficient of friction stays inferior to the value measured without self-lubricating film.



# Self-lubricating MoS<sub>2</sub> PVD-deposited coatings

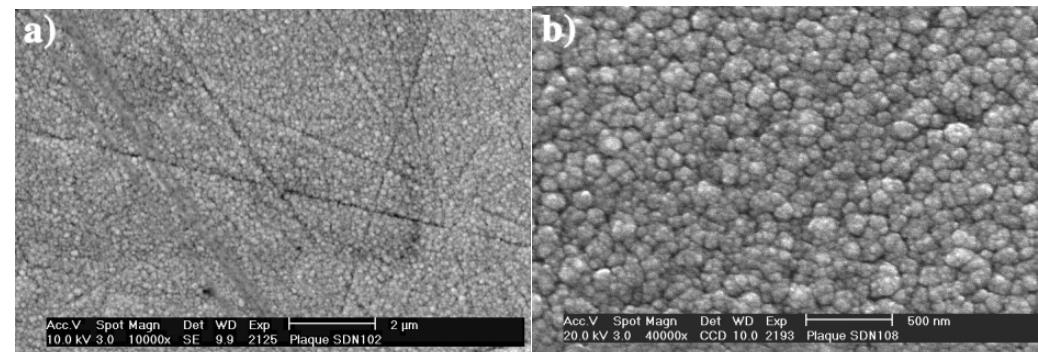
- Physico-chemical characterization of MoS<sub>2</sub> coatings

*Structure*



X-ray diffraction spectrum  
of the AISI 440C steel  
substrate + MoS<sub>2</sub>.

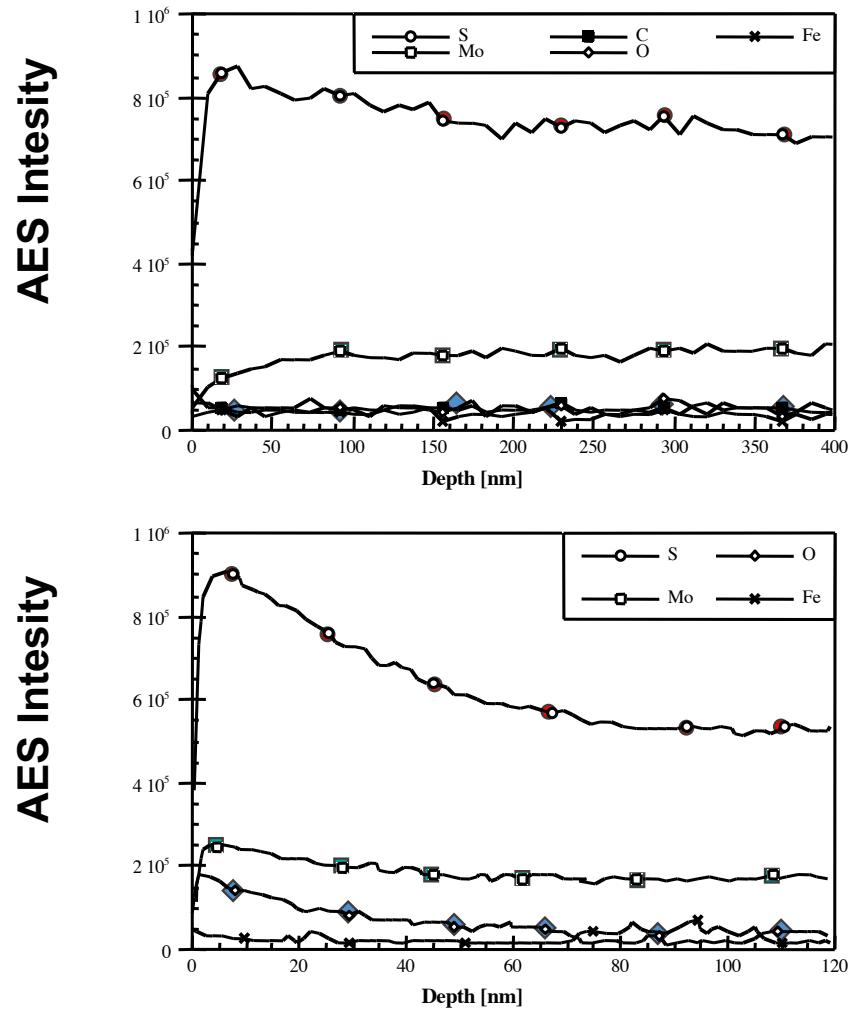
*Surface morphology*



SEM images (Scanning Electron Microscope) of the surface of the MoS<sub>2</sub> depot . a) Magnification 10000x, 10 KV and b) 40000x, 20KV.

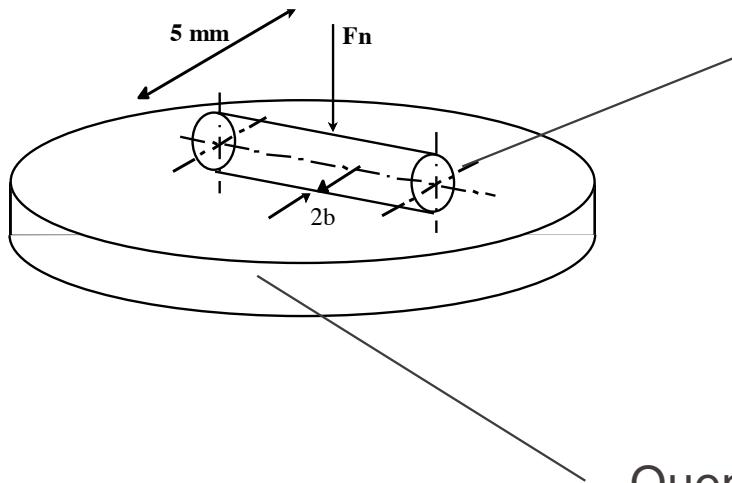
# Composition (stoichiometry, contaminations)

- AES depth profiles of two commercial MoS<sub>2</sub> depots.



# Tribological characterization example

EPFL thesis 2188 S. Debaud

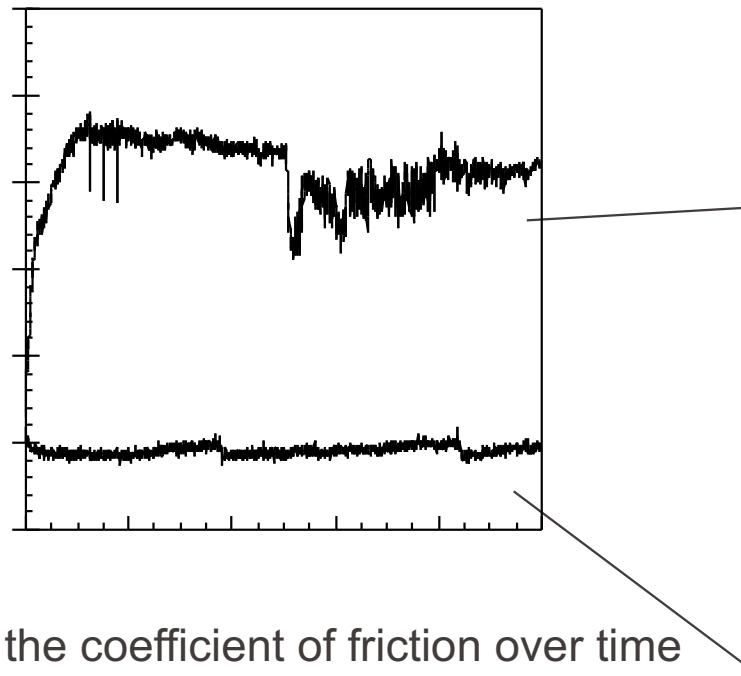


100Cr<sub>6</sub> quenched steel cylinder (pin).  
For some tests, pins coated with a thin  
( $< 0.5 \mu\text{m}$ ) Ti or Au layer are used to  
estimate the effect of surface chemistry.

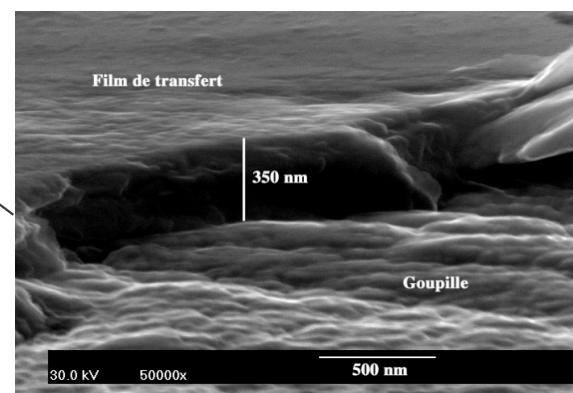
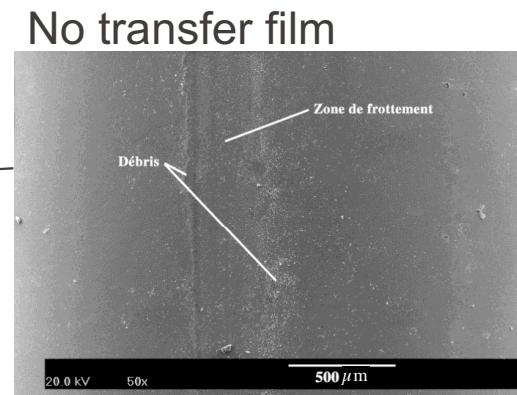
Quenched steel disc covered with a  
 $0.7 \mu\text{m}$  PVD-deposited MoS<sub>2</sub> layer.

# Lubricating effect

- The lubricating effect is linked to the formation of a transfer film.

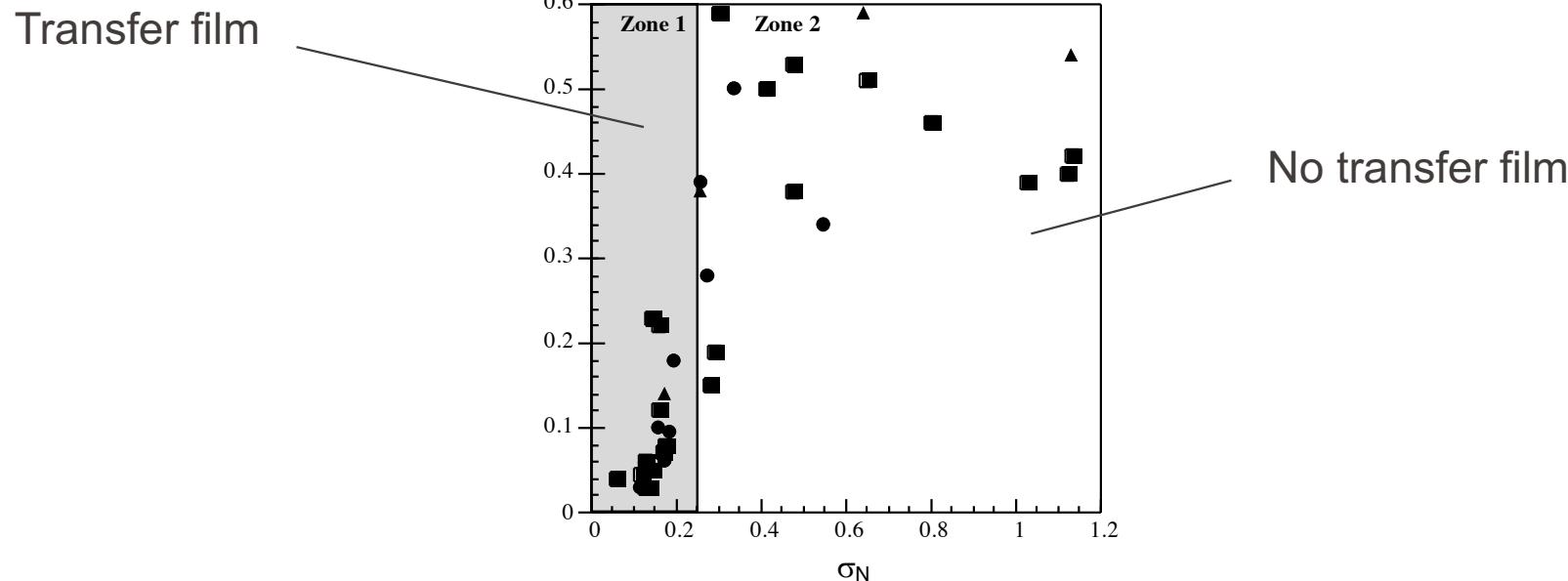


Evolution of the coefficient of friction over time  
during two different tests, run under identical  
conditions.  
(Contact AISI440C + MoS<sub>2</sub>/100Cr<sub>6</sub>;  
atmosphere : nitrogen 100%)



Transfer film

# Effect of vibrations on the formation of a transfert film

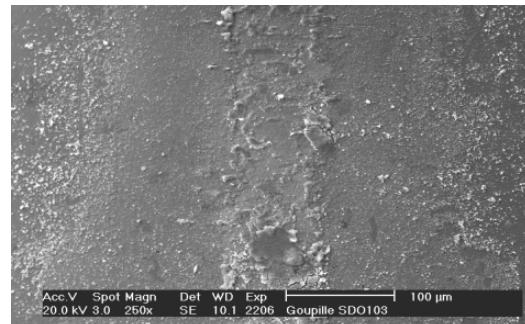


Mean coefficient of friction against normalized standard deviation of the normal stress ( $\bar{\sigma}_N$ ), all test conditions mixed up. Zone 1 : SEM and EDAX observations show that a transfer film is present on the pin. Zone 2 : SEM and EDAX observation show absence of such a film on the pin.

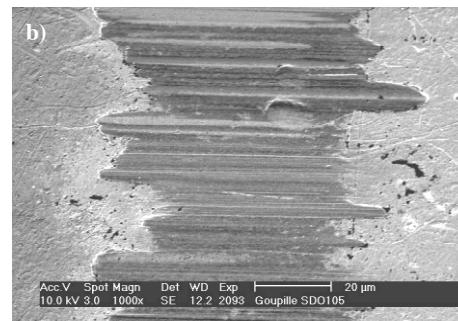
# Effect of the metal and of the atmosphere on the structure of the transfer film

Steel pin

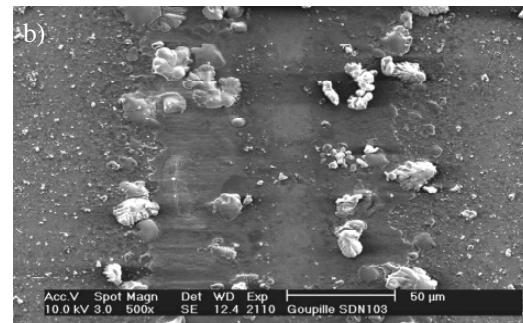
Test in air



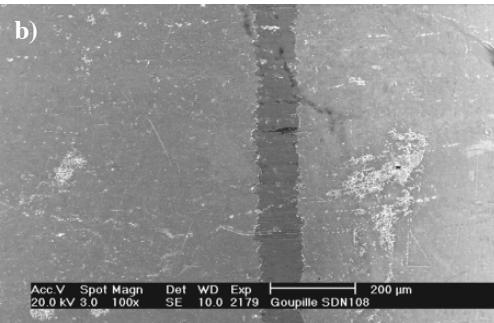
Au-coated steel pin



Test in a nitrogen atmosphere (45 ppm O<sub>2</sub> and 10 ppm H<sub>2</sub>O)

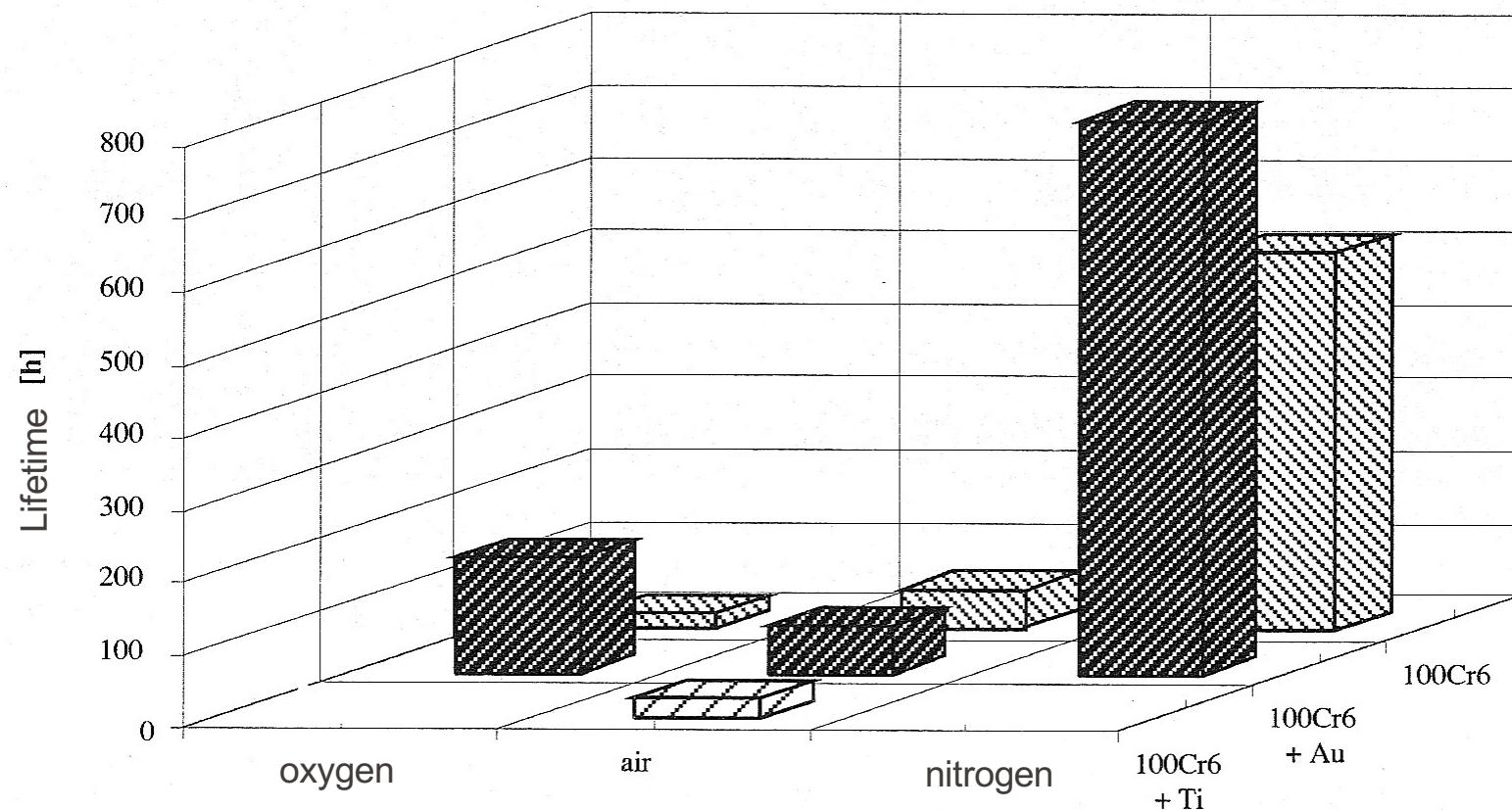


Fragile transfer film with Fe-Cr (nitrogen) and Fe-Cr-Mo (air) oxides debris.



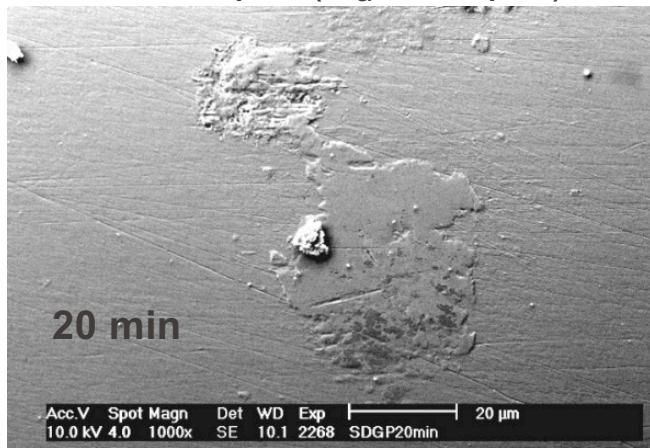
Homogeneous transfer film, with few very fine debris.

# Effect of metal and atmosphere on the lifetime of the coating

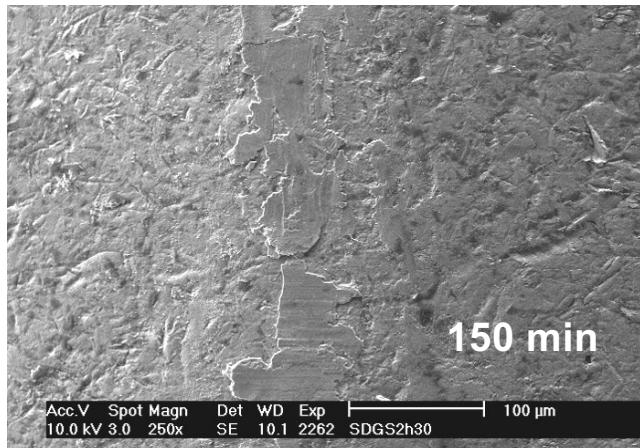
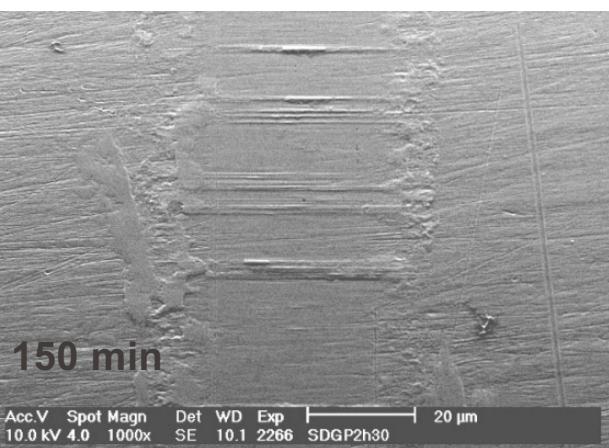
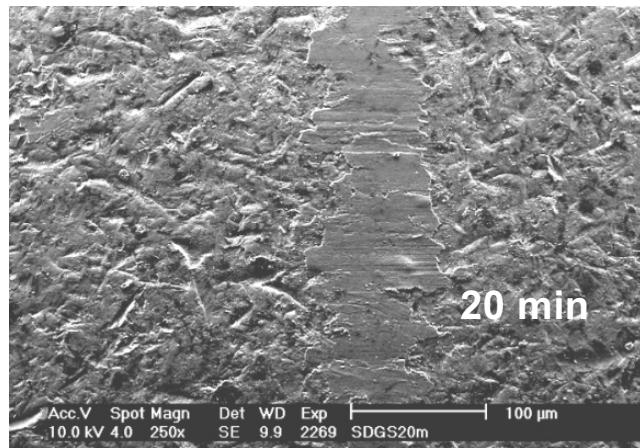


# Effect of roughness and friction time on the structure of the transfert film

Smooth pin ( $R_a$  0.07  $\mu\text{m}$ )

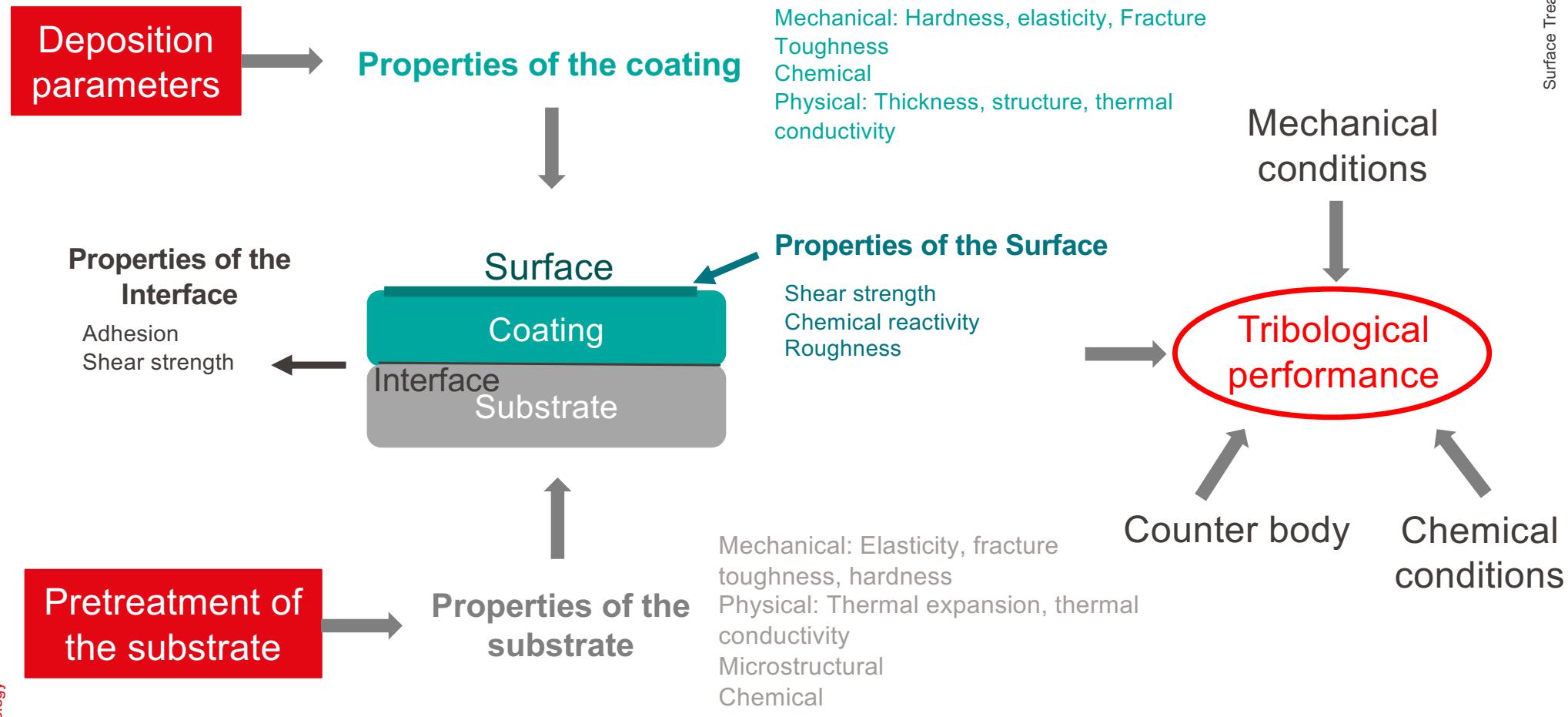


Rough pin ( $R_a$  1.01  $\mu\text{m}$ )



Nitrogen atmosphere

# System approach to coatings tribology



Adapted from Holmberg and Matthews *Coatings Tribology*, 1994