

# The Link Layer

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November 30, 2023

- Link Layer: Introduction
  - Terminology
    - \* Hosts, routers → nodes
    - \* Communication channels that connect adjacent nodes along communication path → links
      - Wired links
      - Wireless links
    - \* Over a given link, the transmitting node encapsulates the network-layer packet in a link-layer frame
  - Link layer has responsibility of transferring network-layer packets from one node to a physically adjacent node over a link
- Link Layer: Context
  - Packets transferred by different link protocols over different links
    - \* WiFi on first link
    - \* Ethernet on next link
    - \* Etcetera
  - Each link protocol provides different services
- Link Layer Services
  - Framing
    - \* Encapsulate packet into frame, adding header and maybe trailer
    - \* Addressing: “MAC” addresses used in frame headers to identify transmitter/receiver node → different from IP Address
  - Link access

- \* Medium access control (MAC) protocol specifies the rules by which a frame is transmitted onto the link
- Flow control
  - \* Pacing between adjacent sending and receiving nodes
- Reliable delivery between adjacent nodes
  - \* We learned how to do this already (Transport layer)!
  - \* Seldom used on low error rate links, for example: fiber, some twisted pairs
  - \* Commonly used on high error rate links, like wireless ones
- Error control
  - \* Errors caused by signal attenuation, noise
  - \* Error detection: receiver detects presence of errors
    - Ask sender for retransmission or drops frame
  - \* Error correction: receiver identifies and corrects bit error(s) without resorting to retransmission
- Half-Duplex and Full-Duplex Links
  - Unidirectional links (Simplex Links)
    - \* Communication occurs in one direction only
  - Bidirectional links
    - \* Half-Duplex Link — Communication occurs in both directions, but not at same time
    - \* Full-Duplex Link — Communication occurs in both directions at same time
- Where is the Link Layer Implemented?
  - For the most part, link layer is implemented on a chip called the network adapter, aka a Network Interface Card (NIC)
    - \* The NIC implements Link and Physical layers
    - \* *E.g.* Ethernet card, WiFi card or chip
  - NIC attaches into node's system buses
  - Link layer is implemented as a combination of hardware and software
    - \* Hardware: NIC implements most of the functions
    - \* Software: activating hardware controller, responds to controller interrupts, etc.
- Error Control
  - EDC → Error Detection/Correction bits (redundant bits)

- $D \rightarrow$  Data protected by error control, may include header fields
- Error control is not 100% reliable
  - \* Error control technique may miss some errors; we want to keep the probability of missing the errors small
  - \* Larger EDC field yields better detection and correction
  - \* Error correction needs more redundant bits than error detection for same number of errors
- Parity Checking
  - \* Single bit parity: detect single bit errors
    - Even parity: set parity bit so there is an even number of 1's
    - Odd parity: set parity bit so there is an odd number of 1's
  - \* Two-dimensional bit parity: detect and correct single bit errors
    - Even parity: no errors
- Cyclic Redundancy Check (CRC)
  - \*  $D$ :  $d$  data bits (given)
  - \*  $G$ : generator, bit pattern of  $r + 1$  bits where MSB must be 1  $\rightarrow$  transmitter and receiver agree on  $G$  (given)
  - \*  $R$ :  $r$  CRC bits, redundant bits
  - \* Transmitter: choose  $R$ , such that  $\langle D, R \rangle$  is exactly divisible by  $G$  (modulo-2 arithmetic)  $\rightarrow D \cdot 2^r \text{ XOR } R = nG$
  - \* Receiver: knows  $G$  and divides  $\langle D, R \rangle$  by  $G \rightarrow$  non-zero remainder: error detected!
    - Can detect all burst errors less than  $r + 1$  bits
  - \* More powerful error-detection technique: widely used in practice (Ethernet, WiFi)
- Types of Links
  - Point-to-point link: a single sender at one end on a link and a single receiver at the other end of the link
    - \* PPP (Point-to-Point Protocol), switched Ethernet, etc.
  - Broadcast (shared medium) link: Multiple transmitting and receiving nodes all connected to the same, single shared broadcast link
    - \* Need to handle multiple access problem (classic Ethernet, 4G/5G, WiFi, etc.)
- Multiple Access Problem
  - Multiple access problem: how to coordinate the access of multiple transmitting and receiving nodes to a single, shared broadcast channel

- Two or more simultaneous transmissions by nodes  $\rightarrow$  interference
  - \* Collision: if a node receives two or more signals at the same time (collision happens in the receiver)
- MAC (Medium Access Control) Protocol
  - \* Distributed algorithm that coordinates the frame transmissions of many nodes into the broadcast channel
  - \* Determines how nodes share channel and when nodes can transmit
  - \* Communication about channel sharing must use channel itself!
  - \* No out-of-band channel for coordination
- An Ideal MAC Protocol
  - Given: broadcast channel of rate  $R$  bps
  - Desirable Characteristics:
    1. When one node wants to transmit, it can send at rate  $R$
    2. When  $M$  nodes want to transmit, each can send an average rate  $R/M$
    3. Fully Decentralized
      - \* No special node to coordinate transmissions
      - \* No synchronizations of clocks, slots
    4. Simple
- MAC Protocols Taxonomy
  - Three Broad Classes:
    1. Channel Partitioning
      - \* Divide channel into smaller “pieces” (time slots, frequency, code)
      - \* Collision free: allocate piece of node for exclusive use to avoid collisions
    2. Random Access
      - \* Channel not divided, allow collisions
      - \* “Recover” from collisions
    3. Turn-Taking
      - \* Nodes take turns: tightly coordinate shared access to avoid collisions
- Channel Partitioning: FDMA
  - FDMA: Frequency Division Multiple Access
  - Frequency spectrum of the channel is divided into  $N$  frequency bands (each with transmission rate  $R/N$  bps)
  - Each node is assigned a fixed frequency band

- Advantages: Avoids collisions, and divides the capacity link fairly
- Drawback: Unused link capacity if frequency band goes idle
- Channel Partitioning: TDMA
  - TDMA: Time Division Multiple Access
  - Access to channel in “rounds”  $\rightarrow$  time divided in  $N$  slots
  - Each node gets fixed time slot in each round (node average transmission rate  $R/N$  bps)
  - Advantages: it avoids collisions, and it divides the link capacity fairly
  - Drawback: unused link capacity if slots fo idle
- Random Access Protocols
  - A transmitting node always transmits at full channel rate,  $R$  bps
  - Two or more transmitting nodes create a collision
  - Random access MAC protcool specifics:
    - \* How to detect collisions
    - \* How to recover from collisions (like via delayed transmissions)
- Slotted ALOHA
  - Assumptions:
    - \* All frames same size
    - \* Time divided into equal size slots
      - Slot duration: time to transmit one frame,  $t_l$
    - \* Nodes start to transmit only at the beginning of slot
    - \* Nodes are synchronized
    - \* If 2 or more nodes transmit in slot, all nodes detect collision
  - Operation:
    - \* When node obtains fresh frame, transmits in next slot
      - If no collision: node can send new frame in next slot
      - If collision: node retransmits frame in each subsequent slot with probability  $p$  until success
- Slotted ALOHA: Efficiency
  - Efficiency: long-run fraction of successful slots (many nodes, all with many frames to send)
  - Suppose  $N$  nodes with many frames to send, each transmits in slot with probability  $p$

- \* Probability that a given node has success in a slot:  $p(1 - p)^{N-1}$
- \* Probability that any node has a success:  $Np(1 - p)^{N-1}$
- \* Max efficiency: find  $p^*$  that maximizes  $Np(1 - p)^{N-1}$
- \* For many nodes, take limit of  $Np^*(1 - p^*)^{N-1}$  as  $N$  goes to infinity gives:

$$\text{Max Efficiency: } \frac{1}{e} = .37$$

- Pure Aloha

- Unslotted Aloha: simpler, no synchronization (no time slots)
- Consider that  $t_f$  is the frame transmission time
- When node obtains fresh frame, transmit immediately
- Collision: retransmit with probability  $p$  immediately, repeat every  $t_f$  until the frame is transmitted
- Collision probability increases:
  - \* Frame sent at  $t_0$  collides with other frames sent in  $[t_0 - t_f, t_0 + t_f]$

- Pure Aloha Efficiency

- Efficiency at many nodes is .18, even worse than slotted aloha

- Carrier Sense Multiple Access (CSMA)

- CSMA: listen before transmitting
  - \* If channel sensed idle: transmit entire frame
  - \* If channel sensed busy: defer transmission
- CSMA/CD: CSMA with Collision Detection
  - \* Collisions detected within short time
  - \* Colliding transmissions aborted, reducing channel wastage
  - \* After collision, wait a random time before repeating the CSMA/CD cycle

- CSMA: Collisions

- Collisions can still occur with carrier sensing:
  - \* Propagation delay: two nodes may not heard each other's just-started transmission
  - \* The longer the propagation delay from one node to another, the larger the probability that a node is not able to sense a transmission that has already begun at another node
  - \* Distance and propagation delay play a crucial role in determining collision probability

- Collision: nodes continue to transmit their frames
  - \* Entire frame transmission time wasted
- CSMA/CD
  - Collision detection
    - \* Wired Links: while transmitting, node monitors for the presence of signal energy coming from other nodes
      - Signal energy from other nodes detected! Abort transmission
    - \* Wireless links: difficult → use CSMA/CA instead
  - CSMA/CD reduces the amount of time wasted in collisions
    - \* Transmission aborted on collision detection
- Ethernet CSMA/CD Algorithm
  - NIC receives packet from network layer, creates frame
  - NIC senses channel:
    - \* If idle: start frame transmission
    - \* If busy: wait until channel idle, then transmit
  - If NIC transmits entire frame without collision, NIC is done with frame!
  - If NIC detects another transmission while transmitting, abort and send jam signal
  - After aborting, NIC enters binary exponential backoff:
    - \* After  $n$ -th collision, NIC chooses  $K$  at random from  $\{0, 1, 2, \dots, 2^n - 1\}$
    - \* NIC waits  $K \cdot 512$  bit duration times, returns to step 2
    - \* More collisions: longer backoff interval
- CSMA/CD Efficiency
  - $t_{prop}$  is the maximum propagation delay between two nodes in broadcast link
  - $t_{trans}$  is the time to transmit maximum-size frame
$$eff = \frac{1}{1 + 5t_{prop}/t_{trans}}$$
  - Efficiency goes to 1 when either propagation goes to zero or transmission goes to infinity
  - Better performance than ALOHA: and simple and cheap
- “Taking Turns” MAC Protocols
  - Master node “invites” slave nodes to transmit in turn

- Typically used with “dumb” slave devices
- Token Passing:
  - \* A small frame, called a token, is passed from one node to the next sequentially
  - \* The node that has the token can transmit
  - \* Concerns:
    - Token overhead
    - Latency
    - Single point of failure (token)
- Local Area Network (LAN)
  - A switch is a link-layer device
    - \* Switches operate at link layer
    - \* Switch frames
    - \* Do not recognize network-layer addresses
- MAC (or LAN or physical or Ethernet) Addresses
  - Link-layer address for interface
  - Function: used “locally” to get frame from one interface to another connected interface in the same subnet (in IP-addressing sense)
  - 48-bit MAC address (for most LANs) burned in NIC ROM, also sometimes software settable
  - For example: 1A:2F:BB:76:09:AD
  - Each interface on LAN
    - \* Has unique 48-bit MAC address
    - \* Has unique 32-bit/128-bit IP address
  - MAC address allocation administered by IEEE
  - Manufacturer buys portion of MAC address space (to assure uniqueness)
  - Analogy:
    - \* MAC address: like Social Security Number
    - \* IP address: like postal address
  - MAC flat address: portability
    - \* Can move interface from one LAN to another with the same MAC address
    - \* Recall IP address is hierarchical and not portable: depends on IP subnet to which node it attached
  - MAC broadcast address: FF:FF:FF:FF:FF:FF



- \* Used by a sender that wants all the other interfaces on the LAN to receive and process a frame
- ARP: Address Resolution Protocol
  - ARP Protocol: to determine MAC address of interface knowing the IP address
  - ARP table: each IP node (host, router) on LAN has an ARP table
    - \* IP/MAC address mappings for some LAN nodes:
 

$\langle \text{IP address; MAC address; TTL} \rangle$
    - \* TTL (Time To Live): time after which address mapping will be forgotten (typically 20 min)
- IEEE 802.3: Ethernet
  - “Dominant” wired LAN technology:
    - \* First widely used LAN technology
    - \* Simple and cheap
    - \* Kept up with speed race: 10 Mbps — 400 Gbps
    - \* Single chip, multiple speeds
- Ethernet: Physical Topology
  - Bus: popular through mid 90s → classic Ethernet
    - \* All nodes in same collision domain (frames can collide with each other)
  - Hub-based: popular in late 90s → classic Ethernet
    - \* A hub is a physical-layer device: input signal sent out on all other interfaces
    - \* Hub in center logically operates as a bus: all nodes in same collision domain
  - Star with a switch: prevails today → switched Ethernet
    - \* Active link-layer switch in center
    - \* Each switch interface is in a different collision domain (frames in different interfaces do not collide with each other)
    - \* With full duplex links, MAC protocol unnecessary
- IEEE 802.3 Ethernet Standards: Link and Physical Layers
  - Many different Ethernet standards
    - \* Link layer and physical layer
    - \* Common MAC protocol and frame format
    - \* Different speeds: 2 Mbps, 10 Mbps, 100 Mbps, 1 Gbps, 10 Gbps, 40 Gbps, 100 Gbps, 400 Gbps, ...

- \* Different physical layer and physical media (fiber, cable)
- Ethernet: Unreliable, Connectionless
  - Ethernet MAC protocol (if needed): unslotted CSMA/CD with binary backoff
  - Error detection: CRC
  - Connectionless: no handshaking between sending and receiving NICs
  - Unreliable: receiving NIC does not send acks or nacks to sending NIC
    - \* CSMA/CD (if needed): collisions are detected in the transmitter and colliding frames retransmitted
    - \* Error detection: when an error is detected, the frame is dropped
      - Data in dropped frames is recovered end-to-end only if initial sender uses higherlayer reliable data transfer (like TCP), otherwise dropped data is lost
    - \* It makes sense as the physical medium has low bit error rate and if collisions are possible, they can be detected (CSMA/CD) and the frame is recovered
- Ethernet Frame Structure
  - Preamble: 8 bytes
    - \* Used to synchronize receiver and sender clock rates (usually not considered part of the frame header)
    - \* 7 bytes with pattern 10101010 followed by one byte with pattern 10101011 (start of frame)
  - Addresses: 6-byte destination and source MAC addresses
    - \* If adapter receives frame with matching destination address, or with broadcast adress, it passes data in frame to higher-layer protocol
    - \* Otherwise, adapter discards frame
  - CRC: 4-byte cyclic redundancy check
    - \* Error detected: frame is dropped
  - Type: 2 bytes
    - \* If below 0x0600 (1536) → Length: indicates the length of the data (payload)
    - \* If above 0x0600 → Type: indicates the higher layer protocol (most common)
      - Mostly IP but others possible, like ARP
      - Used to demultiplex up at receiver
- Ethernet Switch
  - Switch is a link-layer device: takes an active role
    - \* Store and forward Ethernet frames

- \* Examine incoming frame destination MAC address, selectively forward frame to one-or-more outgoing links or drop the frame
    - \* If needed, uses CSMA/CD to access link
      - Half-duplex link or when a hub is connected to a switch interface
  - Transparent: hosts and routers are unaware of presence of switches
    - \* A host/router addresses a frame to another host/router in LAN
  - Plug-and-play, self-learning
    - \* Switches do not need to be configured
- Switch: Self-learning
    - Switches are self-learning: switch table is built automatically, dynamically and autonomously (no intervention of admin)
    - Switch learns which MAC addresses can be reached through which interfaces
      - \* When frame received, switch checks source MAC address of frame
      - \* “Learns” location of sender: incoming LAN segment
      - \* Records sender/location pair in switch table
  - Switch: Frame Filtering/Forwarding
    - When frame received at switch:
      1. Update switch table: record MAC address of sending host, incoming link
      2. Index switch table using MAC destination address
      3. If entry found for destination → if destination on link from which frame arrived → drop frame
        - (a) Else → forward frame on interface indicated by entry
      4. Else → flood (forward on all interfaces except arriving interface)
  - Switches vs. Routers
    - Both are store-and-forward:
      - \* Routers: network-layer devices (examine network-layer headers)
        - Use IP addresses to forward packet
      - \* Switches: link-layer devices (examine link-layer headers)
        - Use MAC addresses to forward frame
    - Both have forwarding table
      - \* Routers: compute tables using routing algorithms and IP addresses
      - \* Switches: learn forwarding tables using self-learning