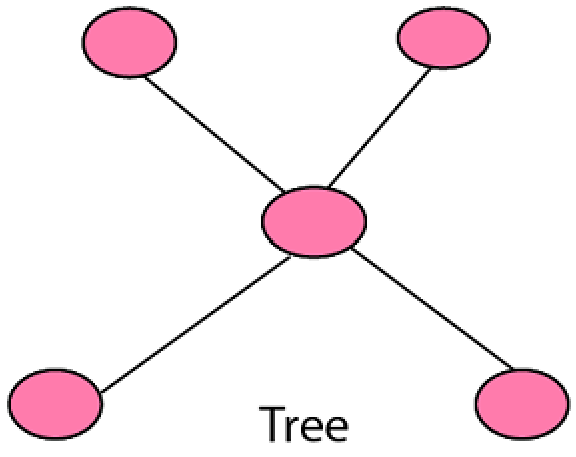
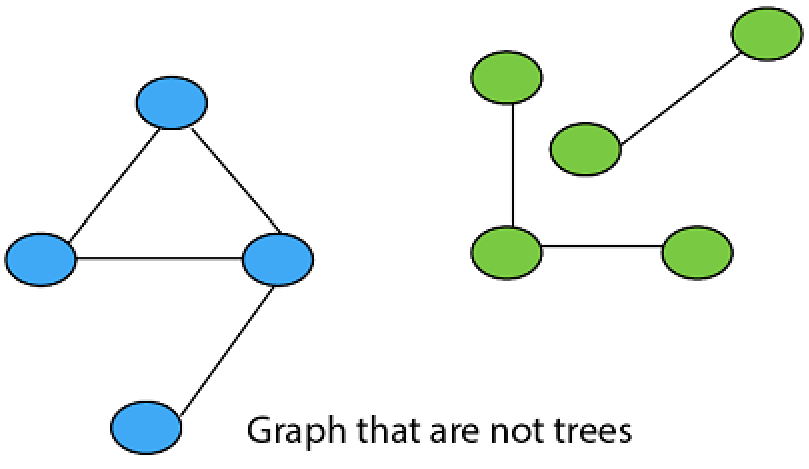
Introduction of Minimum Spanning Tree

Tree:

A tree is a graph with the following properties:

1. The graph is connected (can go from anywhere to anywhere)
2. There are no cyclic (Acyclic)



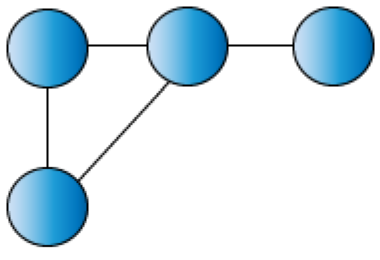


Spanning Tree:

Given a connected undirected graph, a spanning tree of that graph is a subgraph that is a tree and joined all vertices. A single graph can have many spanning trees.

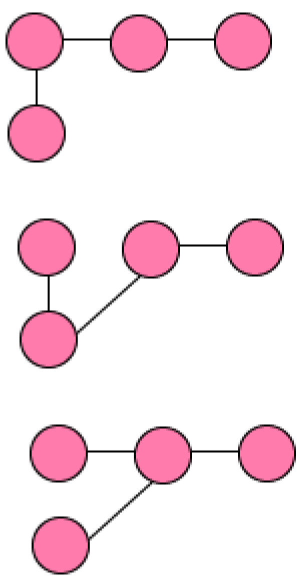
For Example:

Connected Undirected Graph



For the above-connected graph. There can be multiple spanning Trees like

Spanning Trees



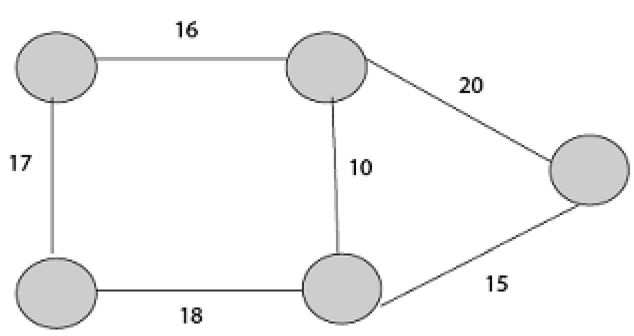
Properties of Spanning Tree:

1. There may be several minimum spanning trees of the same weight having the minimum number of edges.
2. If all the edge weights of a given graph are the same, then every spanning tree of that graph is minimum.
3. If each edge has a distinct weight, then there will be only one, unique minimum spanning tree.
4. A connected graph G can have more than one spanning trees.
5. A disconnected graph can't have to span the tree, or it can't span all the vertices.
6. Spanning Tree doesn't contain cycles.
7. Spanning Tree has **(n-1) edges** where n is the number of vertices.

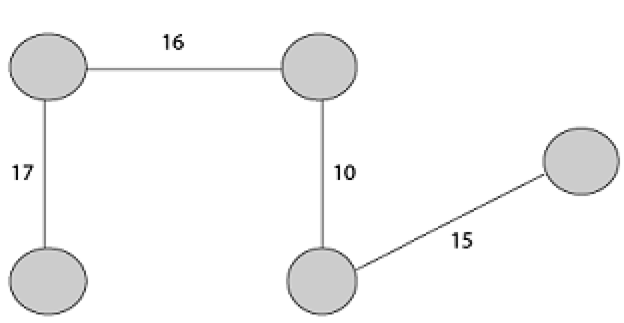
Addition of even one single edge results in the spanning tree losing its property of **Acyclicity** and elimination of one single edge results in its losing the property of connectivity.

Minimum Spanning Tree:

Minimum Spanning Tree is a Spanning Tree which has minimum total cost. If we have a linked undirected graph with a weight (or cost) combine with each edge. Then the cost of spanning tree would be the sum of the cost of its edges.



Connected , Undirected Graph



Minimum Cost Spanning Tree
Total Cost = 17+16+10+15=58

Application of Minimum Spanning Tree

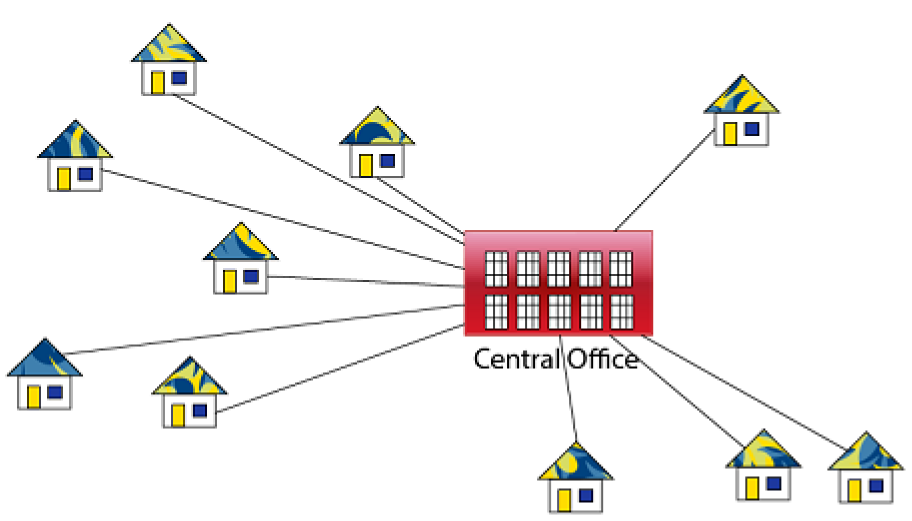
1. Consider n stations are to be linked using a communication network & laying of communication links between any two stations involves a cost.
   The ideal solution would be to extract a subgraph termed as minimum cost spanning tree.

2. Suppose you want to construct highways or railroads spanning several cities then we can use the concept of minimum spanning trees.
3. Designing Local Area Networks.
4. Laying pipelines connecting offshore drilling sites, refineries and consumer markets.
5. Suppose you want to apply a set of houses with
    - Electric Power
    - Water
    - Telephone lines
    - Sewage lines

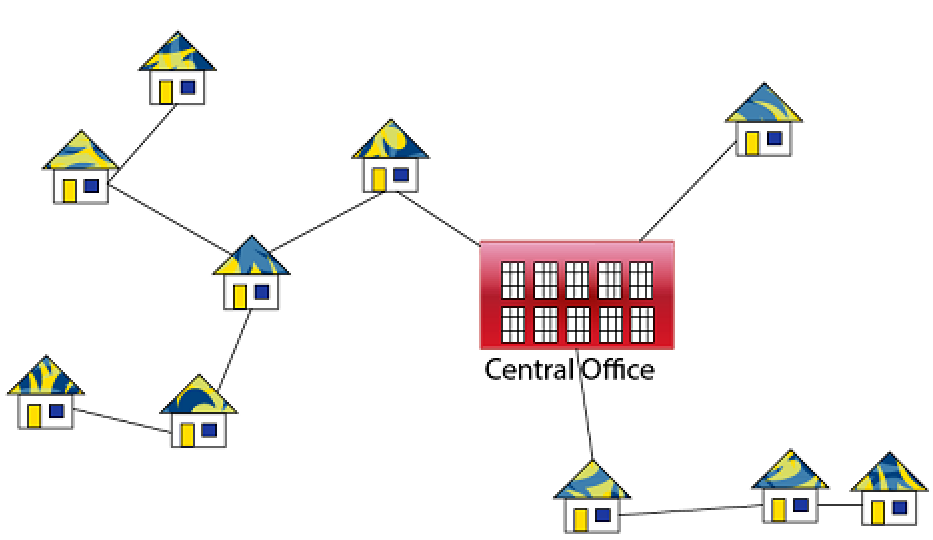
To reduce cost, you can connect houses with minimum cost spanning trees.

For Example, Problem laying Telephone Wire.

Wiring : Naive Approach



Expensive!
Wiring : Better Approach



Minimize the total length of wire connecting the customers

Methods of Minimum Spanning Tree

There are two methods to find Minimum Spanning Tree

1. Kruskal's Algorithm
2. Prim's Algorithm

Kruskal's Algorithm:

An algorithm to construct a Minimum Spanning Tree for a connected weighted graph. It is a Greedy Algorithm. The Greedy Choice is to put the smallest weight edge that does not because a cycle in the MST constructed so far.

If the graph is not linked, then it finds a Minimum Spanning Tree.

Steps for finding MST using Kruskal's Algorithm:

1. Arrange the edge of G in order of increasing weight.
2. Starting only with the vertices of G and proceeding sequentially add each edge which does not result in a cycle, until (n - 1) edges are used.
3. EXIT.

MST- KRUSKAL (G, w)

```
A ← ∅
for each vertex v ∈ V [G]
do MAKE - SET (v)
sort the edges of E into non decreasing order by weight w
for each edge (u, v) ∈ E, taken in non decreasing order by weight
do if FIND-SET (μ) ≠ if FIND-SET (v)
then A  ←  A ∪ {(u, v)}
UNION (u, v)
return A
```

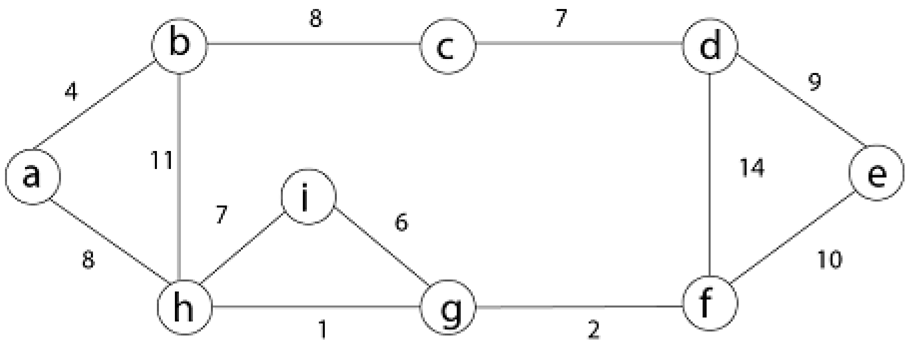
Analysis: Where E is the number of edges in the graph and V is the number of vertices, Kruskal's Algorithm can be shown to run in O (E log E) time, or simply, O (E log V) time, all with simple data structures. These running times are equivalent because:

- E is at most V^2 and log V^2= 2 x log V is O (log V).
- If we ignore isolated vertices, which will each their components of the minimum spanning tree, V ≤ 2 E, so log V is O (log E).

Thus the total time is

1. O (E log E) = O (E log V).

For Example: Find the Minimum Spanning Tree of the following graph using Kruskal's algorithm.



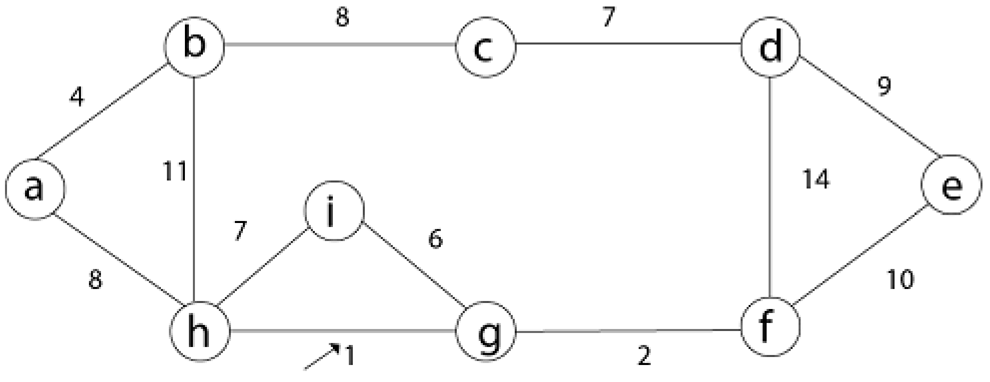
Solution: First we initialize the set A to the empty set and create |v| trees, one containing each vertex with MAKE-SET procedure. Then sort the edges in E into order by non-decreasing weight.

There are 9 vertices and 12 edges. So MST formed (9-1) = 8 edges

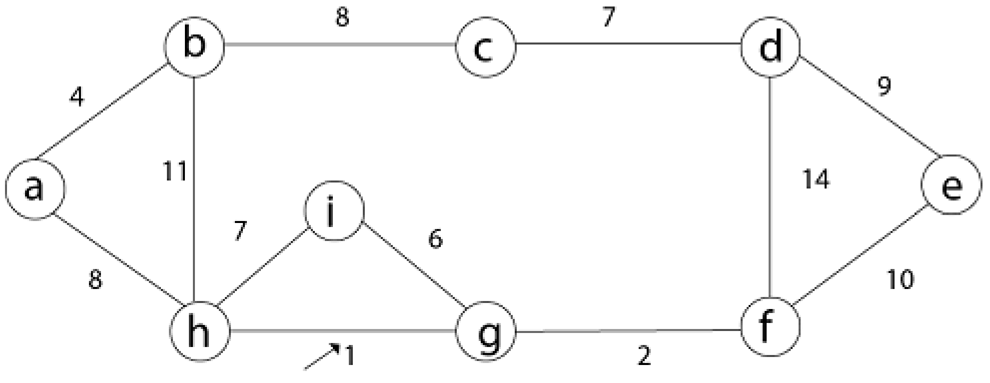
Weight	Source	Destination
1	h	g
2	g	f
4	a	b
6	i	g
7	h	i
7	c	d
8	b	c
8	a	h
9	d	e
10	e	f
11	b	h
14	d	f

Now, check for each edge (u, v) whether the endpoints u and v belong to the same tree. If they do then the edge (u, v) cannot be supplementary. Otherwise, the two vertices belong to different trees, and the edge (u, v) is added to A, and the vertices in two trees are merged in by union procedure.

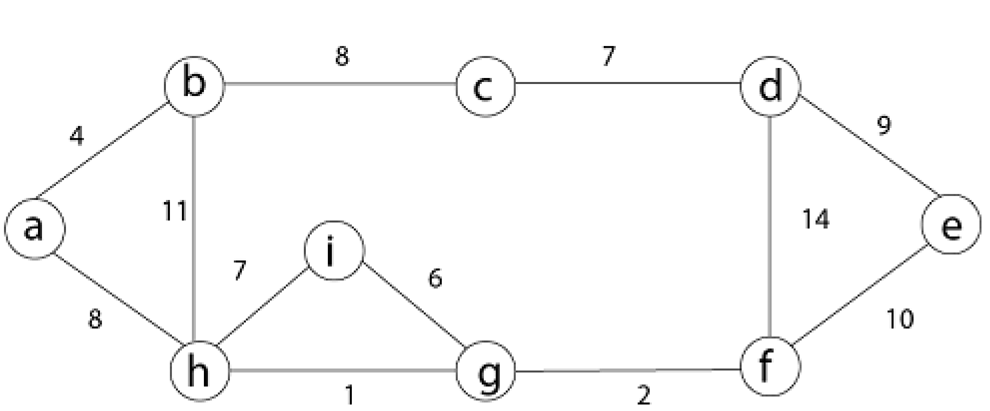
Step1: So, first take (h, g) edge



Step 2: then (g, f) edge.

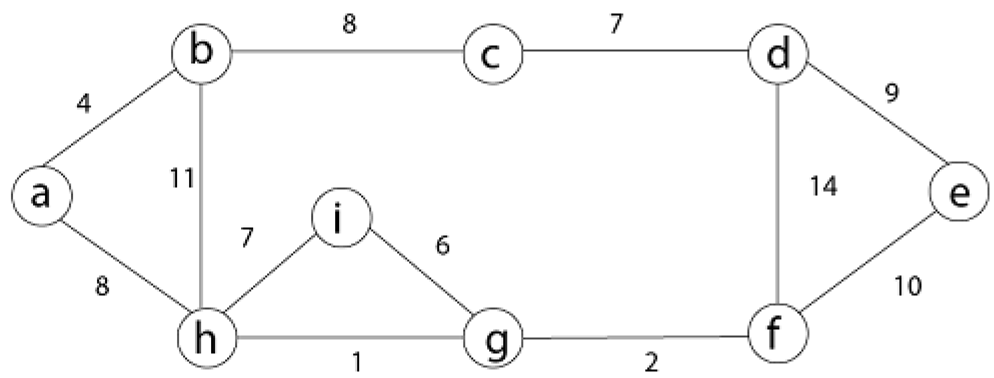


Step 3: then (a, b) and (i, g) edges are considered, and the forest becomes



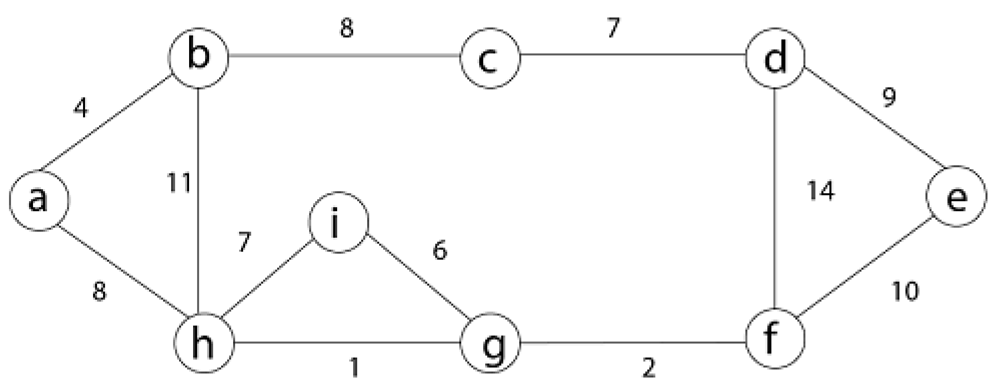
Step 4: Now, edge (h, i). Both h and i vertices are in the same set. Thus it creates a cycle. So this edge is discarded.

Then edge (c, d), (b, c), (a, h), (d, e), (e, f) are considered, and the forest becomes.



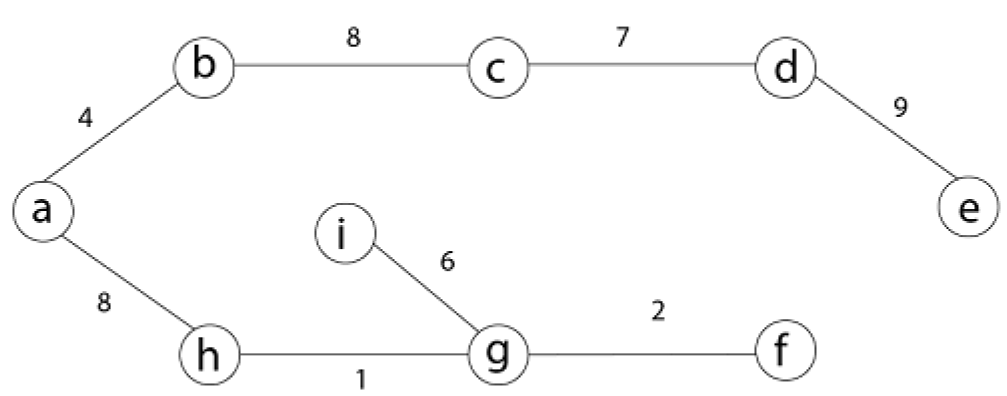
Step 5: In (e, f) edge both endpoints e and f exist in the same tree so discarded this edge. Then (b, h) edge, it also creates a cycle.

Step 6: After that edge (d, f) and the final spanning tree is shown as in dark lines.



Step 7: This step will be required Minimum Spanning Tree because it contains all the 9 vertices and (9 - 1) = 8 edges

1. e → f, b → h, d → f [cycle will be formed]



Minimum Cost MST

Prim's Algorithm

It is a greedy algorithm. It starts with an empty spanning tree. The idea is to maintain two sets of vertices:

- Contain vertices already included in MST.
- Contain vertices not yet included.

At every step, it considers all the edges and picks the minimum weight edge. After picking the edge, it moves the other endpoint of edge to set containing MST.

Steps for finding MST using Prim's Algorithm:

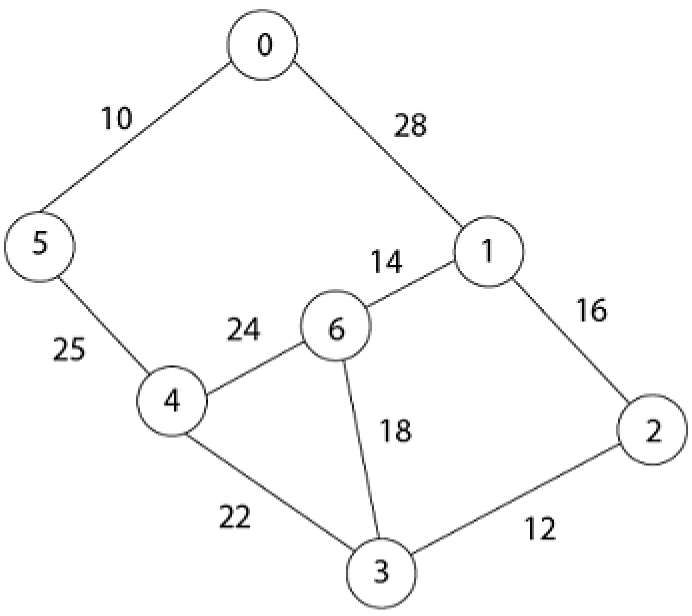
1. Create MST set that keeps track of vertices already included in MST.
2. Assign key values to all vertices in the input graph. Initialize all key values as INFINITE (∞). Assign key values like 0 for the first vertex so that it is picked first.
3. While MST set doesn't include all vertices.
   a. Pick vertex u which is not is MST set and has minimum key value. Include 'u'to MST set.
   b. Update the key value of all adjacent vertices of u. To update, iterate through all adjacent vertices. For every adjacent vertex v, if the weight of edge u.v less than the previous key value of v, update key value as a weight of u.v.

MST-PRIM (G, w, r)

```
for each u ∈ V [G]
do key [u] ← ∞
```

```
π [u] ← NIL
key [r] ← 0
Q ← V [G]
While Q ? ∅
do u ← EXTRACT - MIN (Q)
for each v ∈ Adj [u]
do if v ∈ Q and w (u, v) < key [v]
then π [v] ← u
key [v] ← w (u, v)
```

Example: Generate minimum cost spanning tree for the following graph using Prim's algorithm.



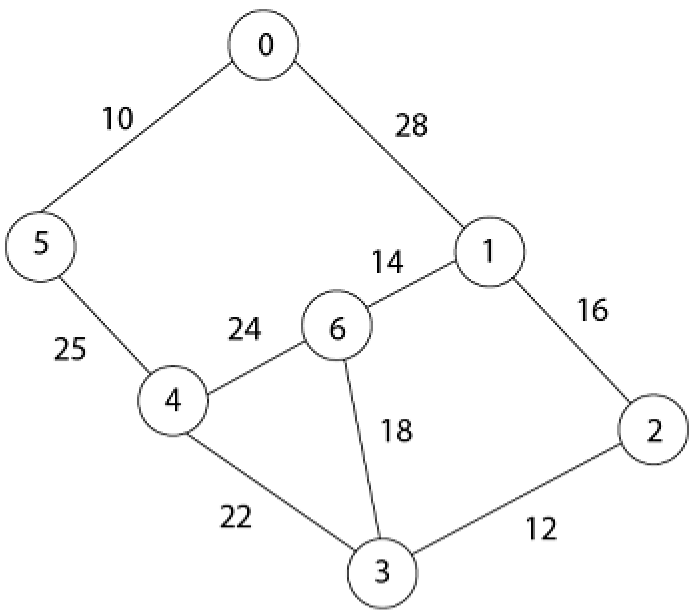
Solution: In Prim's algorithm, first we initialize the priority Queue Q. to contain all the vertices and the key of each vertex to ∞ except for the root, whose key is set to 0. Suppose 0 vertex is the root, i.e., r. By EXTRACT - MIN (Q) procure, now u = r and Adj [u] = {5, 1}.

Removing u from set Q and adds it to set V - Q of vertices in the tree. Now, update the key and π fields of every vertex v adjacent to u but not in a tree.

Vertex	0	1	2	3	4	5	6
Key Value	0	∞	∞	∞	∞	∞	∞
Parent	NIL	NIL	NIL	NIL	NIL	NIL	NIL

1. Taking 0 as starting vertex
2. Root = 0
3. Adj [0] = 5, 1
4. Parent, π [5] = 0 and π [1] = 0
5. Key [5] = ∞ and key [1] = ∞
6. w [0, 5) = 10 and w (0,1) = 28
7. w (u, v) < key [5] , w (u, v) < key [1]
8. Key [5] = 10 and key [1] = 28
9. So update key value of 5 and 1 is:

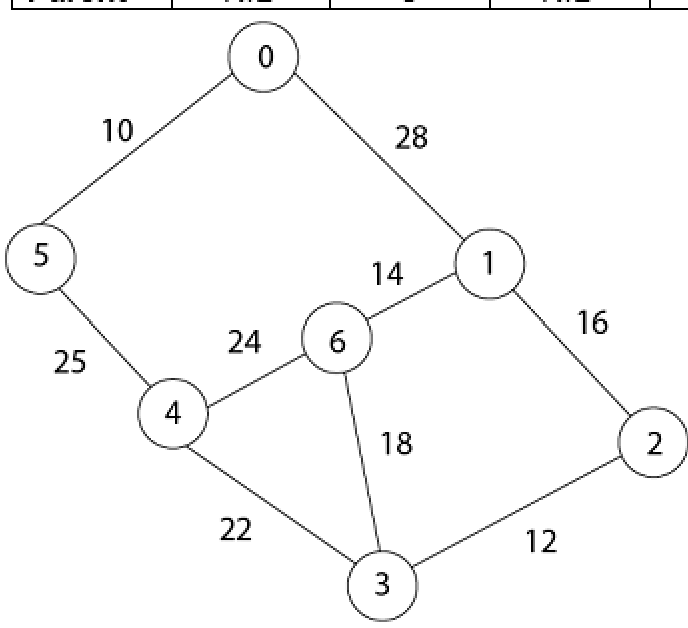
Vertex	0	1	2	3	4	5	6
Key Value	0	28	∞	∞	∞	10	∞
Parent	NIL	0	NIL	NIL	NIL	0	NIL



Now by EXTRACT_MIN (Q) Removes 5 because key [5] = 10 which is minimum so u = 5.

1. Adj [5] = {0, 4} and 0 is already in heap
2. Taking 4, key [4] = ∞   π [4] = 5
3. (u, v) < key [v] then key [4] = 25
4. w (5,4) = 25
5. w (5,4) < key [4]
6. date key value and parent of 4.

Vertex	0	1	2	3	4	5	6
Key Value	0	28	∞	∞	25	10	∞
Parent	NIL	0	NIL	NIL	5	0	NIL



Now remove 4 because key [4] = 25 which is minimum, so u =4

1. Adj [4] = {6, 3}
2. Key [3] = ∞   key [6] = ∞
3. w (4,3) = 22   w (4,6) = 24
4. w (u, v) < key [v]   w (u, v) < key [v]
5. w (4,3) < key [3]   w (4,6) < key [6]

Update key value of key [3] as 22 and key [6] as 24.

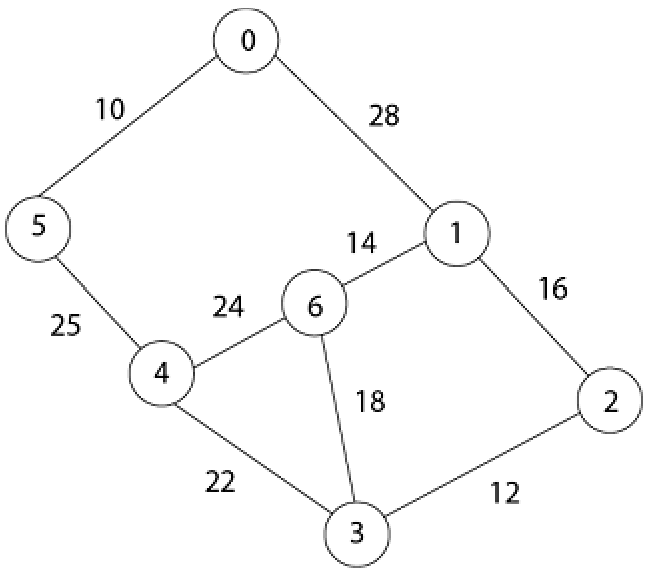
And the parent of 3, 6 as 4.

1. π[3]= 4   π[6]= 4

Vertex	0	1	2	3	4	5	6
Key Value	0	28	∞	22	25	10	24
Parent	NIL	0	NIL	4	5	0	4

1. u = EXTRACT_MIN (3, 6)   [key [3] < key [6]]
2. u = 3   i.e. 22 < 24

Now remove 3 because key [3] = 22 is minimum so u =3.



1. Adj [3] = {4, 6, 2}
2. 4 is already in heap
3. 4 ≠ Q key [6] = 24 now becomes key [6] = 18
4. Key [2] = ∞ key [6] = 24
5. w (3, 2) = 12 w (3, 6) = 18
6. w (3, 2) < key [2] w (3, 6) < key [6]

Now in Q, key [2] = 12, key [6] = 18, key [1] = 28 and parent of 2 and 6 is 3.

1. π [2] = 3 π[6]=3

Now by EXTRACT_MIN (Q) Removes 2, because key [2] = 12 is minimum.

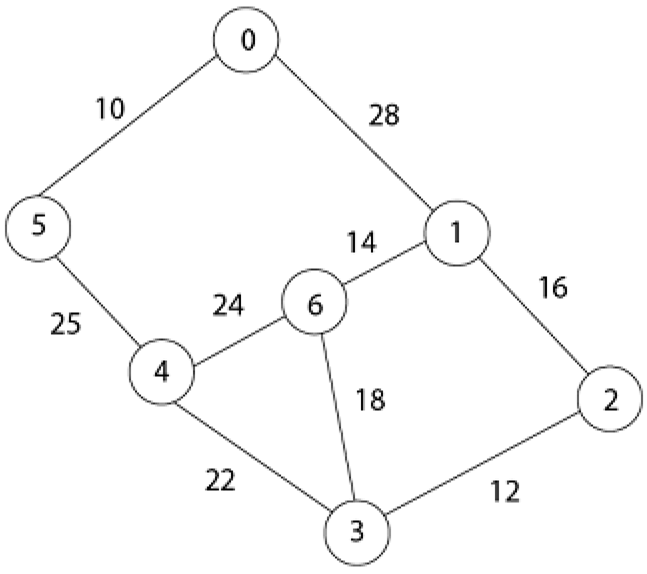
Vertex	**0**	**1**	**2**	**3**	**4**	**5**	**6**
Key Value	0	28	12	22	25	10	18
Parent	NIL	0	3	4	5	0	3

1. u = EXTRACT_MIN (2, 6)
2. u = 2 [key [2] < key [6]]
3. 12 < 18
4. Now the root is 2
5. Adj [2] = {3, 1}
6. 3 is already in a heap
7. Taking 1, key [1] = 28
8. w (2,1) = 16
9. w (2,1) < key [1]

So update key value of key [1] as 16 and its parent as 2.

1. π[1]= 2

Vertex	**0**	**1**	**2**	**3**	**4**	**5**	**6**
Key Value	0	16	12	22	25	10	18
Parent	NIL	2	3	4	5	0	3



Now by EXTRACT_MIN (Q) Removes 1 because key [1] = 16 is minimum.

1. Adj [1] = {0, 6, 2}
2. 0 and 2 are already in heap.
3. Taking 6, key [6] = 18
4. w [1, 6] = 14
5. w [1, 6] < key [6]

Update key value of 6 as 14 and its parent as 1.

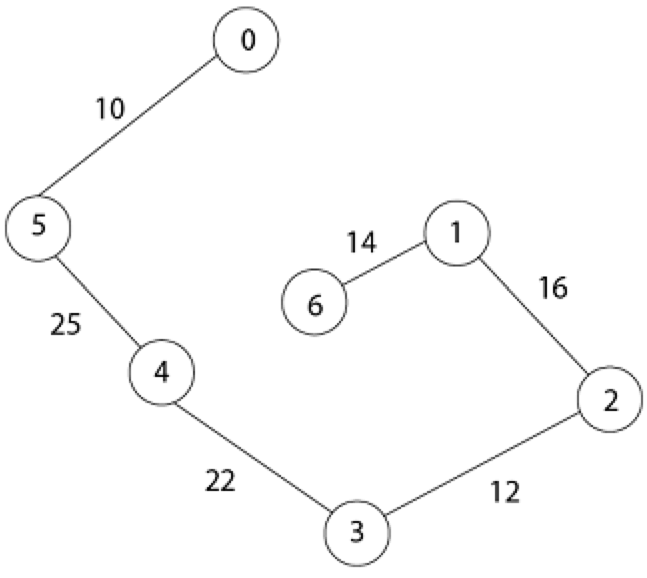
1. Π [6] = 1

Vertex	0	1	2	3	4	5	6
Key Value	0	16	12	22	25	10	14
Parent	NIL	2	3	4	5	0	1

Now all the vertices have been spanned, Using above the table we get Minimum Spanning Tree.

1. 0 → 5 → 4 → 3 → 2 → 1 → 6
2. [Because Π [5] = 0, Π [4] = 5, Π [3] = 4, Π [2] = 3, Π [1] =2, Π [6] =1]

Thus the final spanning Tree is



Total Cost = 10 + 25 + 22 + 12 + 16 + 14 = 99