### **Operating Systems Bank of Questions Summary**

## 1. List the layers involved in file system implementation

Answer: Mnemonic: D-B-I-F-U ("D-BIFU")

- 1. **Device Layer**: Handles the actual hardware of disk drives.
- 2. Block Layer: Deals with logical blocks of data.
- 3. I/O Control Layer: Contains device drivers and interrupt handlers.
- 4. **File System Layer**: Organizes data into files and directories.
- 5. **User Interface Layer**: Offers system calls for user-level interaction.

**Analogy**: Like a library – storage is the shelves (device), blocks are books, control is the librarian, FS is the catalog, UI is the search screen.

# 2. Describe the basic structure of a file system

#### Answer:

- 1. **Boot Control Block**: Contains boot loader code and OS bootstrap info.
- 2. **Volume Control Block**: Stores metadata about volume size, block count.
- 3. **Directory Structure**: Organizes and maps file names to blocks.
- 4. File Control Blocks (FCBs): Holds metadata for each file (permissions, owner, size, etc).

### Diagram:

Disk ├─ Boot Block ├─ Volume Control Block ├─ Directory Structure └─ File Control Blocks		

## 3. Explain the concept of recovery in operating systems

#### Answer:

- 1. **Definition**: Recovery is the process of restoring a system to a consistent state after failure.
- 2. **Goal**: Maintain data integrity and system availability.
- 3. **Mechanism**: Uses logs, checkpoints, and transaction rollbacks.
- 4. **Types**: Includes crash recovery, application recovery, and database recovery.

Quote: "Every reliable system must assume it will fail. Recovery is not optional—it's foundational."

## 4. What are the different types of failures that require recovery?

#### Answer:

- 1. **Transaction Failure**: Occurs when an operation cannot complete due to logical errors (e.g., divide by zero).
- 2. System Crash: Operating system halts unexpectedly (e.g., due to kernel panic or power loss).
- 3. **Disk Failure**: Data is lost due to bad sectors or head crashes.
- 4. Media Failure: Entire storage medium becomes unreadable.

Mnemonic: T-S-D-M (Transaction, System, Disk, Media).

### 5. Explain the undo and redo operations in recovery

#### Answer:

- 1. **Undo Operation**: Reverts the effects of uncommitted transactions.
- 2. **Redo Operation**: Re-applies effects of committed transactions that might not have been saved.
- 3. Log-based Recovery: Uses logs to track actions; each log entry supports undo/redo.
- 4. **Durability Principle**: Redo ensures committed changes persist post-failure.

#### Table:

Operation	Purpose	When Used
Undo	Cancel changes	Incomplete before crash
Redo	Reapply changes	Committed before crash

# 6. What is checkpointing? How does it help in recovery?

#### Answer:

- 1. **Definition**: A checkpoint is a snapshot of the OS state at a specific time.
- 2. **Purpose**: Reduces time needed to recover from a failure.
- 3. **Mechanism**: OS records all necessary data in persistent storage.
- 4. **Benefit**: Only need to process log entries after the latest checkpoint.

**Example**: Like saving a draft – resume from last save.

# 7. Explain demand paging with a diagram. What are its advantages and disadvantages?

#### Answer:

- 1. **Definition**: Loads pages into memory only when needed.
- 2. **Mechanism**: On page fault, OS loads required page from secondary storage.
- 3. Advantages: Lower memory usage, fast startup.
- 4. **Disadvantages**: High page fault rate can cause delays.

### Diagram:

```
CPU → Page Table → Memory

↓

Secondary Storage (on fault)
```

## 8. Explain the concept of Copy-On-Write (COW). How is it used in process creation?

#### Answer:

- 1. **COW Concept**: Processes initially share memory pages after a fork().
- 2. **Efficiency**: Saves memory and reduces process creation time.
- 3. **Modification Trigger**: When a process modifies a shared page, it gets a private copy.
- 4. **Use in Forking**: Forked processes share until a write occurs.

**Analogy**: Two people reading the same newspaper until one decides to annotate.

# 9. What is page replacement? Explain FIFO, LRU, and Optimal algorithms with examples.

#### Answer:

- 1. **Definition**: When memory is full, OS must swap out pages.
- 2. **FIFO**: Remove oldest page (first-in).
- 3. LRU: Remove least recently used page.
- 4. **Optimal**: Remove page that won't be used for longest time (ideal).

#### **Example** (Page ref: 1, 2, 3, 1, 4):

- FIFO: 1,2,3  $\rightarrow$  remove 1  $\rightarrow$  fault.
- LRU: Use timestamp to decide.
- Optimal: Requires future knowledge.

## 10. Explain frame allocation strategies. Compare fixed and variable allocation.

#### Answer:

- 1. **Fixed Allocation**: Each process gets a fixed number of frames.
- 2. Variable Allocation: Number of frames depends on process size or priority.
- 3. Comparison:
- 4. Fixed: Simpler, may waste memory.
- 5. Variable: More efficient, complex to implement.
- 6. **Global vs Local**: Allocation can be done from a global pool or local per-process.

# 11. What is thrashing? How can it be detected and prevented?

#### Answer:

- 1. **Thrashing**: High paging → low CPU utilization.
- 2. **Detection**: Spike in page fault rate and disk activity.
- 3. Prevention: Use working set model to allocate enough frames.
- 4. Solutions: Reduce degree of multiprogramming or increase RAM.

**Analogy**: Constantly switching TV channels and never watching a full show.

## 12. Describe memory-mapped files. How do they work and what are their uses?

#### **Answer:**

- 1. **Definition**: File contents mapped directly to virtual memory.
- 2. **Efficiency**: Enables fast file access without read/write syscalls.
- 3. **Use Cases**: Inter-process communication, DB systems.
- 4. Mechanism: Uses mmap() system call (in UNIX).

## 13. Discuss kernel memory allocation techniques with examples.

- 1. **Buddy System**: Allocates memory in blocks of 2<sup>n</sup> sizes.
- 2. Slab Allocator: Allocates pre-initialized memory objects.
- 3. **kmalloc/vmalloc**: Used in Linux kernel for dynamic allocation.
- 4. **Fragmentation Handling**: Both systems reduce fragmentation.

## 14. Describe the components and goals of storage management in OS.

#### **Answer:**

- 1. **Components**: File system, memory management, device drivers.
- 2. **Goals**:
- 3. Efficient space usage.
- 4. Data reliability and security.
- 5. High performance.
- 6. **Storage Hierarchy**: Registers  $\rightarrow$  Cache  $\rightarrow$  RAM  $\rightarrow$  Disk  $\rightarrow$  Tape.
- 7. **Protection Mechanisms**: Prevent unauthorized access.

# 15. Explain file concepts and different file access methods.

#### **Answer:**

- 1. **File Concepts**: A file is a named collection of related information.
- 2. Access Methods:
- 3. Sequential Access
- 4. Direct Access
- 5. Indexed Access
- 6. Sequential: Read linearly.
- 7. **Indexed**: Like book index for faster search.

## 16. Explain directory structures and file system mounting.

#### **Answer:**

- 1. Structures:
- 2. Single-Level
- 3. Two-Level
- 4. Tree
- 5. DAG (for shared files)
- 6. **Mounting**: Integrate external file system into existing hierarchy.
- 7. Mount Point: Location where FS is attached.
- 8. **Unmounting**: Safely removing the FS.

# 17. How does OS handle file sharing and protection?

- 1. **Sharing Mechanisms**: File descriptors, symbolic links.
- 2. Protection Models:

- 3. Access Control Lists (ACL)
- 4. User/Group/Other permissions
- 5. Concurrency Control: Locks, semaphores.
- 6. Security: Prevents unauthorized access/modification.

## 18. Explain file system structure and its implementation layers

#### Answer:

- 1. **File System Structure**: Organized into boot block, volume control block, directory structure, and file control blocks.
- 2. Layered Implementation:
- 3. Logical File System: Manages metadata, permissions.
- 4. File-Organization Module: Manages files and record blocks.
- 5. **Basic File System**: Issues generic commands to device driver.
- 6. I/O Control: Interfaces with device drivers.
- 7. **Device Drivers**: Handles communication with actual hardware.
- 8. **Benefits**: Modular design, abstraction, easier maintenance.

# 19. Explain the concept of recovery in operating systems

#### Answer:

- 1. **Recovery Concept**: Restoring consistency after crashes or failure.
- 2. **Techniques**: Logs, checkpoints, undo/redo operations.
- 3. **Consistency**: Ensures atomicity and durability.
- 4. Goals: Minimize data loss and restore system quickly.

# 20. Describe types of failures and log-based recovery mechanisms (Undo/Redo), along with checkpointing

- 1. Types of Failures:
- 2. Transaction
- 3. System crash
- 4. Disk failure
- 5. Media failure
- 6. Log-based Recovery:
- 7. Maintains log of actions before execution.
- 8. Enables redo and undo.
- 9. Undo/Redo: Based on log entries, executed during recovery.

10. **Checkpointing**: Periodic snapshot to reduce log size and recovery time.

## 21. Explain the overview and components of mass storage systems

#### Answer:

- 1. **Overview**: Long-term, non-volatile storage for large datasets.
- 2. Components:
- 3. Disks (HDD/SSD)
- 4. Storage arrays
- 5. Controllers
- 6. **Functions**: Data retention, backup, high-speed access.
- 7. **Characteristics**: Capacity, reliability, cost-efficiency.

## 22. Describe the disk structure and explain how data is organized

#### Answer:

- 1. **Structure**: Disks have platters, tracks, sectors, cylinders.
- 2. Data Layout: Organized into concentric circles (tracks) and radial arms (cylinders).
- 3. Access: Read/write heads move over tracks.
- 4. **Efficiency**: Depends on layout and seek strategies.

# 23. Discuss various disk scheduling algorithms

#### Answer:

- 1. **FCFS**: First-Come First-Serve Simple but inefficient.
- 2. **SSTF**: Shortest Seek Time First Prioritizes nearest request.
- 3. **SCAN**: Elevator algorithm Moves head back and forth.
- 4. LOOK: Optimized SCAN Turns around at final request.

### **Comparison Table:**

### Algorithm Performance Fairness Use Case

FCFS	Poor	High	Simple systems
SSTF	Better	Low	Real-time
SCAN	Good	Medium	Batch processing
LOOK	Efficient	Medium	Mixed loads

## 24. What is disk management? Mention its key functions

#### Answer:

- 1. **Disk Partitioning**: Divides disk into logical segments.
- 2. **Formatting**: Prepares disk with file system.
- 3. Bad Block Recovery: Identifies and remaps damaged sectors.
- 4. Space Management: Tracks free/used blocks.

## 25. Explain swap-space and its management

#### Answer:

- 1. **Definition**: Disk area used as overflow for main memory.
- 2. **Purpose**: Allows larger virtual memory than physical RAM.
- 3. Management:
- 4. Static: Fixed swap partition.
- 5. Dynamic: Expands/allocates as needed.
- 6. **Performance**: Poor compared to RAM, but improves multitasking.

## 26. Explain the concept of RAID and describe its advantages

#### Answer:

- 1. RAID Definition: Redundant Array of Independent Disks.
- 2. **Purpose**: Improves performance, fault tolerance.
- 3. Advantages:
- 4. Redundancy
- 5. Faster access (striping)
- 6. Data protection (parity)
- 7. **Levels**: 0 (striping), 1 (mirroring), 5 (block-level parity), etc.

# 27. What is storage implementation? Discuss its key techniques

- 1. **Definition**: Methods used to handle storage allocation and access.
- 2. Techniques:
- 3. Caching: Store frequently accessed data in memory.
- 4. Buffering: Temp storage during data transfer.
- 5. Spooling: Overlapping output/input operations.
- 6. RAID: Improves reliability.

## 28. What is tertiary storage? Describe its features and use cases

#### Answer:

1. **Definition**: Lowest level of storage hierarchy.

2. Examples: Magnetic tapes, optical disks.

3. Features:

- 4. High capacity
- 5. Slow access
- 6. Inexpensive
- 7. **Use Cases**: Backup, archiving, compliance storage.

# 29. Explain the structure of an I/O system in an operating system

#### Answer:

- 1. Components:
- 2. I/O devices
- 3. Device controllers
- 4. Device drivers
- 5. OS interface
- 6. **Data Flow**: Application  $\rightarrow$  System Call  $\rightarrow$  Driver  $\rightarrow$  Device.
- 7. Responsibilities: Buffering, scheduling, error handling.
- 8. Efficiency Goal: Minimize CPU involvement.

## 30. What are the main components of I/O hardware, and what are their functions?

#### **Answer:**

- 1. I/O Devices: Input (keyboard), Output (monitor), Storage (disk).
- 2. **Controllers**: Manage signals and protocol with devices.
- 3. Ports: Physical connectors for communication.
- 4. Buses: Carry data between components.

## 31. Describe the purpose and components of the application I/O interface

- 1. Purpose: Provides abstraction for user-level I/O.
- 2. Components:
- 3. open()
- 4. read()
- 5. write()

- 6. close()
- 7. **Goal**: Hide hardware specifics from user.
- 8. Benefit: Easier programming and portability.

## 32. What are the responsibilities of the kernel I/O subsystem?

#### Answer:

- 1. **Scheduling I/O**: Manages queue of I/O requests.
- 2. Buffering: Temp storage during transfer.
- 3. Caching: Speed up access to data.
- 4. **Error Handling**: Detect and recover from I/O errors.

## 33. Explain how I/O requests are transformed into hardware-level operations

#### Answer:

- 1. **System Call**: Application triggers an I/O syscall.
- 2. **Driver Invocation**: Kernel calls device driver.
- 3. **Command Translation**: Driver converts to device-specific instructions.
- 4. **Device Operation**: Controller executes and confirms.

## 34. Explain the structure and working of mass storage systems in operating systems

#### Answer:

- 1. **Structure**: Controllers, devices, OS management.
- 2. Working: Uses scheduling and buffering.
- 3. **Features**: Reliability, redundancy, speed.
- 4. **Examples**: SSDs, HDDs, hybrid arrays.

# 35. Describe disk structure, disk scheduling algorithms, disk management techniques, and swap-space management in detail

- 1. Disk Structure: Tracks, sectors, cylinders.
- 2. **Scheduling**: FCFS, SSTF, SCAN, LOOK.
- 3. **Management**: Partitioning, formatting, bad block recovery.
- 4. Swap-Space: Virtual memory management using disk.

# 36. Explain the RAID structure in detail

#### Answer:

## Level Description Fault Tolerance Performance

RAID 0	Striping only	None	High
RAID 1	Mirroring	High	Moderate
RAID 5	Block-level with parity	Moderate	High
RAID 6	Dual parity	Very High	Moderate
RAID 10	Striping + Mirroring	High	High

# 37. Compare different RAID levels using a table, describe the techniques used in storage implementation

#### Answer:

1. RAID Table: (See Q36)

2. Techniques:

3. Striping: Data split across disks4. Mirroring: Duplication for safety

5. Parity: Error correction6. Benefits: Speed + reliability

7. **Use Cases**: Enterprise servers, databases.

# 38. Discuss the characteristics and use cases of tertiary storage

- 1. Characteristics:
- 2. Low cost
- 3. High latency
- 4. Sequential access
- 5. **Examples**: Tape drives, Blu-ray
- 6. Use Cases:
- 7. Long-term archiving
- 8. Disaster recovery
- 9. **Advantages**: Low power, durability.

## 39. Describe in detail the structure of I/O systems in an operating system

#### Answer:

- 1. **Layered Design**: Application  $\rightarrow$  Kernel  $\rightarrow$  Driver  $\rightarrow$  Device
- 2. **Components**: I/O requests, scheduler, buffer cache.
- 3. **Goal**: Efficient and secure data movement.
- 4. **Coordination**: Between software and hardware levels.

# 40. Explain the components of I/O hardware, the application I/O interface, kernel I/O subsystem, and how I/O requests are transformed into hardware operations

- 1. **I/O Hardware**: Devices, controllers, ports, buses.
- 2. **App Interface**: open(), read(), write(), close()
- 3. Kernel Subsystem: Scheduling, buffering, error handling.
- 4. **Transformation Flow**: System call  $\rightarrow$  Driver  $\rightarrow$  Device command  $\rightarrow$  Execution  $\rightarrow$  Return.