# Quantifying the impact of DNA polymerase and number of rounds of amplification in PCR on 16S rRNA gene sequence data

Running title: Quantifying the effects of PCR

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# Abstract

PCR amplification of 16S rRNA genes is a critical, yet under appreciated step in the generation of sequence data to describe the taxonomic composition of microbial communities. Numerous factors in the design of PCR can impact the sequencing error rate, the abundance of chimeric sequences, and the degree to which the fragments in the product represent their abundance in the original sample. The choice of PCR cycling conditions are known to impact the outcome of PCR and downstream inferences. We compared the performance of high fidelity polymerases and varying number of rounds of amplification when amplifying a mock community with known sequences and community structure and human stool samples. Although it was impossible to derive specific recommendations, we did observe general trends. Namely, using a polymerase with 10 the highest possible fidelity and minimizing the number of rounds of PCR reduced the sequencing error rate, fraction of chimeric sequences, and bias. Evidence of bias at the sequence level was 12 subtle and could not be ascribed to the fragments' fraction of bases that were guanines or cytosines. 13 When analyzing mock community data, the amount that the community deviated from the expected composition increased with rounds of PCR. The effect was inconsistent for human stool samples. 15 Overall the results underscore the difficulty of comparing sequence data that are generated by 16 different PCR protocols. However, the results indicate that the variation in human stool samples is 17 generally larger than that introduced by the choice of polymerase or number of rounds of PCR.

# 19 Importance

A steep decline in sequencing costs drove an explosion in studies characterizing microbial communities from diverse environments. Although a significant amount of effort has gone into understanding the error profiles of DNA sequencers, little has been done to understand the downstream effects of the PCR amplification protocol. We quantified the effects of the choice of polymerase and number of PCR cycles on the quality of downstream data. We found that these choices can have a profound impact on the way that a microbial community is represented in the sequence data. The effects are relatively small compared to the variation in human stool samples,

- 27 however, care should be taken to use polymerases with the highest possible fidelity and to minimize
- 28 the number of rounds of PCR. These results underscore the difficulty of directly comparing the
- 29 sequence data generated using different PCR protocols or interpreting results in an absolute sense.

# Introduction

16S rRNA gene sequencing is a powerful and widely used tool for surveying the structure of microbial communities (1–3). This approach has exploded in popularity with the advent of high throughput sequencing where it is possible to characterize numerous samples with thousands of sequences per sample. Numerous factors can impact how a natural community is represented by the sequencing data including the method of acquiring samples (4–8), storage conditions (4–6, 9–12), extraction methods (13), amplification conditions (8, 14, 15), sequencing method (15–17), and analytical pipeline (15, 18–20). The increased sampling depth that is now available relative to previous Sanger sequencing-based methods is expected to compound the impacts of an investigator's choices and the interpretation of their results.

One step in the generation of 16S rRNA gene sequence data that has been long known to have a significant impact on the description of microbial communities is the choice of conditions for PCR amplification (8, 14, 15). Factors such as the choice of primers have an obvious impact on which populations will be amplified (18, 21). However, a variety of PCR artifacts can also impact the perception of a community including the formation of chimeras (14, 22-24), misincorporation of nucleotides (23, 25, 26), preferential amplification of populations leading to bias (24, 27–33), and accumulation of random amplification events leading to PCR drift (24, 27, 32, 34). Many bioinformatic tools have been developed to identify chimeras, there are significant sensitivity and specificity tradeoffs (14, 35). Laboratory-based solutions to minimize chimera formation have also been proposed such as minimizing the amount of template DNA in the PCR, minimizing the number of rounds of PCR, minimizing the amount of shearing in the template DNA, and using DNA polymerases that have a proof-reading ability (14, 23). Others have attempted to account for 51 PCR bias using modeling approaches (29, 36); however, these have been developed for idealized situations. To minimize PCR drift, some investigators will pool technical replicate PCRs hoping to average out the drift (34). Other factors that have been shown to impact the formation of PCR artifacts are outside the control of an investigator including the fraction of DNA bases that are guanines or cytosines, the variation in the length of the targeted region across the community, the sequence of the DNA that flanks the template, and the genetic diversity of the community (28, 30–33). Early investigations of the factors that lead to the formation of PCR artifacts focused on analyzing binary mixtures of genomic DNA and 16S rRNA gene fragments to explore PCR biases and chimera formation. Although these studies were instrumental in forcing researchers to be cautious about the interpretation of their results, we have a poor understanding of how these factors affect the formation of PCR artifacts in more complex communities.

The influence that the choice of DNA polymerase has on the formation of PCR artifacts has not 63 been well studied. There has been recent interest in how the choice of the hypervariable region and data analysis pipelines impact the sequencing error rate (15, 18-20); however, these studies use the same DNA polymerase in the PCR step and implicitly assume that the rate of nucleotide misincorporation from PCR are significantly smaller than those from the sequencing phase. There 67 has been more limited interest in the impact that DNA polymerase choice has on the formation of chimeras (23, 37). A recent study found differences in the number of OTUs and chimeras between normal and high fidelity DNA polymerases (37). The authors of the study reduced the difference 70 between two polymerases by optimizing the annealing and extension steps within the PCR protocol 71 (37). Yet this optimization was specific for the community they were analyzing (i.e. captive and semi-captive red-shanked doucs) and assumed that if the two polymerases generate the same 73 community structure that the community structure was correct. In fact, the community structure generated by both methods was not free of artifacts, but likely had the same artifacts. A challenge in these types of experiments is having a priori knowledge of the true community representation. A mock community with known composition allows researchers to quantify the sequencing error rate, fraction of chimeras, and bias (19); however, mock communities have a limited phylogenetic diversity relative to natural communities. Natural communities, in contrast, have an unknown community composition making absolute measurements impossible. They can be used to validate 80 results from mock communities and to understand the relative impacts of artifacts on the ability to 81 differentiate biological and methodological sources of variation. Given the large number of DNA polymerases available to researchers, it is unlikely that a specific recommendation is possible. 83 Rather, the development of general best practices and understanding the impact of PCR artifacts 84 on an analysis are needed.

This study investigated the impact of choice of high fidelity DNA polymerase and the number of

rounds of amplification on the formation of PCR artifacts using a mock community and human 87 stool samples. These PCR artifacts included (i) the effect of the polymerase on the error rate of the bases represented in the final sequences, (ii) the fraction of sequences that appeared to be 89 chimeras and the ability to detect those chimeras, (iii) the bias of preferentially amplifying one 90 fragment over another in a mixed pool of templates, and (iv) inter-sample variation in community structure of samples amplified with the same polymerase across the amplification process. To 92 characterize these factors we sequenced a mock community of 8 organisms with known sequences 93 and community structure and human fecal samples with unknown sequences and community 94 structures. We sequenced the V4 region of the 16S rRNA genes from a mock community by generating paired 250 nt reads on the Illumina MiSeq platform. This region and sequencing 96 approach was used because it has been shown to result in a relatively low sequencing error rate 97 and is a widely used protocol (???). To better understand the impact of DNA polymerase choice on PCR artifacts, we selected five high fidelity DNA polymerases and amplified the communities 99 using 20, 25, 30, and 35 rounds of amplification. Collectively, our results suggest that the number 100 of rounds and to a lesser degree the choice of DNA polymerase used in PCR impact the sequence 101 data, the effects are consistent and are smaller than the biological differences between individuals.

#### 03 Results

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Sequencing errors vary by the number of cycles and the DNA polymerase used in PCR. 104 The presence of sequence errors can confound the ability to accurately classify 16S rRNA gene 105 sequences and group sequences into operational taxonomic units (OTUs). More importantly, 106 sequencing errors themselves can alter the representation of the community. Therefore, it is 107 important to minimize the number of sequencing errors. Using a widely-used approach that 108 generates the lowest reported error rate, we quantified the error rate by sequencing the V4 region of 109 the 16S rRNA genes from an 8 member mock community. We also removed any contigs that were at least three bases more similar to a chimera of two references than to a single reference sequence 111 (18, 19, 38). Regardless of the polymerase, the error rate increased with the number of rounds of 112 amplification (Figure 1). Using 30 rounds of PCR is a common approach across diverse types of samples. Among the data generated using 30 rounds of PCR the Accuprime polymerase had the 114 highest error rate (i.e. 0.124%) followed by the Platinum (i.e. 0.094%), Phusion (i.e. 0.064%), Kappa 115 (i.e. 0.062%), and Q5 (i.e. 0.060%) polymerases (Figure 1). When we applied a pre-clustering denoising step, which merged the counts of reads within 2 nt of a more abundant sequence (19), 117 the error rates dropped considerably such that the Platinum polymerase had the highest error rate 118 (i.e. 0.014%) followed by the Accuprime (i.e. 0.012%), Q5 (i.e. 0.0053%), Phusion (i.e. 0.0049%), 119 and Kappa (i.e. 0.0049%) polymerases (Figure 1). Although specific recommendations are difficult 120 to make because the phylogenetic diversity of the initial DNA template is likely to have an impact 121 on the results, it is clear that using as few PCR cycles as necessary and a polymerase with the 122 lowest possible error rate is a good guide to minimizing the impact of polymerase on the error rate.

The fraction of sequences identified as being chimeric varies by the number of cycles and the DNA polymerase used in PCR. Chimeric PCR products can significantly confound downstream analyses. Although numerous bioinformatic tools exist to identify and remove chimeric sequences with high specificity, their sensitivity is relatively low and can be reduced by the presence of sequencing errors (14, 35). Because the true sequences of the organisms in the mock community were known, we could generate all possible chimeras between pairs of V4 16S rRNA gene fragments and use these possible chimeric sequences to screen the sequences generated under the different

PCR conditions for chimeras. The number of chimeras increased with rounds of amplification (Figure 131 2A). Interestingly, the fraction of chimeric sequences from the mock community varied by the type of 132 polymerase used. After 30 rounds of PCR, the Platinum polymerase had the highest chimera rate 133 (i.e. 18.2%) followed by the Q5 (i.e. 8.1%), Phusion (i.e. 7.5%), Kappa (i.e. 2.3%), and Accuprime 134 (i.e. 0.9%) polymerases. Because our chimera screening procedure can only be applied to mock 135 communities, we used the UCHIME algorithm to model the chimera screening approach that is used 136 in most sequence curation pipelines. By comparing the output of UCHIME to our reference-based 137 approach, we were able to calculate the UCHIME's sensitivity and specificity (Figure 2A). The 138 specificity for all polymerases was above 95.7% and showed a weak association with the number 139 of cycles used. There was considerable inter-polymerase and inter-round of amplification variation 140 in the sensitivity of UCHIME to detect the chimeras from the mock community. This suggested that the residual error rate after pre-clustering the sequence data did not compromise the sensitivity. The sensitivity of UCHIME varied between 50 and 87.0%. The generalizability of these results is 143 limited because we used a single mock community with limited genetic diversity. Although we did 144 not know the true chimera rate for our four human stool samples, we were able to calculate the 145 fraction of sequences that UCHIME identified as being chimeric (Figure 2B). These results followed 146 those from the mock communities: additional rounds of amplification significantly increased the rate 147 of chimeras and there was variation between the polymerases that we used. Although it was not 148 possible to identify the features of a polymerase that resulted in higher rates of chimeras, it is clear 149 that using the smallest number of PCR cycles possible will minimize the impacts of chimeras. 150

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At the sequence level, PCR amplification bias is subtle. Since researchers began using PCR to amplify 16S rRNA gene fragments there has been concern that amplifying fragments from a mixed template pool could lead to a biased representation in the pool of products and would confound downstream analyses (24, 27-33). The mock community was generated by mixing equal amounts of genomic DNA from each of the 8 bacteria resulting in uneven representation of the rrn operons across the bacteria because each bacterium had a different genome size and varied in the number of operons in its genome. The vendor of the mock community subjects each lot of genomic DNA to shotgun sequencing to more accurately quantify the actual abundance of each organism in the community. We compared the expected relative abundance of the 16S rRNA

genes from each bacterium in the mock community to the data we generated across rounds of 160 amplification and polymerase (Figure 3). Interestingly, for some bacteria, their representation became less biased with additional rounds of PCR (e.g. L. fermentum), while others became more 162 biased (e.g. E. faecalis), and others had little change (e.g. B. subtilis). Contrary to prior reports 163 (28), The percentage of bases in the V4 region that were guanines or cytosines was not predictive of the amount of bias. Across the strains there was no variation in the length of their V4 regions 165 and they each had the same sequence in the region that the primers annealed. One of the bacteria 166 represented in the mock community, S. enterica, had 6 identical copies of the V4 region and 1 copy 167 that differed from those by one nucleotide. The dominant copy had a thymidine and the rare copy had a guanine. We used the sequence data to calculate the ratio of the dominant to rare variants 169 from S. enterica (Figure S1). The Accuprime, Phusion, Platinum, and Q5 polymerases converged 170 to a ratio of 5.4; however, the ratio for the Kappa polymerase varied between 6.1 and 6.8 for 25 to 35 rounds of PCR. Given the subtle nature of the variation in the relative abundances of each 16S 172 rRNA gene fragment, it was not possible to create generalizable rules that would explain the bias. 173

At the community level, the effects of PCR amplification bias grow with additional rounds of PCR. Because the variation in bias between polymerases and across rounds of PCR could be 175 artificially inflated due to sequencing errors and chimeras, we analyzed the alpha and beta diversity 176 of the mock community data at different phases of the sequence curation pipeline (Figure 4). First, we removed the chimeras from the mock community data as described above and mapped the 178 individual reads to the OTUs that the 16S rRNA gene fragments would cluster into if there were no 179 sequencing errors. This gave us a community distribution that reflected the distribution following 180 PCR without any artifacts. Although the richness did not change, the Shannon diversity increased with the number of rounds of PCR for all polymerases except for the Kappa polymerase, for which 182 the diversity decreased (Figure 4A). These data suggest that PCR had the effect of making the 183 community distribution more even than it was originally, except for the data generated using the Kappa polymerase where the evenness decreased. Next, we used the observed sequence errors, 185 but removed chimeras using our reference-based approach, and clustered the reads to OTUs. 186 The richness and diversity metrics trended higher with higher error rates and number of rounds of PCR (Figure 4A). Finally, we used the observed sequence data and used the UCHIME algorithm 188

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to identify chimeras. Again, the richness and diversity metrics trended higher with higher error rates and number of rounds of PCR (Figure 4A). These comparisons demonstrated that although the bias at the sequence level was subtle, PCR introduces bias that is exacerbated by errors and chimeras when sequences are clustered into OTUs. When we measured the Bray-Curtis distance between the communities observed after 25 rounds of amplification and those at 30 and 35 rounds of amplification the distances between 25 and 35 rounds were higher than between 25 and 30 rounds for each of the polymerases by an average of 0.022 units (Figure 4B). The Platinum polymerase varied the most across rounds of amplification (25 vs 30 rounds: 0.12; 25 vs 35 rounds: 0.15). Although the distances between samples were small, the ordination of these distances showed a clear change in community structure with increasing rounds of PCR (Figure 4C). This observation was supported by our statistical analysis, which revealed that the number of rounds of PCR (R²=0.21, P<0.001) was a larger factor than the choice of polymerase (R²=0.20, P<0.001). These results demonstrate that subtle differences in relative abundances can have an impact on overall community structure. This variation underscores the importance of only comparing sequence data that have been generated using the same PCR conditions.

The choice of polymerase or the number of rounds of amplification have little impact on the relative interpretation of community-wide metrics of diversity. The biases that we observed at the population and community levels using mock community data appeared to be small relative to the expected differences between biological samples. To study this further, we calculated alpha and beta-diversity metrics using the human stool samples for each of the polymerases and rounds of amplification. We calculated the number of observed OTUs and Shannon diversity for each condition and subject (Figure 5A). Although there were clear differences between conditions, the relative ordering of the stool samples did not meaningfully vary across conditions. When we characterized the variation between rounds of amplification using human stool samples, the distance between the 25 and 30 rounds and 25 and 35 rounds varied considerably between samples and polymerases (Figure 5B). In general the inter-round variation was lowest for the data generated using the Kappa and Accuprime polymerases. The data generated using the Platinum polymerase was consistent across rounds, but overall, it was more biased than the other polymerases. Considering the average distance across the four samples varied between 0.39

and 0.56, regardless of the polymerases and number of rounds of amplification, any bias due to amplification is unlikely to obscure community-wide differences between samples. In support of this was our principle coordinates analysis of the Bray-Curtis distances, which revealed distinct clusters by donor (Figure 5C). Within each cluster there were no obvious patterns related to the polymerase or number of rounds of PCR. However, our statistical analysis revealed statistically significant differences in the community structures with the subject explaining the most variation (R<sup>2</sup>=0.79, P<0.001), followed by the number of rounds of PCR (R<sup>2</sup>=0.044, P<0.001) and the choice of polymerase (R<sup>2</sup>=0.033, P<0.001). Together, these results indicate that for a coarse analysis of communities, the choice of number of rounds of amplification or polymerase are not important, but that they must be consistent across samples. It is difficult to develop a specific recommendation based on the level of bias across rounds of PCR or polymerases; however, the general suggestion is to use as few rounds of amplification as possible.

There is little evidence of a relationship between polymerase or number of rounds of amplification on PCR drift. There have been concerns that the same template DNA subjected to the same PCR conditions could result in different representations of communities because of random drift over the course of PCR. To test this, we determined the average Bray-Curtis distance between replicate reactions using the same polymerase and number of rounds of amplification (Figure 6). Using the mock community data there were no obvious trends. The average Bray-Curtis distance within a set of conditions varied by 0.063 to 0.11 units. Although we did not generate technical replicates of each of the stool samples, the inter-sample variation for each set of conditions was consistent and varied between 0.50 and 0.56 units. These data suggest that amplicon sequencing is robust to random variation in amplification and that any differences are likely to be smaller than what is considered biologically relevant.

# 1 Discussion

Our results suggest that the number of rounds of PCR and to a lesser degree the choice of DNA polymerase impact the analysis of 16S rRNA gene sequence data from bacterial communities. Although it was not possible to make direct connections between PCR conditions and specific sources of bias, we were able to identify general recommendations that reduce the amount of error, chimera formation, and bias. Researchers should strive to minimize the number of rounds of PCR and should use a high fidelity polymerase. Although specific PCR conditions impact the precise interpretation of the data, the effects were consistent and were smaller than the biological differences between individuals. Based on these observations, amplicons must be generated by consistent protocols to yield meaningful comparisons. When comparing across studies, values like richness, diversity, and relative abundances must be made in relative and not absolute terms.

The observed sequencing error rates and alpha diversity metrics followed the manufacturers' measurements of their polymerases' fidelity (Figure 1). Accuprime and Platinum have fidelity that are approximately 10-times higher than that of Taq whereas the fidelity of Phusion, Q5, and Kappa are more than 100 times higher. Among these polymerases, the Kappa polymerase resulted in the the lowest error rate, lowest chimera rate, and least bias across rounds of PCR. Considering polymerase development is an active area of commercial development and it likely that new polymerases will come to market, it is important for researchers to understand how changing the polymerase impacts downstream analyses for their type of samples.

Over the past 20 years, a large literature has attempted to document various PCR biases and underscores the fact that data based on amplification of DNA from a mixed community are not a true representation of the actual community. In addition to obvious biases imposed by primer selection, other factors inherent in PCR can influence the representation of communities. Factors that can lead to preferential amplification of one fragment over another have included guanine and cytosine composition, length, flanking DNA composition, amount of DNA shearing, and number of rounds of PCR (24, 27–33). In addition, environmental and reagent contaminants can also have a significant impact on the analysis of low biomass samples (39). Less well understood is the effect of phylogenetic diversity on bias and chimera formation. Communities with low phylogenetic

diversity may be more prone to chimera formation since chimeras are more likely to form among closely related sequences (14, 35). The interaction of these various influences on PCR artifacts are complex and difficult to tease apart. Minimizing the level of DNA shearing and using the fewest number of rounds of PCR with a polymerase that has the highest possible fidelity are strategies that can be employed to minimize the formation of chimeras. Although care should always be taken when choosing a polymerase for 16S rRNA gene sequencing, our observations show that the differences between a variety of polymerases are smaller than the actual biological variation in fecal communities between individuals. Therefore, if the biological signal of interest is similar to differences in fecal bacterial communities found between individuals, then the type of high fidelity DNA polymerase used will only minimally change the results.

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Even with these strategies it is impossible to remove all PCR artifacts. Beyond the imperfections of 279 the best polymerases, sometimes difficult to lyse organisms require stringent lysis steps and low 280 biomass samples require additional rounds of PCR. A host of bioinformatics tools are available for 281 removing residual sequencing errors (18, 40-42). These tools struggle to correctly differentiate true 282 biological diversity (i.e. Amplicon Sequencing Variants or ASVs) and PCR or sequencing errors. Although these methods remove residual errors, they also risk splitting intragenomic variants into 284 separate ASVs or merging 16S rRNA gene sequences from different taxa into the same ASV. 285 Other tools are available for removing chimeras (14, 35) where there is a trade off between the sensitivity of detecting chimeras and the specificity of correctly calling a sequence a chimera. In 287 recent years, parameters for these algorithms have been changed to increase their sensitivity 288 with little evaluation of the effects on the specificity of the algorithms (40, 42). Others recommend 289 removing any read that has an abundance below a specified threshold as a tool to remove PCR and sequencign artifacts (e.g. removing all sequences that only appear once) (20, 40–42). This 291 method must be approached with caution as such approaches are likely to introduce a different bias 292 of the community representation and ignore the fact that artifacts may be quite abundant. Ultimately, researchers must test their hypotheses with multiple methods to validate the claims they reach with 294 any one method (43). All methods have biases and limitations and we must use complementary 295 methods to obtain robust results.

# Materials & Methods

*Mock community.* The ZymoBIOMICS<sup>TM</sup> Microbial Community DNA Standard (Zymo, CA, USA) 298 was used for mock communities and the bacterial component was made up of Pseudomonas 299 aeruginosa, Escherichia coli, Salmonella enterica, Lactobacillus fermentum, Enterococcus 300 faecalis, Staphylococcus aureus, Listeria monocytogenes, and Bacillus subtilis at equal genomic 301 DNA abundance (https://web.archive.org/web/20171217151108/http://www.zymoresearch.com: 302 80/microbiomics/microbial-standards/zymobiomics-microbial-community-standards). The actual 303 relative abundance for each bacterium was obtained from Zymo's certificate of analysis for the lot (Lot: ZRC187325), which they determined using shotgun metagenomic sequencing (https: 305 //github.com/SchlossLab/Sze PCRSeqEffects mSphere 2019/data/references/ZRC187325.pdf). 306

Human samples. Fecal samples were obtained from 4 individuals who were part of an earlier study (44). These samples were collected using a protocol approved by the University of Michigan Institutional Review Board. For this study, the samples were de-identified. DNA was extracted from the fecal samples using the MOBIO<sup>TM</sup> PowerMag Microbiome RNA/DNA extraction kit (now Qiagen, MD, USA).

PCR protocol. Five high fidelity DNA polymerases were tested including AccuPrime<sup>TM</sup> (ThermoFisher, MA, USA), KAPA HIFI (Roche, IN, USA), Phusion (New England Biolabs, MA, USA), Platinum (ThermoFisher, MA, USA), and Q5 (New England Biolabs, MA, USA). Manufacturer recommendations were followed except for the annealing and extension times, which were selected based on previously published protocols (18, 37). Primers targeting the V4 region of the 16S rRNA gene were used with modifications to generate MiSeq amplicon libraries (18) (https://github.com/SchlossLab/MiSeq\_WetLab\_SOP/). The number of rounds of PCR used for each sample and polymerase 15 and increased by 5 rounds up to 35 cycles. Insufficient PCR product was generated using 15 rounds and has not been included in our analysis.

Library generation and sequencing. Each PCR condition (i.e. combination of polymerase and number of rounds of PCR) were replicated four times for the mock community and one time for each fecal sample. Libraries were generated as described previously (18) (https://github.com/SchlossLab/

MiSeq\_WetLab\_SOP/). The libraries were sequenced using the Illumina MiSeq sequencing platform to generate paired 250-nt reads.

Sequence processing. The mothur software program (v 1.41) was used for all sequence 326 processing steps (45). The protocol has been previously published (18) (https://www.mothur.org/ 327 wiki/MiSeq SOP). Briefly, paired reads were assembled using mothur's make contigs command to correct errors introduced by sequencing (18). Any assembled contigs that contained an ambiguous 329 base call, mapped to the incorrect region of the 16S rRNA gene, or appeared to be a contaminant 330 were removed from subsequent analyses. Sequences were further denoised using mothur's 331 pre.cluster command to merge the counts of sequences that were within 2 nt of a more abundant 332 sequence. The VSEARCH implementation of UCHIME was used to screen for chimeras (35, 46). 333 At various stages in the sequence processing pipeline for the mock community data, the mothur 334 seq.error command was used to quantify the sequencing error rate as well as the true chimera rate. 335 This command uses the true sequences from the mock community to generate all possible chimeras 336 and removes any contigs that were at least three bases more similar to a chimera than to a reference 337 sequence. The command then counts the number of substitutions, insertions, and deletions in 338 the contig relative to the reference sequence and reports the error rate without the inclusion of 339 chimeric sequences (19). The reference sequences and operon copy number for each bacterium 340 were obtained from the ZymoBIOMICS<sup>TM</sup> Microbial Community DNA Standard protocol (https: //web.archive.org/web/20181221151905/https://www.zymoresearch.com/media/amasty/amfile/ attach/ D6305 D6306 ZymoBIOMICS Microbial Community DNA Standard v1.1.3.pdf). Sequences were assigned to operational taxonomic units (OTUs) at a threshold of 3% dissimilarity using the OptiClust algorithm (47). To adjust for unequal sequencing when measuring alpha and beta diversity, all samples were rarefied to 1,000 sequences 1,000 times for downstream analysis. 346 The Good's coverage for the samples at this level of sampling was routinely greater than 95%.

Statistical analysis. All analysis was done with the R (v 3.5.0) software package (48). Data transformation and graphing were completed using the tidyverse package (v 1.2.1). The distance matrix data was analyzed using the adonis function within the vegan package (v 2.5.3).

Reproducible methods. The data analysis code for this study can be found at https://github.com/

SchlossLab/Sze\_PCRSeqEffects\_mSphere\_2019. The raw sequences are available at the SRA (Accession SRP132931).

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Figure 1. The error rate of assembled sequence reads increases with the number of rounds of PCR used and follows the relative error rates provided by the manufacturers; however, much of this error is mediated by denoising.

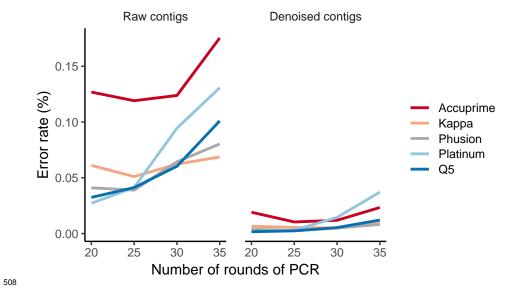


Figure 2. The fraction of all denoised sequences that were identified as being chimeric increases with the number of rounds of PCR used and varied between polymerases. (A) Sequencing of a mock community allowed us to identify the total fraction of sequences that were chimeric as well as the sensitivity and specificity of UCHIME to detect those chimeras. (B) Sequencing of four human stool samples after using one of five different polymerases again demonstrated increased rate of chimera formation with increasing number of rounds of PCR and variation across polymerases.

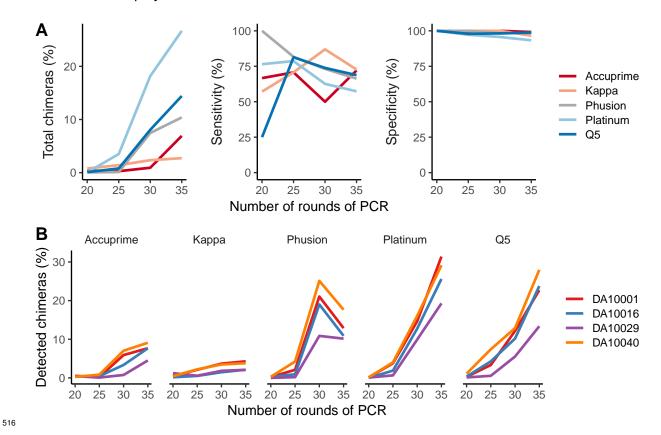


Figure 3. The relative abundances of reads mapped to reference sequences differed subtly from the expected relative abundances as determined by shotgun metagenomic sequencing. Bias did not increase with number of rounds of PCR or vary by polymerase or the guanine and cytosine content of the fragment. The expected relative abundance of each organism is indicated by the horizontal gray line. The percentage of bases that were guanines or cytosines within the V4 region of the 16S rRNA genes in each organism is indicated by the number in the lower left corner of each panel.

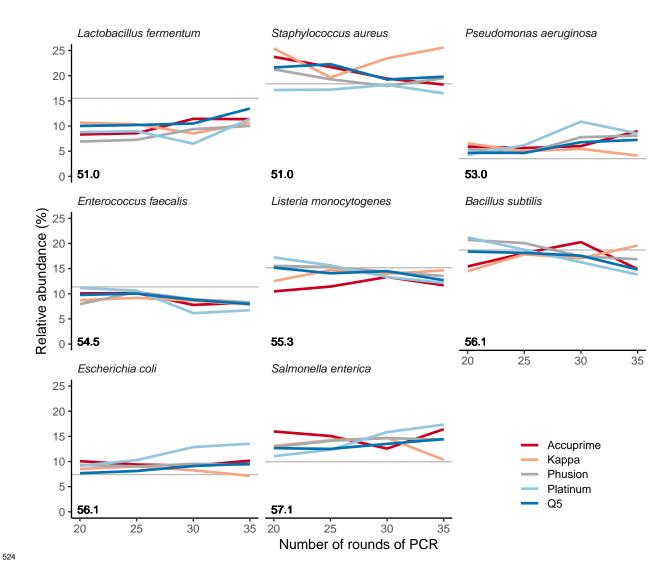


Figure 4. Despite evidence of subtle PCR bias at the genome level, there was significant evidence of bias using community-wide metrics that grew with the number of rounds of PCR when using a mock community. (A) With the exception of the Kappa polymerase data, the richness and Shannon diversity values increased with number of rounds of PCR and the inclusion of residual sequencing errors and chimeras. The horizontal black line indicates the ideal richness and diversity. (B) Relative to the mock community sampled after 25 rounds of PCR, the distance to the communities sampled after 30 and 35 rounds of PCR increased for all polymerases. (C) The variation between samples demonstrated a significant change in the community driven by the number of rounds of PCR and the polymerase used.

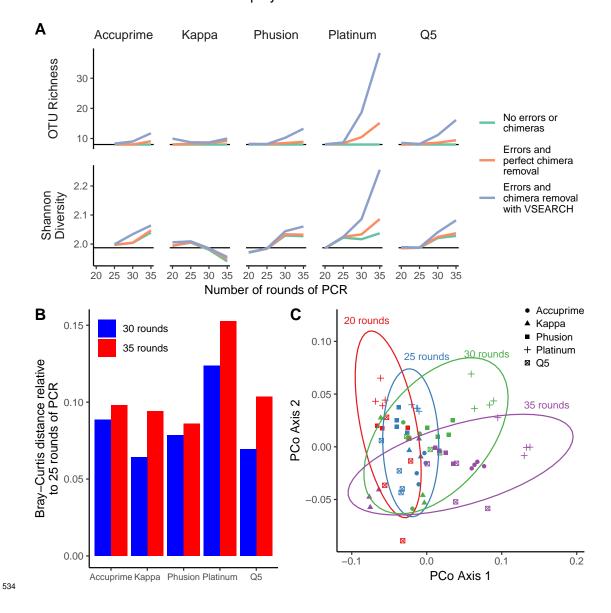


Figure 5. Sequencing of human stool samples indicated clear increase in bias with number of rounds of PCR, however, the bias appeared to be consistent within each sample. (A) With the exception of data collected using the Kappa polymerase, the richness and Shannon diversity values increased with number of rounds of PCR. (B) Relative to the stool communities sampled after 25 rounds of PCR, the distance to the stool communities sampled after 30 and 35 rounds of PCR was inconsistent and there was little difference in variation for data collected using the Kappa polymerase. (C) The variation between stool samples was larger than the amount of variation introduced by varying the number of rounds of PCR or polymerase.

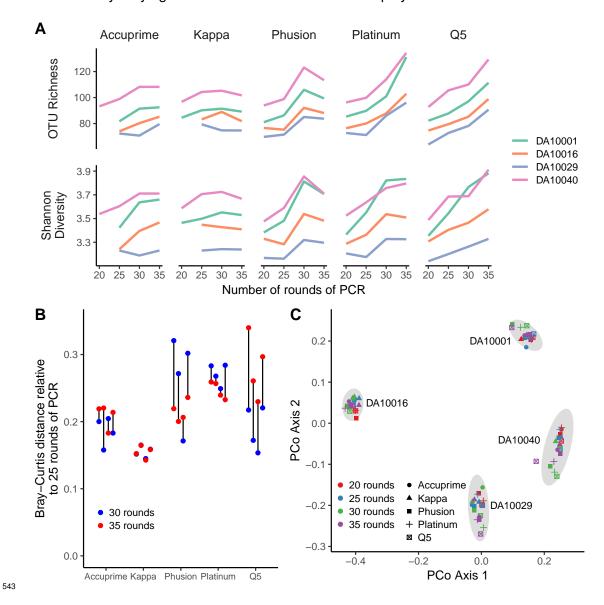


Figure 6. The average distance between replicates of sequencing the same mock community or between the human stool samples (i.e. drift) did not vary by number of rounds of PCR or by polymerase.

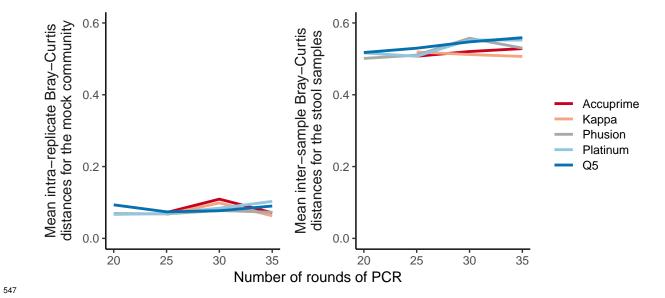


Figure S1: With the exception of the seuqence data generated using the Kappa polymerase, the ratio of the two *Salmonella enterica* V4 sequences to a value was lower than the expected ratio of 6:1. The two *S. enterica* V4 sequences differed by a single base.

