# High Fidelity DNA Polymerase Introduces Bias into 16S rRNA Gene Sequencing Results

Marc A Sze $^1$  and Patrick D Schloss $^{1\dagger}$ 

† To whom correspondence should be addressed: pschloss@umich.edu

1 Department of Microbiology and Immunology, University of Michigan, Ann Arbor, MI

#### Co-author e-mails:

• marcsze@med.umich.edu

#### Abstract

- Background. Using different reagents and kits at different steps of the 16S rRNA gene
- 3 sequencing workflow can introduce bias by changing the observed microbial community.
- 4 Although cycle number and high fidelity (HiFi) DNA polymerase are studied less often,
- they are still important sources of bias in this workflow. Here, we examine how both cycle
- 6 number and HiFi DNA polymerase can change the bacterial community and introduce bias
- 7 to the final obtained results.
- Methods. DNA from fecal samples (n=4) were extracted using a PowerMag DNA extraction
- kit with a 10 minute bead beating step and amplified at 15, 20, 25, 30, and 35 cycles using
- <sup>10</sup> Accuprime, Kappa, Phusion, Platinum, or Q5 HiFi DNA polymerase. Mock communities
- (technical replicates n=4) consisting of previously isolated whole genomes of 8 different
- bacteria were also amplified using the same approach. The number of OTUs (Operational
- Taxonomic Units) were examined for both fecal samples and mock communities. Next,
- <sup>14</sup> Bray-Curtis index, the error rate, sequence error prevalence, and chimera prevalence
- were investigated. Finally, the chimera prevalence correlation with number of OTUs was
- 16 assessed.
- 17 **Results.** When analyzing fecal samples a different number of OTUs was observed between
- HiFi DNA polymerases at 35 cycles (P-value < 0.0001). These HiFi dependent differences</p>
- in the number of OTUs were identified as early as 20 cycles in the mock communities
- <sup>20</sup> (P-value = 0.002). Chimera prevalence varied by HiFi DNA polymerase and this variation
- <sup>21</sup> was still present after chimera removal using VSEARCH. The chimera prevalence was
- 22 positively correlated with the number of OTUs and was also not affected by chimera
- 23 removal with VSEARCH.
- <sup>24</sup> Conclusions. HiFi DNA polymerase dependent differences in the number of OTUs and
- 25 chimera prevalence makes comparison across studies difficult. Care should be exercised



#### 28 Introduction

This study will specifically address how cycle number and high fidelity (HiFi) DNA polymerases can bias observed bacterial community results derived from 16S rRNA gene sequencing. First, it is important to differentiate between bias, reproducibility, and 31 standardization since often times these three can be confused and used interchangeably 32 with each other. Bias can change the observed results in a way that is reproducible and standardized. For example, if one group uses one brand of DNA extraction kit for their 16S rRNA gene sequencing, their results may be biased versus another group not using the 35 same brand kit but within their group they can still have reproducible results. Therefore, standardization of 16S rRNA gene sequencing methods to increase reproducibility can 37 still be problematic due to bias. Determining how different aspects of a 16S rRNA gene sequencing workflow could bias the observed results is critical for the interpretation of specific studies in the broader context of the overall field.

A typical 16S rRNA gene sequencing workflow can be divided into preservation, extraction,
PCR, and sequencing steps. Generally, not using a preservation media and leaving
samples at room temperature has been shown to cause overgrowth of low abundance
members of the fecal bacterial community (Amir et al., 2017). Similarily, this overgrowth
can still occur if the preservation media does not adequately inhibit growth (Song et al.,
2016; Luo et al., 2016). Reports have also shown that changes in specific community
members might occur due to differing susceptibility to freeze thaw cycles amongst microbes
(Gorzelak et al., 2015). Additionally, reagent contamination has been shown to add
community members and the contribution of these contaminant members grows larger
with lower biomass samples (Salter et al., 2014). Recent studies have shown that the
majority of these biases due to either preservation or extraction tend to be smaller than the
overall biological signal being measured (Song et al., 2016; Bassis et al., 2017). However,
the contribution of PCR bias to this overall workflow is not well characterized since these

54 studies use the same PCR approach while varying preservation or extraction method.

Identifying the biases in the PCR stage of 16S rRNA gene sequencing is important because
a large body of literature shows that there are a variety of steps during PCR that can
change the observed results (Eckert & Kunkel, 1991; Burkardt, 2000). Many of these
sources of biases are made worse as cycle number increases (Wang & Wang, 1996; Haas
et al., 2011; Kebschull & Zador, 2015). For example, the selective amplification of AT-rich
over GC-rich sequences can exaggerate the difference between 16S rRNA genes higher
in AT versus those higher in GC (Polz & Cavanaugh, 1998). Both amplification error and
non-specific amplification (e.g. incorrect amplicon size products) can also increase as
cycle number increases which can drastically change commonly used diversity measures
(Acinas et al., 2005; Santos et al., 2016). Additionally, chimeras can form from an aborted
extension step followed by a subsequent priming error and secondary extension and will
also artificially increase community diversity (Haas et al., 2011).

There are also intrinsic properties to primers and DNA polymerases chosen that can introduce bias. Primers have variable region dependent binding affinities for different bacteria and depending on the primer pair do not detect specific bacteria (e.g. V1-V3 does not detect *Haemophilus influenzae* and V3-V5 does not detect *Propionibacterium acnes*) (Sze et al., 2015 (Table S4); Meisel et al., 2016). Additionally, there are multiple families of DNA polymerases that have their own error rate and proof reading capacity (Ishino & Ishino, 2014). Interestingly, the influence that these different DNA polymerases can have on the observed 16S rRNA gene sequencing results have not been well studied like some of the other previously mentioned sources of PCR-based bias.

A recent study found clear differences between normal and high fidelity (HiFi) DNA polymerase and that optimization of the PCR protocol could reduce error and chimera generation (Gohl et al., 2016). This study also found that regardless of DNA polymerase, the number of Operational Taxonomic Units (OTUs) or taxa generated were not easily

reduced using the authors chosen bioinformatic pipeline (Gohl et al., 2016). It is natural to
extend this line of inquiry and ask if biases in the number of OTUs and chimeras are also
dependent on the type of HiFi DNA polymerase. There is some reason to think that this
may be the case since many of these HiFi DNA polymerases come from different families
(e.g. *Taq* belongs to the family A polymerases) and may intrinsically have different error
rates that cannot be completely removed with modifications (Ishino & Ishino, 2014). In
this study, we examine if any of five different types of HiFi DNA polymerases introduce
significant biases into 16S rRNA gene surveys, if this is a cycle dependent phenomenon,
and whether they can be removed using a standard bioinformatic pipeline.

#### Materials & Methods

Human and Mock Samples: A single fecal sample was obtained from 4 individuals who were part of the Enterics Research Investigational Network (ERIN). The processing and storage of these samples were previously published (Seekatz et al., 2016). Other than confirmation that none of these individuals had a Clostridium difficle infection, clinical data 93 and other types of meta data were not utilized or accessed for this study. All samples 94 were extracted using the MOBIO<sup>TM</sup> PowerMag Microbiome RNA/DNA extraction kit (now Qiagen, MD, USA). The ZymoBIOMICS<sup>TM</sup> Microbial Community DNA Standard (Zymo, CA, USA) was used for mock communities and was made up of *Pseudomonas aeruginosa*, 97 Escherichia coli, Salmonella enterica, Lactobacillus fermentum, Enterococcus faecalis, Staphylococcus aureus, Listeria monocytogenes, and Bacillus subtilis at equal genomic 99 DNA abundance (http://www.zymoresearch.com/microbiomics/microbial-standards/ 100 zymobiomics-microbial-community-standards). 101

PCR Protocol: The five different HiFi DNA polymerases that were tested included 102 AccuPrime<sup>TM</sup> (ThermoFisher, MA, USA), KAPA HIFI (Roche, IN, USA), Phusion 103 (ThermoFisher, MA, USA), Platinum (ThermoFisher, MA, USA), and Q5 (New England 104 Biolabs, MA, USA). The PCR cycle conditions for Platinum and Accuprime followed a 105 previously published protocol (Kozich et al., 2013) (https://github.com/SchlossLab/MiSeq 106 WetLab SOP/blob/master/MiSeq WetLab SOP v4.md). The HiFi DNA polymerase 107 activation time was 2 minutes, unless a different activation was specified. For Kappa 108 and Q5, a previously published protocol was used (Gohl et al., 2016). For Phusion, the 109 company defined conditions were used except for extension time, where the Accuprime 110 and Platinum settings were used. 111

The cycle conditions for both fecal and mock samples started at 15 and increased by 5 up to 35 cycles with amplicons used at each 5-step increase for sequencing. The PCR of

fecal DNA samples consisted of all 4 samples at 15, 20, 25, 30, and 35 cycles for each HiFi DNA polymerase (total sample n=100). The mock communities had 4 replicates at 15, 20, 25, and 35 cycles and 10 replicates for 30 cycles for all HiFi DNA polymerases (total samples n=130). No mock community sample had enough PCR product at 15 cycles for adequate 16S rRNA gene sequencing.

Sequence Processing: The mothur software program was used for all sequence processing steps (Schloss et al., 2009). The protocol has been previously published (Kozich et al., 2013) (https://www.mothur.org/wiki/MiSeq\_SOP). Two major differences from the published protocol were the use of VSEARCH instead of UCHIME for chimera detection and the use of the OptiClust algorithm instead of average neighbor for OTU generation at 97% similarity (Edgar et al., 2011; Rognes et al., 2016; Westcott & Schloss, 2017). Sequence error was determined using the 'seq.error' command on mock samples before the 'pre.cluster' command, before chimera removal, and after chimera removal (Schloss et al., 2009; Cole et al., 2013; Rognes et al., 2016).

Analysis Workflow: The total number of OTUs was analyzed after sub-sampling for both the fecal and mock community samples. For fecal samples, cycle dependent affects 129 on Bray-Curtis indices were assessed for cycle group and within individual differences 130 from the previous cycle (e.g. 20 versus 25, 25 versus 30, etc.). These community based 131 measures for fecal samples were analyzed at 4 different sub-sampling sequence depths (1000, 5000, 10000, and 15000) while the mock community samples were analysed at 3 levels (1000, 5000, 10000). Based on these observations we analyzed potential reasons for these differences. Analysis of the mock community of each HiFi DNA polymerase for general sequence error rate, number of sequences with an error, base substitution, and 136 numbers of chimeras were assessed before the 'pre.cluster' command, before chimera 137 removal, and after chimera removal. Additionally, the correlation between the number of 138 chimeras and the number of OTUs was also assessed before the 'pre.cluster' command, before chimera removal, and after chimera removal.

Statistical Analysis: All analysis was done with the R (v 3.4.3) software package (R Core 141 Team, 2017). Data transformation and graphing was completed using the tidyverse package 142 (v 1.2.1) and colors selected using the viridis package (v 0.4.1) (Garnier, 2017; Wickham, 143 2017). Differences in the total number of OTUs were analyzed using an ANOVA with a 144 tukey post-hoc test. For the fecal samples the data was normalized to each individual by 145 cycle number to account for the biological variation between people. Bray-Curtis distance matrices were generated using mothur after 100 sub-samplings at 1000, 5000, 10000, and 15000 sequence depth. The distance matrix data was analyzed using PERMANOVA with the vegan package (v 2.4.5) (Oksanen et al., 2017) and Kruskal-Wallis tests within R. For both error and chimera analysis, samples were tested using Kruskal-Wallis with 150 a Dunns post-hoc test. Where applicable correction for multiple comparison utilized the 15 Benjamini-Hochberg method (Benjamini & Hochberg, 1995).

Reproducible Methods: The code and analysis can be found here https://github.com/
SchlossLab/Sze\_PCRSeqEffects\_XXXX\_2017. The raw sequences can be found in the
SRA at the following accession number SRP132931.

#### 56 Results

The Number of OTUs are Dependent on HiFi DNA Polymerase: A consistent difference in the number of OTUs, that was dependent on the HiFi DNA polymerase used was 158 observed regardless of sub-sampling depth for fecal samples [Figure 1]. Additionally, there 159 was a trend for lower cycle numbers (15-20) to result in less differences in the number 160 of OTUs versus higher cycle numbers (25, 30, and 35) between HiFi DNA polymerases 161 [Figure 1]. For fecal samples, all sub-sampling levels had significant differences between 162 HiFi DNA polymerases at 35 cycles (P-value < 0.0001) [Table S1]. Most of the differences 163 observed at 35 cycles were between Platinum and other HiFi DNA polymerases, based 164 on a Tukey post-hoc test (P-value < 0.05) [Table S2]. Differences in the number of OTUs 165 between HiFi DNA polymerases were identifiable at earlier cycles (25 and 30) but the 166 sub-sampling depth had to be 5000 sequences or higher (P-value < 0.05) [Table S1]. 167

This HiFi DNA polymerase dependent difference in the number of OTUs was also observed in the mock community samples [Figure 2]. Regardless if fecal or mock communities were 169 used, the same HiFi DNA polymerases had high (Platinum) and low (Accuprime) number 170 of OTUs and this was consistent across cycle number and sub-sampling depth [Figure 171 1-2 & Table S1-S4]. In contrast to the results obtained with fecal samples, differences 172 between HiFi DNA polymerases were observed as early as 20 cycles and at as low of a 173 sub-sampling depth as 1000 sequences in the mock community samples (P-value = 0.002) 174 [Table S3]. For both cycle numbers and sub-sampling depths, the majority of differences in 175 the number of OTUs were between Platinum and the other HiFi DNA polymerases [Table 176 S4]. Based on these observations in fecal and mock communities, it is clear that different 177 HiFi DNA polymerases result in a different total number of OTUs observed within a sample. 178

Minimal Bray-Curtis Differences are Detected and are Dependent on both Cycle

Number and Sub-Sampling Depth: A few small differences based on sub-sampling and

cycle number were detected in overall bacterial community composition. Within the same fecal sample and independent of HiFi DNA polymerases, there were differences in the 182 community composition between 20 and 25 cycles that was dependent on sub-sampling 183 depth (sub-sampled to 1000 = 0.51 (0.4 - 0.79) (median (IQR)), sub-sampled to 5000 = 0.43184 (0.33 - 0.63), sub-sampled to 10000 = 0.4 (0.24 - 0.43) [Figure 3A]. Further, when data 185 was available for the mock communities, there were larger observed differences between 186 20 and 25 cycles (sub-sampled to 1000 = 0.88 (0.42 - 0.91)) [Figure 3B]. Additionally, these 187 stated community differences disappear when comparing 25 to 30 cycles and do not persist 188 past 25 cycles [Figure 3]. Although these trends are clearly noticeable, we found that 189 there was no detectable difference in Bray-Curtis index when comparing to the previous 190 5-cycle increment for both fecal and mock communities after multiple comparison correction 191 (P-value > 0.05). Using PERMANOVA to test for community differences based on cycle 192 number within HiFi DNA polymerases, only Phusion had cycle dependent differences 193 at 1000 and 5000 sub-sampling depths (P-value = 0.03 and 0.01). For fecal samples, 194 Phusion was one of two HiFi DNA polymerases that had enough sequences to reach 195 a sub-sampling depth of 1000 at 15 cycles. Overall, these data suggest that there are small HiFi DNA polymerase differences in Bray-Curtis index that are dependent on both sub-sampling depth and cycle number.

#### Sequence Error is Dependent on both HiFi DNA Polymerase and Cycle Number:

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Differences in the median average per base error varied by HiFi DNA polymerase without 200 a clear pattern across sub-sampling depth [Table S5]. The highest median average per base error rates were for the Kappa HiFi DNA polymerase [Figure 4]. This error rate 202 was minimally affected by both the 'pre.cluster' step and chimera removal by VSEARCH 203 [Figure 4]. The differences in the median average per base error rate between the different 204 HiFi DNA polymerases was cycle dependent with Platinum having the largest changes 205 versus other HiFi DNA polymerases [Figure 4B-C and Table S6]. The total sequences with at least one error was also cycle number dependent and differences between HiFi DNA polymerases could be drastically reduced by the use of the 'pre.cluster' step [Figure S1].

These differences in sequences with at least one error were mostly due to differences in

Accuprime<sup>TM</sup> and Platinum versus the other HiFi DNA polymerases [Figure S1 & Table

S7 & S8]. Finally, we did not observe a HiFi DNA polymerase dependent difference on

base substitution rate [Figure S2]. Although sequence error is dependent on HiFi DNA

polymerase some of these error dependent differences can be corrected using exsiting

bioinformatic approaches.

Prevalence of Chimeric Sequences are HiFi DNA Polymerase Dependent and 215 Correlate with the Number of OTUs: There were significant differences in the chimera 216 prevalence based on HiFi DNA polymerase used at all levels of sub-sampling and cycle numbers (P-value < 0.05) [Table S9]. Differences in chimera prevalence between Platinum and all other HiFi DNA polymerases accounted for the majority of these differences [Table S10]. Accuprime<sup>TM</sup> had the lowest chimera prevalence of all HiFi DNA polymerases regardless of whether 'pre.cluster' or chimera removal with VSEARCH was used [Figure 5]. A positive correlation was observed between chimeric sequences and the number of 222 OTUs for all HiFi DNA polymerases [Figure 6]. This positive correlation was strongest for 223 Accuprime<sup>™</sup>, Platinum, and Phusion HiFi DNA Polymerases [Figure 6]. The R<sup>2</sup> value 224 between the number of OTUs and chimeric sequences did not change with the use 225 of 'pre.cluster' or with the removal of chimeras using VSEARCH [Figure 6]. This data 226 suggests that chimera prevalence depends on HiFi DNA polymerase used and confirms 227 that the number of OTUs is dependent on the prevalence of these chimeric sequences.

#### Discussion

These observations build upon previous studies (Gohl et al., 2016) by showing that different HiFi DNA polymerases have significant differences in the number of OTUs and that changes 231 in total OTUs correlate with chimeras not removed after sequence processing [Figure 1-2 232 & 5]. HiFi DNA polymerase dependent differences in total number of OTUs are important 233 to consider since many diversity metrics rely on this measure as part of their calculations. 234 Our observations show that HiFi DNA polymerase can have a noticeable affect on the 235 OTUs generated and these differences are consistent across sub-sampling depth and 236 PCR cycle number [Figure 2-4]. Importantly, high biomass samples may underestimate the 237 biases present within low biomass samples. An indication that this may be the case is the 238 earlier detection of differences in total number of OTUs between HiFi DNA polymerases in 239 the mock versus fecal samples.

Although we did not observe strong differences in the Bray-Curtis index the data suggests that there may be differences between 15 and 20 cycles versus higher cycle numbers, such as 30 cycles, that are commonly used. There was few differences within individuals 243 between corresponding 5-cycle increments (e.g. 15 to 20, 20 to 25, etc.). However, 244 there is a clear trend that suggests that the community at 20 cycles is different then the 245 community at 25 cycles [Figure 3]. These findings, in conjunction with the PERMANOVA 246 results, suggest that cycle number can change bacterial community calculations but that 247 these differences are minimal once 25 cycles are reached. Further, for some HiFI DNA 248 polymerases, increasing the sub-sampling depth may reduce some of these observed 249 community differences at lower cycle numbers. 250

Increasing the cycle number also exacerbated chimera prevalence differences between the different HiFi DNA polymerases [Figure 5]. The chimera prevalence was strongly correlated with the number of OTUs which is relied upon heavily for many community metric calculations. However, Bray-Curtis analysis with PERMANOVA showed few differences
based on HiFi DNA polymerase. It is possible that many of the increased number of
OTUs are not highly abundant allowing the Bray-Curtis index to be able to successfully
down-weight these respective OTUs (Minchin, 1987). The choice of downstream diversity
metric could be an important consideration in helping to mitigate these observed changes
due to high chimera prevalence in specific HiFi DNA polymerases.

Our observations suggest that there are clear HiFi DNA polymerase dependent differences 260 in both per base error rate and chimera prevalence that cannot be removed using 261 bioinformatic approaches [Figure 4 & 5]. Although it may be a natural assumption that the variation may be due to the DNA polymerase family, the highest chimera rate, from Platinum, was a family A polymerase while the lowest, from Accuprime, was also an A family polymerase (Ishino & Ishino, 2014). In fact, from the material safety data sheet (MSDS), the differences between the two is not immediately apparent. Both Accuprime and 266 Platinum contain a recombinant *Tag* DNA polymerase, a *Pyrococcus* spp GB-D polymerase 267 and a platinum Tag antibody. It is possible that differences in how the recombinant Tag 268 was generated could be the main reason for the differences in chimera rate. 269

### **Conclusion**

Our findings show that the number of OTUs will be dependent on both HiFi DNA polymerase and cycle number chosen. Care should be taken when choosing a HiFi DNA polymerase for 16S rRNA gene surveys since intrinsic differences can change the number of OTUs observed and influence diversity based metrics that do not down-weight rare observations.

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- Figure 1: Normalized Fecal Number of OTUs. The x-axis represents the different sub-sampling depths used and the y-axis is the normalized within individual number of OTUs. The red line represents the overall mean Z-score normalized number of OTUs for each respective HiFi DNA polymerase. The dashed black line represents the overall Z-score normalized mean number of OTUs.
- Figure 2: Mock Sample Variability in Number of OTUs based on HiFi DNA
  Polymerase. A) Sub-sampled to 1000 reads. B) Sub-sampled to 5000 reads. C)
  Sub-sampled to 10000 reads. The dotted line represents the number of OTUs generated
  when the mock reference sequences are run through the pipeline.
- Figure 3: Community Differences by Five-Cycle Intervals and Sub-sampling Depth.

  A) Fecal samples within person difference based on the next 5-cycle PCR interval. B)

  Mock samples within replicate difference based on the next 5-cycle PCR interval.
- Figure 4: HiFi DNA Polymerase Per Base Error Rate in Mock Samples. A) Error rate before the merger of sequences with pre.cluster and the removal of chimeras with VSEARCH. B) Error rate before the removal of chimeras with VSEARCH. C) Full pipeline.

  The error bars represent the 75% interquartile range of the median.
- Figure 5: HiFi DNA Polymerase Chimera Prevalence in Mock Samples. A) Chimera sequence percentage before the merger of sequences with pre.cluster and the removal of chimeras with VSEARCH. B) Chimera sequence percentage before the removal of chimeras with VSEARCH. C) Full pipeline. The error bars represent the 75% interquartile range of the median.
- Figure 6: The Correlation between Number of OTUs and Chimeras. A) Correlation
  before the merger of sequences with pre.cluster and the removal of chimeras with
  VSEARCH. B) Correlation before the removal of chimeras with VSEARCH. C) Correlation
  with full pipeline.

- Figure S1: HiFi DNA Polymerase Sequence Error Prevalence in Mock Samples. A)

  Sequence error prevalence before the merger of sequences with pre.cluster and the
  removal of chimeras with VSEARCH. B) Sequence error prevalence before the removal of
  chimeras with VSEARCH. C) Full pipeline. The error bars represent the 75% interquartile
  range of the median.
- Figure S2: HiFi DNA Polymerase Nucleotide Subsitutions in Mock Samples.