

# Lecture 1

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- Key Features of Quantum Mechanics

1. Probabilistic outcome of measurements

- Compute probabilities exactly, and that is the most complete information possible

2. Dual wave-particle nature of matter

- Which one we observe depends on the experiment performed

3. Conjugate variables (from classical mechanics) develop “uncertainty” relations

- Wave theory relation:

$$\Delta x \Delta p \geq \hbar$$

$$\Delta E \Delta t \geq \hbar$$

- Classical mechanics is “contained” in Quantum mechanics, which includes classical electricity and magnetism

4. Every particle and object built from particles, including light, falls into one of two classes:

- Fermions (spin is any odd multiple of  $\hbar/2$ )
  - \* Electrons are an example
- Bosons (spin is an integer multiple of  $\hbar$ , including 0)
  - \* Photons are an example

5. The properties of Quantum mechanics not falling into features 1-4 are largely familiar from classical physics

- Similar but Different

- Every electron has exactly the same spin, charge, and mass
- Every photon has exactly the same spin, charge, and mass

- This indicates no inequality among particles of the same type
- Time-Evolution in Quantum Mechanics
  - Time-evolution is given by the Hamiltonian,  $\mathcal{H}$
  - Hamilton's Equations give us:

$$\frac{d\vec{q}}{dt} = \frac{\partial \mathcal{H}}{\partial \vec{p}}$$

- We may write the Hamiltonian as:

$$\mathcal{H} = \frac{\vec{p}^2}{2m} \longrightarrow \frac{\partial \mathcal{H}}{\partial \vec{p}} = \frac{\vec{p}}{m} = \vec{v}$$

- Alternatively, we may write the force as:

$$\vec{F} = \frac{d\vec{p}}{dt} = -\frac{\partial \mathcal{H}}{\partial \vec{q}}$$

- Stern-Gerlach Experiments
  - Took place in 1922 with Otto Stern and Walther Gerlach
  - The act of observing a quantum particle affects its measurable properties in a way foreign to our experience
  - The experiment looks like this:

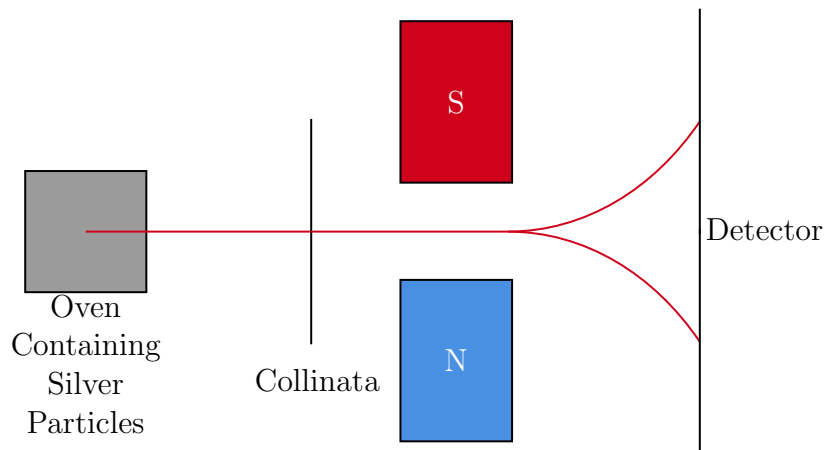


Figure 1: Set Up of Stern-Gerlach Experiment

- Assuming an atom has a monopole moment,  $\vec{\mu}$ , the potential energy of the interaction with a magnetic field  $\vec{B}$  is  $E = \vec{\mu}\vec{B}$
- Consider a classical description of the atom's moment:

$$\mu = IA$$

- \* Where  $I$  is the electrical current and  $A$  is the area of the loop

- A particle of charge  $q$  traveling at speed  $v$  in a circle of radius  $r$  gives us:

$$\mu = \frac{qvr}{2} = \frac{qL}{2m}$$

- \* Where  $L = mvr$  is the orbitable angular momentum

- Particles carry an intrinsic angular momentum,  $\vec{S}$ , called spin

$$\vec{\mu} = \frac{gq\vec{S}}{2m}$$

- \* Where  $g$  is the gyroscopic ratio

- Noting that silver atoms were used is important, as different atoms give different results. Considering the shell filling of silver, we know that it extends to a singular atom in the 5s shell.

- \* Since the mass of the nucleus  $\geq 2000m_e$ , we find a ratio of magnetic moments as:

$$\frac{\vec{\mu}_{nuc}}{\vec{\mu}_{e^-}} \ll 1$$

- \* Hence, we have  $\vec{\mu}_{Ag} = -g\frac{e}{2m_e}\vec{S}$ , where  $e$  is the magnitude of an electron's charge

- \* This produces a force of  $F_z = -g\frac{e}{2m_e}S_z \cdot \frac{2B_z}{2z}$

- \* Thus, the deflection of the beam in the Stern-Gerlach experiment is a measure of the projection of the intrinsic spin onto the  $z$ -axis

- \* Note, the heat of the oven randomizes the direction of  $\vec{\mu}$ , and, classically, we have  $S_z = |\vec{S}|\cos(\theta)$  and should be continuous over an  $S_z$  range given by:  $-|\vec{S}| \leq S_z \leq |\vec{S}|$

- But, only two beams are observed!

- Only two  $S_z$  components are possible, since  $S_z = \pm\hbar/2$

- We know:

$$\hbar \approx 1.0546 \cdot 10^{-34}[\text{J s}] = 6.5821 \cdot 10^{-16}[\text{eVs}]$$

$$\hbar = \frac{h}{2\pi} \text{ (Planck's Constant)}$$

- \* This indicates a quantization of an electron's intrinsic angular momentum along the  $z$  axis
- \* In the case that  $S_z = +\hbar/2$ , we call this spin “up”
- \* In the case that  $S_z = -\hbar/2$ , we call this spin “down”
- \* The quantity  $S_z$  itself is called an “observable”
- \* The device depicted within the Stern-Gerlach experiment is called an “analyzer”, since it sorts the input into two possible outputs
- \* New notation:  $S_z = +\hbar/2$  can be written in Dirac notation as:

$$\left| +\frac{\hbar}{2} \right\rangle \Rightarrow |+\rangle$$

- \* The negative (down) spin becomes:

$$\left| -\frac{\hbar}{2} \right\rangle \Rightarrow |-\rangle$$

- \* A “ket” can be depicted as follows:

$$|\cdot\rangle$$

- Or, in its general form:

$$|\psi\rangle$$

- \* Note that for up and down spin, occasionally one may encounter:

$$|+\rangle = |\uparrow\rangle$$

$$|-\rangle = |\downarrow\rangle$$

– Experiment 1:

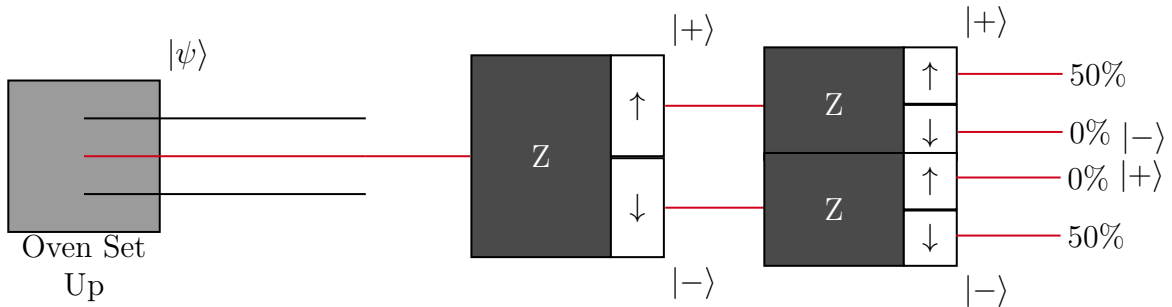


Figure 2: Experimental Setup

- \* Although both Stern-Gerlach analyzers in the experiment are the same, they play different roles
  - The first analyzer prepares the beam and the second analyzer measures the beam
  - The first analyzer is often referred to as a state preparation device
- Experiment 2:

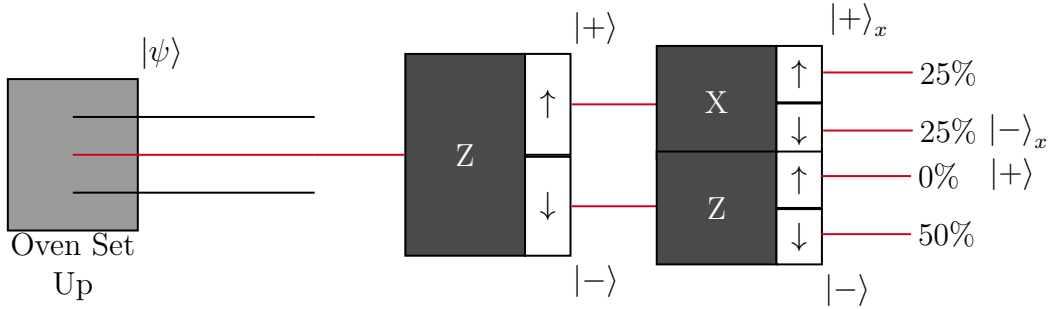


Figure 3: Experimental Setup

- \* The second analyzer is rotated by  $90^\circ$  with respect to the first and aligned with the  $x$ -axis
  - Only two possible outputs from second analyzer
  - Results would be unchanged if we used the lower part of the first analyzer
  - One can not predict which of the second analyzer parts any particular atom will emerge from
  - These results highlight the probabilistic nature of quantum mechanics; quantum mechanics is a complete description of reality (as far as we know)
- Experiment 3:

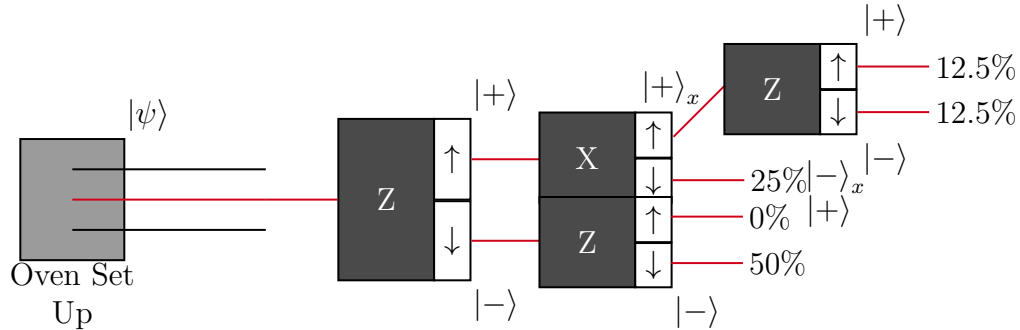


Figure 4: Experimental Setup

\* This tells us that the  $S_x$  and  $S_z$  are incompatible observables, which means we can not know the values of both simultaneously

– Experiment 4:

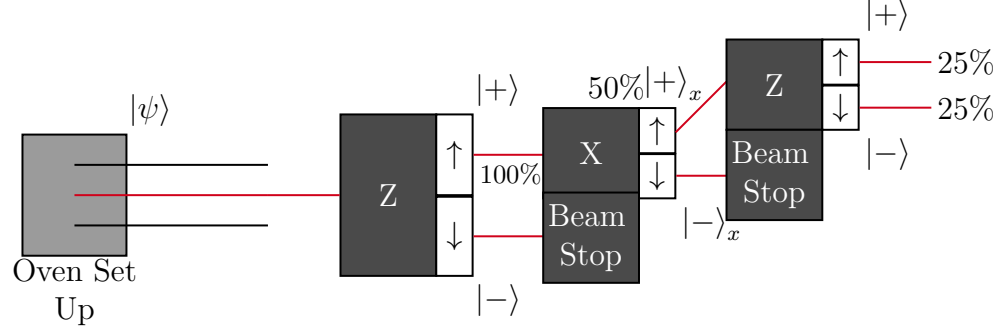


Figure 5: Experimental Setup Part One

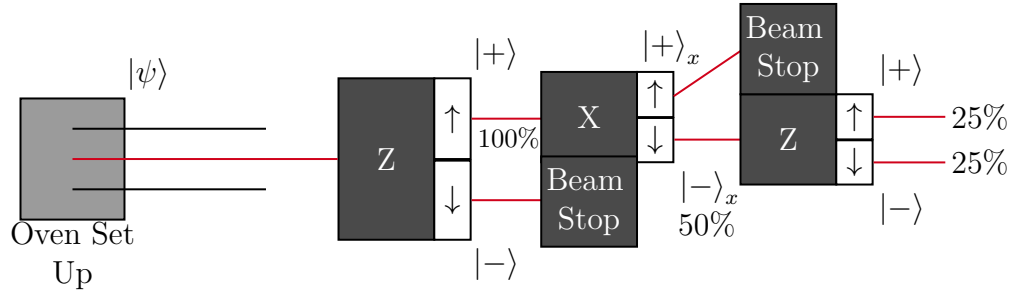


Figure 6: Experimental Setup Part Two

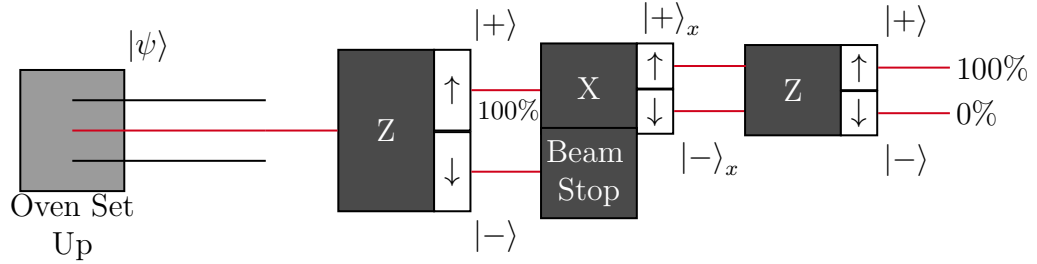


Figure 7: Experimental Setup Part Three

\* Results are akin to the double slit experiment and destructive interference

- Quantum State Vectors

- The kets ( $|\psi\rangle$ ) obey many rules of ordinary spacial vectors
- $|\psi\rangle$  is a quantum state vector and is part of a vector space called a Hilbert Space
- The dimensionality of the Hilbert Space is determined by the physics at hand
- In the Stern-Gerlach experiment the Hilbert Space has just two states ( $|+\rangle$  and  $|-\rangle$ )

\* These states form a basis like unit vectors  $\hat{i}, \hat{j}, \hat{k}$  for 3-D space. These basis vectors are normalized, orthogonal, and complete

- Normalization:  $\hat{i} \cdot \hat{i} = \hat{j} \cdot \hat{j} = \hat{k} \cdot \hat{k} = 1$

- Orthogonality:  $\hat{i} \cdot \hat{j} = \hat{i} \cdot \hat{k} = \hat{j} \cdot \hat{k} = 0$

- Completeness:  $\vec{A} = a_x \hat{i} + a_y \hat{j} + a_z \hat{k}$

- Note: The dot product (or scalar product) displayed in the normalization and orthogonality conditions is central to these properties

\* For the  $S_z$  measurement, the basis states are:  $|+\rangle$  and  $|-\rangle$  and are referred to as the “ $S_z$  basis”

- Since this is a complete basis, a general state is (where  $a$  and  $b$  are complex numbers):

$$|\psi\rangle = a|+\rangle + b|-\rangle$$

\* To discuss orthogonality and normalization (orthonormality) we need to understand how scalar products apply to kets

\* The complex-conjugated quantum state vector is called a 'bra' in the Dirac notation of quantum mechanics (note the asterisk indicates the complex conjugate):

$$\langle\psi| = a^* \langle+| + b^* \langle-|$$

- The scalar product in quantum mechanics is defined as:

$$(\langle\psi|)(|\psi\rangle) = \langle\psi|\psi\rangle$$

\* “bra-ket” or bracket

\* For example:

$$(\langle+|)(|-\rangle) = \langle+|-\rangle$$

\* This defines an inner product between two state vectors

\* In analogy to 3-D spatial vectors, this is also called a projection

\* Given the analogs, we may write that normality is defined as:

$$\langle +|+ \rangle = \langle -|- \rangle = 1$$

\* Furthermore, orthogonality may be defined as:

$$\langle +|- \rangle = \langle -|+ \rangle = 0$$

\* Completeness is then defined as:

$$|\psi\rangle = a|+\rangle + b|-\rangle$$

\* With these components, we can then write:

$$\langle +|\psi\rangle = \langle +|(a|+\rangle + b|-\rangle) = \langle +|a|+\rangle + \langle +|b|-\rangle = a\langle +|+\rangle + b\langle +|-\rangle$$

· This can then be simplified with our properties to get:

$$\langle +|\psi\rangle = a$$

· Likewise, we may write:

$$\langle -|\psi\rangle = b$$

\* Using these results, we may rewrite the wave function as:

$$\begin{aligned} |\psi\rangle &= \langle +|\psi\rangle |+\rangle + \langle -|\psi\rangle |-\rangle \\ |\psi\rangle &= |+\rangle \langle +|\psi\rangle + |-\rangle \langle -|\psi\rangle \\ |\psi\rangle &= \underbrace{(|+\rangle \langle +| + |-\rangle \langle -|)}_1 |\psi\rangle \end{aligned}$$

· We can note that the identity is equivalent to one

\* We can also reverse the projection to write:

$$\langle \psi|+\rangle = \langle +|a^*|+\rangle + \langle -|b^*|+\rangle = a^*\langle +|+\rangle + b^*\langle -|+\rangle = a^*$$

· This gives us the result that (note this holds for any state):

$$\langle \psi|+\rangle = \langle +|\psi\rangle^* \Rightarrow \langle \phi|\psi\rangle = \langle \psi|\phi\rangle^*$$

· In quantum mechanics, state vectors must be normalized since they describe a situation where the quantum state has probability 1

$$\begin{aligned} \langle \psi|\psi\rangle &= (a^*\langle +| + b^*\langle -|)(a|+\rangle + b|-\rangle) \\ &= a^*a\langle +|+\rangle + a^*b\langle +|-\rangle + ab^*\langle -|+\rangle + b^*b\langle -|-\rangle \\ \langle \psi|\psi\rangle &= a^*a + b^*b = |a|^2 + |b|^2 = 1 \end{aligned}$$



· Equivalently, we may write:

$$|\langle +|\psi \rangle|^2 + |\langle -|\psi \rangle|^2 = 1$$

- In quantum mechanics, the probability that the state  $|\psi\rangle$  is measured as spin up is  $P_{S_z=+\frac{\hbar}{2}} = |\langle +|\psi \rangle|^2$
- Equivalently, the probability that the state  $|\psi\rangle$  is measured as spin down is  $P_{S_z=-\frac{\hbar}{2}} = |\langle -|\psi \rangle|^2$ 
  - \* We can use a shorthand notation of  $P_+$  for  $S_z = +\frac{\hbar}{2}$  and  $P_-$  for  $S_z = -\frac{\hbar}{2}$
  - \* We may observe that the coefficients of the quantum state function contain the information regarding the probability
  - \* This leads to Postulate 4 of Quantum Mechanics (for a 1/2-spin system)
    - The probability of obtaining the value  $\pm\hbar/2$  in a measurement of the observable,  $S_z$ , on a system in the state  $|\psi\rangle$  is  $P_{\pm} = |\langle \pm|\psi \rangle|^2$ , where  $|\pm\rangle$  is the basis ket of  $S_z$  corresponding to the result  $\pm\hbar/2$
    - $\langle -|\psi \rangle$  is referred to as the probability amplitude (or just amplitude)
- For the Stern-Gerlach experiments in which a different axis was tested after the first analyzer, we may write:

$$|_x \langle +|+\rangle|^2 = |_x \langle -|+\rangle|^2 = |_x \langle +|-\rangle|^2 = |_x \langle -|-\rangle|^2 = \frac{1}{2}$$

- Since  $|+\rangle$  and  $|-\rangle$  form a complete basis, we can write:

$$|+\rangle_x = a|+\rangle + b|-\rangle$$

$$|-\rangle_x = c|+\rangle + d|-\rangle$$

- \* Per the results of the Stern-Gerlach experiments, we may write:

$$|a|^2 = |b|^2 = |c|^2 = |d|^2 = \frac{1}{2}$$

- \* This means:

$$|+\rangle_x = \frac{1}{\sqrt{2}} (|+\rangle + e^{i\alpha} |-\rangle)$$

$$|-\rangle_x = \frac{1}{\sqrt{2}} (|+\rangle + e^{-i\beta} |-\rangle)$$

- \* Therefore, only the relative phase of the weights are important

- \* If experiment 1 is repeated, but with both analyzers aligned in the  $x$  direction, then one may find:

$$P_{+x} = |{}_x \langle + | + \rangle_x|^2 = 1$$

$$P_{-x} = |{}_x \langle - | + \rangle_x|^2 = 0$$

- \* Equivalently, we may write:

$$\frac{1}{2} (1 + e^{i(\alpha-\beta)}) = 0$$

$$e^{i(\alpha-\beta)} = 1$$

- \* And finally, we get our condition:

$$e^{i\alpha} = e^{i\beta}$$

- \* We are free to choose  $\alpha = \beta = 0$

- \* Thus, we can express  $x$ -axis ket alignment as:

$$|\pm\rangle_x = \frac{1}{\sqrt{2}}(|+\rangle \pm |-\rangle)$$

- Superposition of States

$$|\psi\rangle = a|+\rangle + b|-\rangle$$

- The above is a superposition state (or a coherent state) because of the importance of the relative phase of the two terms
- A contrasting state with different possible outcomes but no definite phase relation is called a mixed state
- A mixed state is not described by a quantum state  $|\psi\rangle$ , but instead a density matrix
- In matrix form, we can write:

$$|\pm\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm 1 \end{pmatrix}$$

- \* Note the top refers to the coefficient of the ‘up’  $z$  state and the bottom refers to the coefficient of the ‘down’  $z$  state. Given this, the basis states are:

$$|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{and} \quad |-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

\* A general state may be written as:

$$|\psi\rangle = \begin{pmatrix} \langle +|\psi\rangle \\ \langle -|\psi\rangle \end{pmatrix}$$

\* For Hilbert Spaces whose dimensions are greater than 2, the matrix notation is generally more convenient

– Repeating the earlier analysis but for the  $y$  state, we get:

$$|\pm\rangle_y = \frac{1}{\sqrt{2}}(|+\rangle \pm i|-\rangle)$$

– In matrix notation this is equivalent to:

$$|\pm\rangle_y = \begin{pmatrix} 1 \\ \pm i \end{pmatrix}$$

- General Quantum States

– Suppose we have an  $N$ -dimensional Hilbert Space with possible outcomes  $|a_1\rangle, |a_2\rangle, \dots, |a_N\rangle$

– We can then form the relation:

$$\langle a_i|a_j\rangle = \delta_{ij} \quad \text{orthonormality}$$

\* With the Kroenecker Delta function being:

$$\delta_{ij} = \begin{cases} 0, & i \neq j \\ 1, & i = j \end{cases}$$

– We can determine completeness using:

$$\begin{aligned} |\psi\rangle &= \sum_{i=1}^N (\langle a_i|\psi\rangle) |a_i\rangle \\ &= \sum_{i=1}^N |\psi\rangle (\langle a_i|a_i\rangle) \\ &= |\psi\rangle \end{aligned}$$

\* Here we see the identity matrix

- Postulates of Quantum Mechanics

- These can not be proven, but have been successfully tested in many experiments
1. The state of a quantum system, including all of the information you can know about it, is represented by the  $|\psi\rangle$
  2. A physical observable is represented mathematically by an operator  $A$  that acts on kets
  3. The only possible result of a measurement of an observable is one of the eigenvalues of the corresponding operator  $A$
  4. The probability of obtaining the eigenvalue  $a_n$  in the measurement of the observable,  $A$ , on the system in the state  $|\psi\rangle$  is given by:

$$P_{a_n} = |\langle a_n | \psi \rangle|^2$$

- Where  $|a_n\rangle$  is the normalized eigenvector of  $A$  corresponding to the eigenvalue  $a_n$
5. After a measurement of  $A$  that yields the result  $a_n$ , the quantum system is in a new state that is the normalized projection of the original ket onto the ket (or kets) corresponding to the result of the measurement

$$|\psi'\rangle = \frac{P_n |\psi\rangle}{\sqrt{\langle \psi | P_n | \psi \rangle}}$$

6. The time-evolution of a quantum system is determined by the Hamiltonian or total energy equation  $H(t)$  through the Schrödinger equation:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = H(t) |\psi(t)\rangle$$