Let A denote the one-dimensional Schrödinger operator with a periodic delta potential, i.e. $\exists (x_k)_{k>1}$ periodically distributed such that

$$A := -\Delta + c \cdot \sum_{i > 1} \delta_{x_i}$$

and define the domain of A as follows

$$\mathcal{D}(A) := \left\{ u \in \bigcap_{i \ge 1} \left(H^2(x_i - 1/2, x_i) \cap H^2(x_i, x_i + 1/2) \right), \right.$$

$$\nabla u(x_i - 0) - \nabla u(x_i + 0) + cu(x_i) = 0, \ \forall i \ge 1 \right\} (1.1)$$

woreover, we identify with Ω_k the periodicity cell containing delta point x_k and let w.o.l.g. $|\Omega_k| = 1 \ \forall k \geq 1$.

1.1 The domain

First we will show that A is in this sense well-defined. For every fixed $k \geq 1$ and for a $v \in C^{\infty}(\mathbb{R})$ such that supp $v = (x_k - 1/2, x_k)$ equation 1.2 yields

$$\int_{x_k-1/2}^{x_k} \nabla u \overline{\nabla v} dx = \int_{x_k-1/2}^{x_k} Au\overline{v} \iff \int_{x_k-1/2}^{x_k} u \overline{\Delta v} dx = \int_{x_k-1/2}^{x_k} -Au\overline{v} dx$$

So $\Delta u = -Au \in L^2$ on $(x_k - 1/2, x_k)$ and analogously on $(x_k, x_k + 1/2)$. As $k \ge 1$ was arbitrary one can therefore fix

$$\mathcal{D}(A) \subset \left\{ u \in \bigcap_{i>1} \left(H^2(x_i - 1/2, x_i) \cap H^2(x_i, x_i + 1/2) \right) \right\}$$

Next, from choosing a $v \in C^{\infty}(\mathbb{R})$ such that for a $k \geq 1$ supp $v = (x_k - 1/2, x_k + 1/2)$ in 1.2 and integrating on both sides of x_k by parts follows

$$-\left(\int_{x_k-1/2}^{x_k}+\int_{x_k}^{x_k+1/2}\right)\Delta u\cdot\overline{v}+\left(\nabla u(x_k-0)\overline{v(x_k-0)}-\nabla u(x_k+0)\overline{v(x_k+0)}\right)$$

$$+cu(x_k)\overline{v(x_k)} = -\int_{x_k-1/2}^{x_k} \Delta u\overline{v} - \int_{x_k}^{x_k+1/2} \Delta u\overline{v}$$

But this is equivalent to

$$\nabla u(x_k - 0) - \nabla u(x_k + 0) + cu(x_k) = 0$$

Such that

$$\mathcal{D}(A) \subset \left\{ u \in \bigcap_{i \ge 1} \left(H^2(x_i - \frac{1}{2}, x_i) \cap H^2(x_i, x_i + \frac{1}{2}) \right), \right.$$
$$\nabla u(x_i - 0) - \nabla u(x_i + 0) + cu(x_i) = 0, \ \forall i \ge 1 \right\} =: B$$

The opposite inclusion one shows, as $\mathcal{R}(R_{\mu}) = \mathcal{D}(A)$, by proving $u \in \mathcal{R}(R_{\mu})$. More specifically, as $\mathcal{D}(R_{\mu}) = L^2$ define for $u \in B$

$$f \coloneqq Au = -\Delta u$$
 on $\bigcup_{i>1} ((x_i - 1/2, x_i) \cap (x_i, x_i + 1/2))$

Now, left to show is that $u = R_{\mu}(f - \mu u)$:

$$\sum_{i>1} \int_{\Omega_i} (f - \mu u) \overline{v} = \sum_{i>1} \int_{\Omega_i} \nabla u \overline{\nabla v} + c u(x_i) \overline{v(x_i)} - \mu \int_{\Omega_i} u \overline{v}$$

$$\iff -\sum_{i\geq 1} \int_{x_i-1/2}^{x_i} \Delta u \overline{v} + \int_{x_i}^{x_i+1/2} \Delta u \overline{v} = \sum_{i\geq 1} \int_{\Omega_i} \nabla u \overline{\nabla v} + c u(x_i) \overline{v(x_i)}$$

For each $k \ge 1$ partial integration for a v with supp $v = (x_k - 1/2, x_k + 1/2)$ yields

$$\int_{x_{k}-1/2}^{x_{k}} \nabla u \overline{\nabla v} + \int_{x_{k}}^{x_{k}+1/2} \nabla u \overline{\nabla v} - \nabla u(x_{k}-0) \overline{v(x_{k})} + \nabla u(x_{k}+0) \overline{v(x_{k})} \\
= \int_{\Omega_{k}} \nabla u \overline{\nabla v} + cu(x_{k}) \overline{v(x_{k})}$$

$$\iff (\nabla u(x_k+0) - \nabla u(x_k-0) - cu(x_k)) \overline{v(x_k)} = 0$$

which holds for $u \in B$.

¹notice, $R_{\mu} := (A - \mu I)^{-1}$ denotes the resolvent

Furthermore, for $u, v \in D(A)$ we can on top of that estimate for arbitrary $\tilde{x}_i \in \Omega_i$

$$\begin{split} \left| \sum_{i \geq 1} u(x_i) \overline{v(x_i)} \right|^2 &\leq \sum_{i \geq 1} |u(x_i)|^2 \sum_{i \geq 1} |\overline{v(x_i)}|^2 \\ &\leq \sum_{i \geq 1} \left| u(\tilde{x}_i) + \int_{\tilde{x}_i}^{x_i} \nabla u(\tau) d\tau \right|^2 \sum_{i \geq 1} \left| \overline{v(\tilde{x}_i)} + \int_{\tilde{x}_i}^{x_i} \nabla v(\tau) d\tau \right|^2 \\ &\leq \left(\sum_{i \geq 1} 2|u(\tilde{x}_i)|^2 + 2 \int_{\tilde{x}_i}^{x_i} |\nabla u(\tau)|^2 d\tau \cdot (x_i - \tilde{x}_i) \right) \\ &\cdot \left(\sum_{i \geq 1} 2|v(\tilde{x}_i)|^2 + 2 \int_{\tilde{x}_i}^{x_i} |\nabla v(\tau)|^2 d\tau \cdot (x_i - \tilde{x}_i) \right) \\ &\leq 4 \cdot \left(\sum_{i \geq 1} \int_{\Omega_i} |u(\tilde{x}_i)|^2 d\tilde{x}_i + \int_{\Omega_i} |\nabla u(\tau)|^2 d\tau \right) \\ &\cdot \left(\sum_{i \geq 1} \int_{\Omega_i} |v(\tilde{x}_i)|^2 d\tilde{x}_i + \int_{\Omega_i} |\nabla v(\tau)|^2 d\tau \right) \\ &= 4 \cdot \left(\|u\|_{L^2}^2 + \|\nabla u\|_{L^2}^2 \right) \cdot \left(\|v\|_{L^2}^2 + \|\nabla v\|_{L^2}^2 \right) \\ &\leq 4 \cdot \|u\|_{H^1(\mathbb{R})}^2 \|v\|_{H^1(\mathbb{R})}^2 \end{split}$$

The consequence being the operator A is well-defined on its domain.

1.2 The spectral problem

For fixed $k \geq 1$, we consider the eigenvalue problem

$$\int \nabla u \overline{\nabla v} - \mu \int u \overline{v} + c \cdot \sum_{i>1} u(x_i) \overline{v(x_i)} = \int f \overline{v} \overline{\nabla v}$$
 (1.2)

 $H_k^1 := \{a\}$ For a bounded $f \in L^2$ we are interested in the spectral problem of the weak formulation to the corresponding differential equation with quasi periodic. For all $v \in D(A)$ we obtain therefore Next, we show that R_μ is well-defined, which means that for all $u \in \mathcal{D}(A)$ there exists a unique solution. Lets assume that f, as the righthand-side of the given differential equation, is a bounded linear functional.

Lax-Milgram's theorem² would then guarantee the existence and uniqueness of a solution if $\mathcal{D}(A)$ is a Hilbert space and if the left-hand side as bilinear

$$B(u,\varphi) := \langle \nabla u, \nabla \varphi \rangle + cu(x_0)\varphi(x_0) - \mu \langle u, \varphi \rangle$$

is bounded and B[u, u] is coercive.

Theorem 1.1. Existence of a unique solution of 1.2

Proof.

Todo: $\mathcal{D}(A)$ is a Hilbert space

 H_k^1 is evidently a subspace of the Hilbert space $H^1(\Omega)$, but additionally H_k^1 is also closed, as for an arbitrary sequence $(\psi_j)_{j\geq 1} \in H_{1,k}$ the value on the boundary coincides. Define $f := \psi_j - \lim \psi_j$ and then

$$|f(-1/2)|^2 = 2|f(x)|^2 + 2\left(\int_{-1/2}^x f'(\tau)d\tau\right)^2$$

$$\leq 2|f(x)|^2 + 2\int_{-1/2}^{1/2} |f'|^2 d\tau$$

$$\leq 2||f||_{H^1(-1/2,1/2)}^2$$

With that $\psi \in H_k^1$ as

$$\psi(-1/2) = \lim_{j \to \infty} \psi_j(-1/2) = \lim_{j \to \infty} e^{ik} \psi_j(1/2) = e^{ik} \psi(1/2)$$

The bilinear form B is bounded

$$|B(u,\varphi)| := |\langle \nabla u, \nabla \varphi \rangle + cu(x_0)\varphi(x_0) - \mu \langle u, \varphi \rangle|$$

$$\leq \sup_{Inequality} |\|\nabla u\| \cdot \|\nabla \varphi\| + cu(x_0)\varphi(x_0) - \mu \|u\| \cdot \|\varphi\||$$

Again we require $H^1(\mathbb{R}) \subseteq C(\mathbb{R})$, we can estimate the modulus of

 $^{^2 {\}rm formulation}$ and prove in appendix A

 $v(x_0) \in \{u(x_0), \varphi(x_0)\}\$ over the periodicity cell I_k :

$$|v(x_0)|^2 = \left| v(x) + \int_x^{x_0} \nabla v(\tau) d\tau \right|^2 \quad \text{for an arbitrary } x \in (\inf I, x_0)$$

$$\stackrel{convexity}{\leq} 2|v(x)|^2 + 2 \left| \int_x^{x_0} \nabla v(\tau) d\tau \right|^2$$

$$\stackrel{trace}{\leq} 2|v(x)|^2 + 2 \int_I |\nabla v(\tau)|^2 d\tau \cdot (x_0 - x)$$

Integrating both sides over the interval I yields:

$$|v(x_0)|^2 \cdot |I| = 2 \int_I |v(x)|^2 dx + 2 \int_I |\nabla v(\tau)|^2 d\tau \cdot |I| \cdot (x_0 - x)$$

$$\Rightarrow |v(x_0)|^2 = \frac{2}{|I|} \int_I |v(x)|^2 dx + 2 \int_I |\nabla v(\tau)|^2 d\tau \cdot \underbrace{(x_0 - x)}_{\leq |I|}$$

and results in the following

$$|B(u,\varphi)| \leq |\|\nabla u\| \cdot \|\nabla \varphi\| + c \cdot u(x_0)\varphi(x_0) - \mu\|u\| \cdot \|\varphi\||$$

$$\leq |\|\nabla u\| \cdot \|\nabla \varphi\| + c \left(u(x_0)^2 \varphi(x_0)^2\right)^{1/2} - \mu\|u\| \cdot \|\varphi\||$$

$$= |\|\nabla u\| \cdot \|\nabla \varphi\| + 2c \left(\frac{1}{|I|} \|u\|^2 + \|\nabla u\|^2 \cdot |I|\right)^{1/2}$$

$$\cdot \left(\frac{1}{|I|} \|\varphi\|^2 + \|\nabla \varphi\|^2 \cdot |I|\right)^{1/2} - \mu\|u\| \cdot \|\varphi\||$$

$$= |(1 + 2c \cdot |I|) \cdot \|\nabla u\| \cdot \|\nabla \varphi\| + (\frac{2c}{|I|} - \mu) \cdot \|u\| \cdot \|\varphi\|$$

$$+ 2c \left(\|u\| \cdot \|\nabla \varphi\| + \|\nabla u\| \cdot \|\varphi\|\right)|$$

$$\leq \alpha \cdot \|u\|_{H^1} \cdot \|\varphi\|_{H^1}$$

Next, the coercivity for $c \geq 0$ and as assumed at the start μ is small

enough, here $\mu < -1$

$$B(u, u) = \langle \nabla u, \nabla u \rangle + cu(x_0)^2 - \mu \langle u, u \rangle$$

$$\geq \langle \nabla u, \nabla u \rangle - \mu \langle u, u \rangle$$

$$\geq \langle \nabla u, \nabla u \rangle + \langle u, u \rangle$$

$$= \|u\|_{H^1}^2$$

and for c < 0

$$B(u,u) = \langle \nabla u, \nabla u \rangle + c|u(x_0)|^2 - \mu \langle u, u \rangle$$

$$= \langle \nabla u, \nabla u \rangle + c \left(\frac{2}{I} \int_I |u(x)|^2 dx + 2I \int_I |\nabla u(\tau)|^2 d\tau \right) - \mu \langle u, u \rangle$$

$$= (1 + 2cI) \|\nabla u\|^2 + (-1 + c\frac{2}{I}) \|u\|^2$$

$$\geq \beta \|u\|_{H^1}^2 \qquad \Box$$

All in all, Lax-Milgram's theorem now guarantees a unique element $u \in H$ such that

$$B(u, v) = l(\varphi)$$

for all $\varphi \in H_k^1$

1.3 A_k is a self-adjoint operator

Last but not least, to show that A_k is self-adjoint, we focus first on $R_{u,k}^{-1}$ which is given by

$$R_{\mu,k}(A)^{-1} = (A - \lambda I)$$

First one has to notice that $R_{\mu,k}^{-1}$ is symmetric, as $\forall v \in H_k^1$:

$$\langle R_{\mu,k}^{-1}u, v \rangle = \langle (A - \lambda I)u, v \rangle$$

$$= \int (A - \lambda I)(u)v dx$$

$$= \int u'v' dx - \int \lambda uv dx + cu(x_0)v(x_0)$$

$$= \int u(A - \lambda I)(v) dx$$

$$= \langle u, (A - \lambda I)v \rangle = \langle u, R_{\mu,k}^{-1}v \rangle$$

Now as $dom R_{\mu,k} = L^2(\mathbb{R})$ and $range R_{\mu,k} = dom R_{\mu,k}^{-1}$, we want to show that for each $f, g \in L^2$

$$\langle R_{\mu,k}f,g\rangle - \langle f,R_{\mu,k}g\rangle = \gamma$$

 $\gamma = 0$. Now there are $u, v \in dom A_k$ with Rf = u, Rg = v applying to A_k to u, v one gets for all $\varphi, \psi \in H_k^1$

$$\int u'\varphi' + cu(0)\varphi(0) - \mu \int u\varphi = \int f\varphi$$
$$\int v'\psi' + cv(0)\psi(0) - \mu \int v\psi = \int g\psi$$

As it has to hold for all $\varphi, \psi \in H_k^1$ the special choice of $\varphi = v$ and $\psi = u$ yields $\gamma = 0$ and $R_{\mu,k}$ is therefore symmetric.

All in all we can use this to show that $\mathbb{R}_{\mu,k}$ is self-adjoint, as we get for an arbitrary $v^* \in domain R_{\mu,k}^{-1}$ there exists a $v \in dom R_{\mu,k}$:

$$\langle u, v^* \rangle = \langle R_{\mu,k}^{-1} R_{\mu,k} u, v^* \rangle$$
$$= \langle R_{\mu,k} u, (R_{\mu,k}^{-1}) v^* \rangle$$
$$= \langle R_{\mu,k} u, v \rangle = \langle u, R_{\mu,k} v \rangle$$

So $v^* \in range R_{\mu,k} = dom R_{\mu,k}^{-1}$ with that also $R_{\mu,k}^{-1}$ is self-adjoint and as A_k is simply $R_{\mu,k}^{-1}$ shifted by the real constant μ , $R_{\mu,k}^{-1}$ is self-adjoint as well.

1.4 A_k being compact

Let $B_{H_k^1} = \{ f \in H_k^1(\Omega) : ||f|| \leq 1 \}$. We want to show that $\forall \epsilon > 0 \exists g_1, \ldots, g_{n_{\epsilon}}$:

$$\forall f \in B \ \exists g \in \{g_1, \dots, g_{n_{\epsilon}}\}: \quad \|f - g\| \le \epsilon$$

Together with the closure of H_k^1 this yields the compact embedding. Now, as $H^1(\Omega) \subset C(\Omega)$:

$$|f(x) - f(y)| \le c|x - y|^{1/2} \text{ for some } c > 0$$
 (*)

For a $f \in B_{H^1}$ follows from (*) that

$$|f(x)|^2 \le 2||f||_{L^2}^2 + 2 \le 4 \quad \forall x \in \Omega$$

And with that we can approximate a $f \in B$ by simple functions through partitioning Ω into n_{ϵ} equidistant intervals. As our simple function is constant on each subinterval, we chose this constant c_k such that

$$|f(\frac{k}{n}) - c_{k+1}| < \frac{1}{n}$$

such that

$$||f - g||_{L^{2}}^{2} = \sum_{k=0}^{n-1} \int_{\frac{k}{n}}^{\frac{k+1}{n}} |f - c_{k+1}|^{2} dx$$

$$= 2 \sum_{k=0}^{n-1} \int_{\frac{k}{n}}^{\frac{k+1}{n}} |f - f(\frac{k}{n})|^{2} dx + 2 \sum_{k=0}^{n-1} \int_{\frac{k}{n}}^{\frac{k+1}{n}} |f(\frac{k}{n} - c_{k+1})|^{2} dx$$

$$\leq 2 \sum_{n=0}^{n-1} \frac{1}{n^{2}} + 2 \sum_{n=0}^{n-1} \frac{1}{n^{3}} = \frac{2}{n} + \frac{2}{n^{2}} < \epsilon^{2} \text{ for } n \text{ small enough.}$$

2 Appendix A

2.1 The inverse of a self-adjoint operator

If $T \in B(X,Y)$ is invertible, where X,Y are Hilbert spaces, then T^* has an inverse and $(T^*)^{-1} = (T^{-1})^*$

Proof. Let $T \in B(X,Y)$ be invertible, notice that $\langle Tv, u \rangle = \langle v, T^*u \rangle$ for all $v \in X, u \in Y$. Then $\langle T^*(T^{-1})^*v, u \rangle = \langle (T^{-1})^*v, Tu \rangle = \langle v, T^{-1}Tu \rangle = \langle u, v \rangle$.

Therefore $T^*(T^{-1})^* = I$, hence $(T^{-1})^* = (T^*)^{-1}$

2.2 Lax-Milgram

Let H be a real Hilbert space, with norm $\|\cdot\|$ and inner product $\langle\cdot,\cdot\rangle$ as well as the pairing of H with its dual space. Assume that

$$B \colon H \times H \to R$$

is a bilinear mapping, for which there exist constant $\alpha, \beta > 0$ such that

$$|B[u,v]| \le \alpha ||u|| ||v|| \quad (u,v \in H)$$

and

$$\beta ||u||^2 \le B[u, u] \quad (u \in H)$$

Finally, let $f: H \to \mathbb{R}$ be a bounded linear functional on H.

Then there exists a unique element $u \in H$ such that

$$B[u,v] = \langle f,v \rangle$$

for all $v \in H$.

Proof. For each fixed element $u \in H$, the mapping $v \mapsto B[u,v]$ is a bounded linear functional on H; whence the Riesz Representation Theorem asserts the existence of a unique element $w \in H$ satisfying

$$B[u,v] = \langle w, v \rangle \quad (*)$$

2 Appendix A

Let us write Au = w whenever (*) holds; so that

$$B[u, v] = \langle Au, v \rangle \quad (u, v \in H)$$

We first claim $A: H \to H$ is a bounded linear operator. Indeed if $\lambda_1, \lambda_2 \in \mathbb{R}$ and $u_1, u_2 \in H$, we see for each $v \in H$ that

$$\langle A(\lambda_1 u_1 + \lambda_2 u_2), v \rangle = B[\lambda_1 u_1 + \lambda_2 u_2, v] \quad (by (*))$$

$$= \lambda_1 B[u_1, v] + \lambda_2 Bu_2, v]$$

$$= \lambda_1 \langle Au_1, v \rangle + \lambda_2 \langle Au_2, v \rangle \quad (by (*) again)$$

$$= \langle \lambda_1 Au_1 + \langle_2 Au_2, v \rangle.$$

This equality obtains for each $v \in H$, and so A is linear. Furthermore

$$||Au||^2 = \langle Au, Au \rangle = B[u, Au] \le \alpha ||u|| ||Au||.$$

Consequently $||Au|| \le \alpha ||u||$ for all $u \in H$, and so A is bounded. Next we assert

$$\begin{cases} A \text{ is one-to-one, and} \\ R(A), \text{ the range of } A, \text{ is close in } H. \end{cases}$$
 $(+)$

To prove this, let us compute

$$\beta ||u||^2 \le B[u, u] = \langle Au, u \rangle \le ||Au|| ||u||$$

Hence $\beta ||u|| \leq ||Au||$. This inequality easily implies (+). We demonstrate now

$$R(A) = H$$
 (-)

For if not, then, since R(A) is closed, there would exist a nonzero element $w \in H$ with $w \in R(A)^{\perp}$. But this fact in turn implies the contradiction $\beta ||w||^2 \leq B[w,w] = \langle Aw,w \rangle = 0$.

Next, we observe once more from the Riesz' Representation Theorem that

$$\langle f, v \rangle = \langle w, v \rangle \text{ for all } v \in H$$

for some element $w \in H$. We then utilise (+) and (-) to find $u \in H$ satisfying Au = w. Then

$$B[u,v] = \langle Au,v \rangle = \langle w,v \rangle = \langle f,v \rangle (v \in H)$$

and this is the claim.

Finally, we show there is at most one element $u \in H$ verifying the claim. For if both $B[u,v] = \langle f,v \rangle$ and $B[\tilde{u},v] = \langle f,v \rangle$, then $B[u-\tilde{u},v] = 0$ $(v \in H)$. We set $v = u - \tilde{u}$ to find $\beta ||u - \tilde{u}||^2 \leq B[u - \tilde{u}, u - \tilde{u}] = 0$.

2.3 Sobolev Embedding

For s > d/2, the follow holds

$$H^s \subset C_b(\mathbb{R}^d)$$

Proof. For $u \in S(\mathbb{R}^d)$.

$$u(x) = \int_{\mathbb{R}^d} e^{2i\pi x\xi} (1+|\xi|^2)^{-s/2} \hat{u} (1+|\xi|^2)^{s/2} d\xi$$

$$\leq \left(\int (1+|\xi|^2)^{-s} d\xi \right)^{1/2} \left(\int |\hat{u}|^2 (1+|\xi|^2)^s d\xi \right)^{1/2}$$

with $\left(\int (1+|\xi|^2)^{-s}d\xi\right)^{1/2} \le \infty$ und $\left(\int |\hat{u}|^2(1+|\xi|^2)^s d\xi\right)^{1/2} = ||u||_{H^s}$.

2.4 dom A_k = range $R_{\mu,k}$

Proof. As we introduced the variational problem

$$\forall v \in H^1(\mathbb{R}): \quad \int \nabla u \overline{\nabla v} dx - \mu \int u \overline{v} dx + \alpha u(x_0) v(x_0) = \int f \overline{v} dx \quad (1)$$

 $\exists_1 u \in H^1(\mathbb{R}) \text{ satisfying } (1)$

$$L^2(\mathbb{R}) \ni f \mapsto u =: R_{\mu} f$$

For $f_1 \neq f_2 \Rightarrow u_1 \neq u_2$, since:

Suppose
$$u_1 = u_2 \Rightarrow \int (f_1 - f_2)\overline{v} = 0 \quad \forall \underbrace{v \in H^1(\mathbb{R})}_{and \ therefore} \Rightarrow f_1 = f_2$$

Since H^1 is dense in $L^2 \Longrightarrow f_1 = f_2$

$$\Rightarrow \frac{f = R_{\mu}^{-1}u}{Au - \mu u} \} Au = R_{\mu}^{-1}u + \mu u$$

 $\Rightarrow domA = rangeR_{mu}$