# Lecture 3

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## • Hermitian Operators

- So far, we have only considered operators acting on kets:

$$|\phi\rangle = A |\psi\rangle$$

- If the operator acts on a bra it must act to the left:

$$\langle \epsilon | = \langle \psi | A$$

- However, the bra,  $\langle \epsilon |$ , is <u>not</u> the bra that corresponds to the ket,  $|\phi\rangle = A |\psi\rangle$
- The bra  $\langle \phi |$  is found by defining a new operator  $A^+$  that obeys:

$$\langle \phi | = \langle \psi | A^+$$

\*  $A^+$  is called the Hermitian adjoint of A. Consider the inner product:

$$\langle \phi | \beta \rangle = \langle \beta | \phi \rangle^*$$
$$\langle \psi | A^+ | \beta \rangle = (\langle \beta | A | \psi \rangle)^*$$

- \* This relates the matrix elements of A and  $A^+$
- \* Therefore,  $A^+$  is found by transposing and complex conjugating the matrix representing A
- An operator, A, is Hermitian if it is equal to its Hermitian adjoint,  $A^+$
- If an operator is Hermitian, then its bra,  $\langle \psi | A$  is equal to the bra  $\langle \phi |$  that corresponds to the ket  $|\phi\rangle = A |\psi\rangle$ 
  - \* In quantum mechanics, all operators that correspond to physical observables are Hermitian
- Hermitian matrices have real eigenvalues, which ensures results of measurements are always real-values

- The eigenvectors of Hermitian matrices comprise a complete set of basis states, which ensures the eigenvectors of any observable are a valid basis

## • Projection Operators

- Recall for a spin-1/2 system we had the identity relation:

$$|+\rangle\langle+|+|-\rangle\langle-|=1$$

- We can express this in matrix notation as:

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 \quad 0) + \begin{pmatrix} 0 \\ 1 \end{pmatrix} (0 \quad 1) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- This gives us the 2x2 identity matrix
- The individual operators,  $|+\rangle\langle+|$  and  $|-\rangle\langle-|$ , are called projection operators:

$$P_{+} = \left| + \right\rangle \left\langle + \right| = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$P_{-} = \left| - \right\rangle \left\langle - \right| = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

- Thus, for a general state, we may write  $P_+ + P_- = 1$
- From here, we may write:

$$P_{+}\left|\psi\right\rangle =\left|+\right\rangle \left\langle +\left|\psi\right\rangle =\left(\left\langle +\left|\psi\right\rangle \right)\right|+\right\rangle$$

$$P_{-}|\psi\rangle = |-\rangle \langle -|\psi\rangle = (\langle -|\psi\rangle) |-\rangle$$

 The effect of the projection operator on a given state is to produce a new, normalized state

$$|\psi'\rangle = P_+ |\psi\rangle$$

- The projection postulate thus becomes:

$$|\psi'\rangle = \frac{P_+ |\psi\rangle}{\sqrt{\langle\psi|P_+|\psi\rangle}} = |+\rangle$$

- This indicates a "collapse" of the quantum state vector

#### • Measurement

 In quantum mechanics, one must perform multiple identical measurements on identically prepared systems to infer the probabilities of outcomes – For example, if one performs N measurements of the projections of  $|\psi\rangle$  and obtains  $+\hbar/2~N_+$  times, then:

$$\lim_{N \to \infty} \frac{N_+}{N} = |\langle +|\psi\rangle|^2$$

 It is useful to characterize statistical data sets by their mean and standard deviation

$$\langle S_z \rangle = \frac{\hbar}{2} P_+ + \left(-\frac{\hbar}{2}\right) P_- = \langle \psi | S_z | \psi \rangle = \sum_n a_n P_{a_n}$$

- \* We may observe that this is the sum of the eigenvalues multiplied by the probability of getting said eigenvalue
- \* For the spin-1/2 system with  $|+\rangle$  we get:

$$\langle S_z \rangle = \langle +|S_z|+\rangle = \langle +|\hbar/2|+\rangle = \frac{\hbar}{2}\langle +|+\rangle = \frac{\hbar}{2}$$

\* Similarly, we may apply  $|+\rangle_x$  to observe:

$$\langle S_z \rangle = {}_x \langle +|S_z|+\rangle_x = {}_x \langle +|\hbar/2|+\rangle_x = \frac{\hbar}{4}(1-1) = 0$$

- It is common to characterize the standard deviation by the root-mean-square:

$$\Delta A = \sqrt{\langle (A - \langle A \rangle)^2 \rangle} = \sqrt{\langle A^2 \rangle - \langle A \rangle^2}$$

\* From the above, it is important to note that in the general case:

$$< A^2 > \neq < A >^2$$

- The square of an operator acts twice on the state:

$$A^2 |\psi\rangle = A(A |\psi\rangle)$$

\* Similarly, if we consider  $S_z$ , we may write:

$$\langle S_z^2 \rangle = \langle +|S_z^2|+\rangle = \langle +|(\hbar/2)^2|+\rangle = \frac{\hbar^2}{4}$$

\* Thus, we may write:

$$\Delta S_z = 0$$

\* Now, we check a different orientation:

$$_{x}\left\langle +\left|S_{z}^{2}\right|+\right\rangle _{x}=\frac{\hbar^{2}}{4}$$

\* Thus, we may see:

$$\Delta_x S_z = \frac{h}{2}$$

- Commuting Observables
  - Two incompatible observables may be identified with a commutator:

$$[A, B] = AB - BA$$

- If [A, B] = 0, operators (observables) are said to commute and are compatible
- Assuming [A, B] = 0, then:

$$AB = BA$$

\* Let  $|a\rangle$  be an eigenstate of A with eigenvalue a:

$$A|a\rangle = a|a\rangle$$

\* Then we can say:

$$BA|a\rangle = aB|a\rangle$$

\* This can be expanded:

$$AB |a\rangle = A(B |a\rangle) = a |a'\rangle$$

- · Here, we make  $B|a\rangle$  an eigenstate of A with eigenvalue a
- \* Assuming each eigenvalue has a unique eigenstate, then  $B|a\rangle$  must be a scalar multiple of  $|a\rangle$

$$B|a\rangle = b|a\rangle$$

\* Thus, we conclude:

If 
$$[A, B] = 0$$
, A and B have simultaneous sets of eigenstates

- \* Conversely, if two operators do not commute, they are incompatible and can not be known simultaneously, like  $S_z$  and  $S_x$
- Uncertainty Principle
  - There is an intimate connection between the commutator of two observables and the possible precision of measurements of each:

$$\Delta A \Delta B \geq \frac{1}{2} |<[A,B]>|$$

- Applying to Stern-Gerlach, we may write:

$$\Delta S_x \Delta S_y \ge \frac{1}{2} | < [S_x, S_y] > |$$
  
$$\Delta S_x \Delta S_y \ge \frac{\hbar}{2} | < S_z > |$$

\* Applying this to  $|+\rangle$ , we find:

$$\Delta S_x \Delta S_y \ge \left(\frac{\hbar}{2}\right)^2$$

- · This implies that the individual components are both non-zero
- · Therefore, we can not know spin components of either component absolutely
- · As a result, one can not say the spin points in a given direction

## • The $\vec{S}$ Operation

- Let us begin by writing:

$$\vec{S}^2 = S_x^2 + S_y^2 + S_z^2$$

- It points in no direction in space. We can calculate using matrix notation:

$$\vec{S}^2 = \left(\frac{\hbar}{2}\right)^2 \begin{bmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{bmatrix}$$
$$\vec{S}^2 = \left(\frac{\hbar}{2}\right)^2 \begin{bmatrix} \mathbb{1} + \mathbb{1} + \mathbb{1} \end{bmatrix}$$
$$\vec{S}^2 = \frac{3\hbar^2}{4} \mathbb{1}$$

– For any state  $|\psi\rangle$  in the Hilbert space S=1/2:

$$\vec{S}^2 \left| \psi \right\rangle = \frac{3}{4} \hbar^2 \left| \psi \right\rangle$$

- So, we may conclude:

$$\langle \vec{S}^2 \rangle = \frac{3}{4}\hbar^2$$

- This would imply the "length" of the spin vector is:

$$|\vec{S}| = \sqrt{\langle \vec{S}^2 \rangle} = \sqrt{3}(\hbar/2)$$

- Thus, this value is greater than the measured component,  $\hbar/2$ , implying that the spin vector is never fully aligned with any axis

# • Spin-1 Systems

– The Stern-Gerlach experiment produces 3 beams corresponding to z-axis projections  $+\hbar$ , 0, and  $-\hbar$ :

$$|1\rangle, |0\rangle, |-1\rangle \Longrightarrow \left\{ \begin{array}{ll} S_z |1\rangle &= \hbar |1\rangle \\ S_z |0\rangle &= 0\hbar |0\rangle \\ S_z |-1\rangle &= -\hbar |-1\rangle \end{array} \right.$$

 Recall eigenvectors are unit vectors in their own basis and an operator is always diagonal in its own basis. This gives us:

$$|1\rangle = \begin{pmatrix} 1\\0\\0 \end{pmatrix} \quad |0\rangle = \begin{pmatrix} 0\\1\\0 \end{pmatrix} \quad |-1\rangle = \begin{pmatrix} 0\\0\\1 \end{pmatrix}$$

\* This then gives us:

$$S_x = \hbar \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

- We can write the x orientation as:

$$\begin{split} |1\rangle_x &= \frac{1}{2} |1\rangle + \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{2} |-1\rangle \\ |0\rangle_x &= \frac{1}{\sqrt{2}} |1\rangle - \frac{1}{\sqrt{2}} |-1\rangle \\ |-1\rangle_x &= \frac{1}{2} |1\rangle - \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{2} |-1\rangle \end{split}$$

– We then do the same for the y orientation:

$$\begin{split} |1\rangle_y &= \frac{1}{2} \, |1\rangle + \frac{i}{\sqrt{2}} \, |0\rangle - \frac{1}{2} \, |-1\rangle \\ |0\rangle_x &= \frac{1}{\sqrt{2}} \, |1\rangle + \frac{1}{\sqrt{2}} \, |-1\rangle \\ |-1\rangle_x &= \frac{1}{2} \, |1\rangle - \frac{i}{\sqrt{2}} \, |0\rangle - \frac{1}{2} \, |-1\rangle \end{split}$$

- The operators can be written as:

$$S_x = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$
$$S_y = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

- New Labels
  - The allowed values of spin are  $S = 1/2, 1, 3/2, 2, \cdots$
  - The number of beams exiting the Stern-Gerlach with such spin is 2S+1 with a minimum value of  $-\hbar S$  and a maximum value  $+\hbar S$

- We label the state  $|S_m\rangle$ 

$$\vec{S} |S_m\rangle = S(S+1)\hbar^2 |S_m\rangle$$
  
 $S_z |S_m\rangle = m\hbar |S_m\rangle$   
 $[\vec{S}^2, S_z] = 0$ 

- \* Note,  $S_m$  is the magnetic quantum number
- Schrödinger Equation
  - \* The time evolution of a quantum system, is determined by the Hamiltonian of the total energy operator H(t) through the Schrödinger equation:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = H(t) |\psi(t)\rangle$$

- The Energy Eigenvalue Equation

$$H|E_n\rangle = E_n|E_n\rangle$$

- \* Where  $E_n$  represents the only allowed energies of the system
- \* Since H represents the energy, it is observable, Hermitian, and therefore, its eigenvalues form a complete basis
- \* Since H is the only operator appearing in the Schrödinger equation, it is convenient to expand the state vectors in terms of the energy eigenstates:

$$|\psi\rangle = \sum_{n} \langle n(t)|E_n\rangle$$

\* Substituting the expansion into the Schrödinger equation gets us:

$$i\hbar \frac{d}{dt} \sum_{n} \langle n(t)|E_{n}\rangle = \sum_{n} \langle n(t)E_{n}|E_{n}\rangle$$
$$\frac{dC_{k}(t)}{dt} = -i\frac{E_{k}}{\hbar}C_{k}(t)$$

\* We see that a possible solution is:

$$C_k(t) = C_o(t)e^{-iE_k/\hbar}$$

· Each energy has the same form of the time dependence but a different exponent. At time t = 0:

$$|\psi(0)\rangle = \sum_{n} \langle n|E_n\rangle$$

· And the time evolution of a time-independent Hamiltonian is:

$$|\psi(t)\rangle = \sum_{n} C_n e^{-iE_n t/\hbar} |E_n\rangle$$

$$H\left|E_{n}\right\rangle = E_{n}\left|E_{n}\right\rangle$$

· Note that the factor  $e^{-iE_nt/\hbar}$  has the form  $e^{-i\omega t}$  found in many areas of physics, such that:

$$\omega_n = \frac{E_n}{\hbar} \Rightarrow E_n = \hbar \omega_n$$

- · Energy eigenstates are called stationary states
- · If the system is in an energy eigenstate, it remains in that state
- \* The Bohr Frequency
  - · The Bohr frequency may be found as:

$$\omega_{21} = \frac{E_2 - E_1}{\hbar}$$

- Spin Precession
  - Time-evolution of S = 1/2

$$\vec{\mu} = g \frac{q}{2m} \vec{S}$$

\* For an electron:

$$\vec{\mu} = \frac{e}{m_e} \vec{S}$$

- The Hamiltonian is:

$$H = -\vec{\mu} \cdot \vec{B} = \frac{e}{m_e} \vec{S} \cdot \vec{B} = \frac{eB_o}{m_e} S_z = \frac{\hbar \omega_o}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- We can find eigenstates:

$$H\left|+\right\rangle = \frac{\hbar}{2}\omega_o\left|+\right\rangle$$

$$\hbar$$

$$H\left|-\right\rangle = -\frac{\hbar}{2}\omega_o\left|-\right\rangle$$

- For a quantum state with initial state:

$$|\psi(0)\rangle = |+\rangle$$

- We find time-evolution:

$$|\psi(t)\rangle = e^{-i\omega_o t/2} |+\rangle$$

- Next, let us consider a more general state:

$$|\psi(0)\rangle = |+\rangle_n = \cos\left(\frac{\theta}{2}\right)|+\rangle + \sin\left(\frac{\theta}{2}\right)e^{i\phi}|-\rangle$$

\* Time-dependence may be expressed as:

$$|\psi(0)\rangle = e^{-i\omega_o t/2} \cos\left(\frac{\theta}{2}\right) |+\rangle + e^{t_i\omega_o t/2} \sin\left(\frac{\theta}{2}\right) e^{i\phi} |-\rangle$$
$$|\psi(0)\rangle = e^{-i\omega_o t/2} \left[\cos\left(\frac{\theta}{2}\right) |+\rangle + \sin\left(\frac{\theta}{2}\right) e^{i(\omega_o t + \phi)} |-\rangle\right]$$

- We may use the time-dependence to find the probability of being in the up state:

$$P_{+} = |\langle +|\psi(t)\rangle|^{2} = \cos^{2}(\theta/2)$$

- \* In this case, the probability is time independent since the z component commutes with the Hamiltonian
- \* The angle  $\theta$  the spin vector  $\hat{n}$  makes with the z-axis does not change
- Similarly, we may find:

$$P_{+x} = |_x \langle +|\psi(t)\rangle|^2 = \frac{1}{2} \left[1 + \sin(\theta)\cos(\phi + \omega_o t)\right]$$

- \* We may see that this is time dependent since H and  $S_x$  do not commute
- To see spin precession more clearly, we will compute the expectation values for each spin component

$$\langle S_z \rangle = \langle \psi(t) | S_z | \psi(t) \rangle = \frac{\hbar}{2} \cos(\theta)$$

$$\langle S_y \rangle = \langle \psi(t) | S_y | \psi(t) \rangle = \frac{\hbar}{2} \sin(\theta) \sin(\phi + \omega_o t)$$

$$\langle S_x \rangle = \langle \psi(t) | S_x | \psi(t) \rangle = \frac{\hbar}{2} \sin(\theta) \cos(\phi + \omega_o t)$$

- \* As such, we see that  $\langle S_x \rangle$  and  $\langle S_y \rangle$  oscillate in time and  $\langle \vec{S} \rangle$  precesses around the magnetic field with the angular frequency  $\omega_o$ , which is known as the Larmor frequency and precession, respectively
- \* The equivalence of the classical Larmor precession and the expectation value of the quantum spin vector is an example of Ehrenfest's theorem, which states that quantum expectation values obey classical laws
- If we start a system in a spin  $|+\rangle$  state and find the probability it has later evolved into a spin  $|-\rangle$  state, we call this a spin flip

$$P_{+\to-} = |\langle -|\psi(t)\rangle|^2 = \sin^2(\theta)\sin^2\left(\frac{E_{+} - E_{-}}{2\hbar}t\right) = \frac{\omega_1^2}{\omega_o^2 + \omega_o^2}\sin^2\left(\frac{\sqrt{\omega_o^2 + \omega_1^2}}{2}t\right)$$

\* This is known as Rabi's formula