

Greedy algorithms

MST

Gou Guanglei(苟光磊)

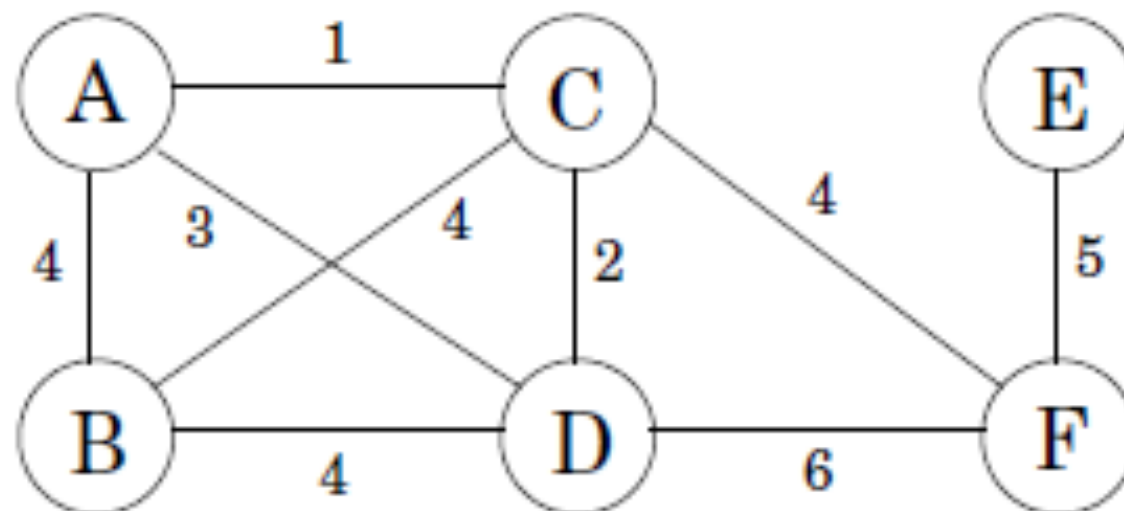
ggl@cqut.edu.cn

Greedy algorithms

- Algorithm design paradigm
- Idea : when we have a choice to make, make the one that looks best right now. Make a **locally optimal choice** in hope of **getting a globally optimal solution**.

Problem

- Network a collection of computers by linking selected pairs of them.
- Each link has a maintenance cost.
- What is the cheapest network?

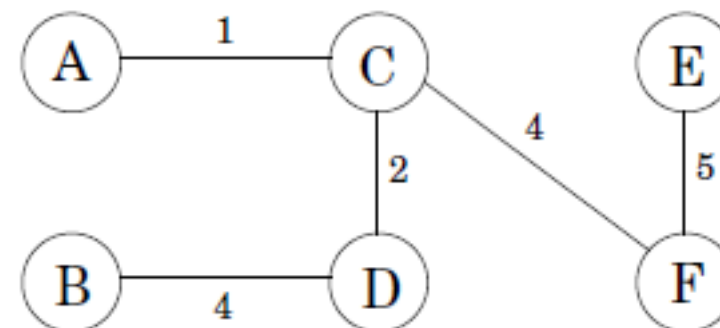
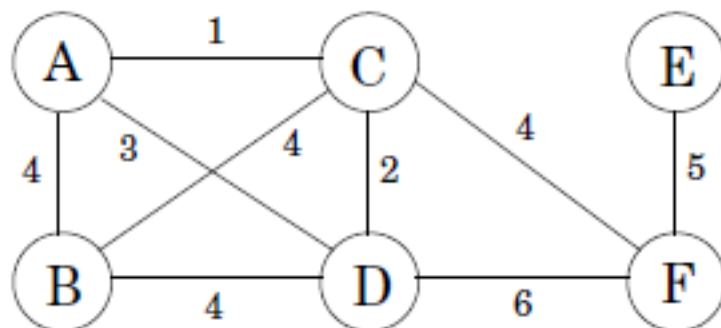


Minimum spanning tree (MST)

- Removing a cycle edge cannot disconnect a graph.
- So the solution must be connected and acyclic : undirected graphs of this kind are called *trees*.

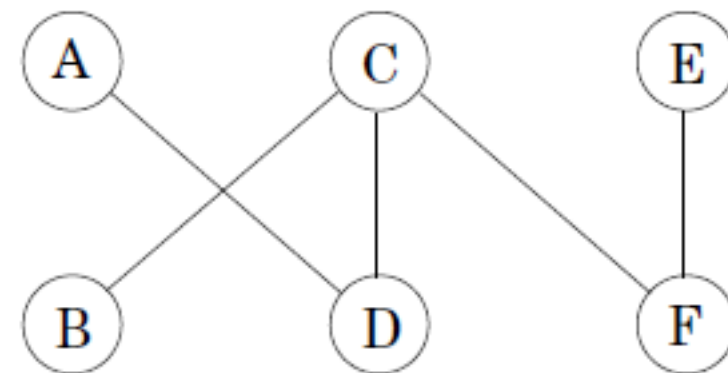
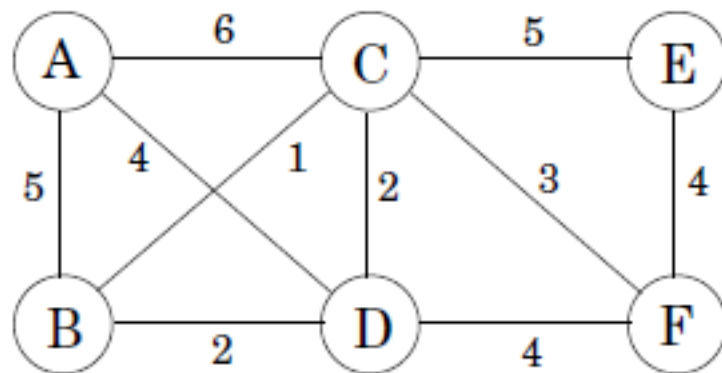
Input: An undirected graph $G = (V, E)$, edge weights w_e

Output: A tree $T = (V, E')$, with $E' \subseteq E$, that minimizes $\text{weight}(T) = \sum_{e \in E'} w_e$



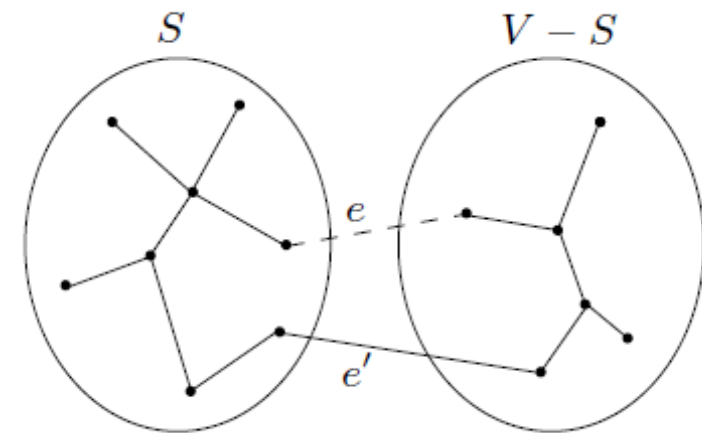
Kruskal's algorithm

- Kruskal's minimum spanning tree algorithm starts with the empty graph and then selects edges from E according to the following rule.
- Repeatedly add the next *lightest edge* that *doesn't produce a cycle*.
- This is a *greedy algorithm*



Cut property

- Suppose edges X are part of a minimum spanning tree of $G = (V, E)$
- Pick any subset of nodes S for which X does not cross between S and $V-S$, and let e be the lightest edge across this partition.
- Then, $X \cup \{e\}$ is part of some MST.



Pf) Let T be an MST that includes X .

If e is in T , done.

Otherwise, add e to T . It creates a cycle.

This cycle must have another edge e' across the cut $(S, V-S)$.

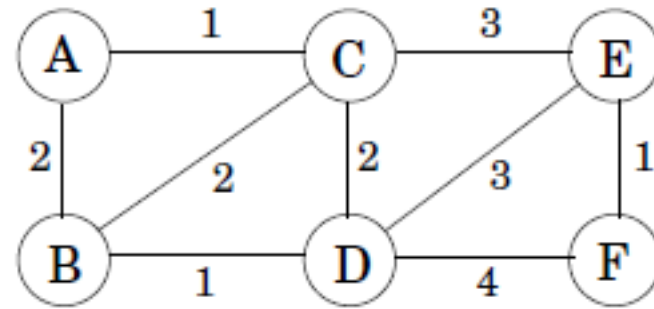
Remove e' . Then, we have a new spanning tree $T' = T \cup \{e\} - \{e'\}$. (why is T' a spanning tree?)

$$\text{weight}(T') = \text{weight}(T) + w(e) - w(e')$$

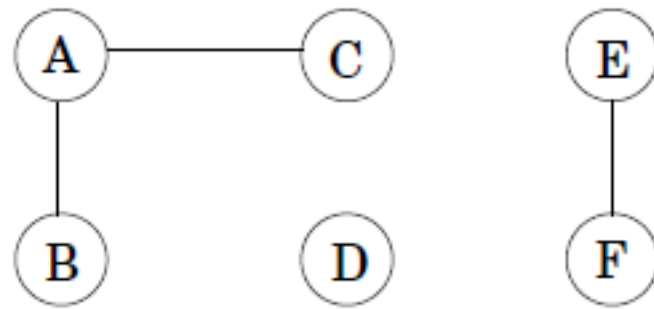
Since e is the lightest edge crossing the cut $(S, V-S)$, $w(e) \leq w(e')$

Thus, $\text{weight}(T') \leq \text{weight}(T)$.

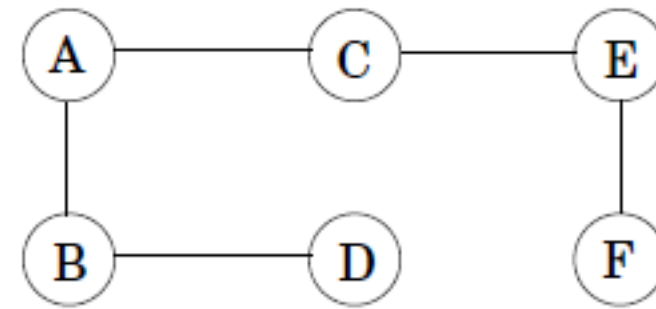
Since T is a MST, T' is also a MST.



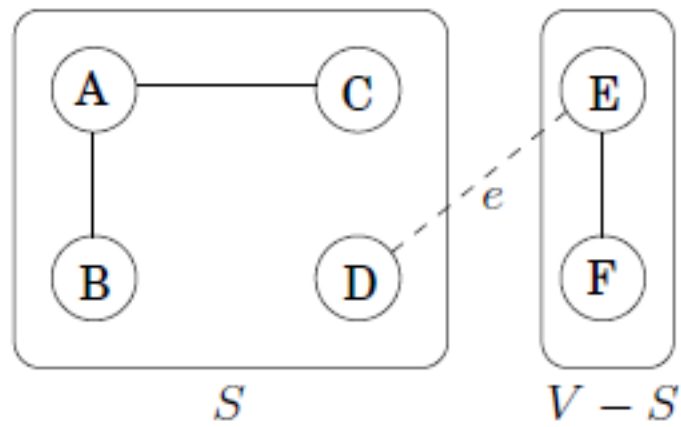
Edges X :



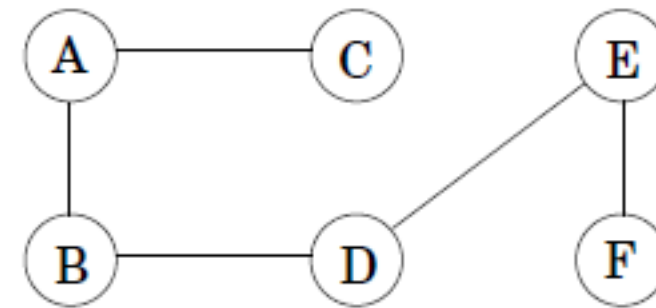
MST T :



The cut:



MST T' :



- Kruskal's algorithm

procedure kruskal (G, w)

Input: A connected undirected graph $G = (V, E)$ with edge weights w_e

Output: A minimum spanning tree defined by the edges X

for all $u \in V$:
 makeset(u)

$X = \{\}$

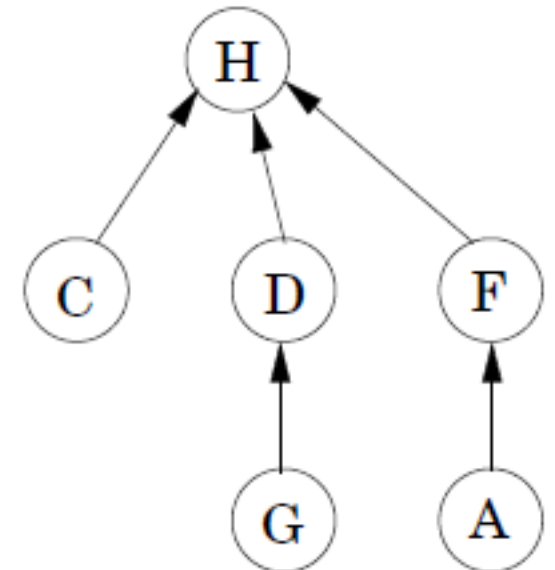
Sort the edges E by weight

for all edges $\{u, v\} \in E$, in increasing order of weight:

 if find(u) \neq find(v):
 add edge $\{u, v\}$ to X
 union(u, v)

Uses $|V|$ makeset, $2|E|$ find, $|V|-1$ union operations.

- Disjoint-set data structure
 - Store a set as a directed tree.
 - Nodes of the tree are elements of the set, arranged in no particular order.
 - Each has parent pointers π that eventually lead up to the root of the tree.
 - The root is a *representative*, or *name*, for the set.
 - The root has a parent pointer π pointing itself.
 - Each node has *rank* representing the height of the subtree from the node.



- Makeset and find
 - *makeset* is a constant-time operation
 - *find* follows parent pointers to the root of the tree : takes $O(\text{height of the tree})$.

procedure makeset(x)

$\pi(x) = x$

$\text{rank}(x) = 0$

function find(x)

while $x \neq \pi(x)$: $x = \pi(x)$

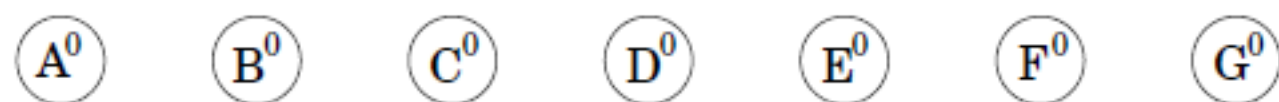
return x

- Union by rank

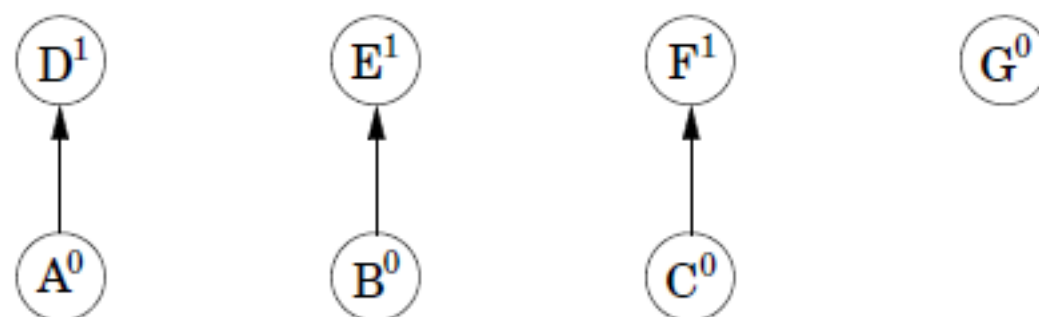
- Make the root of the shorter tree point to the root of the taller tree.
- Then, the overall height increases only if the two trees being merged are equally tall.
- Instead of explicitly computing heights of trees, we will use the rank numbers of their root nodes - **union by rank**.

```
procedure union( $x, y$ )  
   $r_x = \text{find}(x)$   
   $r_y = \text{find}(y)$   
  if  $r_x = r_y$ : return  
  if  $\text{rank}(r_x) > \text{rank}(r_y)$ :  
     $\pi(r_y) = r_x$   
  else:  
     $\pi(r_x) = r_y$   
    if  $\text{rank}(r_x) = \text{rank}(r_y)$ :  $\text{rank}(r_y) = \text{rank}(r_y) + 1$ 
```

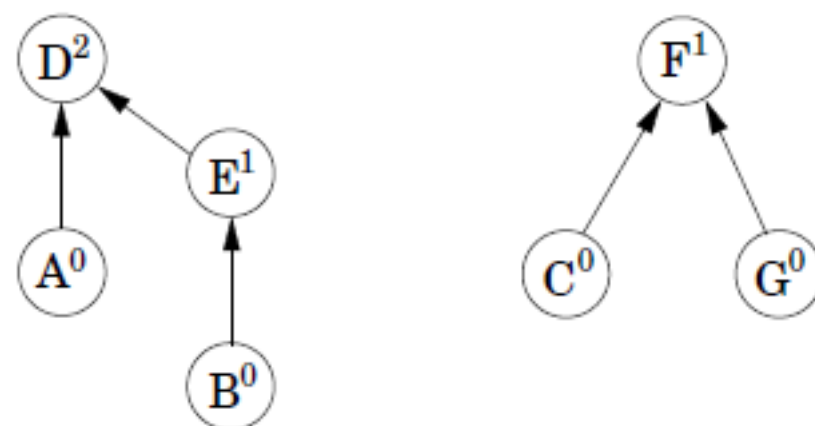
After $\text{makeset}(A), \text{makeset}(B), \dots, \text{makeset}(G)$:



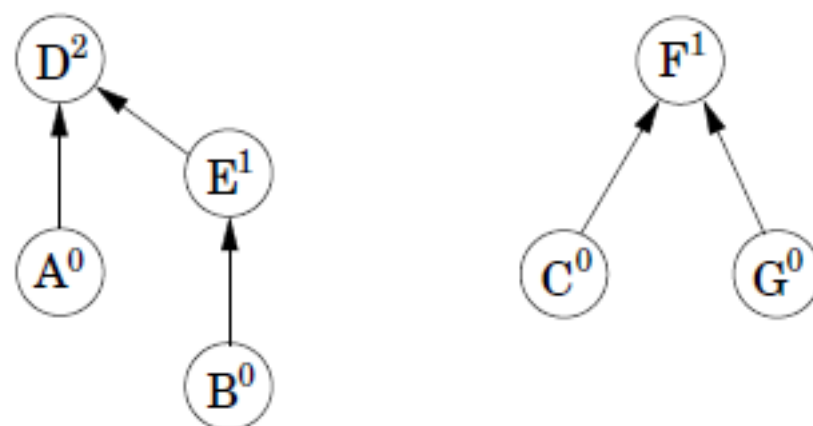
After $\text{union}(A, D), \text{union}(B, E), \text{union}(C, F)$:



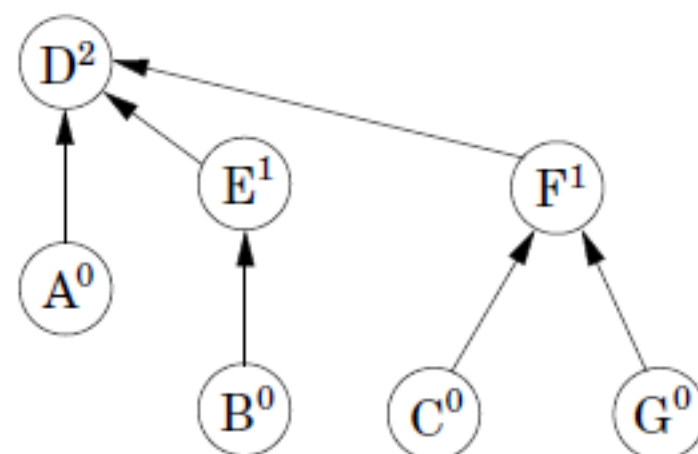
After $\text{union}(C, G), \text{union}(E, A)$:



After $\text{union}(C, G), \text{union}(E, A)$:



After $\text{union}(B, G)$:



- Analysis of Kruskal's algorithm

- Kruskal's algorithm uses $|V|$ makeset, $2|E|$ find, $|V|-1$ union operations.
- We need $O(|E| \lg |V|)$ to sort the edges. ($\lg |E| = \Theta(\lg |V|)$)
- $O(|E| \log |V|)$ for find and union operations.

- Prim's algorithm

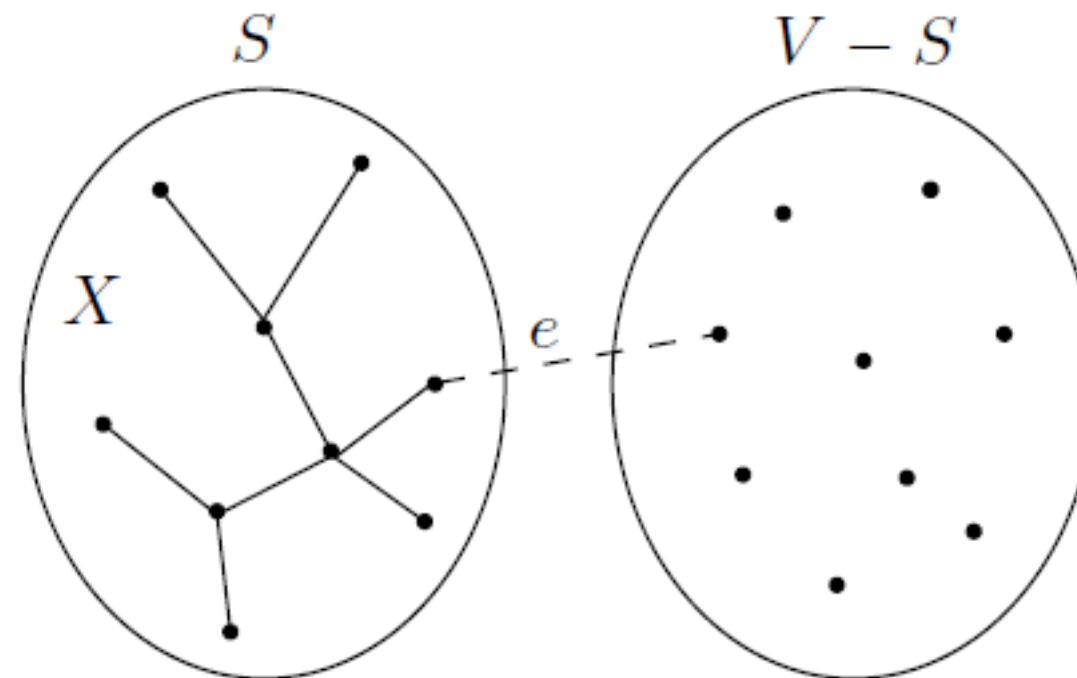
$X = \{ \}$ (edges picked so far)

repeat until $|X| = |V| - 1$:

 pick a set $S \subset V$ for which X has no edges between S and $V - S$

 let $e \in E$ be the minimum-weight edge between S and $V - S$

$X = X \cup \{e\}$



procedure prim(G, w)

Input: A connected undirected graph $G = (V, E)$ with edge weights w_e

Output: A minimum spanning tree defined by the array `prev`

for all $u \in V$:

`cost`(u) = ∞

`prev`(u) = `nil`

Pick any initial node u_0

`cost`(u_0) = 0

$H = \text{makequeue}(V)$ (priority queue, using cost-values as keys)

while H is not empty:

$v = \text{deletemin}(H)$

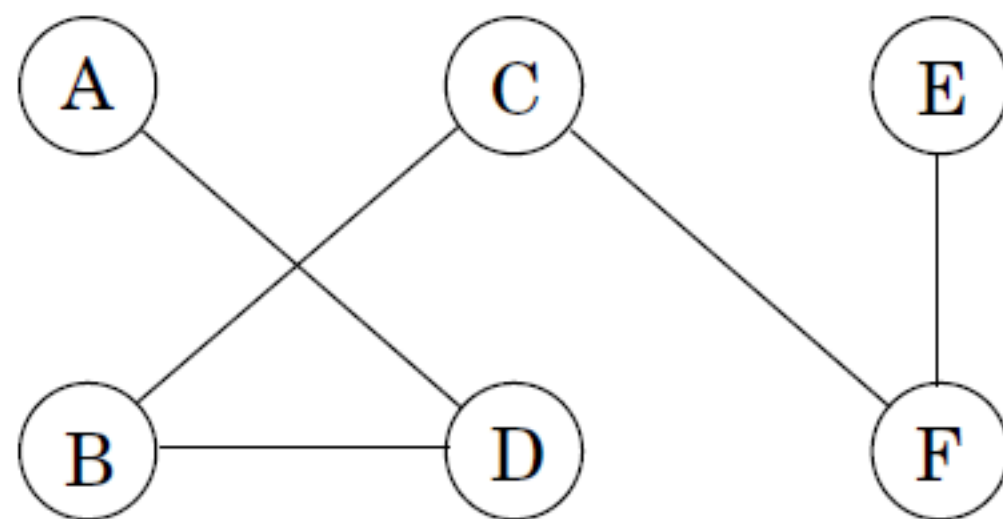
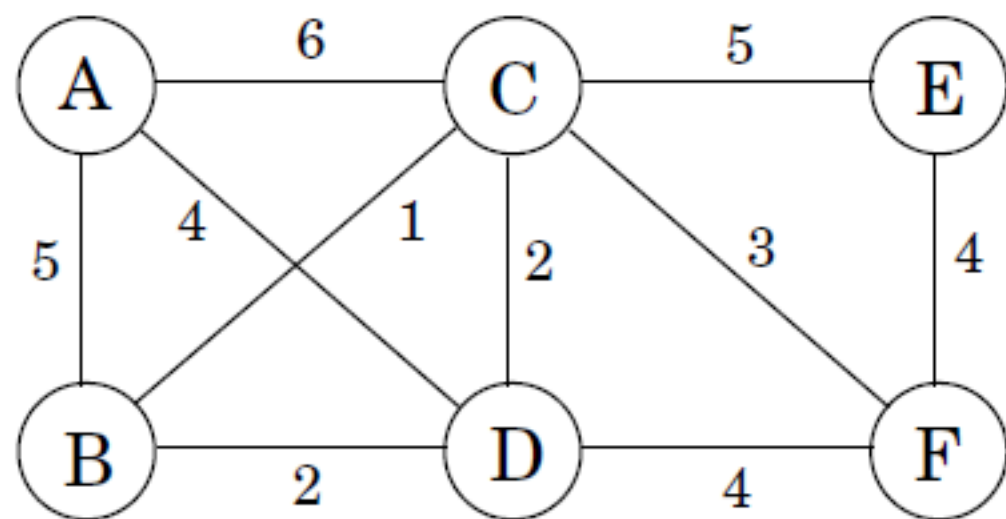
 for each $\{v, z\} \in E$:

 if `cost`(z) > $w(v, z)$:

`cost`(z) = $w(v, z)$

`prev`(z) = v

`decreasekey`(H, z)



Set S	A	B	C	D	E	F
$\{\}$	0/nil	∞ /nil	∞ /nil	∞ /nil	∞ /nil	∞ /nil
A		5/ A	6/ A	4/ A	∞ /nil	∞ /nil
A, D		2/ D	2/ D		∞ /nil	4/ D
A, D, B			1/ B		∞ /nil	4/ D
A, D, B, C					5/ C	3/ C
A, D, B, C, F					4/ F	